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## Large-Scale Evaluation of Keyphrase Extraction Models

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## ABSTRACT

Keyphrase extraction models are usually evaluated under different, not directly comparable, experimental setups. As a result, it remains unclear how well proposed models actually perform, and how they compare to each other. In this work, we address this issue by presenting a systematic large-scale analysis of state-ofthe-art keyphrase extraction models involving multiple benchmark datasets from various sources and domains. Our main results reveal that state-of-the-art models are in fact still challenged by simple baselines on some datasets. We also present new insights about the impact of using author- or reader-assigned keyphrases as a proxy for gold standard, and give recommendations for strong baselines and reliable benchmark datasets.

## **CCS CONCEPTS**

• Information systems  $\rightarrow$  Digital libraries and archives; Information retrieval; • Computing methodologies  $\rightarrow$  Information extraction.

#### **KEYWORDS**

Keyphrase generation; natural language processing; evaluation

#### **ACM Reference Format:**

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## **1 INTRODUCTION**

Keyphrases are single or multi-word lexical units that represent the main concepts in a document [13]. They are particularly useful for indexing, searching and browsing digital libraries [3, 20, 46, 50], and have proven themselves as effective features in many downstream natural language processing tasks [5, 23, 28]. Still, most documents do not have assigned keyphrases, and manual annotation is simply not a feasible option [31]. There is therefore a great need for automated methods to assign relevant keyphrases to documents.

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Automatic keyphrase extraction<sup>1</sup> – that is, the task of extracting keyphrases either from the content of the document or from a controlled vocabulary – has received much attention from the research community [1, 18, 26]. Thus, many keyphrase extraction models were proposed over the last years, ranging from early statistics-based models [47], to popular graph-based ranking models [35], and recent neural models [34]. However, because of the great discrepancies in experimental setups among past studies, it is very difficult to compare and contrast the effectiveness of these models, and even more so to assess the progress of the field as a whole.

More specifically, we observe striking differences in how models are parameterized, evaluated and compared in previous work. To name just a few examples, experiments are most often conducted on different benchmark datasets, all of which differ in domain, size, language or quality of the gold standard (that is, reference keyphrases supplied by authors, readers or professional indexers). This not only makes the reported results hard to contrast, but also has a profound impact on trained model performance [15]. In addition, and since there is no consensus as to which evaluation metric is most reliable for keyphrase extraction [21, 24, 49], diverse measures are commonly seen in the literature, thus preventing any further direct comparisons. Moreover, the evaluation of missing keyphrases – that is, gold keyphrases that do not occur in the content of the document – is still an open question and there is little agreement on whether they should be included or not [26].

We strongly believe that this lack of empirical rigor is a real hindrance to progress on keyphrase extraction, and that a systematic comparison of existing models under the same conditions is needed to fully understand how they actually perform. In this work, we resolve this issue by conducting the first large-scale study on automatic keyphrase extraction. More precisely, we present an extensive comparative analysis of state-of-the-art keyphrase extraction models involving 9 benchmark datasets from various domains. To ensure controlled, fair and reliable experiments, we embarked upon the difficult process of re-implementing all of the models presented in this paper<sup>2</sup> and pre-processing the datasets in a unified and systematic way<sup>3</sup>.

Using these new large-scale experimental results, we seek to better understand how well state-of-the-art models perform across sources, domains and languages. We also go further than prior work and investigate the following research questions:

- (1) How much progress have we made on keyphrase extraction since early models?
- (2) What is the impact of using non-expert gold standards, that is, author- or reader-assigned keyphrases, when training and evaluating keyphrase extraction models?

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<sup>&</sup>lt;sup>1</sup>Also referred to as keyphrase generation or keyphrase annotation.

<sup>&</sup>lt;sup>2</sup>https://github.com/boudinfl/pke

<sup>&</sup>lt;sup>3</sup>https://github.com/boudinfl/ake-datasets

(3) Which baselines and benchmark datasets should be included in future work for a better understanding of the pros and cons of a newly proposed model?

