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# Assessment of wave power variability and exploitation with a long-term hindcast database

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## Abstract

Numerical investigations dedicated to the impact of wave power variability on energy conversion were mainly conducted in the European shelf seas where a considerable amount of technological devices was developed. We complemented these studies by exploiting a 31-year consistent hindcast database of the wave climate, assessed against observations in 41 locations, in the North-West Atlantic, the Gulf of Mexico and the Caribbean Sea. With an exception in the Caribbean Sea where wave power density increased under the influence of an easterly zonal wind, a clear contrast was exhibited between the oceanic energetic regions and the semi-enclosed basins. The analysis revealed furthermore contrasting wave climates characterized by (i) significant temporal variability in the Gulf of Mexico and the northern oceanic region off the USA East Coast, and (ii) more moderated variations in the Caribbean Sea off Colombia and the southern oceanic area off the Lesser Antilles. A generic method, independent from the device technology, was finally adopted

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to assess the effects of resource variability on energy output and converters performances. The area off the Lesser Antilles appeared particularly interesting to supply, at reduced installed capacity but with more regular waves conditions, renewable energy within surrounding island territories.

*Keywords:* wave energy converters, WWIII, numerical modeling, Gulf of Mexico, Caribbean Sea, North-West Atlantic.

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## 1. Introduction

Whereas wave energy converters (WEC) are still in the early stages of development, the exploitation of the wave resource may be a solution to supply, in a near future, a part of renewable energy within the electricity grid of marine territories [1]. Wave energy is thus characterized by a high power density and may theoretically be exploited in many more potential locations than other marine resources such as tidal stream energy whose extraction is restricted to strong-currents areas like estuaries entrance or straits. However, the wave resource shows also significant seasonal and annual variabilities that may impact the performances, economical return and siting of wave energy projects [2, 3]. Beyond the total amount of available power, the temporal variability of wave climate may influence the steadiness of expected power output with varying responses depending on the operational range of WEC power matrices [4]. In the most energetic locations primary targeted for the setup of wave energy projects, high temporal variability of the available resource may furthermore increase the construction costs of devices designed to withstand harsh environmental storm conditions, while reducing the economical reliability of the project [3]. Successful design and deployment of WEC in the marine environment require thus accurate assessments of the wave climate on multi-decadal periods of time. This will help to optimize the capital investment and adapt, in some cases, the technological options (modifying, for instance, the rated and maximum operating conditions of devices) [5].

A series of investigations based on numerical hindcast modeling were conducted to assess the variability of available wave power. As a considerable

26 number of technological devices was developed and tested in the northwest  
27 European shelf seas, the major part of wave power assessment focused on  
28 this environment by exhibiting the seasonal and annual variabilities of the  
29 available resource on a global scale [6], or at the scale of marine territories  
30 [7, 8, 9, 10]. Long-term assessments of wave power variability were also  
31 conducted in the most energetic areas around the world including the coasts  
32 of Canada [11] and Morocco [12], the Caribbean [13] and south China seas  
33 [14], or the Australian [15] and Brazilian shelf environments [16]. Whereas  
34 these numerical investigations, outside the European shelf seas, provided  
35 further very interesting insights about the temporal evolution of the available  
36 resource, the attention was focused on monthly and seasonal averaged spatial  
37 patterns setting aside further studies about its annual variability.

38 Following these resource assessments, particular attention was dedicated  
39 to the effects of wave power variability on WEC performances in the re-  
40 gions around the world with the highest wave power density (see [4] for a  
41 non-exhaustive review). However, besides being dependent from the device  
42 technology, most of the numerical investigations, conducted outside the Eu-  
43 ropean shelf seas, ignored these effects focusing on averaged expected power  
44 outputs. Reduced efforts were, in particular, devoted to assess the wave en-  
45 ergy practically available for electricity conversion in locations with varying  
46 wave climate. These aspects appeared yet important to consider in the pre-  
47 liminary stages of a wave energy project. In a comparative study between  
48 the North Atlantic and the Equatorial Pacific, Portilla et al. [3] exhibited  
49 that less energetic, but with reduced variability and severe storm conditions,  
50 may have a technically exploitable resource comparable to the most energetic

51 locations traditionally considered in wave power characterization.

