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## Flower-like NiCo<sub>2</sub>O<sub>4</sub>-CN as Efficient bifunctional Electrocatalyst for Zn-Air Battery

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### Abstract:

Bifunctional transition metal oxide based electrocatalysts play an important role in oxygen reduction reaction (ORR) and oxygen evolution reaction (OER) for rechargeable metal-air batteries. Herein, we synthesize a kind of three-dimensional (3D) flower-like composite catalyst with NiCo<sub>2</sub>O<sub>4</sub> and N doped carbon (NC) derived from g-C<sub>3</sub>N<sub>4</sub>, under hydrothermal conditions. The best NiCo<sub>2</sub>O<sub>4</sub>-CN catalyst shows a hierarchical structure composed of interconnected nanosheets, and exhibits versatile bifunctional ORR/OER electroactivities with a half-wave potential of 0.81 V for ORR and an overpotential of 383 mV for OER under a current density of 10 mA cm<sup>-2</sup>. The zinc-air battery with the NiCo<sub>2</sub>O<sub>4</sub>-CN cathode demonstrates superior peak power density (149.6mW cm<sup>-2</sup>), excellent capacity performance up to 738.7 mAh g<sup>-1</sup> and considerable durability, showing the feasibility of NiCo<sub>2</sub>O<sub>4</sub>-CN in electrochemical energy devices.

**Keywords:** NiCo<sub>2</sub>O<sub>4</sub>; oxygen reduction reaction; oxygen evolution reaction; Zn-air battery;

### 1. Introduction

In view of the global energy security and environmental crisis, renewable energy storage and conversion systems such as supercapacitors, fuel cells, lithium-ion batteries, and metal-air batteries have stimulated much attention and seen great

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achievements. Among these reported clean and sustainable energy devices, rechargeable metal-air batteries are considered to be the most viable energy system owing to their particular characteristics such as low cost, wide availability, high safety and high energy density, which outperform the widely used lithium-ion batteries[1, 2].

One of the key issues that hinder the development of metal-air batteries is the sluggish kinetics process of oxygen reduction reaction (ORR) and oxygen evolution reaction (OER), which requires the assistance of high efficient and stable catalysts. Unfortunately, the requirement of ORR and OER, which happens over the same electrode during the discharge and charge processes, respectively, for the catalyst is different. And this fact increases the complexities: either combine two kinds of catalysts for individual ORR and OER or using bifunctional catalyst. Up to now, much of the study focus on either ORR catalyst or OER catalyst, for example, Pt related materials is the best ORR catalyst, however, it is not suitable for OER. Therefore, if two individual catalysts were used for the working electrode, such concerns as the cost, the manufacturing complexities, and the match/cooperation would arise[1].

To solve such a problem, the design and synthesis of bifunctional ORR/OER catalysts have fueled much interest. Up to now, the reported bifunctional catalysts include non-noble metal catalysts (metal oxides, hydroxides, sulfides, nitrides), carbon-based catalysts (carbon nanotubes, graphene, biomass-derived carbon, heteroatom-doped carbon, or metal-organic frameworks-derived carbon), and their hybrids[2, 3]. Among these catalysts, metal oxides are promising candidates because of the relatively low cost and reasonably high electrochemical activity. The reported metal oxides include manganese oxides, spinel-type metal oxides, perovskite-type metal oxides, *etc*[1, 4].

Among the bifunctional metal oxides, the spinel-type  $\text{NiCo}_2\text{O}_4$ , in particular, has attracted much interest due to its fairly low over-potential and corrosion resistivity[5, 6]. Several effective methods have been adopted to modify the electrochemical

activity of  $\text{NiCo}_2\text{O}_4$ , and they are briefly classified as following: (1) Morphology engineering. The ORR/OER is an interfacial process, morphology engineering has a great influence on the activity. Through morphology controlling,  $\text{NiCo}_2\text{O}_4$  with larger surface area, porous and 3D hierarchical structure could be fabricated, which could provide more accessible active sites for ORR/OER. Some successful examples are nanowire[7], nano platelet[8], nanosphere[9, 10], and 3D nanosheets[11, 12]; (2) Metal doping. The electronic structure of spinel oxides is highly related to its composition, and the composition adjustment by a third metal doping is an effective strategy to improve the activity. Because of the complementarities and synergic effects between doping metal and Ni-Co, Zn and Fe doping  $\text{NiCo}_2\text{O}_4$  have reported exhibiting superior ORR/OER activity[13, 14]; (3) Introducing oxygen vacancy or creating defect. Surface defects can tune the surface electronic states, and play pivotal roles in electrochemical reaction[15]. The oxygen vacancy can increase electron density and electrical conductivity, and also be the active sites for ORR/OER [9, 16]. Therefore, precisely tailoring oxygen vacancy is regarded as an effective tactic to enhance the ORR/OER performance of  $\text{NiCo}_2\text{O}_4$ [11, 17]; (4) Hybrid with carbon. Although considerable achievements have been made on  $\text{NiCo}_2\text{O}_4$ , the relatively low conductivity still hamper its potential application. The composites composed by  $\text{NiCo}_2\text{O}_4$  and various kind of carbon material can not only solve the problem of poor conductivity, but also create a synergetic effect, which can dramatically enhance the electrocatalytic activity and durability[18]. For example, graphene [8, 19], carbon nanotube[20], and hollow carbon[21, 22] have been used for the composite  $\text{NiCo}_2\text{O}_4$  electro-catalysts.

Enlightened by the above achievements, herein, we fabricate 3D flower-like composite catalyst with  $\text{NiCo}_2\text{O}_4$  nanosheet and N doped carbon (NC) derived from  $g\text{-C}_3\text{N}_4$ . The design is based on the following consideration: (1) the 3D structure favors for the mass transport (oxygen and ions); (2) two-dimensional nanosheet possesses more surface unsaturated atoms, which is beneficial for catalytic reaction[23]; (3) N doped carbon. On one hand, the introduction of carbon could

increase the conductivity of  $\text{NiCo}_2\text{O}_4$ . On the other hand, the NC itself shows certain ORR/OER activity. (4)  $g\text{-C}_3\text{N}_4$  derived carbon.  $g\text{-C}_3\text{N}_4$  is known for its high concentration of N, and has been used as the source of N and C to form NC [24, 25] or transition metal-containing nitrogen-doped carbon[26] under high-temperature calcination, which exhibit superior electrochemical activities. In contrast to the high-temperature pyrolysis, we adopt a relatively mild method to convert  $g\text{-C}_3\text{N}_4$  to NC under hydrothermal condition, and the concentration of N could be tuned by temperature. The formation of NC and  $\text{NiCo}_2\text{O}_4$  is in the same process, resulting in a uniform composite catalyst. Such a hybrid catalyst display excellent ORR/OER performance. For solvothermal or hydrothermal reaction, the reaction temperature, has great effect in the morphology, crystal structure and corresponding activities of the materials. Herein, we choose one extreme low temperature ( $90\text{ }^\circ\text{C}$  for methanol), and one very high temperature ( $180\text{ }^\circ\text{C}$ , a relatively safe and high temperature when using oven in our lab) for the synthesis of  $\text{NiCo}_2\text{O}_4$  and NC composites. Because of such big temperature difference and reaction pressure, it could lead to great difference in the catalyst and its catalytic activity.

## 2. Experimental

### 2.1 Synthesis of $\text{NiCo}_2\text{O}_4$ catalyst

$g\text{-C}_3\text{N}_4$  was prepared using melamine as chemical source through decomposition at  $550\text{ }^\circ\text{C}$  for 3 h under  $\text{N}_2$  atmosphere followed by oxidation in air under  $500\text{ }^\circ\text{C}$  for 2 h. 0.5 mmol of  $\text{Co}(\text{NO}_3)_2\cdot 6\text{H}_2\text{O}$ , 0.25 mmol of  $\text{Ni}(\text{NO}_3)_2\cdot 6\text{H}_2\text{O}$ , and 1.5 mmol of hexamethylenetetramine were dissolved into 12 ml of  $\text{CH}_3\text{OH}$  together with 10 mg of  $g\text{-C}_3\text{N}_4$  under continuous stirring. Then, the solution was sealed into an autoclave and heated to  $180\text{ }^\circ\text{C}$  for 12 h. After the solvothermal reaction, the precursor was washed with deionized water and ethanol thoroughly, then dried and calcinated under air atmosphere, at  $350\text{ }^\circ\text{C}$  for 2 h. The obtained sample was denoted as  $\text{NiCo}_2\text{O}_4\text{-CN-180}$ . Another sample was prepared by the same process, except that the solvothermal temperature was changed to  $90\text{ }^\circ\text{C}$ , and was denoted as  $\text{NiCo}_2\text{O}_4\text{-CN-90}$ .

