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### Argumentation Frameworks with Higher-Order Attacks: Labelling Semantics

Sylvie Doutre Mickaël Lafages Marie-Christine Lagasquie-Schiex

Université de Toulouse, IRIT {sylvie.doutre,mickael.lafages,lagasq}@irit.fr

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#### Abstract

Recursive argumentation frameworks (RAF) take into account the notion of higher-order attacks. Their semantics are defined in terms of structures. A labelling version of these semantics is defined in this report, and their correspondence with structures is shown. The case of RAF with no recursive attacks is considered, confirming that RAF are a generalization of Dung's argumentation frameworks. A semantics which had not been considered so far for RAF, the eager semantics, is in addition introduced.

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### Chapter 1

### Introduction

Argumentation frameworks with higher-order attacks are a rich extension of classical Argumentation Framework (AF) (proposed by Dung in [9]): not only they consider arguments and attacks between arguments, but also attacks on attacks. Among the frameworks that consider higher-order attacks (e.g. [4, 11, 12, 2, 3]), a recent one is the Recursive Argumentation Framework (RAF) [6].

Contrarily to other higher-order attacks systems whose semantics produce sets of arguments, RAF semantics produce sets of arguments and/or attacks. Moreover, this is done "directly", *i.e.* without introducing any additional elements in the framework and without transforming the framework into another one.<sup>1</sup> These characteristics make RAF particularly interesting to consider as higher-order systems.

Acceptability semantics for RAF have so far been defined respectively to the notion of structure (a set of arguments along with a set of attacks). A correspondence between Dung's extension-based semantics for AF and structure-based semantics of RAF without any attack on attacks has been shown in [6], proving that RAF are a conservative generalisation of AF.

Dung's extension-based semantics for AF have also been defined in terms of labellings [5, 1]. Whereas an extension assigns to its elements an accepted or rejected status, a labelling considers a third status, undecided, which applies to arguments which are not accepted. This enrichment has proven useful for the computation of acceptance statuses in AF (see [8] for a survey).

The computation of semantics of RAF has not been addressed so far. Having

<sup>&</sup>lt;sup>1</sup>See, for instance, the flattening process used in [2, 3] in order to transform higher-order frameworks into AF.

the concern of computing such semantics in the future, we adapt in this report the notion of labellings to structures. This adaptation leads us to considering an additional semantics for RAF, the eager semantics, originally defined for AF [5].

The report is organised as follows: the basics of Dung's argumentation framework, the definition of Recursive Argumentation Frameworks and of their structurebased semantics is recalled in Chapter 2. Chapter 3 extends the semantics associated to RAFs, by introducing the eager semantics and RAF labelling semantics. Chapter 4 shows the correspondence between structure semantics and RAF labelling semantics. Chapter 5 concludes and opens future perspectives to be studied.

### Chapter 2

### Background

In this section is given the necessary background on Dung's Argumentation Frameworks (AF) and then on Recursive Argumentation Frameworks (RAF).

### 2.1 Dung argumentation framework and semantics

In [9], Dung introduced a framework to represent argumentation in an abstract way.

**Definition 1 (Dung's abstract argumentation framework [9])** A Dung's abstract Argumentation Framework (AF for short) is a pair  $\Gamma = \langle A, K \rangle$  where A is a set of arguments and  $K \subseteq A \times A$  is a relation representing attacks over arguments.

**Definition 2 (Defeat and acceptability in Dung's framework)** *Let*  $\Gamma = \langle A, K \rangle$  *be an AF and*  $S \subseteq A$  *be a set of arguments. An argument*  $a \in A$  *is said to be:* 

- defeated w.r.t. S iff  $\exists b \in S \text{ s.t. } (b,a) \in K$ .
- accepted w.r.t. S iff  $\forall (b,a) \in K, \exists c \in S \text{ s.t. } (c,b) \in K$ .

We define the sets of defeated and accepted arguments w.r.t. S as follows:

$$Def(S) = \{a \in A | \exists b \in S \text{ s.t. } (b,a) \in K\}$$
$$Acc(S) = \{a \in A | \forall (b,a) \in K, \exists c \in S \text{ s.t. } (c,b) \in K\}$$

Several "semantics" defining sets of arguments (so called "extensions") solving the argumentation have been defined on Dung's framework. Here are some of them. **Definition 3 (Semantics of Dung's AF)** Let  $\Gamma = \langle A, K \rangle$  be an AF and  $S \subseteq A$  be a set of arguments. S is said to be an extension:

- *1*. Conflict-free *iff*  $S \cap Def(S) = \emptyset$ .
- 2. Naive *iff it is a*  $\subseteq$ *-maximal* conflict-free *extension*.
- *3.* Admissible *iff it is* conflict-free *and*  $S \subseteq Acc(S)$ .
- 4. Complete *iff it is* conflict-free *and* S = Acc(S).
- 5. Preferred *iff it is a*  $\subseteq$ *-maximal* admissible *extension*.
- 6. Grounded *iff it is a*  $\subseteq$ *-minimal* complete *extension*.
- 7. Eager *iff it is a* complete *extension such that*  $S \cup Def(S)$  *is maximal w.r.t.*  $\subseteq$ .
- 8. Stable *iff it is* conflict-free and  $S \cup Def(S) = A$ .

Acceptability semantics can be defined in terms of labellings [5, 1].

**Definition 4 (Labelling)** Let  $\Gamma = \langle A, K \rangle$  be an AF, and  $S \subseteq A$ . A labelling of S is a total function  $\ell : S \to \{in, out, und\}$ .

The set of all labellings of S is denoted as  $\mathcal{L}(S)$ . A labelling of  $\Gamma$  is a labelling of A.

The set of all labellings of  $\Gamma$  is denoted as  $\mathscr{L}(\Gamma)$ .

We write  $in(\ell)$  for  $\{a|\ell(a) = in\}$ ,  $out(\ell)$  for  $\{a|\ell(a) = out\}$  and  $und(\ell)$  for  $\{a|\ell(a) = und\}$ .

#### **Definition 5 (Legally labelled arguments, valid labelling)**

- An in-labelled argument is said to be legally in iff all its attackers are labelled out.
- An out-labelled argument is said to be legally out iff at least one of its attackers is labelled in.
- An und-labelled argument is said to be legally und iff it does not have any attacker that is labelled in, and one of its attackers is not labelled out.

A valid labelling is a labelling in which all arguments are legally labelled.

Let  $\Gamma = \langle A, K \rangle$  be an AF, and  $\ell \in \mathscr{L}(\Gamma)$  be a labelling. Different kinds of labelling can be defined [5, 1]:

#### **Definition 6**

- $\ell$  is an admissible labelling of  $\Gamma$  iff for any argument  $a \in A$  such that  $\ell(a) =$ in or  $\ell(a) =$  out, a is legally labelled.
- $\ell$  is a complete labelling of  $\Gamma$  iff for any argument  $a \in A$ , a is legally labelled.
- $\ell$  is the grounded labelling of  $\Gamma$  iff it is the complete labelling of  $\Gamma$  that minimizes (w.r.t  $\subseteq$ ) the set of in-labelled arguments.
- $\ell$  is a preferred labelling of  $\Gamma$  iff it is a complete labelling of  $\Gamma$  that maximizes (w.r.t  $\subseteq$ ) the set of in-labelled arguments.
- $\ell$  is a stable labelling of  $\Gamma$  iff it is a complete labelling of  $\Gamma$  which has no und-labelled argument.
- $\ell$  is an eager labelling of  $\Gamma$  iff it is a complete labelling of  $\Gamma$  that minimizes (w.r.t  $\subseteq$ ) the set of und-labelled arguments.

It has been shown in [5], that there exists a one-to-one mapping between extensions and labellings for those semantics.

### 2.2 Recursive argumentation framework and semantics

To the best of our knowledge, the first work where the idea of higher-order interactions appears is [4]. Then many different works followed. For instance, in [11, 12], second-order attacks are used in order to explicitly represent the impact of the preferences between arguments in the argumentation framework. Then [2, 3] introduce Argumentation Frameworks with Recursive Attacks (AFRA) that take into account the attacks on attacks and propose some semantics. A more recent variant of AFRA is given in [6] with other semantics and called Recursive Argumentation Framework (RAF). One keypoint of the RAF approach is the fact that semantics proposed in [6] produce sets of arguments and/or attacks and not only sets of arguments (as it is done for instance in [2, 3] and more recently in [10]). RAF semantics need neither the introduction of additional elements in the framework, nor its transformation into another framework.<sup>1</sup>

**Definition 7 (Recursive argumentation framework [6])** A recursive argumentation framework  $\Gamma = \langle A, K, s, t \rangle$  is a quadruple where A and K are (possibly infinite) disjoint sets respectively representing arguments and attack names, and where  $s: K \to A$  and  $t: K \to A \cup K$  are functions respectively mapping each attack to its source and its target.

