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# CW Operation of a Tunable 1550-nm VCSEL Integrating Liquid-Crystal Microcells

B. Boisnard, C. Levallois<sup>1</sup>, C. Paranthoen<sup>1</sup>, S. Pes, T. Camps, B. Sadani, K. Tavernier, S. Bouchoule, L. Dupont, M. Alouini<sup>1</sup>, P. Debernardi, and V. Bardinal<sup>1</sup>

**Abstract**—An InP-based Vertical-Cavity Surface-Emitting Laser (VCSEL) with a liquid crystal (LC) microcell monolithically integrated on its surface for spectral tuning is investigated. Unlike tunable VCSELs integrating a movable membrane, here the physical length of the cavity remains unchanged and only the voltage applied on the LC ensures a refractive index modification for a particular polarization emitted by the VCSEL. This tunable VCSEL operates in CW at room temperature and exhibits more than 23 nm wavelength tuning around 1.55  $\mu\text{m}$  at a maximum applied voltage of 20 V. The measured laser threshold around 6.5 mW is still comparable to VCSEL without LC microcell, a clear indication that the optical losses related the LC are very low. On the other hand, for this first optically pumped device, the lasing characteristics suggest that the LC birefringence is lower than expected. To assess this hypothesis, thermo-optical simulations have been conducted.

**Index Terms**—Vertical-cavity surface-emitting lasers, liquid crystal devices, semiconductor lasers.

## I. INTRODUCTION

VERTICAL-CAVITY Surface-Emitting Lasers (VCSELs) are key optical sources for optical communications, and more recently for applications like autofocus imaging or facial recognition on smartphones [1]. Their well-known advantages related to longitudinal single-mode emission, circular beam shape with a low divergence, low-power consumption, and an easy 2D integration for mass production are very attractive for such applications. However, for a wider range of applications, including gas sensing [2], Optical Coherence Tomography (OCT) [3], [4], Fiber Bragg Grating (FBG) sensing [5] and optical spectroscopy [6], wavelength tunability is required. In this case, the large free spectral range related to the VCSEL

microcavity becomes interesting to obtain a wide spectral tunability without mode hopping. To realize such tunable VCSEL, it is then required to change the optical length of the cavity. The most used technique is based on the variation of the physical length of the optical resonator. This is typically achieved by thermal or electrostatic actuation of a suspended membrane acting as a movable end mirror for the cavity, which is usually fabricated by using micro electrical-mechanical systems (MEMS) technology. Broadband wavelength tunability has already been demonstrated using this technique, and mainly for MEMS-VCSELs operating at wavelengths ranging from 850 nm [7] to 1550 nm [8]. These demonstrations have been both performed with optically [9], [10] and electrically pumped devices [2]–[8]. More recently, a MEMS structure based on a robust design has been also investigated [11]. However, for all these MEMS-VCSELs, weaknesses can be raised concerning the linewidth and stability of the emitted wavelength [12], [13] and there may be advantages in terms of lifetime, stability, and production cost by eliminating the free-standing element necessary in MEMS-tunable VCSELs.

A promising alternative to this MEMS approach is the use of liquid crystals (LC) which can provide a large refractive index tuning with a moderate driving voltage and very low power consumption. Several demonstrations have been conducted in the past to integrate LC within compact photonic devices such as ring resonators [14], photodiodes [15] or VCSELs [16]. However, there is only one demonstration reporting the use of LC inside a VCSEL cavity in order to tune its wavelength [17]. In this pioneering work, a macro-sized LC cell with unperfected thickness control led unfortunately to lasing operation in a pulsed regime only. More recently, simulations results have been published on a 1.55  $\mu\text{m}$ -VCSEL designed with nematic LC enclosed within the VCSEL cavity, showing that a tuning range of 68 nm might be expected [18].

In this paper, we report for the first time CW operation at room temperature (RT) of a tunable VCSEL emitting at 1550 nm integrating a LC microcell monolithically fabricated on an InP-based half-cavity VCSEL. The design and fabrication steps are detailed. Laser characteristics are also presented, discussed and compared to thermo-optical simulations.

