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Effects of marine Harmful Algal Blooms on bivalve cellular immunity and infectious diseases: a review

Malwenn Lassudrie¹, Hélène Hégaret², Gary H. Wikfors³, Patricia Mirella da Silva⁴

Abstract

Bivalves were long thought to be "symptomless carriers" of marine microalgal toxins to human seafood consumers. In the past three decades, science has come to recognize that harmful algae and their toxins can be harmful to grazers, including bivalves. Indeed, studies have shown conclusively that some microalgal toxins function as active grazing deterrents. When responding to marine Harmful Algal Bloom (HAB) events, bivalves can reject toxic cells to minimize toxin and bioactive extracellular compound (BEC) exposure, or ingest and digest cells, incorporating nutritional components and toxins.

Several studies have reported modulation of bivalve hemocyte variables in response to HAB exposure. Hemocytes are specialized cells involved in many functions in bivalves, particularly in immunological defense mechanisms. Hemocytes protect tissues by engulfing or encapsulating living pathogens and repair tissue damage caused by injury, poisoning, and infections through inflammatory processes. The effects of HAB exposure observed on bivalve cellular immune variables have raised the question of possible effects on susceptibility to infectious disease. As science has described a previously unrecognized diversity in microalgal bioactive substances, and also found a growing list of infectious diseases in bivalves, episodic reports of interactions between harmful algae and disease in bivalves have been published. Only recently, studies directed to understand the physiological and metabolic bases of these interactions have been undertaken. This review compiles evidence from studies of harmful algal

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effects upon bivalve shellfish that establishes a framework for recent efforts to understand how

harmful algae can alter infectious disease, and particularly the fundamental role of cellular

immunity, in modulating these interactions.

Experimental studies reviewed here indicate that HABs can modulate bivalve-pathogen

interactions in various ways, either by increasing bivalve susceptibility to disease or conversely

by lessening infection proliferation or transmission. Alteration of immune defense and global

physiological distress caused by HAB exposure have been the most frequent reasons identified

for these effects on disease. Only few studies, however, have addressed these effects so far and

a general pattern cannot be established. Other mechanisms are likely involved but are under-

studied thus far and will need more attention in the future. In particular, the inhibition of bivalve

filtration by HABs and direct interaction between HABs and infectious agents in the seawater

likely interfere with pathogen transmission. The study of these interactions in the field and at

the population level also are needed to establish the ecological and economical significance of

the effects of HABs upon bivalve diseases. A more thorough understanding of these interactions

will assist in development of more effective management of bivalve shellfisheries and

aquaculture in oceans subjected to increasing HAB and disease pressures.

Keywords: Bivalve; Harmful Algal Blooms (HABs); Pathogen; Hemocyte; Disease.

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List of abbreviations

ASP: amnesic shellfish poisoning

AZA: azaspiracids

AZP: azaspiracid poisoning

BEC: bioactive extracellular compounds

BRD: brown ring disease CFP: ciguatera fish poisoning

CTX: ciguatoxin DA: domoic acid

DHC: differential hemocyte count DMSP: dimethylsulfoniopropionate DSP: diarrheic shellfish poisoning

DTX: dinophysistoxin HAB: harmful algal bloom

LC: lytic compounds

NSP: neurotoxic shellfish poisoning

OA: okadaic acid

OIE: World Organisation for Animal Health (historically: Office International des Epizooties)

OsHV-1: ostreid herpesvirus-1

PAMP: pathogen-associated molecular pattern

PbTx: brevetoxin

PCR: polymerase chaine reaction

PLTX: palytoxin PnTX: pinnatoxins

POMS: Pacific oyster mortality syndrome

PRP: receptor proteins

PSP: paralytic shellfish poisoning PST: paralytic shellfish toxin

PTX: pectenotoxin

QPX disease: Quahog parasite unknown disease

QX disease: Queensland unknown disease

RLO: Rickettsia-like organisms RNS: reactive nitrogen species ROS: reactive oxygen species

SPX: spirolides STX: saxitoxin

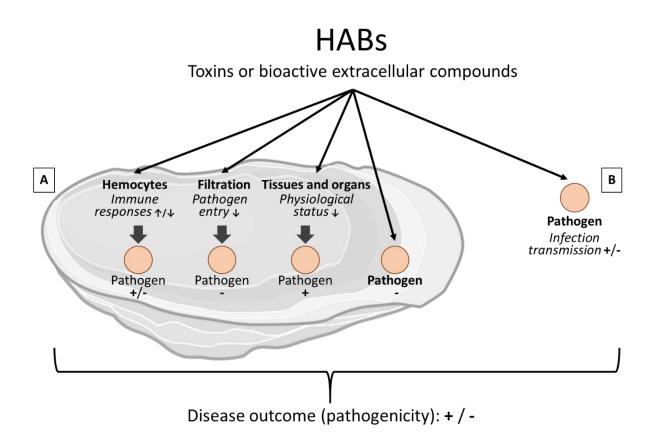
THC: total hemocyte count

YTX: yessotoxin

Highlights

- Review of the main studies on effects of marine harmful algae on bivalve immune cells (hemocytes) and on infectious disease
- Harmful algae can modulate host-pathogen interactions in bivalves on various way (increase or decrease the infection)
- Some experimental studies report the involvement of cellular immunity and global physiological weakness in the modulations
- Other mechanisms possibly involved: HAB effects on bivalve filtration; direct interaction of HABs with pathogens
- Future research recommendation: field studies, at population level, to establish the ecological and economical significance

Graphical Abstract



1 Introduction

Bivalve fisheries and aquaculture contribute to the economy of coastal countries worldwide. Mortality from infectious disease is the main threat to this industry, and mortality can be a consequence of interacting biotic and abiotic factors. Some evidence suggests the involvement of marine Harmful Algal Blooms (HABs) in bivalve disease outbreaks, particularly through effects upon bivalve immunity and defense against disease. This contribution reviews the published literature reporting effects of marine HABs upon cellular immunity and how they relate to bivalve infectious diseases, most of which have been studied under experimental conditions. Other mechanisms possibly involved in the effects of HABs on bivalve disease also are explored.

1.1 Bivalve diseases

Bivalve populations are affected by epizootics that decimate or weaken exploited stocks and therefore limit harvests (Barbosa Solomieu et al., 2015). Commercial trade between different regions of the world contributes to exotic species introduction and, as a consequence, to the spread of infectious diseases (Andrews, 1980; Renault, 1996). Bivalve diseases are caused by a variety of infectious agents (Zannella et al., 2017), mainly viruses (Arzul et al., 2017), bacteria (Travers et al., 2015), or protozoa (Robledo et al., 2014). A brief description of the most pathogenic agents for bivalves, mainly associated with OIE notifiable diseases, as well as occurrences and effects, follows.

In France, between 1967 and 1973, "gill disease" associated with an iridovirus, completely decimated populations of Portuguese oysters *Crassostrea angulata* (Comps, 1988), introduced from Portugal and Spain in 1868 (Marteil, 1976). This loss motivated the introduction in 1971 of the Pacific oyster *C. gigas* from Canada and Japan (Grizel and Héral, 1991); Pacific oyster resistance to this virus helped revive the French oyster industry. Nevertheless, in the early 1990s, Pacific oysters *C. gigas* were affected by "summer mortality" events associated with a complex interaction between temperature, genetics, and physiological status (reproductive period) of the oyster, as well as the presence of opportunistic infectious agents, such as bacteria *Vibrio aesturianus* and *V. splendidus*, and the ostreid herpesvirus-1 (OsHV-1) (Samain, 2011).

In 2008, this latter virus emerged as a more powerful variant (OsHV-1 µVar, Segarra et al., 2010), causing Pacific Oyster Mortality Syndrome (POMS), which strongly affected young C. gigas in France. POMS spread afterwards into many European countries as well as other countries in Oceania (New Zealand and Australia) (Barbosa Solomieu et al., 2015; Gittenberger et al., 2016; Mortensen et al., 2016). The OsHV-1 recently has been detected by PCR in C. gigas cultured in southern Brazil, however without any associated mortality (Mello et al., 2018), suggesting that environmental conditions can play an important role in the development of outbreaks. In Europe and Australia, Pacific oyster mortalities associated with OsHV-1 occur when temperature exceeds 16-18°C (de Kantzow et al., 2016; Panel and Health, 2015; Paul-Pont et al., 2014). Disbalance of environmental conditions combined with temperature stress also may contribute to the development of POMS. For example, in Australia, a POMS outbreak was correlated with heavy rainfall, presence of a pathogenic Vibrio sp., and a toxic phytoplankton bloom (Jenkins et al., 2013). In recent years, concerted efforts were made to understand the development of POMS, leading to recognition that OsHV1-µVar could weaken oyster defenses, thereby allowing opportunistic bacteria of the genus Vibrio to infect the host, leading to mortality (de Lorgeril et al., 2018; Petton et al., 2015).

Vibriosis is a major concern for both oyster hatcheries and field production, causing larval and spat damage, depending upon species. Vibriosis can result in impairment of velum structure and function (necrosis and detachment of velar cells) and deterioration of soft tisues, which result in mortalities (Dubert et al., 2017; Travers et al., 2015). The most pathogenic vibrios belong to the *Splendidus* and *Harveyi* clades or to the species *V. aestuarianus*, *V. tubiashii*, *V. coralliilyticus*, and *V. tapetis* (Travers et al., 2015). Although most *Vibrio* spp. can affect many bivalve species, *V. tapetis* appears to cause pathology only in Manila clams *Ruditapes philippinarum* and fishes (Levican et al., 2017; Reid et al., 2003). The resulting disease in clams is called Brown Ring Disease (BRD), because of the brown organic matrix deposit in the inner bivalve shell in responses to vibrio colonization. In advanced infections, *V. tapetis* can invade the circulatory system and lead to death (Paillard, 2004). The disease occurs in Europe, South Korea, and Japan (Travers et al., 2015).

Two species of protozoan parasite, *Marteilia refringens* and *Bonamia ostrea*, have strongly affected flat oyster *Ostrea edulis* production in France. *M. refringens* appeared in 1968 (Grizel et al., 1974) and *B. ostreae* in 1979 (Pichot et al., 1980), causing digestive gland and microcell diseases, respectively. Together these parasites caused a sharp decline in flat oyster production in France first, followed by in other countries in Europe (see special edition of the Diseases of

Aquatic Organisms journal, vol.110, 2014). Recently, B. ostrea was reported for the first time in New Zealand (Lane et al., 2016). Another member of this genus, Bonamia exitiosa, also caused mortalities in Ostrea chilensis in New Zealand (Hine et al., 2001) and in O. puelchana from wild and cultured populations in Argentina (Oehrens Kissner et al., 2014). The protozoan parasite B. exitiosa also has been reported in European flat oysters and can contribute to disease (Ramilo et al., 2014). In Australia, Winter Mortality disease causes mortalities in 2 and 3 yearold Sydney rock oysters Saccostrea glomerata in winter and early spring (Dove et al., 2013). The disease initially was suspected to be caused exclusively by the protistan parasite Bonamia roughleyi (Hill et al., 2014); however, the disease may actually result from a confluence of factors, including environmental conditions (Spiers et al., 2014). Another disease, called Queensland unknown (QX) disease caused by the haplosporidia parasite Marteillia sydneyi, affects the S. glomerata industry in Australia (Carrasco et al., 2015; Perkins and Wolf, 1976). The parasite M. sydneyi enters the host by gill and palp epithelia, with the progression of infection reaching the digestive tubules where parasite sporulation proceeds. This process causes the breakdown of the digestive gland, compromising feeding processes and leading to death (Kleeman et al., 2002).