As in Dung's framework, a RAF can be graphically represented as a directed graph.

**Example 1** Figure 2.1 shows an example of RAF. In all this document, arguments will be represented by a round box, while attacks will be represented by a square box.



Figure 2.1: Example of a RAF

<sup>&</sup>lt;sup>1</sup>For instance, one approach developed in [2, 3] uses a "flattening process" that transforms an AFRA into a Dung AF and then uses AF semantics.

**Definition 8 (Structure [6])** A pair  $\mathcal{U} = \langle S, Q \rangle$  is said to be a structure of some  $\Gamma = \langle A, K, s, t \rangle$  if it satisfies:  $S \subseteq A$  and  $Q \subseteq K$ .

Notice that by  $x \in U$  we mean  $x \in S \cup Q$ .

**Definition 9 (Defeat and Inhibition [6])** Let  $\mathcal{U} = \langle S, Q \rangle$  be a structure.

We denote by  $Def(\mathcal{U})$  the set of all arguments defeated by  $\mathcal{U}$ , defined by:

 $Def(\mathcal{U}) = \{a \in A | \exists \alpha \in Q \text{ s.t. } s(\alpha) \in S \text{ and } t(\alpha) = a\}$ 

*We denote by* Inh(U) *the set of all attacks inhibited by* U*, defined by:* 

$$Inh(\mathfrak{U}) = \{ \alpha \in K | \exists \beta \in Q \text{ s.t. } s(\beta) \in S \text{ and } t(\beta) = \alpha \}$$

**Definition 10 (Acceptability [6])** An element  $x \in (A \cup K)$  is said to be acceptable w.r.t. some structure  $\mathcal{U}$  iff every attack  $\alpha \in K$  with  $t(\alpha) = x$  satisfies one of the following conditions: (i)  $s(\alpha) \in Def(\mathcal{U})$  or (ii)  $\alpha \in Inh(\mathcal{U})$ .

By  $Acc(\mathcal{U})$  we denote the set containing all acceptable arguments and attacks with respect to  $\mathcal{U}$ .

For any pair of structures  $\mathcal{U} = \langle S, Q \rangle$  and  $\mathcal{U}' = \langle S', Q' \rangle$ , we write  $\mathcal{U}' \sqsubseteq \mathcal{U}'$  *iff*  $(S \cup Q) \subseteq (S' \cup Q')$  and we write  $\mathcal{U} \sqsubseteq_{ar} \mathcal{U}'$  *iff*  $S \subseteq S'$ . As usual, we say that a structure  $\mathcal{U}$  is  $\sqsubseteq$ -maximal (resp.  $\sqsubseteq_{ar}$ -maximal) *iff* every  $\mathcal{U}'$  that satisfies  $\mathcal{U} \sqsubseteq \mathcal{U}'$  (resp.  $\mathcal{U} \sqsubseteq_{ar} \mathcal{U}$ ) also satisfies  $\mathcal{U}' \sqsubseteq \mathcal{U}$  (resp.  $\mathcal{U}' \sqsubseteq_{ar} \mathcal{U}$ ).

**Definition 11 (Structure semantics [6, 7])** *Let*  $\mathcal{U} = \langle S, Q \rangle$  *be a structure over some RAF*  $\Gamma = \langle A, K, s, t \rangle$ .  $\mathcal{U}$  *is said to be:* 

- 1. Conflict-free iff  $S \cap Def(\mathcal{U}) = \emptyset$  and  $Q \cap Inh(\mathcal{U}) = \emptyset$ .
- 2. Admissible *iff it is conflict free and*  $(S \cup Q) \subseteq Acc(\mathcal{U})$ .
- 3. Complete *iff it is conflict free and*  $(S \cup Q) = Acc(\mathcal{U})$ .
- 4. Preferred *iff it is a*  $\sqsubseteq$ *-maximal* admissible *structure*.
- 5. Grounded *iff it is a*  $\sqsubseteq$ *-minimal* complete *structure*.
- 6. Arg-preferred *iff it is a*  $\sqsubseteq_{ar}$ *-maximal* admissible *structure*.
- 7. Stable iff  $S = A \setminus Def(\mathcal{U})$  and  $Q = K \setminus Inh(\mathcal{U})$ .

In [6], Proposition 1 and 2 and Theorem 1 have been proven.

**Proposition 1** ([6]) *There is always a unique grounded structure.* 

**Proposition 2 ([6])** The set of all admissible structures forms a complete partial order with respect to  $\sqsubseteq$ . Furthermore, for every admissible structure  $\mathcal{U}$ , there exists an (arg-) preferred extension  $\mathcal{U}'$  such that  $\mathcal{U} \sqsubseteq \mathcal{U}'$ .

**Theorem 1** ([6]) *The following assertions hold:* 

- every complete structure is also admissible,
- every preferred structure is also complete,
- every stable structure is also preferred.

Moreover, in [6], it is proven that RAF are a conservative generalization of AF since there is a one-to-one correspondence between the structures of a RAF without recursive attacks and their corresponding Dung's extensions (the proof is given for the *complete*, *grounded*, *preferred* and *stable* semantics).

### **Chapter 3**

### **New semantics for RAF**

In this section is introduced the *eager* semantics for RAF, and a focus on RAFs with no recursive attacks is done. The notion of AF reinstatement labelling introduced in [5] is also generalized for RAF (so called "reinstatement RAF labelling"). The same work can be done in the case of RAF.

### **3.1** The Eager semantics

While introducing labellings for AF and studying labellings over some constraints, Caminada et al. ([5]) highlighted a non yet discovered semantics: the *eager* semantics. From the *eager* labelling semantics has been defined the *eager* extension semantics.

#### 3.1.1 Definition and some properties

As for AF, the *eager* structures are the ones that decide the most on the acceptance or the rejection of arguments and attacks.

**Definition 12** (*Eager structure*). Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and  $\mathcal{U} = \langle S, Q \rangle$  be some structure over it.  $\mathcal{U}$  is said to be an eager structure iff  $\mathcal{U}$  is a complete structure such that:

 $S \cup Q \cup Def(\mathcal{U}) \cup Inh(\mathcal{U})$  is maximal w.r.t. to inclusion.

**Theorem 2** The following assertions hold:

- 1. Every stable structure is an eager structure
- 2. Every eager structure is a preferred structure

#### **PROOF.**

1. (Stable *structures are* eager *ones*). Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and  $\mathfrak{U} = \langle S, Q \rangle$  be a stable *structure*. According to the definition of a stable *structure* (Definition 11), we have:

$$S = A \setminus Def(\mathcal{U}) \text{ and } Q = K \setminus Inh(\mathcal{U})$$

For any  $x \in (A \cup K)$ , x is whether in  $\mathcal{U}$  or is defeated or inhibited by  $\mathcal{U}$ . As a consequence,  $(S \cup Q \cup Def(\mathcal{U}) \cup Inh(\mathcal{U}))$  is maximal w.r.t. to inclusion.

We prove so that every stable structure is an eager structure.

2. (Eager structures are preferred ones). Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and  $\mathcal{U} = \langle S, Q \rangle$  be an eager structure. Let suppose that  $\mathcal{U}$  is not a preferred structure.  $\mathcal{U}$  being by definition a complete structure (Definition 12), there exists thus a preferred structure  $\mathcal{U}' = \langle S', Q' \rangle$  such that  $\mathcal{U} \sqsubset \mathcal{U}'$ . We have thus by definition of  $\sqsubseteq$ -inclusion:

$$S \subseteq S' \text{ and } Q \subseteq Q' \tag{3.1}$$

From the strict inclusion, we also have:

$$(S \cup Q) \subset (S' \cup Q') \tag{3.2}$$

It follows, from Equations 3.1, 3.2 and from Definition 9 that:

$$(Def(\mathcal{U}) \cup Inh(\mathcal{U})) \subset (Def(\mathcal{U}') \cup Inh(\mathcal{U}'))$$
(3.3)

*Combining Equations 3.2 and 3.3, we have:* 

$$(S \cup Q \cup Def(\mathcal{U}) \cup Inh(\mathcal{U})) \subset (S' \cup Q' \cup Def(\mathcal{U}') \cup Inh(\mathcal{U}'))$$
(3.4)

Given that  $\mathcal{U}'$  is also a complete structure, the consequence of Equation 3.4 is that  $\mathcal{U}$  is not an eager structure, as  $(S \cup Q \cup Def(\mathcal{U}) \cup Inh(\mathcal{U}))$  is not maximal. There is thus a contradiction.

We prove so that every eager structure is also a preferred structure.