## II. DESIGN AND TECHNOLOGY

Our tunable device consists of a micro-sized LC cell monolithically integrated above an InP-based half-VCSEL. For this first realization of a CW LC-VCSEL operating at RT, the semiconductor part of the cavity has been designed for optical pumping to simplify the fabrication process as much

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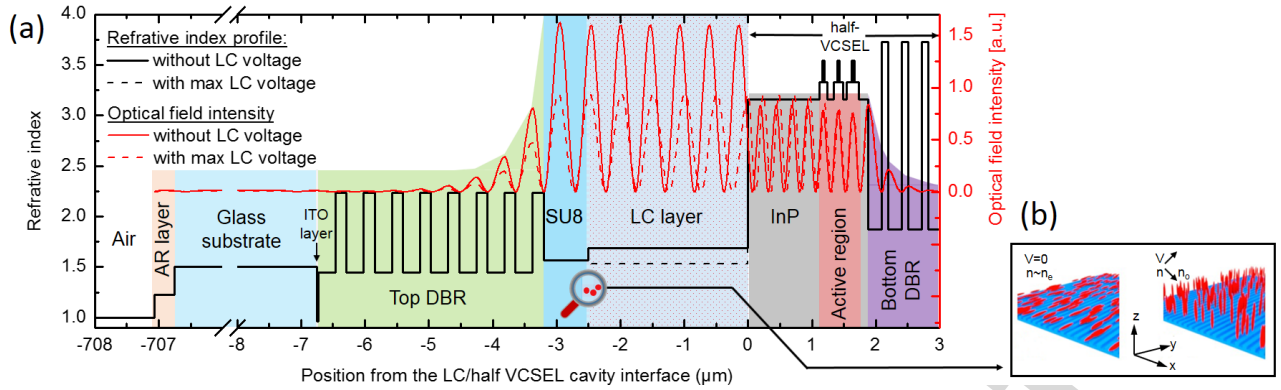


Fig. 1. (a) LC-VCSEL structure and internal optical field intensity for resonant modes related to the extraordinary index for two different voltages applied on the LC layer. (b) Enlarged schematic view of the subwavelength gratings printed on the SU8 layer for the LC alignment and reorientation of the LC molecules when AC voltage applied on the LC microcell is maximum.

as possible. The active region consists of 9 strained InGaAsP quantum wells (QWs) grown by gas source molecular beam epitaxy on an InP(001) substrate. These QWs, emitting at  $1.55 \mu\text{m}$ , are distributed over three optical standing wave anti-node positions within the cavity. The bottom mirror is a  $5 \times (\text{SiN}_x/\text{aSi})$  periods DBR completed by a gold layer deposited by sputtering to reach a theoretical reflectivity from the inner cavity of 99.7%. This stack of layers is transferred onto a Si substrate by a polymer bonding using a  $3 \mu\text{m}$  thick BCB layer. Then the InP substrate is removed by mechanical thinning and chemical etching to obtain the half-VCSEL (see Fig. 1(a) - right part).

The technological process then continues with the collective fabrication of LC microcells monolithically integrated onto the half-VCSEL surface derived from a method based on dry thick resist films [19]. First, a thick UV negative photoresist (MicroChem PermiNex 2000) is spin-coated on the sample and exposed through a mask to define squared areas, which will be filled by LC in the final processing steps. Those microcells are then covered by a top DBR with a theoretical reflectivity of 99.5%, consisting of 8  $(\text{SiO}_2/\text{TiO}_2)$  periods deposited on a glass substrate, previously coated with a 23 nm thick ITO electrode to apply the voltage on the LC microcells. The second electrode connected to the ground corresponds to the gold layer deposited on top of the  $\text{SiN}_x/\text{aSi}$  mirror. The upper part of the device, covered by an antireflection layer at  $1.55 \mu\text{m}$ , is then laminated to the top surface of the half-VCSEL at a low temperature ( $150^\circ\text{C}$ ). Prior to this step, the top mirror surface is prepared for further LC molecules alignment. This alignment is obtained through a planar anchoring on a sub-wavelength grating printed on a 600 nm thick SU8 layer before lamination. Reference [19] provides details about this part of the process. The sub-wavelength grating is patterned by a well-controlled nanoimprint process to define a period of 780 nm, a duty cycle of 50:50, and a depth in the range of [70-80 nm]. This grating, schematically depicted as a blue layer in Fig. 1(b), has been designed to allow an efficient orientation of the LC molecules during the microcells filling while limiting optical losses by diffraction at the wavelength of  $1.55 \mu\text{m}$ .

The final step consists in filling the microcells under vacuum with a nematic LC similar to E7. This filling is achieved

at a temperature higher than the nematic-isotropic transition ( $70^\circ\text{C}$ ) thanks to lateral apertures previously defined in the PermiNex photoresist. All the layers and their associated refractive indices are represented in Fig. 1. The distribution of the stationary field inside the VCSEL cavity is also illustrated for both cases, without applied voltage and for maximum applied voltage, i.e. when all the LC molecules are reoriented. As illustrated Fig. 1(b), without voltage, the average orientation of the LC molecules (i.e. the director) is parallel to the surface, and the refractive index is maximum (close to the extraordinary index,  $n_e$ ). As the applied voltage increases, the director is reoriented and the refractive index decreases towards  $n_0$  (the ordinary index) leading to a blue shift of the resonant wavelength. To avoid polarization bistability in the VCSEL, special attention has been paid to the cavity design. In particular, the LC thickness has been adjusted in order to reject the resonant modes related to  $n_0$  (being not affected by the applied voltage) far from the maximum gain of the QWs, while keeping a resonant mode related to  $n_e$  centered in the spectral window emitted by the QWs. The resonant mode with a linear polarization parallel (or perpendicular) to the director will be referred to as “EM for extraordinary mode” (or “OM for ordinary mode”) in the following.