Protozoan parasites from the *Perkinsus* genus are among the most pathogenic for oyster species worldwide (Villalba et al., 2011). The first outbreak occurred in 1946 in Louisiana (USA) with reported mortalities (up to 100%) of American oysters C. virginica that resulted in significant economic losses (Goulletquer et al., 1994). The disease is commonly referred to as "Dermo" in reference to the belief that it was a fungus, Dermocystidium (Mackin and Owen, 1950). Prevalence and body burden of Perkinsus marinus in C. virginica populations in the northeastern United States present a seasonal pattern strongly related to temperature, wherein maximum prevalence and intensity are reached in late summer - early automn (Burreson and Ragone-Calvo, 1996). Occurrences of P. marinus in tropical regions, such as Mexico (Cáceres-Martínez et al., 2016) and Brazil (da Silva et al., 2014) were reported without mortalities of the native hosts, oysters C. corteziensis and C. gasar, respectively, but seasonal patterns of the disease seem to be similar to those in the USA. Intensities are predominantly light to moderate, without strongly deleterious, histopathological effects (Cáceres-Martínez et al., 2016; Queiroga et al., 2015; Scardua et al., 2017). Immunological functions, however, were impaired in intense infections (Queiroga et al., 2013), and genetic disturbances may occur because of widespread DNA methylation in C. gasar infected with Perkinsus spp. (Farias et al., 2017). Another representative species of this genus is Perkinsus olseni that affects clam Ruditapes descussatus and *R. philippinarum* populations in Europe (Portugal, France, Spain and Italy) and Asia (South Korea and Japan). The intensity of the effect of this parasite is variable, being milder in France, despite the high prevalence (up to 100%) (Dang et al., 2010) and in Spain. Strong effect is observed in Portugal (Ruano et al., 2015), South Korea (Choi and Park, 2010) where mortalities were associated with stress of high seawater temperature (Nam et al., 2018), and Japan where high prevalence (100%) and intensity were associated with declining *R. philippinarum* populations (Waki et al., 2018).

1.2 Harmful Algal Blooms and co-occurrence with bivalve infectious diseases

HABs are a natural phenomenon characterized by localized and transient proliferation of some microalgal species favored by certain environmental conditions (Sellner et al., 2003). The frequency of HABs appears to have increased worldwide in recent decades and may be partly related to global change and human activities (Anderson et al., 2008; Glibert, 2017; Gobler et al., 2017; Hallegraeff, 1993; Wells et al., 2015). Toxic blooms in temperate areas tend to be punctual and seasonal phenomena, most often in spring and summer, and can last a few weeks to a few months. In tropical or sub-tropical areas, blooms can last much longer where no strong seasonal environmental changes occur (e.g. blooms of *Karenia brevis* persisted for over a year in Florida in 2018 (HABSOS, 2019)).

The harmful effect of HABs can either be direct (toxins or damaging algal morphology) or indirect (high concentration of microalgal cells leading to hypoxia). In this paper, we will discuss only HAB species producing described toxins (intra- or extracellular) and other uncharacterized bioactive extracellular compounds (BEC) with cytotoxic properties, which can affect bivalves.

The best-known microalgal biotoxins are those associated with symptoms in human consumers of contaminated seafood (see review from Berdalet et al., 2015; Gerssen et al., 2010; Lassus et al., 2016) as follows:

1) paralytic shellfish poisoning (PSP) is caused by saxitoxins (STXs, also referred to as Paralytic Shellfish Toxins, PSTs), produced by species of *Alexandrium*, *Gymnodinium* and *Pyrodinium*;

- 2) neurotoxic shellfish poisoning (NSP) caused by brevetoxins (PbTXs), mostly produced by *Karenia brevis*;
- 3) diarrheic shellfish poisoning (DSP) associated with okadaic acid (OA) and dinophysistoxins (DTXs) produced by species of the genera *Dinophysis* and *Prorocentrum*;
- 4) amnesic shellfish poisoning (ASP) caused by domoic acid (DA) and analogs produced by species of *Pseudo-nitzschia* and *Nitszchia*;
- 5) ciguatera fish poisoning (CFP) caused by ciguatoxins (CTXs), produced by *Gambierdiscus* species.
- 6) Other microalgal toxins implicated in human toxic symptoms include azaspiracids (AZA), mostly produced by *Azadinium* and *Amphidoma* spp. and responsible for azaspiracid poisoning (AZP); palytoxins (PLTXs) and analogues, produced by *Ostreopsis* spp., are associated with food-borne poisoning as well as irritative symptoms through contact or aerosol inhalation. Other phycotoxins possibly toxic to humans, as suggested by potency in mouse bioassay or *in vitro* toxicological tests, include: spirolides (SPXs) produced by *Alexandrium ostenfeldii* and *A. peruvianum*; yessotoxins (YTXs) produced by species of *Protoceratium*, *Gonyaulax* and *Lingulodinium*; pectenotoxins (PTXs) produced by species of *Dinophysis*; and pinnatoxins (PnTXs) produced by *Vulcanodinium rugosum*.

Additionally, some HABs, mostly species not toxic to humans but known for being deleterious to marine fauna, are referred to as ichthyotoxic or fish-killing algae because they are mainly involved in mortality of farmed fishes (although most also affect shellfish and other marine organisms). The harmful mechanism of some of these algae is associated with hypoxia from high bloom density, mechanical irritation irritation or clogging of gills, or production of compounds with cytotoxic and lytic activity. Some ichthyotoxins have been described already (e.g. prymnesins, karlotoxins, karmitoxin), and other compounds such as reactive oxygen species (ROS), polyunsaturated fatty acids, and muccopolysaccharides, appear to be involved in ichthyotoxic activity (Binzer et al., 2019; Dorantes-Aranda et al., 2015; Hallegraeff et al., 2017; Rasmussen et al., 2017, 2016). Most of the algal compounds responsible for ichthyotoxic activity, however, remain unknown or not well characterized (Rasmussen et al., 2016). Algae most involved in ichthyotoxic phenomena belong to the genera *Margalefidinium* (aka. *Cochlodinium*), *Chatonella*, *Pseudochatonella*, *Prymnesium*, *Chrysochromulina*, *Karenia*,

Karlodinium, *Pfiesteria*, *Heterocapsa*, *Heterosigma*, and *Akashiwo* (Hallegraeff et al., 2017; Lassus et al., 2016).

As sessile, filter-feeding organisms, bivalves interact directly with harmful microalgal blooms that occur along coasts worldwide. Bivalves can be in contact with both microalgal extracellular compounds, including BEC, as well as with intracellular toxins that can be released during digestion. Microalgal intracellular toxins often accumulate in bivalve tissues, mostly in the digestive gland (e.g. Lassudrie et al., 2014; Medhioub et al., 2012; see review of Landsberg, 2002). BEC have allelopathic, lytic, and oxidative activity reported upon microalgae, protists, or bivalve gametes (Arzul et al., 1999; Castrec et al., 2019; Flores et al., 2012; Le Goïc et al., 2014, 2013; Lelong et al., 2011; Long et al., 2018b; Tillmann and John, 2002). Toxins (intra-and extracellular) as well as BEC produced by HABs can be harmful to bivalves (e.g. Borcier et al., 2017; Castrec et al., 2018). HABs can affect physiological functions and ultimately survival of bivalves, at different life stages, and can result in major socio-economic and ecological problems (Landsberg, 2002; Shumway, 1990).

Considering spatial and temporal occurrence of HABs and infectious diseases, the probability for both potential stressors to affect bivalves simultaneously is high, as highlighted by Table 1, which lists (non-exhaustively) HABs reported to occur in regions and seasons where and when disease also occur. Only few studies, however, have reported co-occurrence of infectious disease outbreaks with HABs (Abi-Khalil et al., 2016; Jenkins et al., 2013). The low number of published observations probably is attributable more to a lack of reports than a lack of co-occurrence. The observations reported by these two field-studies suggested that *Alexandrium* spp. (Abi-Khalil et al., 2016; Jenkins et al., 2013) and/or *Pseudo-nitzschia* spp. (Jenkins et al., 2013) blooms in France and in Australia could increase oyster susceptibility to POMS. As causal relationship is difficult to infer from field observations, some experimental studies have focused on the interactive effects of both HABs and pathogen infection (summarized in Table 2).

The initial physiological status of the bivalve is one of the main conditions that modulates the outcome of a host-pathogen interaction (Samain and McCombie, 2007). Thus, the physiopathological responses of bivalves exposed to both HABs and pathogens are the results of the interaction between: 1) the initial physiological status of the bivalve, 2) the effect of HABs, and 3) the effect of the pathogen upon the bivalve.

Since HABs interfere with bivalve immune cell variables and likely co-occur with disease infection, we review here the effects of HABs on hemocytes, including in bivalves co-exposed to pathogens, and their relationship with disease modulation. Other mechanisms by which infectious diseases can be modulated by HABs, through alteration of bivalve physiology, and through direct interactions between HABs and pathogens, are also explored.

2. Effects of HABs on bivalve cellular immunity and involvement in disease modulation

Compromised immunological status is a fundamental condition that contributes to disease acquisition and progression. Bivalve immune cells, called hemocytes, are responsive to disease infection, but can also be affected by environmental factors (e.g. temperature, salinity), including HABs. Therefore, the resulting effect on immunity and disease susceptibility has often been questioned.

In this section, after a brief description of the basics of bivalve immunity, we review the reported effects of HABs upon bivalve cellular effectors of immunity, the hemocytes, through the study of dual HAB-bivalve interactions (Table 3) and tripartite HAB-bivalve-pathogen interactions (Table 4).

2.1 Bivalve immunity and hemocytes

The immune system of bivalves is exclusively innate, lacking an adaptive memory as in vertebrates, although the existence of a form of immune memory in invertebrates is now being discussed, including in bivalves (see for example Lafont et al., 2019, 2017, for *C. gigas*). The immune cells, hemocytes, are implicated in multiple physiological functions in bivalves, including nutrition, shell mineralization and tissue repair and, the most important, immunity. These circulating cells perform defense functions against infectious agents, mainly by phagocytosis or encapsulation of infective agents (Cheng, 1996). The immune systems of bivalves also include soluble molecules in the hemolymph and mucus secretions that act in the

recognition and destruction or inactivation of invading organisms (Allam and Raftos, 2015; Song et al., 2010). It is now accepted that the immune system of bivalves is sophisticated and complex, presenting a high diversity of recognition molecules (Guo et al., 2015). Receptor proteins (PRPs) are inducible and secreted or are membrane proteins that recognize evolutionarily conserved pathogen-associated molecular patterns (PAMPs) (Allam and Raftos, 2015; Guo et al., 2015; Song et al., 2010). The ability to destroy microorganisms is associated with several immune molecules, including: hydrolytic enzymes, reactive oxygen and nitrogen species (ROS and RNS), and especially peptides and antimicrobial proteins which are widely studied in C. gigas (Destoumieux-Garzón et al., 2016; Schmitt et al., 2016, 2012b, 2012a). Hemocytes ontogeny, however, remains a mystery (Dyachuk, 2016; Li et al., 2017). Hemocytes circulate through the open circulatory system in the hemolymph and reach bivalve organs. Hemocytes are able to infiltrate tissues in response to infectious agents, such as bacteria and protozoa in a response termed inflammation (Carella et al., 2015; De Vico and Carella, 2012), and even in some cases transiting epithelial barriers and inadvertently bringing pathogens, such as P. marinus, inside the body (Lau et al., 2018) or expulsion of M. sydneyi (Kleeman et al., 2002). Total (THC) and differential hemocyte counts (DHC, granulocytes and hyalinocytes, aka. agranulocytes, discriminated by cell size and internal complexity), and functional measurements, phagocytosis activity particularly, are good indicators of bivalve immune status (Fournier et al., 2000; Vargas-Albores and Barracco, 2001).

2.2 Effects of HABs on bivalve hemocyte variables involved in immunity

In this review we focused on the most studied bivalve hemocyte characteristics modulated by HABs or associated toxins (section 2.2), as well as by combined exposure to HAB and pathogens (section 2.3). As we focused on immunological aspects of bivalves, articles reporting genotoxic effects on hemocytes were not included in this review. The most relevant results presented in 38 articles are summarized in Table 3 and 4.

THC, DHC, size, complexity, phagocytosis, and ROS production of circulating cells in the hemolymph are the most studied and common variables among the papers. Other hemocyte or plasma variables also were included, such as adhesion/aggregation, agglutination titer, phenoloxidase activity, viability, lysosomal membrane stability (cytotoxicity variables), and apoptosis. Apoptosis was added because programmed cell death may be particularly implicated in the immune defense against oyster intracellular pathogens (Sokolova, 2009), which has been

most-thoroughly investigated in the *P. marinus – C. virginica* model (Hughes et al., 2010; Lau et al., 2018c). The studies summarized in Table 3 include the most important commercial bivalve species worldwide, i.e., oysters primarily, followed by mussels, clams, and pectinids. A good representation of HAB species is included in published studies, such as producers of PSP toxins (*Alexandrium* spp.), DSP toxins (*Prorocentrum* and *Dynophysis* spp.), gymnodimin (*Karenia selliformis*), palytoxin (*Ostreopsis ovata*), karlotoxins (*Karlodinium veneficum*), and other toxic compounds still not well characterized from *Karenia mikimotoi*, *Heterosigma akashiwo*, and *Heterocapsa circularisquama*. The effects of purified phycotoxins were evaluated by *in vitro* incubation with hemocytes (STX, OA and PbTx) or by injection into the living bivalve (DA). No studies on hemocyte responses were carried out with algal producers of DA, YTX, CTX, or AZA toxins thus far (Table 3).

