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# CW Operation of a Tunable 1550-nm VCSEL Integrating Liquid-Crystal Microcells

B. Boisnard, C. Levallois<sup>(D)</sup>, C. Paranthoen<sup>(D)</sup>, S. Pes, T. Camps, B. Sadani, K. Tavernier,

S. Bouchoule, L. Dupont, M. Alouini<sup>D</sup>, P. Debernardi, and V. Bardinal<sup>D</sup>

Abstract—An InP-based Vertical-Cavity Surface-Emitting 1 Laser (VCSEL) with a liquid crystal (LC) microcell monolithi-2 cally integrated on its surface for spectral tuning is investigated. 3 Unlike tunable VCSELs integrating a movable membrane, here 4 the physical length of the cavity remains unchanged and only the 5 voltage applied on the LC ensures a refractive index modification 6 for a particular polarization emitted by the VCSEL. This tunable 7 VCSEL operates in CW at room temperature and exhibits 8 more than 23 nm wavelength tuning around 1.55  $\mu$ m at a 9 maximum applied voltage of 20 V. The measured laser threshold 10 around 6.5 mW is still comparable to VCSEL without 11 LC microcell, a clear indication that the optical losses related 12 the LC are very low. On the other hand, for this first optically 13 pumped device, the lasing characteristics suggest that the LC 14 birefringence is lower than expected. To assess this hypothesis, 15 thermo-optical simulations have been conducted. 16

*Index Terms*—Vertical-cavity surface-emitting lasers, liquid
 crystal devices, semiconductor lasers.

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#### I. INTRODUCTION

**T**ERTICAL-CAVITY Surface-Emitting Lasers (VCSELs) 20 are key optical sources for optical communications, and 21 more recently for applications like autofocus imaging or facial 22 recognition on smartphones [1]. Their well-known advantages 23 related to longitudinal single-mode emission, circular beam 24 shape with a low divergence, low-power consumption, and an 25 easy 2D integration for mass production are very attractive for 26 such applications. However, for a wider range of applications, 27 including gas sensing [2], Optical Coherence Tomography 28 (OCT) [3], [4], Fiber Bragg Grating (FBG) sensing [5] and 29 optical spectroscopy [6], wavelength tunability is required. 30 In this case, the large free spectral range related to the VCSEL 31

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B. Boisnard, T. Camps, B. Sadani, and V. Bardinal are with Université Toulouse, CNRS, LAAS, F-31400 Toulouse, France (e-mail: bardinal@ laas.fr).

C. Levallois, C. Paranthoen, S. Pes, K. Tavernier, and M. Alouini are with Université Rennes, INSA Rennes, CNRS, Institut FOTON – UMR 6082, F-35000 Rennes, France (e-mail: christophe.Levallois@insa-rennes.fr).

S. Bouchoule is with C2N (Centre for Nanoscience and nanotechnology), CNRS, Université Paris-Sud, 91360 Marcoussis, France (e-mail: sophie.bouchoule@c2n.upsaclay.fr).

L. Dupont is with IMT Atlantique, Optics Department, 29200 Plouzané, France (e-mail: laurent.dupont@imt-atlantique.fr).

P. Debernardi is with Consiglio Nazionale delle Ricerche (CNR), IEIIT, 10129 Torino, Italy (e-mail: pierluigi.debernardi@ieiit.cnr.it).

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microcavity becomes interesting to obtain a wide spectral 32 tunability without mode hopping. To realize such tunable 33 VCSEL, it is then required to change the optical length of 34 the cavity. The most used technique is based on the variation 35 of the physical length of the optical resonator. This is typically 36 achieved by thermal or electrostatic actuation of a suspended 37 membrane acting as a movable end mirror for the cavity, which 38 is usually fabricated by using micro electrical-mechanical 39 systems (MEMS) technology. Broadband wavelength tunabil-40 ity has already been demonstrated using this technique, and 41 mainly for MEMS-VCSELs operating at wavelengths ranging 42 from 850 nm [7] to 1550 nm [8]. These demonstrations have 43 been both performed with optically [9], [10] and electrically 44 pumped devices [2]–[8]. More recently, a MEMS structure 45 based on a robust design has been also investigated [11]. 46 However, for all these MEMS-VCSELs, weaknesses can be 47 raised concerning the linewidth and stability of the emitted 48 wavelength [12], [13] and there may be advantages in terms 49 of lifetime, stability, and production cost by eliminating the 50 free-standing element necessary in MEMS-tunable VCSELs. 51