### 2 BENCHMARK DATASETS

Benchmark datasets for evaluating automatic keyphrase extraction cover a wide range of sources ranging from scientific articles and web pages to twitter and email messages. We collected 9 of the most widely used datasets which we believe are representative of the different sources and domains found in previous work. Detailed statistics for each selected dataset are shown in Table 1. They are grouped into three categories that are outlined below:

- Scientific articles Among the selected datasets, three are composed of full-text scientific publications: ACM [27] and SemEval [26] about computer science, and PubMed [38] from the medical domain. Not surprisingly, they contain only a small number of documents due to copyright reasons. These datasets provide author-assigned keyphrases which serve as a reasonable, but far from perfect, proxy for expert annotations. In the case of SemEval, student annotators were hired to extend gold annotation labels.
- **Paper abstracts** Scientific abstracts, often referred to as bibliographic records, are arguably the most prevalent documents for benchmarking keyphrase extraction. They are readily available in great quantities and come with author-assigned keyphrases that can be used as gold standard. We gathered three datasets, all dealing with the computer science domain: Inspec [22], WWW [9] and KP20k [34]. It is worth noting that with more than half a million documents, KP20k is the largest dataset to date and one of the few that is large enough to train neural models.
- **News articles** News texts are the last source of documents present among the collected datasets. Similar to paper abstracts, online news are available in large quantities and can be easily mined from the internet. We selected the following three datasets: DUC-2001 [43], 500N-KPCrowd [33] and KP-Times [15]. The first two datasets provide reader-assigned keyphrases, while KPTimes supplies indexer-assigned keyphrases extracted from metadata and initially intended for search engines. It is interesting to observe that only two datasets in our study, namely Inspec and KPTimes, provide gold keyphrases annotated by professional indexers.

Datasets containing scientific articles or abstracts rely primarily on author-assigned keyphrases as gold standard. They therefore exhibit similar properties for the average number of ground truth keyphrases per document ( $\approx$  5). On the other hand, articles are on average significantly longer than abstracts ( $\approx$  7500 words vs.  $\approx$  160 words respectively) and consequently reveal a much smaller fraction of missing keyphrases ( $\approx$  18% vs.  $\approx$  39% respectively). Datasets with reader-assigned keyphrases exhibit the lowest numbers of missing keyphrases, which can be explained by the fact that readers appear to produce gold-standard annotations in an extractive fashion [45]. We also confirmed this empirically by computing the ratio of missing keyphrases in the author-assigned (24%) and reader-assigned (17.5%) gold annotations of the SemEval dataset.

| Dataset       | Ann.       | Train       | Test     | #words | #kp  | %abs |
|---------------|------------|-------------|----------|--------|------|------|
| PubMed [38]   | Α          | -           | 1 320    | 5 323  | 5.4  | 16.9 |
| ACM [27]      | A          | -           | 2304     | 9 198  | 5.3  | 16.3 |
| SemEval [26]  | $A \cup R$ | 144         | 100      | 7 961  | 14.7 | 19.7 |
|               | Scientifi  | ic articles | s (avg.) | 7 494  | 8.5  | 17.6 |
| Inspec [22]   | Ι          | 1 000       | 500      | 135    | 9.8  | 22.4 |
| WWW [9]       | A          | -           | 1 3 3 0  | 164    | 4.8  | 52.0 |
| KP20k [34]    | Α          | 530K        | 20K      | 176    | 5.3  | 42.6 |
|               | Paper      | abstracts   | s (avg.) | 158    | 6.6  | 39.0 |
| DUC-2001 [43] | R          | -           | 308      | 847    | 8.1  | 3.7  |
| KPCrowd [33]  | R          | 450         | 50       | 465    | 46.2 | 11.2 |
| KPTimes [15]  | Ι          | 260K        | 10K      | 921    | 5.0  | 54.7 |
|               | New        | 744         | 19.8     | 23.2   |      |      |

Table 1: Statistics of the datasets. Gold annotation is supplied by authors (*A*), readers (*R*) or professional indexers (*I*). The number of documents in the training and testing splits are shown. The average number of keyphrases (#kp) and words (#words) per document, and the ratio of missing keyphrases (%abs) are computed on the test set.

