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1	Charge compensation mechanisms in Nd-doped UO2 samples for stoichiometric and hypo-
2	stoichiometric conditions: lack of miscibility gap.
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10	Highlights
11	• Description of the solubility of the $U_{1-y}Nd_yO_{2\pm x}$ system at room temperature.
12	- Characterisation of the charge compensation mechanisms and local disorder for the $U_{1-y}Nd_yO_{2\pm x}$
13	system.
14	• Analysis of the crystal lattice of the U-Nd-O system assessed complementary by XRD and XAS.
15	Abstract
16	The evolution of the crystal lattice of samples made of UO_2 doped with different concentrations of
17	Nd in stoichiometric and hypo-stoichiometric conditions has been systematically studied by X-ray
18	diffraction (XRD) and X-ray absorption spectroscopy (XAS). The substitution of a trivalent cation for
19	the U ⁴⁺ initial position is responsible for creating local structural disorder and changes in the
20	oxidation states. In this scenario, the lattice parameter is affected and the concentration of U^{5+} and
21	formation of oxygen vacancies as well, since these are the mechanisms necessary to maintain the
22	charge neutrality. The systematic oxidation of U ⁴⁺ as predominant charge compensation mechanism
23	over the formation of vacancies can be reduced by performing a thermal treatment under reducing
24	conditions. This paper presents an experimental characterization of the uranium oxidation state

mixture and local structure using XAS techniques in samples with chemical formula $(U_{1-y}Nd_y)O_{2-x}$, with y=0.04, 0.17 and 0.25 (0<x<0.038). In all cases, the deviation from the ideal oxygen stoichiometry of a perfect fluorite is small and the average long-range structure is not affected because also the cation substitution occurs randomly onto the metal sites of the ideal fluorite. However, the local distances of the first atomic shell depend on the actual local chemical composition. The atomic arrangement of the Nd neighbours differs from those of U, which is also sensitive to the overall concentration of Nd and the amount of vacancies.

32 Keywords

33 Uranium dioxide, hypo-stoichiometry, local structural disorder, XAS, thermal annealing, Neodymium.

34 1. Introduction

35 Today, UO_2 is the most widely used nuclear fuel. During in-pile irradiation, a large variety of fission 36 products (FP) can be formed under the form of gas (Xe) [1-2], metallic precipitates (Mo, Tc, Ru...) [3-37 5] or dissolved on the crystal lattice [5-9]. The speciation of all these FP is of major importance since 38 they can affect directly the global negative reactivity during operational conditions by acting as a 39 neutronic poison (149Sm, 157Gd) [11], or even increasing considerably the source term during the course of a severe accident (¹³⁷Cs, ¹³¹I) [12,13]. In particular, lanthanides (Nd, Ce or La) and some 40 41 actinides (Pu, Np, Cm) represent an important part of the nuclear inventory, where Nd is the most 42 abundant lanthanide of all [14]. Being Nd³⁺ a trivalent cation, its insertion into the UO₂ face centered cubic (FCC) structure can cause changes on the interatomic distances, oxidation of the initial U⁴⁺, 43 44 formation of vacancies or increasing on the structural disorder. In addition, the insertion of Nd³⁺ drives the formation of U⁵⁺, U⁶⁺ and oxygen vacancies as charge compensation mechanisms, 45 significantly affecting the oxygen chemical potential [15] and subsequently the global oxygen to 46 47 metal ratio (O/M).

In this context, this work aims to study a set of stoichiometric and hypo-stoichiometric samples
based on UO₂ doped with Nd at different concentrations, produced after a thermal annealing. All

samples were characterised by X-ray diffraction (XRD) and X-ray absorption spectroscopy (XAS). XRD analysis is conveniently advantageous since it allows to directly relate the concentration of Nd and the formation of vacancies with the lattice parameter to a long-range extent. On the other hand, the XAS techniques are particularly sensitive to measure the presence of the different uranium oxidation states by X-ray absorption near edge spectroscopy (XANES) at the U M₄-edge and the local environment of a selected atom at the U and Nd L₃-edge by Extended X-ray absorption fine structure (EXAFS).

Concerning XANES acquisition, U M₄-edge spectra can be conveniently extracted by using high energy
resolution fluorescence detected-XANES (HERFD-XANES). The use of this technique virtually reduce
the core-hole broadening effect and evaluate directly metallic mixtures with different valence states
[16,17].

The results obtained after the U M₄-edge spectra extraction for UO₂-based samples doped with Nd
under the form of Nd³⁺, along with the results from similar studies for other trivalent dopants, allow
to have a better understanding of the charge compensation mechanisms for a general U-Ln-O system
(where Ln is a trivalent dopant).

