

Technical report: supervised training of convolutional spiking neural networks with PyTorch

Romain Zimmer, Thomas Pellegrini, Srisht Fateh Singh, Timothée Masquelier

► To cite this version:

Romain Zimmer, Thomas Pellegrini, Srisht Fateh Singh, Timothée Masquelier. Technical report: supervised training of convolutional spiking neural networks with PyTorch. [Technical Report] CERCO UMR 5549, CNRS – Université Toulouse 3, Toulouse, France. 2019. hal-02870276

HAL Id: hal-02870276 https://hal.science/hal-02870276

Submitted on 18 Dec 2020

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers. L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Technical report: supervised training of convolutional spiking neural networks with PyTorch

Romain Zimmer^{1, 2}, Thomas Pellegrini², Srisht Fateh Singh¹, and Timothée Masquelier¹

¹CERCO UMR 5549, CNRS – Université Toulouse 3, Toulouse, France ²IRIT, Université de Toulouse, Toulouse, France

Recently, it has been shown that spiking neural networks (SNNs) can be trained efficiently, in a supervised manner, using backpropagation through time. Indeed, the most commonly used spiking neuron model, the leaky integrate-andfire neuron, obeys a differential equation which can be approximated using discrete time steps, leading to a recurrent relation for the potential. The firing threshold causes optimization issues, but they can be overcome using a surrogate gradient. Here, we extend previous approaches in two ways. Firstly, we show that the approach can be used to train convolutional layers. Convolutions can be done in space, time (which simulates conduction delays), or both. Secondly, we include fast horizontal connections à la Denève: when a neuron N fires, we subtract to the potentials of all the neurons with the same receptive the dot product between their weight vectors and the one of neuron N. As Denève et al. showed, this is useful to represent a dynamic multidimensional analog signal in a population of spiking neurons. Here we demonstrate that, in addition, such connections also allow implementing a multidimensional send-on-delta coding scheme. We validate our approach on one speech classification benchmarks: the Google speech command dataset. We managed to reach nearly state-of-the-art accuracy (94%) while maintaining low firing rates (about 5Hz). Our code is based on PyTorch and is available in open source at http://github.com/romainzimmer/s2net.

CONTENTS

1. Introduction	3
2. Literature review	3
3. Integrate and Fire neuron models 3.1. Leaky Integrate and Fire (LIF) 3.2. Non-Leaky Integrate and Fire (NLIF) 3.3. Input current	6 6 6
4. Spiking neural networks and event-based sampling 4.1. Rate vs Temporal Coding	7 7 7 9
5.1. LIF neurons as Recurrent Neural Networks cells 5.2. Surrogate gradient 5.3. Feed-forward model 5.3.1. Fully-connected spiking layer 5.3.2. Convolutional spiking layer 5.3.3. Readout layer 5.4. Recurrent Model	 11 11 12 12 13 13 13 13
6.1. Speech Commands dataset 6.1.1. Preprocessing 6.1.2. Architecture 6.1.3. Training and evaluation 6.1.4. Results	 15 15 15 17 17 17
	20 20

1. INTRODUCTION

Current Artificial Neural Networks (ANN) come from computational models of biological neurons like McCulloch-Pitts Neurons [McCulloch and Pitts, 1943] or the Perceptron [Rosenblatt, 1958]. Yet, they are characterized by a single, static, continuous-valued activation. On the contrary, biological neurons use discrete spikes to compute and transmit information, and spike timing, in addition to the spike rates, matters. SNNs are, thus, more biologically realistic than ANNs. Their study might help understanding how the brain encodes and processes information, and lead to new machine learning algorithms.

SNNs are also hardware friendly and energy efficient if implemented on specialized neuromorphic hardware. These neuromorphic, non von Neumann architectures are highly connected and parallel, require low-power, and collocate memory and processing. Thus, they do not suffer from the so-called "von Neumann bottleneck" due to low bandwith between CPU and memory [Backus, 1978]. Neuromorphic architectures have also received increased attention due to the approaching end of Moore's law. Neuromorphic computers might enable faster, more power-efficient complex calculations and on a smaller footprint than traditional von Neumann architectures. (See [Schuman et al., 2017] for a survey on neuromorphic computing and neural networks in hardware).

Neuroscientists have proposed many different, more or less complex, models to describe the dynamics of spiking neurons. The Hodgkin-Huxley neuron [HODGKIN and HUXLEY, 1952] models ionic mechanisms underlying the initiation and propagation of action potentials. More phenomenological models such as the leaky integrate-and-fire model with several variants e.g. the quadratic integrate and fire model, adaptive integrate and fire, and the exponential integrate-and-fire model have proven to be very good at predicting spike trains despite their apparent simplicity [Jolivet et al., 2005]. Other models such as Izhikevich's neuron model [Izhikevich, 2003] try to combine the biological plausibility of Hodgkin-Huxley-type dynamics and the computational efficiency of integrate-and-fire neurons. See [Burkitt, 2006] for a review of the integrate and fire neuron models.

However, these models have been designed to fit experimental data and cannot be directly used to solve real life problems.

2. LITERATURE REVIEW

Various models of spiking neural networks for machine learning have alreay been proposed.

Recurrent Spiking Neural Networks (RSNNs) have been trained to generate dynamic patterns or to classify sequential data. They can have one or more populations of neurons with random or trainable connections. The computational power of recurrent spiking neural networks has been theoretically proven in [Maass and Markram, 2004] and models such as liquid state machines [Maass et al., 2002] and Long short-term memory Spiking Neural Networks (LSNNs) [Bellec et al., 2018] have been proposed.