Bivalve hemocyte responses to a harmful alga depend upon the physiological state of the bivalve, but also on algal morphology and density, intraspecific variability of algal toxicity, and experimental conditions, which altogether may affect exposure to algal compounds, including through pre-and post-ingestive selection. Therefore, it is sometimes difficult to identify similar hemocyte response patterns, even considering the same alga and bivalve species. Two good examples of diversity of bivalve responses were obtained with the most-studied harmful algae A. minutum and P. minimum. In one experiment exposing C. gigas to A. minutum, only an increase in hemocyte phagocytosis was observed (Haberkorn et al., 2014). In contrast, in another study, THC and granulocytes increased and ROS production and phenoloxidase activity were not consistent (Haberkorn et al., 2010a). Regarding P. minimum, in one study, a simulated bloom increased C. virginica hemocyte phagocytosis, THC, granulocyte counts and viability, and aggregation decreased, while ROS varied (Hégaret and Wikfors, 2005a); whereas, the other experiment did not promote any hemocyte modulation (Hégaret and Wikfors, 2005b). Difference in hemocyte responses in these studies were attributed to differences between oyster populations and the means of algal exposure, i.e., cultured cells or a natural bloom. The latter study was later corroborated by an in vitro assay (Hégaret et al., 2011).

The species *A.catenella* (= *A. fundyense* as refered to in these studies; see last nomenclature in Fraga et al. 2015; Litaker et al. 2018) caused only mild effects upon hemocytes of adult *C. virginica* and *C. gigas* (Hégaret et al., 2007b) and *M. edulis* (Galimany et al., 2008b). In contrast, *A. catenella* and *A. tamarense* caused cytotoxic effects in addition to impairment of immune responses in blue mussels *M. edulis* (Bianchi et al., 2019). Cytotoxic effects, however, were not directly related to PSTs. Indeed, both PST and non-PST strains that produced BEC

(also refered to as lytic compounds, LC), caused similar responses (Bianchi et al., 2019). Similarly, a highly-toxic, PST-producing strain of A. catenella (named A. tamarense in the study; see new nomenclature in John et al., 2014; Fraga et al., 2015; Litaker et al., 2018) had no effects upon R. philippinarum or M. arenaria hemocytes; whereas, a non-PST strain of A. tamarense did have effects, probably caused by bioactive compounds (Ford et al., 2008). Castrec et al. (2018) compared the effects of three A. minutum strains with contrasting PST and BEC characteristics. This study detected clear effects of PST on hemocyte mortality, hypothesized to involve apoptosis. PST effects upon hemocyte apoptosis were reported previously from in vivo (PST injection) and in vitro (PST exposure of hemocytes) assays with lions-paw scallops Nodipecten subnodosus (Estrada et al., 2014), in C. gigas, in vivo (A. pacificum exposure) (Medhioub et al., 2013), and in vitro (PST exposure of hemocytes) (Abi-Khalil et al., 2017). In other work with A. minutum and C. gigas, using in vitro exposures, similar responses, phagocytosis and ROS inhibition, were observed when hemocytes were exposed to PST-producing strains of *Alexandrium* spp. or directly to PSTs (Hégaret et al., 2011; Mello et al., 2013). The effects of PSTs themselves upon hemocytes seem to be relatively consistent, reducing phagocytosis (Astuya et al., 2015; Cao et al., 2018; Mello et al., 2013) and ROS (Astuya et al., 2015; Mello et al., 2013), regardless of bivalve species and administration mode (direct exposure or injection).

Separating effects of intracellular toxins and BEC has been recognized only recently because of a lack of awareness of the existence of BEC, and for practical reasons (availability of algal strains with different toxin and BEC characteristics). Correlations between hemocyte variables and toxin accumulation in tissues indicated that specific hemocyte responses to PST occur in *C. gigas* exposed to *Alexandrium* spp. (Haberkorn et al., 2010a; Lassudrie et al., 2016). This was later confirmed using different *A. minutum* strains with contrasting PST and BEC characteristics, showing some distinct hemocyte responses to PST *vs.* BEC (Bianchi et al., 2019; Castrec et al., 2018). Results of Lassudrie et al.(2016) with the oyster *C. gigas* exposed to *A. pacificum* (named *A. catenella*, in this study; see last nomenclature in John et al. 2014; Fraga et al. 2015; Litaker et al. 2018) indicated a relationship between PST levels and hyalinocyte variables, suggesting a particular role for this hemocyte type in response to PST; whereas, granulocyte modulations may respond to putative BEC. Other studies with *A. minutum*, however, did not support the hypothesis of a specific role of hyalinocytes in PST response (Castrec et al., 2018; Haberkorn et al., 2010a). Further studies are needed to decipher the functional responses of the different hemocyte types to PST *vs.* other bioactive compounds.

The toxic dinoflagellate P. minimum was also reported to have different effects upon C. virginica (Hégaret and Wikfors, 2005a, 2005b). Results of some experiments indicated increased phagocytosis and decreased aggregation and mortality (Hégaret and Wikfors, 2005a), other experiments did not detect any alteration in hemocyte variables (Hégaret and Wikfors, 2005b). A decrease of phagocytosis and of apoptosic hemocytes could be observed in clams R. philippinarum exposed to P. minumum (Hégaret et al., 2009). However, no effect on hemocyte was observed also in M. edulis exposed to P. minimum, (Galimany et al., 2008c). In contrast, a simulated bloom of P. minimum strongly affected all hemocyte variables of scallops A. irradians irradians (Hégaret and Wikfors, 2005b). This could indicate a higher sensivity of scallops to some HABs like *P. minimum* than other bivalves, such as *C. virginica*. More studies are needed to support this hypothesis. The dinoflagellate P. lima and associated OA toxin were tested against mussels and clams and were shown to induce hemocyte apoptosis (Prado-Alvarez et al., 2013; Prego-Faraldo et al., 2016, 2015), except in one case of a natural bloom of Prorocentrum sp. and Dinophysis sp., and OA in vitro exposure (Prado-Alvarez et al., 2012). Effects of a simulated bloom of P. lima upon hemocyte functions were tested recently in the mussel Perna perna, and impaired phagocytosis, induced ROS production, and decreased THC were observed (Neves et al., 2019).

Although simulating a HAB under laboratory conditions may be a good approximation of reality, it is unquestionable that the best way to evaluate HAB effects upon bivalve cellular immune functions will be during a natural bloom; however, only three studies were conducted under those circumstances (Mello et al., 2010; Prado-Alvarez et al., 2012; Simões et al., 2015). During a natural bloom of *Dinophysis acuminata* in Santa Catarina state, South Brazil, some immune responses of three bivalve species belonging to different families were studied (Table 3). A reference group, animals collected at same site 30 days after the HAB exposure, were used for comparisons. Despite the differences in the concentration of algae during the blooms, it was clear that the bivalve species responded differently, C. gigas was the most resilient species; whereas, the mussel P. perna was the most affected, suggesting that this mussel may be a good bioindicator species. The sentinel candidature of mussels for environmental change and now for HABs was corroborated in another study with the mussel M. galloprovinciallis exposed to the bentic dinoflagellate Ostreopsis cf. ovata with strong impairment (reduction) in granulocyte counts, phagocytosis activity, and lysosomal membrane stability as cytotoxic effects (Gorbi et al., 2013). In contrast, a study exposing oyster C. gigas hemocytes to brevetoxin did not reveal any cytotoxic effect (viability and apoptosis were evaluated) (Mello et al., 2012). Even though no *Pseudo-nitzschia* or *Nitszchia* spp. blooms have been evaluated for effects upon immune cellular responses of bivalves, *M. edulis* was exposed to DA by intramuscular injection (Dizer et al., 2001). The acute effects of DA were seen in THC and phagocytosis, which increased initially, but after 7 days post-injection, variables normalized and hemocyte viability increased in a dose dependent manner during recovery.

2.3 Involvement of HAB effects on bivalve hemocytes in disease modulation?

The several effects of HABs upon hemocyte variables documented above led many authors to wonder about HAB effects upon immune functions and eventually upon disease susceptibility. These effects upon hemocytes have been hypothesized to modify the efficiency of bivalve immune functions, either by causing immunosuppression, particularly when functions such as phagocytosis are affected, or immunostimulation when considering increased THC or viability, for example. Few papers, however, report the combined effects of HABs and infectious agents upon immune functions of bivalves (Table 4). Most studied is the host-parasite model *R. philippinarum-P. olseni* under the secondary effect of HABs *K. selliformis* and *K. mikimotoi* (da Silva et al., 2008; Hégaret et al., 2007a), *P. minimum* (Hégaret et al., 2009) and *A. ostenfeldii* (Lassudrie et al., 2014). Others evaluated the effects of HAB *A. catenella* (=*A. fundyense*), on *P. marinus* and *Bucephalus* sp. in oysters *C. virginica* (Lassudrie et al., 2015b), on trematodes Gymnophalidae in mussels *M. edulis* (Galimany et al., 2008b), on BRD caused by *V. tapetis* in clams *R. philippinarum* (Bricelj et al., 2011). The effect of *P. minimum* on Quahog Parasite Unknown (QPX) disease in clams *M. mercenaria* also was studied (Hégaret et al., 2010).

Many of these studies reported a repression of hemocyte responses during the interaction of bivalve – pathogen – HAB, which cannot be explained simply. Indeed, the combination of the two biotic factors causes hemocyte responses that might not correspond to the cumulative effect of each isolated factor, leading to antagonistic or synergistic responses. Additionally, the existence of combined effects of HAB and pathogen can modulate the bivalve response. For example *K. selliformis*, in a short exposure experiment, inhibited *R. philippinarum* hemocyte phagocytosis and increased hemocyte count in clams heavily infected with *P. olseni*; whereas, the parasite itself did not affect the host (Hégaret et al., 2007a). Another toxic dinoflagellate, *A. ostenfeldii* had a very mild effect itself upon *R. philippinarum* hemocyte variables, but the combination with *P. olseni* infection induced ROS production in lightly-infected clams comparable with the levels found in the heavily-infected animals unexposed to HAB (Lassudrie

et al., 2014). Likely, the interference between hemocyte responses to HAB and to pathogens are related to different functions.

It was hypothesized initially that effects of HABs upon hemocyte functionality would induce an immunocompromised state associated with increased susceptibility to disease. Such immunodepression, indicated by immunological changes and inflammatory response, was hypothesized by Galimany et al. (2008c) after observation of increased prevalence of trematodes in mussels exposed to *A. catenella* (= *A. fundyense*). Increase in parasite *P. marinus* prevalence was detected in the Eastern oysters exposed to *A. catenella* and concomitantly infected by trematodes *Bucephalus* sp. (Lassudrie et al., 2015b). This increase in parasite prevalence was associated with repression of hemocyte responses to the trematode infestation (Lassudrie et al., 2015b). The authors concluded that this higher susceptibility to *P. marinus* was likely the result of immunosuppression caused by HAB exposure in oysters already weakened by trematode infestation, as indicated by histological evidence.

Other studies reviewed in Table 4 could not link the hemocyte alteration caused by HABs with disease modulation.

3. Other bivalve physiological variables affected by HABs and possibly involved in disease modulation

HAB exposure can affect several physiological variables in bivalves. Here, we discuss the variables most likely involved in disease transmission or proliferation that have been reported to be modulated by HABs: feeding activity, and tissue alterations, often associated with inflammatory response.

3.1 Effects of HABs on feeding processes: possible involvement in pathogen transmission?

Filtration and ingestion rates could play an important role in the modulation of the tripartite interactions. Indeed, infection process is deeply related to the entry of pathogenic agents into

the bivalve body. Thus, it can be speculated that the lower the filtration rate, the lower the level of parasite inside the host.

