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Permeability and voids influence on the thermal signal, as inferred by multitemporal UAV-based infrared and visible images *

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Abstract

This work presents for the first time associated thermal anomalies and deformations over subsurface cavities/voids located within two harbour quays in Le Havre Harbourg, Normandy, France. An U.A.V. (Unmanned Aerial Vehicle) was used to acquire visible and thermal images over a diurnal cycle (from 7 a.m. to 5 p.m.). The visible images were processed to realize an altimetric model of the platforms by mean of the photogrammetric method, while the thermal infrared (TIR) images were used to study the evolution of their surface temperatures. The obtained 3D model shows the location of five topographic depressions on both quays. The analysis of the evolution of the

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surface thermal field leads to the detection of cold thermal anomalies that are 1) not correlated to surface properties, and 2) spatially associated to the flanks of the five topographic depressions. Using a 2D conductive-radiative model, we show that these anomalies are not directly due to the thermally insulating effect of an air-filled area. Finally, we conclude that preferential infiltration and subsequent evaporation in the micro-fracturation present within the flanks of the depressions may be responsible for the strong cooling of these zones.

Keywords: , Remote Sensing, Thermal infrared, Photogrammetry, infiltration, Subsurface cavity, natural hazards, UAV

1. Introduction

- 2 Subsurface cavities/voids are important safety and economical issues for
- ³ land-use planning. In this context, the identification/characterization of
- 4 these objects remains an important challenge for stakeholders as well as
- 5 for scientists. Recently, in situ measurements techniques made important
- 6 progress to detect anthropic or natural subsurface cavities (Kaufmann et al.
- 7 (2011), Vadillo et al. (2012), Kaufmann & Romanov (2016), Benavente et al.
- 8 (2017), Kolesnikov & Fedin (2018), Vargemezis et al. (2019), Rahnema et al.
- 9 (2020)). For instance, Artugyan et al. (2020) combined Ground Penetrating
- Radar (GPR) and Electrical Resistivity Imagery (ERI) to detect and charac-
- terize a karst massif in Romania (rock stratification and orientation, sections
- of these caves galleries and level of karstification). Martnez-Moreno et al.
- 13 (2018) located a cave in Mira de Aire-Santo Antonio Range (Portugal) using

micro-gravity and ERI 3D-inversions. De Giorgi & Leucci (2014) imaged shallow subsurface structures, including karstic features below a road in the Salento Peninsula (Apulia region - South Italy), carrying out a Seismic Refraction Tomography and GPR survey. Integrated interpretation led to the delineation of hazard zone rich with karstic features and cavities. Mochales et al. (2008) proposed a methodology based on the sequential application of magnetic, GPR and microgravity techniques to detect underground cavities and dolines. Cardarelli et al. (2009) used the joint interpretation of ERI and seismic refraction tomography to map artificial cavities in the city of Rome. Finally, in situ soil temperature sensors were also deployed to detect subsurface cavities. Interestingly, Mosciki (2006) shown that thermal anomalies associated to underground cavities can reach up to 1°C from field measurements and numerical modelling. Although in situ measurements are very useful on a local scale, they remain difficult to implement on important surfaces and thus are economically unviable.

Remote sensing have also adressed the problem of subsurface cavities for many years, using aerial or satellite observations (Ruth & Degner (1963),Peters et al. (1996),Youssef et al. (2012), Lee et al. (2016), Kim et al. (2019), Jeffery et al. (2020)). For instance, Youssef et al. (2020) integrated remote sensing (LANDSAT imagery) with ERI to characterize the role of agriculture in the formation of sinkholes in Saudi Arabia. Chang & Hanssen (2014) characterized the deformations associated to the upward migration of underground cavities under the city of Heerlen (The Netherlands), using satellite radar interferometry. Edmonds et al. (1987) demonstrated the potentialies

of detection of collapse and subsidence features in chalk and other limestone rocks with an airborne multispectral scanner and thermal infrared (TIR) data. This last method allows to map the surface temperatures of a soil using a camera. Several teams in the world have shown the importance of TIR method to map geological structures (Watson (1975), Sabins (2007), Nasipuri et al. (2005)), hydrothermal systems (Antoine et al. (2009), Gaudin et al. (2013)), to characterize water ressources in arid zones (Leblanc et al. (2007), Lopez et al. (2016)) or to detect underground burning coal (Vasterling & Meyer (2013)). Campbell et al. (1996) used airborne TIR method to locate hydrological features in karst watersheds. Gunn et al. (2008) detected abandoned mineshafts in former mining areas after a TIR survey in Great Britain. To our knowledge, although several studies have assessed the potentiality of the TIR method for the characterization of subsurface cavities (Rinker (1975), Wynne et al. (2008), Lee et al. (2016)), few is known about the origin of the observed thermal anomalies, especially when slight subsidence is observed. As a consequence, the methodology to adopt to detect these objects before a collapse event remains unclear. In 2014, a TIR and visible survey was performed above two parts of the Joannes Couvert platform (Le Havre Harbour), the West and East Quays (hereafter named as WQ and EQ), respectively. These structures were selected because of the continuous formation of subsurface voids. For each area, the observations were acquired with an UAV during a semi-diurnal cycle, allowing the TIR monitoring of the surface temperatures (from sunrise to late afternoon) and the acquisition of visible images. After a presentation of the geotechnical context and the methodology used for this survey, the surface temperatures and the altimetric map obtained by photogrammetry are shown for the WQ and EQ. Cold areas associated to surface deformations are detected on both quays, with a temperature contrast of 2-4°C compared to the surrounding environment. The effect of the surface properties (thermal inertia, albedo) on the thermal signal are first investigated, as they represent the main factors affecting the surface temperatures of the soil. Then, a simplified 2D conductive-radiative model of heat transfer is developed within one quay, namely the EQ, in order to evaluate the direct thermal influence of a subsurface cavity on the surface temperatures. Finally, the last section is dedicated to the analysis of the role of potential fluid flow on the measured signal accross the deformed quays.

2. Geotechnical context

Le Havre harbour is built in the Northern part of the estuary of the Seine river (in Le Havre city, Normandy) and is essentially composed of heterogeneous materials resulting from the World War 2 bombing. The study sites (the West and East quays, hereafter named the WQand EQ) are located at the center of the harbour and is part of the Joannes Couvert quay (figure 1). This kilometric-size platform was part of the famous Transatlantic Cruise Terminal in the 1930s. The building plan of the quays is known and in particular, the backfills are massively composed of the war debris (stones and fines materials). Over the years, the infiltration of salted water (associated to storms and tides) within the structure lead to the withdrawal of the fine

- particules from the quays and generated the formation of subsurface cavities,
- as well as local surface subsidences (figure 2).
- The figure 3 shows the structure of the WQ and the EQ, respectively.
- The WQ is mainly composed of concrete backfill, a concrete slab and backfill
- between 0 m and 9.50 m. Interestingly, the internal structure of the EQ is
- very different, and essentially constituted of:
- Limestone masonry in its lower part (under 0 m a.m.s.l.);
- a concrete pile and a coarse concrete wall between 0 m and 9.50 m;
- a backside backfill forming the major part of the quay volume above 0

 m.
- Both quays are covered with pavement having an approximate thickness of 10 cm (figures 1a and b). Various indices can be observed at the surface of the structures:
- Pavement (the main constituant of the quay);
- Metallic materials (such as railways);
- Unconsolidated backfills employed to fill previous subsidences;
- Dark asphalt patches;
- Sparce local vegetation.