Feed forward spiking neural networks have also been studied. [Rueckauer et al., 2017] de-

rives a method to convert continuous-valued deep networks to spiking neural networks for image classification. However, these models only use rate coding.

Spiking neural networks can also be trained directly using spike-timing-dependent plasticity (STDP), a local rule based on relative spike timing between neurons. This is an unsupervised training rule to extract features that can be used by an external classifier. For instance, [Kheradpisheh et al., 2018] have built a convolutional SNN trained with STDP and used a Support Vector Machine (SVM) for classification. More recently, [Mozafari et al., 2018, Mozafari et al., 2019] proposed a reward modulated version of the STDP to train a classification layer on top of the STDP network and thus, does not require any external classifier. These networks usually use latency coding with at most one spike per neuron. The label predicted by the network is given by the first spike emitted in the output layer. Backpropagation has also been adapted to this sort of coding, by computing gradients with respect to latencies [Mostafa, 2017, Comsa et al., 2019, Kheradpisheh and Masquelier, 2019]. The "at most one spike per neuron" limit is not an issue with static stimuli (e.g. images), yet it is not suitable for dynamic stimuli like sounds or videos.

Backpropagation through time (BPTT) [Mozer, 1995] cannot be used directly to train spiking neural network because of their binary activation function (see 5.2). The same problem occurs for quantized neural networks [Hubara et al., 2016]. However, the gradient of these functions can be approximated. For instance, [Bengio et al., 2013] studies various gradient estimators (e.g. straight-through estimator) for stochastic neurons and neurons with hard activation functions. Binarized networks with with performances similar to standard neural networks have been developed [Courbariaux and Bengio, 2016]. They use binary weights and activations, whereas only activations are binary in this project. Yet, their encoding cannot be sparse as they use {-1, 1} as binary values.

These ideas can also be used to train spiking neural networks. [Neftci et al., 2019] gives an overview of existing approaches and provides an introduction to surrogate gradient methods, initially proposed in ref. [Bohte et al., 2000, Esser et al., 2016, Wu et al., 2018, Bellec et al., 2018, Shrestha and Orchard, 2018, Zenke and Ganguli, 2018]. Moreover, [Kaiser et al., 2018] proposes a Deep Continuous Local Learning (DECOLLE) capable of learning deep spatio-temporal representations from spikes by approximating gradient backpropagation using locally synthesized gradients. Thus, it can be formulated as a local synaptic plasticity rules. However, it requires a loss for each layer and these losses have to be chosen arbitrarily. Another approach has been proposed by [Huh and Sejnowski, 2018]: they replaced the threshold by a gate function with narrow support, leading to a differentiable model which does not require gradient approximations.

The encoding method used in this project to represent signals with spikes (See Spiking neural networks and event-based sampling) is very similar to the matching pursuit algorithm proposed by S. Mallat [Mallat and Zhifeng Zhang, 1993]. This algorithm adaptively decomposes a signal into a linear expansion of waveforms that are selected from a redundant dictionary of functions. Starting with the raw signal, waveforms are greedily chosen one at a time in order to maximally reduce the approximation error. At each iteration, the projection of the signal on the selected waveform is removed. The algorithm stops when the energy of the remaining signal is small enough. [Bourdoukan et al., 2012] used a similar idea to represent efficiently a signal with the activity of a set of neurons. The potential of each neuron depends on the projection of the signal on the direction of the neuron. And each neuron compete to reconstruct the signal. However, for this project, the goal is to classify an input signal and not to reconstruct it. Thus, the goal is to find the most interesting direction for classification and not the ones that best reconstruct the signal. The link between send-on-delta and integrate-and-fire event-based sampling schemes has already been highlighted by [Moser and Lunglmayr, 2019]. And [Moser, 2016] proposes a mathematical metric analysis of integrate-and-fire sampling. However, they use negative spikes if the "potential" goes under the opposite of the threshold and only consider 1 dimensional input signals.

3. INTEGRATE AND FIRE NEURON MODELS

3.1. LEAKY INTEGRATE AND FIRE (LIF)

In the standard Leaky Integrate and Fire (LIF) model, the sub-threshold dynamics of the membrane of the i^{th} neuron is described by the differential equation [Neftci et al., 2019]

$$\tau_{\rm mem} \frac{dU_i}{dt} = -(U_i - U_{\rm rest}) + RI_i \tag{3.1}$$

where $U_i(t)$ is the membrane potential at time t, U_{rest} is the resting membrane potential, τ_{mem} is the membrane time constant, I_i is the current injected into the neuron and R is the resistance. When U_i exceeds a threshold B_i , the neuron fires and U_i is decreased. The $-(U_i - U_{rest})$ term is the leak term that drives the potential towards U_{rest} .

3.2. NON-LEAKY INTEGRATE AND FIRE (NLIF)

If there is no leak, the model is called Non-Leaky Integrate and Fire (NLIF) and the corresponding differential equation is

$$\tau_{\rm mem} \frac{dU_i}{dt} = RI_i \tag{3.2}$$

Without loss of generality, we will take R = 1 and $U_{rest} = 0$ in the following.

3.3. INPUT CURRENT

The input current can be defined as the projection of the input spikes along the preferred direction of neuron *i* given by W_i , the *i*th row of W.

$$I_i = \sum_j W_{ij} S_j^{\rm in} \tag{3.3}$$

where $S_j(t) = \sum_k \delta(t - t_k^j)$ if neuron *j* fires at time $t = t_1^j, t_2^j, \dots$

Or, it can be a be governed by another differential equation, e.g. a leaky integration of these projections

$$\tau_{\rm syn}\frac{dI_i}{dt} = -I_i + \sum_j W_{ij}S_j^{\rm in}$$

where $\tau_{\rm syn}$ is the synapse time constant.