A promising alternative to this MEMS approach is the use of 52 liquid crystals (LC) which can provide a large refractive index 53 tuning with a moderate driving voltage and very low power 54 consumption. Several demonstrations have been conducted in 55 the past to integrate LC within compact photonic devices such 56 as ring resonators [14], photodiodes [15] or VCSELs [16]. 57 However, there is only one demonstration reporting the use of 58 LC inside a VCSEL cavity in order to tune its wavelength [17]. 59 In this pioneering work, a macro-sized LC cell with unper-60 fected thickness control led unfortunately to lasing operation in 61 a pulsed regime only. More recently, simulations results have 62 been published on a 1.55  $\mu$ m-VCSEL designed with nematic 63 LC enclosed within the VCSEL cavity, showing that a tuning 64 range of 68 nm might be expected [18]. 65

In this paper, we report for the first time CW operation at room temperature (RT) of a tunable VCSEL emitting at 1550 nm integrating a LC microcell monolithically fabricated on an InP-based half-cavity VCSEL. The design and fabrication steps are detailed. Laser characteristics are also presented, discussed and compared to thermo-optical simulations.

#### II. DESIGN AND TECHNOLOGY

Our tunable device consists of a micro-sized LC cell monolithically integrated above an InP-based half-VCSEL. For this first realization of a CW LC-VCSEL operating at RT, the semiconductor part of the cavity has been designed for optical pumping to simplify the fabrication process as much 77

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Fig. 1. (a) LC-VCSEL structure and internal optical field intensity for resonant modes related to the extraordinary index for two different voltages applied on the LC layer. (b) Enlarged schematic view of the subwavelength gratings printed on the SU8 layer for the LC alignment and reorientation of the LC molecules when AC voltage applied on the LC microcell is maximum.

as possible. The active region consists of 9 strained InGaAsP 78 quantum wells (QWs) grown by gas source molecular beam 79 epitaxy on an InP(001) substrate. These QWs, emitting 80 at 1.55  $\mu$ m, are distributed over three optical standing wave 81 anti-node positions within the cavity. The bottom mirror is 82  $5 \times (SiN_x/aSi)$  periods DBR completed by a gold layer а 83 deposited by sputtering to reach a theoretical reflectivity from 84 the inner cavity of 99.7%. This stack of layers is transferred 85 onto a Si substrate by a polymer bonding using a 3  $\mu$ m thick 86 BCB layer. Then the InP substrate is removed by mechanical 87 thinning and chemical etching to obtain the half-VCSEL 88 (see Fig. 1(a) - right part). 89

The technological process then continues with the collective 90 fabrication of LC microcells monolithically integrated onto 91 the half-VCSEL surface derived from a method based on dry 92 thick resist films [19]. First, a thick UV negative photoresist 93 (MicroChem PermiNex 2000) is spin-coated on the sample and 94 exposed through a mask to define squared areas, which will 95 be filled by LC in the final processing steps. Those microcells 96 are then covered by a top DBR with a theoretical reflectivity 97 of 99.5%, consisting of 8 (SiO<sub>2</sub>/TiO<sub>2</sub>) periods deposited 98 on a glass substrate, previously coated with a 23 nm thick 99 ITO electrode to apply the voltage on the LC microcells. 100 The second electrode connected to the ground corresponds to 101 the gold layer deposited on top of the SiN<sub>x</sub>/aSi mirror. The 102 upper part of the device, covered by an antireflection layer 103 at 1.55  $\mu$ m, is then laminated to the top surface of the half-104 VCSEL at a low temperature (150°C). Prior to this step, 105 the top mirror surface is prepared for further LC molecules 106 alignment. This alignment is obtained through a planar anchor-107 ing on a sub-wavelength grating printed on a 600 nm thick 108 SU8 layer before lamination. Reference [19] provides details 109 about this part of the process. The sub-wavelength grating is 110 patterned by a well-controlled nanoimprint process to define 111 a period of 780 nm, a duty cycle of 50:50, and a depth in the 112 range of [70-80 nm]. This grating, schematically depicted as a 113 blue layer in Fig. 1(b), has been designed to allow an efficient 114 orientation of the LC molecules during the microcells filling 115 while limiting optical losses by diffraction at the wavelength 116 of 1.55 µm. 117