3. Field survey and methodology

3.1. Photogrammetric survey

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Here, our first objective is to detect surface deformations associated to po-105 tential subsurface voids, using altimetric data. To do so, a photogrammetric 106 survey of the EQ and WQ was performed on October 2014. We used a drone 107 equipped with a SONY NEX-5R digital camera (verticaally positionned) and 108 acquiring images (4912*3264 px). The focal length of the camera is 16 mm 109 (35 mm equivalent: 24 mm) and the sensor size is 23,4 x 15,6 mm. The 110 survey was realized along a pre-defined flight plan, with a longitudinal ac-111 quisition at a height above ground of 20 m (figure 4). This lead to a surface 112 image footprint of 30*20 m and a resolution of about 5 mm. The UAV flew 113 at a speed of ≈ 1 m. s^{-1} , with longitudinal and transversal overlaps of the 114 images of 80 %. Finally, a total of 194 and 165 images was acquired for the EQ and the WQ, respectively. 116

The altimetric maps of the quays were calculated using the photogrammetric approach. The 3D reconstruction of a scene is realized from photographs taken at arbitrary angles (Quinn (1948)). For this purpose, the
open-source Apero-MicMac code developed by the French National Geographical Institute (IGN) was used (Deseilligny & Cléry (2011)). The processing of the data follows three steps:

- Detection of keypoints and matching between images using the SIFT algorithm (Lowe (2004)) (Tapioca module);
 - Calibration of the camera's internal parameters and determination of

the 3D positions and orientations of the viewpoints (Snavely et al. (2008)). This step also allows the user to georeference manually the model using Ground Control Points (GCPs). At this stage, a 3D undensified point cloud is produced (TAPAS module);

• Dense matching: densification of the point cloud calculated during the TAPAS processing (Furukawa & Ponce (2010)) (MALT module).

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The Tapioca processing leads to the detection of an average value of 10000 132 keypoints per image and for each quay. After this step, the model was georef-133 erenced thanks to Ground Control Points (GCPs) within the Tapas module. For this purpose, we used 29 and 31 GCPs (visible targets from the uav) on 135 the EQ and WQ, respectively (figure). These points were georeferenced us-136 ing a D-RTK GNSS receptor and were homogeneously placed on the quays. The quality of the measurements was quantified by comparing these data with those obtained using the leveling network of Le Havre Harbour. The comparison was realized on 19 and 15 points for the WQ and EQ, respec-140 tively. As a result, for the WQ, the mean error is 0.008 m \pm 0.007 m in the X direction, $0.018 \text{ m} \pm 0.012 \text{ m}$ in the Y direction and $0.043 \text{ m} \pm 0.018 \text{ m}$ in the Z direction. For the EQ, the mean error is $0.007 \text{ m} \pm 0.007 \text{ cm}$ in the X direction, 0.021 m \pm 0.007 m in the Y direction and 0.027 m \pm 0.017 cm in the Z direction. Finally, during the Tapas processing, the residual error for the 3D positioning and orientation of the Tapas module is 0.8 pixel for both quays (this value has to be lower than 1 pixel).

3.2. TIR survey

The TIR method allows to map the surface temperatures of a surface 149 using a thermal camera. For a given soil, when no flow occurs, many thermophysical and geometrical properties may control the diurnal cycle thermal 151 signal : the thermal inertia I (J. $\mathrm{m}^{-2}.\mathrm{K}^{-1}.\mathrm{s}^{-1/2}$) is the principal parameter 152 controlling the amplitude and the shape of these thermal oscillations (Wat-153 son (1975), Mellon et al. (2000), Putzig & Mellon (2007)) and albedo (or 154 reflectivity) controls the average temperature (figure 5). Eventually, when 155 steep topography is present (for instance, with crest and valleys), the surface 156 temperatures may also be influenced by the geometry of the surfaces, due 157 to their orientation regarding the incident solar radiation (Whiteman et al. 158 (1989), Khesin & Eppelbaum (1994), Eppelbaum et al. (2014)). In the case 159 of the WQ and EQ, the abscence of steep slopes prevents from the generation 160 of important thermal gradients associated to topography. 161

Thermal inertia represents the ability of the material to conduct and store
the incident solar radiation at depth during the day, and re-emit this energy
during the night. It depends on the porosity and the pore size. For instance,
rocks or compacted soils typically have high values of thermal inertia, while
loosely packed soils with fine grains exhibit lower values of thermal inertia.
Thus, when observed with a thermal camera, compacted soils are warmer
than loosely packed materials during daytime, while the opposite situation
is observed during nighttime. This characteristic has been used for years
with success for geological mapping (SABINS (1969), Watson (1973), Watson
(1975), Majumdar (2003), Nasipuri et al. (2005)), using satellite and airborne

thermal imagery. Thermal inertia is defined as a combination of the thermal conductivity k (W.m⁻¹.K⁻¹), the density ρ (W.m⁻³) and the heat capacity cp (W.kg⁻¹.K⁻¹):

$$I = \sqrt{k\rho c_p} \tag{1}$$

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As the volumetric heat capacity (ρc_p) has a low variability for soils and rocks [Mermoud, 2006], the principal factor controlling the thermal inertia I is thus the thermal conductivity k.

In the presence of a flow, the influence of surface properties (thermal iner-179 tia and albedo) on the temperature is reduced, due to the mass movement of 180 the fluid bringing or extracting heat from the soil. The efficiency of the heat 181 transfer depends on the nature of the fluid and the soil (in fact their volumetric heat capacity ratio and their thermal conductivity) and also on the Darcy velocity of the fluid, controlled by the permeability of the soil (Antoine et al. 184 (2009)). Thus, we define a thermal anomaly as a thermal behavior which 185 cannot be explained by surface properties such as thermal inertia or albedo. This methodolody has already been successfully used in some of our works 187 for hydrogeological applications from field works and satellite data (Antoine 188 et al. (2009), Antoine et al. (2017), Lopez et al. (2016)). 189

A thermal infrared campaign was realized at the same moment than the photogrammetric one (i.e. in October 28th 2014). An Optris PI400 Lightweight thermal camera was used on-board the UAV to measure the surface temperature of the soil from 7h15 am to 16h30 pm (sunrise around 7h30 during the survey). This led to the acquisition a time series of 9 images for each quay. During the survey, the weather was clear (thus allowing the flight of the drone), with a small amount of rain and moderate precipitation was observed from October 20th to 24th on the Harbour. During this month, the atmospheric temperature varied from 10° to 17° and the monthly mean temperature remained quasi-stable.

The PI400 thermal camera uses an uncooled microbolometer technology to measure radiations in the range [7-14 μ m] and its thermal sensitivity is 0.08°. The size of the sensor is 382*288 pixels sensor, with a Field of View (FOV) value of 29° x 22°. Thus, at a height of 150 m, the camera is able to acquire a thermal scene of a whole quay, with a pixel size of 40 cm.

The surface temperatures measured by the camera are corrected from the
effects of the relative humidity of the air, the atmospheric temperature and
the distance of the target using the relationships provided by Optris. Most
of the building materials have emissivity parameter ranging from 0.90 to 0.96
[Thermoworks, 2018]. Thus, a mean emissivity of 0.93 was used to correct
the surface temperature from the effect of emissivity. The thermal images
were then orthorectified using the ARCGIS software and georeferenced with
the soil targets, as well as remarquable objets on the thermal images (rails,
quai borders, etc...).

4. Altimetry of the quays

The figure 6 displays the altimetric maps obtained after the photogram-215 metric processing, as well as longitudinal and tranverse profiles of the EQ 216 and WQ, respectively (P1 and P2, figures 6b and d). Each map is overlaid 217 with a simplified internal structural characteristics of the quay. Both quays 218 exhibit an increase of the altitude towards land, from 9.32 m to 9.90 m in 219 the case of the EQ and from 9.41 to 10 m for the WQ. The EQ longitudinal 220 profile shows the presence of four altimetric anomalies at the front of the 221 quay (e.g. depressions named AAE1 to AAE4). These depressions have a 222 nearly similar morphology with the same depth-over-horizontal distance ratio. The largest subsidence reaches up to 15 cm (AAE3) over a distance of 30 m. The deepest one is AAE1, with a vertical amplitude of 10 cm over a distance of 2 m in the transverse direction (i.e. a slope of (i.e. a slope of 3°). Interestingly, the subsidences occur at the boundary between the backfill and 227 the front concrete wall (figure 3). For the WQ, two topographic depressions 228 are observed at the back of the WQ (AAW1 and AAW2), with an amplitude reaching 10 cm over a distance of ≈ 2 m (i.e. a slope of 3°). Here, the subsidence occurs at the boundary between the quay and the land. Note 231 that the depressions are not symmetric, with the presence of a steep slope 232 (the Southern one) and a softer one (Northern slope). This morphology may reflect the heterogeneous conditions within the quays (from a lithological, structural and geomechanical point of view).