In the former, the potential will rise instantaneously when input spikes are received whereas it will increase smoothly in the latter.

4. SPIKING NEURAL NETWORKS AND EVENT-BASED SAMPLING

4.1. RATE VS TEMPORAL CODING

Standard Deep Learning (DL) is based on rate coding models that only consider the firing rate of neurons. The outputs of standard DL models are thus real-valued. However, there is evidence that precise spike timing can play an important role in the neural code [Gollisch and Meister, 2008], [Moiseff and Konishi, 1981], [Johansson and Birznieks, 2004]. Furthermore, computing with sparse binary activation can require much less computing power than traditional real-valued activation. We wanted to create a model based on precise spike timing with an efficient and sparse encoding of the information. However, there is no commonly accepted theory on how real neurons encode information with spikes. Thus, we based our approach on even-based sampling theory and explained how it can be related to spiking neural networks.

4.2. SEND-ON-DELTA

Most of the time, the input signal is real-valued and has to be encoded as spike trains. This can be done using an event-based sampling strategy. In this work, the Send-On-Delta (SoD) sampling strategy [Miskowicz, 2006] is used.

The SoD strategy is a threshold-based sampling strategy. The sampling is triggered when a significant change is detected in signal *x*, i.e. the :

$$t_k = \inf_{t \ge t_{k-1}} \{ t , |x(t) - x(t_{k-1})| \ge \Delta \}$$
(4.1)

where t_k is the time of the k^{th} sampling event. Changes in the signal can be either an increase or a decrease (See Fig. 4.1).

This strategy is used by event-based cameras and is very efficient to remove temporal redundancy as sampling will only occur if the input signal changes.

4.3. SEND-ON-DELTA WITH INTEGRATE AND FIRE NEURONS

This encoding scheme can be achieved by two NLIF neurons with lateral connections and whose input is the derivative of the signal.

Let,

$$I(t) = w\dot{x}(t) \text{ and } U(t_k^+) = 0$$

with t_k^+ (resp. t_k^-) the time just after (resp. before) the k^{th} spike has been emitted and w a scaling factor.

Then, for the IF model we have,

$$U(t) = w(x(t) - x(t_k))$$

7

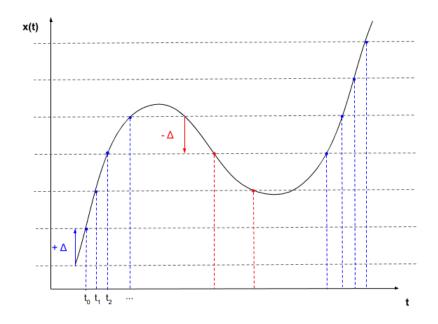


Figure 4.1: Send-on-delta sampling strategy. Blue dots represent sampling due to a significant increase, red dots represent sampling due to a significant decrease.

If the threshold is $B = w^2$, the next spike is emitted at t_{k+1}^+ such that

$$t_{k+1} = \inf_{t \ge t_k} \{t, U(t) \ge w^2\}$$

= $\inf_{t \ge t_k} \{t, \operatorname{sign}(w)(x(t) - x(t_k)) \ge |w|\}$

Depending on the sign of w, the neuron will detect an increase or a decrease of at least |w| in the signal since the last emitted spike, provided that the potential is reset when a spike is emitted, i.e. $U_i(t_k^+) = 0$ for all k.

To achieve a send-on-delta sampling, two IF neurons are needed. One "ON" neuron with $w_{ON} > 0$ and one "OFF" neuron with $w_{OFF} < 0$, and their potentials have to be reset when any of them fires. Indeed, the reference value of the signal must be updated when sampling occurs. This can be done by adding lateral connections between the "ON" and "OFF" neurons.

Let t_k be the k^{th} time that any of the "ON" and "OFF" neurons fires. And suppose that the "ON" neuron fires at time t_{k+1} . We have

$$U_{\rm ON}(\bar{t_{k+1}}) = w_{\rm ON}(x(t_{k+1}) - x(t_k)) = w_{\rm ON}^2$$
(4.2)

$$\implies U_{\text{OFF}}(\bar{t_{k+1}}) = w_{\text{OFF}}(x(t_{k+1}) - x(t_k)) = w_{\text{OFF}}w_{\text{ON}}$$

$$(4.3)$$

Applying the same reasoning for a spike emitted by the "OFF" neuron, we find that the weight of the lateral connection between the "ON" and the "OFF" neurons must be $-w_{OFF}w_{ON}$ in order to reset the potential of both neurons when any of them fires (see Fig. 4.2).

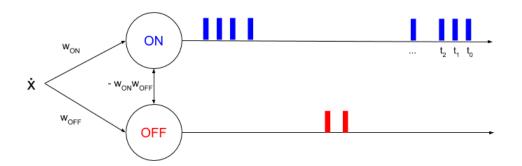


Figure 4.2: Architecture for SoD encoding with two IF neurons and spike train generated for the example presented in figure 4.1

Note that, the reset is equivalent to an update of the input signal for each neuron. For example, if the "ON" neuron fires at time t_{k+1}

$$U_{\text{ON}}(t_{k+1}^{-}) - w_{\text{ON}}^{2} = w_{\text{ON}}(x(t_{k+1}) - x(t_{k}) - w_{\text{ON}})$$
$$U_{\text{OFF}}(t_{k+1}^{-}) - w_{\text{OFF}}w_{\text{ON}} = w_{\text{OFF}}(x(t_{k+1}) - x(t_{k}) - w_{\text{ON}})$$

If an increase of at least w_{ON} is detected, then the reference signal is increased by w_{ON} for each neuron.