52 The present investigation complements the numerical evaluations of the  
53 wave energy climate conducted outside the European shelf seas by analyz-  
54 ing, at the regional and local scales, (i) the monthly, seasonal and annual  
55 variabilities of the available wave energy flux and (ii) the potential for power  
56 conversion of marine locations with varying energy levels. The application  
57 was conducted in the Gulf of Mexico (GoM), the Caribbean Sea (CS), and  
58 the North-West Atlantic Ocean characterized by different levels of available  
59 wave energy with semi-enclosed sea basins separated from the oceanic en-  
60 ergetic conditions by a series of islands, which are typical energy-starved  
61 territories primary targeted for the setup of wave energy projects (Fig. 1).  
62 Beyond these local energy needs, the area of interest is also characterized by  
63 a very large electricity consumption associated with the urban and indus-  
64 trial plants disseminated along the coast of USA, and the very impressive  
65 number (over 3500) of oil and gas platforms in the GoM [17]. This study  
66 exploited a global consistent multi-decadal wave hindcast database, released  
67 in 2017 by the National Oceanic and Atmospheric Administration (NOAA)  
68 [18], exhibiting, for broader investigations, the benefits associated for the  
69 assessments of wave power variability in coastal seas around the world.

70 The paper is organized as follows. In Section 2, we described the study  
71 site providing further insights about previous numerical investigations of  
72 wave temporal variability. In Section 3, some details were successively given  
73 about the wave hindcast database, the computation of the available wave  
74 energy flux and the metrics retained to characterize the resource variability  
75 at monthly, seasonal and annual time scales. In Section 4.1, we assessed the

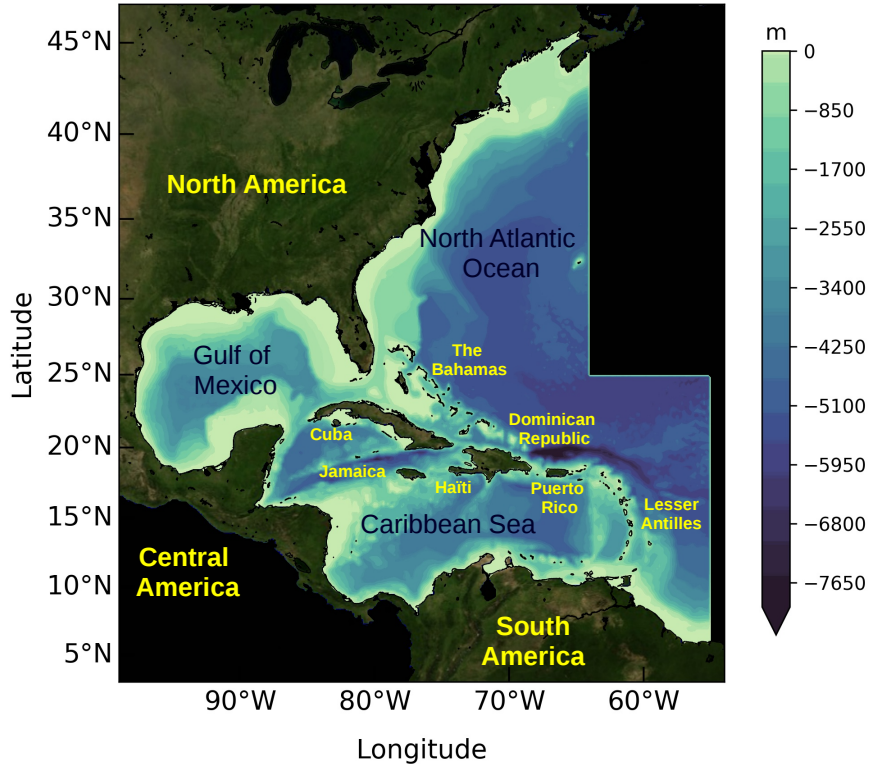


Figure 1: Bathymetry of the area of interest with the delimitation of WWIII computational domain.