The final step consists in filling the microcells under vacuum with a nematic LC similar to E7. This filling is achieved at a temperature higher than the nematic-isotropic transition 120 (70 °C) thanks to lateral apertures previously defined in the 121 PermiNex photoresist. All the layers and their associated 122 refractive indices are represented in Fig. 1. The distribution of 123 the stationary field inside the VCSEL cavity is also illustrated 124 for both cases, without applied voltage and for maximum 125 applied voltage, i.e. when all the LC molecules are reori-126 ented. As illustrated Fig. 1(b), without voltage, the average 127 orientation of the LC molecules (i.e. the director) is parallel 128 to the surface, and the refractive index is maximum (close to 129 the extraordinary index,  $n_e$ ). As the applied voltage increases, 130 the director is reoriented and the refractive index decreases 131 towards  $n_0$  (the ordinary index) leading to a blue shift of 132 the resonant wavelength. To avoid polarization bistability in 133 the VCSEL, special attention has been paid to the cavity 134 design. In particular, the LC thickness has been adjusted in 135 order to reject the resonant modes related to  $n_0$  (being not 136 affected by the applied voltage) far from the maximum gain of 137 the QWs, while keeping a resonant mode related to ne centered 138 in the spectral window emitted by the QWs. The resonant 139 mode with a linear polarization parallel (or perpendicular) to 140 the director will be referred to as "EM for extraordinary mode" 141 (or "OM for ordinary mode") in the following. 142

#### **III. RESULTS AND DISCUSSION**

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After the fabrication stage, the tunable VCSEL has been 144 placed on a Peltier thermoelectric cooler and characterized 145 under optical pumping using a CW 980 nm semiconduc-146 tor fibered laser diode focused on a 15  $\mu$ m diameter spot 147  $(1/e^2$  waist size). Fig. 2 shows the VCSEL output power as a 148 function of the absorbed pump power, measured for different 149 voltages applied on the LC microcells. As shown in Fig. 2, 150 the tunable VCSEL exhibits CW operation at 17°C with a 151 threshold power at around 6.5 mW. This threshold is not 152 strongly dependent on the applied voltage and corresponds 153 to a threshold power density of a few kW  $\cdot$  cm<sup>-2</sup>, very 154 close to the ones previously reported for equivalent VCSELs 155 incorporating no LC [20]. Thus, as expected and demonstrated 156 in previous works [15], [19], the presence of the LC leads 157 to negligible additional losses, even in such highly sensitive 158 VCSEL structure. However, this LC layer has a significant 159 impact on the stability of the VCSEL emission. As illustrated 160



Fig. 2. Output power emitted as a function of the absorbed pump power for different AC voltages (20 kHz) applied on the LC microcells. Also shown are the different working areas where the VCSEL operates according to the extraordinary mode (EM), the ordinary mode (OM), or in a bi-stability regime between both modes.



Fig. 3. VCSEL output spectra (290K, CW) measured for different AC voltages (20 kHz) applied on the LC and at a constant pump power set just below the unstable operation area (7.8 mW). The arrows give the spectral positions of the tunable lasing EM and the non-lasing OM corresponding respectively to the extraordinary ( $n_e$ ) and ordinary ( $n_0$ ) refractive indices of the LC.

in Fig. 2, the laser emission occurs in the EM near the
threshold, but switches to the OM for high pump powers. For
intermediate powers, a bistable emission is observed between
OM and EM leading to strong fluctuations in the output
powers, which are very dependent on the pump power itself
but also on the LC voltage.

Consequently, the tuning range has been measured for a 167 pump power of 7.8 mW, at the upper limit of the stability 168 area, for a laser emission exclusively in EM. With such a pump 169 power, the output power ranges between 0.35 mW and 0.5 mW 170 for applied voltages in the 0 V-16 V range. Fig. 3 represents 171 lasing spectra recorded for LC voltages ranging from 0 V 172 to 20 V. Without any applied voltage on the LC, the lasing 173 wavelength is centered at 1556.5 nm, and is continuously 174 blue-shifted down to 1533.1 nm when the voltage is increased 175 to 20 V, leading to an available tuning range of 23.5 nm. 176