5. Observation of surface temperatures

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In this section, temperature maps of the WQ and EQ are presented. As mentionned in section 3.2, a time series of 9 images was acquired for each quay. For the sake of clarity, we only show four snapshots acquired at 7h45, 10h40, 13h and 16h30 (figure 7):

- The first temperature map presented here was obtained at 7h45. It reveals temperatures with a minimum of 5.4°C and a maximum of 11.5°C for the quays (figures 7a and 7b). At that time, the most distinguishable objects on the WQ and EQ are the railways (yellow to red colored lineaments on the image), with a temperature around 7°C
- At 10h40, more than 2 hours after sunrise, the soil is warming up (fig-247 ures 7c and 7d). The surface temperature is observed in the range 248 [7.5°C-14.5°C]. Asphalt patches appear in red on the WQ and EQ 249 (warmer objects), with temperatures between 10°C and 14°C: indeed their very low albedo permits the absorption of an important amount 251 of solar radiation during the morning and their quick warming. Sur-252 prisingly, elongated cold areas appear on both quays (TAW1, TAW2) 253 and TAE1), with a direction parallel to the structure and with a tem-254 perature around 9°C. It is of note that this signal is not distinguishable 255 in the early morning (7h45). 256
 - During the afternoon (13h and 16h30, figures 7e to 7h), this cold zone

become more and more noticeable on the WQ with a temperature of about 14°C, i.e. with a maximum temperature contrast reaching 2-3°C, compared with the surrounding environment. The same phenomenon is observed on the EQ: three cold areas (TAE1 to TAE3) are perceptible at the front of the structure at 13h, with some of them parallel to the direction of the quay (TAE1 and TAE2). They reach a similar temperature contrast than the WQ with their surrounding environment, i.e. about 2-3°C. In the following sections, we assess the influence of thermal inertia and albedo on the generation of the surface temperatures of the WQ and EQ and intent to explain the origin of the TAE1-TAE3 and TAW1-TAW2 cold zones. It is of note that the size of these thermal anomalies is at least 2 m in the transverse direction, i.e. easily analysable given the resolution of our temperature images (0.4 m).

5.1. Temperatures explained by surface properties

Figure 8 displays the altimetry data (figures 8a and d), the thermal images acquired at 13h (figures 8b and e) and a classification of all indices present on the WQ and EQ (figure 8c and f). These images are superimposed with a simplified structural map of the quays. As expected, at the first order, the essential part of the thermal patterns observed in section 5 can be explained by the presence of different materials on the quays (i.e. asphalt, backfill, metal, concrete or vegetation) (figures 8c and f).

The figure 9 displays several exemples of temperatures controlled by surface properties. The influence of thermal inertia may be seen in figure 9a (as shown theoretically in figure 5a): due to their high thermal inertia compared to the pavement, the railways appears warmer before sunrise and cooler during daytime (with a contrast up to 1.5°C). Such observation is consistent with several previous results obtained using a TIR camera (Watson (1975), Sabins (2007), Mellon et al. (2000), Antoine et al. (2009)). On the other hand, dark colored material like asphalt having a low albedo (A=0.07) may appear warmer than the pavement (A=0.23) during the whole measurement time (figure 9b, as presented theoretically in figure 5b) (Watson (1975), Sabins (2007)).

Finally, vegetation also have a distinct thermal behavior, as illustrated in 290 figure 9c. Plants appear slightly cooler than the pavement during daytime (from noon), while being warmer during nightime. In that case, as described 292 by Sabins (2007) and Whitehead et al. (1986), transpiration lowers the leaf 293 temperature and makes vegetation appearing cooler than soils during day-294 time. During the night, the insulating effect of air within the leaves and the important water content allow to retain heat, resulting in warm nightime signature. Finally, it is worth noting the conflicting effect of transpiration with thermal inertia in the vegetation surface temperature. Indeed, plants have a lower thermal inertia than soils due to 1) lower densities and thermal conductivities of foliage compared to mineral constituents (Gates (1980) 300 and Hillel (1982)), and 2) lower heat capacities for foliage compared to both mineral constituents and organic matter in soil (Hillel (1982)).

5.2. Temperatures not explained by surface properties

When closely analyzing the figures 8c and f, TAE1, TAE2, TAW1 and TAW2 are all located on the same pavement. Thus, thermal inertia variations can not be invoked to explain the important temperature constrasts of 2-3°C on the same material. Figures 9d and 9e display an exemple of temperature evolution for TAW1 and TAE1. These signals have a similar evolution, being several degrees cooler than the pavement during nightime and daytime, which is not consistent with a variation controlled by thermal conductivity.

The thermal behavior of TAE3 is interesting (figure 9f): first, it is characterized by a cold anomaly like the others, but the material is different (i.e. backfill, figures 8e and f). Second, TAE3 is cooler than the surrounding pavement during daytime. Nevertheless, unconsolidated/loosely packed materials are known to have a lower thermal inertia than solid rocks like pavements (due to a lower thermal conductivity and density, (Mellon et al. (2000), Putzig & Mellon (2007)). As a consequence, they may appear warmer than solid rocks during the day, which is the opposite of our observation. This last finding definitely rules out the role of thermal inertia on the signal variation.

The figure 10 presents a zoom on the surface at the locations of TAW1, TAW2, TAE1 and TAE2. It is clear that no particular contrast in color is observed on the pavement able to explain the thermal spatial variations. Moreover, as the pavement is made with the same limestone material, it is doubtful that emissivity variations may explain such thermal contrasts for both quays.

Our data suggest a good correlation between the thermal anomalies and

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the topographic depressions present on both quays, except for AAE3, where no significant thermal contrast is observed within the backfill (even though 328 the material thermally evolves in the same way than TAE3). The figure 11 presents an example of comparison between a transverse topographic profile 330 and a thermal profile for the WQ (T1, figures 11a and b) and the EQ (T2, 331 figures 11c and d). Interestingly, the minimum of temperature appears to be spatially related with the flanck of the deformations for both cases. This finding may not be justified by a thermal effet associated to the topography 334 of these depressions for several reasons: 1) because of the weak amplitude 335 of the subsidences, preventing for the occurrence of shadows (section 4) and 2) because of the E-W orientation of the quays, which may receive the same amount of energy on their whole surfaces during daytime. This last idea is 338 difficult to reconcile with the presence of E-W elongated thermal anomalies 330 on the quays. 340

Finally, together, these observations are correlated with the structure of the quays, as mentionned in section 4 and illustrated in figures 3 and 11: boundary between the internal concrete wall and the backfill for the EQ and boundary between the quay and the land for the WQ, inducing elongated thermal anomalies. Such limits may represent fragile areas for the generation of subsurface voids. In the next sections, we investigate the influence of subsurface process on the thermal signal.

6. Thermal influence of an insulating subsurface cavity within the quays: 2D conductive-radiative numerical modelling

The previous section shows that the observed TAW1, TAW2, TAE1 and 350 TAE2 thermal anomalies 1) may not be explained neither by a contrast of thermal inertia associated to a different material, nor by albedo contrasts 352 and 2) are correlated with topographic depressions associated to potential 353 subsurface cavities or decompressed areas. As a consequence, a subsurface 354 process must be associated to this anomalous signal. In order to show the 355 influence of a thermally insulating body within the quay, a 2D conductiveradiative numerical simulation of the EQ is realized. Our objective is to assess the first-order surface temperature signal induced by a simplified air-358 filled area at depth.