76 reliability of the wave hindcast database by comparing predictions of wave  
 77 power density with a series of medium and long-term observations in buoys  
 78 of the National Data Buoy Center (NDBC) [19]. In Sections 4.2 and 4.3, we  
 79 evaluated the spatial distributions of yearly and seasonal-averaged wave en-  
 80 ergetic patterns and characterized the temporal variability of available wave  
 81 power at monthly, seasonal and annual time scales focusing on four locations  
 82 with contrasting wave energy climate. In Section 4.4, we applied a generic  
 83 method proposed by Portilla et al. [3] to compare the effects of resource

84 variability on energy output in these four locations. This application pro-  
85 vided information, independent from the device technology, that may help  
86 developers to optimize energy converters in these environments. Conclusions  
87 and perspectives were finally drawn in Section 5.

## 88 **2. Study site**

89 The area of interest integrates the semi-enclosed basins of the Gulf of  
90 Mexico and the Caribbean Sea, and the eastern coastal and oceanic areas of  
91 North-West Atlantic Ocean (Fig. 1). Whereas the oceanic region is charac-  
92 terized by reduced available wave energy flux in comparison with the Euro-  
93 pean shelf seas or the West Coast of USA [2], this environment integrates,  
94 along the shoreline, a significant amount of total accessible wave power es-  
95 timated at 240 TWh par year [5]. The GoM and CS are separated from  
96 the energetic oceanic conditions by a series of islands including the Bahamas  
97 (in the south of Florida), the Greater Antilles (Cuba, Haïti, the Dominican  
98 Republic, and Puerto Rico...), and the Lesser Antilles that develop along  
99 the volcanic island arc between Puerto Rico and South America. This re-  
100 sults in reduced wave energy potential estimated in the GoM at 80 TWh  
101 per year [5]. However, these semi-enclosed basins are subjected to extreme  
102 wind waves conditions including (i) mid-latitude anticyclonic systems with  
103 cold fronts and (ii) low pressure systems characterized by tropical cyclones  
104 (depressions, tropical storms and hurricanes) [20]. The frontal cold systems  
105 occur typically between December and March whereas the hurricane season  
106 takes mainly place between June and November [21]. In the past 150 years,  
107 more than one thousand tropical cyclones were recorded in the GoM [17].



108 The existence of cold fronts and tropical storms within these semi-enclosed  
109 basins increases thus the temporal variability of the wave climate with pe-  
110 riods of moderate sea states succeeding extreme waves. The wave climate  
111 differs thus broadly between the coastal basins of GoM and CS, and the  
112 oceanic region.

113 Apart from worldwide numerical investigations [2, 22, 23], few studies  
114 were conducted in the area of interest to assess the temporal variability of  
115 the available wave energy potential. By analyzing NDBC wave stations ob-  
116 servations, Defne et al. [24] assessed the monthly variability of the wave  
117 power potential along the southeastern Atlantic coast of the USA exhibit-  
118 ing a considerable accessible wave energy resource when integrated along  
119 the shoreline. By exploiting multi-decadal meteorological data provided by  
120 the NOAA, Haces-Fernandez et al. [25] characterized, with a new approach  
121 based on peak-over-threshold method, the spatial and temporal variability  
122 of the wave energy flux in three regions of the USA (including the GoM, East  
123 and West Coasts). This analysis exhibited the differences in wave power  
124 density, with more energetic events along the USA East Coast than in the  
125 GoM, suggesting to adapt the WEC configuration to the different sea states.  
126 These investigations were complemented by local refined numerical modeling  
127 studies in the GoM and CS [13, 26], and along the USA East Coast [27].  
128 However, with an exception for the analysis conducted by Appendini et al.  
129 [13] devoted to the southeastern part of CS, reduced effort was dedicated to  
130 investigate the temporal variability of the available wave power.