In contrast, the opposite trend is observed for KPTimes that comes with gold standards annotated by professional indexers and that shows the highest percentage of missing keyphrases (54.7%). This indicates the more abstractive nature of indexer-assigned keyphrases. Put differently, it is known that non-expert annotations are less constrained and may include seldom-used variants or misspellings [39], whereas indexers strive to rely on a consistent terminology and assign the same keyphrase to all documents for a given topic, even when it does not occur in these documents.

To investigate this further, we looked at how many variants of an index term, in this case "*artificial neural network*", could be found in the author-assigned keyphrases of KP20k. All in all, we found dozens of variants for this term, including "*neural network*", "*neural network (nns)*", "*neural net*", "*artificial neural net*" or "*nn*". This apparent lack of annotation consistency intuitively has two consequences: 1) it makes it harder for supervised approaches to learn a good model, 2) it makes automatic evaluation much less reliable as it is based on exact string matching.

It is important to stress that datasets containing scientific articles may contain noisy texts. Indeed, most articles were automatically converted from PDF format to plain text and thus are likely to contain irrelevant pieces of text (e.g. muddled sentences, equations). Previous work show that noisy inputs undermine the overall performance of keyphrase extraction models [8]. In this study, we do not insist on a perfect input and we are aware that reported results may be improved with an increase in pre-processing effort.

## 3 MODELS

Roughly speaking, previous works on keyphrase extraction can be divided into two groups depending on whether they adopt a supervised learning procedure or not. This section starts by introducing the baselines we will use in our experiments, and then proceeds to describe the state-of-the-art keyphrase extraction models we re-implemented sorted into the aforementioned two groups.

#### 3.1 Baselines

Having strong baselines to compare with is a prerequisite for contrasting the results of proposed models. In previous studies, various baselines were considered, complicating the analysis and interpretation of the reported results. Our stance here is to establish three baselines, each associated with a particular feature that is commonly used in keyphrase extraction models. All baselines are also unsupervised, allowing their use and performance analysis on any of the benchmark datasets

Keyphrase position is a strong signal for both unsupervised and supervised models, simply because texts are usually written so that the most important ideas go first [32]. In single document summarization for example, the lead baseline –that is, the first sentences from the document–, while incredibly simple, is still a competitive baseline [25]. Similar to the lead baseline, we propose the **First-Phrases** baseline that extracts the first *N* keyphrase candidates from a document. We are not aware of any previous work reporting that baseline, yet, as we will see in §5, it achieves remarkably good results.

Graph-based ranking models for keyphrase extraction are, perhaps, the most popular models in the literature. Therefore, as a second baseline, we use **TextRank** [35], which weights keyphrase candidates using a random walk over a word-graph representation of the document. In a nutshell, TextRank defines the importance of a word in terms of how it relates to other words in the document, and ranks candidates according to the words they contain.

The third baseline, **TF**×**IDF** [37], have been repeatedly used in previous comparative studies [26, 34, *inter alia*]. In contrast with the other two baselines that do no require any resources whatsoever (beyond the document itself), TF×IDF makes use of the statistics collected from unlabelled data to weight keyphrase candidates. As such, it often gives better results, in some cases even on par with state-of-the-art models [48].

#### 3.2 Unsupervised models

Annotated data are not always available or easy to obtain, which motivates the further development of unsupervised models for keyphrase extraction. Besides, looking back at previous work, most attempts to address this problem employ unsupervised approaches. In this study, we selected three recent state-of-the-art models based on their reported performance.

The first model we investigate is **PositionRank** [14], a graphbased model that incorporates two features (position and frequency) into a biased PageRank algorithm. This model operates at the word level, and assigns a score to each candidate using the sum of its individual word scores. As such, it suffers from over-generation errors<sup>4</sup> [21], but still achieves good performance on short texts.