65 2. Experimental procedure

66 2.1. <u>Sample preparation</u>

67 The samples were produced by precipitation of oxalate precursors. This process is used to prepare 68 the initially homogeneous solid solutions (U_{1-y}Nd_y)O₂ (y is expressed hereafter in atomic percentage 69 of the cations as y=4%, 17% and 25%). Oxalic acid (0.5 M) is used to react with the cations U⁴⁺ (4.07 10⁻⁴ mol.g⁻¹) and Nd³⁺ (4.721 10⁻⁴ mol.g⁻¹) and precipitate in the form of mixed oxalates. The mixture 70 71 of the starting reagents was made by pouring dropwise the solutions into a large excess of oxalic acid 72 (0.5 M) to insure the precipitation of all cations at room temperature under stirring conditions. After 73 few minutes, the precipitation was complete and the solution centrifuged, washed with water and 74 ethanol, and finally dried at 90°C.

Oxalates samples were converted <u>into</u> the final oxides after calcination at 1273K for 60 h under an
atmosphere of Ar + 4% H₂. Afterwards, each sample was pressed into a pellet with an applied
pressure of 450 MPa and sintered at 1823K for 5 h under the same atmosphere.

The verification of the expected final composition of the oxides was made through Energy Dispersive
Spectroscopy (EDS) analysis. X-ray mapping was performed to obtain up to four 30x40 µm images on
each sample. Complementary, line scans were also taken on each micrography. These results
presented a good agreement with the theoretical values (4, 17 and 25 %Nd), confirming the full
precipitation of the U⁴⁺ and Nd³⁺ initial cations, as well as the homogeneity throughout the whole
pellet.

84 2.2. <u>Thermal treatment</u>

85 The thermal annealing under controlled atmosphere was performed at CEA, Cadarache. It intended 86 to produce hypo-stoichiometric samples to compare them with as-produced samples. In the 87 following, the as synthesized samples are referred as TO, and the annealed samples (under different 88 controlled atmospheres) as TT. To carry on this thermal treatment, an induction furnace with a 89 molybdenum sample holder was used allowing a heating and cooling ramp of 1K.s⁻¹. The oxygen 90 partial pressure (PO_2) was monitored using the oxygen pump gauge Gen'Air from SETNAG, set to 91 work using the principle of ionic conduction of zirconia on a flowing gas current of Ar+4% H₂. The 92 thermal treatment was carried on in two stages: the first stage consisted of a dwell for 2 h at 1473K 93 to generate the reducing conditions to achieve hypo-stoichiometry. The second stage was defined to 94 stabilize a second crystallographic phase that would appear as the result of a phase separation 95 caused by a miscibility gap at low temperature (T<745K for 25%Nd) [18]. Thus, in order to favour 96 phase separation, this stage consisted of a dwell for 6 h at 673K.

For the first stage, the measured PO_2 at 923K (which is the working temperature of the oxygen

98 control device) was 10^{-27} bar, which equals to an oxygen chemical potential (μ O₂) of -732.32 kJ.mol⁻¹.

99 According to calculations employing the Thermo-Calc software, this stated value allows the reduction

of the sample at 1473K. Similar analysis showing the evolution of the oxygen chemical potential for
 increasing temperatures on ternary systems can be seen in [15-16]. For the second stage, the oxygen
 atmosphere was set to the lowest achievable value of the equipment, reaching an oxygen partial
 pressure as low as 10⁻²⁹ bar.

104 2.3. Characterization

105 XRD patterns were measured before and after the thermal treatments using a Bruker D8 Advanced X-106 Ray diffractometer (Cu K $\alpha_{1,2}$ radiation, λ =1.5418 Å) equipped with a linear Lynx-Eye detector, and 107 located at the CEA, Cadarache. The step used on the collection of the diffraction pattern was 0.01° 108 and a counting time of 0.5s/step within the 20 range of 20-145°. All XRD patterns and lattice 109 parameter were analysed using the Jana 2006 software [21].

110 X-ray absorption spectra (XAS) were measured at the MARS beamline at the SOLEIL synchrotron 111 (Saint-Aubin, France) [21,22]. The storage ring was operating in top up mode at an electron current of 450 mA, 2.5 GeV. The beam size on sample was 250µm × 150µm FWHM (Full Width at Half 112 113 Maximum) (HxV). HERFD-XANES was measured at the U M_4 -edge (3.7 keV) using the DCM (Double 114 Crystal Monochromator) with a pair of Si(111) crystals. Higher harmonics rejection and vertical 115 focusing were achieved using the Si strip of each mirror inserted before and after the DCM with a 4 116 mrad incidence angle. The incident energy was calibrated using the absorption K-edge of potassium 117 in a KBr pellet (3.6 keV). The incident X-ray flux on the sample position was $1.9 \cdot 109$ ph/s at 3.5 keV. 118 HERFD-XANES were acquired at the U M_{β} emission line (3.339 keV) using the emission spectrometer 119 equipped with a Si(220) spherically bent diced crystal with curvature radius of 1 m, a KETEK single 120 element silicon solid state (SDD) detector, along a Rowland type geometry. Samples were oriented at 121 45° from the incident beam, and the emission spectrometer was positioned at 90° from the incident 122 beam to minimize self-absorption effects. A He-chamber was used to reduce the scattering of the X-123 rays by air. The overall energy resolution of the emission spectrometer was 1.1 eV as determined 124 from the FWHM of the elastic scattering peak at the double energy.