### 131 **3. Materials and methods**

#### 132 *3.1. Wave hindcast database*

133 The wave hindcast database considered here was generated by the Na-  
134 tional Center for Environmental Prediction (NCEP) [28] with the NOAA  
135 Wavewatch III (WWIII) spectral wave model [29] that incorporated the  
136 physics package developed by Ardhuin et al. [30]. Further details about  
137 the physical and numerical parameterisations, and setup of the wave model  
138 are available in [28] and [31, 32]. In comparison with previous worldwide  
139 database maintained by the NCEP, waves predictions here obtained consti-  
140 tute a consistent multi-decadal database. The wave model was thus driven  
141 during 31 years, between 1979 and 2009, by the reanalysis of wind fields  
142 from the new NCEP Climate Forecast System Reanalysis Reforecast (CF-  
143 SRR) covering the globe on an  $0.5^\circ$  spatial and hourly temporal resolutions  
144 [33]. Simulations were performed on 16 computational grids with two-way-  
145 interaction between the higher and lower resolution domains. A spatial res-  
146 olution of  $1/6^\circ$  was thus reached in the area of interest with a discretization  
147 in 50 frequencies and 36 evenly distributed directional bins. The database  
148 provided finally output field parameters of the significant wave height  $H_s$  and  
149 the peak period  $T_p$  with a time step of 3 hours. This wave hindcast database  
150 was assessed by the NCEP against measurements of  $H_s$  and  $T_p$  in the area  
151 of interest. Further details about this assessment are available in [28].

#### 152 *3.2. Wave power computation*

153 The available wave energy flux (also denominated the available wave  
154 power density or wave energy potential per unit crest, in  $\text{Wm}^{-1}$ ) is an inte-

155 gral parameter of the wave spectrum that characterizes the amount of energy  
 156 reaching a given location. It is computed as

$$P = \rho g \int_0^{\infty} c_g(f) E(f) df \quad (1)$$

157 where  $\rho$  is the density of sea water taken equal to  $\rho = 1025 \text{ kg m}^{-3}$ ,  $g$  is  
 158 the acceleration due to gravity,  $E$  is the spectral energy density distributed  
 159 over frequencies  $f$ , and  $c_g$  is the group velocity. As the area of interest  
 160 is characterized by important water depths with restricted extend of the  
 161 continental shelf, we adopted the formulation of the group velocity in deep  
 162 water  $c_g = g/(4\pi f)$  resulting in the following relationship

$$P = \frac{\rho g^2}{4\pi} \int_0^{\infty} \frac{E(f)}{f} df . \quad (2)$$

163 The available wave power per unit crest is thus expressed as a function of the  
 164 significant wave height  $H_s = 4\sqrt{m_0}$  and the wave energy period  $T_e = m_{-1}/m_0$   
 165 as

$$P = \frac{\rho g^2}{64\pi} H_s^2 T_e \quad (3)$$

166 with  $m_n = \int_0^{\infty} f^n E(f) df$  the  $n^{\text{th}}$  order spectral moment. This formulation  
 167 was traditionally implemented in numerical assessments of the wave resource  
 168 [2, 34, 35, 36, 37]. Further details about the mathematical development of  
 169 this formulation can also be found in [38] and [39]. Assuming a standard  
 170 JONSWAP spectrum with a peak enhancement  $\gamma = 3.3$ , the mean wave pe-  
 171 riod was estimated from the available peak period as  $T_e = \alpha T_p$  with  $\alpha = 0.9$   
 172 [4, 25, 40, 41]. It was thus possible to compute the available wave energy  
 173 flux with integrated wave parameters ,  $H_s$  and  $T_p$ , extracted from the wave  
 174 hindcast database. However, differences may exist between this formula-  
 175 tion based on integrated wave parameters and the available power computed

176 from spectral wave density, especially in cases of combined swell and wind  
 177 seas [24]. These differences were disregarded here to be consistent with pre-  
 178 vious numerical estimations of the available wave energy flux covering the  
 179 area of interest [2, 3, 13, 22, 23, 25]. It should furthermore be noted that  
 180 such approximation will have reduced effects on the evaluation of the spatio-  
 181 temporal variability of  $P$  that will mainly focus on the evolution of relative  
 182 quantities.

