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# Improving sleep, cognitive functioning and academic performance with sleep education at school in children

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Authors contribution: AER and SM developed the study concept. All authors contributed to the study design. Testing and data collection were performed by AER and SM. AER, SM and LGL performed the data analysis and interpretation. AER and SM drafted the manuscript, and AGP, FIW and LGL provided critical revisions. All authors approved the final version of the manuscript for submission.

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## 1 Abstract

2 We aimed at measuring the impact of a school-based sleep education program (ENSOM: 'EN' for 3 'ENfant' and SOM for 'SOMmeil' in French) on sleep, cognitive functioning and academic performance 4 in children. In contrast with existing sleep education programs, ENSOM was designed by sleep experts 5 with the intent of being autonomously achieved by teachers. One-hundred and thirty children aged 8-6 9 years took part in control versus ENSOM interventions. Compared to the control intervention, total 7 sleep time was extended by 31 minutes per night, sleep efficiency improved by 2.9%, and sleep 8 latency and wake after sleep onset were shortened by 7.7 and 4.5 minutes respectively after the 9 ENSOM program. Sleep improvement remained significant at one-year follow-up. Attention, executive 10 functioning, academic performance and parents' sleep knowledge also improved significantly. Given 11 the frequency of sleep curtailment in children, school-based programs could be an efficient method 12 to implement sleep education on a large scale.

13

14 *Keywords:* sleep education; cognition; academic performance; children

**Abbreviations:** TIB: Time in Bed, TST: Total Sleep Time, SE: Sleep Efficiency, SOL: Sleep Onset Latency, WASO: Wake After Sleep Onset, min: minutes

## 1 **1. Introduction**

2 While the U.S. National Sleep Foundation recommends that school-aged children should sleep 9-11 3 hours per day (Hirshkowitz et al., 2015), a substantial proportion of children do not spend as much 4 time sleeping on school nights as seems to be required for a proper daytime functioning (Crabtree & 5 Witcher, 2008). Cohort studies carried out by Spruyt et al. (2011) and Sadeh et al. (2000) reported a 6 total sleep time between 8 hours and 8 hours 30 minutes in children aged 4 to 10 years. 7 Sleep disorders affecting quality, timing or amount of sleep, are estimated to affect 25 to 40% of 8 healthy children and adolescents (Mindell & Meltzer, 2008; Owens, 2008). This observation is 9 alarming given the negative impact of chronic sleep disturbances on cognitive development 10 (Touchette et al., 2007) and school performance (Buckhalt, Wolfson, & El-sheikh, 2009; Dewald, 11 Meijer, Oort, Kerkhof, & Bögels, 2010). A wide range of data have reported that inadequate sleep 12 results in tiredness, attention and executive function deficits, impulsivity and difficulty in modulating 13 emotions (Maski & Kothare, 2013; Short et al., 2018). Clinical observations and experimental 14 approaches agree that sleep-deprived children exhibit cognitive and behavioral changes that can 15 mimic Attention Deficit Hyperactivity Disorder (ADHD) (Touchette et al., 2009). A simple 30-minutes 16 to 1-hour extension of sleep duration was shown to increase cognitive performance in school-aged 17 children (Sadeh, Gruber, & Raviv, 2016; Vriend et al., 2013). It is therefore essential to promote sleep in this population before sleep difficulties become chronic. 18

19 Around three-quarters of parents underestimate their child's sleep needs (Owens & Jones, 20 2011; Schreck & Richdale, 2011) and overestimate their child's sleep time by 30-45 min per night 21 (Nelson et al., 2014). Such misperceptions may, in part, explain why sleep is not always effectively 22 promoted at the family level. Because schools are the place where all children dependably convene, 23 health education programs in schools have the potential to play an important part in improving health 24 and well-being of all children, and reducing inequalities in health (Basch, 2011). While several schoolbased sleep programs have been undertaken with adolescents (for a review, see Gruber, 2017), they 25 26 have not provided evidence of immediate or long-term sleep behavior improvements. These

programs have been successful in improving sleep knowledge, but their impact on cognition or sleep
 timing, duration or quality was disappointing (Cain, Gradisar, & Moseley, 2011; Kira, Maddison, Hull,
 Blunden, & Olds, 2014; Rigney et al., 2015).

4 Few studies investigated the effect of sleep education in younger children. The Canadian 5 program 'Sleep for Success' explored the effect of sleep education conducted in primary school. The 6 program consisted of 6 interactive interventions of 2-hours duration given by 'sleep-experts' 7 (physicians, researchers or trained teachers) over a 6-week period. This program succeeded in 8 extending sleep duration, increasing sleep efficiency and improving results in mathematics and 9 English in children who participated to the program compared to a control group matched in age (Gruber, Somerville, Bergmame, Fontil, & Paquin, 2016). However, the need of 'sleep-experts', who 10 11 directly conduct the program or train teachers or parents, might prevent a wild use and dissemination 12 of such programs.

We created a sleep education program (ENSOM program: 'EN' for 'ENfant' and SOM for 'SOMmeil' which means 'child' and 'sleep' in French) integrated into primary school curricula, which can be autonomously conducted by teachers, without the intervention of 'sleep-experts'. The aim of the present study was to objectively measure the impact of the ENSOM program on sleep, cognitive functioning and academic performance assessed with actigraphy, neuropsychological tests and thirdgrade report cards. To assess the long-term effect of the sleep education program, a subset of children also took part in a one-year follow-up evaluation of sleep parameters.