Bivalves have the ability to adapt filtration and ingestion rates (feeding activity) to plankton composition. Indeed, decreases in clearance and filtration rates of several bivalve species in the presence of toxic microalgae have been widely reported in the literature (e.g. Contreras et al., 2011; Hégaret et al., 2007c; Jauffrais et al., 2012; Lassus et al., 2007, 1999; Shumway, 1990).

In oysters, reduction of *A. minutum* consumption seems to be mostly associated with algal BEC production, rather than to PST (Castrec et al., 2018). BECs irritate gills and, therefore, likely interfere with filtration or sorting functions and induce a protective behavioral response consisting of increased frequency of valve micro-closures (Castrec et al., 2018; Haberkorn et al., 2011; Tran et al., 2010). Conversely, contact with intracellular PSTs occurs mainly in the digestive organs after algal cell lysis. Pousse et al. (2018), however, demonstrated that a PST, non-BEC-producing strain of *A. minutum* also caused a decrease in feeding activity, thus suggesting the involvement of PST in filtration response. Furthermore, a recent study exposing mussels to *Alexandrium* spp. strains with different PST and BEC characteristics, also suggested that PSTs cause lower feeding activity (Bianchi et al., 2019). Such differences may, indeed, be caused by variability in both BEC and PST quality and quantity exposure in the two studies, or to different sensitivity of mussels and oysters.

Reduction in herpesvirus infection, associated with POMS, in juvenile *C. gigas* oysters was hypothesized to be associated with filtration decrease (Lassudrie et al., 2015a). No study to date, however, has investigated the specific links between effects of HABs upon feeding processes and infectious agent transmission and proliferation.

3.2 Tissue degeneration and inflammatory response caused by HABs: overall physiological weakness favorizing disease susceptibility?

Despite the ability of bivalves to avoid or reduce ingestion of some HAB species, they show histopathological effects resulting from the HAB exposures.

The most common tissues affected are epithelia from mantle, gills, digestive gland or foot, and the most common alterations found are: vacuolation, oedema, melanization of gills and mantle (putatively associated with oxidative stress), signs of irritation (mucus production), and digestive tubules sloughing and atrophy (Haberkorn et al., 2010b; Hégaret et al., 2012, 2010, 2009; Lassudrie et al., 2014; Medhioub et al., 2012; Neves et al., 2019). Depending upon the organ, effects upon epithelia can be attributed to: (a) the extracellular compounds released by HABs, and (b) the intracellular toxins released after cell lysis at the end of the bloom or after direct contact with gills, or as a consequence of digestion. Indeed, the digestive gland is the organ accumulating most of the algal intracellular toxins (e.g. Lassudrie et al., 2014; Medhioub et al., 2012; see review of Landsberg, 2002).

Additionally, exposure to PST-producing HABs, or to purified toxins, can affect muscle integrity (Estrada et al., 2010; Haberkorn et al., 2010b; Hégaret et al., 2012, 2009), sometimes associated with altered escape response (Hégaret et al., 2012) or paralysis (Estrada et al., 2010).

Inflammatory response, consisting of hemocyte infiltration, often is observed in response to HAB or associated toxin exposure and likely is involved in repairing damaged tissues (e.g. Estrada et al., 2010; Hégaret et al., 2010; Hermabessiere et al., 2016; Lassudrie et al., 2015b, 2014; Medhioub et al., 2012). Hemocyte diapedesis through the digestive epithelia, and significative presence of hemocytes in the lumen of the intestine or around the gills, surrounding algal cells, and in the faeces, also suggest a mechanism comparable to encapsulation to protect the internal tissues from algal toxic compounds (Galimany et al., 2008c; Hégaret et al., 2009). These observations also lead some authors to assume an additional role for hemocytes in detoxification, i.e., transporting toxins or their products outside the tissues (Galimany et al., 2008c, 2008b; Hégaret et al., 2009). Detection of a type of lipohphilic algal toxin, yessotoxins, in the hemocytes of mussels (Franchini et al., 2003), supports this hypothesis.

Degeneration of tissues and inflammatory response caused by HAB exposure are likely to increase susceptibility to pathogens by physiologically weakening bivalves and possibly interfering with hemocyte immune functions by recruiting hemocytes for tissue repair. This mechanism was suggested previously by Hégaret et al. (2012) and Lassudrie et al. (2015a) who observed concomitant tissue alterations and disease modulation under HAB exposure (Table 2).

4. Modulation of bivalve disease through direct effect of HABs on infectious agents

Direct interactions between HABs and infectious agents in the environment may affect bivalves indirectly by interfering with infectious agent transmission or proliferation. Two possible types of interactions are considered here: (a) effects of algal toxins and extracellular compounds on bivalve pathogens, which could either be beneficial or deleterious to pathogens, and (b) the possibility of microalgae, including HABs, to act as pathogen carriers.

4.1 Effects of algal toxins and extracellular compounds to bivalve pathogens

Bivalve pathogens may be in contact with toxic algal cells and associated toxic compounds directly before infecting the host (dissolved toxins or BEC) or during infection, within bivalve tissues (BEC or accumulated toxins).

Efforts to characterize the deleterious effects of extracellular compounds from HAB species upon various microorganisms have highlighted cytotoxic activity towards auto- and heterotrophic protists (Adolf et al., 2007; Arzul et al., 1999; Flores et al., 2012; Lelong et al., 2011; Long et al., 2018b, 2018a; Tillmann, 2003; Tillmann et al., 2008; Tillmann and John, 2002). As many species of HABs are mixotrophic, but also serve as prey, such extracellular bioactivity is believed to be related to predation and/or defense (anti-grazing) behavior (e.g. Adolf et al., 2007; Tillmann, 2003; Tillmann et al., 2008). Similarly, many other microalgae, including HAB species, can also feed on bacteria (Burkholder et al., 2008; Mitra et al., 2014).

Effects of HABs specifically upon protists, bacteria, and viruses pathogenic to bivalves have rarely been investigated (Table 2). Reports of decreased infections suggest that HABs could alter viability or virulence of bivalve pathogens (see further examples below, in da Silva et al., 2008; Hégaret et al., 2010; Lassudrie et al., 2015a). Another scenario considered is that HAB compounds could promote growth of pathogenic bacteria, as bacteria can consume organic nutrients excreted by microalgae (Seymour et al., 2017; Zhou et al., 2018). Among compounds excreted by microalgae, dimethylsulfoniopropionate (DMSP) is an organosulfur compound that is a potent chemoattractant to bacteria, which can metabolize it and use it as carbon and sulfur sources (Kiene et al., 2000; Simó, 2001). Not incidentally, harmful algal species are mostly

represented by dinoflagellates, which are important DMSP producers among phytoplankton groups (Caruana and Malin, 2014).

Many studies reported the allelopathic, lytic, and oxidative properties of *Alexandrium* spp. extracellular compounds toward different cells, such as microalgae, protists, or bivalve gametes (Arzul et al., 1999; Castrec et al., 2019; Flores et al., 2012; Le Goïc et al., 2014, 2013; Lelong et al., 2011; Long et al., 2018b; Tillmann and John, 2002). Although PST can be released extracellularly (Lefebvre et al., 2008; Persson et al., 2012), many studies reported the bioactivity of extracellular compounds produced by non-PST *Alexandrium* strains, demonstrating that these effects are independent from PSTs (Bianchi et al., 2019; Borcier et al., 2017; Castrec et al., 2019, 2020; Ford et al., 2008; Long et al., 2018b; Tillmann et al., 2007; Tillmann and John, 2002). Only a few studies focused on the characterization of these compounds, and their precise nature remains unknown (Flores et al., 2012; Ma et al., 2011, 2009).

Antibacterial compounds produced by microalgae have been widely reported in the literature (see for example Amaro et al., 2011; Kellam and Walker, 1989), including upon bacteria pathogenic to aquacultured species, in particular *Vibrio* spp. (Kokou et al., 2012). Antibacterial effects of HAB species, however, have rarely been studied. Lauritano et al. (2016) did not detect any activity of intracellular extracts of *Pseudo-nitzschia pseudodelicatissima*, *Alexandrium tamutum*, *A. andersonii* and *A. minutum* against different Gram-negative and Gram-positive bacteria infecting humans. PSTs target voltage-gated sodium channel receptors, resulting in modulations of sodium and potassium fluxes in many organisms, such as mammals (Catterall, 2000), bivalves (Boullot et al., 2017), and certain bacteria (Pomati et al., 2003). Such effects were also demonstrated in the bacterium *Vibrio fischeri* (Pomati et al., 2003). Therefore, it could be hypothesized that PST released by algal cells may interact with certain pathogenic bacteria *in situ* and result in modulation of infection in bivalves, although no study has demonstrated such effects so far.

Extracellular compounds from microalgae, including recognized HAB species, can have antiviral activity (see review by Amaro et al., 2011). For example, extracellular sulphated polysaccharides produced by *Gymnodinium impudicum* and *Margalefidinium polykrikoides* were reported to affect viruses pathogenic to human and other mammalian hosts (Hasui et al., 1995; Yim et al., 2004). The observation of a decrease in intensity of herpesvirus OsHV-1µVar infection in juvenile oysters *C. gigas* exposed experimentally to *A. pacificum* led the authors to

propose that the dinoflagellate extracellular compounds may also induce deleterious effects in viruses (Lassudrie et al., 2015a). The hypothesis was advanced that ROS produced in extracellular compounds of *Alexandrium* spp. (as evidenced by Flores et al., 2012) could have altered the OsHV-1 lipidic envelope and thereby infectivity. Despite these hypotheses, no study so far has confirmed the direct effects of HABs upon viruses pathogenic to bivalves.

Deleterious effects of other dinoflagellates upon bivalve parasites were reported. An *in vitro* experiment reported toxicity of a culture of *K. selliformis* to the Manila clam parasite *P. olseni*, as indicated by observation of a higher percentage of dead *P. olseni* cells and altered morphology (da Silva et al., 2008). These effects were believed to be responsible for decreasing intensity of *P. olseni* infection in clams *R. philippinarum* exposed experimentally to this alga (da Silva et al., 2008). Similarly, Hégaret et al. (2009) reported toxic effects of extracellular compounds from *P. minimum* to *P. olseni* cells during an *in vitro* experiment. These authors, however, did not observe any modulation of the *P. olseni* infection in Manila clams exposed to this alga, possibly because of the short duration of the exposure (6 days) (Hégaret et al., 2009).

Exposure to HABs were reported to modulate disease infections in bivalve, but only few studies have focused on the direct interaction between HABs and pathogenic agents so far (Table 2). Additional, *in vitro* exposures of pathogenic microorganisms to toxic microalgae and associated compounds are needed to better understand how microalgal extracellular compounds can alter bivalve infectious agent viability or virulence. Such methods, however, are limited by the inability to cultivate several pathogenic agents without the host (herpesvirus, certain bacteria and parasites). Field studies and developments in environmental DNA analyses may overcome this limitation.

4.2 Microalgae as vectors of infectious agents?

Phytoplankton dynamics may participate in disease transmission in bivalves as infectious agents may be attached to planktonic food particles. For example, viral particles of OsHV-1 were reported to attach to particles *in situ*, including algal food particles, leading the authors to hypothesize that plankton dynamics plays a key role in POMS transmission (Evans et al., 2015, 2014; Paul-Pont et al., 2013). Similarly, the acute viral necrobiotic disease virus, pathogen to the scallop *Chlamys farreri*, was shown to be carried by microalgae (Zhang et al., 2010).

Toxic dinoflagellates were reported to be vectors of human infectious agents, such as *Legionella pneumophila* or *Vibrio cholerae* (see review of Doucette, 1995; Rivera et al., 2013). No published study has researched so far the specific ability of toxic dinoflagellates to transport and disseminate bivalve pathogenic agents. The hypothesis of toxic microalgae acting as vectors for bivalve pathogenic agents could be further investigated to explore these phenomena.