### III. RESULTS AND DISCUSSION

After the fabrication stage, the tunable VCSEL has been placed on a Peltier thermoelectric cooler and characterized under optical pumping using a CW 980 nm semiconductor fibered laser diode focused on a  $15 \mu\text{m}$  diameter spot ( $1/e^2$  waist size). Fig. 2 shows the VCSEL output power as a function of the absorbed pump power, measured for different voltages applied on the LC microcells. As shown in Fig. 2, the tunable VCSEL exhibits CW operation at  $17^\circ\text{C}$  with a threshold power at around 6.5 mW. This threshold is not strongly dependent on the applied voltage and corresponds to a threshold power density of a few  $\text{kW} \cdot \text{cm}^{-2}$ , very close to the ones previously reported for equivalent VCSELs incorporating no LC [20]. Thus, as expected and demonstrated in previous works [15], [19], the presence of the LC leads to negligible additional losses, even in such highly sensitive VCSEL structure. However, this LC layer has a significant impact on the stability of the VCSEL emission. As illustrated

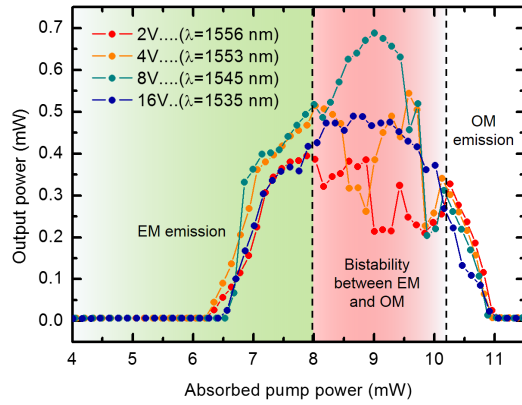


Fig. 2. Output power emitted as a function of the absorbed pump power for different AC voltages (20 kHz) applied on the LC microcells. Also shown are the different working areas where the VCSEL operates according to the extraordinary mode (EM), the ordinary mode (OM), or in a bi-stability regime between both modes.

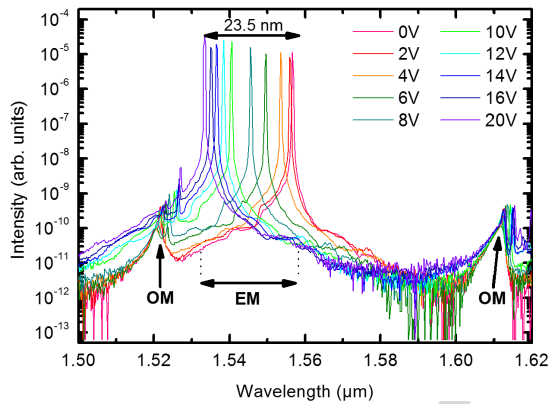


Fig. 3. VCSEL output spectra (290K, CW) measured for different AC voltages (20 kHz) applied on the LC and at a constant pump power set just below the unstable operation area (7.8 mW). The arrows give the spectral positions of the tunable lasing EM and the non-lasing OM corresponding respectively to the extraordinary ( $n_e$ ) and ordinary ( $n_o$ ) refractive indices of the LC.

in Fig. 2, the laser emission occurs in the EM near the threshold, but switches to the OM for high pump powers. For intermediate powers, a bistable emission is observed between OM and EM leading to strong fluctuations in the output powers, which are very dependent on the pump power itself but also on the LC voltage.

Consequently, the tuning range has been measured for a pump power of 7.8 mW, at the upper limit of the stability area, for a laser emission exclusively in EM. With such a pump power, the output power ranges between 0.35 mW and 0.5 mW for applied voltages in the 0 V-16 V range. Fig. 3 represents lasing spectra recorded for LC voltages ranging from 0 V to 20 V. Without any applied voltage on the LC, the lasing wavelength is centered at 1556.5 nm, and is continuously blue-shifted down to 1533.1 nm when the voltage is increased to 20 V, leading to an available tuning range of 23.5 nm.