20

# 21 **2. Methods**

22 2.1. Participants

A total of 130 school children aged 8 to 9 years ( $M_{age} = 8.43$ ,  $SD_{age} = 0.29$ ; 62 girls), all in third grade of 5 primary schools, participated in the study ( $N_{school 1} = 26$ ,  $N_{school 2} = 25$ ,  $N_{school 3} = 25$ ,  $N_{school 4} = 28$ ,

 $N_{\text{school 5}} = 26$ ). There were two rural schools (schools 1 and 4) and three urban schools (schools 2, 3

and 5). Exclusion criteria were history of psychiatric or neurological illness, developmental disorder,

learning disability or reported sleep disorders, according to parental reports. One child initially
included in the study was later diagnosed ADHD. As the other children, she took part in the lesson,
but her data were excluded from the analyses. This experiment was approved by the Ethics
Committee of [information removed for reasons of anonymity]. All the children and their parents gave
their written informed consent. The director of the school 5 did not give consent to integrate the
actigraph in the school due to potential device loss, or schoolyard conflict between children wearing
or not the monitoring system. Hence, no actigraphy data was available for this school.

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9

### 2.2. Design and procedure

We used a within-subjects design, as was required by the Ethics committee to prevent inequality of opportunities between children. Indeed, in a parallel group design the 'control' group should have waited more than one academic year to eventually benefit from the procedure, which broke the 'equal opportunity' design. Children followed first a nutrition education program (i.e. control period) and then, the sleep education program.

15 The two programs consisted of eight 50-minute education lessons taught by their usual 16 teacher over a period of one month (2 sessions/week). The primary outcome measures were 17 actigraphic sleep measures and cognitive functioning collected at 5 time points: before and after the 18 control period (T1 and T2), before and after the sleep education program (T3 and T4), and one year 19 later (T5). One month elapsed between the end of the control period (T2) and the initiation of the 20 program (T3). Of the 130 children involved in the protocol, 85 were tested at T5. Children who changed school or teacher between the 3rd and 4th grade could not be included in the follow up, 21 22 which explains attrition. The secondary outcome measures were third-grade report cards and 23 parents' knowledge about sleep collected at T3 and T4 (see Fig 1).

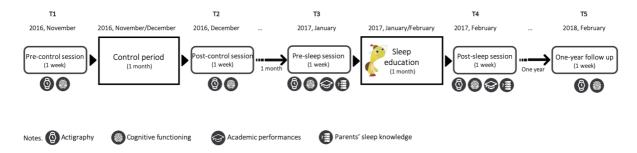


Figure 1. Illustration of the procedure.

1

## 2.3. ENSOM sleep education program

2 The ENSOM program was based on a multidisciplinary and collaborative approach involving children, 3 parents, researchers, sleep physicians, primary school teachers, as well as art school students who 4 produced the illustrations. During the construction process, each lesson was systematically pre-5 tested by the teachers with their students (N = 62) and, following their feedback, improvements were 6 introduced when necessary. During this 'pre-testing', children evaluated their satisfaction about the 7 program at  $4.60/5 \pm 0.05$ . The teachers involved in the construction of the ENSOM program were not 8 the same than those who took part to the experimental protocol. The sleep education program was 9 developed to build awareness and understanding of the importance of sleep in children and their 10 teachers, but also to help children to become responsible for their sleep and health.

11 The ENSOM package contained a 30-page training manual for teachers with complete 12 information about sleep, a step-by-step description of each lesson and eight structured lessons (8 13 cartoons and 8 comic-strips) covering four themes: the sleep rhythms, the role of sleep, the need for sleep and the friends and foes of sleep (2 lessons per theme). Sleep tips were presented to the 14 15 children at the end of each lesson to promote good sleep practices (see Table 1). To encourage the 16 interactions between children and their parents regarding the sleep education program, children had 17 three occasions to collect at-home information about their own sleep and the sleep of family 18 members.

	Sleep tip #1	Tonight, I shall snuggle down in bed just like Granny Jammies! [the mascot of the ENSOM program]
	Sleep tip #2	Tonight, I shall look out for the signs of sleepiness.
	Sleep tip #3	If I wake up during the night, I'll think about the next little train to help me get back to sleep.
	Sleep tip #4	Tonight, I think of something nice before going to bed!
	Sleep tip #5	Tonight, I shall think of 3 things I like doing before going to sleep and I'll do them every evening this week.
	Sleep tip #6	In the morning, I'm glad I've slept well, I feel rested and enjoy a good stretch.
	Sleep tip #7	In the morning, I'll make my bed because it doesn't feel nice to sleep in an unmade bed.
1	The French a	and English versions of the ENSOM program are freely available on [information removed
2	for reasons o	of anonymity, for an overview of the program, please see Supplementary material] under
3	a Creative Co	ommons License BY-NC-ND (Attribution, NonCommercial, NoDerivates).
4		
5	2.4.	Measurements
6		2.4.1. Sleep assessment
7	Each child w	as requested to wear a wristwatch-like device (Actiware 2, Philips Respironics, Bend, OR,
8	USA) on the	non-dominant wrist for 1 week (5 school days and 2 weekends) at the 5 time points.
9	Sleep was re	corded during nights preceding school days (Sunday through Thursday) and during
10	weekends (F	riday and Saturday). Actigraphy has been shown to provide a valid estimate of sleep
11	patterns in c	hildren and adolescents (Meltzer, Montgomery-Downs, Insana, Salvatore, & Walsh,
12	Colleen, 201	2). The Actiware 2 devices are equipped with an event marker and a light sensor. At
13	night, when	children were in bed ready to fall asleep, they were told to use the event marker to
14	indicate thei	r bedtime. In the morning, when they woke up, they were instructed to mark the
15	actigraph ag	ain. All actigraphy data were visually reviewed by a sleep specialist and bedtimes were
16	checked acco	ording to the event marker, the sleep diaries completed by the children and the data
17	from the ligh	nt sensor (in particular when children forgot to push the 'bedtime button'). Actigraphic
18	sleep data w	ere analyzed in 30-sec epochs using Actiware Sleep software 6.0.9. Epochs with activity
19	count equal	or below the threshold sensitivity value set at 40 (medium sensitivity), were scored as

Table 1. Sleep tips that were presented to the children at the end of the lessons.