### 183 3.3. Wave energy metrics

184 Following the studies of Cornett [2] at the worldwide scale and Gonçalves  
 185 et al. [42] in the western French coast, a series of four metrics was considered  
 186 to characterize the temporal variability of the available wave energy flux at  
 187 monthly, seasonal and annual time scales: the coefficient of variation (COV),  
 188 the seasonal variability index (SV), the monthly variability index (MV), and  
 189 the annual variability index (AV). The coefficient of variation evaluates, at  
 190 all time scales from hourly to annual, the amount of variability with respect  
 191 to the mean value by dividing the standard deviation of  $P$  ( $\sigma_P$ ) to the mean  
 192 available wave power ( $P_{year}$ ) over the 31-year period between 1979 and 2009

$$\text{COV} = \frac{\sigma_P}{P_{year}} . \quad (4)$$

193 The seasonal variability index is defined as

$$\text{SV} = \frac{P_{S1} - P_{S2}}{P_{year}} \quad (5)$$

194 where  $P_{S1}$  and  $P_{S2}$  are, over the multi-decadal time period considered, the  
 195 mean available wave powers for the most and the least energetic seasons, re-  
 196 spectively. The four seasons are defined with respect to three-month seasonal

197 time scale. Winter refers to the months of December, January and February;  
198 Spring to the months of March, April and May; Summer to the months of  
199 June, July and August; and Fall to the months of September, October and  
200 November.

201 The monthly and annual variability indexes are computed with similar  
202 formulations as:

$$\text{MV} = \frac{P_{M1} - P_{M2}}{P_{year}} \quad (6)$$

203 and

$$\text{AV} = \frac{P_{A1} - P_{A2}}{P_{year}} \quad (7)$$

204 where  $P_{M1}$  and  $P_{M2}$  are the mean powers for the most and the least energetic  
205 months, respectively; and  $P_{A1}$  and  $P_{A2}$  are the mean wave powers for the most  
206 and the least energetic years, respectively.

## 207 4. Results and discussion

### 208 4.1. Evaluation of the wave hindcast database

209 The hindcast database was evaluated in terms of significant wave height  
210 and peak period by the NCEP [28] (Section 3.1). These evaluations were here  
211 extended to the comparison of the available wave power from formulation  
212 3, based on predictions and observations. Indeed, the approach of wave  
213 power can not be assessed from single evaluations of  $H_s$  and  $T_p$  as these two  
214 parameters do not have the same weight in the wave power formulation 3.  
215 This assessment was conducted in 41 NDBC wave buoys located in coastal  
216 and offshore waters with mean water depths over 40 m (Fig. 2). Historical  
217 observations of  $H_s$  and  $T_p$  were provided by the NDBC with a time step