5. Discussion and future research recommendations

This review, mainly based upon experimental studies, highlighted that HABs can modify disease processes in bivalves through several mechanisms (summarized in Figure 1). Modulation of hemocyte immune functions by HABs and associated compounds is one reported mechanism leading to the effects of HABs on disease in bivalves. HAB exposure can cause immunosuppression that promotes pathogen proliferation. Conversely, HAB exposure could stimulate immune responses. However, other mechanisms are likely involved, but are understudied thus far, and need more attention in the future. Indeed, HAB exposure can decrease bivalve filtration rate, which may in turn decrease pathogen acquisition. HABs can also cause tissue and organ lesions, increasing bivalve global physiological weakness and decreasing defense against pathogens. Pathogen viability or pathogenicity can be affected directly by HAB toxins or compounds in the seawater, which suggest they could also be affected in bivalve tissues exposed to HAB compounds. Finally, HABs could act as pathogen carriers and favor pathogen transmission.

Modulation of pathological condition in bivalves exposed to HABs and pathogens is the result of the interaction between the initial physiological status of the bivalve, the effects of the HABs, and the effects of the pathogen. It is challenging, therefore, to identify unique mechanisms applicable to all bivalves, and conclusions should be made for each bivalve population of interest and the specific HAB-pathogen combination. To best address a specific situation, we recommend experimental studies that simulate as close as possible the natural conditions. For example, experiments should be consistent with the seasonality of HABs and pathogen appearance in the field. To better understand the mechanisms involved, and for purposes of comparison with other studies, extensive information should be provided regarding the bivalve

initial condition (reproduction stage, age, origin, etc), and the HABs and pathogens (strain information, origin, growing conditions and stage, toxin production, etc) studied.

The ecological and economical significance of the effects of HABs upon bivalve disease are not well established, although experiments have shown clearly that such effects are likely. The study of these interactions in the field, and at the population level, is now needed to answer these questions.

The experimental studies and the field observations reported here only consider the immediate effects of a HAB exposure upon pathogen infections. Whether these acute effects can durably affect the dynamics of the host-pathogen interaction is a question that remains to be answered. From a selected number of HAB-bivalve-pathogen interaction studies reviewed herein, conceptual models of evolution of the host-pathogen dynamics can be proposed (Figure 2). We propose that the effect of a HAB on bivalve infectious disease would depend upon the temporal dynamics of both the disease and the HAB.

In the studies reviewed here, bacterial and viral infections led to rapid and intense consequences, i.e., acute effects (Figure 2A, B). POMS in France induces each year a mortality peak over a few weeks in oyster *C. gigas* spat. This disease is associated with herpesvirus and/or bacteria such as *V. tasmaniensis*. Lassudrie et al. (2015a) showed experimentally that a bloom of *A. pacificum* starting before herpesvirus exposure could alter transmission or proliferation of the virus. Conversely, Abi-Khalil et al. (2016) experimental results indicated that an *A. pacificum* bloom starting before injection of *V. tasmaniensis* could increase oyster mortality induced by the infection. These two experimental studies suggest that at the population level, mortality events could be modulated by toxic blooms occuring before the outbreak (Figure 2A, B).

Other papers reviewed here studied the effects of HABs on diseases associated with parasites *P. olseni* (da Silva et al., 2008; Lassudrie et al., 2014), *P. marinus* (Lassudrie et al., 2015b) and bacteria RLO (Rickettsia-like organisms; Hégaret et al., 2012). In the bivalve populations studied, these diseases do not induce severe mortality, resulting in long-lasting host-pathogen interactions. In the short-term, a toxic bloom can modulate the development of a bacterial (Hégaret et al., 2012) or parasitic (da Silva et al. 2008; Lassudrie et al., 2015b) infections (Figure 2C, D). Specifically, Lassudrie et al. (2015b) results indicated that an *A. catenella* (=

A. fundyense) bloom could promote P. marinus infection in oysters C. virginica that were already weakened by trematode infestation. The parasitic levels were not surveyed after the toxic algal exposure, it is therefore questionable wether this HAB would durably disrupt the P. marinus - C. virginica interaction, or wether the initial P. marinus levels would be quickly restored (Figure 2C). Nevertheless, it does not seem realistic that a short-term HAB exposure could have a long-term consequence. The equilibrium of the host-pathogen interaction would probably recover after exposure, as suggested by the results of da Silva et al. (2008) (Figure 2D). In this study, the intensity of infection by P. olseni in clams R. philippinarum decreased after 2 and 3 weeks of exposure of toxic dinoflagellate K. selliformis. Then, after 3 weeks of exposure at a lower K. selliformis concentration, the intensity of infection was restored to its original level (Figure 2D).

Another point to consider is that HABs are usually recurrent phenomena, whereas most studies assess the effect of a single HAB exposure. Long-term effects of repeated toxic blooms upon host-pathogen interaction dynamics at the population level should therefore be considered. Effects of HABs upon bivalve reproduction and on physiology through parental exposure were reported recently (e.g. Basti et al., 2013; Castrec et al., 2019, 2020; Rolton et al., 2015), and could ultimately alter bivalve population fitness in the case of repeated blooms. Adaptations of bivalve populations to recurrent toxic blooms, reflected by differential physiological responses between populations (Navarro et al., 2014), can lead to the emergence of resistant genotypes (Bricelj et al., 2010, 2005). The use of *in situ* monitoring and epidemiological models could contribute to understanding of effects of HABs upon the host-pathogen interactions at the population level.

Climate change conditions have been reported experimentally to alter physiology of bivalves and interaction with HABs (Farrell et al., 2015; Turner et al., 2016). Therefore, the HAB-bivalve-pathogen interaction likely will evolve under future climate conditions.

6. Conclusion

Increased disease susceptibility in bivalves caused by HAB exposure has been related to altered immune functions and global physiological distress. This pattern, however, is not always verified, as direct deleterious effects of HABs upon certain pathogenic agents can result in lower pathogen infection. Other processes likely interfere with disease infection under HAB exposure, such as filtration and direct interactions between pathogens and HABs. More studies are needed to understand the role of these processes in the complex tripartite bivalve-pathogen-HAB interaction. Future research should also focus on field observations to verify experimental results, and to identify the effect of HABs upon disease dynamics at the bivalve population level. Such considerations may provide clues for unexplained mortalities, and eventually improve shellfish management.

Declaration of interest

Nothing to declare.

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Table 1: Main bivalve diseases (mainly OIE notifiable diseases), associated pathogen, distribution and temporal dynamics, main marine HAB genera hypothetically co-occurring with disease (HABs reported to occur in regions and seasons where and when disease also occur, suggesting that both HABs and disease co-occur), and marine HAB species reported to co-occur with disease outbreaks in the field.

Bivalve species	Disease	Pathogen	Effect	Distribution	Seasonal dynamic	Reference for disease reports	Hypothetical co-occurrence of main HAB genera *	Reported co- occurrence of HABs with disease outbreak
Crassostrea gigas (juvenile-spat)	Pacific Oyster Mortality Syndrome (POMS)	OsHV-1 microvariants (virus) and Vibrio spp. (bacteria)	Lethal	Southern and Western Europe	Summer to fall	(Barbosa Solomieu et al., 2015)	Alexandrium, Pseudo-nitzschia, Dinophysis, Ostreopsis, Azadinium, Vulcanodinium, Protoceratium, Lingulodinium, Gonyaulax, Karlodinium	Alexandrium spp. (Abi- Khalil et al., 2016)
Crassostrea gigas (juvenile-spat)	Pacific Oyster Mortality Syndrome (POMS)	OsHV-1 microvariants (virus) and Vibrio spp. (bacteria)	Lethal	Australia (Southern and Eastern coast), New- Zealand (Northern coast)	Summer to fall	(Barbosa Solomieu et al., 2015)	Alexandrium, Pseudo-nitzschia, Ostreopsis, Gambierdiscus, Karenia, Karlodinium, Protoceratium, Gymnodinium, Dinophysis, Prorocentrum, Amphidinium, Vulcanodinium (Ajani et al., 2017, 2013, 2016; Rhodes and Smith, 2019; Rhodes et al., 2019)	Alexandrium spp. and Pseudo- nitzschia spp. (Jenkins et al., 2013)
Crassostrea gigas (juvenile-spat)	Pacific Oyster Mortality Syndrome (POMS)	OsHV-1 microvariants (virus) and Vibrio spp. (bacteria)	Non-lethal	Brazil (South coast)	Unknown	(Mello et al., 2018)	Dinophysis spp., Gymnodinium, Pseudonitzschia, Alexandrium, Prorocentrum, Chatonella, Heterosigma, Fibrocapsa (Branco et al., 2019; Cuellar-Martinez et al., 2018; Mafra et al., 2015, 2006; Menezes et al., 2018; Moreira-González et al., 2019; Proença et al., 2001, 2007; Simões et al., 2015; Tavares et al., 2009; Tibiriçá et al., 2015)	NA
Crassostrea gigas	Perkinsosis	Perkinsus marinus (protozoan parasite)	Lethal	Mexico (Gulf of California)	Unknown	(Enríquez- Espinoza et al., 2010)	Margalefidinium, Pseudo-nitzschia, Prorocentrum, Gymnodinium, Chatonella Akashiwo, Heterosigma (Núñez-Vázquez et al., 2011)	NA
Crassostrea virginica	Dermo / Perkinsosis	Perkinsus marinus (protozoan parasite)	Lethal	USA (East coast)	Maximum in summer and autumn	(Burreson and Ragone-Calvo, 1996; Ford and Smolowitz, 2007)	Alexandrium, Karenia, Margalefidinium Karlodinium, Pseudo-nitzschia, Prorocentrum, Prymnesium, Dinophysis	NA
Crassostrea corteziensis	Perkinsosis	Perkinsus marinus (protozoan parasite)	Non-lethal	Mexico (Pacific coast)	Maximum in summer	(Cáceres- Martínez et al., 2016)	Margalefidinium Pseudo-nitzschia, Prorocentrum, Pyrodinium, Dinophysis, Gambierdiscus	NA

Crassostrea gasar	Perkinsosis	Perkinsus marinus and P. beihaiensis (protozoan parasites)	Sub-lethal	Brazil (Northeast coast)	Sergipe State: Maximum in summer and autumn Other States: no seasonal pattern	(da Silva et al., 2014; Queiroga et al., 2015, 2013)	Nitzschia, Dinophysis, Alexandrium, Ostreopsis, Prorocentrum, Gambierdiscus (Aquino et al., 2015; De Carli, 2014; Jales et al., 2013; Machado et al., 2018; Menezes et al., 2018; Nascimento et al., 2012; Santiago et al., 2010) **	NA
Ostrea edulis	Digestive gland disease	Marteilia refringens (protozoan parasite)	Lethal	Europe	Maximum in summer (>17°C). Winter and early spring: absence or few parasites.	(Berthe et al., 2004; Carrasco et al., 2015)	Alexandrium., Pseudo-nitzschia., Dinophysis, Ostreopsis, Azadinium, Vulcanodinium, Protoceratium, Lingulodinium, Gonyaulax, Karlodinium	NA
Ostrea edulis	Microcell disease	Bonamia ostrea (protozoan parasite)	Lethal	Europe	Unclear. Maximum prevalence in winter.	(Arzul and Carnegie, 2015)	Alexandrium., Pseudo-nitzschia., Dinophysis, Ostreopsis, Azadinium, Vulcanodinium, Protoceratium, Lingulodinium, Gonyaulax, Karlodinium	NA
Saccostrea glomeraa	Winter mortality disease	Bonamia roughleyi (protozoan parasite)	Lethal	Australia (East coast)	Winter and early spring	(Dove et al., 2013; Farley et al., 1988; Spiers et al., 2014)	Alexandrium, Pseudo-nitzschia, Ostreopsis, Gambierdiscus, Karlodinium, Prorocentrum, Dinophysis, Amphidinium (Ajani et al., 2017, 2013)	NA
Saccostrea glomeraa	Queensland unknown (QX) disease	Marteillia sydneyi (protozoan parasite)	Lethal	Australia (East coast)	Unclear	(Adlard and Wesche, 2005; Green et al., 2011; Nell, 2001; Perkins and Wolf, 1976)	Alexandrium, Pseudo-nitzschia, Ostreopsis, Gambierdiscus, Karlodinium, Prorocentrum, Dinophysis, Amphidinium (Ajani et al., 2017, 2013)	NA
Ruditapes philippinarum	Perkinsosis	Perkinsus olseni (protozoan parasite)	Lethal (Portugal) and sub- lethal	Europe (Western and South)	Spain, and Portugal: Maximum in spring and summer. France: no seasonality.	(Ruano et al., 2015; Villalba et al., 2011)	Alexandrium., Pseudo-nitzschia., Dinophysis, Ostreopsis, Azadinium, Protoceratium, Lingulodinium, Gonyaulax, Karlodinium	NA
Ruditapes philippinarum	Perkinsosis	Perkinsus olseni (protozoan parasite)	Lethal	East Asia (Korea, Japan, China)	South Korea: Maximum late summer; Japan: Maximum in summer and autumn	(Choi and Park, 2010; Waki et al., 2018)	Margalefidinium, Chatonella, Karenia, Heterocapsa, Gymnodinium, Heterosigma, Alexandrium, Dinophysis, Prorocentrum, Gambierdiscus, (Lee et al., 2013)	NA
Ruditapes philippinarum	Brown Ring Disease (BRD)	Vibrio tapetis (bacterium)	Lethal	Korea and Japan	Undertemined	(Park et al., 2008, 2006)	Margalefidinium, Chatonella, Heterosigma, Prorocentrum, Alexandrium, Akashiwo (Lee et al., 2013)	NA
Ruditapes philippinarum	Brown Ring Disease (BRD)	Vibrio tapetis (bacterium)	Lethal	France, Spain	Winter and spring: high prevalence and mortality	(Paillard, 2004)	Alexandrium., Pseudo-nitzschia, Dinophysis, Ostreopsis, Azadinium, Protoceratium, Lingulodinium, Gonyaulax, Karlodinium	NA
Most bivalves	Vibriosis	Vibrio spp. (bacteria)	Lethal – sub-lethal	Worldwide	Variable and undetermined	(Travers et al., 2015)	Potentially all HABs	NA

^{*} Main references used in this column are HAEDAT: Harmful Algae Events Database (http://haedat.iode.org/index.php), and (Lassus et al., 2016), in addition to other references mentioned

mentioned.