1 'sleep' whereas epochs exceeding this threshold were scored as 'waking'. Sleep start and end were 2 determined automatically as the first and last 10 minutes period respectively in which no more than 3 one epoch was scored as mobile. Automatic analyses were run to extract the following sleep 4 parameters: a) bedtime (clock time attempt to fall asleep); b) wake-up time; c) Time in Bed (TIB - time 5 between bedtime and wake-up time), d) Total Sleep Time (TST - estimated amount of time scored as 6 sleep, according to the Actiware-Sleep Algorithm); e) Sleep Onset Latency (SOL - amount of time 7 elapsing from bedtime to the first period of sleep); f) Sleep Efficiency (SE - ratio of sleep time over 8 time in bed, i.e. (TST /TIB) x 100); g) Wake After Sleep Onset (WASO - number of minutes scored as 9 wake time during sleep period), and h) Mid-sleep (i.e. midpoint between sleep onset and offset) 10 corrected for sleep debt on school days (MSFsc = MSW -  $0.5^{(SDW - (5^{SDS + 2^{SDW})/7)}$ , where 11 MSW is midsleep on weekends, SDS is sleep duration on weekends and SDW is sleep duration on 12 school days; (5\*SDS + 2\*SDW)/7 represents the average weekly sleep duration) (Roenneberg, Keller, 13 & Fischer, 2015). Analyses were performed on actigraphic data when at least four nights (including at 14 least one during the weekend) were available. Among the 105 children recorded between T1 and T4, 15 79,5% reported usable data (T1= 76.2%; T2= 89%: T3= 88.6%; T4= 67.6%). From the 85 recordings at 16 T5, 70,6% were usable. 89% of missing data resulted from partially missing data (< 4 nights), the 17 remaining corresponded to a complete loss of the recordings.

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## 2.4.2. Cognitive functioning

OpenSesame 3.1 was used to set up and to manage the experiment (Mathôt, Schreij, & Theeuwes,
2012). Each participant performed a 45-minute computerized evaluation on a tablet during the
morning at school to enable us to measure executive functioning (inhibitory control, flexibility, mental
planning and updating), sustained attention and delayed memory.

Inhibitory control and flexibility were measured using an adapted NEPSY-II 'Inhibition' task designed to assess the ability to inhibit automatic responses in favor of novel responses and the ability to switch between response types (Korkman, Kirk, & Kemp, 2007). The children looked at a

1 series of black and white circles or squares that appeared one-by-one on the screen. For the 2 inhibitory control measure, they had to tap on the shape opposite to the one they saw as quickly as 3 possible. For the flexibility measure, they had to tap on the same shape or on the opposite shape as 4 quickly as possible depending on the color of the shape. Mental planning was measured with a 5 computerized adaptation of the NEPSY-I 'Tower' task in which the children were asked to arrange 6 three colored balls in target positions on three pegs in as few moves as possible (Korkman, Kirk, & 7 Kemp, 2003). Running span was measured with a running span task in which the children had to recall 8 the last three numbers in sequences of different length. Sustained attention was assessed with a task 9 inspired by the Continuous Performance Test - 3rd edition (Conners, 2013). For 15 minutes, the 10 children saw letters appearing one-by-one on the screen and they had to tap on each letter - apart from the letter 'X' - as quickly as possible. Memory was assessed with a recognition task inspired by 11 12 the subtest 'word list' subtest of the Children Memory Scale (Cohen, 2001). Children were asked to 13 memorize 14 sequentially presented words. Thirty minutes later, they had to indicate whether 28 14 words (14 old words and 14 new words) had or had not appeared in the previous phase. All children 15 performed the task in the same order: learning phase of the memory test, inhibition task, updating 16 task, recognition phase of the memory test, tower task and continuous performance test. The correct 17 response rates were collected for all the tasks. A 'global cognitive' score was calculated as the average 18 of all the above-mentioned scores.

Among the 130 children included between T1 and T4, 88.9 % completed the cognitive evaluation (119 were tested at T1; 114 at T2; 111 at T3; 109 at T4). All the children included at T5 performed the cognitive evaluation (*N* = 85).

22

23

2.4.3. Academic performance

Parents provided a copy of the children's third-grade report cards before and after the sleep
education program (T3 and T4). Grades were indicated on a scale between 0 (non-acquired skill) and
3 (acquired skill) for academic performance in French, mathematics and sciences.

1

#### 2.4.4. Parents' knowledge about sleep

The parents' knowledge about sleep was measured at T3 and T4 using a multiple-choice
questionnaire devised by the experimenters. Seven questions were based on the material covered
during the education program. Two versions were created to address the topics of sleep needs, sleep
rhythms, sleep and health and chronotype. The analysis of parent's knowledge about sleep was
conducted using a global score expressed as a percentage of correct responses. The response rate
was 51.4%.