This blue-shift of the lasing wavelength related to the EM is consistent with the fact that  $n_e$  decreases with the applied electric field on the LC layer. The wavelength shift starts at 2 V with a tuning efficiency close to 2 nm/V between 2 V and 10 V, which decreases to 0.7 nm/V above 10 V when most LC molecules have been reoriented along the

applied electric field. For higher voltages than 20 V the EM 183 does not shift anymore which means that ne has decreased 184 but never reaches the  $n_0$  value. Also, as indicated in Fig. 3, 185 two peaks identified as being OM are located at 1521.6 nm 186 and 1612.3 nm. These peaks are red-shifted by 5.4 nm and 187 3.2 nm respectively when the voltage is increased, the red-188 shifting being even more pronounced for high voltages when 189 the OM and EM become closer. There are clear indications 190 that this unexpected OM wavelength shift might be related 191 to a tuning-dependent temperature rise in the device. For 192 pulsed pumping, this dependence disappears and it is well 193 known that temperature has an impact on both EM and 194 OM indices [21]. The thermal issue is always an important 195 factor in VCSEL operation, but for this first proof of con-196 cept, the thermal resistance has not been optimized. Indeed, 197 the thick InP layer (1.15  $\mu$ m) which is transparent at the 198 pump wavelength does not offer a strong thermal insulation 199 between LC and the heated active region of the VCSEL. 200 Furthermore, the employed bonding method to attach the 201 III-V active region onto a Si substrate by using BCB polymer 202 is not the best approach to minimize the thermal resistance of 203 the VCSEL ( $R_{th} \sim 3000$  K/W) [20]. 204

In the following, we try to investigate more in details 205 the tuning features of our device by comparing the exper-206 imental wavelength dependence with the computed ones. 207 The simulations are based on a modified 1D-TMM (transfer 208 matrix method) code, where the LC anchoring grating is 209 taken into account using a Rigorous Couple Wave Analysis 210 (RCWA). RCWA provides the transmission matrix of the 211 grating, when the 1D-TMM analytical approach is used for 212 the rest of the layers. The 1D-TMM code is applied for no and 213 ne providing the resonant wavelengths, QW material gain, 214 and the corresponding standing wave profile for each resonant 215 wavelength (see Fig. 1). The threshold gains are computed 216 mainly to check that OM experiences a higher threshold, and 217 thus to ensure that EM is the lasing mode as requested, which 218 is confirmed by Fig. 4(c). 219

The quantitative investigation can be performed only on 220 the mode lines and their dependence with the tuning voltage. 221 Therefore these quantities have been extracted from Fig. 3 and 222 reported in Fig. 4(a). Because of the nearly linear relation 223 between LC indices and emission wavelengths, a similar 224 dependence of the index vs. tuning voltage is assumed. 225 This is fairly well approximated by the phenomenological 226 dependence: 227

$$n_i = n_{i0} + \left[1 + \cos\frac{\pi V}{V_m}\right] \cdot \frac{dn_i}{2}$$
<sup>220</sup>

where, i is either e or o, and V and V<sub>m</sub> are the tuning 229 voltage and the maximum voltage respectively. The four index 230 parameters are determined in such a way that the best fit of the 231 modal lines is obtained. This yields:  $n_e = 1.68$ ,  $dn_e = -0.13$ , 232  $n_0 = 1.5$ ,  $dn_0 = +0.02$ . The corresponding curves are shown 233 in Fig. 4(b) and it is to be noted that a very similar dependence 234 is proposed in [22]. The lower bound of the extraordinary 235 index does not reach its ideal value (no), which can be 236 attributed to a portion of inactive LC molecules that do not 237 react to the voltage. 238

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Fig. 4. (a) Computed emission wavelength of the EM/OM (continuous/dashed lines) vs. voltage for three different LC microcell thicknesses (see legend). Open squares and circles refer to the experimental results from Fig. 2. (b) Phenomenological LC index dependence on applied voltage. (c) Threshold gain corresponding to tuning curves of Fig. 4(a). (d) Similar to (a), but with different LC types (see legend).

The fine tuning of the emission wavelength is achieved by varying the SU8 thickness and the LC microcell thickness within the following tolerance ranges:  $600\pm150$  nm and  $2300\pm150$  nm. Agreement is obtained for a thickness value of 700 nm for the SU8 layer, and 2180 nm for the microcell. In Fig. 4(a), the effect of finely varying the latter thickness is shown.