## 2.2 Characterization

X-ray diffraction (XRD) was recorded by an X-ray diffractometer (PANalytical, MiniFlex 600, Cu K $\alpha$ ). The Brunauer–Emmett–Teller (BET) surface area and Barrett–Joyner–Halenda (BJH) pore size distribution were analyzed on a Micromeritics instrument (ASAP2020). Use X-ray photoelectron spectroscopy (XPS, Escalab 250X, Thermo Fisher) to test the surface chemical properties (Al K $\alpha$  as X-ray source). The morphology and elemental distribution of the samples were analyzed by transmission electronic microscopy (TEM, Tecnai G20) and field-emission scanning electron microscope (FE-SEM, Hitachi SU8010) equipped with energy-dispersive X-ray spectrometer (EDS). The Fourier transform infrared (FT-IR) spectra were recorded on a Nicolet 6700 spectrometer.

## 2.3 Electrochemical Measurements

Cyclic voltammetry (CV) and linear sweep voltammograms (LSVs) were tested on an electrochemical workstation (ZAHNER ZENNIUM/IM6) with three-electrode setup : a rotating ring/disk electrode with glass carbon (GC) disk (5 mm diameter), Ag/AgCl (3.5 M KCl), and Pt sheets as the working electrode, the reference electrode, and the counter electrode, respectively. The catalyst ink was composed by mixing 950  $\mu\text{L}$  of isopropanol, 50  $\mu\text{L}$  of 5 wt% Nafion and 5 mg of catalyst. The catalyst loading on the GC electrode was 0.318 mg cm $^{-2}$ . The conditions for ORR measurement were: O $_2$ /N $_2$  saturated 0.1 M KOH as electrolyte; a sweep rate of 50 mV s $^{-1}$  from -0.70 to 0.20 V (vs RHE) for CV and a sweep rate of 5 mV s $^{-1}$  from -0.70 to 0.20 V (vs RHE) under different rotation speeds for LSV. The stability was tested by chronopotentiometric curves at 10 mA cm $^{-2}$  for 12 h. The electron transfer number ( $n$ ) and the H $_2$ O $_2$  conversion ( $y_{\text{peroxide}}$ ) was tested by rotating ring-disk electrode (RRDE). Tafel plot was obtained from the LSV curves. OER activity with  $iR$  correction was tested by scanning at 5 mV s $^{-1}$  from 0.20 to 0.80 V (vs. SCE) with a 1600 rpm rotation speed for the RDE in 1 M KOH. The electrochemical surface area (ECSA) was tested by CV curves at the potential range from 1.10 to 1.20 V vs. RHE. The conductivity of the catalyst was

measured in a two-electrode system on the same electrochemical workstation above-mentioned. The catalyst was separately pressed into pieces, then two electrodes were clamped on both ends. By applying a linear voltage from -0.5 to 0.5 V with the scan rate of 50 mV/s, the change of current density with voltage was recorded. Rechargeable Zn-Air Battery was fabricated with a homemade cell using zinc plate as anode, catalyst sprayed as air-cathode, 0.2 M  $\text{Zn}(\text{CH}_3\text{COO})_2$  and 6 M KOH as the electrolyte. The catalyst slurry is the same as ORR test, the prepared slurry was first doped uniformly on current carrier, then dried under infrared light with a mass loading of about  $2.1 \text{ mg cm}^{-2}$ . The discharge polarization curves are collected at scanning rate of  $5 \text{ mV s}^{-1}$ .

### 3. Results and discussion

#### 3.1 Structure and morphology

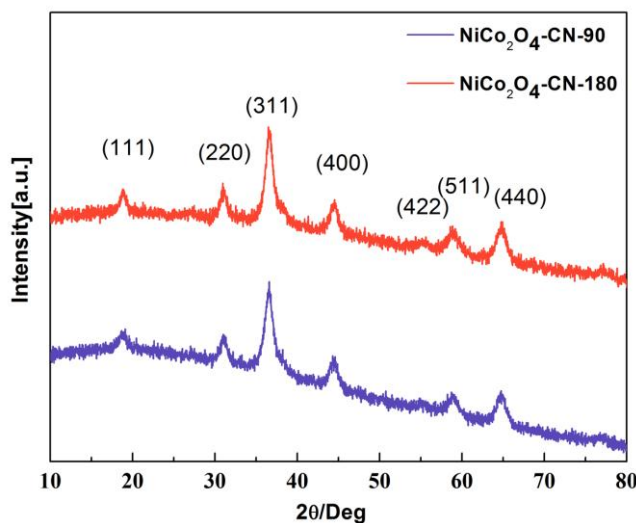


Fig.1 XRD patterns of the  $\text{NiCo}_2\text{O}_4$  samples

The phase structure of the samples was first tested by XRD(Fig.1). For comparison, the pure  $g\text{-C}_3\text{N}_4$  and  $\text{NiCo}_2\text{O}_4$  were also tested and shown in Fig.S1(Supporting information). From Fig.S1,  $\text{C}_3\text{N}_4$  displays one sharp peak at  $2\theta = 27.2^\circ$  and two small peaks at  $13.1^\circ$  and  $21.6^\circ$ , which can ascribe to the (001),(100) and (002) crystal plane of  $g\text{-C}_3\text{N}_4$  (JCPDS: 87-1526). The pure  $\text{NiCo}_2\text{O}_4$  shows diffraction peaks correspond to the (111), (220), (311), (400), (422), (511), and (440) planes for the

standard inverse spinel structure of  $\text{NiCo}_2\text{O}_4$  (JCPDS:20-0781). For the two  $\text{NiCo}_2\text{O}_4$  samples synthesized at  $90^\circ\text{C}$  and  $180^\circ\text{C}$ , they exhibit similar XRD patterns as that of the pure  $\text{NiCo}_2\text{O}_4$ , and no signal of  $g\text{-C}_3\text{N}_4$  peak is found. This phenomenon could be explained from two aspects: one is that the large amount of  $\text{NiCo}_2\text{O}_4$  in the composite suppresses the  $g\text{-C}_3\text{N}_4$  peak as its content is relatively small compared to the formed  $\text{NiCo}_2\text{O}_4$ [27], the other reason is that  $g\text{-C}_3\text{N}_4$  might decompose into carbon.