360 6.1. Equations and surface energy budget

In the following, we review the basic 2-D equations describing the diurnal temperature profile T(x,z,t) through the quay (x designates the horizontal distance (m), z represents the depth (m) and t the time (s), respectively). We solve the transient conductive heat equation with the finite elements scheme (second order in time and space) for a soil layer of thickness d=9.5 m, with a spatial resolution of 1 cm and time step of 5 min. The astronomical, geographical and optical parameters of the model, their assumed values and their units are summarized in Table 1. This model is based on the equations published in Antoine et al. (2009), and extended in 2D in this study, using the COMSOL Multiphysics numerical suite (Heat Transfer module). The simu-

lation were done for a transverse section of the quay to save computer time. This assumption may be valid from a physical point of view, as the length 372 over width ratio of the quay is important. The simulations, performed for the EQ, take into account the geometry of the structure and a scheme of the nu-374 merical model is given in figure 12. The quay exibits a complex geotechnical 375 configuration (including backfill, limestone rubles, granite rubles, limestone 376 pavement, coarse concrete wall) (figure 3). In order to simplify the modelling, four categories of dry materials were considered: limestone (C1, C2, C5 in 378 figure 12), concrete (C3), granite (C4) and backfill (C6). The values of the 379 physical parameters used for these materials (thermal conductivity, density, 380 heat constant) are given in table 2.

The transient temperature in the soil, T(x, z, t) is solution of the equation:

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$$\frac{\partial T(x,z,t)}{\partial t} = \kappa \left(\frac{\partial^2 T(x,t)}{\partial x^2} + \frac{\partial^2 T(z,t)}{\partial z^2}\right) \tag{2}$$

where x is the horizontal distance (m), z is the depth (m), t is the time (s) and κ is the thermal diffusivity of the soil, which is related to the thermal conductivity k, the heat capacity C_p and the mass density ρ of the soil through:

$$\kappa = \frac{k}{nh_s} \tag{3}$$

A zero heat flux condition is set at the lower boundary of the model. For a flat surface, the surface temperature during a diurnal cycle depends on the solar heat flux (I_s) , the soil radiative emission (I_e) , and the atmospheric radiative flux (I_l) . Thus, the boundary condition at the surface is given from the balance of solar, atmospheric and emitted radiations (Watson (1973)):

$$k\frac{\partial T(z=0,t)}{\partial t} = I_s - I_e + I_l \tag{4}$$

The solar flux I_s absorbed by the surface verifies:

$$I_s = (1 - A)S_0C\cos(Z) \tag{5}$$

where A is the surface albedo and S_0 is the solar constant. C is fraction of the incident power arriving at the soil including the direct sun light and the diffuse radiations for a cloudy sky. Z is the zenith angle of the sun which can be estimated from the latitude ϕ of the observation point (Watson (1973)):

$$\cos(Z) = \cos\phi\cos\delta\cos(\theta) + \sin\phi\sin\delta \tag{6}$$

where δ is the solar declination as a function of the number of day in Jovian year J (Duffie & Beckman (1980)) and is expressed here in radians:

$$\delta = 0.409 \sin(\frac{2\pi}{365}J - 1.39) \tag{7}$$

The longitude angle θ expressed also in radians corresponds to the time t in hours past noon:

$$\theta = 2\pi * \frac{t}{24} \tag{8}$$

Then, the thermally emitted flux from the surface I_e is given by:

$$I_e = \epsilon \sigma T^4 \tag{9}$$

where ϵ is the soil emissivity averaged in the thermal infrared wavelengths and $\sigma = 5.67 \times 10^{-8} \ J K^{-4} m^{-2} s^{-1}$ is the Stefan-Boltzman constant. The thermal radiations from the atmosphere I_l are approximated following the empirical relation (Brunt (1932)):

$$I_l = \sigma T_a^4 (0.55 + 0.65 * \sqrt{e_a}) \tag{10}$$

where T_a is the air temperature in Celsius degrees and e_a is the saturation pressure of water in the air expressed in bar. $T_a(t)$ is approximated by Jansson [1998]:

$$T_a(t) = T_0 + \frac{1}{2} T_{amp} \cos \frac{2\pi (t - t_{ph})}{P_{dc}}$$
 (11)

where T_0 is the mean air temperature during the diurnal cycle, and T_{amp} is the contrast of temperature between day and night as measured during the experiment. P_{dc} represents the duration of the diurnal cycle and t_{ph} is the time shift between the maximum of the solar incident radiations and the maximum air temperature. This model results in a minimum air temperature at the sunrise and a maximum air temperature at t_{ph} after the zenith. Finally, e_a verifies the empirical law [Deffie and Beckman, 1980]:

$$e_a = 0.6108 \exp\left(\frac{17.27 * T_a(t)}{T_a(t) + 237.3}\right) \tag{12}$$

The lower boundary of the modelling box is considered to be the Mean Lower Low Water level (MLLW) (see figure 3), where the ocean level is the

Solar constant	S_0	$1367 \ W \ m^{-2}$
Direct + diffuse energy fraction at the soil	С	0.5
Latitude of Le Havre Harbour	λ	$20^{o}S$
Day number of the year (October, 28th)	J	113
Solar declination	δ	$0.2 \ rad$
Emissivity	ε	0.93
Stefan-Boltzman Constant	σ	$5.67 \times 10^{-8} \ W \ m^{-2} K^{-4}$
Air thermal conductivity	k_a	$2 \times 10^{-2} \ W \ m^{-1} K^{-1}$
Mean air temperature	T_0	12°C
Amplitude of the air temperature	T_{amp}	7.5 °C
Phase shift	\mathbf{t}_{ph}	3600 s
Duration of the diurnal cycle	P_{dc}	86400 s

Table 1: Physical parameters and units related to the conductive-radiative model.

Density of the limestone (C1, C2 and C5)	ρ_b	$2500 \ kg \ m^{-3}$
Limestone heat constant	c_b	$830 \ J \ kg^{-1} \ K^{-1}$
Limestone thermal conductivity	k_b	$1.26 - 1.33 (1.3) W m^{-1} K^{-1}$
Density of the concrete (C3)	ρ_b	$2300 \ kg \ m^{-3}$
Concrete heat constant	c_b	$1100 \ J \ kg^{-1} \ K^{-1}$
Concrete thermal conductivity	k_b	$1 - 1.8 (1.5) W m^{-1} K^{-1}$
Density of the granite (C4)	ρ_b	$2600 \ kg \ m^{-3}$
Granite heat constant	c_b	$770 \ J \ kg^{-1} \ K^{-1}$
Granite thermal conductivity	k_b	$1.7 - 4 (3) W m^{-1} K^{-1}$
Density of the backfill (C6)	ρ_b	$1500 \ kg \ m^{-3}$
Backfill heat constant	c_b	
Backfill thermal conductivity	k_b	$0.8 - 2.4 (1.5) W m^{-1} K^{-1}$

Table 2: Physical parameters and units related to the materials used in the numerical model (from EngineeringToolbox (2003)) and Javadi et al. (2018)). Concerning the thermal conductivities, the values in bracket are the ones taken for the modelling.

lowest. Thus, in this case, the presence of unsaturated areas within the quay are the most probable (with high thermal conductivity contrasts between 419 the soil and air). At this level, the temperature of the lower boundary of the structure is considered as constant $(10^{\circ}C)$ at a first approximation. To 421 simulate the presence of a cavity within the medium, we introduce a simplified vertical air-filled zone at the back of the concrete material (figures 3). The implementation of this zone at such location may be understandable from a geotechnical point of view, since the backfill is the most probable material 425 subject to cavities, voids or decompressed areas, due to the withdrawal of fine 426 grained materials, when water enters the structure. It is also consistent with the thermal observations, as the TAE1 and TAE2 anomalies are found at the boundary between the concrete and the backfill and are elongated along this 429 boundary (figures 3 and 7b). Finally, this air-filled case represents a good 430 candidate for the simulation of important spatial variations of temperature 431 within the medium, because the important contrast of thermal conductivity between air and rock.