Thus, satisfactory matching between experiment and sim-246 ulation is found, pointing to an efficiency degradation in 247 comparison with the nominal range of 1.72-1.52 expected 248 for our nematic LC. This reduction in the LC birefringence, 249 and thus in the wavelength tuning, is mainly attributed to 250 its thermal dependence leading to a collapse of the LC 251 birefringence around 60°C. Accordingly, the use of a nematic 252 QYPDL-HD002 LC is expected to provide a higher tuning 253 range, close to 45 nm as illustrated in Fig. 4(d). 254

#### IV. CONCLUSION

A 1.55 µm InP-VCSEL with CW operation at RT and 256 23.5 nm wavelength tunability has been designed, fabricated 257 and characterized. This device combines InP-based materials 258 with LC microcells collectively fabricated and integrated on 259 the surface of a half-cavity VCSEL. The maximum driving 260 voltage applied to the LC is 20 V without any apparent current 261 leakage, leading to low power consumption for the wavelength 262 control of the device. In addition, simulations based on the 263 experimental results have been conducted to identify the 264 discrepancy between expected and observed spectral behavior. 265 It turns out that the appearing reduction of LC birefringence is 266 induced by thermal conduction between the active medium and 267 the LC microcell. Finally, by using LC with a better thermal 268 tolerance larger tuning ranges are expected. Further work 269 includes investigation of the dynamical behavior of wavelength 270 tuning. Indeed, typical response time of such LC being in the 271 millisecond range, a frequency chirp of 4 THz/ms could be 272 reached for coherent Lidar applications. 273

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B. Boisnard, T. Camps, B. Sadani, and V. Bardinal are with Université Toulouse, CNRS, LAAS, F-31400 Toulouse, France (e-mail: bardinal@ laas.fr).

C. Levallois, C. Paranthoen, S. Pes, K. Tavernier, and M. Alouini are with Université Rennes, INSA Rennes, CNRS, Institut FOTON – UMR 6082, F-35000 Rennes, France (e-mail: christophe.Levallois@insa-rennes.fr).

S. Bouchoule is with C2N (Centre for Nanoscience and nanotechnology), CNRS, Université Paris-Sud, 91360 Marcoussis, France (e-mail: sophie.bouchoule@c2n.upsaclay.fr).

L. Dupont is with IMT Atlantique, Optics Department, 29200 Plouzané, France (e-mail: laurent.dupont@imt-atlantique.fr).

P. Debernardi is with Consiglio Nazionale delle Ricerche (CNR), IEIIT, 10129 Torino, Italy (e-mail: pierluigi.debernardi@ieiit.cnr.it).

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microcavity becomes interesting to obtain a wide spectral 32 tunability without mode hopping. To realize such tunable 33 VCSEL, it is then required to change the optical length of 34 the cavity. The most used technique is based on the variation 35 of the physical length of the optical resonator. This is typically 36 achieved by thermal or electrostatic actuation of a suspended 37 membrane acting as a movable end mirror for the cavity, which 38 is usually fabricated by using micro electrical-mechanical 39 systems (MEMS) technology. Broadband wavelength tunabil-40 ity has already been demonstrated using this technique, and 41 mainly for MEMS-VCSELs operating at wavelengths ranging 42 from 850 nm [7] to 1550 nm [8]. These demonstrations have 43 been both performed with optically [9], [10] and electrically 44 pumped devices [2]–[8]. More recently, a MEMS structure 45 based on a robust design has been also investigated [11]. 46 However, for all these MEMS-VCSELs, weaknesses can be 47 raised concerning the linewidth and stability of the emitted 48 wavelength [12], [13] and there may be advantages in terms 49 of lifetime, stability, and production cost by eliminating the 50 free-standing element necessary in MEMS-tunable VCSELs. 51

A promising alternative to this MEMS approach is the use of 52 liquid crystals (LC) which can provide a large refractive index 53 tuning with a moderate driving voltage and very low power 54 consumption. Several demonstrations have been conducted in 55 the past to integrate LC within compact photonic devices such 56 as ring resonators [14], photodiodes [15] or VCSELs [16]. 57 However, there is only one demonstration reporting the use of 58 LC inside a VCSEL cavity in order to tune its wavelength [17]. 59 In this pioneering work, a macro-sized LC cell with unper-60 fected thickness control led unfortunately to lasing operation in 61 a pulsed regime only. More recently, simulations results have 62 been published on a 1.55  $\mu$ m-VCSEL designed with nematic 63 LC enclosed within the VCSEL cavity, showing that a tuning 64 range of 68 nm might be expected [18]. 65

In this paper, we report for the first time CW operation at room temperature (RT) of a tunable VCSEL emitting at 1550 nm integrating a LC microcell monolithically fabricated on an InP-based half-cavity VCSEL. The design and fabrication steps are detailed. Laser characteristics are also presented, discussed and compared to thermo-optical simulations.