8

# 9 2.4. Statistical analyses

Statistical analyses were performed using RStudio version 3.2.2. Values are given as mean ± SEMs. 10 11 Normality of distribution was tested using the Shapiro-Wilk test. Correlation analyses were performed 12 between cognitive scores and sleep parameters at baseline (T1) and after the sleep education 13 program (T4 and T5) using Spearman tests. Stepwise linear regression analyses were carried out to 14 identify the set of sleep parameters (TIB, TST, bedtime, SE, SOL and WASO) that account for the 15 variance of global cognitive functioning. A non-parametric repeated measure of variance (Mack-16 Skillings test, a Friedman-type statistic that can be used for block designs with missing data) was 17 conducted on sleep parameters or cognitive functioning across each time. Post-hoc two-sided 18 Wilcoxon tests with Bonferroni correction for multiple comparisons were performed to compare T1 19 and T2 (control period), T2 and T3, T3 and T4 (sleep education program) and T3 and T5 (one-year 20 follow-up). Kruskall-Wallis tests were performed to compare the change in TST between the four 21 classes. To assess the changes in sleep habits between school days and weekends, a repeated-22 measures analysis of variance (ANOVA) with a Greenhouse-Geisser correction was conducted with 23 Time (T1, T2, T3, T4, T5) as within-subjects factor and Day (school days or weekends) as between-24 subjects factor for bedtime, waketime and TST. Chi-square ( $\chi^2$ ) tests were used to measure the 25 changes in academic performance as a function of changes in sleep. Possible relations between 26 academic performance and sleep parameters were assessed with Spearman's rho correlation

coefficient. Effect sizes were estimated using eta squared or Cohen's *d* and was interpreted as small ( $\eta^2 = .01$  or *d* ≤ 0.3), medium ( $\eta^2 = .06$  or *d* = 0.5) or large ( $\eta^2 > .14$  or *d* = 0.8). The level of significance was set at α < 0.05, after correction. A power analysis based on the results of Gruber and colleagues (2016) indicated that, given a desired power of 0.80 and an alpha level of 0.05, a minimum sample size of 86 participants was sufficient to detect a difference.

- 6
- 7 3. Results
- 8

#### 3.1. Sleep and cognitive functioning at baseline (T1)

At T1, the average TIB was 9 hours and 36 minutes (± 2 min) with an average TST of 8 hours and 36
minutes (± 3 min). On average, the children needed 21 minutes (± 0.9 min) to fall asleep. SE was
87.1% (± 0.4%) (Table 2). During school days, bedtime was 21:28 (± 4 min) with a TST of 08 hours and
05 minutes (± 4 min). During weekends, bedtime was 22:40 (± 6 min) with a TST of 09 hours and 08
minutes (± 3 min).

The global cognitive functioning score was positively correlated with SE (r = .50, p < .001) and 14 15 TST (r = .28, p = .017), but negatively correlated with bedtime (r = .26, p = .024), SOL (r = ..33, p = ..33), SOL (r = ..33), p = ..33.004), WASO (r = -.27, p = .021) and mid-sleep (r = -.29, p = .012). A stepwise linear regression analysis 16 17 showed that SE accounted for 18.8% of variance in the global cognitive score (adjusted R2 = .18,  $\beta$  = 18 .45, p < .001). When correlations were performed on each cognitive function, TST was positively 19 correlated with flexibility (r = .24, p = .036), sustained attention (r = .29, p = .012) and memory 20 recognition (r = .23, p = .048). SE was positively correlated with inhibitory control (r = .63, p < .001), 21 flexibility (r = .40, p < .001), mental planning (r = .29, p = .013) and sustained attention (r = .23, p = .013) 22 .047). SOL was negatively correlated with executive functioning (inhibitory control: r = -.36, p < .001, 23 flexibility: r = -.34, p = .003, mental planning: r = -.33, p = .004) and sustained attention (r = .24, p = .004) 24 .039). WASO was negatively correlated with recognition (r = -.27, p = .02) and inhibitory control (r = -.27, p = .02) and inhibitory control (r = -.27, p = .02) and inhibitory control (r = -.27, p = .02) and inhibitory control (r = -.27, p = .02) and inhibitory control (r = -.27, p = .02) and inhibitory control (r = -.27, p = .02) and inhibitory control (r = -.27, p = .02) and inhibitory control (r = -.27, p = .02) and inhibitory control (r = -.27, p = .02) and inhibitory control (r = -.27, p = .02) and inhibitory control (r = -.27, p = .02) and inhibitory control (r = -.27, p = .02) and inhibitory control (r = -.27). 25 .33, p = .003). Bedtime was negatively correlated with inhibitory control (r = -.28, p = .017) and mid-

1	sleep was negatively correlated with inhibitory control ( $r =33$ , $p = .004$ ) and mental planning ( $r =33$ , $p = .004$ ) and mental planning ( $r =33$ , $p = .004$ ) and mental planning ( $r =33$ , $p = .004$ ) and mental planning ( $r =33$ , $p = .004$ ) and mental planning ( $r =33$ )
2	.28, $p = .014$ , see the Appendix A for the correlation matrix at T1).