**Theorem 3** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF. If there exists a stable structure, then the eager structures coincide with the stable structures.

**PROOF.** Let suppose that there exists a stable structure  $\mathcal{U} = \langle S, Q \rangle$ . Following the definition of stable structures (Definition 11), we have:  $S = A \setminus Def(\mathcal{U})$  and  $Q = K \setminus Inh(\mathcal{U})$ . As a consequence, we have  $(S \cup Def(\mathcal{U}) \cup Q \cup Inh(\mathcal{U}))$  including all the arguments and attacks of  $\Gamma$ .

According to Theorem 2,  $\mathcal{U}$  is also an eager structure. As any eager structure  $\mathcal{U}' = \langle S', Q' \rangle$  maximizes the set  $(S' \cup Def(\mathcal{U}') \cup Q' \cup Inh(\mathcal{U}'))$  and as there exists  $\mathcal{U}$ , a structure such that  $(S \cup Def(\mathcal{U}) \cup Q \cup Inh(\mathcal{U}))$  is maximized to point that it includes all the arguments and attacks of  $\Gamma$ , then for  $\mathcal{U}'$  to be maximal we necessarily have  $(S' \cup Def(\mathcal{U}') \cup Q' \cup Inh(\mathcal{U}'))$  also including all the arguments and attacks of  $\Gamma$ .  $\mathcal{U}'$  is then a stable structure.

We prove thus that if there exists a stable structure, then the eager structures coincide with the stable structures.

The *complete*, *grounded*, *preferred*, *eager*, *argpref* and *stable* semantics corresponding to Example 1 are given in Table 3.1.

We can observe that the *stable* semantics produces no structure for that RAF. This example shows that an *eager* structure is not always a *stable* one (see  $U_3$  and  $U_4$ ) and that a *preferred* structure is not always an *eager* one (see  $U_2$ ).

#### **3.1.2** The case of RAF with no recursive attacks

As stated in Section 2.2, it has been proven in [6] that in RAFs without recursive attacks there is a one-to-one correspondence between structures and Dung's extensions for the *complete*, *grounded*, *preferred* and *stable* semantics. Let now consider the case of the *eager* semantics.

The set of *eager* extensions coincides with the set of *eager* structures on RAF with no recursive attacks (*i.e.* RAF that happened to be simple AF). Notice that all the structures of such a RAF contain all the attacks.

**Proposition 3 (Eager extensions and structures)** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF such that  $\forall \alpha \in K$ ,  $t(\alpha) \in A$ .  $\Gamma$  can thus be considered as a simple AF. Let  $\Gamma' = \langle A, K \rangle$  be the AF version of  $\Gamma$ .

 $\mathcal{U} = \langle S, K \rangle$  is an eager structure of  $\Gamma$  iff S is an eager extension of  $\Gamma'$ 

**PROOF.** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF such that  $\forall \alpha \in K$ ,  $t(\alpha) \in A$ .  $\Gamma$  can thus be considered as a simple AF. Let  $\Gamma' = \langle A, K \rangle$  be the AF version of  $\Gamma$ .

**Step 1:** Let prove in a first place that if  $\mathcal{U} = \langle S, K \rangle$  is an eager structure of  $\Gamma$  then S is an eager extension of  $\Gamma'$ .

Let  $\mathcal{U} = \langle S, K \rangle$  be an eager structure over  $\Gamma$ . Notice that the set of attacks of  $\mathcal{U}$  is K as attacks are always valid in  $\Gamma$ , and so that  $Inh(\mathcal{U}) = \emptyset$ . Let suppose that S is not an eager extension of  $\Gamma'$ . There exists thus an extension S' of  $\Gamma'$  such that:

$$(S \cup Def(S)) \subset (S' \cup Def(S')) \tag{3.5}$$

We have thus :

$$(S \cup Def(S) \cup K) \subset (S' \cup Def(S') \cup K)$$
(3.6)

Let  $\mathcal{U}' = \langle S', K \rangle$  be the structure over  $\Gamma$  whose set of arguments is the extension S'. For the same reason as  $\mathcal{U}$ , the set of attacks of  $\mathcal{U}'$  is K and  $Inh(\mathcal{U}') = \emptyset$ .

As  $Inh(\mathcal{U}) = \emptyset$  and  $Inh(\mathcal{U}') = \emptyset$ , we can thus say from Equation 3.6 that:

$$(S \cup Def(S) \cup K \cup Inh(\mathcal{U})) \subset (S' \cup Def(S') \cup K \cup Inh(\mathcal{U}'))$$
(3.7)

Given that all attacks are valid in  $\Gamma$ , we have: Def(S) = Def(U) and Def(S') = Def(U'). We have thus from Equation 3.7:

$$(S \cup Def(\mathcal{U}) \cup K \cup Inh(\mathcal{U})) \subset (S' \cup Def(\mathcal{U}') \cup K \cup Inh(\mathcal{U}'))$$
(3.8)

As stated by Equation 3.8,  $(S \cup Def(S) \cup K \cup Inh(U))$  is not maximal. It follows that U is not an eager structure, which is a contradiction.

We prove so that if  $\mathcal{U} = \langle S, K \rangle$  is an eager structure of  $\Gamma$  then S is an eager extension of  $\Gamma'$ .

**Step 2:** Let now prove that if S is an eager extension of  $\Gamma'$  then  $\mathcal{U} = \langle S, K \rangle$  is an eager structure of  $\Gamma$ .

Let S be an eager extension of  $\Gamma'$  and let  $\mathcal{U} = \langle S, K \rangle$  be a structure over  $\Gamma$  whose set of arguments is S. Notice that the set of attacks of  $\mathcal{U}$  is K as attacks are always valid in  $\Gamma$ .

Let suppose that  $\mathcal{U}$  is not an eager structure. There exists thus an eager structure  $\mathcal{U}' = \langle S', K \rangle$  such that:

$$(S \cup Def(\mathcal{U}) \cup K \cup Inh(\mathcal{U})) \subset (S' \cup Def(\mathcal{U}') \cup K \cup Inh(\mathcal{U}'))$$
(3.9)

Given that all attacks are valid in  $\Gamma$ , we have:  $Inh(\mathcal{U}) = \emptyset$  and  $Inh(\mathcal{U}') = \emptyset$ . We have thus from Equation 3.9:

$$(S \cup Def(\mathcal{U})) \subset (S' \cup Def(\mathcal{U}')) \tag{3.10}$$

Furthermore, as all attacks are valid in  $\Gamma$ , we have: Def(S) = Def(U) and Def(S') = Def(U'). We have thus from Equation 3.10:

$$(S \cup Def(S)) \subset (S' \cup Def(S')) \tag{3.11}$$

As stated by Equation 3.11,  $(S \cup Def(S))$  is not maximal. It follows that S is not an eager extension, which is a contradiction.

We prove so that if S is an eager extension of  $\Gamma'$  then  $\mathcal{U} = \langle S, K \rangle$  is an eager structure of  $\Gamma$ .

With steps 1 and 2, we have thus proven that:

 $\mathcal{U} = \langle S, K \rangle$  is an eager structure of  $\Gamma$  iff S is an eager extension of  $\Gamma'$ 

### **3.2 Reinstatement RAF labellings**

Now that relations between structure semantics and between structure and extensions semantics has been stated, we introduce the notion of labelling on RAF.

The reason why we are interested in the labelling approach to compute semantics is that labellings are more precise than structures (as there are three statuses to describe the acceptance of elements) and especially because it seems to be more practical for finding algorithms.

**Definition 13** (*RAF* labelling). Let  $\Gamma = \langle A, K, s, t \rangle$  be a recursive argumentation framework. A RAF labelling is a tuple  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$  such that  $\ell_A$  is a total function  $\ell_A : A \to \{in, out, und\}$  and  $\ell_K$ , a total function  $\ell_K : K \to \{in, out, und\}$ . We define:

- $in(\mathcal{L})$  as the tuple  $\langle \{a \in A | \ell_A(a) = in\}, \{\alpha \in K | \ell_K(\alpha) = in\} \rangle$ ,
- $und(\mathcal{L})$  as the tuple  $\langle \{a \in A | \ell_A(a) = und\}, \{\alpha \in K | \ell_K(\alpha) = und\} \rangle$  and

•  $out(\mathcal{L})$  as the tuple  $\langle \{a \in A | \ell_A(a) = out\}, \{\alpha \in K | \ell_K(\alpha) = out\} \rangle$ .

Let  $x \in (A \cup K)$ . Given a certain  $\mathcal{L}$ , we use the notation  $\mathcal{L}(x)$  to indicate the labelling of x in  $\mathcal{L}$ . It could mean  $\ell_A(x)$  or  $\ell_K(x)$ , following the nature of x. We also use the notation  $in(\mathcal{L})$  (respectively  $out(\mathcal{L})$ ,  $und(\mathcal{L})$ ) to represent the set of all in-labelled (respectively out-labelled, und-labelled) attacks or argument in  $\mathcal{L}$ .