125 In addition, U L₃-edge (17.166 keV) and Nd L₃-edge (6.208 keV) EXAFS spectra were also collected in 126 fluorescence mode using a 13-element HPGe detector from ORTEC. The beamline double-crystal 127 monochromator (DCM) consists in a pair of Si(220) crystals for the U L_3 -edge and a pair of Si(111) 128 crystals for the Nd L₃-edge. Energy calibration was performed by measuring Y K-edge (17.038 keV) of 129 a Y metallic foil for U L_3 -edge and Fe K-edge (7.112keV) of a Fe metallic foil for Nd L_3 -edge. 130 The treatment of the XAS data was performed with the software package Demeter. ATHENA 131 software was used to remove the pre-edge data, as well as to normalize the post-edge using linear 132 functions at the U M₄-edge [24]. Finally, the energy threshold (E_0) was defined as the maximum of 133 the first derivative of the white-line relative to the incident energy.

Uranium valence state mixture was deduced from linear combination fitting within the range of 3.720 to 3.731 keV. For this purpose, three references were used: UO_2 for U⁴⁺, NaUO₃ for U⁵⁺ and β-UO₃ for U⁶⁺. The UO₂ spectrum was obtained during this experiment, however, the NaUO₃ and β-UO₃ references were obtained in a different analysis carried on at the ID26 beamline of the European Synchrotron Facility (ESRF) in Grenoble, France, and reported in [25]. Special attention was given on the possible effect on results arising from beamline energy resolution differences when dealing with such references.

141 The EXAFS data analysis was performed using the ARTEMIS software [24,26]. Hanning window was 142 used to transform the EXAFS spectra into the Fourier transform over the k-range 3.2 to 12 and 2.8 to 143 9.0, for U and Nd L₃-edge, respectively. The first and second O coordinated shells, as well as the first 144 intermetallic shell were determined assuming a fluorite structure with a random substitution of Nd 145 into the metallic sublattice. The scattering factors S_0^2 deduced from the fit for UO_2 and Nd_2O_3 at the 146 U and Nd L₃-edge respectively were considered equal to 1.

147 Concerning the estimation of the O/M ratio, this is done through XAS determination of U valence
148 state mixture, considering that Nd can only be found in solution as Nd³⁺, on the basis of sample
149 composition. The study of the formation of vacancies is then indirect, by taking into account both the

lattice parameter changes (from XRD) and the deduced O/M (from XAS). This approach has
demonstrated its reliability in the past, within limited accuracy, and remains accurate enough for
properly analyze our sample composition. A more accurate analysis would involve the experimental
characterization of the stoichiometry (using, for example, a thermogravimetric analysis) to establish
a direct and more reliable comparison between the stoichiometries of the different samples, but
such characterization was not possible during this work.

156 **3.** Results and discussion

157 3.1. XRD: Solubility studies

XRD patterns at room temperature of all three TO samples are shown in figure 1 (a). It is clearly
visible that the samples display a well crystallized single FCC structure with a small shift towards
higher angles with increasing concentration of Nd, indicating a progressive lattice contraction but no
symmetry change, see figure 1 (b).

Numerous studies assessed the evolution of the lattice parameter, *a*, on stoichiometric samples (U₁.
 _yNd_y)O₂ [15], [27]–[30], [18]. Lee et al. interpreted the evolution as a function of the Nd atomic
 concentration according to the following expression :

165
$$a = \frac{4}{\sqrt{3}} \left\{ (1 - 2y)r_{U4+} + yr_{U5+} + yr_{Nd3+} + r_{O2-} \right\} \left(1 - \frac{y}{200} \right)$$
 (Eq. 1)

where y is the Nd concentration in atomic fraction and r is the atomic radius of the ion (see table 1)
reported by Ohmichi et al. and Shannon [27], [28], [31]. This equation was first defined by Ohmichi et
al. describing the lattice parameter only as a function of the atomic radii multiplied by the atomic
fraction of each atom. However, later on Lee et al. added an empirical correction factor (1-y/200) to
better describe the measured values of the lattice parameter up to a concentration of Nd as high as
53%.

lon	Radius (Å)			
U ⁴⁺	1.001			
U ⁵⁺	0.88			
U ⁶⁺	0.86			
Nd ³⁺	1.109			
O ²⁻	1.368			
Vo	1.09±0.05			

172

Table 1. Ionic radius used in expression 1 taken from Ohmichi et al. and Shannon [27], [31].