This blue-shift of the lasing wavelength related to the EM is consistent with the fact that  $n_e$  decreases with the applied electric field on the LC layer. The wavelength shift starts at 2 V with a tuning efficiency close to 2 nm/V between 2 V and 10 V, which decreases to 0.7 nm/V above 10 V when most LC molecules have been reoriented along the

applied electric field. For higher voltages than 20 V the EM does not shift anymore which means that  $n_e$  has decreased but never reaches the  $n_o$  value. Also, as indicated in Fig. 3, two peaks identified as being OM are located at 1521.6 nm and 1612.3 nm. These peaks are red-shifted by 5.4 nm and 3.2 nm respectively when the voltage is increased, the red-shifting being even more pronounced for high voltages when the OM and EM become closer. There are clear indications that this unexpected OM wavelength shift might be related to a tuning-dependent temperature rise in the device. For pulsed pumping, this dependence disappears and it is well known that temperature has an impact on both EM and OM indices [21]. The thermal issue is always an important factor in VCSEL operation, but for this first proof of concept, the thermal resistance has not been optimized. Indeed, the thick InP layer (1.15  $\mu\text{m}$ ) which is transparent at the pump wavelength does not offer a strong thermal insulation between LC and the heated active region of the VCSEL. Furthermore, the employed bonding method to attach the III-V active region onto a Si substrate by using BCB polymer is not the best approach to minimize the thermal resistance of the VCSEL ( $R_{\text{th}} \sim 3000 \text{ K/W}$ ) [20].

In the following, we try to investigate more in details the tuning features of our device by comparing the experimental wavelength dependence with the computed ones. The simulations are based on a modified 1D-TMM (transfer matrix method) code, where the LC anchoring grating is taken into account using a Rigorous Couple Wave Analysis (RCWA). RCWA provides the transmission matrix of the grating, when the 1D-TMM analytical approach is used for the rest of the layers. The 1D-TMM code is applied for  $n_o$  and  $n_e$  providing the resonant wavelengths, QW material gain, and the corresponding standing wave profile for each resonant wavelength (see Fig. 1). The threshold gains are computed mainly to check that OM experiences a higher threshold, and thus to ensure that EM is the lasing mode as requested, which is confirmed by Fig. 4(c).

The quantitative investigation can be performed only on the mode lines and their dependence with the tuning voltage. Therefore these quantities have been extracted from Fig. 3 and reported in Fig. 4(a). Because of the nearly linear relation between LC indices and emission wavelengths, a similar dependence of the index vs. tuning voltage is assumed. This is fairly well approximated by the phenomenological dependence:

$$n_i = n_{i0} + \left[ 1 + \cos \frac{\pi V}{V_m} \right] \cdot \frac{dn_i}{2}$$

where,  $i$  is either  $e$  or  $o$ , and  $V$  and  $V_m$  are the tuning voltage and the maximum voltage respectively. The four index parameters are determined in such a way that the best fit of the modal lines is obtained. This yields:  $n_e = 1.68$ ,  $dn_e = -0.13$ ,  $n_o = 1.5$ ,  $dn_o = +0.02$ . The corresponding curves are shown in Fig. 4(b) and it is to be noted that a very similar dependence is proposed in [22]. The lower bound of the extraordinary index does not reach its ideal value ( $n_o$ ), which can be attributed to a portion of inactive LC molecules that do not react to the voltage.



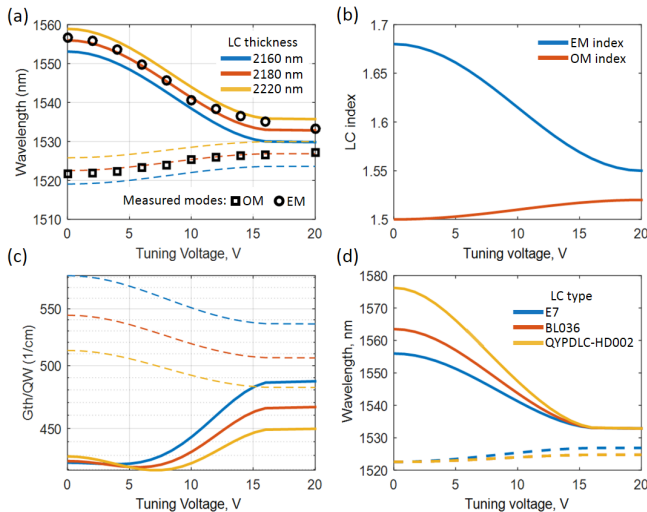


Fig. 4. (a) Computed emission wavelength of the EM/OM (continuous/dashed lines) vs. voltage for three different LC microcell thicknesses (see legend). Open squares and circles refer to the experimental results from Fig. 2. (b) Phenomenological LC index dependence on applied voltage. (c) Threshold gain corresponding to tuning curves of Fig. 4(a). (d) Similar to (a), but with different LC types (see legend).

The fine tuning of the emission wavelength is achieved by varying the SU8 thickness and the LC microcell thickness within the following tolerance ranges:  $600 \pm 150$  nm and  $2300 \pm 150$  nm. Agreement is obtained for a thickness value of 700 nm for the SU8 layer, and 2180 nm for the microcell. In Fig. 4(a), the effect of finely varying the latter thickness is shown.