#### II. DESIGN AND TECHNOLOGY

Our tunable device consists of a micro-sized LC cell monolithically integrated above an InP-based half-VCSEL. For this first realization of a CW LC-VCSEL operating at RT, the semiconductor part of the cavity has been designed for optical pumping to simplify the fabrication process as much 77

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Fig. 1. (a) LC-VCSEL structure and internal optical field intensity for resonant modes related to the extraordinary index for two different voltages applied on the LC layer. (b) Enlarged schematic view of the subwavelength gratings printed on the SU8 layer for the LC alignment and reorientation of the LC molecules when AC voltage applied on the LC microcell is maximum.

as possible. The active region consists of 9 strained InGaAsP 78 quantum wells (QWs) grown by gas source molecular beam 79 epitaxy on an InP(001) substrate. These QWs, emitting 80 at 1.55  $\mu$ m, are distributed over three optical standing wave 81 anti-node positions within the cavity. The bottom mirror is 82  $5 \times (SiN_x/aSi)$  periods DBR completed by a gold layer а 83 deposited by sputtering to reach a theoretical reflectivity from 84 the inner cavity of 99.7%. This stack of layers is transferred 85 onto a Si substrate by a polymer bonding using a 3  $\mu$ m thick 86 BCB layer. Then the InP substrate is removed by mechanical 87 thinning and chemical etching to obtain the half-VCSEL 88 (see Fig. 1(a) - right part). 89

The technological process then continues with the collective 90 fabrication of LC microcells monolithically integrated onto 91 the half-VCSEL surface derived from a method based on dry 92 thick resist films [19]. First, a thick UV negative photoresist 93 (MicroChem PermiNex 2000) is spin-coated on the sample and 94 exposed through a mask to define squared areas, which will 95 be filled by LC in the final processing steps. Those microcells 96 are then covered by a top DBR with a theoretical reflectivity 97 of 99.5%, consisting of 8 (SiO<sub>2</sub>/TiO<sub>2</sub>) periods deposited 98 on a glass substrate, previously coated with a 23 nm thick 99 ITO electrode to apply the voltage on the LC microcells. 100 The second electrode connected to the ground corresponds to 101 the gold layer deposited on top of the SiN<sub>x</sub>/aSi mirror. The 102 upper part of the device, covered by an antireflection layer 103 at 1.55  $\mu$ m, is then laminated to the top surface of the half-104 VCSEL at a low temperature (150°C). Prior to this step, 105 the top mirror surface is prepared for further LC molecules 106 alignment. This alignment is obtained through a planar anchor-107 ing on a sub-wavelength grating printed on a 600 nm thick 108 SU8 layer before lamination. Reference [19] provides details 109 about this part of the process. The sub-wavelength grating is 110 patterned by a well-controlled nanoimprint process to define 111 a period of 780 nm, a duty cycle of 50:50, and a depth in the 112 range of [70-80 nm]. This grating, schematically depicted as a 113 blue layer in Fig. 1(b), has been designed to allow an efficient 114 orientation of the LC molecules during the microcells filling 115 while limiting optical losses by diffraction at the wavelength 116 of 1.55 µm. 117

The final step consists in filling the microcells under vacuum with a nematic LC similar to E7. This filling is achieved at a temperature higher than the nematic-isotropic transition 120 (70 °C) thanks to lateral apertures previously defined in the 121 PermiNex photoresist. All the layers and their associated 122 refractive indices are represented in Fig. 1. The distribution of 123 the stationary field inside the VCSEL cavity is also illustrated 124 for both cases, without applied voltage and for maximum 125 applied voltage, i.e. when all the LC molecules are reori-126 ented. As illustrated Fig. 1(b), without voltage, the average 127 orientation of the LC molecules (i.e. the director) is parallel 128 to the surface, and the refractive index is maximum (close to 129 the extraordinary index,  $n_e$ ). As the applied voltage increases, 130 the director is reoriented and the refractive index decreases 131 towards  $n_0$  (the ordinary index) leading to a blue shift of 132 the resonant wavelength. To avoid polarization bistability in 133 the VCSEL, special attention has been paid to the cavity 134 design. In particular, the LC thickness has been adjusted in 135 order to reject the resonant modes related to  $n_0$  (being not 136 affected by the applied voltage) far from the maximum gain of 137 the QWs, while keeping a resonant mode related to ne centered 138 in the spectral window emitted by the QWs. The resonant 139 mode with a linear polarization parallel (or perpendicular) to 140 the director will be referred to as "EM for extraordinary mode" 141 (or "OM for ordinary mode") in the following. 142