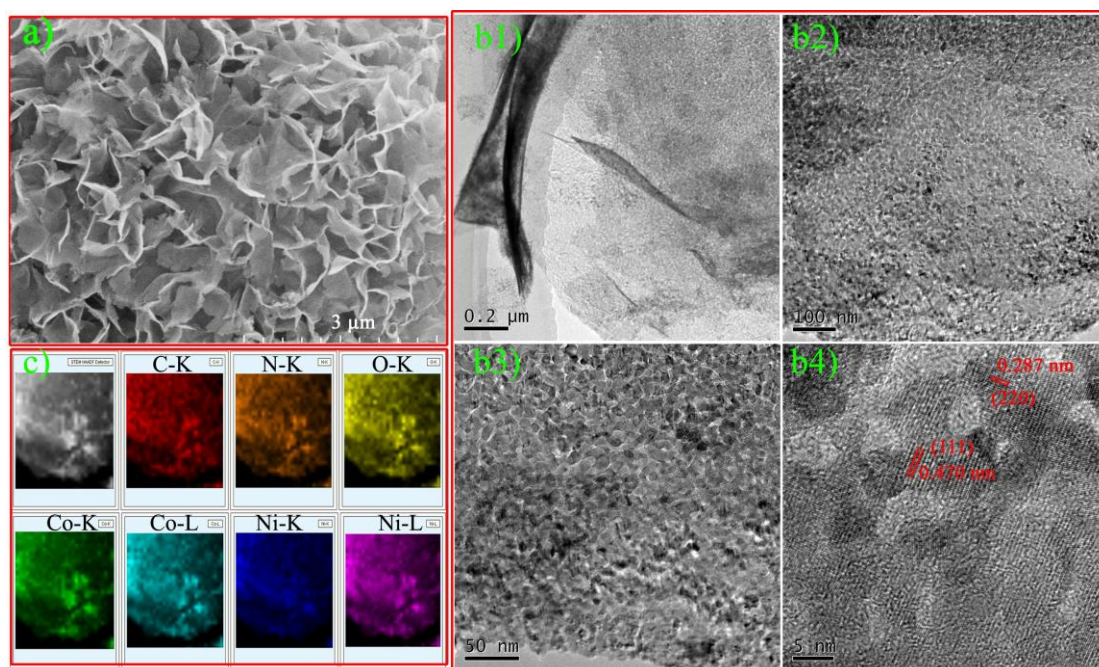


Fig.2 SEM and HRTEM images of the  $\text{NiCo}_2\text{O}_4\text{-CN}$  sample.

The microstructure of catalyst was observed by SEM and HRTEM techniques as shown in Fig.2 for  $\text{NiCo}_2\text{O}_4\text{-CN-180}$  and Fig.S2 for  $\text{NiCo}_2\text{O}_4\text{-CN-90}$  (Supporting information). From Fig.2, we can detect that  $\text{NiCo}_2\text{O}_4\text{-CN-180}$  exhibit 3D flower-like morphology composed by interconnected nanosheets. To show the growth mechanism for the formation of  $\text{NiCo}_2\text{O}_4\text{-CN}$ , the time-dependent experiment was performed and the corresponding SEM images are supplied in Fig.S3. From Fig. S3, in a very short reaction time, 3D  $\text{NiCo}_2\text{O}_4$  was formed with very large nanosheet. With time prolong, the 3D structure maintains but the nanosheet becomes smaller and integrated with each other to form rich macro-pores. From 6 h until 12 h then to 18 h,



it seems that there is almost no change in the morphology. Based on the fact, we tentatively conclude that the growth of  $\text{NiCo}_2\text{O}_4$  follows the Ostwald Ripening theory. More information about the nanosheet is provided under HRTEM (Fig.2b). The nanosheet is not intact, but with porous nanopores. Two lattice fringes with the interplanar gap of 0.287 nm and 0.470 nm corresponding to the (220) and (111) planes of  $\text{NiCo}_2\text{O}_4$ , respectively, are found, which match well with the XRD result. The TEM-mapping result in Fig.2c illustrates the presence and homogeneous distribution of Co, Ni, O from  $\text{NiCo}_2\text{O}_4$ , and C, N from  $g\text{-C}_3\text{N}_4$ . As for  $\text{NiCo}_2\text{O}_4\text{-CN-90}$  (Fig.S2, Supporting information), it shows similar flower-like morphology but with larger size of pore. The lattice spacing of 0.287 nm corresponds to the (220) crystal plane can be observed. The macro- and micro- porous characteristics of the  $\text{NiCo}_2\text{O}_4$  samples could offer more active sites for electrochemical reaction and facilitate the mass and electronic transportation. The SEM-mapping results of the pure  $g\text{-C}_3\text{N}_4$  and  $\text{NiCo}_2\text{O}_4$  composites are supplied in Fig.S4 and the bulk atomic ratio is listed in Table S1(Supporting information). From Fig.S4, all the elements mentioned distribute uniformly over the observation field. As for the bulk atomic ratio of Ni to Co, the two  $\text{NiCo}_2\text{O}_4$  composites have similar value near 0.5. However, great changes could be found for the atomic ratio of C to N, it is 0.63 for  $g\text{-C}_3\text{N}_4$ , but increases sharply to 4.95 for  $\text{NiCo}_2\text{O}_4\text{-CN-90}$  and 29.02 for  $\text{NiCo}_2\text{O}_4\text{-CN-180}$ , indicating that  $g\text{-C}_3\text{N}_4$  decomposes into N-doped carbon during the hydrothermal process. The surface molar ratio of C to N by XPS also follows a similar trend(Table S1), further confirming the conclusion.

FT-IR spectra was conducted to show more evidence of the conversion of  $g\text{-C}_3\text{N}_4$  (Fig.S5). For pure  $g\text{-C}_3\text{N}_4$ , the peaks between  $3000\text{-}3400\text{ cm}^{-1}$  correspond to N-H stretching vibration. The peaks in the range of  $1200\text{-}1700\text{ cm}^{-1}$  can be attributed to the C=N stretching and C-N bonds. The peak locating at  $811\text{ cm}^{-1}$  is origin from the out-of-plane bending of heptazine rings[24, 28]. As for pure  $\text{NiCo}_2\text{O}_4$ , it mainly displays the peak below  $700\text{ cm}^{-1}$ , which is the typical IR spectra of metal oxide. Considering the two  $\text{NiCo}_2\text{O}_4\text{-CN}$  samples, the characteristic FT-IR peaks between

3000-3400  $\text{cm}^{-1}$  disappear, the peaks in the range of 1200-1700  $\text{cm}^{-1}$  diminish a lot, the peak at 811  $\text{cm}^{-1}$  reduce greatly, and this phenomenon suggest that the  $\text{C}_3\text{N}_4$  structure in these two samples are destroyed. The two new peaks at 1240 and 1385  $\text{cm}^{-1}$  could be assigned to C-O and C-N vibration, respectively. we can also detect the metal-oxide peaks at 650 and 550  $\text{cm}^{-1}$ . The FT-IR result prove that  $g\text{-C}_3\text{N}_4$  converts into N doped carbon for the  $\text{NiCo}_2\text{O}_4\text{-CN}$  sample, which is consistent with the SEM-mapping results.

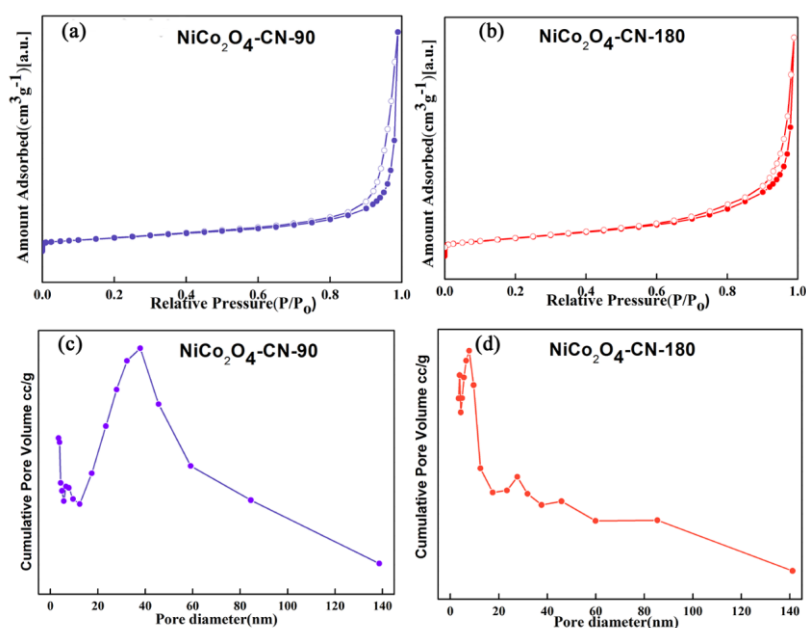


Fig.3  $\text{N}_2$ -adsorption/desorption isotherms and BJH pore size distribution of  $\text{NiCo}_2\text{O}_4$  samples

The isotherms and BJH pore size distribution of both samples are shown in Fig.3. They both display type IV with a distinct hysteresis loop, indicating their mesoporous structures. From the BJH curves, they are both rich in continuous pore and have wide pore size distribution. The measured BET surface area is  $84.22 \text{ m}^2 \text{ g}^{-1}$  for  $\text{NiCo}_2\text{O}_4\text{-CN-180}$  and  $78.10 \text{ m}^2 \text{ g}^{-1}$  for  $\text{NiCo}_2\text{O}_4\text{-CN-90}$ . However, the BJH average pore size of the former is 7.785 nm, which is smaller than that of the latter (37.835 nm). This phenomenon confirms that  $\text{NiCo}_2\text{O}_4\text{-CN-180}$  is richer in narrow size of pore.