434 6.2. Numerical results

In this section, we present several simulations for the EQ and for 3 differents cases as the depth of the potential voids is not known: 1) abscence of cavity within the quay; 2) presence of a cavity at 3 m-depth; 3) presence of a cavity at 1 m-depth. For each case, we present the 2D thermal field within the EQ (figures 13 a, c and e), as well as a temperature profile at the surface (figures 13 b, d and f) calculated at 13h (for more thermal contrasts). The

figure 13a presents the 2D temperature behavior of the EQ in the abscence of cavity. As the result is presented at 13h, the surface is the hottest part of the medium. The heat diffuses within the quay from the surface up to a depth of ≈ 2 m. The isotherms are very slighly deformed at the front of the quay, due to the thermal conductivity contrast between the concrete (present at the front of the quay) and the backfill (figure 3). As the thermal conductivity is higher in the concrete material at depth, a slight decrease of the surface temperature is generated in this part of the quay (figures 16a and b). Such temperature difference between the concrete and the backfill (here, less than 0.5°C) is obviously impossible to substract from the diurnal cycle. Finally, the heterogeneous temperature field within the quay is illustrated by the vertical profile given in figure 14a, where temperature variation up to 452 15°C can be encountered, due to the thermal conductivity contrasts within 453 the structure. 454

The second case represents the thermal behavior of the quay when a cavity is present at 3-m depth. As said in the previous section, it consists of
a vertical zone having the thermal conductivity of the air, located within
the backfill just at the back of the concrete. The general thermal pattern is
similar to the first case, but the isotherms are deformed within and above
the cavity, as it acts as a thermal insulator within the medium (figures 13c).
At the surface, the temperature decreases from the back to the front of the
quay, with a maximum temperature contrast of 0.5 °C (figures 13d), but the
temperature variation above the cavity is not significant. Nevertheless, it is
interesting to note that in comparison with the model without cavity, the

surface horizontal thermal gradient is more important in this case (increase of temperature with a shorter wavelenght). This spatial variation may be due to the deformation of the isotherms associated with the insulating body at depth. However, such increase in temperature and variation in the shape of the temperature profile are likely difficult to observe with a thermal camera, considering the amplitude of the diurnal cycle. The vertical temperature profile shown in figure 14b is slightly shifted towards higher temperatures above the cavity. Although the general shape of the signal remains controlled by the thermal conductivities of the structure within the quay, the temperature profile is clearly modified when penetrating within the air-filled area. Such change is due to the high contrast between the air and the materials of the EQ.

The last case displays the thermal behavior of the structure with a cavity located at 1-m depth (figures 13e and f), i.e. very close to the surface. Here, the subsurface isotherms are strongly deformed, due to the presence of the cavity (figures 13e). At the surface, the temperature profile follows the general thermal trend of the previous case (lowest temperatures at the front of the quay with a similar short wavelengh), but the maximum of temperature is found just above the cavity. The maximum temperature contrast is 0.9 °C with the front of the quay and less than 0.4 °C with the back of the structure . This result is consistent with the observations of V. Eppelbaum (2007), who found a warm zone (temperature anomaly of 0.3 °C) just above a 1 m-depth underground cavity, using 40 cm-depth temperature sensors (figure 15). Our modelling tends to show that a cavity close to subsurface may produce a

thermal signal by its own, due to the 2 order-magnitude difference between the thermal conductivities of the air and of the soil. Indeed, the lowest is 490 the depth, the highest is the amplitude of the thermal anomaly. It is of note that the thermal effect of such insulating zone for this given structure remains 492 limited (less that 1 °C) up to a depth of 1 m and probably not enough to be currenly detected. In that case, the vertical temperature profile given in figure 14c is drastically modified by the air-filled area. Interestingly, in the first meter below the surface, the shape of the signal is very different from the 3 m-depth case, as the thermal perturbation induced by the cavity interacts 497 with the daily surface heat waves propagating through the pavement and the backfill. Then, below one meter, the air-filled area generate a thermal anomaly but with a whole shape still controlled by the thermal behavior of 500 the EQ. 501

These three simulations show that an air-filled cavity may directly influence the temperature pattern at the surface of the soil. At depth, the
isotherms are deformed at the vicinities of the air-filled cavity, due to the
high contrast of thermal conductivities between air and rock. This situation
may induce a variation of the surface temperature pattern in two ways 1) an
increase of the thermal gradient (here by one order of magnitude : 0.02° C
m⁻¹ without cavity to 0.2° C m⁻¹ for a location at 3 m depth) and 2) the
generation of a maximum of temperature reaching 0.4 to 0.9 °C above the
cavity at 1 m depth at mid-day. Despite the high thermal sensitivity of the
current thermal cameras (≈ 0.01 °C m⁻¹), such a signal clearly remains a
challenge to discriminate from the variations associated to the diurnal cycle.

In addition, our models were performed using the highest contrast between thermal conductivities (air and rock), inducing the maximum temperature 514 contrast at the surface. In the case of high tides potentially generating waterfilled voids (with temperature contrasts between the rock and the liquid), the 516 situation may be more complex as 1) the thermal signal may be accordingly 517 attenuated, because of the reduced drop of thermal conductivity for water 518 $(0.02~\mathrm{W~m^{-1}~K^{-1}}$ for air and $0.6~\mathrm{W~m^{-1}~K^{-1}}$ for water) and 2) the absence of thermal equilibrium between the water and rock may generate additional 520 thermal gradients. Following Turcotte & Schubert (2002), a time l^2/κ is re-521 quired for temperature changes to propagate a distance l and for a thermal 522 diffusivity κ . Thus, taking a value κ in the range $[10^{-7} \text{ m}^2 \text{ s}^{-1} - 10^{-6} \text{ m}^2 \text{ s}^{-1}]$ for an unconsolidated material like backfill, and a value l of 3 m, the time 524 needed for the temperature changes arriving at the surface is in the range 525 [3 months - 3 years]. For a value l of 1 m, this time is reduced in the range 526 [10 days - 3 months]. Such values shows that a thermal signal associated to water within the water may be mostly masked by seasonal temperature variations. Finally, the results show that the generation of a warm area above 520 the cavity is inconsistent with our observations on the WQ and the EQ. In 530 the next section, an alternative physical phenomenon is suggested to explain the the cold areas associated to the deformations of the guays.

7. On the thermal influence of potential fluid flows within the WQ and EQ

In the previous sections, we have shown that most of the thermal signal of the EQ and WQ may be explained by surface indices (surface properties). However, cool areas persist during daytime that can not be explained 1) by contrasts associated to thermal inertia, albedo or topography and 2) nor by the direct thermal effect of a subsurface cavity located at shallow depth. However, surprisingly, these observations are correlated with deformations, as well as the structure of the quays.

Other processes associated to the presence of a fluid have been searched 542 to explain these temperature drops. For instance, water standing at the surface is cooler during the day and warmer during the night (Sabins (2007)). Nevertheless, the presence of a slope at the location of the thermal anomaly prevents for any stay of water, ruling out this hypothesis. Possible drainage of the fluids within the quays implies the generation of a preferential vertical permeability field. In the case of the WQ and EQ, thermal anomalies are located on the flancks of local subsidences (figure 11). From a mechanical point of view, it is known that the slopes of such depressions are subject to extensive stresses, while the center (topographic low) may be rather under com-551 pressive forces (figure 16) (Berenger et al. (2014), Al-Halbouni et al. (2018), Al-Halbouni et al. (2019), Yin et al. (2019)). Consequently, these extensive stresses tend to generate a preferential vertical permeability within the joints of the pavement (through micro-fracturation), while the compressive forces

may close the fractures at the center of the topographic depressions. These micro-fractures may not appear everywhere around the deformation, as the medium constituting the quays is hightly heterogeneous, with the presence of backfill and concrete.

We propose an alternative cooling mecanism induced by water infiltration 560 through micro-fractures (in the pavement for TAE1, TAE2, TAW1 and TAW2 and within the backfill for TAE3) to explain our observations. Indeed, the analysis of meteorological data at stations close to Le Havre Harbour (Cap 563 de la Heve and Octeville) indicates that the October month was particularly rainy, with intermittent to continuous rainfall (figure 17). Important rainfall ocurred until October 16h (up to 24 mm), while moderate precipitation was recorded from October 20th to October 24th for Le Havre city (from 1.6 to 567 4 mm/h). During the following days, the weather was strongly disturbed 568 with a high humidity content on the atmosphere (around 80 % of relative humidity) and an important decrease of the hourly solar radiation (from 15h of sunshine on October 22th to 7h on October 28th) and small rain on October 28th.