#### **III. RESULTS AND DISCUSSION**

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After the fabrication stage, the tunable VCSEL has been 144 placed on a Peltier thermoelectric cooler and characterized 145 under optical pumping using a CW 980 nm semiconduc-146 tor fibered laser diode focused on a 15  $\mu$ m diameter spot 147  $(1/e^2$  waist size). Fig. 2 shows the VCSEL output power as a 148 function of the absorbed pump power, measured for different 149 voltages applied on the LC microcells. As shown in Fig. 2, 150 the tunable VCSEL exhibits CW operation at 17°C with a 151 threshold power at around 6.5 mW. This threshold is not 152 strongly dependent on the applied voltage and corresponds 153 to a threshold power density of a few kW  $\cdot$  cm<sup>-2</sup>, very 154 close to the ones previously reported for equivalent VCSELs 155 incorporating no LC [20]. Thus, as expected and demonstrated 156 in previous works [15], [19], the presence of the LC leads 157 to negligible additional losses, even in such highly sensitive 158 VCSEL structure. However, this LC layer has a significant 159 impact on the stability of the VCSEL emission. As illustrated 160



Fig. 2. Output power emitted as a function of the absorbed pump power for different AC voltages (20 kHz) applied on the LC microcells. Also shown are the different working areas where the VCSEL operates according to the extraordinary mode (EM), the ordinary mode (OM), or in a bi-stability regime between both modes.



Fig. 3. VCSEL output spectra (290K, CW) measured for different AC voltages (20 kHz) applied on the LC and at a constant pump power set just below the unstable operation area (7.8 mW). The arrows give the spectral positions of the tunable lasing EM and the non-lasing OM corresponding respectively to the extraordinary ( $n_e$ ) and ordinary ( $n_0$ ) refractive indices of the LC.

in Fig. 2, the laser emission occurs in the EM near the
threshold, but switches to the OM for high pump powers. For
intermediate powers, a bistable emission is observed between
OM and EM leading to strong fluctuations in the output
powers, which are very dependent on the pump power itself
but also on the LC voltage.

Consequently, the tuning range has been measured for a 167 pump power of 7.8 mW, at the upper limit of the stability 168 area, for a laser emission exclusively in EM. With such a pump 169 power, the output power ranges between 0.35 mW and 0.5 mW 170 for applied voltages in the 0 V-16 V range. Fig. 3 represents 171 lasing spectra recorded for LC voltages ranging from 0 V 172 to 20 V. Without any applied voltage on the LC, the lasing 173 wavelength is centered at 1556.5 nm, and is continuously 174 blue-shifted down to 1533.1 nm when the voltage is increased 175 to 20 V, leading to an available tuning range of 23.5 nm. 176

This blue-shift of the lasing wavelength related to the EM is consistent with the fact that  $n_e$  decreases with the applied electric field on the LC layer. The wavelength shift starts at 2 V with a tuning efficiency close to 2 nm/V between 2 V and 10 V, which decreases to 0.7 nm/V above 10 V when most LC molecules have been reoriented along the applied electric field. For higher voltages than 20 V the EM 183 does not shift anymore which means that ne has decreased 184 but never reaches the  $n_0$  value. Also, as indicated in Fig. 3, 185 two peaks identified as being OM are located at 1521.6 nm 186 and 1612.3 nm. These peaks are red-shifted by 5.4 nm and 187 3.2 nm respectively when the voltage is increased, the red-188 shifting being even more pronounced for high voltages when 189 the OM and EM become closer. There are clear indications 190 that this unexpected OM wavelength shift might be related 191 to a tuning-dependent temperature rise in the device. For 192 pulsed pumping, this dependence disappears and it is well 193 known that temperature has an impact on both EM and 194 OM indices [21]. The thermal issue is always an important 195 factor in VCSEL operation, but for this first proof of con-196 cept, the thermal resistance has not been optimized. Indeed, 197 the thick InP layer (1.15  $\mu$ m) which is transparent at the 198 pump wavelength does not offer a strong thermal insulation 199 between LC and the heated active region of the VCSEL. 200 Furthermore, the employed bonding method to attach the 201 III-V active region onto a Si substrate by using BCB polymer 202 is not the best approach to minimize the thermal resistance of 203 the VCSEL ( $R_{th} \sim 3000$  K/W) [20]. 204