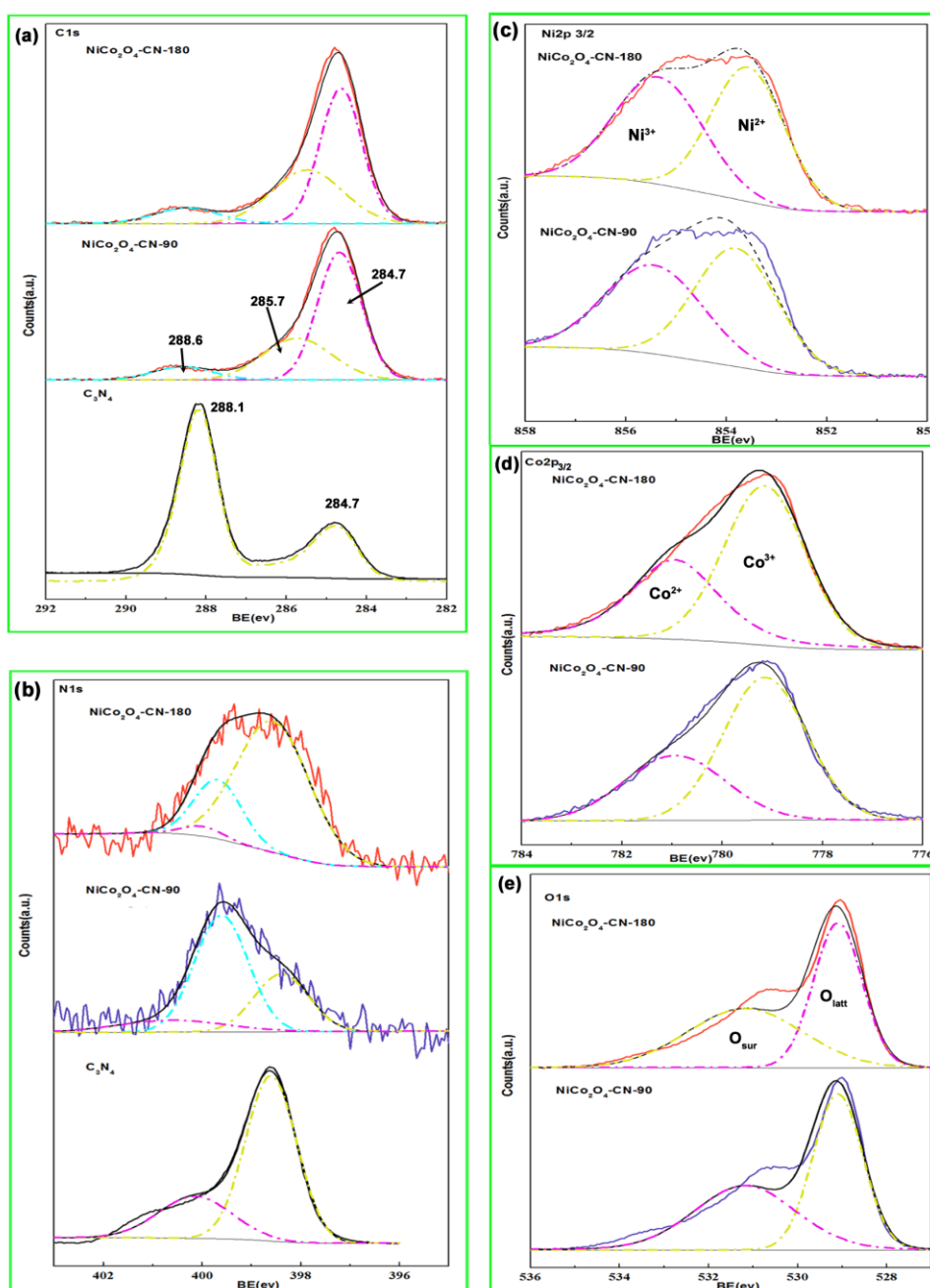


Fig.4 XPS spectra (a, C1s; b, N1s; c, Ni2p; d, Co2p; e, O1s )

To investigate the surface composition and the valence states of the samples, XPS characterization was performed. The full-scan XPS spectra was shown in Fig.S6 (Supporting information), which display the peaks corresponding to C1s, N1s, Ni2p, Co2p and O1s[8]. The C1s spectra of the NiCo<sub>2</sub>O<sub>4</sub> and pure *g*-C<sub>3</sub>N<sub>4</sub> are shown in Fig.4a. As for *g*-C<sub>3</sub>N<sub>4</sub>, two distinct peaks locate at about 288.1 and 284.7 eV can be observed,

and the first peak is related to the  $sp^2$ -hybridized carbon in the N-C=N aromatic ring of the  $g\text{-C}_3\text{N}_4$  structure, the second peak can be attributed to  $sp^2$  C-C bonds [29, 30]. In contrast, great changes happen over the C1s peaks of the two  $\text{NiCo}_2\text{O}_4\text{-CN}$  samples. The characteristic peak at 288.1 eV identified as  $g\text{-C}_3\text{N}_4$  structure disappears and a series of continuous peaks appear, which could be fitted into three subpeaks at 284.7 (C=C), 285.7 eV (C-N) and 288.6 eV (O=C-O)[31]. This phenomenon indicates that  $g\text{-C}_3\text{N}_4$  converts into N doped carbon under the reaction conditions, this is why the XRD test shows no sign of  $g\text{-C}_3\text{N}_4$  pattern. Such a conversion could be further proved by the N1s result (Fig.4b). The N1s spectrum of  $g\text{-C}_3\text{N}_4$  can be deconvoluted into two peaks: The major peak with binding energy (BE) of 398.6 represents the characteristic structure of  $sp^2$ -hybridized nitrogen in C-N=C ring, and the other minor peak at 400.1 eV stands for the N(-C<sub>3</sub>) structure from  $g\text{-C}_3\text{N}_4$ [29]. As expected, the N1s spectra of the  $\text{NiCo}_2\text{O}_4\text{-CN}$  samples show different peaks to that of  $\text{C}_3\text{N}_4$ . The N1s can be fitted into three bands assigning to pyridinic-N (398.5 eV), pyrrolic-N (399.6 eV), and graphitic-N(400.1 eV), which suggest that the conversion of  $g\text{-C}_3\text{N}_4$  to N-doped carbon[25, 31]. Based on the C1s and N1s results,  $g\text{-C}_3\text{N}_4$  was successfully converted into N-doped carbon (CN), and this agrees well with the EDX and FT-IR results.

When considering Ni 2p spectra in Fig. S7 (Supporting information), two main peaks at about 855.0 eV for  $\text{Ni}2p_{3/2}$  and 872.0 eV for  $\text{Ni}2p_{1/2}$  with two satellites at 860.8 and 879.4 eV are observed. The Co 2p spectra show two sharp peaks centered at 779.0 eV for  $\text{Co}2p_{3/2}$  and 794.3 eV for  $\text{Co}2p_{1/2}$  together with two weak shake-up satellites. The Ni  $2p_{3/2}$  and  $\text{Co}2p_{3/2}$  were further fitted into two subpeaks in Fig. 4(c-d). From Fig.4c, the Ni  $2p_{3/2}$  signals at 853.6 eV and 855.3 eV could be assigned to  $\text{Ni}^{2+}$  and  $\text{Ni}^{3+}$ , respectively. From Fig.4d, we can detect two subpeaks locating at 780.9 eV and 779.1 eV, demonstrating the co-existence of  $\text{Co}^{2+}$  and  $\text{Co}^{3+}$ , respectively. According to the peak fitting result, the molar ratio of  $\text{Ni}^{2+}$  to  $\text{Ni}^{3+}$  and  $\text{Co}^{2+}$  to  $\text{Co}^{3+}$  was calculated. The atomic ratio of  $\text{Ni}^{2+}/\text{Ni}^{3+}$  and  $\text{Co}^{2+}/\text{Co}^{3+}$  for  $\text{NiCo}_2\text{O}_4\text{-CN-90}$  is 1.12 and 0.55, respectively. For  $\text{NiCo}_2\text{O}_4\text{-CN-180}$ , the value changes to 1.00 and 0.64, respectively. The O1s spectra in Fig.4e can be deconvoluted into two peaks: 529.1 and 531.9 eV,

which correspond to the lattice oxygen ( $O_{\text{latt}}$ ) and the surface oxygen or defect oxygen ( $O_{\text{sur}}$ ), respectively [11, 13, 32]. The atomic ratio of  $O_{\text{sur}}/O_{\text{latt}}$  is calculated based on the peak fitting result, and it shows an order of  $\text{NiCo}_2\text{O}_4\text{-CN-180}$  (0.97) >  $\text{NiCo}_2\text{O}_4\text{-CN-90}$  (0.88).