173 Figure 2 compares the values of the experimental lattice with the second order polynomial curve 174 defined by the equation (1). There is a general agreement concerning the evolution of the lattice 175 parameter for low concentrations of Nd. On the other hand, for concentrations of Nd higher than 176 50%, Barabash et al. reported a deviation of this behaviour, suggesting a possible underestimation of 177 the correction factor, (1-y/200), even for lower concentrations [32]. 178 The samples undergoing a controlled atmosphere annealing were also studied by XRD (figure 3 (a)). 179 It is possible to see a clear narrowing of the peak widths and the contraction of the crystal lattice 180 caused by the increasing concentration of Nd. This trend of the samples treated thermally as a 181 function of the Nd substitution is analogous to the one of the as-produced samples (figure 3 (b)). 182 The FCC structure is maintained in all cases. 183 At all compositions and annealing temperatures, the system shows only a single fluorite-like phase, 184 which agrees with what is proposed by several authors for hypo-stoichiometric conditions [8,29,32-185 35]. Therefore, the results presented in this work differ from those published by Desgranges et al. 186 and Dottavio et al. [18], [30], [36] where a miscibility gap was identified: no peak splitting is observed 187 in the samples object of this study and therefore there is no evidence for a phase separation. This 188 discrepancy was addressed in [37] where it is found that the samples used in [18], [30], [36] 189 presented an incomplete reaction between the initial powders of UO₂ and Nd₂O₃, which produces 190 the formation of phases out of the thermodynamic equilibrium, instead of a miscibility gap. 191 The objective of the thermal treatment was to create oxygen vacancies, which are responsible for an 192 expansion of the lattice parameter. This particular behaviour is seen repeatedly on the U-Nd-O 193 system and can be extrapolated to other ternary U-Ln-O systems (being Ln= Gd, Ce, Eu or Y)

194 [5,7,18,19]. A Rietveld refinement on the 25% Nd-substituted samples before and after thermal 195 annealing allowed to evidence the effect of lattice expansion and microstrain reduction on the (111) 196 peak, see **figure 4**. The blue arrows point at the peak defined by the K α_1 wavelength to better 197 visualize the lattice expansion caused by the annealing process. This peak is directly visible on the 198 annealed sample along with the K α_2 (smaller red peak), and hidden on the as-produced sample due 199 to the enlarged broadening. The corresponding numerical values for all samples are reported in **table** 200 **2**.

Sample [Nd] (%)	a T0 (Å)	a TT (Å)
0	5.4715 (4)	-
4	5.4671 (8)	5.468 (1)
17	.7 5.4594 (5) 5.46	
25	5.4568 (3)	5.4582 (3)

201 Table 2. Lattice parameter of all the samples before and after thermal annealing under reducing controlled atmosphere.

202

3.2. XANES: charge compensation mechanisms

203 The U M₄-edge spectra of all the samples (black) and all the references (blue) are shown in **figure 5**.

As indicated in the Experimental section, the UO₂, NaUO₃ and UO₃ references correspond to pure U⁴⁺,

205 U⁵⁺ and U⁶⁺ valence state, respectively.

All the samples present a sharp peak at 3725.8 eV, which belongs to the absorption energy of the U

207 M₄-edge of U⁴⁺. In addition, they also present a shoulder at a higher energy than the U⁴⁺ white-line

- 208 that increases progressively with higher concentrations of Nd. This behaviour is then explained by
- 209 the partial oxidation of U^{4+} to U^{5+} or U^{6+} to compensate the charge imbalance when Nd^{3+} is
- 210 substituted for U⁴⁺. Therefore, this shoulder does not seem particularly sensitive to the actual O shell

surrounding the U atoms that is modified when oxygen vacancies are formed. The precise positions

Sample	E ₀ (eV)	White-line (eV)
UO ₂	3725.3 (2)	3725.8 (2)
NaUO ₃	3726.6 (2)	3727.2 (2)
β-UO ₃	3727.1 (2)	3727.6 (2)
4% T0	3725.4 (2)	3725.8 (2)
4% TT	3725.4 (2)	3725.9 (2)
17% TO	3725.6 (2)	3726.0 (2)
17% TT	3725.6 (2)	3725.9 (2)
25% TO	3725.4 (2)	3725.9 (2)
25% TT	3725.6 (2)	3726.1 (2)

of the white-line, as well as the EO are detailed in **table 3**.

213

From a strictly electrical-balance standpoint, three models, as already mentioned by Bès et al. [25],

215 can be defined to describe the charge compensation mechanisms. These models are as follows,

216 Model 1:
$$(1 - 2y)U^{4+} + yU^{5+} + yNd^{3+}$$
 (2)

217 Model 2:
$$\left(1 - \frac{3}{2}y\right)U^{4+} + \frac{1}{2}yU^{6+} + yNd^{3+}$$
 (3)

218 Model 3:
$$(1 - y)U^{4+} + \frac{y}{2}V_0 + yNd^{3+}$$
 (4)

These models describe different scenario for the sample composition following the introduction of a trivalent atom. Model 1 indicates the formation of equal amounts of U⁵⁺ for the substituted Nd³⁺.