The second model we consider, **MPRank** [7], relies on a multipartite graph representation to enforce topical diversity while ranking keyphrase candidates. It includes a mechanism to incorporate keyphrase selection preferences in order to introduce a bias towards candidates occurring first in the document. MultipartiteRank was shown to consistently outperform other unsupervised graph-based ranking models.

Both aforementioned models only exploit the document itself to extract keyphrases. The third model we include, **EmbedRank** [4], leverages sentence embeddings for ranking keyphrase candidates. Candidates are weighted according to their cosine distance to the document embedding, while diversity in the selected keyphrases is promoted using Maximal Marginal Relevance (MMR) [17]. Despite its simplicity, this model was shown to outperform other unsupervised models on short texts (abstracts and news).

#### 3.3 Supervised models

Supervised models can be further divided into two categories, depending on whether they rely on a neural network or not.

Traditional supervised models treat the keyphrase extraction problem as a binary classification task. Here, we include such a model, namely **Kea** [47], in order to precisely quantify the performance gap with recent neural-based models. KEA uses a Naive Bayes classifier trained on a set of only two handcrafted features we have elected as baseline features: the TF×IDF score of the candidate and the normalized position of its first occurrence in the document. Previous work has reported confusing and conflicting results<sup>5</sup> for Kea, raising questions about how it actually performs.

Neural models for keyphrase extraction rely on an encoderdecoder architecture [11, 40] with an attention mechanism [2, 29]. Training these models require large amounts of annotated training data, and is therefore only possible on the KP20k and KPTimes datasets. The second supervised model we include in this study is **CopyRNN** [34], an encoder-decoder model that incorporates a copying mechanism [19] in order to be able to predict phrases that rarely occur. When properly trained, this model was shown to be very effective in extracting keyphrases from scientific abstracts.

The third supervised model we use, **CorrRNN** [10], extends the aforementioned model by introducing correlation constraints. It employs a coverage mechanism [42] that diversifies attention distributions to increase topic coverage, and a review mechanism to avoid generating duplicates. As such, it produces more diverse and less redundant keyphrases.

Note that only neural models have the ability to generate missing keyphrases, which in theory gives them a clear advantage over the other models.

#### 4 EXPERIMENTAL SETTINGS

In addition to the variation in the choice of benchmark datasets and baselines, there are also major discrepancies in parameter settings and evaluation metrics between previous studies. For example, there is no point in contrasting the results in [34], [14] and [41], three papers about keyphrase extraction published in the same year at ACL, since neither benchmark datasets, parameter settings nor evaluation metrics are comparable. To address this problem, we use the same pre-processing tools, parameter settings and evaluation procedure across all our experiments.

<sup>&</sup>lt;sup>4</sup>These errors occur when a model correctly outputs a keyphrase because it contains an important word, but at the same time erroneously predicts other keyphrases because they contain the same word.

<sup>&</sup>lt;sup>5</sup>On SemEval, [34] report an F@10 score of 2.6 while [6] report a score of 19.3.