**Definition 14** (*Reinstatement RAF labelling*). Let  $\Gamma = \langle A, K, s, t \rangle$  be a recursive argumentation framework and  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$  be a RAF labelling.  $\mathcal{L}$  is a reinstatement RAF labelling iff it satisfies the following conditions:  $\forall x \in (A \cup K)$ ,

- $(\mathcal{L}(x) = out) \iff (\exists \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = in \text{ and } \ell_A(s(\alpha)) = in)$
- $(\mathcal{L}(x) = in) \iff (\forall \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = out \text{ or } \ell_A(s(\alpha)) = out)$

Moreover, as for AF, we also introduce a notion of valid labelling. An *in*labelled element is said to be *legally in iff* all its attackers or their involved attacks are labelled *out*. An *out*-labelled element is said to be *legally out iff* at least one of its attackers and the involved attack are labelled *in*. An *und*-labelled element is said to be *legally und iff* it does not have any attacker and its involved attack that are labelled *in* and one of its attackers and the involved attack are not labelled *out*. Formally, valid labellings are defined as follows.

#### Definition 15 (Legally labelled elements, valid RAF labelling)

Let  $\Gamma = \langle A, K, s, t \rangle$  be a recursive argumentation framework and  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$  be a RAF labelling over  $\Gamma$ . Let x be an argument or an attack of  $\Gamma$ . x is said to be legally labelled in  $\mathcal{L}$  if and only if the 3 following conditions hold:

- $x \in in(\mathcal{L})$  iff  $(\forall \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = out \text{ or } \ell_A(s(\alpha)) = out)$
- $x \in out(\mathcal{L})$  iff  $(\exists \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = in \text{ and } \ell_A(s(\alpha)) = in)$
- $x \in und(\mathcal{L}) iff((\nexists \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = in and \ell_A(s(\alpha)) = in)$ and  $(\exists \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) \neq out and \ell_A(s(\alpha)) \neq out))$

 $\mathcal{L}$  is said to be a valid RAF labelling if all its elements are legally labelled.

Notice that by definition reinstatement RAF labellings are valid ones. The labelling version of Table 3.1 about Example 1 is shown in Table 3.2.

		$\mathfrak{U}_1$	$u_2$	$u_3$	$\mathfrak{U}_4$
	а		~		
-	b			~	~
-	с			~	~
	d			<ul> <li></li> </ul>	~
	e				
S	f			<ul> <li></li> </ul>	~
act	g		<ul> <li></li> </ul>	<ul> <li>Image: A set of the set of the</li></ul>	
att	h				
or	α	$\checkmark$	<ul> <li></li> </ul>	<ul> <li></li> </ul>	<ul> <li></li> </ul>
snts	β	$\checkmark$	~	~	~
ime	γ	$\checkmark$	<ul> <li>✓</li> </ul>	<ul> <li></li> </ul>	~
rgu	δ		~		
A	ε	$\checkmark$	<ul> <li></li> </ul>	<ul> <li></li> </ul>	<ul> <li></li> </ul>
	ζ	$\checkmark$	~	~	~
	η	$\checkmark$	<ul> <li>✓</li> </ul>	<ul> <li></li> </ul>	~
	θ				
	1				~
	К	$\checkmark$	~	$\checkmark$	~
	λ	$\checkmark$	~	<ul> <li></li> </ul>	~
S	grounded	~			
ure	complete	$\checkmark$	~	~	~
ics	preferred		~	~	~
ant	arg-preferred		~	~	
ith	eager			<ul> <li></li> </ul>	~
S R	stable				

In the first part of the table,  $i \checkmark j$  means that the element *i* belongs to the structure *j*. In the second part of the table,  $i \checkmark j$  means that

*j* is a structure of the semantics *i*.

Table 3.1: Semantics structures

		$\mathcal{L}_1$	$\mathcal{L}_2$	$\mathcal{L}_3$	$\mathcal{L}_4$
	а	und	in	out	out
	b	und	out	in	in
	с	und	und	in	in
	d	und	und	in	in
	e	und	und	out	out
S	f	und	und	in	in
ack	g	und	in	in	out
att	h	und	und	und	und
or	α	in	in	in	in
nts	β	in	in	in	in
me	γ	in	in	in	in
rgu	δ	und	in	out	out
A	ε	in	in	in	in
	ζ	in	in	in	in
	η	in	in	in	in
	heta	und	und	out	out
	1	und	out	out	in
	К	in	in	in	in
	λ	in	in	in	in
	grounded				
	complete	~	~	~	~
AF gs	preferred		~	~	~
R/	arg-preferred		~	~	
vith abe	eager			~	~
N N S	stable				

 $i \checkmark j$  means that j is a labelling of semantics i.

Table 3.2: Semantics RAF labellings

### **Chapter 4**

# **RAF** labellings and structure semantics

In this section we show that there exists a one-to-one mapping between RAF labellings and structures. Specific semantics structures happen to be coinciding with RAF labellings under some constraints.

**Definition 16** (Struct2Lab and Lab2Struct). Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF,  $\mathcal{U} = \langle S, Q \rangle$  be a structure and  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$  be a RAF labelling. The functions Struct2Lab<sub> $\Gamma$ </sub> and Lab2Struct<sub> $\Gamma$ </sub> are defined as following:

- Struct2Lab<sub> $\Gamma$ </sub>( $\mathfrak{U}$ ) =  $\langle \ell_A, \ell_K \rangle$ , a RAF labelling with:
  - $\ell_A = \{(a, in) | a \in S\} \cup \{(a, out) | a \in (A \setminus S) \text{ and } a \in Def(\mathcal{U})\} \cup \{(a, und) | a \in (A \setminus S) \text{ and } a \notin Def(\mathcal{U})\}$
  - $\ell_K = \{(\alpha, in) | \alpha \in Q\} \cup \{(\alpha, out) | \alpha \in (K \setminus Q) \text{ and } \alpha \in Inh(\mathcal{U})\} \cup \{(\alpha, und) | \alpha \in (K \setminus Q) \text{ and } \alpha \notin Inh(\mathcal{U})\}$
- Lab2Struct<sub> $\Gamma$ </sub>( $\mathcal{L}$ ) =  $\langle S, Q \rangle$ , a structure with:

- 
$$S = \{a | \ell_A(a) = in\}$$
  
-  $Q = \{\alpha | \ell_K(\alpha) = in\}$ 

We write Struct2Lab and Lab2Struct instead of Struct2Lab<sub> $\Gamma$ </sub> and Lab2Struct<sub> $\Gamma$ </sub> when there is no ambiguity about the RAF  $\Gamma$  we refer to.

### 4.1 Complete semantics

Reinstatement RAF labellings coincide with *complete* structures as stated by Theorems 4 and 5.

**Theorem 4** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$  be a reinstatement RAF labelling. Then Lab2Struct( $\mathcal{L}$ ) is a complete structure.

**PROOF.** Let  $\mathcal{U} = \text{Lab2Struct}(\mathcal{L})$ . According to Definition 11,  $\mathcal{U}$  being a complete structure (with  $\mathcal{U} = \langle S, Q \rangle$ ) means that  $(S \cup Q) = Acc(\mathcal{U})$ . In a first step, let us prove that  $(S \cup Q) \subseteq Acc(\mathcal{U})$  and then that  $(S \cup Q) \supseteq Acc(\mathcal{U})$ .

Step 1:  $(S \cup Q) \subseteq Acc(\mathcal{U})$ 

Let  $x \in (S \cup Q)$ . By definition of Lab2Struct( $\mathcal{L}$ ), we have  $\mathcal{L}(x) = in$ . Given that  $\mathcal{L}$  is a reinstatement RAF labelling, we have:

$$\forall \alpha \in K \text{ s.t. } t(\alpha) = x, \ \ell_K(\alpha) = out \text{ or } \ell_A(s(\alpha)) = out \tag{4.1}$$

So two cases must be considered:  $\ell_K(\alpha) = \text{out or } \ell_A(s(\alpha)) = \text{out.}$ 

1.  $\ell_K(\alpha) = out.$ 

Given  $\mathcal{L}$  is a reinstatement RAF labelling there exists an attack  $\beta$  such that  $t(\beta) = \alpha$ ,  $\ell_K(\beta) = in$  and  $\ell_A(s(\beta)) = in$ . As a consequence,  $\beta \in Q$  and  $s(\beta) \in S$ . According to Definition 9, we have so:  $\alpha \in Inh(\mathcal{U})$ .