Many works report changes in soil temperature caused by percolating water, following rainfall or irrigation (Callendar (1895), Franklin (1921), Shul'gin et al. (1958)). Wierenga et al. (1979) experimentally and numerically characterized the thermal effect of infiltration on the shallow subsurface. He measured the temperatures of a soil irrigated with cool (4°C) and warm (21°C) water, at different depths (surface to 20 cm). The author shown that irrigation, whatever the temperature of the water, results in lowering the

580 soil temperature below those of non irrigated soil during several days due to
581 Darcy flow, as displayed in figure 18. Eventually, the thermal anomaly can
582 persist a longer time below 20 cm depth, due to thermal diffusion. Wierenga
583 et al. (1979) explained this observation by heat transfer between occuring by
584 water movement. The cooling of the soil during water infiltration is also de585 scribed in the numerical results of Lopez et al. (2016), for water flow within
586 a sedimentary basin.

In the case of Le Havre harbour, we propose that the autumn rain in 587 Normandy penetrates the soil of the quays through micro-fractures located 588 on the flancks of the deformations. This infiltration may induce a cooling of 589 the medium by several degrees Celcius (Wierenga et al. (1979)), due to the highest Darcy velocity of the water within the fissures, compared to the rest 591 of the quay. During infiltration, the Darcy velocity is controlled by the effec-592 tive permeability K of the medium (m²), defined as K=d³/12f where d is the 593 fissure aperture (m) and f is the spacing between fissures (m). For an aperture of 0.1 mm and a spacing f=1 m, $K=10^{-13}$ m², while this value drops to 10^{-10} m² when d=1 mm, i.e. a range comparable to the permeability of the sand. Heat transfer between rock and water is enhanced due to the down-597 ward movement of the fluid and its important volumetric thermal capacity $\rho_w c p_w$. As illustrated in figure 18, this cooling may last several hours after 599 the occurrence of infiltration before equilibrium. Eventually, during/after the infiltration process, the evaporation of the water remained stuck on the walls of the fissures by surface tension may also participate to the persistence of the cooling of the medium (Geiger (1965), Van Bavel & Hillel (1976)), depending on the incoming solar radiation, the atmospheric water content and temperature, the presence of wind and the amount of water available within the soil.

It is currently difficult for us to discriminate the relative influence of infil-607 tration and evaporation on the surface temperatures. Besides, the following elements may be highlighted. The detection of cold anomalies located on 600 the deformations was confirmed for both quays by a second campaign realized in March 2015 19, just after rainy days. This is remarkable, considering 611 that the survey was performed during another season (and thus different ra-612 diative, meteorological and soil temperature conditions). The 2015 survey emphasizes several points: 1) the detection of thermal anomalies is repeatable using our methodology, 2) their morphology is comparable to 2014 and 615 3) important thermal contrasts are observed, similar to 2014, i.e. $\approx 3^{\circ}$ C. In 616 that case, it is proposed that evaporation may influence the surface temper-617 atures to a lesser extent than infiltration, considering the observed difference in the daily average solar radiation in October (138 W/m²) and March (219 W/m²) in Le Havre city (see the irradiation Geographical Information System for Europe for details:). As the evaporation rate directly depends on 621 the incoming solar radiation, a different evaporation rate may be induced at the surface and thus different temperature contrasts, which is not what we observe.

Obviously, further investigations may lead with no doubt to a better evaluation of the role of these two components (infiltration and evaporation) on the thermal field. Numerical modelling may be improved in several ways

: 1) implementation of fluid flow in unsaturated media physics, taking into account radiative surface boundary condition, 2) integration of meteorologic, 629 radiative and optical (albedo) field data as input for the modelling. For instance, albedo maps may be obtained using pyranometers on-board drones 631 (Levy et al. (2018)) and considerably improve the description of the radiative surface boundary condition and 3) implementation of radiative processes for sloped surfaces to characterize the link between topography and surface temperatures. Finally, analogical experiments may be realized on several soils types in a climatic chamber in order to characterize the link between 636 infiltration, evaporation and thermal infrared observations. In this frame, the combination of remote sensing and geophysical data (measuring electric or dielectric parameters) may be useful to quantify the relationships between water flow, evaporation rates and soil temperatures.

8. Conclusions

Visible and thermal infrared observations obtained with a light UAV are
presented on two port quays. Photographies are used to calculate altimetric maps of the structures by means of the photogrammetric method, while
thermal images serve to monitor semi-diurnal temperature variations of the
surface. The analysis of the altimetric data reveals several deformations at
the surface of the WQ and the EQ and associated to potential subsurface
cavities/decompressed areas at depth. The study of thermal maps show that
the major part of surface temperatures observed on the WQ and the EQ
may be explained by thermal inertia and albedo. Nevertheless, five cold

areas (TAW1, TAW2 and TAE1 to TAE3) are revealed on the quays, not explained by these parameters. These anomalies appear in the morning and persist during the all day, with a temperature contrast with the surrounding environment ranging from 2 to 4°C. Alongside this observation, a clear correlation is found between thermal anomalies, deformations identified on the altimetric data and the structure of the quays. More precisely, the minimum of temperature of the cold regions are located on the flancks of the deformations.

The origin of this correlation is then investigated. A simplified 2D model 659 is developed to quantify the direct surface thermal influence of a subsurface cavity, when present at two different depths (3 m and 1 m below the surface). These simulations show that 1) the gradient of the surface temperature may 662 vary when a subsurface cavity/decompressed area is present at depth; 2) 663 a hot thermal anomaly is induced at the surface by a cavity/decompressed area at depth during the day, which is inconsistent with our observations and 3) the amplitude of the anomaly (less than $1 \circ C$) may be too low to be detected by TIR cameras, given the amplitude of the diurnal cycle. In this study, we only made 2D simulations to assess the first order characteristics of 668 the thermal pattern, but 3D thermal patterns may clearly be present within the medium, due to the complexity of the structures of the quays. Such con-670 sideration must be taken into account in future works. We explain the cold anomalies and their correlation with the deformations by the cooling induced 672 by infiltration and subsequent evaporation of water through micro-fractures, permitting the persistence of the anomaly several hours after rainfalls. As a consequence, we argue that the high permeability of the medium induced by stresses within the medium when a cavity/decompressed soil is present may control the surface temperatures observed on both WQ and EQ. To our knowledge, this study is the first establishing a link between thermal anomalies and surface deformations associated to subsurface cavities/decompressed areas. Such result is made possible given the new capabilities of U.A.Vs, able to monitor port quays and more broadly civil engineering structures at high resolution, low cost and in a very flexible way. Finally, these methods may also be very useful for the detection of subsurface voids in clayey soils, where classical geophysical tools (like Ground Penetrating Radar) remain inefficient.

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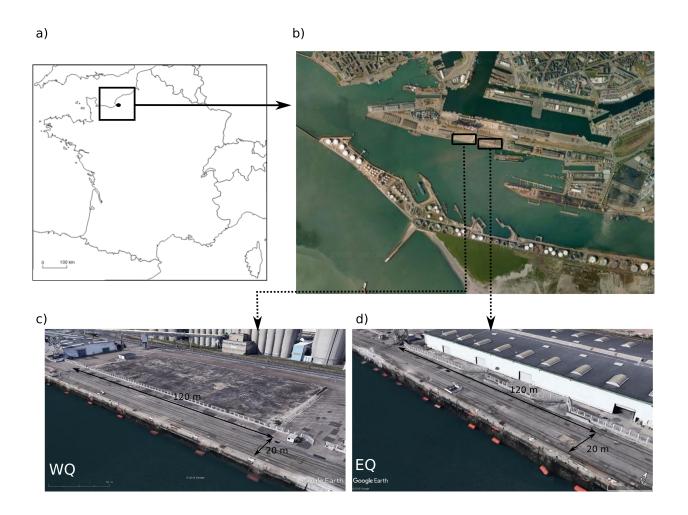


Figure 1: Location of the studied site in Normandy, France.



Figure 2: Photo of typical collapse and deformation observed these last years on the Joannes Couvert quay.