|              | Scientific articles |                  |                  |                  | Paper abstracts  |                |      |      |                  | News articles    |                  |                  |                   |                  |      |      |                  |                   |
|--------------|---------------------|------------------|------------------|------------------|------------------|----------------|------|------|------------------|------------------|------------------|------------------|-------------------|------------------|------|------|------------------|-------------------|
|              | Pub                 | Med              | AC               | СМ               | Sem              | Eval           | Ins  | pec  | WV               | vw               | KP               | 20k              | DUC               | -2001            | KPC  | rowd | КРТ              | ïmes              |
| Model        | F@10                | MAP              | F@10             | MAP              | F@10             | MAP            | F@10 | MAP  | F@10             | MAP              | F@10             | MAP              | F@10              | MAP              | F@10 | MAP  | F@10             | MAP               |
| FirstPhrases | 15.4                | 14.7             | 13.6             | 13.5             | 13.8             | 10.5           | 29.3 | 27.9 | 10.2             | 9.8              | 13.5             | 12.6             | 24.6              | 22.3             | 17.1 | 16.5 | 9.2              | 8.4               |
| TextRank     | 1.8                 | 1.8              | 2.5              | 2.4              | 3.5              | 2.3            | 35.8 | 31.4 | 8.4              | 5.6              | 10.2             | 7.4              | 21.5              | 19.4             | 7.1  | 9.5  | 2.7              | 2.5               |
| TF×IDF       | 16.7                | 16.9             | 12.1             | 11.4             | 17.7             | 12.7           | 36.5 | 34.4 | 9.3              | 10.1             | 11.6             | 12.3             | 23.3              | 21.6             | 16.9 | 15.8 | 9.6              | 9.4               |
| PositionRank | 4.9                 | 4.6              | 5.7              | 4.9              | 6.8              | 4.1            | 34.2 | 32.2 | $11.6^{\dagger}$ | 8.4              | $14.1^{\dagger}$ | 11.2             | 28.6 <sup>†</sup> | $28.0^\dagger$   | 13.4 | 12.7 | 8.5              | 6.6               |
| MPRank       | 15.8                | 15.0             | 11.6             | 11.0             | 14.3             | 10.6           | 30.5 | 29.0 | $10.8^{\dagger}$ | 10.4             | $13.6^{\dagger}$ | $13.3^{\dagger}$ | 25.6              | $24.9^{\dagger}$ | 18.2 | 17.0 | $11.2^{\dagger}$ | $10.1^{\dagger}$  |
| EmbedRank    | 3.7                 | 3.2              | 2.1              | 2.1              | 2.5              | 2.0            | 35.6 | 32.5 | $10.7^{\dagger}$ | 7.7              | 12.4             | 10.0             | 29.5 <sup>†</sup> | $27.5^{\dagger}$ | 12.4 | 12.4 | 4.0              | 3.3               |
| Kea          | $18.6^{\dagger}$    | $18.6^{\dagger}$ | $14.2^{\dagger}$ | 13.3             | $19.5^{\dagger}$ | $14.7^\dagger$ | 34.5 | 33.2 | $11.0^{\dagger}$ | $10.9^{\dagger}$ | $14.0^{\dagger}$ | $13.8^{\dagger}$ | 26.5 <sup>†</sup> | $24.5^{\dagger}$ | 17.3 | 16.7 | $11.0^{\dagger}$ | $10.8^{\dagger}$  |
| CopyRNN      | $24.2^\dagger$      | $25.4^\dagger$   | $24.4^\dagger$   | $26.3^\dagger$   | $20.3^\dagger$   | 13.8           | 28.2 | 26.4 | $22.2^\dagger$   | $24.9^\dagger$   | $25.4^\dagger$   | $28.7^\dagger$   | 10.5              | 7.2              | 8.4  | 4.2  | <b>39.3</b> †    | 50.9 <sup>†</sup> |
| CorrRNN      | $20.8^{\dagger}$    | $19.4^{\dagger}$ | $21.1^{\dagger}$ | $20.5^{\dagger}$ | 19.4             | 10.9           | 27.9 | 23.6 | $19.9^{\dagger}$ | $20.3^{\dagger}$ | $21.8^{\dagger}$ | 22.7             | 10.5              | 6.5              | 7.8  | 3.2  | $20.5^{\dagger}$ | $20.3^{\dagger}$  |

Table 2: Performance of keyphrase extraction models. <sup>†</sup> indicates significance over the baselines.

#### 4.1 Parameter settings

We pre-process all the texts using the Stanford CoreNLP suite [30] for tokenization, sentence splitting and part-of-speech (POS) tagging. All non-neural models operate on a set of keyphrase candidates, extracted from the input document. Selecting appropriate candidates is particularly important since it determines the upper bound on recall, and the amount of irrelevant candidates that models will have to deal with. For a fair and meaningful comparison, we use the same candidate selection heuristic across models. We follow the recommendation by Wang et al. [44] and select the sequences of adjacent nouns with one or more preceding adjectives of length up to five words. Candidates are further filtered by removing those shorter than 3 characters or containing non-alphanumeric symbols.