221 Model 2 describes the formation of one U^{6+} when two atoms of Nd³⁺ are substituted, whereas the

222 Model 3 compensates the missing charge with a half oxygen vacancy for each substituted atom of

223 Nd³⁺. This latter model is directly related to the global O/M ratio, since it does not imply a change of

- the oxidation state of the U atoms of the system.
- 225 The XANES features of the samples were adjusted to find the amounts of U⁴⁺, U⁵⁺, and U⁶⁺,
- summarized in **table 4.** No U⁶⁺ was found in any sample, in good agreement with already reported
- values [17]. This means that only Models 1 and 3, or any linear combination of the two, can be used

Table 3. Position of the E_0 and the white-line for each sample at the U M₄-edge XANES spectra.

to explain the actual chemical composition of each sample. Figure 6 shows for illustrative purposes

Sample	U ⁴⁺ (%)	U ⁵⁺ (%)	U ⁶⁺ (%)
4%T0	96 (2)	4 (2)	0(1)
4%TT	99 (2)	1 (2)	0(2)
17%T0	86 (4)	14 (4)	0(2)
17%TT	89 (3)	11 (3)	0(3)
25%T0	75 (4)	25 (4)	0(1)
25%TT	77 (4)	23 (4)	0(2)

the results of the linear combination fitting of the sample with 25% Nd thermally annealed.

230Table 4. Calculated concentration of U^{4+} , U^{5+} and U^{6+} for each sample after the linear combination fitting of the HERFD-231XANES data.

232 The influence of the reducing thermal annealing is also seen on the concentration of U⁵⁺. Figure 7 233 presents the comparison between the spectra of as-produced and annealed samples having a 25% 234 Nd substitution. In good agreement with the XRD analysis, the samples treated thermally present a 235 lower intensity of the peak located at 3726 eV, which is proportional to the global concentration of 236 uranium valence state higher than U⁴⁺, establishing that the formation of vacancies in the annealed 237 samples is more important than in as-produced samples. Indeed, these differences between the as-238 produced samples and the ones treated thermally are small and require a careful data treatment. 239 However, the behaviour is systematic and consistent in both characterisation techniques XRD and XAS. 240 241 To visualize more clearly the dominant charge compensation mechanisms, Bès et al. schematized the concentration of U⁵⁺ and U⁶⁺ in comparison with Models 1, 2, and 3 [25]. Following the same 242 243 reasoning, figure 8 displays the percentage of U⁵⁺ for each valence state in comparison to Model 1, 244 the curve that expresses Model 2 was not introduced in the graphic as no U^{6+} is seen in our samples. 245 The evolution of all the samples shows a coherent behaviour. U⁵⁺ concentration increases with the 246 concentration of Nd³⁺, indicating that the compensation mechanism as described by Model 1 is 247 observed. As no U⁶⁺ was observed (within the experimental uncertainties), we can exclude Model 2 from the main charge compensation mechanisms. However, U⁵⁺ concentration appears below the 248 249 expected values as obtained using solely Model 1 for Nd concentrations higher than 4 %, indicating

that oxygen vacancies, i.e. Model 3, are also created, where x can adopt a value up to 0.038 on the
sample 25%TT. In addition, the charge compensation mechanisms for all six samples are not the
same since the contribution of Models 1 and 3 to electroneutrality varies between the samples.

Those findings are in good agreement with the results reported in [17] where the experimental value matches the theoretical curve for concentrations of Nd lower than 9%, and where a mixed charge compensation between Model 1 and Model 3 is observed for higher Nd concentration. According to the work reported by Bès [25], it is only for a greater value of y=30% that the system exhibits the presence of U⁶⁺. This value deviates significantly from the Model 1, since it shows a mixed charge compensation mechanism combining all three models.

259 3.3. XANES: comparison with other ternary systems

Other ternary systems have been also studied through XANES to analyse the local structure surrounding uranium atoms by measuring the L_3 -edge or the M_4 -edge spectra. Popa et al. studied the U-Bi-O system also through HERFD-XANES by analysing the U M_4 -edge [38]. The study used samples varying the concentration of Bi from 15% until 79%. They showed that despite the ability of Bi to change from trivalent to pentavalent it remained trivalent for all cases, which makes the reported values suitable for direct analysis. Their results show a complete absence of U⁶⁺ up to a Bi concentration of 60%, which is in perfect agreement with our results.

267 Concerning the study of trivalent lanthanides, Prieur et al. [39] compared both measures of U L₃-edge 268 and U M_4 -edge for the system U-La-O. They reported the valence state on stoichiometric samples 269 with relatively low concentrations of La. Regardless the concentration of La, they found consistently 270 some small fraction of U^{6+} on the U M₄-edge spectra. On homogenous samples, this kind of 271 behaviour is highly unlikely if the number of trivalent dopants remains inferior to 30%. To explain 272 these findings, they stated the superficial oxidation of the samples, which justifies why the same 273 amount of U⁶⁺ is seen on all samples. Bès et al [40] focused their study on the system U-Gd-O with 274 samples containing low concentration of Gd. As expected, they saw only the formation of U⁵⁺

predominantly as the main factor for charge compensation. Finally, Bès et al [25] calculated the
concentration of U⁵⁺ and U⁶⁺ on the system U-Nd-O. These measurements showed the presence of
U⁶⁺ on the samples starting from 29% of Nd. However, the author named repeatedly that the
samples were not completely homogeneous due to the nature of the manufacturing process.
Therefore, these results have only a qualitative meaning.