In this case, the deltas for the detection of an increase or a decrease are different. To have the same delta, w_{OFF} should be equal to $-w_{\text{ON}}$.

4.4. MULTI-DIMENSIONAL SEND-ON-DELTA

The previous results only apply to 1-dimensional signal. For a m-dimensional signal, each dimension can be tracked independently. The number of neurons required is thus 2*m. However, tracking each dimension independently is not efficient if the coordinates of the signal are correlated. Thus, we propose a multi-dimensional generalization of send-on-delta and the corresponding network architecture, inspired by [Bourdoukan et al., 2012].

Instead of detecting changes in each dimension separately, we can detect changes in the projection of the signal along a given sampling direction. In this case, rather than simply increasing or decreasing the reference value of the signal when sampling occurs, it has now to be moved in the sampling direction (see Fig. 4.3).

Let us consider a population of *n* neurons. Each neuron $i \in [[0, n-1]]$ has its own "preferred" direction w_i .

After the k^{th} spike emitted by any of these neurons, we have for for neuron *i*

$$U_i(t) = \langle w_i, x(t) - x(t_k) \rangle$$

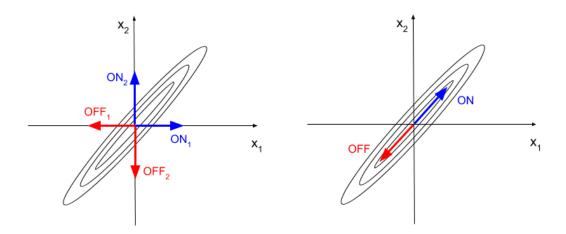


Figure 4.3: Left: independent tracking of each dimension, Right: tracking along a given direction

The threshold of the i^{th} neuron is set to $||w_i||^2$ and the weight of lateral connections between neurons *i* and *j* to $- \langle w_j, w_i \rangle$. Just after the reset, we have

$$U_j(t_{k+1}^+) = \langle w_j, x(t_{k+1}) - x(t_k) - w_i \rangle$$

Thus, if neuron *i* fires, w_i is added to the signal reference value of all neurons.

Note that, if w_i and w_j are orthogonal the weight of lateral connections is 0 and neurons *i* and *j* are independent. If w_j and w_i are collinear, then the potential is reset to exactly 0. In particular, this is the case for neuron *i*.

Interestingly, the multi-dimensional generalization of SoD yields the same networks architecture as in [Bourdoukan et al., 2012] for optimal spike-based representations. The only difference is that in [Bourdoukan et al., 2012], the threshold is set to $\frac{\|w_i\|^2}{2}$ instead of $\|w_i\|^2$ as they want to minimize the distance between the signal and the samples. It can be interpreted very easily in the context of event-based sampling. If sampling is associated with an update of the reference signal of $\pm \Delta$, then sampling reduces the reconstruction error as soon as the signal deviates by more than $\frac{\Delta}{2}$ from the reference.

LIF neurons can be used as well with the same architecture. The sampling scheme would be equivalent to SoD with leak. Depending on the leak, only abrupt changes would be detected.

5. DEEP SPIKING NEURAL NETWORKS

Based on [Neftci et al., 2019] and the results of section 4, we implemented a spiking neural network in PyTorch. Spiking Layers can be Fully-Connected or Convolutional and with or without lateral connections. The neural network can be a standard feed forward network or a pool of neurons with recurrent connections.

A PyTorch based implementation of the different layers is available at http://github. com/romainzimmer/s2net.

5.1. LIF NEURONS AS RECURRENT NEURAL NETWORKS CELLS

The differential equations of LIF models can be approximated by linear recurrent equations in discrete time (See Appendix A.1). Introducing the reset term $R_i[n]$ corresponding to lateral connections, the neuron dynamics can now be fully described by the following equations.

$$U_i[n] = \beta(U_i[n-1] - R_i[n]) + (1 - \beta)I_i[n]$$
(5.1)

$$I_i[n] = \sum_j W_{ij} S_j^{\text{in}}[n]$$
(5.2)

$$R_i[n] = (W \cdot W^T \cdot S^{\text{out}}[n])_i \tag{5.3}$$

$$S_i^{\text{out}}[n] = \Theta(U_i[n] - B_i) \tag{5.4}$$

$$B_i = \|W_i\|^2 \tag{5.5}$$

where $\beta = \exp(-\frac{\Delta t}{\tau_{\text{mem}}})$ and Θ is the Heaviside step function.

Thus, LIF neurons can be modeled as a Recurrent Neural Network (RNN) cells whose state and output at time step n are given by (U[n], I[n]) and S[n] respectively [Neftci et al., 2019].

In practice, we used a trainable threshold parameter b_i for neuron i, such that

$$S_i[n] = \Theta(\frac{U_i[n]}{B_i + \epsilon} - b_i) = \Theta(\frac{U_i[n]}{\|W_i\|^2 + \epsilon} - b_i)$$

with b_i initialized to 1 and $\epsilon = 10^{-8}$. We normalize with $||W_i||^2$ as the scale of the surrogate gradient is fixed.

5.2. SURROGATE GRADIENT

The RNN model can be implemented with traditional Deep Learning tools. However, one major issue has to be addressed regarding the threshold activation function.

The derivative of the Heaviside step function is 0 everywhere and is not defined in 0. Thus, no gradient can be back-propagated through it. To solve this problem, [Neftci et al., 2019] propose to approximate the derivative of the Heaviside step function.