- 3
- 4

### 3.2. Sleep across time points

5

6

# 3.2.1. Global evolution of sleep parameters

Non-parametric repeated-measures analysis of variance (Friedman - Mack-Skillings test) revealed a

7 significant effect of Time for all sleep parameters (see Table 2). While no significant difference was

- 8 found between T1 and T2 or T2 and T3 (all *p*s > .05), post-hoc analyses showed that TIB, TST and SE
- 9 increased significantly from T3 to T4, i.e. when the program was applied (all ps < .001; TIB: Cohen' d =

10 .65; TST: *d* = 1.25, SE: *d* = 0.87). Mid-sleep moved earlier, SOL and WASO decreased from T3 to T4 (all

11 ps < .001, mid-sleep: d = 0.51; SOL: d = 0.97, WASO: d = 0.47). The same results were observed

12 between T3 and T5 (all *p*s < .002, TIB: *d* = .47, TST: *d* = 0.97, SE: *d* = 1.12; SOL: *d* = 0.60, WASO: *d* =

13 0.60, mid-sleep: d = 0.53).

14Table 2. Mean (SEM) for sleep variables as measures by actigraphy before (T1, T2 and T3) and after (T4 and T5) the sleep15education program.

	Control period		Sleep education	program	One-year follow-up			
	T1 Pre-test (N = 80)	T2 Post-test (N = 93)	T3 Pre-test (N = 93)	T4 Post-test (N = 71)	T5 (N = 60)	MS	р	
TIB (min)	571.8 (3.1)	571.2 (2.8)	570.8 (3.0)	589.4 (2.6)	583.2 (2.4)	250.2	<.001	
TST (min)	516.7 (3.0)	517.3 (2.9)	516.3 (2.9)	547.4 (2.7)	539.0 (2.3)	201.6	<.001	
SOL (min)	21.1 (0.9)	20.0 (0.8)	19.7 (0.8)	12.0 (1.0)	14.3 (1.3)	256.2	<.001	
SE (%)	87.1 (0.4)	88.0 (0.3)	87.9 (0.3)	90.8 (0.4)	91.4 (0.4)	180.2	<.001	
WASO (min)	34.0 (1.1)	33.9 (0.8)	34.7 (1.1)	30.2 (1.0)	29.8 (0.5)	195.5	<.001	
Mid-sleep (hrs:min)	03:13 (00:07)	03:15 (00:06)	03:22 (00:06)	02:54 (00:06)	02:54 (00:05)	229.9	<.001	

16 Note. MS: Mack-Skillings test. TIB: Time in Bed, TST: Total Sleep Time, SOL: Sleep Onset Latency, SE: Sleep Efficiency, WASO: Wake After

17 Sleep Onset. SEM: Standard Error of the Mean. Cohen's d was provided in the text when comparisons were significantly different.

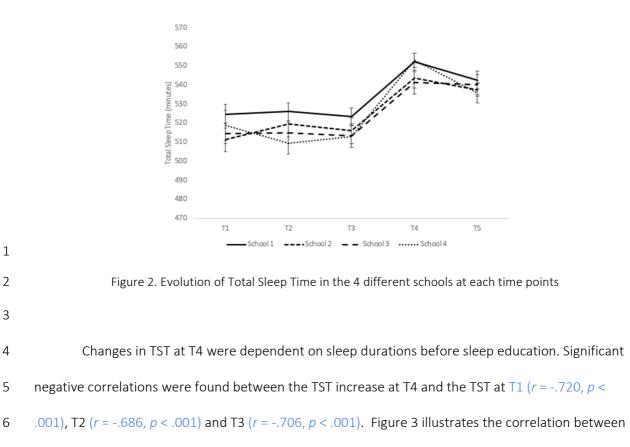
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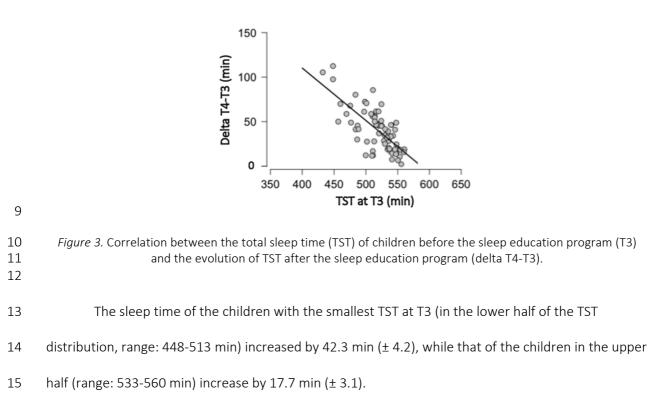
Sleep parameters did not differ between the 4 schools equipped with actigraphs (all ps >.05)

20 or between urban and rural schools at the 5 time points (all *ps* >.80, see Figure 2 for an illustration of

21 TST).



- 7 TST of children at T3 and the evolution of TST after the sleep education program (delta T4-T3).



		School days	Weekends	Mean difference
Bedtime	Before sleep education	21:43 (00:04)	22:51 (00:06)	01:08 (00:05)
(hrs:min)	After sleep education	leep education 07:17 (00:03) 08:16 (00:07) 00:52 (00:05)	00:55 (00:06)	
Wake time	Before sleep education	07:17 (00:03)	08:16 (00:07)	00:52 (00:05)
(hrs:min)	After sleep education	07:15 (00:03)	08:12 (00:06)	00:56 (00:06)
TST	Before sleep education	480.5 (3.2)	552.1 (3.2)	71.6 (2.6)
(min)	After sleep education	525.3 (2.7)	569.4 (2.7)	44.1 (1.8)

3 Table 3. Sleep habits before (T3) and after sleep education (T4) for school days and weekends. Mean (SEM).

Note. TST: Total Sleep Time, SEM: Standard Error of the Mean.