280 All those reported results are summarized in table 5. Throughout all systems, there are trends that 281 repeat and that can be pointed out. First, it is evident that the concentration of U^{5+} increases with 282 the number of trivalent dopants, meaning that the oxidation of uranium onto higher valence state is 283 preferred than the formation of oxygen vacancies. Further, for very low concentrations of dopants 284 (y<0.1), the only model for charge compensation that seems to predominate is the Model 1, as the 285 experimental values are similar to the theoretical values within the margin of error. After that, the 286 experimental values are slightly lower than the theoretical ones, indicating a compromise between 287 Model 1 and Model 3 justified by the presence of vacancies.

288 For concentration of dopants lower than 30%, no formation of U^{6+} is detected. In contrast, its 289 formation becomes predominant for concentration of trivalent dopants higher than 50%, which corresponds to the natural limit of Model 1, i.e. all U⁴⁺ are converted to U⁵⁺. This statement can be 290 291 explained by the atomic disposition on the cationic sub lattice. If a trivalent atom is surrounded only 292 by uranium atoms and another trivalent atom is fairly distanced, the natural tendency is to increase 293 the valence of uranium from U⁴⁺ to U⁵⁺, which is the case on very low doped samples. While 294 increasing the concentration of dopants, the probability for two trivalent atoms to be first 295 neighbours is higher. Hence, the charge compensation through oxidation of uranium will compete 296 with the formation of one vacancy. Finally, if the sample has over 50% of trivalent dopants, all the uranium has been oxidized from U⁴⁺ to U⁵⁺ and the system will have no option but to oxidize the U⁵⁺ 297 298 to U⁶⁺.

			Theoretical (%)-Model 1		Experimental (%)			
Dopant	Concentration	Abs. U Edge	U ⁴⁺	U ⁵⁺	U ⁴⁺	U ⁵⁺	U ⁶⁺	Author
	(U _{0.85} Bi _{0.15.})O ₂	M ₄	82.35	17.6	82 (5)	18 (5)	0	
	(U _{0.68} Bi _{0.32})O ₂	M ₄	52.9	47.0	44 (5)	56 (5)	0	
Bi	(U _{0.50} Bi _{0.50})O ₂	M ₄	0	100	0	100 (5)	0	<u>Popa et al</u>
DI	(U _{0.40} Bi _{0.60.})O _{1.95}	M ₄	0	0	0	74 (5)	26(5)	[38]
	(U _{0.33} Bi _{0.67.})O ₂	M ₄	0	0	0	0	100(5)	
	(U _{0.21} Bi _{0.79})O _{1.81}	M ₄	0	0	0	10 (5)	90(5)	
	(U _{0.94} La _{0.06.})O _{2±x}	L ₃	93.6	6.3	94 (5)	6 (5)	0	
	(U _{0.89} La _{0.11.})O _{2±x}	L ₃	87.6	12.3	89 (5)	11 (5)	0	
La	(U _{0.78} La _{0.22.})O _{2±x}	L ₃	71.79	28.2	72 (5)	28 (5)	0	<u>Prieur et al</u>
La	(U _{0.94} La _{0.06})O _{2±x}	M ₄	93.6	6.3	90 (2)	8 (2)	3 (2)	[39]
	(U _{0.89} La _{0.11.})O _{2±x}	M ₄	87.6	12.3	86 (2)	11(2)	3 (2)	
	(U _{0.78} La _{0.22.})O _{2±x}	M ₄	71.79	28.2	76 (2)	21 (2)	3 (2)	
	(U _{0.96} Gd _{0.04.})O ₂	M ₄	95.8	4.1	100 (5)	0 (5)	0	
Gd	(U _{0.93} Gd _{0.07.})O ₂	M ₄	92.4	7.5	92 (4)	8 (4)	0	<u>Bès et al</u>
Gu	(U _{0.89} Gd _{0.11.})O ₂	M ₄	87.6	12.3	88 (3)	12 (3)	0	[40]
	(U _{0.86} Gd _{0.14.})O ₂	M ₄	83.7	16.2	83 (2)	17 (2)	0	
	(U _{0.91} Nd _{0.09})O ₂	M ₄	90.1	9.8	93 (3)	7 (3)	0	
Nd	(U _{0.85} Nd _{0.15.})O ₂	M ₄	82.35	17.6	83 (3)	17 (3)	0	<u>Bès et al</u>
ING.	(U _{0.71} Nd _{0.29.})O ₂	M ₄	59.1	40.8	73 (3)	22 (3)	0	[25]
	(U _{0.38} Nd _{0.62})O ₂	M ₄	0	0	2 (3)	0	98 (3)	
	(U _{0.96} Nd _{0.04.})O ₂	M ₄	95.8	4.1	96 (3)	4 (3)	0 (3)	
	(U _{0.96} Nd _{0.04})O _{2-x}	M ₄	95.8	4.1	99 (3)	1 (3)	0 (3)	
Nd	(U _{0.83} Nd _{0.17.})O ₂	M ₄	79.5	20.4	86 (3)	14 (3)	0 (3)	This work
	(U _{0.83} Nd _{0.17.})O _{2-x}	M ₄	79.5	20.4	89 (3)	11 (3)	0 (3)	
	(U _{0.75} Nd _{0.25} .)O ₂	M ₄	66.6	33.3	75 (3)	25 (3)	0 (3)	
	(U _{0.75} Nd _{0.25} .)O _{2-x}	M ₄	66.6	33.3	72 (3)	25 (3)	0 (3)	