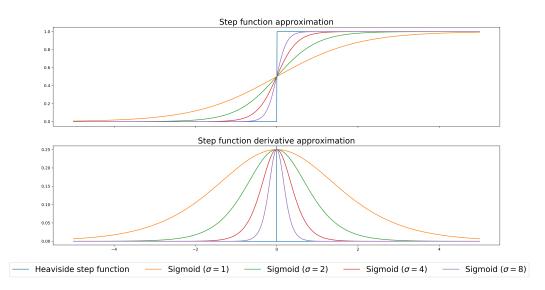


Figure 5.1: Approximation of the derivative of the Heaviside step function

For instance, one can approximate the Heaviside step function by a sigmoid function with a scale parameter $\sigma \ge 0$ controlling the quality of the approximation.

Thus,

$$\Theta' \approx \operatorname{sig}_{\sigma}'$$
 (5.6)

where $\sigma \in \mathbb{R}^+$ and $\operatorname{sig}_{\sigma} : x \to \frac{1}{1 + e^{-\sigma x}}$

5.3. FEED-FORWARD MODEL

We designed a feed-forward model composed of multiple spiking layers and a readout layer. The input of a spiking layer is a spike train except for the first layer whose input is a multidimensional real-valued signal. Each layer outputs a spike train except for the readout layer that outputs real values that can be seen as a linear combination of spikes.

5.3.1. FULLY-CONNECTED SPIKING LAYER

For the fully-connected spiking layer, the input current at each time step is a weighted sum of the input spikes emitted by the previous layer at the given moment (or a weighted sum of the input signal if it is the first layer). The state and the output of the cells are updated following the above equations.

5.3.2. CONVOLUTIONAL SPIKING LAYER

For the convolutional spiking layer, the input current at each time step is given by a 1D (0D + time),2D (1D + time) or 3D (2D + time) convolution between a kernel and the input spike train. Note that convolution in time can be seen as propagation delays of the input spikes.

In this layer, lateral connections are only applied between neurons that have the same receptive field, i.e. locally between the different channels. For the i^{th} receptive field at time step *n*, when lateral connections are used, the reset term for channel *p* is

$$R_{i,p}[n] = \sum_{l} \langle \tilde{W}_k, \tilde{W}_l \rangle S_{i,l}[n-1]$$

Where \tilde{W}_p is the vectorized form of W_p , the kernel corresponding to the p^{th} channel and the sum is over the different channels.

5.3.3. READOUT LAYER

For the readout layer, [Neftci et al., 2019] proposed to use non-firing neurons. Thus, there is no reset nor lateral connections in this layer. For classification tasks, the dimension of the output is equal to the number of labels and the label probabilities are given by the softmax of the maximum value over time of the membrane potential of each neuron.

In practice, we have found that using time-distributed fully connected layer and taking the mean activation of this layer over time as output makes training more stable, at least with the datasets we have used. Thus, the output is the mean over time of a linear combination of input spikes.

5.4. RECURRENT MODEL

In the recurrent model, a pool of neurons with recurrent connections (output is fed back to the neurons) is used instead of stacking multiple layers. The input current for this model can also be computed using convolutions. And in this case, recurrent connections are only applied locally, i.e. between the channels for a given receptive field.

5.5. PENALIZING THE NUMBER OF SPIKES

A simple way to penalize the number of spikes is to apply a L1 or L2 loss on the total number of spikes emitted by each layer.

However, due to the surrogate gradient, some neurons will be penalized even if they haven't emitted any spike.

As $S_k[n] \in \{0, 1\}$, the number of spikes for a given layer is

#spikes =
$$\frac{1}{KN} \sum_{n} \sum_{k} S_k[n] = \frac{1}{KN} \sum_{n} \sum_{k} S_k^2[n]$$

where *K* is the number of neurons and *N* is the number of time steps.

Replacing $S_k[n]$ by $S_k[n]^2$ is a simple way to ensure that the regularization will not be applied to neurons that have not emitted any spikes, i.e. for which $S_k[n] = 0$.

Indeed,

$$\frac{dS_k^2[n]}{dU_k[n]} = 2 * S_k[n] * sig'_{\sigma}(U_k[n])$$

which is 0 when $S_k[n] = 0$.

6. EXPERIMENTS

During this project, we mainly worked with feed-forward convolutional models on the Speech Commands dataset [Warden, 2018] as the goal was to compare spiking neural networks to standard deep learning models.

6.1. Speech Commands dataset

The Speech Commands dataset is a dataset of short audio recordings (at most 1 second, sampled at 16 kHz) of 30 different commands pronounced by different speakers for its first version and 35 for the second. All experiments were conducted on the first version of the dataset.

The task considered is to discriminate among 12 classes:

- 10 commands: "yes", "no", "up", "down", "left", "right", "on", "off", "stop", "go"
- unknown
- silence

The model is trained on the whole dataset. Commands that are not in the classes are labelled as unknown and silence training data are extracted from the background noise files provided with the dataset. See Table 6.1.

Authors of [Warden, 2018] also provide validation and testing datasets that can be directly used to evaluate the performance of a model.

6.1.1. PREPROCESSING

Log Mel filters together with their derivatives and second derivatives are extracted from raw signals using the python package LibROSA [McFee et al., 2015]. For the FFT, we used a window size of 30 ms and a hop length of 10 ms, which also corresponds to the time step of the simulation δ_t . These are typical values in speech processing. Then, the log of 40 Mel filter coefficients were extracted using a Mel scale between 20 Hz and 4000 Hz only as this frequency band contains most of speech signal information (see Fig 6.1).