2.  $\ell_A(s(\alpha)) = out.$ 

Given  $\mathcal{L}$  is a reinstatement RAF labelling there exists an attack  $\gamma$  such that  $t(\gamma) = s(\alpha)$ ,  $\ell_K(\gamma) = in$  and  $\ell_A(s(\gamma)) = in$ . As a consequence,  $\gamma \in Q$  and  $s(\gamma) \in S$ . According to Definition 9, we have so:  $s(\alpha) \in Def(\mathcal{U})$ .

As a consequence and following Definition 10, we have:  $x \in Acc(\mathcal{U})$ . We prove so that:

$$(S \cup Q) \subseteq Acc(\mathcal{U}) \tag{4.2}$$

Step 2:  $(S \cup Q) \supseteq Acc(\mathcal{U})$ 

Let  $x \in Acc(\mathcal{U})$ , x being an argument or an attack. According to Definition 10, for all  $\alpha \in K$  such that  $t(\alpha) = x$ , we have:  $s(\alpha) \in Def(\mathcal{U})$  or  $\alpha \in Inh(\mathcal{U})$ .

Let y be  $s(\alpha)$  or  $\alpha$ . Given that  $y \in (Def(\mathcal{U}) \cup Inh(\mathcal{U}))$ , there exists an attack  $\beta$  such that  $s(\beta) \in S$ ,  $\beta \in Q$  and  $t(\beta) = y$ . By definition of Lab2Struct( $\mathcal{L}$ ), we have  $\ell_A(s(\beta)) = in$  and  $\ell_K(\beta) = in$ . Given  $\mathcal{L}$  is a reinstatement RAF labelling, we have  $\mathcal{L}(y) = out$ .

As a consequence, we have:

$$\forall \alpha \in K \text{ s.t. } t(\alpha) = x, \ \ell_A(s(\alpha)) = \text{out or } \ell_K(\alpha) = \text{out}$$
(4.3)

Then, given that  $\mathcal{L}$  is a reinstatement RAF labelling, we have :  $\mathcal{L}(x) = in$ . By definition of Lab2Struct( $\mathcal{L}$ ) we have so  $x \in (S \cup Q)$ .

*We prove so that :* 

$$(S \cup Q) \supseteq Acc(\mathcal{U}) \tag{4.4}$$

Finally, because of Equations 4.2 and 4.4 we have:

$$(S \cup Q) = Acc(\mathcal{U}) \tag{4.5}$$

We prove thus prove that Lab2Struct( $\mathcal{L}$ ) is a complete structure.

**Theorem 5** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{U} = \langle S, Q \rangle$  be a complete structure. Then Struct2Lab( $\mathcal{U}$ ) is a reinstatement RAF labelling.

**PROOF.** Let  $\mathcal{L} = \text{Struct2Lab}(\mathcal{U})$ . In order to prove that  $\mathcal{L}$  is a reinstatement RAF labelling (with  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$ ) we have to prove that, for all  $x \in (A \cup K)$ :

1. 
$$(\mathcal{L}(x) = out) \Longrightarrow (\exists \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = in \text{ and } \ell_A(s(\alpha)) = in)$$

2. 
$$(\mathcal{L}(x) = out) \iff (\exists \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = in \text{ and } \ell_A(s(\alpha)) = in)$$

3. 
$$(\mathcal{L}(x) = in) \Longrightarrow (\forall \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = out \text{ or } \ell_A(s(\alpha)) = out)$$

4. 
$$(\mathcal{L}(x) = in) \iff (\forall \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = out \text{ or } \ell_A(s(\alpha)) = out)$$

$$\textbf{Step 1:} \ (\mathcal{L}(x) = \textbf{out}) \Longrightarrow (\exists \alpha \in K \text{ s.t. } t(\alpha) = x, \ \ell_K(\alpha) = \textbf{in} \ and \ \ell_A(s(\alpha)) = \textbf{in})$$

Let  $x \in (A \cup K)$  be an argument or an attack such that  $\mathcal{L}(x) = out$ . According to the definition of Struct2Lab(U), we have  $x \in (Def(\mathcal{U}) \cup Inh(\mathcal{U}))$ . Following the definitions of  $Def(\mathcal{U})$  and  $Inh(\mathcal{U})$ , we can state that there exists an attack  $\alpha$  such that  $\alpha \in Q$ ,  $s(\alpha) \in S$  and  $t(\alpha) = x$ . According to the definition of Struct2Lab(U), we have so  $\ell_K(\alpha) = in$  and  $\ell_A(s(\alpha)) = in$ .

We prove so that for all  $x \in (A \cup K)$ :

$$(\mathcal{L}(x) = out) \Longrightarrow (\exists \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = in \text{ and } \ell_A(s(\alpha)) = in)$$

$$(4.6)$$

Step 2:  $(\mathcal{L}(x) = out) \iff (\exists \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = in \text{ and } \ell_A(s(\alpha)) = in)$ 

Let  $x \in (A \cup K)$  be an argument or an attack. If there exists an attack  $\alpha \in K$  such that  $t(\alpha) = x$ ,  $\ell_K(\alpha) = in$  and  $\ell_A(s(\alpha)) = in$ , then according to the definition of Struct2Lab(U), we have  $\alpha \in Q$  and  $s(\alpha) \in S$ . As a consequence, we have  $x \in (Def(U) \cup Inh(U))$ . We have thus, according to the definition of Struct2Lab(U):  $\mathcal{L}(x) = out$ .

We prove so that for all  $x \in (A \cup K)$ :

$$(\mathcal{L}(x) = out) \iff (\exists \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = in \text{ and } \ell_A(s(\alpha)) = in)$$

$$(4.7)$$

Step 3:  $(\mathcal{L}(x) = in) \Longrightarrow (\forall \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = out \text{ or } \ell_A(s(\alpha)) = out)$ 

Let  $x \in (A \cup K)$  be an argument or an attack such that  $\mathcal{L}(x) = in$ . According to the definition of Struct2Lab(U), we have then  $x \in U$  and as U is a complete structure we have  $x \in Acc(U)$ . As a consequence, for all  $\alpha \in K$  such that  $t(\alpha) = x$ , we have:  $s(\alpha) \in Def(U)$  or  $\alpha \in Inh(U)$ . According to the definition of Struct2Lab(U), we have then:  $\ell_A(s(\alpha)) = out$  or  $\ell_K(\alpha) = out$ .

We prove so that for all  $x \in (A \cup K)$ :

$$(\mathcal{L}(x) = in) \Longrightarrow (\forall \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = out \text{ or } \ell_A(s(\alpha)) = out)$$

$$(4.8)$$

Step 4:  $(\mathcal{L}(x) = in) \iff (\forall \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = out \text{ or } \ell_A(s(\alpha)) = out)$ 

Let  $x \in (A \cup K)$  be an argument or an attack such that for all attacks  $\alpha \in K$  s.t.  $t(\alpha) = x$ ,  $\ell_K(\alpha) = out$  or  $\ell_A(s(\alpha)) = out$ . For all such attack  $\alpha$ , we have then, according to the definition of Struct2Lab(U):  $\alpha \in Inh(U)$  or  $s(\alpha) \in Def(U)$ . As a consequence, we have  $x \in Acc(U)$  and so  $x \in (S \cup Q)$ , U being complete structure. According to the definition of Struct2Lab(U), we have then:  $\mathcal{L}(x) = in$ .

We prove so that for all  $x \in (A \cup K)$ :

$$(\mathcal{L}(x) = in) \iff (\forall \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) = out \text{ or } \ell_A(s(\alpha)) = out)$$

$$(4.9)$$

Equations 4.6, 4.7, 4.8 and 4.9 being stated, we prove thus that  $\mathcal{L}$  is a reinstatement RAF labelling.

### 4.2 Preferred semantics

In this section we show that several constraints on reinstatement RAF labellings lead to the *preferred* semantics.

### 4.2.1 Reinstatement RAF labellings with maximal in

Reinstatement RAF labellings such that  $in(\mathcal{L})$  is maximal coincide with *pre-ferred* structures as stated by Theorems 6 and 7.

**Theorem 6** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$  be a reinstatement RAF labelling such that  $in(\mathcal{L})$  is maximal. Then Lab2Struct( $\mathcal{L}$ ) is a preferred structure.

**PROOF.** Let  $\mathcal{L}$  be a reinstatement RAF labelling such that  $in(\mathcal{L})$  is maximal. Let suppose that  $\mathcal{U} = Lab2Struct(\mathcal{L})$  is not a preferred structure. According to Definition 11, Proposition 2 and Theorem 1, there exists then a complete structure  $\mathcal{U}'$  such that  $\mathcal{U} \sqsubset \mathcal{U}'$  (strict inclusion). Let  $\mathcal{L}' = Struct2Lab(\mathcal{U}')$ . Then  $in(\mathcal{L}') \subset$  $in(\mathcal{L})$ . As a consequence  $\mathcal{L}$  is not a reinstatement RAF labelling such that  $in(\mathcal{L})$ is maximal, which is a contradiction.