²⁹⁹

Table 5. Concentration of U4+ and U5+ obtained after the LCF in comparison with theoretical values.

300 3.4. EXAFS: local environment analysis

301 The EXAFS spectra have also been measured on the Nd L_3 -edge and U L_3 -edge for the thermally 302 treated (TT) samples, and the U L_3 -edge for the non-thermally treated (TO) samples. An immediate 303 inspection at the Fourier transform of the k space for the samples U L₃-edge TT and TO (figure 9 (a) 304 and (b)) show a gradual decrease of the intensities when the concentration of Nd increases. This 305 behaviour was evidenced in other UO₂ doped systems [37-39] and it is explained by the destructive 306 interferences due to opposites phases and similar intensities for the U-U and U-Nd paths [35]. In 307 contrast, no relevant differences can be observed between the intensities of the samples T0 and TT 308 with equal concentration of Nd.

The k space with its respective Fourier transform and the EXAFS fit for each sample are shown in
figure 10. The Nd L₃-edge for the sample at 4% Nd was also acquired but the concentration of Nd was
so little that achieving such measurement with good statistics was not possible within the granted
beamtime. It is then not included in this document.

The small evolution of the k space on each set of samples indicates little differences on the local distances but no major changes on the local surroundings of the U or Nd atoms as the FCC structure remains in all cases as such. Subfigures b, d and f in **figure 10** represent the Fourier transform of the k space for each set of samples. The two larger peaks reveal qualitative information about the U-O, U-Nd and U-U distances. The first peak around 1.8 Å is mainly the consequence of the single scattering path of the nearest oxygen atom. The second peak around 3.8 Å is composed of the single and

319 multiple scattering paths of the first and second shells (M-O and M-M shells).

320 The results of the refinements for all samples are shown in **table 6**. It is possible to see that in all 321 cases the R-factor obtained from the analysis of the experimental data and the EXAFS fit varies from 322 0.0124 to 0.0182, allowing to extract general trends concerning the atomic distances and local disordering. Some differences can be found on the EXAFS fit respecting the presence of a peak on the 323 324 Fourier transform graphs at around 4.5 Å, particularly on the Nd L₃-edge EXAFS spectra. This peak 325 does not seem to vary with the Nd concentration and could be explained by a multi-scattering effect. 326 The enhanced intensity displayed in the figures 10 (d) and 10 (f) might be due to the reduced 327 intensity of the Nd/M as a consequence of the destructive interference of the EXAFS signals. Similar 328 observations were seen on UO_2 -based samples doped with Gd in [40].

Respecting the interatomic distances (R), all samples display a coherent decreasing evolution with increasing concentration of Nd regardless if it is the 1st, 2nd or 3rd shell, that is $R_{04} > R_{17} > R_{25}$. As for the samples treated thermally, the distances remain slightly greater than those of the samples nontreated thermally, that is $R_{T0} < R_{TT}$. However, the difference is very small and in all cases is lower than the uncertainty.