### 3.2 Electrocatalytic activities

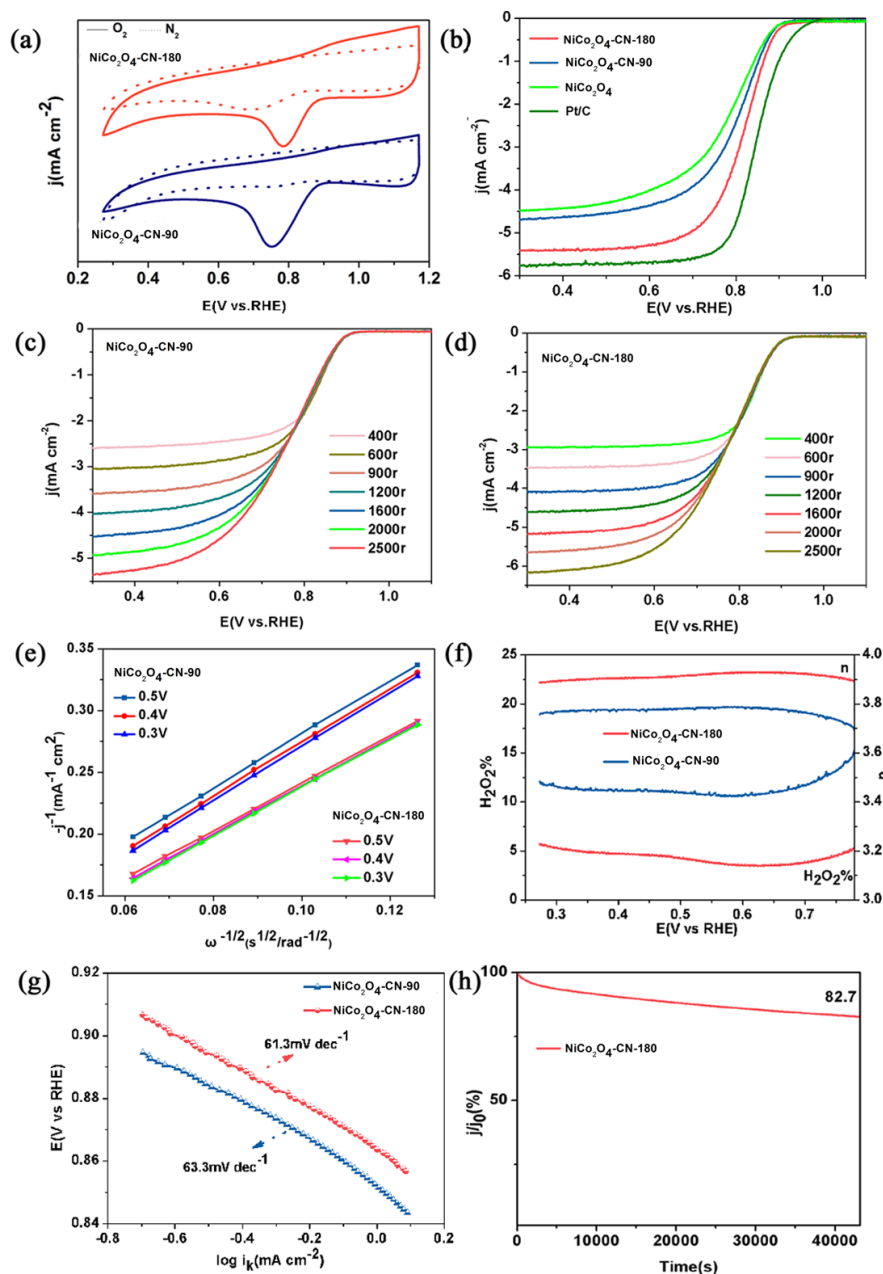


Fig.5 ORR performance (a) Cyclic voltammograms in  $\text{N}_2$ - and  $\text{O}_2$ -saturated 0.1 M KOH, (b) linear sweep voltammtries at a rotation speed of 1600 rpm, (c-d) LSVs of  $\text{NiCo}_2\text{O}_4$

catalysts under different rotating rates of the disk electrode, (e) Koutecky-Levich (K-L) plots at different potentials, (f) plots of the hydrogen peroxide yields and electron-transfer numbers, (g) corresponding Tafel plots. (h) Chronoamperometric responses of the NiCo<sub>2</sub>O<sub>4</sub>-CN-180 catalysts over 12 h.

The ORR activity was first investigated by Cyclic voltammograms (CV) in 0.1 M KOH electrolyte (Fig.5a). When tested in O<sub>2</sub>-saturated KOH electrolyte, we can observe obvious oxygen reduction characteristic peaks from both NiCo<sub>2</sub>O<sub>4</sub> catalysts. Especially, NiCo<sub>2</sub>O<sub>4</sub>-CN-180 displays a more positive reduction peak compare to the NiCo<sub>2</sub>O<sub>4</sub>-CN-90, indicating its superior ORR activity. No distinct peak is found in N<sub>2</sub>-saturated KOH electrolyte.

Linear scan voltammeter (LSV) curves tested by rotating disk electrode (RDE) at 1600 rpm (Fig.5b). Half-wave potential ( $E_{1/2}$ , the potential at a current density of 3 mA cm<sup>-2</sup>) and diffusion-limiting current density was used for comparing the activity. The commercial Pt/C shows the best ORR activity. NiCo<sub>2</sub>O<sub>4</sub>-CN-180 exhibits a more positive  $E_{1/2}$  of 0.81 V and a larger limiting current density of 5.4 mA cm<sup>-2</sup>, which outperforms its counterpart NiCo<sub>2</sub>O<sub>4</sub>-CN-90 (0.77 V and 4.7 mA cm<sup>-2</sup>). Without C<sub>3</sub>N<sub>4</sub> used in the preparation process, the obtained pure NiCo<sub>2</sub>O<sub>4</sub> shows a lower ORR performance with  $E_{1/2}$  of 0.74 V and a larger limiting current density of 4.5 mA cm<sup>-2</sup>. The ORR activity of NiCo<sub>2</sub>O<sub>4</sub>-CN-180 is compared with some recent published NiCo<sub>2</sub>O<sub>4</sub> related papers (Table S1, Supporting information ), clearly, NiCo<sub>2</sub>O<sub>4</sub>-CN-180 exhibits outstanding ORR performance among these results.