We implemented the neural models in PyTorch [36] using AllenNLP [16], and the non-neural models using the pke toolkit [6]. As neural models require large amounts of annotated data to be trained, we trained our models on the KP20k dataset for both scientific papers and abstracts, and on KPTimes for news texts. We compute Document Frequency (DF) counts and learn Kea models on training sets. For datasets without training splits, we apply a leaveone-out cross-validation procedure on the test sets for calculating DF counts and training models. We use the optimal parameters suggested by the authors for each model, and leverage pre-trained sentence embeddings<sup>6</sup> for EmbedRank. We also found out that the training set of KP20k contains a non-negligible number of documents from the test sets of other datasets. We removed those documents prior to training.

#### 4.2 Evaluation metrics

Although there is no consensus as to which metric is the most reliable for keyphrase extraction, a popular evaluation strategy is to compare the top k extracted keyphrases against the gold standard. We adopt this strategy and report the f-measure at the top 10 extracted keyphrases. In previous work, we often see differences in how gold standards are handled during evaluation. For example, some studies evaluate their models on the present and missing portions of the gold standard separately [10, 34, 48, *inter alia*], whereas other work use the entire gold standard [7, 14, *inter*]

*alia*]. We chose the latter because recent models, in addition to extracting keyphrases from the content of the document, are able to generate missing keyphrases. Following common practice, gold standard and output keyphrases are stemmed to reduce the number of mismatches. One issue with the f-measure is that the ranks of the correct keyphrases are not taken into account. To evaluate the overall ranking performance of the models, we also report the Mean Average Precision (MAP) scores of the ranked lists of keyphrases. We use the Student's paired t-test to assess statistical significance at the 0.05 level.

## 4.3 Replicability of results

In Table 3, we compare the results of our re-implementations against those reported in the original papers. We note that all models show comparable results. We observe the largest differences with original scores for CopyRNN (+2) and CorrRNN (-4.3) that can be easily explained by minor differences in training parameters.

| Model        | Dataset (metric)        | Orig. | Ours |
|--------------|-------------------------|-------|------|
| PositionRank | WWW (F@8)               | 12.3  | 11.7 |
| MPRank       | SemEval-2010 (F@10)     | 14.5  | 14.3 |
| EmbedRank    | Inspec (F@10)           | 37.1  | 35.6 |
| CopyRNN      | KP20k (F@10 on present) | 26.2  | 28.2 |
| CorrRNN      | ACM (F@10 on present)   | 27.8  | 23.5 |

Table 3: Original vs. re-implementation scores.

#### 4.4 Learning curves

The performance of neural keyphrase extraction models relies heavily on the availability of large amounts of labeled data. Yet, it is still unclear how much data is needed to achieve optimal performance. To provide insight into that question and investigate whether neural models would benefit from more training data, we trained Copy-RNN using growing amounts (33%, 66% and 100%)<sup>7</sup> of training data and show the corresponding learning curves in Figure 1. We see

<sup>&</sup>lt;sup>6</sup>https://github.com/epfml/sent2vec

 $<sup>^7</sup>$  Respectively the first 175 696; 351 393 and 527 090 samples for KP20k and the first 86 641; 173 282 and 259 923 samples for KPTimes.

that the model's performance steadily improves as more training data is added, suggesting that available datasets may not be large enough. This is also suggested by recent work [48] where selftraining (combining synthetic and gold samples for training) was shown to further improve performance. Moreover, adding more training data leads to larger gains on KPTimes, whereas the performance of CopyRNN rapidly reaches a plateau on KP20K. The lack of annotation consistency in KP20k, that we highlighted in §2, may be the reason for this finding as learning a good model would be intuitively harder with non-uniformly labeled samples.