4

6 To better understand changes in sleep habits before (T3) and after (T4) the sleep education 7 program (see Table 3), a repeated-measures ANOVA was conducted. Regarding the TST during school 8 days and weekends before and after sleep education, there were (i) a main effect of the 'Day' factor, 9 reflecting longer sleep duration during weekends than school days (F = 898.6, p < .001,  $\eta^2 = .93$ ; (ii) a main effect of 'Time of analysis' with longer sleep duration at T4 compared to T3 (F = 93.05, p < .001, 10  $\eta^2$  = .57), and (iii) a significant Time x Day interaction (F = 71.6, p < .001,  $\eta^2$  = .51) indicating a greater 11 post-sleep education TST increase on school days than on weekends. ANOVA on bedtimes showed a 12 significant main effect of Day with earlier bedtime during school days than weekends (F = 219.6, p < 10013 14 .001,  $\eta^2 = 77$ ) and a main effect of Time with earlier bedtime at T4 compared to T3 (F = 21.5, p < .001,  $\eta^2$  = .25), but no interaction (p = .32). Lastly, ANOVA on wake times revealed a significant effect of Day 15 with earlier wake times on school days compared to weekends (F = 96.7, p < .001,  $\eta^2 = .72$ ), but no 16 17 effect of Time (p = .97) or interaction (p = .82).

18

2

<sup>5</sup> 

#### 3.3. Cognitive functioning

A significant effect of Time period was observed for all cognitive parameters (all *ps* < .007, see Table 4), except for delayed memory (p = .90). Post-hoc analyses performed between T1 and T2 only showed a significant decrease of inhibitory control (p = .01, d = 0.02) and no difference between T2 and T3 (p > .05). Inhibitory control, cognitive flexibility, mental planning and running span scores significantly increased from T3 to T4 (all *ps* < .001, d > .33) and from T3 to T5 measured one year later (all *ps* < .004, d > .35).

8

1

<sup>9</sup> Table 4. Mean (SEM) for scores obtained in the cognitive tests before and after the control period and the sleep10 education program.

	Contr	ol period	Sleep ed	ducation program	One-year follow-up		
	T1 Pre-test (N = 119)	T2 Post-test ( <i>N</i> = 114)	T3 Pre-test ( <i>N</i> = 111)	T4 Post-test ( <i>N</i> = 109)	T5 ( <i>N</i> = 85)	MS	p
Executive functioning							
Inhibitory control	92.5 (0.8)	92.3 (0.7)	92.3 (0.8)	94.7 (0.6)	96.0 (4.6)	171.6	.007
Cognitive flexibility	88.6 (1.0)	89.0 (0.9)	90.1 (0.9)	94.6 (0.5)	94.6 (4.3)	266.9	< .001
Mental planning	38.7 (1.8)	39.3 (1.8)	42.4 (2.0)	51.2 (2.0)	51.2 (2.3)	285.2	< .001
Running span	15.5 (1.3)	13.6 (1.2)	17.4 (1.5)	29.4 (1.6)	39.3 (2.3)	210.3	< .001
Delayed memory							
Recognition	81.7 (1.2)	81.6 (1.3)	81.8 (1.4)	81.8 (1.1)	81.9 (1.2)	.09	.87
Attention							
Sustained attention	83.0 (1.1)	83.2 (1.0)	82.3 (0.8)	91.5 (0.8)	92.7 (0.7)	246.7	< .001
Global score	66.8 (0.8)	66.5 (0.7)	67.9 (0.7)	73.9 (0.6)	76.6 (0.8)	347.8	< .001

11 Note. MS: Mack-Skillings test. SEM: Standard Error of the Mean. Cohen's d was provided in the text when comparisons were

- 12 significantly different. Cohen's d was provided in the text when comparisons were significantly different.
- 13

14 Correlations found between sleep parameters and cognitive performance at baseline (see

section 3.1) were no longer significant after the sleep education program (T4 or T5, all *p*s > .05, see

16 the Appendices B and C for the correlation matrix at T4 and T5 respectively).

17 We examined sleep and cognitive characteristics of the children lost in the follow-up at T4.

18 For this purpose, we averaged their TST, sleep efficiency (SE) and global cognitive performance

19 measured at T1, T2 and T3 and compared these values to those observed in children who completed

1	the 4 time-points. There was no significant difference between the two groups for TST (respectively,
2	513.2 min ± 4.6 vs. 516.8 min ± 3.1; $p$ = .58), SE (respectively, 87.3% ± 0.6 vs. 87.7% ± 0.4; $p$ = .58)
3	and cognitive functioning (respectively, 66.9% $\pm$ 0.8 vs. 67.5% $\pm$ 0.6; $p$ = .52).
4	3.4. Academic performance
5	No significant correlation between sleep parameters and academic performance was found at T3 or
6	T4 (all $ps > .05$ ). However, children whose sleep efficiency improved after sleep education (beyond
7	90%) were also more likely to exhibit improved academic performance than those in whom it did not
8	(respectively, 83% and 62%, <i>p</i> < .001).
9	
10	3.5. Parents' knowledge about sleep
11	The percentage of correct responses significantly increased after the sleep education program
12	compared to T3 ( $M_{before} = 51.1\% \pm 3.1$ , $M_{after} = 67.5\% \pm 2.9$ of correct responses, $W = 93$ , $p < .001$ ).
13	83% of the parents ( $N = 54$ ) who completed the questionnaire improved their knowledge about sleep
14	after the sleep education program.
15	
16	4. Discussion