ORR polarization curves at different rotation rates are recorded and plotted in Fig.5(c-d) to extract the pertinent kinetic parameters. The curves have similar trends, and the reduction currents gradually increase with increasing rotation rate, which is related to the faster oxygen transfer near the electrode surface[33]. Based on Fig.5(c-d), the corresponding K-L plots were obtained in Fig.5e. From, Fig.5e, all the plots shows linearity, indicating first-order reaction kinetics towards oxygen over the

catalysts[12]. Furthermore, the plots of NiCo<sub>2</sub>O<sub>4</sub>-CN-180 has a relatively smaller intercept than its counterpart, manifesting that the number of electrons transferred during the ORR process over NiCo<sub>2</sub>O<sub>4</sub>-CN-180 is even larger, and more close to the four-electron process. The ORR process may follow two-electron or four-electron transfer mechanism, of which the later is more favorable and even high-efficiency. The ORR over NiCo<sub>2</sub>O<sub>4</sub> based material normally follows the four-electron process[19, 21, 34]. As for ORR, there are two methods to calculate the electron transfer number ( $n$ ), one is by the K-L plots in Fig.5e, especially involving with the intercept(the smaller intercept, the bigger  $n$ )[19], but the result of this method is relatively rough; And the more accurate method is through rotating-ring disk electrode. To obtain the accurate electron transfer number( $n$ ) and the yield of hydrogen peroxide ( $y_{\text{peroxide}}$ ), they were further calculated by rotating-ring disk electrode (RRDE) as shown in Fig.5f. The  $n$  for NiCo<sub>2</sub>O<sub>4</sub>-CN-180 is around 3.90 and 3.72-3.77 for NiCo<sub>2</sub>O<sub>4</sub>-CN-90 over the potential range of 0.3-0.75 V, and this indicates that both catalysts follow an apparent 4 electron reduction pathway. Considering the  $y_{\text{peroxide}}$ , NiCo<sub>2</sub>O<sub>4</sub>-CN-90 displays a much higher yield of above 11.6% than that of the NiCo<sub>2</sub>O<sub>4</sub>-CN(below 5.3%). The relatively larger  $n$  and smaller  $y_{\text{peroxide}}$  prove the superior ORR activities of NiCo<sub>2</sub>O<sub>4</sub>-CN-180. The Tafel plots are shown in Fig.5g. Comparing to NiCo<sub>2</sub>O<sub>4</sub>-CN-90, NiCo<sub>2</sub>O<sub>4</sub>-CN-180 has a smaller slope of 61.3 mV dec<sup>-1</sup>), manifesting the fastest ORR kinetics. NiCo<sub>2</sub>O<sub>4</sub>-CN-180 also exhibits outstanding long-term durability for ORR. Fig. 5h shows the chronoamperometric responses at the potential of 0.50 V. The chronoamperometric measurement for 12 h confirms that NiCo<sub>2</sub>O<sub>4</sub>-CN-180 has a higher retention rate of 82.7%.

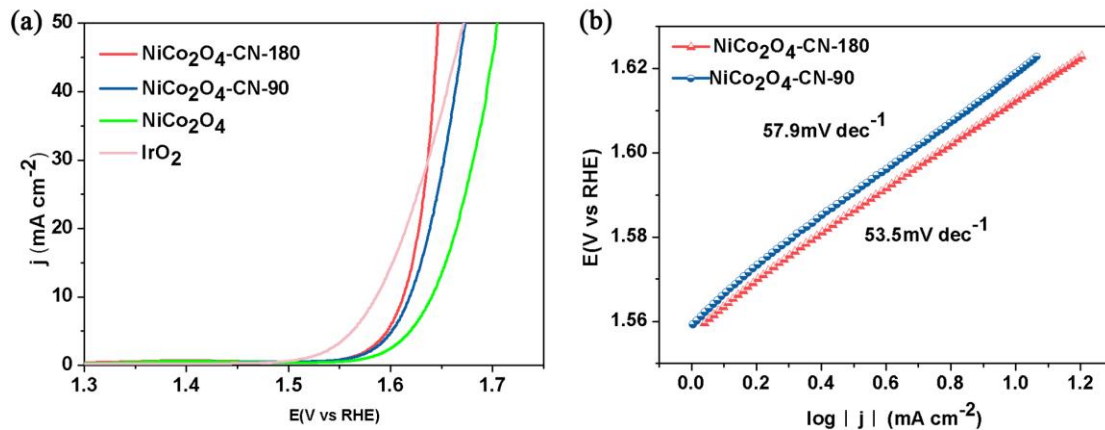


Fig.6 OER performance. (a) LSV curves at a rotation speed of 1600 rpm, (b) Tafel plots of  $\text{NiCo}_2\text{O}_4$  catalysts.

The OER performance of the catalysts was tested by LSVs on rotating disk electrode in 1.0 M KOH electrolyte (Fig.6a). For OER, the overpotential ( $\eta_{10}$ ) to reach a current density of  $10 \text{ mA cm}^{-2}$  is often used as a scale to evaluate the OER performance[35]. To reach a current density of  $10 \text{ mA cm}^{-2}$ , the overpotential ( $\eta_{10}$ ) for  $\text{NiCo}_2\text{O}_4\text{-CN-180}$  is 383 mV, which is better than that of  $\text{NiCo}_2\text{O}_4\text{-CN-90}$  (389 mV) and pure  $\text{NiCo}_2\text{O}_4$  (411 mV), but inferior to that of the commercial  $\text{IrO}_2$  (356 mV). Tafel slope is commonly applied to understand the reaction kinetics, and the slope is a kinetic descriptor that provides information on the electrochemical mechanism[35, 36]. Therefore, Tafel plots of the polarization curves were calculated to further prove the superior performance of  $\text{NiCo}_2\text{O}_4\text{-CN-180}$ . As shown in Fig.6b, the Tafel slope of  $\text{NiCo}_2\text{O}_4\text{-CN-180}$  is  $53.5 \text{ mV dec}^{-1}$ , while  $\text{NiCo}_2\text{O}_4\text{-CN-90}$  displays a larger Tafel slope of  $57.9 \text{ mV dec}^{-1}$ . This result indicates that  $\text{NiCo}_2\text{O}_4\text{-CN-180}$  exhibits a higher OER rate and favorable kinetics.

The potential gap,  $\Delta E$ , between the potential at  $10 \text{ mA cm}^{-2}$  of OER and half-wave potential of ORR could be used as a benchmark to judge the overall bifunctional ORR/OER activities[12, 15], the smaller the  $\Delta E$ , the better the electrochemical performance. The  $\Delta E$  of the samples was calculated and showed in Fig.S8 (Supporting information). Obviously, the  $\Delta E$  rises in the order of  $\text{NiCo}_2\text{O}_4\text{-CN-180}$



(0.80 V) < NiCo<sub>2</sub>O<sub>4</sub>-CN-90 (0.85 V) < NiCo<sub>2</sub>O<sub>4</sub> (0.90 V), indicating that the overall bifunctional ORR/OER performance rises in the opposite order.

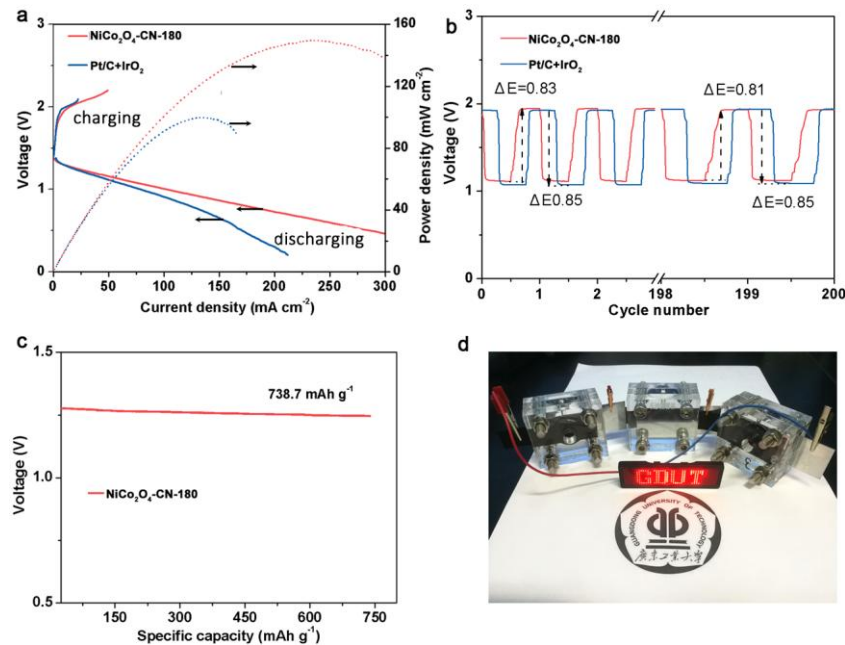


Fig.7 the performance of Zn-air battery using NiCo<sub>2</sub>O<sub>4</sub>-C/N-180 and noble metal as electrode catalysts (a)charging and discharging polarization and power density curves , (b) cycling performance, (c) discharge curves at 5.0 mA cm<sup>-2</sup>, (d) LED powered by the NiCo<sub>2</sub>O<sub>4</sub>-CN battery