**Theorem 7** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{U} = \langle S, Q \rangle$  be a preferred structure. Then  $\mathcal{L} = \texttt{Struct2Lab}(\mathcal{U})$  is a reinstatement RAF labelling such that  $in(\mathcal{L})$  is maximal.

**PROOF.** Let  $\mathcal{U}$  be a preferred structure and  $\mathcal{L} = \text{Struct2Lab}(\mathcal{U})$ . Let us suppose that  $\mathcal{L}$  is not a reinstatement RAF labelling such that  $in(\mathcal{L})$  is maximal.

Then there exists a reinstatement RAF labelling  $\mathcal{L}'$  such that  $in(\mathcal{L}) \subset in(\mathcal{L}')$ . Let  $\mathcal{U}' = Lab2Struct(\mathcal{L}')$ . Then  $\mathcal{U}'$  is a complete structure such that  $\mathcal{U} \sqsubset \mathcal{U}'$  (strict inclusion). As a consequence,  $\mathcal{U}$  is not a preferred structure, which is a contradiction.

#### 4.2.2 Reinstatement RAF labellings with maximal *out*

Reinstatement RAF labellings such that  $out(\mathcal{L})$  is maximal also coincide with *preferred* structures. In order to prove it, let first prove the two following propositions.

**Proposition 4** Let  $\mathcal{L}$  and  $\mathcal{L}'$  be two reinstatement RAF labellings. If  $in(\mathcal{L}) \subset in(\mathcal{L}')$  then  $out(\mathcal{L}) \subset out(\mathcal{L}')$ .

**PROOF.** Let  $\mathcal{L}$  and  $\mathcal{L}'$  be two reinstatement RAF labellings such that  $in(\mathcal{L}) \subset in(\mathcal{L}')$ , meaning that:

$$\forall w \in in(\mathcal{L}), \, w \in in(\mathcal{L}') \tag{4.10}$$

and

$$\exists x \in in(\mathcal{L}'), x \notin in(\mathcal{L})$$
(4.11)

Let prove that  $out(\mathcal{L}) \subset out(\mathcal{L}')$ , and so that :

- 1.  $\forall y \in out(\mathcal{L}), y \in out(\mathcal{L}')$
- 2.  $\exists z \in out(\mathcal{L}'), z \notin out(\mathcal{L})$

Step 1:  $\forall y \in out(\mathcal{L}), y \in out(\mathcal{L}')$ 

Let y be an attack or an argument such that  $y \in out(\mathcal{L})$ . Given  $\mathcal{L}$  is a reinstatement RAF labelling, we have by definition:

$$(\mathcal{L}(y) = out) \Longrightarrow (\exists \alpha \in K \text{ s.t. } t(\alpha) = y, \ell_K(\alpha) = in \text{ and } \ell_A(s(\alpha)) = in)$$

Then according to Equation 4.10,  $\alpha \in in(\mathcal{L}')$  and  $s(\alpha) \in in(\mathcal{L}')$ . As  $\mathcal{L}'$  is also a reinstatement RAF labelling, we have so  $y \in out(\mathcal{L}')$ .

Step 2:  $\exists z \in out(\mathcal{L}'), z \notin out(\mathcal{L})$ 

Let x be an attack or an argument such that  $x \in in(\mathcal{L}')$  and  $x \notin in(\mathcal{L})$ . Given  $\mathcal{L}$  and  $\mathcal{L}'$  are reinstatement RAF labellings, we have by definition:

$$(\mathcal{L}'(x) = in) \iff (\forall \alpha \in K \text{ s.t. } t(\alpha) = x, \ell'_K(\alpha) = out \text{ or } \ell'_A(s(\alpha)) = out)$$

$$(4.12)$$

$$(\mathcal{L}(x) \neq in) \iff (\exists \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) \neq out \text{ and } \ell_A(s(\alpha)) \neq out)$$

$$(4.13)$$

Let  $\alpha$  be such an attack with  $t(\alpha) = x$ ,  $\ell_K(\alpha) \neq out \cap \ell_A(s(\alpha)) \neq out$ .

By definition of  $\alpha$  we have,  $\alpha \notin out(\mathcal{L})$  and  $s(\alpha) \notin out(\mathcal{L})$ . Furthermore, given that  $\mathcal{L}'(x) = in$ , we have following Equation 4.12,  $\alpha \in out(\mathcal{L}')$  or  $s(\alpha) \in out(\mathcal{L}')$ .

We prove thus that there exists z such that,  $z \in out(\mathcal{L}')$  and  $z \notin out(\mathcal{L})$ .

**Proposition 5** Let  $\mathcal{L}$  and  $\mathcal{L}'$  be two reinstatement RAF labellings. If  $out(\mathcal{L}) \subset out(\mathcal{L}')$  then  $in(\mathcal{L}) \subset in(\mathcal{L}')$ .

**PROOF.** Let  $\mathcal{L}$  and  $\mathcal{L}'$  be two reinstatement RAF labellings such that  $out(\mathcal{L}) \subset out(\mathcal{L}')$ , meaning that:

$$\forall w \in out(\mathcal{L}), w \in out(\mathcal{L}') \tag{4.14}$$

and

$$\exists x \in out(\mathcal{L}'), x \notin out(\mathcal{L})$$
(4.15)

Let prove that  $in(\mathcal{L}) \subset in(\mathcal{L}')$ , and so that :

- *1.*  $\forall y \in in(\mathcal{L}), y \in in(\mathcal{L}')$
- 2.  $\exists z \in in(\mathcal{L}'), z \notin in(\mathcal{L})$

Step 1:  $\forall y \in in(\mathcal{L}), y \in in(\mathcal{L}')$ 

Let y be an attack or an argument such that  $y \in in(\mathcal{L})$ . Given  $\mathcal{L}$  is a reinstatement RAF labelling, we have by definition:

$$(\mathcal{L}(y) = in) \Longrightarrow (\forall \alpha \in K \text{ s.t. } t(\alpha) = y, \ell_K(\alpha) = out \text{ or } \ell_A(s(\alpha)) = out)$$

Then according to Equation 4.14, we have:

$$(\mathcal{L}(y) = in) \Longrightarrow (\forall \alpha \in K \text{ s.t. } t(\alpha) = y, \ell'_{K}(\alpha) = out \text{ or } \ell'_{A}(s(\alpha)) = out)$$

As  $\mathcal{L}'$  is also a reinstatement RAF labelling, we have then  $y \in in(\mathcal{L}')$ .

Step 2:  $\exists z \in in(\mathcal{L}'), z \notin in(\mathcal{L})$ 

Let x be an attack or an argument such that  $x \in out(\mathcal{L}')$  and  $x \notin out(\mathcal{L})$ . Given  $\mathcal{L}$  and  $\mathcal{L}'$  are reinstatement RAF labellings, we have by definition:

$$(\mathcal{L}'(x) = out) \iff (\exists \alpha \in K \text{ s.t. } t(\alpha) = x, \ell'_K(\alpha) = in \text{ and } \ell'_A(s(\alpha)) = in)$$

$$(4.16)$$

$$(\mathcal{L}(x) \neq out) \iff (\forall \alpha \in K \text{ s.t. } t(\alpha) = x, \ell_K(\alpha) \neq in \text{ or } \ell_A(s(\alpha)) \neq in)$$

$$(4.17)$$

According to Equation 4.17, for all attack  $\alpha$  such that  $t(\alpha) = x$ , we have:  $\alpha \notin in(\mathcal{L}) \text{ or } s(\alpha) \notin in(\mathcal{L})$ . However, we have following Equation 4.16, there exists at least one attack  $\alpha \in K$  s.t.  $\alpha \in in(\mathcal{L}')$  and  $s(\alpha) \in in(\mathcal{L}')$ .

We prove thus that there exists z such that,  $z \in in(\mathcal{L}')$  and  $z \notin in(\mathcal{L})$ .

Now that Propositions 4 and 5 have been proven, let now prove that reinstatement RAF labellings such that  $out(\mathcal{L})$  is maximal coincide with *preferred* structures.

**Theorem 8** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$  be a reinstatement RAF labelling such that  $out(\mathcal{L})$  is maximal. Then Lab2Struct( $\mathcal{L}$ ) is a preferred structure.