17 The overall aim of this study was to evaluate the beneficial effect of a school-based sleep education 18 program conducted by teachers without sleep expert's intervention on children sleep and on their 19 cognitive and academic performance. Our main findings are that the ENSOM program was effective in 20 inducing changes in sleep habits and increasing cognitive and school performance. Children's sleep 21 duration was significantly extended by an average of 31 min per night following sleep education, and 22 this improvement was maximal in children with shorter sleep duration at baseline. This beneficial 23 effect was also larger during school days than weekends. Sleep efficiency was enhanced by 2.9 %, due 24 to a significant shortening of 12.2 min in the time spent awake after bedtime (SOL and WASO). After 25 the sleep education program, children also exhibited earlier bedtimes. Even if actigraphic recordings 26 do not bring information about sleep stages, the lengthened sleep duration, together with an earlier

**TOT** (

1 sleep onset, is likely to increase the time spent in deep sleep, which predominates during the first 2 part of the night (Kurth et al., 2012). Increase in sleep duration has been associated with improved 3 daytime performance (Gruber, Cassoff, Frenette, Wiebe, & Carrier, 2012; Sadeh, Gruber, & Raviv, 4 2003), and indeed we also found that sleep efficiency was the parameter that had the greatest impact 5 on the variation in cognitive scores at baseline. These results are consistent with previous studies 6 suggesting that sleep quality (as indexed by sleep efficiency and WASO) and sleep regularity (i.e. 7 consistent bedtime schedules or sleep duration) play a critical role in cognitive and academic 8 performance (Buckhalt, 2011; Kelly, Kelly, & Sacker, 2013; Matricciani, Blunden, Rigney, Williams, & 9 Olds, 2013). As was the case for, our results suggest that the children whose sleep efficiency improved also progressed more the others in terms of cognitive and academic performance. 10 11 Improvements were found in attention and executive functioning, consistent with the role of sleep in 12 high-level cognitive processes associated with frontal lobe functioning (Astill, Van der Heijden, 13 Kristiaan, Van IJzendoorn, Marinus, & Someren, 2012; Jones & Harrison, 2001). 14 Behavioral and emotional self-regulation difficulties are common in children with sleep 15 difficulties (e.g., Taveras, Rifas-Shiman, Bub, Gillman, & Oken, 2018; Wang et al., 2018). Because 16 executive functioning is also crucial for emotional and behavioral self-regulation, it would be 17 interesting to evaluate whether the ENSOM program results in behavioral changes at school. 18 In our study, memory performance was the only cognitive measure that failed to improve after the 19 sleep education program. While the improvement in executive functioning and attention resulting 20 from sleep may be big enough to be detected with relatively simple tests, the change in memory 21 performances may have been too subtle to be detected with our tasks, which involving only a 22 relatively low cognitive load (Blunden, 2017). Alternatively, of course, it may well be that memory 23 performances were genuinely unchanged despite the improvement in sleep. 24 To fully assess the preventative potential of school-based programs, studies need to determine if the effect on sleep persists in the years beyond those of the intervention. In our study, 25

26 parameters recorded one year after the end of the ENSOM intervention suggested a long-lasting

1 beneficial effect on sleep. This persistent gain in sleep is all the more relevant that several studies 2 showed a significant decrease of TST by 20 to 50 minutes between the age of 8-9 and 10-11 (Crabtree 3 & Williams, 2009). As this sleep restriction was associated with morning sleepiness, this behavioral 4 change may not be in accordance with their physiological needs (Sadeh et al., 2000). In other studies, 5 changes in sleep duration following a school-based sleep education failed to persist for more than a 6 few weeks (Blunden, 2017; Gruber et al., 2012). This discrepancy might not reflect a real difference 7 between programs but instead a lack of objective sleep measurements tools in most previous studies. 8 Indeed, purely introspective data (questionnaires or diaries) may fail to detect significant 9 improvements in sleep duration or efficiency, including in the long term, that can easily be observed 10 with actigraphy.

11 The co-construction of the ENSOM program with educational professionals, researchers and 12 families was, in our opinion, the key factor in its success (e.g., Mcdowall, Galland, Campbell, & Elder, 13 2017). Several studies conducted in schools reported difficulties in engaging schools, teachers and 14 parents (Gruber et al., 2016). Interestingly, while our program was performed during school time, it 15 also increased parents sleep knowledge. The present study does not allow determining by what 16 means parents increased their sleep knowledge and how this contributed to improve their children 17 sleep habits. However, this improvement is important for the recognition of healthy sleep patterns 18 and the adoption of long-lasting changes in their children's behavior (Kopasz et al., 2010). Since only 19 a few parents participated to this evaluation, we cannot exclude that responding parents may have 20 been the most concerned about their child's sleep.

Although the goal of the ENSOM program was to increase children's sleep literacy and help them develop good practices, we could not identify which specific topics addressed in the ENSOM program promote the change in sleep behavior. Different behavioral changes may have contributed to the overall effect, including the reduction in screen time before bedtime, the reinforcement of relaxing sleep rituals, improved parental monitoring of bedtimes, promotion of physical activities or possibly other factors. Further studies might provide some indications about some of these specific
 aspects.