Thus, satisfactory matching between experiment and simulation is found, pointing to an efficiency degradation in comparison with the nominal range of 1.72-1.52 expected for our nematic LC. This reduction in the LC birefringence, and thus in the wavelength tuning, is mainly attributed to its thermal dependence leading to a collapse of the LC birefringence around  $60^\circ\text{C}$ . Accordingly, the use of a nematic QYPDL-HD002 LC is expected to provide a higher tuning range, close to 45 nm as illustrated in Fig. 4(d).

#### IV. CONCLUSION

A  $1.55 \mu\text{m}$  InP-VCSEL with CW operation at RT and 23.5 nm wavelength tunability has been designed, fabricated and characterized. This device combines InP-based materials with LC microcells collectively fabricated and integrated on the surface of a half-cavity VCSEL. The maximum driving voltage applied to the LC is 20 V without any apparent current leakage, leading to low power consumption for the wavelength control of the device. In addition, simulations based on the experimental results have been conducted to identify the discrepancy between expected and observed spectral behavior. It turns out that the appearing reduction of LC birefringence is induced by thermal conduction between the active medium and the LC microcell. Finally, by using LC with a better thermal tolerance larger tuning ranges are expected. Further work includes investigation of the dynamical behavior of wavelength tuning. Indeed, typical response time of such LC being in the millisecond range, a frequency chirp of 4 THz/ms could be reached for coherent Lidar applications.

#### ACKNOWLEDGMENT

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## II. DESIGN AND TECHNOLOGY

Our tunable device consists of a micro-sized LC cell monolithically integrated above an InP-based half-VCSEL. For this first realization of a CW LC-VCSEL operating at RT, the semiconductor part of the cavity has been designed for optical pumping to simplify the fabrication process as much

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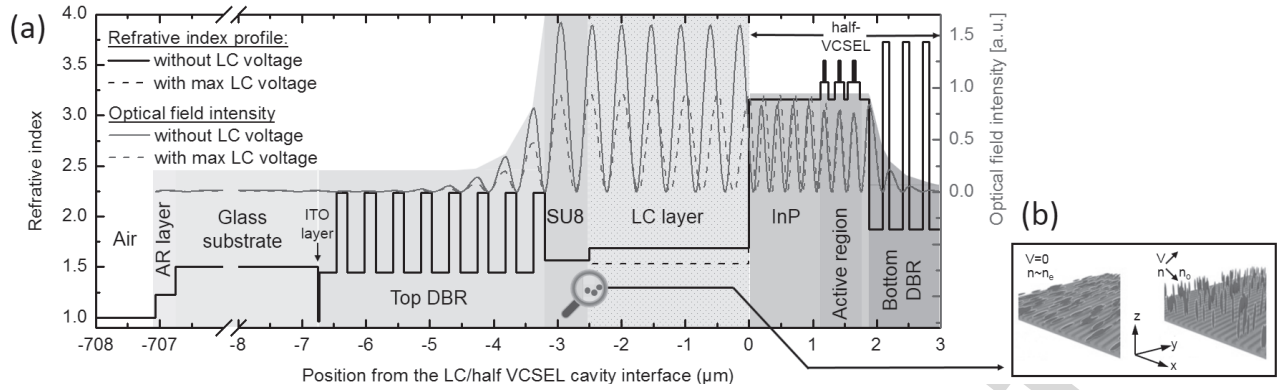


Fig. 1. (a) LC-VCSEL structure and internal optical field intensity for resonant modes related to the extraordinary index for two different voltages applied on the LC layer. (b) Enlarged schematic view of the subwavelength gratings printed on the SU8 layer for the LC alignment and reorientation of the LC molecules when AC voltage applied on the LC microcell is maximum.

78 as possible. The active region consists of 9 strained InGaAsP  
 79 quantum wells (QWs) grown by gas source molecular beam  
 80 epitaxy on an InP(001) substrate. These QWs, emitting  
 81 at  $1.55 \mu\text{m}$ , are distributed over three optical standing wave  
 82 anti-node positions within the cavity. The bottom mirror is  
 83 a  $5 \times (\text{SiN}_x/\text{aSi})$  periods DBR completed by a gold layer  
 84 deposited by sputtering to reach a theoretical reflectivity from  
 85 the inner cavity of 99.7%. This stack of layers is transferred  
 86 onto a Si substrate by a polymer bonding using a  $3 \mu\text{m}$  thick  
 87 BCB layer. Then the InP substrate is removed by mechanical  
 88 thinning and chemical etching to obtain the half-VCSEL  
 89 (see Fig. 1(a) - right part).