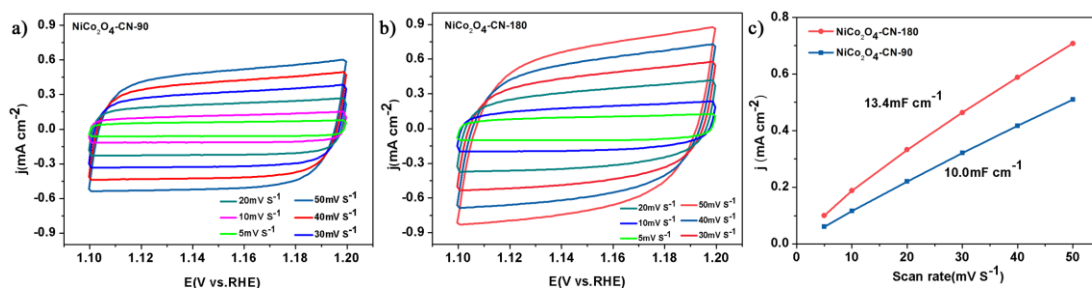
As NiCo<sub>2</sub>O<sub>4</sub>-CN-180 displays superior bifunctional ORR/OER activities, we further assembled a home-made Zn-air battery using it as cathode catalyst and zinc plate as the anode. We also fabricated a zinc-air battery using commercial 20%Pt/C and IrO<sub>2</sub>. The corresponding performance is shown in Fig.7. From Fig.7a, the Zn-air battery based on NiCo<sub>2</sub>O<sub>4</sub>-CN-180 has a relatively high peak power density, reaching 149.6 mW cm<sup>-2</sup> at a current density of 235.7mA cm<sup>-2</sup>, which is much better than that of assembled by 20%Pt/C and IrO<sub>2</sub> (99.6mW cm<sup>-2</sup> at 131.5 mA cm<sup>-2</sup>). Stability is a key parameter of the Zn-air battery, therefore, cycle stability was measured at a current density of 5mA cm<sup>-2</sup> for 200 cycles (Fig.7b). The NiCo<sub>2</sub>O<sub>4</sub>-CN-180 shows a higher discharge platform, about 40 mV, than the noble metal. As for the charging platform, at the initial stage, the noble metal is slightly better than the NiCo<sub>2</sub>O<sub>4</sub>-CN-180.

Whereas, after 100 cycles, the charging platform of NiCo<sub>2</sub>O<sub>4</sub>-CN-180 is slowly leveled with the noble metal and then surpasses. The NiCo<sub>2</sub>O<sub>4</sub>-CN-180 exhibits excellent cycling stability, after 200 cycles of testing, the performance is almost unchanged. The capacity test result is shown in Fig.7c. The NiCo<sub>2</sub>O<sub>4</sub>-CN-180 battery possesses excellent capacity performance up to 738.7 mAh g<sup>-1</sup>. The NiCo<sub>2</sub>O<sub>4</sub>-CN battery can effectively illuminate a 3V LED as displayed in Fig.7d, which indicates its practical application.

### 3.3 Discussion

The electrochemical reaction of ORR/OER is a very complex process involving a three-phase interface (gas-liquid-solid)[37]. The activity difference can not be solely attributed to a particular parameter. It is the synergistic effects of catalyst that lead to a superior overall electrochemical performance.

**BET and pore size distribution.** From the point of macro-structure engineering of superior ORR/OER catalysts, the porous structure with larger BET area and rich mesopores/macropores are highly required. A porous structure benefits the diffusion of oxygen over the catalyst and facilitate fast ion and electron transfer by providing effective pathways and channels [37]. From Fig.3, the BET surface area of NiCo<sub>2</sub>O<sub>4</sub>-CN-180 (84.22 m<sup>2</sup> g<sup>-1</sup>) is slightly larger than that of NiCo<sub>2</sub>O<sub>4</sub>-CN-90 (78.10 m<sup>2</sup> g<sup>-1</sup>), however, its pore size distribution is relatively smaller than that of its counterpart. This result suggests that NiCo<sub>2</sub>O<sub>4</sub>-180 could provide more active sites and increased contact area for ORR/OER, but its transfer ability might be inferior to NiCo<sub>2</sub>O<sub>4</sub>-CN-90.



**Fig.8** ECSA evaluation for CV activation. (a-b) Typical cyclic voltammetry curves at different scan rates, (c) Charging current density differences plotted against scan rates.

**ECSA.** To explain the performance difference, the ECSA is often used to illustrate the active surface area of the catalysts, which can reflect the true contact area involved in the electrochemical reaction. The electrochemical double-layer capacitance ( $C_{dl}$ ) is linearly proportional to ECSA, and is widely used to represent ECSA[38, 39]. Therefore, the  $C_{dl}$  is computed by CV curves under different scan rates and shown in Fig.8(a-b). From the slope of the linear relationship in Fig.8c, the  $C_{dl}$  could be calculated. Apparently, NiCo<sub>2</sub>O<sub>4</sub>-CN-180 exhibits higher  $C_{dl}$  (13.4 mF cm<sup>-1</sup>) than that of NiCo<sub>2</sub>O<sub>4</sub>-CN-90 (10.0 mF cm<sup>-1</sup>), suggesting that the former has bigger ECSA and more accessible active sites for the electrochemical reactions[38]. The larger ECSA contributes to superior ORR/OER performance.

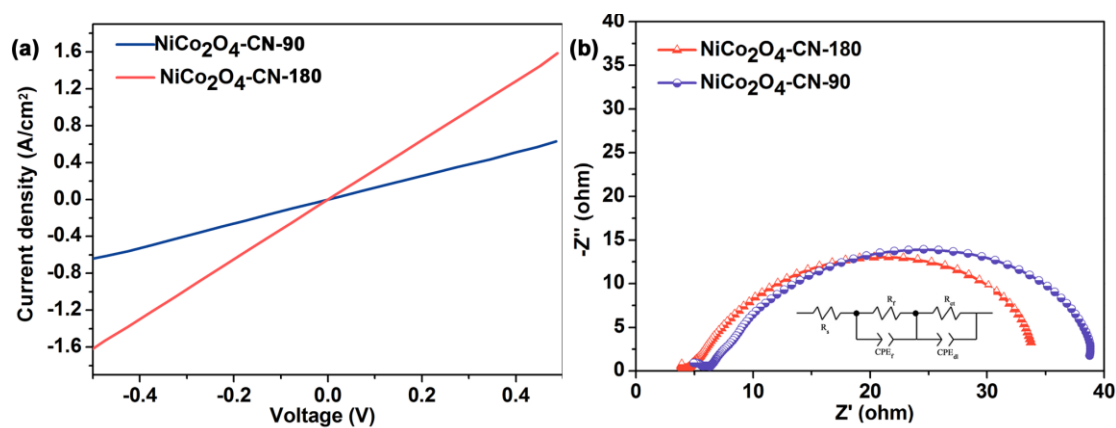
**Oxygen species.** The ORR/OER is the process involving oxygen oxidation and reduction, and oxygen species especially oxygen defects/vacancies of metal oxides have a vital influence on the activities[40-44]. The role of oxygen vacancies for metal oxides includes: being active sites for ORR/OER and being shallow donors to enhance electrical conductivity[40]. Bearing this in mind, the engineering of oxygen defect has been proved to be an effective strategy to enhance the electrochemical activity. From the O 1s peak fitting result in Fig.4e, NiCo<sub>2</sub>O<sub>4</sub>-CN-180 possesses a relatively larger amount of defect oxygen than that of NiCo<sub>2</sub>O<sub>4</sub>-CN-90, and this favor for its outstanding electrochemical activity.