3 This study has a number of limitations. Due to ethical recommendation, we were bound to 4 use a within-subjects design rather than a parallel before-and-after study, and this increased the risk 5 of testing effects and time-varying confounders. Moreover, the reported correlation of T3-T4 changes 6 with TST before sleep education has to be interpreted cautiously as we cannot exclude that a 7 regression to the mean might explain the observed pattern of results. However, a within-subjects 8 design also shows advantages: the subjects act as their own control, which reduces the amount of 9 error arising from natural variance between children. Moreover, our results showed no cognitive or 10 sleep improvement whatsoever during the control period (between T1 and T2) or during the period 11 without intervention (between T2 and T3), which reasonably excluded a significant effect due to 12 spontaneous improvement with time or any testing effect. Actigraphy recordings were used to assess 13 sleep parameters. Actigraphy shows limitation to estimate sleep onset latency and wake during the 14 sleep period (Meltzer et al., 2016). Nevertheless, the use of objective sleep measures is an important 15 strength of the present study, and its use has been endorsed by the American Academy of Sleep 16 Medicine.

17

#### 18 Conclusion

19 Studies covering a full century indicate a disconcerting reduction in the habitual sleep duration of 20 children (Galland, Taylor, Elder, & Herbison, 2012; Iglowstein, Jenni, Molinari, & Largo, 2011). 21 Parents, teachers, policy makers and, above all, children must be aware about the close link between 22 sleep restriction, learning and behavioral problems. Studies such as the current one demonstrates 23 that a sleep education program integrated into school curricula can improve sleep habits and school 24 performance. Because it can be autonomously conducted by teachers, the ENSOM program is easy to 25 implement into school's health curriculum and is both a feasible and efficient method to promote 26 sleep in a large scale.

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#### **Appendices**

### A. Correlation matrix for sleep and cognitive parameters at baseline (T1)

	Sleep parameters							gnitive parameters					
	ТІВ	TST	SOL	SE	WASO	Mid- sleep	Inhibitory control	Cognitive flexibility	Mental planning	Running span	Recogni- tion	Sustained attention	
TIB	1.00												
TST	.446***	1.00											
SOL	053	264*	1.00										
SE	.187	.514***	-587***	1.00									
WASO	.204	022	.031	482**	1.00								
Mid-sleep	.009	077	.360**	607***	.364***	1.00							
Inhibitory control	.091	.189	362**	.633***	334**	325**	1.00						
Cognitive flexibility	.059	.243*	337**	.402***	096	159	.714***	1.00					
Mental planning	015	.086	330**	.285*	115	284*	.277**	.258*	1.00				
Running span	.076	158	.070	014	125	009	.220*	.205*	.191	1.00			
Recognition	006	.230*	011	.198	268*	148	.118	.230*	.167	.020	1.00		
Sustained attention	.164	.288*	239*	.231*	007	122	.220*	.226*	.147	.350***	.102	1.00	

Note. TIB: Time in Bed, TST: Total Sleep Time, SOL: Sleep Onset Latency, SE: Sleep Efficiency, WASO: Wake After Sleep Onset, \*p>.05, \*\*p<.01, \*\*\*p<.001

# B. Correlation matrix for sleep and cognitive parameters after sleep education (T4)

	Sleep para	meters					Cognitive p	arameters				
	TIB	TST	SOL	SE	WASO	Mid- sleep	Inhibitory control	Cognitive flexibility	Mental planning	Running span	Recogni- tion	Sustained attention
TIB	1.00											
TST	.677***	1.00										
SOL	.166	227	1.00									
SE	.285*	.599***	548***	1.00								
WASO	045	095	014	320**	1.00							
Mid-sleep	.077	212	.265*	333*	.116	1.00						
Inhibitory control	.152	.054	.196	016	127	149	1.00					
Cognitive flexibility	.210	.212	040	.020	114	.047	.460***	1.00				
Mental planning	.122	038	.163	110	124	139	.339**	.345**	1.00			
Running span	.070	027	.083	.001	.001	033	.127	163	.139	1.00		
Recognition	150	125	.058	241	.094	095	.113	.189	.294**	084	1.00	
Sustained attention	094	233	127	065	190	084	038	.181	.382**	.167	.203	1.00

Note. TIB: Time in Bed, TST: Total Sleep Time, SOL: Sleep Onset Latency, SE: Sleep Efficiency, WASO: Wake After Sleep Onset, \*p>.05, \*\*p<.01, \*\*\*p<.001

# C. Correlation matrix for sleep and cognitive parameters after one-year follow-up (T5)

	Sleep par	ameters					Cognitive p	arameters				
	TIB	TST	SOL	SE	WASO	Mid- sleep	Inhibitory control	Cognitive flexibility	Mental planning	Running span	Recogni- tion	Sustained attention
TIB	1.00											
TST	.240	1.00										
SOL	051	343**	1.00									
SE	.031	.353**	517**	1.00								
WASO	.108	.331**	545***	.768***	1.00							
Mid-sleep	.169	023	.136	370**	111	1.00						
Inhibitory control	030	126	.154	269	175	.208	1.00					
Cognitive flexibility	020	073	001	087	.112	111	.206	1.00				
Mental planning	139	.144	.077	195	073	.050	039	006	1.00			
Running span	010	045	.204	109	131	.196	.138	.023	.021	1.00		
Recognition	.149	.112	118	.103	.130	.063	092	.049	.163	165	1.00	
Sustained attention	.095	.221	189	.277	.189	.125	027	.044	.115	.100	.212	1.00

Note. TIB: Time in Bed, TST: Total Sleep Time, SOL: Sleep Onset Latency, SE: Sleep Efficiency, WASO: Wake After Sleep Onset, \*p>.05, \*\*p<.01, \*\*\*p<.001