Finally, the spectrograms corresponding to each derivative order are re-scaled to ensure that the signal in each frequency has a variance of 1 across time and are considered as 3 different input channels.

6.1.2. ARCHITECTURE

For this task, we used 3 convolutional spiking layers with the same lateral connections as in the multi-dimensional send-on-delta architecture. The readout layer is a time distributed fully connected readout layer. Each convolutional layer has a β trainable parameter control-ling the time constant of the layer, *C* channels and one threshold parameter *b* per channel.

Kernels are of size H along the "time" axis and W along the "frequency" axis. All convolu-

Words (V1 and V2)	Number of Utterances					
Bed	2,014					
Bird	2,064					
Cat	2,031					
Dog	2,128					
Down	3,917					
Eight	3,787					
Five	4,052					
Four	3,728					
Go	3,880					
Нарру	2,054					
House	2,113					
Left	3,801					
Marvin	2,100					
Nine	3,934					
No	3,941					
Off	3,745					
On	3,845					
One	3,890					
Right	3,778					
Seven	3,998					
Sheila	2,022					
Six	3,860					
Stop	3,872					
Three	3,727					
Tree	1,759					
Two	3,880					
Up	3,723					
Wow	2,123					
Yes	4,044					
Zero	4,052					
Words (V2 only)	Number of Utterances					
Backward	1,664					
Forward	1,557					
Follow	1,579					
Learn	1,575					
Visual	1,592					

Table 6.1: Number of recordings in the speech commands dataset (extracted from [Warden, 2018]).

tional layers have a stride of 1 and dilation factors of d_H and d_W along "time" and "frequency" axes respectively. See Table 6.2 for details.

The scale of the surrogate gradient was set to 10.

Conv. layer number	C	Η	W	d_H	d_W
1	64	4	3	1	1
2	64	4	3	4	3
3	64	4	3	16	9

Table 6.2: Parameters of each convolutional layer for the speech commands dataset

6.1.3. TRAINING AND EVALUATION

The model was trained using the Rectified-Adam optimizer [Liu et al., 2019] with a learning rate of 10^{-3} , for 30 epochs with 1 epoch of warm-up, a weight decay of 10^{-5} . For each layer l = 1, ..., L, a regularization loss $L_r(l)$ was added with a coefficient $0.1 * \frac{l}{L}$ to enforce sparse activity.

$$L_r(l) = \frac{1}{2KN} \sum_n \sum_k S_k^2[n]$$

Gradient values were clipped to [-5,5], β to [0,1] and b to $[0,+\infty[$

6.1.4. RESULTS

This network achieves 94% accuracy on this task with a mean firing rate of roughly 5Hz. Thus, the activation of the network is very sparse. Moreover, the trade-off between sparsity and performance can be controlled by the regularization coefficient (see Fig. 6.2).

In comparison, standard deep learning models achieve an accuracy of 96-97% [de Andrade et al., 2018].

These experimental results were obtained using lateral connections. However, we managed to get similar performances without them. Thus, we would like to further explore the impact of these connections for other tasks. Furthermore, we have found that recurrence through time is not necessarily useful if the discretization time step is large and processing spikes at each time step independently is enough.

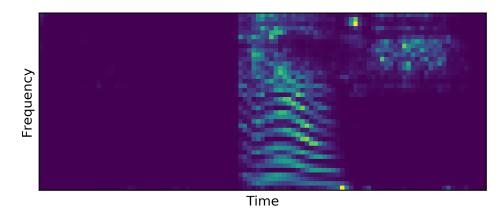


Figure 6.1: Example of Mel filters extracted for the word "off".

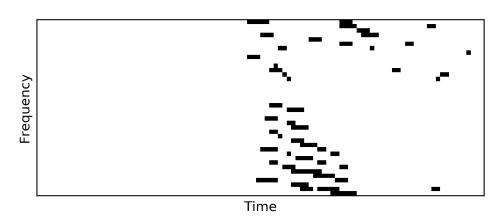


Figure 6.2: Example of spike train for one channel of the first layer for the word "off".

7. DISCUSSION

We proposed a generalization of the send-on-delta sampling scheme to multi dimensional signal and showed that it can be achieved by a spiking neural network with lateral connections. We designed a deep spiking neural network with binary sparse activation. This network can be trained using backpropagation through time with surrogate gradient methods and achieves comparable performance to standard deep learning models on the speech recognition task we worked on.

These results show the potential of spiking neural networks. Although PyTorch is not particularly suitable for the development of spiking neural networks, it is a very popular library in the deep learning community and we hope that this work will help to develop interest in spiking neural networks.

For future work, we would like to test our model on other tasks and especially on event data such as Dynamic Vision Sensor camera data. We would also like to continue to explore the relationships between event-based sampling theory and spiking neural networks.