In the following, we try to investigate more in details 205 the tuning features of our device by comparing the exper-206 imental wavelength dependence with the computed ones. 207 The simulations are based on a modified 1D-TMM (transfer 208 matrix method) code, where the LC anchoring grating is 209 taken into account using a Rigorous Couple Wave Analysis 210 (RCWA). RCWA provides the transmission matrix of the 211 grating, when the 1D-TMM analytical approach is used for 212 the rest of the layers. The 1D-TMM code is applied for no and 213 ne providing the resonant wavelengths, QW material gain, 214 and the corresponding standing wave profile for each resonant 215 wavelength (see Fig. 1). The threshold gains are computed 216 mainly to check that OM experiences a higher threshold, and 217 thus to ensure that EM is the lasing mode as requested, which 218 is confirmed by Fig. 4(c). 219

The quantitative investigation can be performed only on 220 the mode lines and their dependence with the tuning voltage. 221 Therefore these quantities have been extracted from Fig. 3 and 222 reported in Fig. 4(a). Because of the nearly linear relation 223 between LC indices and emission wavelengths, a similar 224 dependence of the index vs. tuning voltage is assumed. 225 This is fairly well approximated by the phenomenological 226 dependence: 227

$$n_i = n_{i0} + \left[1 + \cos\frac{\pi V}{V_m}\right] \cdot \frac{dn_i}{2}$$
<sup>220</sup>

where, i is either e or o, and V and V<sub>m</sub> are the tuning 229 voltage and the maximum voltage respectively. The four index 230 parameters are determined in such a way that the best fit of the 231 modal lines is obtained. This yields:  $n_e = 1.68$ ,  $dn_e = -0.13$ , 232  $n_0 = 1.5$ ,  $dn_0 = +0.02$ . The corresponding curves are shown 233 in Fig. 4(b) and it is to be noted that a very similar dependence 234 is proposed in [22]. The lower bound of the extraordinary 235 index does not reach its ideal value (no), which can be 236 attributed to a portion of inactive LC molecules that do not 237 react to the voltage. 238

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Fig. 4. (a) Computed emission wavelength of the EM/OM (continuous/dashed lines) vs. voltage for three different LC microcell thicknesses (see legend). Open squares and circles refer to the experimental results from Fig. 2. (b) Phenomenological LC index dependence on applied voltage. (c) Threshold gain corresponding to tuning curves of Fig. 4(a). (d) Similar to (a), but with different LC types (see legend).

The fine tuning of the emission wavelength is achieved by varying the SU8 thickness and the LC microcell thickness within the following tolerance ranges:  $600\pm150$  nm and  $2300\pm150$  nm. Agreement is obtained for a thickness value of 700 nm for the SU8 layer, and 2180 nm for the microcell. In Fig. 4(a), the effect of finely varying the latter thickness is shown.

Thus, satisfactory matching between experiment and sim-246 ulation is found, pointing to an efficiency degradation in 247 comparison with the nominal range of 1.72-1.52 expected 248 for our nematic LC. This reduction in the LC birefringence, 249 and thus in the wavelength tuning, is mainly attributed to 250 its thermal dependence leading to a collapse of the LC 251 birefringence around 60°C. Accordingly, the use of a nematic 252 QYPDL-HD002 LC is expected to provide a higher tuning 253 range, close to 45 nm as illustrated in Fig. 4(d). 254

#### IV. CONCLUSION

A 1.55 µm InP-VCSEL with CW operation at RT and 256 23.5 nm wavelength tunability has been designed, fabricated 257 and characterized. This device combines InP-based materials 258 with LC microcells collectively fabricated and integrated on 259 the surface of a half-cavity VCSEL. The maximum driving 260 voltage applied to the LC is 20 V without any apparent current 261 leakage, leading to low power consumption for the wavelength 262 control of the device. In addition, simulations based on the 263 experimental results have been conducted to identify the 264 discrepancy between expected and observed spectral behavior. 265 It turns out that the appearing reduction of LC birefringence is 266 induced by thermal conduction between the active medium and 267 the LC microcell. Finally, by using LC with a better thermal 268 tolerance larger tuning ranges are expected. Further work 269 includes investigation of the dynamical behavior of wavelength 270 tuning. Indeed, typical response time of such LC being in the 271 millisecond range, a frequency chirp of 4 THz/ms could be 272 273 reached for coherent Lidar applications.

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