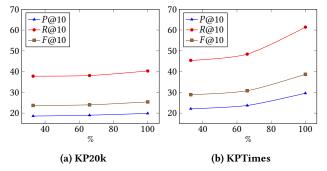


Figure 1: Performance of CopyRNN with different sizes of training data.

#### 5 RESULTS

Results are presented in Table 2. First of all, we notice that no model significantly outperforms the baselines on all datasets. This is rather surprising, as one would expect that neural models would be consistently better than a simple TF×IDF model for example. Rather, we see that the TF×IDF baseline is very competitive on long documents, while the FirstPhrases baseline performs remarkably well, especially on news texts. Still, overall, CopyRNN achieves the best performance with, in the case of KPTimes, MAP scores exceeding 50%. When we look at only unsupervised models, MPRank achieves the best results across datasets. Also, it comes as no surprise that Kea exhibits strong performance across datasets because it combines two effective features, as demonstrated by the results of the TF×IDF and FirstPhrases baselines. Conversely, despite the addition of mechanisms for promoting diversity in the output, CorrRNN is almost always outperformed by CopyRNN, suggesting that the added correlation constraints are not effective at filtering out spurious keyphrases.

In light of the above, we can now answer the following question: "How much progress have we made since early models?". It is clear that neural-based models are the new state-of-the-art for keyphrase extraction, achieving F@10 scores up to three times that of previous models. That being said, CopyRNN, which is the best overall model, fails to consistently outperform the baselines on all datasets. One reason for that is the limited generalization ability of neural-based models [10, 15, 34], which means that their performance degrades on documents that differ from the ones encountered during training. This is besides confirmed by the extremely low performance of these models on DUC-2001 and KPCrowd. Much more work needs to be done in tackling this issue if neural models are to substitute for older supervised models. Perhaps most disappointing is the fact that state-of-the-art unsupervised models are still challenged by the TF×IDF baseline. Here, we suspect the reasons are twofold. First, the models we have investigated do not use in-domain data which may not only limit their performance, but also, as in the case of EmbedRank that uses out-of-domain (Wikipedia) data, be detrimental to their performance. Second, unlike neural generative models, they are not able to produce keyphrases that do not occur in the source document, further limiting their potential effectiveness.

As outlined in §2, gold standards provided by lay annotators, such as authors and readers, exhibit strong inconsistency issues. One might therefore wonder "What is the impact of non-expert annotations on training and evaluating keyphrase extraction models?". Intuitively, models evaluated against these annotations are likely to receive lower scores because they make training more difficult (that is, assigning different keyphrases to documents about the same topic may confuse the model) while increasing the number of false negatives during evaluation. This is exactly what we observe in Table 2 where the best scores for Inspec and KPTimes, whose gold standards are provided by professional indexers, are higher in magnitude than those of the other datasets. Precisely quantifying how much impact lay annotations have on performance is no easy task as it implies a double-annotation process by both expert and nonexpert annotators. Luckily enough, a small sample of documents from Inspec are also found in KP20k, allowing us to compare the performance of keyphrases models between both annotation types. Results are shown in Table 4. First, we see that overall performance is nearly cut in half when evaluating against author-provided gold standard, suggesting that reported scores in previous studies are arguably underestimated. Second, neural models again do not show their superiority against indexer-assigned keyphrases, which advocates the need for more experiments on datasets that include expert annotations.

|              | F@   | @10               | М    | AP                |
|--------------|------|-------------------|------|-------------------|
| Model        | Ι    | Α                 | Ι    | A                 |
| FirstPhrases | 25.8 | 13.7              | 26.1 | 13.2              |
| TextRank     | 33.4 | 12.2              | 29.6 | 9.3               |
| TF×IDF       | 34.6 | 14.2              | 33.3 | 16.1              |
| PositionRank | 32.9 | 15.9              | 31.0 | 13.0              |
| MPRank       | 26.4 | 13.8              | 27.6 | 13.6              |
| EmbedRank    | 34.3 | 15.3              | 31.3 | 11.5              |
| Kea          | 32.5 | 15.2              | 31.9 | 15.9              |
| CopyRNN      | 33.7 | 28.9 <sup>‡</sup> | 29.8 | 33.8 <sup>‡</sup> |
| CorrRNN      | 28.6 | 25.3              | 24.2 | 28.2              |
| Avg.         | 31.3 | 17.2              | 29.4 | 17.2              |