90 The technological process then continues with the collective  
 91 fabrication of LC microcells monolithically integrated onto  
 92 the half-VCSEL surface derived from a method based on dry  
 93 thick resist films [19]. First, a thick UV negative photoresist  
 94 (MicroChem PermiNex 2000) is spin-coated on the sample and  
 95 exposed through a mask to define squared areas, which will  
 96 be filled by LC in the final processing steps. Those microcells  
 97 are then covered by a top DBR with a theoretical reflectivity  
 98 of 99.5%, consisting of 8  $(\text{SiO}_2/\text{TiO}_2)$  periods deposited  
 99 on a glass substrate, previously coated with a 23 nm thick  
 100 ITO electrode to apply the voltage on the LC microcells.  
 101 The second electrode connected to the ground corresponds to  
 102 the gold layer deposited on top of the  $\text{SiN}_x/\text{aSi}$  mirror. The  
 103 upper part of the device, covered by an antireflection layer  
 104 at  $1.55 \mu\text{m}$ , is then laminated to the top surface of the half-  
 105 VCSEL at a low temperature ( $150^\circ\text{C}$ ). Prior to this step,  
 106 the top mirror surface is prepared for further LC molecules  
 107 alignment. This alignment is obtained through a planar anchor-  
 108 ing on a sub-wavelength grating printed on a 600 nm thick  
 109 SU8 layer before lamination. Reference [19] provides details  
 110 about this part of the process. The sub-wavelength grating is  
 111 patterned by a well-controlled nanoimprint process to define  
 112 a period of 780 nm, a duty cycle of 50:50, and a depth in the  
 113 range of [70-80 nm]. This grating, schematically depicted as a  
 114 blue layer in Fig. 1(b), has been designed to allow an efficient  
 115 orientation of the LC molecules during the microcells filling  
 116 while limiting optical losses by diffraction at the wavelength  
 117 of  $1.55 \mu\text{m}$ .

118 The final step consists in filling the microcells under vacuum  
 119 with a nematic LC similar to E7. This filling is achieved

120 at a temperature higher than the nematic-isotropic transition  
 121 ( $70^\circ\text{C}$ ) thanks to lateral apertures previously defined in the  
 122 PermiNex photoresist. All the layers and their associated  
 123 refractive indices are represented in Fig. 1. The distribution of  
 124 the stationary field inside the VCSEL cavity is also illustrated  
 125 for both cases, without applied voltage and for maximum  
 126 applied voltage, i.e. when all the LC molecules are reorient-  
 127 ed. As illustrated Fig. 1(b), without voltage, the average  
 128 orientation of the LC molecules (i.e. the director) is parallel  
 129 to the surface, and the refractive index is maximum (close to  
 130 the extraordinary index,  $n_e$ ). As the applied voltage increases,  
 131 the director is reoriented and the refractive index decreases  
 132 towards  $n_0$  (the ordinary index) leading to a blue shift of  
 133 the resonant wavelength. To avoid polarization bistability in  
 134 the VCSEL, special attention has been paid to the cavity  
 135 design. In particular, the LC thickness has been adjusted in  
 136 order to reject the resonant modes related to  $n_0$  (being not  
 137 affected by the applied voltage) far from the maximum gain of  
 138 the QWs, while keeping a resonant mode related to  $n_e$  centered  
 139 in the spectral window emitted by the QWs. The resonant  
 140 mode with a linear polarization parallel (or perpendicular) to  
 141 the director will be referred to as “EM for extraordinary mode”  
 142 (or “OM for ordinary mode”) in the following.

### 143 III. RESULTS AND DISCUSSION

144 After the fabrication stage, the tunable VCSEL has been  
 145 placed on a Peltier thermoelectric cooler and characterized  
 146 under optical pumping using a CW 980 nm semiconductor  
 147 fibered laser diode focused on a  $15 \mu\text{m}$  diameter spot  
 148 ( $1/e^2$  waist size). Fig. 2 shows the VCSEL output power as a  
 149 function of the absorbed pump power, measured for different  
 150 voltages applied on the LC microcells. As shown in Fig. 2,  
 151 the tunable VCSEL exhibits CW operation at  $17^\circ\text{C}$  with a  
 152 threshold power at around 6.5 mW. This threshold is not  
 153 strongly dependent on the applied voltage and corresponds  
 154 to a threshold power density of a few  $\text{kW} \cdot \text{cm}^{-2}$ , very  
 155 close to the ones previously reported for equivalent VCSELs  
 156 incorporating no LC [20]. Thus, as expected and demonstrated  
 157 in previous works [15], [19], the presence of the LC leads  
 158 to negligible additional losses, even in such highly sensitive  
 159 VCSEL structure. However, this LC layer has a significant  
 160 impact on the stability of the VCSEL emission. As illustrated