A. APPENDIX

A.1. DISCRETE TIME APPROXIMATION

Let's consider the following differential equation (E) and it's homogeneous equation (H) :

$$\tau \frac{du}{dt} + u = i \tag{E}$$

$$\tau \frac{dz}{dt} + z = 0 \tag{H}$$

The solutions of (E) can be found using the variation of parameters method. The solution of (H) has the following form:

$$z_K \colon t \to K e^{-\frac{t}{\tau}} = K z_1(t)$$

with $K \in \mathbb{R}$

Let's consider a solution of (E) of the form

$$u: t \to k(t)z_1(t)$$

Injecting this solution in E, yields the following equivalent equation

$$k' = \frac{i}{\tau z_1}$$

Thus,

$$u_0: t \to \frac{1}{\tau} \int_0^t i(s) e^{-\frac{t-s}{\tau}} ds$$

is a particular solution of (E) and all the solutions of (E) can be written as:

$$u_K: t \to K e^{-\frac{t}{\tau}} + \frac{1}{\tau} \int_0^t i(s) e^{-\frac{t-s}{\tau}} ds$$

Now, let's consider $t, h \in \mathbb{R}$.

$$u_{K}(t+h) = e^{-\frac{h}{\tau}} u_{K}(t) + \frac{1}{\tau} \int_{t}^{t+h} i(s) e^{-\frac{t+h-s}{\tau}} ds$$

For sufficiently small h,

$$u_{K}(t+h) \approx e^{-\frac{h}{\tau}} u_{K}(t) + \frac{i(t+h)}{\tau} \int_{t}^{t+h} e^{-\frac{t+h-s}{\tau}} ds$$
$$= e^{-\frac{h}{\tau}} u_{K}(t) + (1 - e^{-\frac{h}{\tau}}) i(t+h)$$

In discrete time with sampling rate $\frac{1}{h}$, (E) can thus be approximated by the recurrent equation:

$$u[n] = \beta u[n-1] + (1-\beta)i[n]$$

with $\beta = e^{-\frac{h}{\tau}}$.

REFERENCES

- [Backus, 1978] Backus, J. (1978). Can programming be liberated from the von neumann style?: A functional style and its algebra of programs. *Commun. ACM*, 21(8):613–641.
- [Bellec et al., 2018] Bellec, G., Salaj, D., Subramoney, A., Legenstein, R. A., and Maass, W. (2018). Long short-term memory and learning-to-learn in networks of spiking neurons. *CoRR*, abs/1803.09574.
- [Bengio et al., 2013] Bengio, Y., Léonard, N., and Courville, A. C. (2013). Estimating or propagating gradients through stochastic neurons for conditional computation. *CoRR*, abs/1308.3432.
- [Bohte et al., 2000] Bohte, S. M., La Poutré, H., and Kok, J. N. (2000). Error-Backpropagation in Temporally Encoded Networks of Spiking Neurons. *Neurocomputing*, 48:17–37.
- [Bourdoukan et al., 2012] Bourdoukan, R., Barrett, D., Deneve, S., and Machens, C. K. (2012). Learning optimal spike-based representations. In Pereira, F., Burges, C. J. C., Bottou, L., and Weinberger, K. Q., editors, *Advances in Neural Information Processing Systems 25*, pages 2285–2293. Curran Associates, Inc.
- [Burkitt, 2006] Burkitt, A. (2006). A review of the integrate-and-fire neuron model: I. homogeneous synaptic input. *Biological cybernetics*, 95:1–19.
- [Comsa et al., 2019] Comsa, I.-M., Potempa, K., Versari, L., Fischbacher, T., Gesmundo, A., and Alakuijala, J. (2019). Temporal coding in spiking neural networks with alpha synaptic function. *arXiv:1907.13223*.
- [Courbariaux and Bengio, 2016] Courbariaux, M. and Bengio, Y. (2016). Binarynet: Training deep neural networks with weights and activations constrained to +1 or -1. *CoRR*, abs/1602.02830.
- [de Andrade et al., 2018] de Andrade, D. C., Leo, S., Viana, M. L. D. S., and Bernkopf, C. (2018). A neural attention model for speech command recognition. *CoRR*, abs/1808.08929.
- [Esser et al., 2016] Esser, S. K., Merolla, P. A., Arthur, J. V., Cassidy, A. S., Appuswamy, R., Andreopoulos, A., Berg, D. J., McKinstry, J. L., Melano, T., Barch, D. R., Di Nolfo, C., Datta, P.,

Amir, A., Taba, B., Flickner, M. D., and Modha, D. S. (2016). Convolutional networks for fast, energy-efficient neuromorphic computing. *Proceedings of the National Academy of Sciences of the United States of America*.

- [Gollisch and Meister, 2008] Gollisch, T. and Meister, M. (2008). Rapid neural coding in the retina with relative spike latencies. *Science*, 319(5866):1108–1111.
- [HODGKIN and HUXLEY, 1952] HODGKIN, A. L. and HUXLEY, A. F. (1952). A quantitative description of membrane current and its application to conduction and excitation in nerve. *J. Physiol. (Lond.)*, 117(4):500–544.
- [Hubara et al., 2016] Hubara, I., Courbariaux, M., Soudry, D., El-Yaniv, R., and Bengio, Y. (2016). Quantized neural networks: Training neural networks with low precision weights and activations. *CoRR*, abs/1609.07061.
- [Huh and Sejnowski, 2018] Huh, D. and Sejnowski, T. J. (2018). Gradient descent for spiking neural networks. In Bengio, S., Wallach, H., Larochelle, H., Grauman, K., Cesa-Bianchi, N., and Garnett, R., editors, *Advances in Neural Information Processing Systems 31*, pages 1433–1443. Curran Associates, Inc.
- [Izhikevich, 2003] Izhikevich, E. M. (2003). Simple model of spiking neurons. *IEEE transac*tions on neural networks / a publication of the IEEE Neural Networks Council, 14(6):1569– 1572.
- [Johansson and Birznieks, 2004] Johansson, R. and Birznieks, I. (2004). First spikes in ensembles of human tactile afferents code complex spatial fingertip events. *Nature neuroscience*, 7:170–7.
- [Jolivet et al., 2005] Jolivet, R., Rauch, A., and Gerstner, W. (2005). Integrate-and-fire models with adaptation are good enough: Predicting spike times under random current injection. *Advances in Neural Information Processing Systems*.
- [Kaiser et al., 2018] Kaiser, J., Mostafa, H., and Neftci, E. (2018). Synaptic plasticity dynamics for deep continuous local learning. *CoRR*, abs/1811.10766.
- [Kheradpisheh et al., 2018] Kheradpisheh, S. R., Ganjtabesh, M., Thorpe, S. J., and Masquelier, T. (2018). Stdp-based spiking deep convolutional neural networks for object recognition. *Neural Networks*, 99:56 – 67.
- [Kheradpisheh and Masquelier, 2019] Kheradpisheh, S. R. and Masquelier, T. (2019). S4NN: temporal backpropagation for spiking neural networks with one spike per neuron. *arXiv*.
- [Liu et al., 2019] Liu, L., Jiang, H., He, P., Chen, W., Liu, X., Gao, J., and Han, J. (2019). On the variance of the adaptive learning rate and beyond. *arXiv preprint arXiv:1908.03265*.
- [Maass and Markram, 2004] Maass, W. and Markram, H. (2004). On the computational power of circuits of spiking neurons. *Journal of Computer and System Sciences*, 69(4):593 616.
- [Maass et al., 2002] Maass, W., NatschlÃd'ger, T., and Markram, H. (2002). Real-time computing without stable states: A new framework for neural computation based on perturbations.