**Metal valence:** Metal valence in spinel structure has a great effect on the ORR/OER activities[45]. The metal valence could affect the electronic structure induced by the Jahn-Teller effect and affect the interaction with oxygen[46]. For example, the valence of Mn displayed a volcano trend for the MnCo<sub>2</sub>O<sub>4</sub> in ORR process, of which the Mn<sup>3.4+</sup> showed the best ORR performance; while for OER process, the superior activity was obtained on the relatively lower valence of Mn [46]. Therefore, it is

important to gain a balance/compromise of metal valence in spinel oxide when using for bifunctional ORR/OER catalyst. As for spinels with  $AB_2O_4$  structure, the metal A usually occupies tetrahedral sites with +2 valence, and metal B holds octahedral sites with +3 or +2 valence[47]. More specifically, considering  $NiCo_2O_4$ , Ni and Co have similar radii and valence(+2, +3), and different synthesis condition could lead to the coexistence of various valence (+2 and +3)of the same metal[48]. Therefore, Ni and Co could hold both the tetrahedral and octahedral sites, and this could lead to a different molar ratios of  $Ni^{2+}/Ni^{3+}$  and  $Co^{2+}/Co^{3+}$ . Deng's report showed that the nickel-cobalt oxide with higher  $Ni^{3+}/Ni^{2+}$  and lower  $Co^{3+}/Co^{2+}$  exhibited the best OER/ORR performance[34]. A similar conclusion was obtained by Huang, et al [45], the minor doping Fe to  $NiCo_2O_4$  induce increased ratio of  $Ni^{3+}/Ni^{2+}$  and decreased  $Co^{3+}/Co^{2+}$ , which leads to enhanced OER performance. Yang et al[17] concluded that  $Ni^{3+}$  instead of Co was vital for OER, and higher  $Ni^{3+}/Ni^{2+}$  was preferred. As some other literature reported,  $Co^{2+}$  was regarded as the active sites for ORR and  $Ni^{3+}$  for OER[34, 49, 50]. In our case, as the XPS results showed,  $NiCo_2O_4$ -CN-180 displayed relatively more  $Ni^{3+}$  and  $Co^{2+}$  comparing to  $NiCo_2O_4$ -CN-90, and this characteristic leads to its excellent ORR/OER performance. Some facts about the enhancement mechanism include: More  $Ni^{3+}$  present in octahedral sites could greatly increase the conductivity of nickel cobalt oxide even to five orders of magnitude[51]. The better conductivity is what an outstanding electrochemical catalyst required; More  $Co^{2+}$  presents suggest more oxygen vacancies[41], which is beneficial for the ORR/OER process; the enriched  $Ni^{3+}$  and  $Co^{2+}$  species favors the activation of oxygen species, and accelerates the overall reaction kinetics[34].

**Effect of N species.** The doping of N can effectively enhance the ORR/OER performance of all kinds of carbon materials. Based on XPS analysis, normally, three kinds of N could be detected: pyridinic N, pyrrolic N and graphitic (or quaternary) N. Until now, great distributes still exist as to which kind of N is prevailing in ORR/OER process. However, two facts seem to be confirmed[52, 53]: (1) pyridinic N and graphitic N outperform pyrrolic N, and the former two are equally important, the

latter almost makes no contribution to the catalysis; (2) The total content of N has no visible correlation with ORR activity while there might be changes in the amount of different kind of N species. From the N1s peak fitting result, we could calculate the molar ratio of (pyridinic N+graphitic N)/(pyrrolic N). Obviously, the ratio rises from 1.17 for NiCo<sub>2</sub>O<sub>4</sub>-CN-90 to 3.53 for NiCo<sub>2</sub>O<sub>4</sub>-CN-180, and this fact confirms that NiCo<sub>2</sub>O<sub>4</sub>-CN-180 processes more active N species, which could contribute a lot to the enhancement of the ORR/OER performance.



**Fig. 9 (a)** I-V curves of the NiCo<sub>2</sub>O<sub>4</sub> catalysts; **(b)** Nyquist plots from EIS measurements.

**Conductivity.** The conductivity of the materials was tested by a two-point approach. The I-V curves for the two different catalysts are shown in Fig.9a. The slope of the I-V curve of NiCo<sub>2</sub>O<sub>4</sub>-CN-180 is larger than that of NiCo<sub>2</sub>O<sub>4</sub>-CN-90, indicating that the former has better conductivity and is more conducive for the rapid transfer of electrons. The better conductivity of NiCo<sub>2</sub>O<sub>4</sub>-CN-180 should be mainly due to the carbonization of *g*-C<sub>3</sub>N<sub>4</sub> and also the appropriate Ni<sup>3+</sup> as aforementioned discussion.

**Reaction kinetics.** The ionic and charge transportation play an important role in the ORR/OER process. Electrochemical impedance spectroscopy (EIS) was taken to study the kinetics process, and the corresponding Nyquist plots and fitted circuit are shown in Fig.9b. In the fitted circuit, the R<sub>s</sub> is related with the uncompensated solution

resistance,  $R_f$  and  $CPE_f$  reflect the intrinsic resistance of the catalyst and constant phase element of the catalysts layer. While,  $R_{ct}$  and  $CPE_{dl}$  stands for the charge transfer resistance and the constant phase element of the double layer[54]. In high frequency regime, the NiCo<sub>2</sub>O<sub>4</sub>-CN-180 displays a smaller intercept in the real axis than that of NiCo<sub>2</sub>O<sub>4</sub>-CN-90, indicating its relatively lower contact resistance and ohmic resistance. Furthermore, NiCo<sub>2</sub>O<sub>4</sub>-CN-180 exhibits the smaller semicircle comparing to that of NiCo<sub>2</sub>O<sub>4</sub>-CN-90, and the fitted charge-transfer resistance ( $R_{ct}$ ) is 31.8  $\Omega$  and 34.4 $\Omega$  for NiCo<sub>2</sub>O<sub>4</sub>-CN-180 and NiCo<sub>2</sub>O<sub>4</sub>-CN-90, respectively. The smaller  $R_{ct}$  of NiCo<sub>2</sub>O<sub>4</sub>-CN-180 indicates its faster and better kinetics process.

#### 4. Conclusions

In summary, we have constructed a 3D composite NiCo<sub>2</sub>O<sub>4</sub>-CN catalyst composing of interconnected NiCo<sub>2</sub>O<sub>4</sub> nanosheets and N-doped carbon with different content of N via adjusting the solvothermal temperature. The NiCo<sub>2</sub>O<sub>4</sub>-CN-180 catalyst exhibits enhanced ORR activity with a half-wave potential of 0.81 V, a limiting current density of 5.4 mA cm<sup>-2</sup>, an electronic transfer number of 3.90, and a Tafel slope of 61.3 mV dec<sup>-1</sup>, and improved OER performance with smaller overpotential (383 mV) and Tafel slope (53.5 mV dec<sup>-1</sup>). When assembling into a portable Zn-air battery, the NiCo<sub>2</sub>O<sub>4</sub>-CN-180 exhibits comparable performance to the commercial Pt/C and IrO<sub>2</sub>. Such impressive bi-functional ORR/OER performance of the composite electrocatalyst is mainly attributed to the collective effects of porous 3D structure, larger ECSA, richer defect oxygen, relatively higher Ni<sup>3+</sup> and Co<sup>2+</sup>, more active pyridinic N+graphitic N species, better conductivity, and enhanced charge-transfer ability. Our findings demonstrate that the combination of NiCo<sub>2</sub>O<sub>4</sub> with N-doped carbon is an effective way to construct bi-functional ORR/OER electrocatalyst with improved activities. Therefore, future work could be performed on searching for more interesting N-doped carbon material as carrier/composition for NiCo<sub>2</sub>O<sub>4</sub> based ORR/OER catalyast. The carbon material could be from metal-organic frameworks, or prussian blue analogs, or other biomass based carbon. Furthermore, the integrated

NiCo<sub>2</sub>O<sub>4</sub> catalyst could also be assembled into portable solid-state Zn-air battery for the application of wearable electronic devices.

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