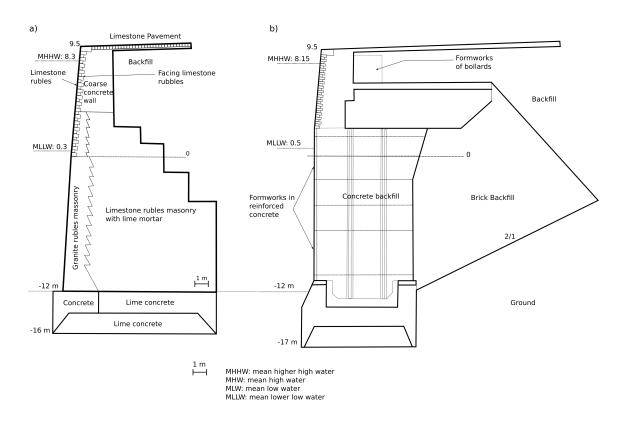


Figure 3: Sketch of internal structure of the quays a) EQ and b) WQ.

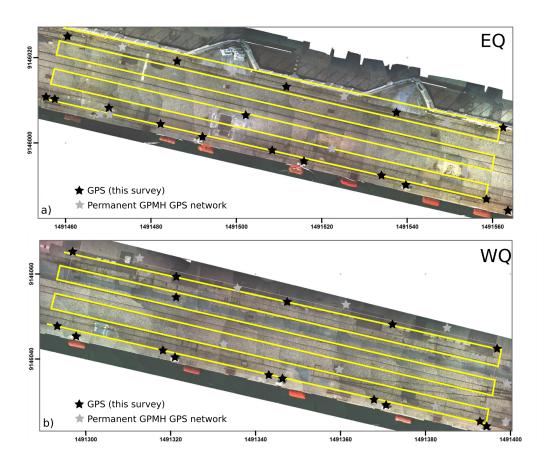


Figure 4: Sketch of the flight plan used during the survey, superimposed with an aerial view of the quays : a) EQ and b) WQ.

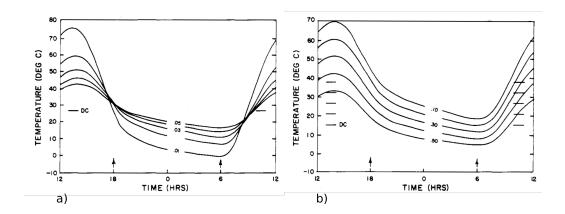


Figure 5: Influence of the thermal inertia and albedo on the surface temperatures (after Watson (1975)).

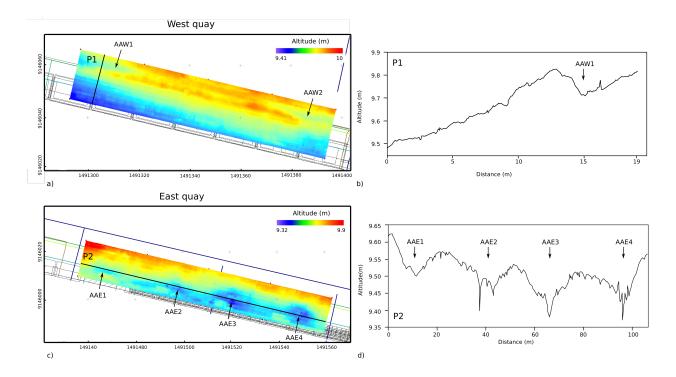


Figure 6: Altimetric maps and measured topographic profiles of a), b) WQ (P1 profile) and c), d) EQ (P2 profile), respectively.

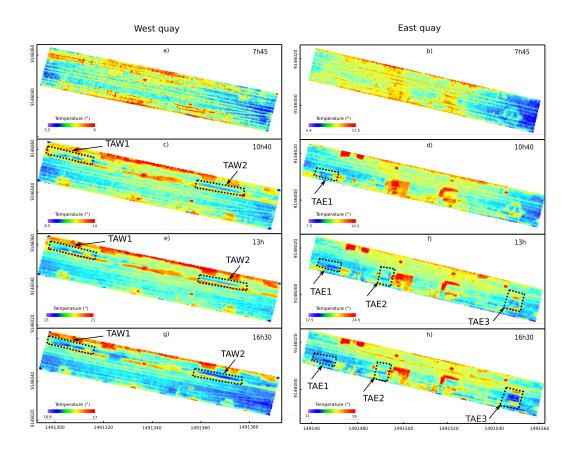


Figure 7: Temperature maps obtained on the WQ and EQ, respectively, during a semi-diurnal cycle.

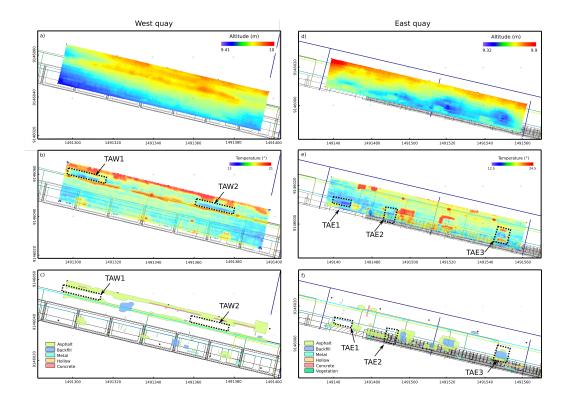


Figure 8: Comparison between a), d) the altimetric map, b), e) the surface temperatures and c), f) the surface indices obtained from visual inspection of the WQ and EQ, respectively. The images are superimposed with a simplified structural map of the quays.

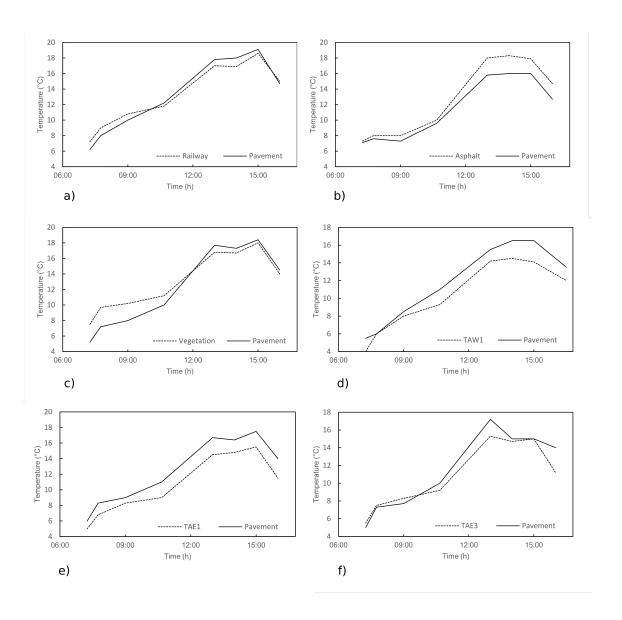


Figure 9: Comparison of temperature evolutions for different materials on the WQ and EQ, illustrating the predominant influence of several parameters/processes on the surface temperature a) thermal inertia (see figure 5a), b) albedo (see figure 5b) and c) impact of the vegetation. Figures d), e) and f) show the temperature evolution of TAW1, TAE1 and TAE3 with their surrounding environment, respectively.

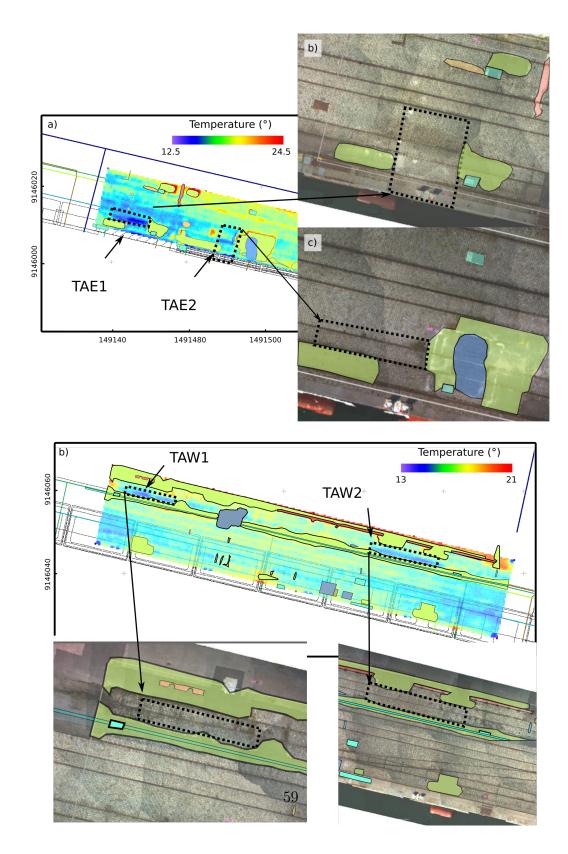


Figure 10: Example of non-correlation between temperature anomalies and albedo.