** Important HAB taxa mays be unlisted due to scarce information related to absence of HAB monitoring in this region NA: Not available

Table 2. Literature survey of the main studies reporting the effects of marine harmful algal blooms (HABs) on bivalve infectious diseases or pathogens. This table summarizes HAB effects on pathogen infection in bivalves, effects of direct HAB exposure to pathogens, effects of HABs and their combination with pathogens on bivalve physiological variables, and mechanisms identified to induce disease modulation. Individual effects of pathogens on bivalves are not listed here. Detailed hemocyte responses are listed in Table 4.

Bivalve species; stage	Pathogen (infection type; conditions compared)	HAB species Exposure type	Effects of HAB on pathogen infection	Direct effects of HAB on pathogen	Bivalve variables studied	Main effects of HAB and combination with pathogen on physiology of bivalves	Identified reason for disease modulation	Reference
Crassostrea virginica; adult	Perkinsus marinus (P.m.) (natural; non infected vs. infected); Bucephalus sp. (B.) (natural; non infected vs. infected)	Alexandrium catenella (= A. fundyense as refered to in this study)* Simulated bloom; 2x10 ³ cells mL ⁻¹ 4 times a day; 3 weeks	Effect of HAB alone: Prevalence & intensity (=, P.m. & B.) Combined effect HAB-B: Prevalence of P. m. (↑) in Binfected oysters, Intensity (=).	NA	Viability; Condition index; Circulating hem.; Histopathology; Expression of targeted immunerelated genes in gills; Toxin accumulation; Pathogen infection	Effect of HAB in <i>B</i> uninfected bivalves - Hem. infiltration (kidney) Combined effect HAB - <i>B</i> infected bivalves: - Antagonistic: Suppression of hem. inflammatory response to <i>B</i> . by HAB (circulating hem. and infiltration in tissues) - Synergistic: Tissue degeneration in adductor muscle	Immunosuppression caused by HAB exposure combined to physiological weakness caused by B. infection	(Lassudrie et al., 2015b)
Crassostrea gigas; juvenile	Herpesvirus OsHV-1µVar (experimentall y by cohabitation (Exp.) or naturally (Nat.); non infected vs. infected)	Alexandrium pacificum (named A. catenella in this study)* Simulated bloom ;- 3.5x10² cells mL ⁻¹ continuously ; 4 days	Exp.: Prevalence (↓), Intensity (=); Nat.: Prevalence (=), Intensity (↓)	NA	Viability; Toxin accumulation; Pathogen infection	Combined effect HAB-pathogen: Toxin accumulation (↓)	NA	(Lassudrie et al., 2015a)
Crassostrea gigas; juvenile	Vibrio tasmaniensis LGP32. (experimental by injection; infected vs. non infected)	Alexandrium pacificum (named A. catenella in this study)*, (A.p., PST strain) Alexandrium tamarense*, (A.t., non- PST strain)	NA	NA	Viability; Toxin accumulation	Effect of HAB for all infection status: none Combined effect HAB-pathogen: Viability $(\downarrow A.p.; = A.t.)$	NA	(Abi- Khalil et al., 2016)

		Simulated bloom; 1- 3x10 ³ cells mL ⁻¹ continuously ; 10 days						
Mytilus edulis; larva	Vibrio splendidus (V.s.); Vibrio coralliilyticus (V.c.) (experimentall y by incubation; infected vs non infected)	Prorocentrum lima (P.l.); Prorocentrum micans (P.m.); Alexandrium ostenfeldii (A.o.); Alexandrium minutum (A.m.); Karenia mikimotoi (K.m.); Protoceratium reticulatum (P.r.); Prorocentrum cordatum (P.c.) Simulated bloom; 10², 5x10², 2.5x10³ & 1.2x10⁴ cells mL⁻¹ once ; 5 days	NA	NA	Viability; Larval development; Phenoloxydase activity (except for A.m, A.o, P.r, with V.s. and V.c., and for P.m., K.m. with V.s.)	Effect of HAB (at highest concentrations) for all infection status: - Larval viability and development rate ↓ (except <i>P.c.</i>) - Immune function: modulation of phenoloxydase activity depending on algal species (↑ <i>P.c.</i> and <i>P.m.</i> , ↓ <i>K.m.</i> and <i>P.l.</i>) Combined effect of HAB-pathogen: none	NA	(De Rijcke et al., 2016)
Mytilus edulis; adult	Gymnophallid ae trematodes (natural; not considered as a factor)	Alexandrium catenella (= A. fundyense as refered to in this study)* Simulated bloom; 3x10³ cells mL¹¹ every 90min; 9 days.	Prevalence (†)	NA	Viability; Histopathology; Circulating hem.; Toxin accumulation; Pathogen infection	Effect of HAB for all infection status: - Inflammatory response: hem. infiltration in gonad, and stomach and intestine lumen, circulating hem. modulations Mucus secretory cells in gills - Oxidative stress: ceroidosis in tissues	Immunosuppression caused by HAB exposure	(Galimany et al., 2008b)
Perna perna; adults	RLO, copepods (Cop.), Nematopsis sp. (Nem.), Trematodes (Trem.), Tylocephalum sp. (Tyl.); (natural; not considered as a factor)	Prorocentrum lima Simulated bloom; 9x10 ² cells mL ⁻¹ once a day; 1, 2 & 4 days.	RLO, Cop.: Prevalence (\pm) Others: Prevalence (=)	NA	Survival; Shell- valve closure response; Circulating hem.; Histopathology (incl. pathogen infection)	Effect of HAB for all infection status: - Alteration of shell valve-closure response - Circulating hem. modulations - In digestive gland, alteration (atrophy of digestive tubules) and inflammatory response (hem. infiltrations)	NA	(Neves et al., 2019)

Ruditapes philippinarum; adult	Perkinsus olseni (natural; 2 classes of infection: very light-light vs. moderate-heavy)	Alexandrium ostenfeldii Simulated bloom; 10³ cells mL-¹ continuously; 7 days	Prevalence (=); Intensity (NS trend ↓)	NA	Viability; Condition index; Circulating hem. and plasma; Histopathology; Activity of antioxidant and digestive enzymes; Toxin accumulation; Pathogen infection	Effect of HAB for all infection status: - Tissue alterations in digestive gland, gills and mantle - Inflammatory response: hem. infiltration in gills and digestive gland, hem. diapedesis in digestive gland epithelia, circulating hem. modulations - Metabolic and oxidative processes: modulation of antioxidant enzyme activity in gills and hem. modulations - Combined effect HAB - highest infection class: Antagonistic: Suppression of anti/pro-oxidative responses to highest parasite burden (CAT activity in gills, ROS in hem.)	NA	(Lassudrie et al., 2014)
Ruditapes philippinarum; adults	Perkinsus olseni (natural; 2 classes of infection: null- light vs. moderate- heavy)	Karenia selliformis Bivalve exposure: simulated bloom; 10²- 10³ cells mL⁻¹ continuously; 6 weeks. Pathogen exposure: in vitro, HAB whole culture or exudates, cell ratio 1:250 (HAB:pathogen); 30 min, 1h30, 3h30.	Prevalence and intensity (\$\psi\$) after 2 and 3 weeks, and recovery after decrease of HAB concentration	Mortality (whole culture; exudates), altered morphology (whole culture)	Viability; Condition index; Circulating hem. and plasma; Pathogen infection	Effect of HAB for all infection status Circulating hem. modulations Combined effect HAB-pathogen: none	Direct toxicity of HAB to pathogen	(da Silva et al., 2008)
Ruditapes philippinarum; adults	Perkinsus olseni (natural; 2 classes of infection: null- light vs. moderate- heavy)	Prorocentrum minimum Bivalve exposure: simulated bloom; 10 ⁵ cells mL ⁻¹ continuously; 6 days. Pathogen exposure: in vitro, HAB whole culture or exudates, cell ratio 1:50 (HAB:pathogen); 30 min	Prevalence and intensity (=)	Mortality and altered morphology (whole culture; exudates)	Viability; Condition index; Circulating hem. and plasma; Histopathology; Apoptosis; Pathogen infection	Effect of HAB for all infection status - Condition index (\$\psi\$) - Circulating hem. modulations - Tissue alterations (adductor and foot muscles, digestive gland, ova); hem. infiltration in gonad; bacteria and hem. (viable and apoptotic) in intestine lumen. Combined effect HAB-pathogen: - Synergistic: Tissue degeneration in gonad	NA	(Hégaret et al., 2009)

Ruditapes philippinarum, adults	Perkinsus olseni, (natural, 2 classes of infection: null- light vs. moderate- heavy)	Karenia mikimotoi (K.m.); Karenia selliformis (K.s.) Simulated bloom; K.m.: 10 ³ cells mL ⁻¹ ; K.s.: 5 x 10 ² cells mL ⁻¹ ; continuously: 3 & 6 days	Prevalence and intensity (=)	NA	Condition index; Circulating hem.; Plasma; Pathogen infection;	Effect of HAB for all infection status: Circulating hem. modulations, stronger for <i>K.s.</i> than <i>K.m.</i> Combined effect HAB-pathogen: Synergistic effects: circulating hem. modulations for <i>K.s.</i> after 3 days	NA	(Hégaret et al., 2007a)
Ruditapes philippinarum; juveniles (Juv.); adults (Ad.)	Vibrio tapetis (Juv.: experimental by injection; Ad.: natural, 4 stages)	Alexandrium catenella (named A. tamarense in this study)* Simulated bloom. Juv.: 5x10¹ cells mL⁻¹ continuously; 3 days. Ad.: 10² cells mL⁻¹ continuously; 16h.	Juv.: Apparent prevalence and intensity (\$\psi\$) (assessed by shell mark symptoms). Ad.: None	NA	Juv. & Ad.: Viability; Burrowing; Toxin accumulation; Disease stage (based on shell- mark symptoms); Juv.: Growth; Ad.: Condition index, Circulating hem.	Effect of HAB., all infection status: Juv: Viability (\$\psi\$); Burrowing incapacitation; Growth (\$\psi\$); Ad.: Burrowing incapacitation; Circulating hem. modulations Combined effect HAB-pathogen: Juv.: Cumulative: Higher mortality	Juv.: Unclear if apparent decrease of disease severity traduces host-pathogen modulation, or a decrease of defense mechanisms (possibly related to shell mark symptoms)	(Bricelj et al., 2011)
Mercenaria mercenaria; adults	QPX (natural; infected vs. non infected)	Prorocentrum minimum Simulated bloom; 2x10 ⁴ cells mL ⁻¹ continuously; 5 days.	NA	NA	Viability; Circulating hem. and plasma; Histopathology; Pathogen infection	Effect of HAB in non-infected bivalves: - Circulating hem. modulations - Tissue alteration and inflammatory response (hem. infiltrations) in gills, hemocytic aggregates in all organs Effect of HAB in infected bivalves: - Circulating hem.: morphology	NA	(Hégaret et al., 2010)
Argopecten purpuratus, adults	RLO, (natural; not considered as a factor)	Alexandrium catenella* Simulated bloom; 5x10³ cells mL-¹ continuously; 6 days	Prevalence (†)	NA	Survival; Escape response, Heat- shock protein (hsp70); Histopathology (incl. pathogen infection); Toxin accumulation	- Hem. infiltrations in tissues Effect of HAB for all infection status: - Altered escape response to predator - Tissue alteration in muscle - Melanization of epithelia	Physiological weakness and decreased defense associated to pathologies caused by HAB	(Hégaret et al., 2012)

^{(†):} increase; (\$\psi\$): decrease; no change: (=); NA: not available (not investigated); NS: non significant; Hem.: hemocytes; QPX: Quahog Parasite Unknown; RLO: Rickettsia-like organisms; incl.: including. *: Nomenclature for species within the *A. tamarense* complex has changed since the publication of these studies, current accepted names indicated here were deduced from John et al. (2014); Fraga et al. (2015); Litaker et al. (2018), where *A. catenella* corresponds to group I, *A. tamarense* to group III, *A. pacificum* to group IV).