**PROOF.** Let  $\mathcal{L}$  be a reinstatement RAF labelling such that  $\operatorname{out}(\mathcal{L})$  is maximal. Let suppose that  $\operatorname{Lab2Struct}(\mathcal{L})$  is not a preferred structure. Then according to Theorem 6,  $\operatorname{in}(\mathcal{L})$  is not maximal. There exists thus a reinstatement RAF labelling  $\mathcal{L}'$  such that  $\operatorname{in}(\mathcal{L}) \subset \operatorname{in}(\mathcal{L}')$ . We have then, following Proposition 4,  $\operatorname{out}(\mathcal{L}) \subset \operatorname{out}(\mathcal{L}')$ , which is a contradiction. **Theorem 9** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{U} = \langle S, Q \rangle$  be a preferred structure. Then  $\mathcal{L} = \texttt{Struct2Lab}(\mathcal{U})$  is a reinstatement RAF labelling such that  $\texttt{out}(\mathcal{L})$  is maximal.

**PROOF.** Let  $\mathcal{U}$  be a preferred structure. According to Theorem 7,  $\mathcal{L} = \text{Struct2Lab}(\mathcal{U})$  is a reinstatement RAF labelling such that  $in(\mathcal{L})$  is maximal. Let suppose that  $out(\mathcal{L})$  is not maximal. There exist thus a reinstatement RAF labelling  $\mathcal{L}'$  such that  $out(\mathcal{L}) \subset out(\mathcal{L}')$ . We have then, following Proposition 5,  $in(\mathcal{L}) \subset in(\mathcal{L}')$ , which is a contradiction.

## 4.3 Stable semantics: reinstatement RAF labellings with empty *und*

Reinstatement RAF labellings such that  $und(\mathcal{L})$  is empty coincide with *stable* structures as stated by Theorems 10 and 11.

**Theorem 10** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$  be a reinstatement RAF labelling such that  $und(\mathcal{L}) = \emptyset$ . Then Lab2Struct( $\mathcal{L}$ ) is a stable structure.

**PROOF.** Let  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$  be a reinstatement RAF labelling such that  $und(\mathcal{L}) = \emptyset$ . Let  $\mathcal{U} = Lab2Struct(\mathcal{L})$ . Let x be any attack or argument such that  $x \notin \mathcal{U}$ .

Given that  $und(\mathcal{L}) = \emptyset$ , we have according to Definition 16:  $\mathcal{L}(x) = out$ . There exists then an attack  $\alpha$  such that:  $\ell_A(s(\alpha)) = in \cap \ell_K(\alpha) = in$ . We have then  $s(\alpha) \in \mathcal{U}$  and  $\alpha \in \mathcal{U}$ .

Therefore, according to Definition 9, we have:  $x \in Def(\mathcal{U})$  or  $x \in Inh(\mathcal{U})$ , following the nature of x. This means that  $\mathcal{U}$  defeats or inhibits any argument and attack which is not in it.

We prove so that U is, thus, a stable structure.

**Theorem 11** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{U} = \langle S, Q \rangle$  be a stable structure. Then  $\mathcal{L} = \texttt{Struct2Lab}(\mathcal{U})$  is a reinstatement RAF labelling such that  $und(\mathcal{L})$  is empty. **PROOF.** *Let* U *be a* stable *structure and let x be an argument or an attack.* 

If  $x \in \mathcal{U}$  then  $\mathcal{L}(x) = in$ .

Let consider the case when  $x \notin U$ . Given U is a stable structure then there exists an attack  $\alpha$  in U that defeats or inhibits x. We have then, according to Definition 16:  $\mathcal{L}(x) = out$ .

In both cases  $\mathcal{L}(x) \neq und$ . We prove so that  $und(\mathcal{L}) = \emptyset$ .

#### 

### 4.4 Grounded semantics

In this section we show that several constraints on reinstatement RAF labellings lead to the *grounded* semantics.

#### 4.4.1 Reinstatement RAF labellings with maximal *und*

Reinstatement RAF labellings such that  $und(\mathcal{L})$  is maximal coincide with the *grounded* structure as stated by Theorems 12 and 13.

**Theorem 12** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$  be a reinstatement RAF labelling such that  $und(\mathcal{L})$  is maximal. Then Lab2Struct( $\mathcal{L}$ ) is the grounded structure.

**PROOF.** Let  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$  be a reinstatement RAF labelling such that  $und(\mathcal{L})$  is maximal. Let suppose that  $\mathcal{U} = Lab2Struct(\mathcal{L})$  is not the grounded structure. According to Theorem 4,  $\mathcal{U}$  is a complete structure. By definition of the grounded structure (Definition 11), we can thus say that there exists a structure  $\mathcal{U}'$  that is the grounded structure and such that  $\mathcal{U}' \sqsubset \mathcal{U}$  (strict inclusion). Let  $\mathcal{L}' = Struct2Lab(\mathcal{U}')$  be the reinstatement RAF labelling corresponding with the grounded structure.

As  $\mathcal{U}' \sqsubset \mathcal{U}$  we have, by definition of Struct2Lab:  $in(\mathcal{L}') \subset in(\mathcal{L})$ Following Proposition 4, we thus also have:  $out(\mathcal{L}') \subset out(\mathcal{L})$ As a consequence, we can say that:  $und(\mathcal{L}) \subset und(\mathcal{L}')$ There is a contradiction.

We prove so that U is, thus, the grounded structure.

**Theorem 13** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{U} = \langle S, Q \rangle$  be the grounded structure. Then  $\mathcal{L} = \texttt{Struct2Lab}(\mathcal{U})$  is a reinstatement RAF labelling such that  $und(\mathcal{L})$  is maximal.

**PROOF.** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF, let  $\mathcal{U} = \langle S, Q \rangle$  be the grounded structure and  $\mathcal{U}'$  be any complete structure that is not grounded. Let  $\mathcal{L} = \texttt{Struct2Lab}(\mathcal{U})$  and  $\mathcal{L}' = \texttt{Struct2Lab}(\mathcal{U}')$ .

According to Definition 11, we have:  $U \sqsubset U'$ .

By definition of Struct2Lab, we thus have:  $in(\mathcal{L}) \subset in(\mathcal{L}')$ .

Following Proposition 4, we thus also have:  $out(\mathcal{L}) \subset out(\mathcal{L}')$ .

As a consequence, we have:  $und(\mathcal{L}') \subset und(\mathcal{L})$ .

We prove so that  $\mathcal{L} = \texttt{Struct2Lab}(\mathcal{U})$  is a reinstatement RAF labelling such that  $und(\mathcal{L})$  is maximal.

#### 4.4.2 Reinstatement RAF labellings with minimal in

Reinstatement RAF labellings such that  $in(\mathcal{L})$  is minimal coincide with the *grounded* structure as stated by Theorems 14 and 15.

**Theorem 14** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$  be a reinstatement RAF labelling such that  $in(\mathcal{L})$  is minimal. Then Lab2Struct( $\mathcal{L}$ ) is the grounded structure.

**PROOF.**  $\mathcal{L}$  be a reinstatement RAF labelling such that  $in(\mathcal{L})$  is minimal. Let suppose that  $\mathcal{U} = Lab2Struct(\mathcal{L})$  is not the grounded structure. By definition of the grounded structure (Definition 11), we can thus say that there exists a structure  $\mathcal{U}'$  that is the grounded structure and such that  $\mathcal{U}' \sqsubset \mathcal{U}$  (strict inclusion). Let  $\mathcal{L}' = Struct2Lab(\mathcal{U}')$  be the reinstatement RAF labelling corresponding with the grounded structure. As  $\mathcal{U}' \sqsubset \mathcal{U}$  we have, by definition of Struct2Lab:  $in(\mathcal{L}') \subset$  $in(\mathcal{L})$ . We have then a contradiction.

We prove so that  $\mathcal{U} = Lab2Struct(\mathcal{L})$  is the grounded structure.

**Theorem 15** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{U} = \langle S, Q \rangle$  be the grounded structure. Then  $\mathcal{L} = \texttt{Struct2Lab}(\mathcal{U})$  is a reinstatement RAF labelling such that  $in(\mathcal{L})$  is minimal.

**PROOF.** Let  $\mathcal{U}$  be the grounded structure and  $\mathcal{L} = \text{Struct2Lab}(\mathcal{U})$ . Let suppose that  $in(\mathcal{L})$  is not minimal. There exists then a reinstatement RAF labelling  $\mathcal{L}'$  such that  $in(\mathcal{L}') \subset in(\mathcal{L})$ . Let  $\mathcal{U}' = \text{Lab2Struct}(\mathcal{L}')$ . From the definition of Lab2Struct, we can say that:  $\mathcal{U}' \sqsubset \mathcal{U}$ . This contradicts the definition of the grounded structure (Definition 11).

We prove so that  $\mathcal{L} = \texttt{Struct2Lab}(\mathcal{U})$  is a reinstatement RAF labelling such that  $\texttt{in}(\mathcal{L})$  is minimal.