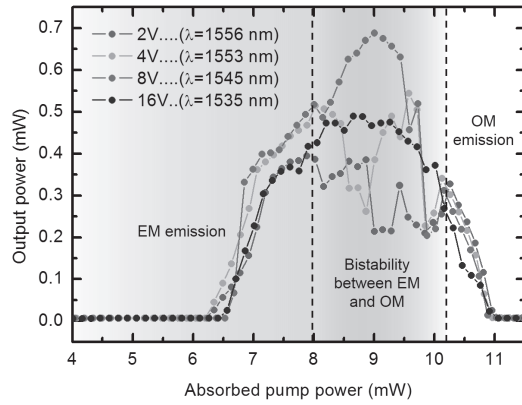


Fig. 2. Output power emitted as a function of the absorbed pump power for different AC voltages (20 kHz) applied on the LC microcells. Also shown are the different working areas where the VCSEL operates according to the extraordinary mode (EM), the ordinary mode (OM), or in a bi-stability regime between both modes.

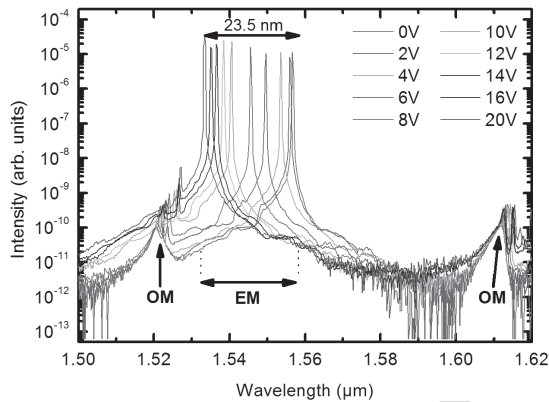


Fig. 3. VCSEL output spectra (290K, CW) measured for different AC voltages (20 kHz) applied on the LC and at a constant pump power set just below the unstable operation area (7.8 mW). The arrows give the spectral positions of the tunable lasing EM and the non-lasing OM corresponding respectively to the extraordinary ( $n_e$ ) and ordinary ( $n_o$ ) refractive indices of the LC.

in Fig. 2, the laser emission occurs in the EM near the threshold, but switches to the OM for high pump powers. For intermediate powers, a bistable emission is observed between OM and EM leading to strong fluctuations in the output powers, which are very dependent on the pump power itself but also on the LC voltage.

Consequently, the tuning range has been measured for a pump power of 7.8 mW, at the upper limit of the stability area, for a laser emission exclusively in EM. With such a pump power, the output power ranges between 0.35 mW and 0.5 mW for applied voltages in the 0 V-16 V range. Fig. 3 represents lasing spectra recorded for LC voltages ranging from 0 V to 20 V. Without any applied voltage on the LC, the lasing wavelength is centered at 1556.5 nm, and is continuously blue-shifted down to 1533.1 nm when the voltage is increased to 20 V, leading to an available tuning range of 23.5 nm.

This blue-shift of the lasing wavelength related to the EM is consistent with the fact that  $n_e$  decreases with the applied electric field on the LC layer. The wavelength shift starts at 2 V with a tuning efficiency close to 2 nm/V between 2 V and 10 V, which decreases to 0.7 nm/V above 10 V when most LC molecules have been reoriented along the

applied electric field. For higher voltages than 20 V the EM does not shift anymore which means that  $n_e$  has decreased but never reaches the  $n_o$  value. Also, as indicated in Fig. 3, two peaks identified as being OM are located at 1521.6 nm and 1612.3 nm. These peaks are red-shifted by 5.4 nm and 3.2 nm respectively when the voltage is increased, the red-shifting being even more pronounced for high voltages when the OM and EM become closer. There are clear indications that this unexpected OM wavelength shift might be related to a tuning-dependent temperature rise in the device. For pulsed pumping, this dependence disappears and it is well known that temperature has an impact on both EM and OM indices [21]. The thermal issue is always an important factor in VCSEL operation, but for this first proof of concept, the thermal resistance has not been optimized. Indeed, the thick InP layer (1.15  $\mu\text{m}$ ) which is transparent at the pump wavelength does not offer a strong thermal insulation between LC and the heated active region of the VCSEL. Furthermore, the employed bonding method to attach the III-V active region onto a Si substrate by using BCB polymer is not the best approach to minimize the thermal resistance of the VCSEL ( $R_{th} \sim 3000 \text{ K/W}$ ) [20].

In the following, we try to investigate more in details the tuning features of our device by comparing the experimental wavelength dependence with the computed ones. The simulations are based on a modified 1D-TMM (transfer matrix method) code, where the LC anchoring grating is taken into account using a Rigorous Couple Wave Analysis (RCWA). RCWA provides the transmission matrix of the grating, when the 1D-TMM analytical approach is used for the rest of the layers. The 1D-TMM code is applied for  $n_o$  and  $n_e$  providing the resonant wavelengths, QW material gain, and the corresponding standing wave profile for each resonant wavelength (see Fig. 1). The threshold gains are computed mainly to check that OM experiences a higher threshold, and thus to ensure that EM is the lasing mode as requested, which is confirmed by Fig. 4(c).