Table 4: Results on a subset of 55 documents from Inspec for indexer (I) and author (A) gold annotations.  $\ddagger$  indicates significance over every other model.

The third question we want to address in this study is "Which baselines and benchmark datasets should be included in future work for a better understanding of the pros and cons of a newly proposed model?". Having strong baselines to compare with is of utmost

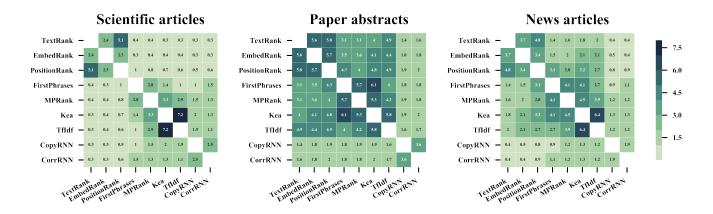


Figure 2: Average number of keyphrases in common between model outputs.

importance, and our results give an indication of which model is relevant. When properly trained, neural models drastically outperform all other models and represent the state-of-the-art. Since CopyRNN achieve the best results, it should be included in future work for comparison. In an unsupervised setting, or in a data-sparse scenario where neural models can not be applied, the picture is less clear. To help us understand which model is worth investigating, we conducted an additional set of experiments aimed at comparing the outputs from all models in a pairwise manner. The motivation behind these experiments is that including multiple models that behave similarly is of limited interest. Similarities between model outputs, viewed in terms of the number of keyphrases in common, are graphed as a heatmap in Figure 2. Overall, we observe different patterns for each source of documents. The shorter the document is, the more similar outputs are, which is mostly due to a smaller search space (that is, a smaller number of keyphrase candidates). We note that the three best unsupervised models, namely FirstPhrases, MPRank and TF×IDF, generate very similar keyphrases (up to 42% identical). Considering this, and given their reported performances (Table 2), we argue that TF×IDF (or KEA if seed training data is available) should be considered as strong unsupervised baseline in subsequent work.

These recommendations of baselines also affect the choice of which benchmark datasets one has to use. As neural models are data-hungry, KP20k and KPTimes are the default options for paper abstracts and news articles. For scientific articles, we recommend using SemEval for two reasons: 1) it is widely used by existing studies; and 2) it provides a double-annotated gold standard (authorand reader-assigned keyphrases) that alleviates annotation inconsistencies to some extent.

Our experiments highlight several issues in evaluating keyphrase extraction models with existing benchmark datasets. Another way of assessing the effectiveness of these models would be to explore their impact on other tasks as an extrinsic evaluation. To the best of our knowledge, there is no previously published research on that matter despite many downstream tasks that already benefit from keyphrase information such as article recommendation [12] or browsing interfaces [20] in digital libraries. This points to an interesting future direction that allows for a deeper understanding of the limitations of current models.

### 6 CONCLUSION

This paper presents a large scale evaluation of keyphrase extraction models conducted on multiple benchmark datasets from different sources and domains. Results indicate that keyphrase extraction is still an open research question, with state-of-the-art neural-based models still challenged by simple baselines on some datasets. We hope that this work will serve as a point of departure for more rigorous analysis and evaluation of proposed keyphrase extraction models. We provide all the code and data on a public repository<sup>8</sup>, as well as a public leaderboard to facilitate the comparison between models.

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<sup>&</sup>lt;sup>8</sup>https://github.com/ygorg/JCDL\_2020\_KPE\_Eval

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