Table 3. Literature survey of most recently reported effects of marine harmful algal blooms (HABs) or their toxins on bivalve hemocyte variables involved in cellular immunity.

Bivalve species	HAB/phycotoxin	Experiment type	Hemocyte parameters	Reference	
Mytilus chilensis	Saxitoxins	<i>In vitro</i> 1–100 nM, 4h and 16h.	Viability (=), ROS (↓ 10 nM, ↑ 100 nM), phagocytosis (↓)	(Astuya et al., 2015)	
Crassostrea gigas	Saxitoxins	<i>In vitro</i> 0.8, 1 and 3.3 μM, 3.5h.	Apoptosis (†).	(Abi-Khalil et al., 2017)	
Crassostrea gigas (C.g.) Chlamys farreri (C.f.)	Saxitoxins	Injection 10–20 μg STX eq. 100 g ⁻¹ shellfish meat, 12, 48, and 96 h p.i.	Phagocytosis (\downarrow 12 and 96h, <i>C.g.</i> and <i>C.f.</i>), ROS (\uparrow 48h and 96h, <i>C.f.</i>).	(Cao et al., 2018)	
Crassostrea gigas	Alexandrium minutum (A.m.); Saxitoxins (STX)	In vitro A.m: 2 x 10 ⁴ cells mL ⁻¹ . STX: 0.05 µg L ⁻¹ for 4h.	Size (\uparrow GR, $A.m.$), and complexity (=), viability (=), phagocytosis (\downarrow), ROS (\downarrow) for $A.m$ and STX	(Mello et al., 2013)	
Crassostrea gigas (diploid and triploid, in April and in May)	Alexandrium minutum	Simulated bloom 5 x 10 ³ cells mL ⁻¹ , for 4 days.	THC (↑) GRC (↑), viability (=), phagocytosis (=), ROS (↑ April GR, ↓ May GR and HY), agglutination titer (=), phenoloxidase (↓ April, ↑ May).	(Haberkorn et al., 2010a)	
Crassostrea gigas	Alexandrium minutum	Simulated bloom 5 x 10 ³ cells mL ⁻¹ , flow of 14 mL min ⁻¹ , for 4 days.	THC (=), phagocytosis (†), ROS (=), phenoloxidase (=).	(Haberkorn et al., 2014)	
Crassostrea gigas	Alexandrium minutum (PST, BEC, and PST+BEC strains)	Simulated bloom 3.1 x 10 ³ cells mL ⁻¹ , for 4 days (no control algae).	THC (=), HYC (PST < PST+BEC, BEC), Size (=), complexity (=), viability (PST < PST+BEC, BEC), phagocytosis (=), ROS (=).	(Castrec et al., 2018)	
Crassostrea virginica (C.v.) Crassostrea gigas (C.g.)	Alexandrium catenella (= A. fundyense as refered to in this study*; A.c.)	Simulated bloom A.c.: 1, 2.5, 5 x 10 ² cells mL ⁻¹ , for 1, 7 days. A.p.: 75 cells mL ⁻¹ , for 14 h, then 1.5 x 10 ²	THC (=), size (=), complexity (=), viability (=), phagocytosis (=), ROS (=), for $C.v$ and $C.g$.	(Hégaret et al., 2007b)	
	A. pacificum (named A. catenella in this study*; A.p.)	cells mL ⁻¹ , for 10 h day for 4 days.			
Crassostrea gigas (juveniles)	Alexandrium pacificum (named A. catenella in this study)*	Simulated bloom 10 ² cells mL ⁻¹ , for 4 to 9 days.	THC (\uparrow), GRC (\uparrow), HYC (\uparrow), size (\uparrow HY, \uparrow GR day 4), complexity (\uparrow HY, \uparrow GR), viability (=), ROS (\uparrow).	(Lassudrie et al., 2016)	
Ruditapes philippinarum, Mya arenaria	Alexandrium catenella (named A. tamarense in this study)* (PST) Alexandrium tamarense (nonPST)	In vitro Filtered extracts of 5 x 10 ⁵ cells mL ⁻¹	Adherence (↓ nonPST), phagocytosis (↓ nonPST).	(Ford et al., 2008)	
Mytilus edulis	Alexandrium catenella (PST and PST+LC strains) A. tamarense (LC strain)	Simulated bloom 500 cells mL ⁻¹ , for 3 and 7 days.	THC (\downarrow PST and LC, = PST+LC, 3 days; = PST, PST+LC and LC, 7 days), viability and lysosomal membrane stability (\downarrow for all, 3 and 7 days), phagocytosis (\uparrow PST, 3 days; = for all, 7 days), ROS (\downarrow for all, 3 days).	(Bianchi et al., 2019)	

Crassostrea virginica (native and non-native populations)	Prorocentrum minimum	Natural and simulated bloom 10 ⁴ cells mL ⁻¹ , 2 days. Native: exposed to recurrent blooms; Non-native: naïve from bloom exposure.	THC (\uparrow), GRC (\uparrow), viability (\uparrow), phagocytosis (\uparrow), ROS (\uparrow native, = non-native), aggregation (\downarrow).	(Hégaret and Wikfors, 2005a)
Crassostrea virginica (C.v.), Argopecten irradians irradians (A.i.i.)	Prorocentrum minimum	Simulated bloom 10 ⁵ cells mL ⁻¹ , for 1h, and 1, 2, 4 and 7 days.	C.v.: THC (=), size (=), complexity (=), viability (=), phagocytosis (=), ROS (=), aggregation (=). A.i.i.: GRC (\downarrow), HYC (\uparrow), viability (\uparrow GR, \downarrow HY), phagocytosis (\downarrow), ROS (\uparrow), aggregation (\uparrow HY, \downarrow GR).	(Hégaret and Wikfors, 2005b)
Mytilus edulis	Prorocentrum minimum	Simulated bloom 10 ⁴ cells mL ⁻¹ , for 9 days.	Viability (=), phagocytosis (=), ROS (=), adhesion (=), apoptosis (=).	(Galimany et al., 2008c)
Perna perna	Prorocentrum lima	Simulated bloom 900 cells mL ⁻¹ , for 1, 2 and 4 days.	THC (\downarrow 2 & 4 days), size (\uparrow 2 & 4 days), phagocytosis (\uparrow 2 days), ROS (\uparrow 4 days).	(Neves et al., 2019)
Ruditapes decussatus	•		<i>P.l.</i> : Viability (\downarrow 24 h), apoptosis (\uparrow 24 h). OA: Viability (\downarrow 100 and 500 nM, 2 and 4 h), phagocytosis (\downarrow all concentrations), apoptosis (\uparrow 100 and 500 nM, 4 h).	(Prado-Alvarez et al., 2013)
Mytilus galloprovincialis	Prorocentrum lima	Simulated bloom 10 ³ and 10 ⁵ cells mL ⁻¹ , 24h and 48h.	Apoptosis († 48h, both concentrations).	(Prego-Faraldo et al., 2016)
Mytilus galloprovincialis	Prorocentrum and/or Dinophysis; OA	Natural bloom; OA: <i>In vitro</i> 10, 50 and 100 nM, for 2 and 4 h.	Natural bloom: Apoptosis (↓) OA: Apoptosis (↓ 100 nM, 4h).	(Prado-Alvarez et al., 2012)
Mytilus galloprovincialis	OA	<i>In vitro</i> 10, 50, 100, 200 and 500 nM, for 1 and 2 h.	Apoptosis (↑ 500 nM, 2h).	(Prego-Faraldo et al., 2015)
Perna perna (P.p.), Crassostrea gigas (C.g.)	erna perna (P.p.), Dinophysis acuminata Natural bloom		<i>P.p.</i> : THC (↓ PA, ↑ PZ), DHC (=), hemagglutinating titter (=), phenoloxidase (↑PA, = PZ), <i>C.g.</i> : THC (=), DHC (=), hemagglutinating titter (=), phenoloxidase (↓ PZ).	(Simões et al., 2015)
Perna perna (P.p.), Anomalocardia brasiliana (A.b.), Crassostrea gigas (C.g.)	Dinophysis acuminata	Natural bloom 17,600 cells L ⁻¹ .	P.p.: THC (\uparrow), GRC (\downarrow), phenoloxidase (\downarrow). A.b.: THC (\uparrow), GRC (=), phenoloxidase (=). C.g.: THC (=), GRC (=), phenoloxidase (=). Apoptosis and hemagglutinating titter (=, all spp.).	(Mello et al., 2010)

Mercenaria mercenaria (M.m.), Mya arenaria (M.a.), Crassostrea virginica (C.v.), Crassostrea gigas (C.g.), Ruditapes philippinarum (R.p.)	Heterosigma akashiwo (H.a.) Prorocentrum minimum (P.m.) Alexandrium catenella (= A. fundyense as refered in this study) (Ac.) Alexandrium minutum (A.m.) Karenia selliformis (K.s.) Karenia mikimotoi (K.m.)	In vitro H.a.: 10 ⁵ cells mL ⁻¹ P.m.: 10 ⁵ cells mL ⁻¹ A.c.: 10 ⁴ cells mL ⁻¹ A.m.: 5 x 10 ⁴ cells mL ⁻¹ K.s.: 4-7 x 10 ³ cells mL ⁻¹ K.m.: 4-7 x 10 ³ cells mL ⁻¹ For 4 h	H.a: M.m.: viability (↓ WC), phagocytosis (=), ROS (=), adhesion (↓ S); M.a.: phagocytosis (=), ROS (↑ WC), adhesion (=); C.v.: viability (↓ WC), phagocytosis (=), adhesion (=). P. m.: M.m.: size (↓ WC), complexity (↓ WC), adhesion (↑ S), phagocytosis (↓ WC); M.a.:, ROS (=), adhesion (=), phagocytosis (↓ WC); C.v.: All parameters (=). A.c.: M.m.: phagocytosis (↓ WC and S), ROS (=), adhesion (↓ WC); M.a.: phagocytosis (↓ WC), ROS (=), adhesion (↓ WC); M.a.: phagocytosis (↓ WC), ROS (=), adhesion (=); C.v.: viability (↓ WC), remaining parameters (=). A.m.: C.g.: viability (↓ WC and S), ROS (↓ WC), remaining parameters (=). K.s.: R.p.: size (↓ WC), viability (↓ WC and S), phagocytosis (↓ WC), ROS (↓ WC and S), adhesion (↓ WC). K.m.: R.p.: ROS (↓ WC), adhesion (↓ WC), other parameters (=).	(Hégaret et al., 2011)
Crassostrea gigas	Brevetoxin	<i>In vitro</i> 3, 30, 100, 300 and 1000 μg L ⁻¹ , for 1, 4 and 12 h.	Viability (=), apoptosis (=).	(Mello et al., 2012)
Mytilus galloprovincialis	Ostreopsis cf. ovata	Simulated bloom 1 x 10 ⁵ cells L ⁻¹ , for 7 and 14 days.	GRC (\downarrow), HYC (\uparrow), phagocytosis (\downarrow), lysosomal membrane stability (\downarrow), for both durations.	(Gorbi et al., 2013)
Mytilus edulis	Domoic acid	Injection 1, 10, 100 and 500 ng g body weight ⁻¹ , 48h and 7 days p.i.	THC (\uparrow 48h, 500 ng), viability (all concentrations: = 48h; \uparrow 7 days), phagocytosis (\uparrow 48h, 100 ng).	(Dizer et al., 2001)
Ruditapes philippinarum	Heterocapsa circularisquama	Simulated bloom 10 ³ cells mL ⁻¹ , for 24 and 48h.	THC (↑ 24h).	(Basti et al., 2011)
Crassostrea gigas	Alexandrium pacificum (named A. catenella in this study)* (PST) Alexandrium tamarense (nonPST)	Simulated bloom, 10 ³ cells mL ⁻¹ , for 48h.	Apoptosis (PST>nonPST, 29h)	(Medhioub et al., 2013)
Nodipecten subnodosus	Saxitoxins	Injection: 140 µg STX eq per 0.2 mL injection, 24h p.i. In vitro: 10–20 µg STX eq.mL ⁻¹ , 24h p.i.	Apoptosis (†)	(Estrada et al., 2014)
Nodipecten subnodosus	Saxitoxins	Injection Low dose: 6.25 MU, 0.2mL, 12-72h p.i. High dose: 100 MU, 0.2mL, 40 days p.i.	THC (\psi) (low dose, 12-24h p.i., high dose 10-40 days p.i.)	(Estrada et al., 2010)
Mytilus edulis	Karlodinium veneficum	Simulated bloom 6.25 x 10 ¹ cells mL ⁻¹ , for 3 and 6 days	GRC (\downarrow 3 days, = 6 days), HYC (\uparrow 3 days, = 6 days), ROS (\uparrow), phagocytosis (\uparrow 6 days)	(Galimany et al., 2008a)