- [Mallat and Zhifeng Zhang, 1993] Mallat, S. G. and Zhifeng Zhang (1993). Matching pursuits with time-frequency dictionaries. *IEEE Transactions on Signal Processing*, 41(12):3397–3415.
- [McCulloch and Pitts, 1943] McCulloch, W. S. and Pitts, W. (1943). A logical calculus of the ideas immanent in nervous activity. *The bulletin of mathematical biophysics*, 5(4):115–133.
- [McFee et al., 2015] McFee, B., McVicar, M., Raffel, C., Liang, D., Nieto, O., Moore, J., Ellis, D., Repetto, D., Viktorin, P., Santos, J. F., and Holovaty, A. (2015). librosa: v0.4.0.
- [Miskowicz, 2006] Miskowicz, M. (2006). Send-on-delta concept: An event-based data reporting strategy. *Sensors*, 6.
- [Moiseff and Konishi, 1981] Moiseff, A. and Konishi, M. (1981). Neuronal and behavioral sensitivity to binaural time differences in the owl. *Journal of Neuroscience*, 1(1):40–48.
- [Moser, 2016] Moser, B. A. (2016). On preserving metric properties of integrate-and-fire sampling. In 2016 Second International Conference on Event-based Control, Communication, and Signal Processing (EBCCSP), pages 1–7.
- [Moser and Lunglmayr, 2019] Moser, B. A. and Lunglmayr, M. (2019). On quasi-isometry of threshold-based sampling. *IEEE Transactions on Signal Processing*, 67(14):3832–3841.
- [Mostafa, 2017] Mostafa, H. (2017). Supervised Learning Based on Temporal Coding in Spiking Neural Networks. *IEEE Transactions on Neural Networks and Learning Systems*, pages 1–9.
- [Mozafari et al., 2019] Mozafari, M., Ganjtabesh, M., Nowzari-Dalini, A., Thorpe, S. J., and Masquelier, T. (2019). Bio-inspired digit recognition using reward-modulated spiketiming-dependent plasticity in deep convolutional networks. *Pattern Recognition*, 94:87– 95.
- [Mozafari et al., 2018] Mozafari, M., Kheradpisheh, S. R., Masquelier, T., Nowzari-Dalini, A., and Ganjtabesh, M. (2018). First-spike-based visual categorization using reward-modulated stdp. *IEEE Transactions on Neural Networks and Learning Systems*, 29(12):6178–6190.
- [Mozer, 1995] Mozer, M. (1995). A focused backpropagation algorithm for temporal pattern recognition. *Complex Systems*, 3.
- [Neftci et al., 2019] Neftci, E. O., Mostafa, H., and Zenke, F. (2019). Surrogate Gradient Learning in Spiking Neural Networks. *arXiv e-prints*.
- [Rosenblatt, 1958] Rosenblatt, F. (1958). The perceptron: A probabilistic model for information storage and organization in the brain. *Psychological Review*, pages 65–386.
- [Rueckauer et al., 2017] Rueckauer, B., Lungu, I.-A., Hu, Y., Pfeiffer, M., and Liu, S.-C. (2017). Conversion of continuous-valued deep networks to efficient event-driven networks for image classification. *Frontiers in Neuroscience*, 11:682.
- [Schuman et al., 2017] Schuman, C. D., Potok, T. E., Patton, R. M., Birdwell, J. D., Dean, M. E., Rose, G. S., and Plank, J. S. (2017). A survey of neuromorphic computing and neural networks in hardware. *CoRR*, abs/1705.06963.

- [Shrestha and Orchard, 2018] Shrestha, S. B. and Orchard, G. (2018). {SLAYER}: Spike Layer Error Reassignment in Time. *Neural Information Processing Systems (NIPS)*, (Nips).
- [Warden, 2018] Warden, P. (2018). Speech commands: A dataset for limited-vocabulary speech recognition. *CoRR*, abs/1804.03209.
- [Wu et al., 2018] Wu, Y., Deng, L., Li, G., Zhu, J., and Shi, L. (2018). Spatio-Temporal Backpropagation for Training High-Performance Spiking Neural Networks. *Frontiers in Neuroscience*, 12(May):1–12.
- [Zenke and Ganguli, 2018] Zenke, F. and Ganguli, S. (2018). SuperSpike: Supervised learning in multilayer spiking neural networks. *Neural Computation*.