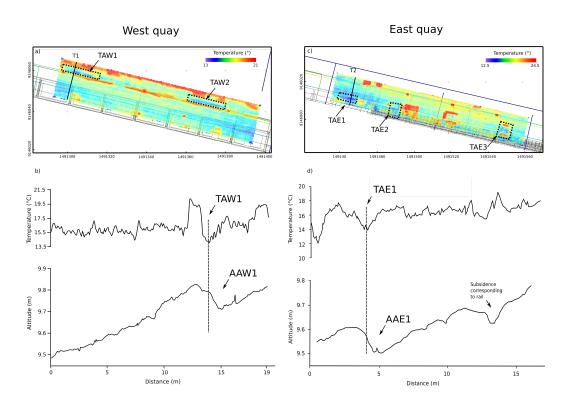


Figure 11: Comparison between the thermal anomaly and the topographic profile for TAW1~(WQ) and TAE1~(EQ).

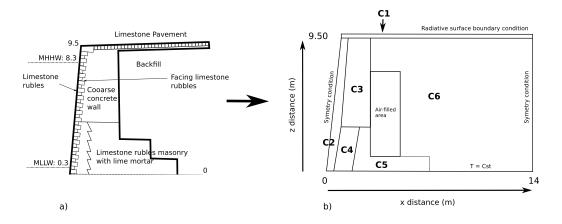


Figure 12: a) Section of the EQ used for the conception of the numerical model and b) Scheme of the thermal model.

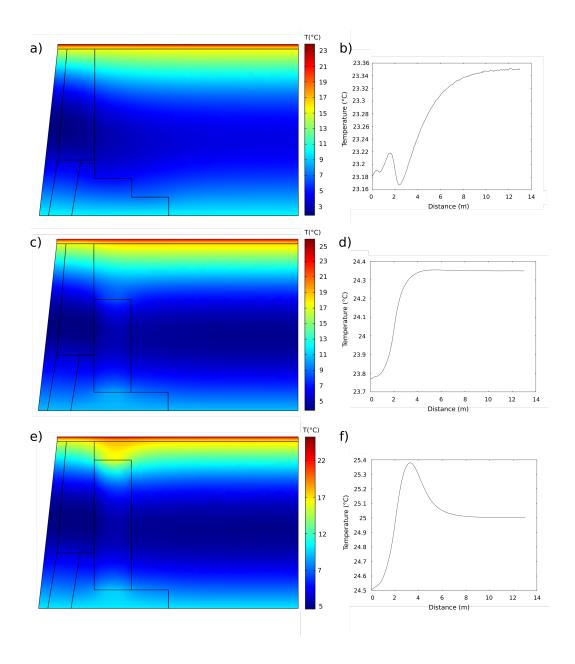


Figure 13: Numerical modelling of the thermal behavior of the EQ a) and b) 2D thermal pattern and surface temperature without cavity; c) and d) 2D thermal pattern and surface temperature for a cavity a 3 m depth; d) and e) 2D thermal pattern and surface temperature for a cavity a 1 m depth.

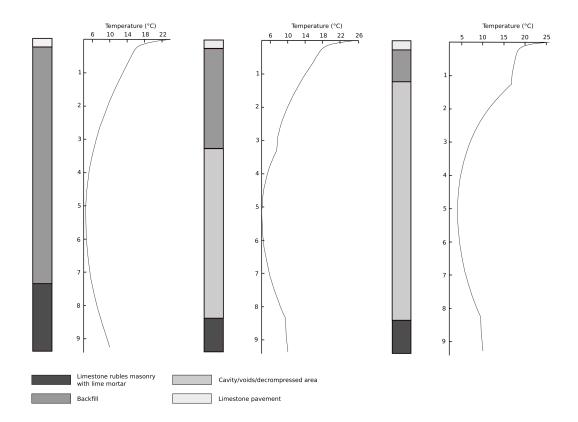


Figure 14: Geological section and corresponding vertical temperature profile for the EQ a) without cavity, b) for a cavity at 1 m-depth and c) for a cavity at 3 m-depth.

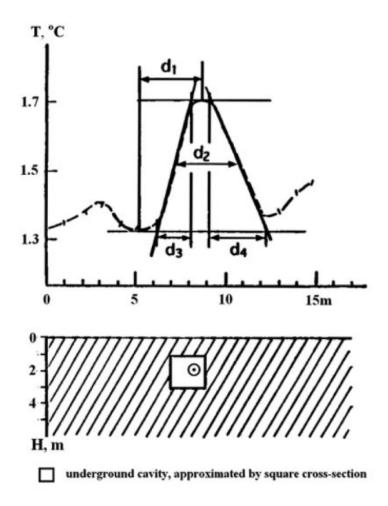


Figure 15: Observed temperature over an underground cavity and geological section taken from Mosciki (2006). The different labels refer to the characteristical distances explained in the author's paper (V. Eppelbaum (2007)).

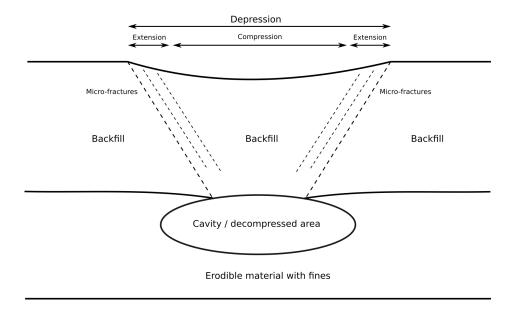


Figure 16: Conceptual model of the mechanical behavior for an homogeneous soil, when surface deformations associated to a subsurface cavity/void are present.

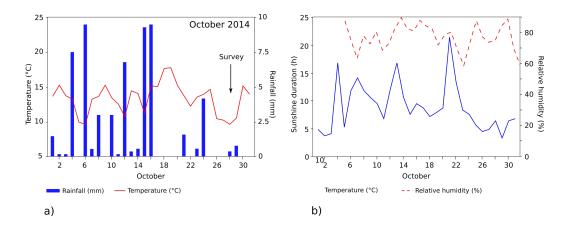


Figure 17: Meteorological data from the Octeville Station, located at 5 km from Le Havre Harbour a) rainfall and mean air temperature and b)sunshine duration and relative humidity.

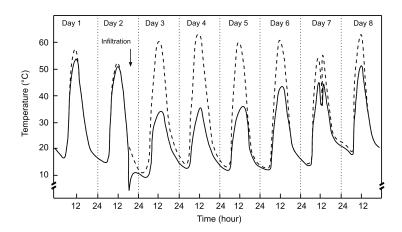


Figure 18: Diurnal variation in soil temperature at the surface of the soil in irrigated (plain line) and nonirrigated (dashed line) soil (after Wierenga et al. (1979)).

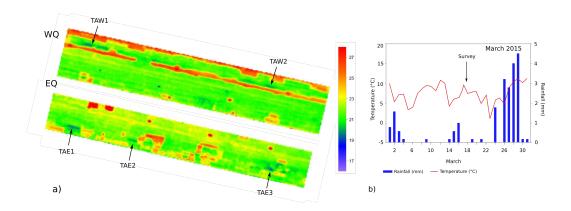


Figure 19: a) Thermal images acquired at 16h on March 17th 2015, showing the same cold anomalies (AAE1 to AAE3, AAW1 and AAW2) present at the same location on the WQ and EQ and b) Precipitations and temperature during March 2015. Note the occurrence of rainfalls just before the survey.