THC: total hemocyte count; DHC: differential hemocyte count; GR: granulocytes; HY: hyalinocytes (a.k.a. agranulocytes); GRC: granulocyte count; HYC: hyalinocyte count; ROS: reactive oxygen species production; PST: paralytic shellfish toxin; STX: Saxitoxin; LC: lytic compounds; BEC: bioactive extracellular compounds; OA: Okadaic acid; PA: Praia Alegre, Brazil; PZ: Praia de Zimbros, Brazil; MU: mouse units; p.i.: post injection; \$\psi\$: decrease, or = : no difference compared to control non-toxic treatments; < decrease or

> increase compared to other treatments. *: Nomenclature for species within the *A. tamarense* complex has changed since the publication of these studies, current accepted names are indicated here and were deduced from John et al. (2014), Fraga et al. (2015) and Litaker et al. (2018), where *A. catenella* (= *A. fundyense*) corresponds to group I, *A. tamarense* to group III, *A. pacificum* to group IV.

Table 4. Literature survey of most recently reported effects of marine harmful algae blooms (HABs) combined with pathogen infection on bivalve hemocyte variables involved in cellular immunity.

Bivalve species	Pathogen	HAB species	Experiment type	Effects of HABs and pathogens on hemocytes	Effect of HAB on pathogen infection (h : considered to be related to hemocytes)	Reference
Ruditapes philippinarum	Perkinsus olseni	Karenia mikimotoi (K.m.) Karenia selliformis (K.s.)	Simulated bloom <i>K.m.</i> : 10 ³ cells mL ⁻¹ , <i>K.s.</i> : 5 x 10 ² cells mL ⁻¹ , for 3 and 6 days.	Effect of HAB: viability $(\uparrow, K.s., K.m.)$, size and complexity $(\downarrow, K.s.)$, phagocytosis $(=)$, ROS $(=)$, agglutination titer $(=)$. Effect of parasite: all parameters $(=)$. Combined effect: THC $(M-H: \uparrow K.s.)$, phagocytosis $(M-H: \downarrow K.s)$ (after 3 days).	Prevalence (=), intensity (=).	(Hégaret et al., 2007a)
Ruditapes philippinarum	Perkinsus olseni	Karenia selliformis	Simulated bloom 10 ² - 10 ³ cell mL ⁻¹ , for 6 weeks.	Effect of HAB: viability (=), size (↓), phagocytosis (=), ROS (=), agglutination titter (=), apoptosis (↓). Effect of parasite: all parameters (=). Combined effect: none	Intensity of infection (\psi, after 2 & 3 weeks), associated with direct toxicity of HAB to parasite in vitro	(da Silva et al., 2008)
Ruditapes philippinarum	Perkinsus olseni	Prorocentrum minimum	Simulated bloom 10 ³ cells mL ⁻¹ , for 6 days. <i>In vitro</i> <i>P. mínimum - P. olseni</i> – (1:500)	Effect of HAB: THC (=), size (\(\psi\)), complexity (=), viability (=), phagocytosis (\(\psi\)), ROS (=), agglutination titter (=). Effect of parasite: all parameters (=). Combined effect: none	Prevalence (=), intensity (=).	(Hégaret et al., 2009)
Ruditapes philippinarum	Perkinsus olseni	Alexandrium ostenfeldii	Simulated bloom 10 ³ cells mL ⁻¹ , for 7 days.	Effect of HAB: THC (=), size (↑), complexity (=), viability (=), phagocytosis (=), ROS (↑), agglutination titter (=). Effect of parasite: M-H, size (↑) ROS (↑) Combined effect: ROS (antagonistic: repression of ↑).	Intensity of infection (↓ non-significant) h	(Lassudrie et al., 2014)
Crassostrea virginica	Perkinsus marinus (P.m.) Bucephalus sp. (B.)	Alexandrium catenella (= A. fundyense as refered to in this study)*	Simulated bloom 1-2 x 10 ³ cells mL ⁻¹ , for 3 weeks.	Effect of HAB: THC (=), size (=) and complexity (=), ROS (=). Effect of B .: THC (\uparrow), viability (\uparrow). Effect of $P.m$.: all parameters (=). Combined effect HAB- B : THC (repression of \uparrow normally induced by B .)	Prevalence of P . m . \uparrow in B . infected oysters $^{\rm h}$	(Lassudrie et al., 2015a)
Mercenaria mercenaria	QPX	Prorocentrum minimum	Simulated bloom 2 x 10 ⁴ cells mL ⁻¹ , for 5 days.	Effect of HAB: THC (=), size (\uparrow), complexity (=), viability (=), phagocytosis (\downarrow), ROS (\uparrow), adhesion (=), apoptosis (=). Combined effect: phagocytosis (\downarrow), size (\downarrow).	NA	(Hégaret et al., 2010)

Mytilus edulis	Gymnophalli dae trematode	Alexandrium catenella (= A. fundyense as refered to in this study)*	Simulated bloom 4 x 10 ³ cells mL ⁻¹ , for 9 days.	Effect of HAB: THC (\downarrow), GRC (\downarrow), phagocytosis (=), ROS (=), complexity (\downarrow , GR and HY), size (GR \downarrow , HY =). Combined effect: none	Prevalence (†) h	(Galimany et al., 2008b)
Ruditapes philippinarum (adults)	Vibrio tapetis	Alexandrium catenella (named A. tamarense in this study)*	Simulated bloom. 10 ² cells mL ⁻¹ , for 16h.	Effect of HAB: THC (†), GRC (†), phagocytosis (\$\dagger\$)	None	(Bricelj et al., 2011)

THC: total hemocyte count; GR: granulocytes; HY: hyalinocytes (a.k.a. agranulocytes); GRC: granulocyte count; ROS: reactive oxygen species production; VL-L: very-light and light *P. olseni* infection intensity; M-H: moderate to heavy *P. olseni* infection intensity; QPX: Quahog Parasite Unknown; \(\psi: \) increase or =: no difference compared to control non-toxic algal treatments. *: Nomenclature for species within the *A. tamarense* complex has changed since the publication of these studies, current accepted names are indicated here and were deduced from John et al. (2014), Fraga et al. (2015) and Litaker et al. (2018), where *A. catenella* (= *A. fundyense*) corresponds to group I.

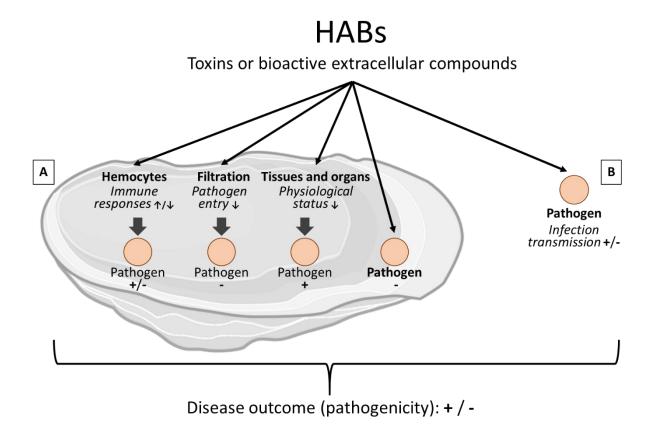


Figure 1. Summary of the different mechanisms reported or supposed to be involved in the effects of harmful algal blooms (HABs) upon bivalve diseases, according to the literature. Arrows indicate increase or decrease of the adjoining process, + and - indicate positive and negative effect, respectively, on the pathogen transmission or proliferation. **A.** When reaching bivalves, HABs and compounds produced can affect hemocyte functions, either by inhibiting them (\downarrow) causing immunosuppression and favor pathogen proliferation (+), or, conversely, stimulate immune responses (\uparrow), which could inhibit pathogen proliferation (-). HABs can decrease filtration rates that may in turn decrease pathogens entry (\downarrow) and reduce its transmission (-). HABs can cause tissue and organs lesions, altering physiological status (\downarrow) and therefore decreasing defense against pathogens, that can proliferate (+). Pathogen in bivalve tissues could also be affected by HAB compounds directly, altering its viability or pathogenicity (-). **B.** HABs and compounds produced can also interact directly with pathogens in the seawater, altering pathogen integrity or putatively acting as pathogen carrier, therefore preventing (-) or favouring (+) pathogen transmission.

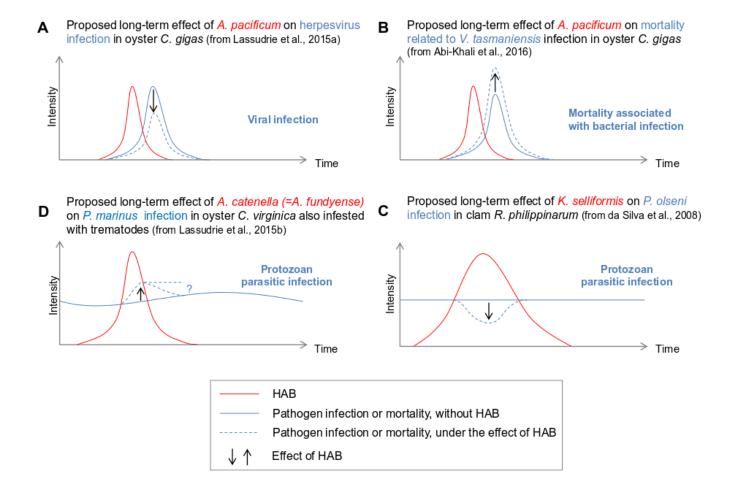


Figure 2. Hypothetical scenarios of the long-term effect of HABs on disease dynamics in bivalves, proposed based on short-term experimental studies. The evolution of the HAB (red), and of the pathogen infection or the mortality rate (without the effect of HAB: solid blue line; with the effect of HAB: interrupted blue line) are represented on the y-axis, according to a temporal scale, represented on the x-axis. **A.** Proposed effect of *Alexandrium catenella* bloom on herpesvirus OsHV-1 infection in oyster *Crassostrea gigas* spat (from Lassudrie et al., 2015a). **B.** Proposed effect of *A. catenella* on mortality associated with bacterium *Vibrio tasmaniensis* infection in oyster *C. gigas* spat (from Abi-Khalil et al., 2016). **C.** Proposed effect of *A. fundyense* on protozoan parasite *Perkinsus marinus* infection in oyster *C. virginica* also infested with trematodes (from Lassudrie et al., 2015b). **D.** Proposed effect of *Karenia selliformis* on protozoan parasite *P. olseni* infection in clam *Ruditapes philippinarum* (from da Silva et al., 2008).