The quantitative investigation can be performed only on the mode lines and their dependence with the tuning voltage. Therefore these quantities have been extracted from Fig. 3 and reported in Fig. 4(a). Because of the nearly linear relation between LC indices and emission wavelengths, a similar dependence of the index vs. tuning voltage is assumed. This is fairly well approximated by the phenomenological dependence:

$$n_i = n_{i0} + \left[ 1 + \cos \frac{\pi V}{V_m} \right] \cdot \frac{dn_i}{2}$$

where,  $i$  is either  $e$  or  $o$ , and  $V$  and  $V_m$  are the tuning voltage and the maximum voltage respectively. The four index parameters are determined in such a way that the best fit of the modal lines is obtained. This yields:  $n_e = 1.68$ ,  $dn_e = -0.13$ ,  $n_o = 1.5$ ,  $dn_o = +0.02$ . The corresponding curves are shown in Fig. 4(b) and it is to be noted that a very similar dependence is proposed in [22]. The lower bound of the extraordinary index does not reach its ideal value ( $n_o$ ), which can be attributed to a portion of inactive LC molecules that do not react to the voltage.



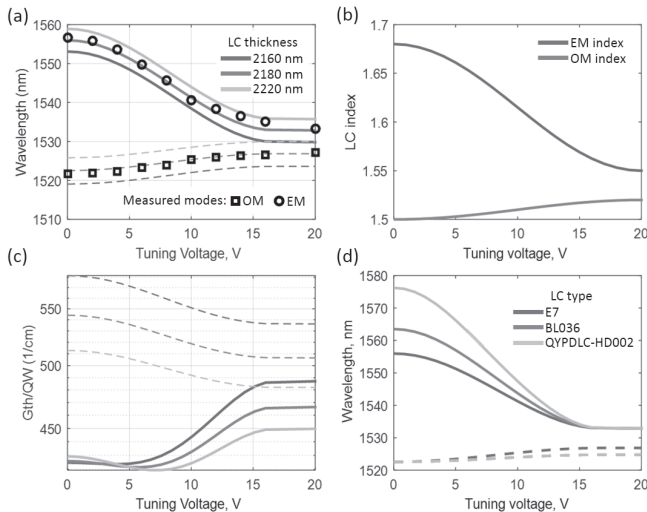


Fig. 4. (a) Computed emission wavelength of the EM/OM (continuous/dashed lines) vs. voltage for three different LC microcell thicknesses (see legend). Open squares and circles refer to the experimental results from Fig. 2. (b) Phenomenological LC index dependence on applied voltage. (c) Threshold gain corresponding to tuning curves of Fig. 4(a). (d) Similar to (a), but with different LC types (see legend).

The fine tuning of the emission wavelength is achieved by varying the SU8 thickness and the LC microcell thickness within the following tolerance ranges:  $600 \pm 150$  nm and  $2300 \pm 150$  nm. Agreement is obtained for a thickness value of 700 nm for the SU8 layer, and 2180 nm for the microcell. In Fig. 4(a), the effect of finely varying the latter thickness is shown.

Thus, satisfactory matching between experiment and simulation is found, pointing to an efficiency degradation in comparison with the nominal range of 1.72-1.52 expected for our nematic LC. This reduction in the LC birefringence, and thus in the wavelength tuning, is mainly attributed to its thermal dependence leading to a collapse of the LC birefringence around  $60^\circ\text{C}$ . Accordingly, the use of a nematic QYPDL-HD002 LC is expected to provide a higher tuning range, close to 45 nm as illustrated in Fig. 4(d).

#### IV. CONCLUSION

A  $1.55 \mu\text{m}$  InP-VCSEL with CW operation at RT and 23.5 nm wavelength tunability has been designed, fabricated and characterized. This device combines InP-based materials with LC microcells collectively fabricated and integrated on the surface of a half-cavity VCSEL. The maximum driving voltage applied to the LC is 20 V without any apparent current leakage, leading to low power consumption for the wavelength control of the device. In addition, simulations based on the experimental results have been conducted to identify the discrepancy between expected and observed spectral behavior. It turns out that the appearing reduction of LC birefringence is induced by thermal conduction between the active medium and the LC microcell. Finally, by using LC with a better thermal tolerance larger tuning ranges are expected. Further work includes investigation of the dynamical behavior of wavelength tuning. Indeed, typical response time of such LC being in the millisecond range, a frequency chirp of 4 THz/ms could be reached for coherent Lidar applications.

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