Sample	Edge	Shell	R (A)	σ²(10⁻³ Ų)	N	N _{exp}	R-factor
4% T0		U-O ₁	2.351(3)	5.8(4)	8	8.5(3)	0.0128
		U-U	3.875(2)	2.9(2)	11.5	11.5(2)	
		U-Nd	3.875(2)	2.9(2)	0.5	0.5(2)	
		U-O ₂	4.491(11)	10.0(1.5)	24	27(4)	
17% T0		U-O ₁	2.337(4)	7.4(6)	8	8.4(4)	0.0139
		U-U	3.869(3)	3.6(3)	10	10(3)	
		U-Nd	3.869(3)	3.6(3)	2	2(3)	
		U-O ₂	4.484(16)	10.5(2.2)	24	25(5)	
25% T0		U-O ₁	2.332(3)	9.0(4)	8	8.5(4)	0.01315
		U-U	3.870(2)	4.2(2)	9.3	9.3(2)	
		U-Nd	3.870(2)	4.2(2)	2.7	2.7(2)	
		U-O ₂	4.480(12)	12.5(1.7)	24	26(4)	
4% TT	U L₃-edge	U-O ₁	2.353(4)	5.5(5)	8	8.6(5)	0.0182
		U-U	3.876(2)	2.5(2)	11.5	0.5(2)	
		U-Nd	3.876(2)	2.5(2)	0.5	11.5(2)	
		U-O ₂	4.488(17)	11.0(2)	24	27(6)	
17% TT		U-O ₁	2.339(4)	7.79(5)	8	8.3(4)	0.0124
		U-U	3.872(2)	3.72(2)	10	9.9(2)	
		U-Nd	3.872(2)	3.72(2)	2	2.1(2)	
		U-O ₂	4.480(16)	11.48(1.9)	25	25(5)	
25% TT		U-O ₁	2.334(3)	8.7(5)	8	8.3(4)	0.0143
		U-U	3.875(2)	4.0(2)	9.3	9.0(2)	
		U-Nd	3.875(2)	4.0(2)	2.7	3(2)	
		U-O ₂	4.481(13)	12.7(1.8)	24	25(4)	
17% TT		Nd-O ₁	2.441(4)	6.5(6)	8	8.2(4)	0.01709
		Nd-U	3.866(4)	4.1(5)	10	9.6(5)	
		Nd-Nd	3.866(4)	4.1(5)	2	2.4(5)	
	- Nd L₃-edge	Nd-O ₂	4.436(11)	11.7(2.2)	24	23(4)	1
25% TT		Nd-O ₁	2.440(4)	6.9(6)	8	8.1(4)	0.01502
		Nd-U	3.864(4)	3.2(5)	9.3	8.8(4)	1
		Nd-Nd	3.864(4)	3.2(5)	2.7	3.2(4)	1
		Nd-O ₂	4.430(11)	10.2(1.7)	24	23(3)	1

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Table 6. Results of the EXAFS refinement of all measured samples

Another remark can be made concerning the Nd-O distances calculated from the Nd L₃-edge EXAFS spectra. In contrast with the U-O distances calculated from the U L₃-edge EXAFS analysis, the Nd-O distances are much larger and equal in value for the two analysed samples (figure 11). This means that the local distances of the first shell will vary depending if it is U or Nd, which is consistent with the observation of the variation of the k space mentioned earlier in the document. Similar conclusions were seen in [27,35]. Barabash et al. argued that the atoms of Nd, which are inserted randomly on the cationic sub lattice, are able to push the surrounding atoms of oxygen out of their

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regular position, creating a local structural disorder along with the distortion created by the size mismatch between the Nd³⁺, U⁴⁺ and U⁵⁺ cations. This behaviour can also be justified by looking at the Debye-Waller factor (σ^2). Indeed, the structural disorder on the surroundings of the uranium atoms increases with the concentration of Nd. Contrarily to what is seen on the surroundings of the Nd atoms, since they are randomly introduced and fairly distanced to each other, the Debye-Waller factor remains unaffected on a given shell on both assessed concentrations 17 and 25% Nd.

349 **4**. <u>Conclusion</u>

367

its valence.

350 Based on analysis through X-ray diffraction, U M₄-edge HERFD-XANES, U L₃-edge and Nd L₃-edge 351 EXAFS analysis, an assessment of the crystal lattice, charge compensation mechanisms and local 352 atomic environment on UO₂ doped with Nd is reported. This work indicates that Nd is completely miscible in UO₂ for all assessed concentrations (4, 17 and 25%Nd), even after the samples were 353 354 thermally treated under reducing conditions, indicating the lack of a miscibility gap. In addition, it 355 was seen that random insertion of Nd atoms into the fluorite structure generates distortions on the 356 crystal lattice by changing the atomic distances and increasing the structural disorder. The 357 replacement of a tetravalent cation (U^{4+}) by a trivalent cation (Nd^{3+}) is compensated by the formation 358 of U⁵⁺ cations and anion vacancies. In addition, thermal treatment under reducing conditions increases the amount of vacancies and, therefore, reduces the amount of U⁵⁺ needed to maintain the 359 electroneutrality of the system. It was also stated that no U^{6+} is formed when the addition of Nd is 360 361 lower than 25%. Finally, it was seen that the local distances on the surroundings of the atoms of U 362 are different from those of the atoms of Nd since the latter has a different ionic radius and charge, 363 and are capable to displace the oxygen atoms of the first shell out of their initial position. 364 These results are in good agreement with similar studies made on systems where UO₂ was doped with other trivalent cations, such as Gd³⁺, La³⁺ or Bi³⁺, which proves that charge compensation 365 366 mechanisms do not depend on the element used as dopant, on the contrary, it is mostly defined by

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371 **6.** <u>Bibliography</u>

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