#### 4.4.3 Reinstatement RAF labellings with minimal out

Note that reinstatement RAF labellings such that  $out(\mathcal{L})$  is minimal coincide with the *grounded* structure as stated by Theorems 16 and 17.

**Theorem 16** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$  be a reinstatement RAF labelling such that  $out(\mathcal{L})$  is minimal. Then Lab2Struct( $\mathcal{L}$ ) is the grounded structure.

**PROOF.**  $\mathcal{L}$  be a reinstatement RAF labelling such that  $out(\mathcal{L})$  is minimal. Following Proposition 5,  $in(\mathcal{L})$  is also minimal. Therefore, according to Theorem 14, Lab2Struct( $\mathcal{L}$ ) is the grounded structure.

**Theorem 17** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{U} = \langle S, Q \rangle$  be the grounded structure. Then  $\mathcal{L} = \texttt{Struct2Lab}(\mathcal{U})$  is a reinstatement RAF labelling such that  $out(\mathcal{L})$  is minimal.

**PROOF.** Let  $\mathcal{U}$  be the grounded structure and  $\mathcal{L} = \text{Struct2Lab}(\mathcal{U})$ . According to Theorem 15,  $in(\mathcal{L})$  is minimal. Following Proposition 4,  $out(\mathcal{L})$  is also minimal.  $\mathcal{L}$  is thus a reinstatement RAF labelling such that  $out(\mathcal{L})$  is minimal.

### 4.5 Eager semantics

Reinstatement RAF labellings such that  $und(\mathcal{L})$  is minimal coincide with *eager* structures as stated by Theorems 18 and 19.

**Theorem 18** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$  be a reinstatement RAF labelling such that  $und(\mathcal{L})$  is minimal. Then Lab2Struct( $\mathcal{L}$ ) is an eager structure.

**PROOF.** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF. Let  $\mathcal{L} = \langle \ell_A, \ell_K \rangle$  be a reinstatement RAF labelling such that  $und(\mathcal{L})$  is minimal. Let suppose that  $\mathcal{U} = Lab2Struct(\mathcal{L})$  is not an eager structure (with  $\mathcal{U} = \langle S, Q \rangle$ ). There exists thus an eager structure  $\mathcal{U}' = \langle S', Q' \rangle$  such that:

$$(S \cup Q \cup Def(\mathcal{U}) \cup Inh(\mathcal{U})) \subset (S' \cup Q' \cup Def(\mathcal{U}') \cup Inh(\mathcal{U}'))$$
(4.18)

As a consequence, we have:

$$(A \cup K) \setminus (S \cup Q \cup Def(\mathcal{U}) \cup Inh(\mathcal{U})) \supset (A \cup K) \setminus (S' \cup Q' \cup Def(\mathcal{U}') \cup Inh(\mathcal{U}'))$$

$$(4.19)$$

Let  $\mathcal{L}' = \text{Struct2Lab}(\mathcal{U}')$ . Following Equation 4.19, we have according to the definition of Struct2Lab:

$$\mathit{und}(\mathcal{L}) \supset \mathit{und}(\mathcal{L}')$$

Then  $und(\mathcal{L})$  is not minimal, which is a contradiction. We prove so that  $\mathcal{U} = Lab2Struct(\mathcal{L})$  is an eager structure.

**Theorem 19** Let  $\Gamma = \langle A, K, s, t \rangle$  be a RAF and let  $\mathcal{U} = \langle S, Q \rangle$  be an eager structure. Then  $\mathcal{L} = \texttt{Struct2Lab}(\mathcal{U})$  is a reinstatement RAF labelling such that  $und(\mathcal{L})$  is minimal.

**PROOF.** Let  $\mathcal{U} = \langle S, Q \rangle$  be an eager structure. By definition,  $S \cup Q \cup Def(\mathcal{U}) \cup Inh(\mathcal{U})$  is thus maximal. As a consequence,  $(A \cup K) \setminus (S \cup Q \cup Def(\mathcal{U}) \cup Inh(\mathcal{U}))$  is minimal. Let  $\mathcal{L} =$ Struct2Lab $(\mathcal{U})$ . According to the definition of Struct2Lab and following the previous statement, we have thus und $(\mathcal{L})$  being minimal.

We prove so that  $\mathcal{L} = \texttt{Struct2Lab}(\mathcal{U})$  is a reinstatement RAF labelling such that  $und(\mathcal{L})$  is minimal.

### 4.6 A one-to-one mapping

In this section is summarized the relations between labellings and structures in RAF and is also presented the links between labellings in AF and RAF with no recursive attacks.

#### 4.6.1 Structures and labellings in RAF

Restriction on Reinstatement RAF labelling	Semantics	Theorems	
no restrictions	<i>complete</i> semantics	Theorems 4 and 5	
empty und	stable semantics	Theorems 10 and 11	
maximal <i>in</i>	preferred semantics	Theorems 6 and 7	
maximal out	preferred semantics	Theorems 8 and 9	
maximal und	grounded semantics	Theorems 12 and 13	
minimal <i>in</i>	grounded semantics	Theorems 14 and 15	
minimal out	grounded semantics	Theorems 16 and 17	
minimal und	eager semantics	Theorems 18 and 19	

Table 4.1 sums up the whole previous sections of Chapter 4. It shows the correspondence between structure semantics and reinstatement RAF labellings.

Table 4.1: Reinstatement RAF labellings and structures semantics

### 4.6.2 AF labellings and RAF labellings when no recursive attack exists

As stated in Section 3.1.2, there exists a one-to-one mapping between structures and extensions in RAF without recursive attacks for the *complete*, *grounded*, *pre-ferred*, *eager* and *stable* semantics.

[5] established a one-to-one mapping between AF extensions and AF reinstatement labellings for the mentioned semantics. In this report, as summarized in Section 4.6.1, is established a one-to-one mapping between RAF structures and reinstatement RAF labellings for the same semantics.

As a consequence, for RAF with no recursive attacks, there exists a one-toone mapping between reinstatement labellings (AF notion) and structures (RAF notion) and also between reinstatement labellings (AF notion) and reinstatement RAF labellings (RAF notion).

### Chapter 5

### **Conclusion and perspectives**

The main contribution of this report is the extension of the notion of AF reinstatement labelling semantics to RAF. Another contribution is the extension of the *eager* semantics, which was defined for AF, to RAF.

Given a RAF, a *RAF labelling* is a tuple in which the first element is a labelling over its arguments and the second one is a labelling over its attacks. These labellings are three-value based. These values indicate the degree of acceptance of an AF element (an argument or an attack). It could be accepted (in), rejected (out) or in an undecidable state (und).

A one-to-one mapping between structures and RAF labellings is defined using two linking functions: Struct2Lab, that transforms a structure into a RAF labelling and Lab2Struct, that transforms a RAF labelling into a structure. Given a structure and its corresponding RAF labelling, an argument (respectively an attack) will be labelled *in* if and only if it is in the structure, *out* if and only if it is defeated (respectively inhibited) by the structure and *und* if and only if is not in the structure but not defeated (respectively inhibited) by the structure.

We formally define *reinstatement RAF labellings* as coherent RAF labellings. It is shown (see Section 3.1.2) that the *complete*, *grounded*, *preferred*, *eager* and *stable* structure semantics correspond to precise types of *reinstatement RAF labellings*. Table 4.1 gives the correspondence between structure semantics and reinstatement RAF labellings.

Moreover, we confirm by this work (see also [6]) that RAF are a conservative generalization of Dung's AF. Indeed in RAF with no recursive attacks, there is a one-to-one mapping between reinstatement labellings (AF notion) and two RAF

notions (structures and reinstatement RAF labellings) for the *complete*, *grounded*, *preferred*, *eager* and *stable* semantics.

The reason why we defined *reinstatement RAF labellings* is that they are more precise on the acceptability status of a RAF element than structure and mostly because it opens a whole new field of research for RAF solving algorithms.

As a perspective, we want to propose some solving algorithms for RAF semantics based on a labelling search.

We are also interested in the RAF semantics complexity. In [6], the complexity of the credulous and skeptical acceptance problems has been shown to be the same whether in AF or in RAF for the *complete, preferred* and *stable* semantics. We want to study other decision problems such as "non empty existence" (Does a given semantics produce a non empty structure ?) and "verification" (Is a given structure, a structure of a given semantics ?).

While a decision problem consists in answering a yes/no question, a "function problem" gives a more complex output. Basically, in addition to saying that a solution of a given problem exists (decision problem), the answer of the function problem associated with it will be the solution found. As in practice, argumentation solvers have to concretely compute solutions, we are also interested in the complexity of the function versions of those mentioned decision problems.

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