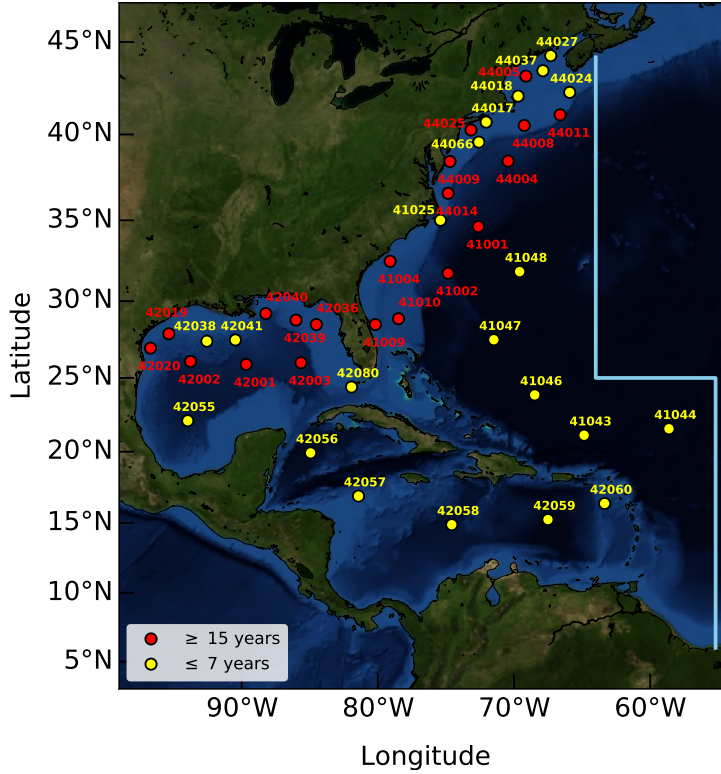


Figure 2: Locations of wave buoys considered for the assessment of the WWIII hindcast database displayed in red circles for long-term measurements ( $\geq 15$  years) and yellow circles for measurements on a shorter period ( $\leq 7$  years). The light blue line shows the outer limit of WWIII computational domain in the area of interest.

218 of one hour [19]. Whereas a water depth of 40 m may be at the limit of  
 219 the application of formulation 3 based on the deep water assumption for the  
 220 group velocity, such value extended the assessment of the database to coastal  
 221 waters primary targeted for WEC implementation. Among the 41 wave buoys  
 222 retained, 15 points were thus located in mean water depths below 150 m. The  
 223 comparison between predictions and observations may furthermore be biased

224 by the duration period considered, whether this evaluation was established  
 225 on several years or restricted to a few years. The available observations  
 226 were thus characterized by different time periods with (i) 20 points covering  
 227 more than 15 years and (ii) 21 points restricting to less than 7 years. The  
 228 assessment of the wave hindcast database was thus performed with respect  
 229 to these two categories. The associated spatial distribution highlighted very  
 230 clearly the priority given to the observations in coastal waters along the USA  
 231 East Coast (Fig. 2).

232 In order to provide simple overviews of the comparison between predicted  
 233 and observed wave powers, the evaluation of the wave hindcast database was  
 234 performed with Taylor diagrams [43] that incorporated the basic statistical  
 235 measures of (i) the Pearson correlation coefficient

$$\text{Pe} = \frac{1}{N} \frac{\sum_{i=1}^{i=N} (x_i - \bar{x})(y_i - \bar{y})}{\sigma_{obs}\sigma_{pred}}, \quad (8)$$

236 (ii) the Centered Root-Mean-Squared Difference

$$\text{CRMSD}^2 = \frac{1}{N} \sum_{i=1}^{i=N} [(x_i - \bar{x}) - (y_i - \bar{y})]^2 \quad (9)$$

237 and (iii) the standard deviations of observations and predictions

$$\sigma_{obs}^2 = \frac{1}{N} \sum_{i=1}^{i=N} (x_i - \bar{x})^2 \quad (10)$$

238 and

$$\sigma_{pred}^2 = \frac{1}{N} \sum_{i=1}^{i=N} (y_i - \bar{y})^2 \quad (11)$$

239 where  $N$  is the number of data in the discretised time series considered,  $(x_i)$   
 240 and  $(y_i)$  represent the two sets of measured and simulated values, and  $\bar{x}$  and  
 241  $\bar{y}$  are the mean values of observed and modelled data, respectively. CRMSD

242 satisfies the fundamental relationship at the basis of Taylor diagram con-  
 243 struction [43]  $CRMSD^2 = \sigma_{obs}^2 + \sigma_{pred}^2 - 2\sigma_{obs}\sigma_{pred}Pe$ . Following Spindler et  
 244 al. [44],  $\sigma_{obs}$ ,  $\sigma_{pred}$  and CRMSD were normalized by  $\sigma_{obs}$  to display the assess-  
 245 ment of the wave database in single plots with respect to the observations.  
 246 Whereas conducted in different locations, observations were thus appearing  
 247 on the same Taylor diagram as a point with Pearson correlation coefficient  
 248 and normalized standard deviation equal to 1, and normalized CRMSD set  
 249 to 0 (Fig. 3). In this case, the relative skill of predictions at wave buoys  
 250 may be investigated from the values of statistical parameters between the  
 251 point on Taylor diagram and the point of observation which keeps an unique  
 252 position for the different evaluations.

253 As exposed by formulation 3, the evaluation of the available wave energy

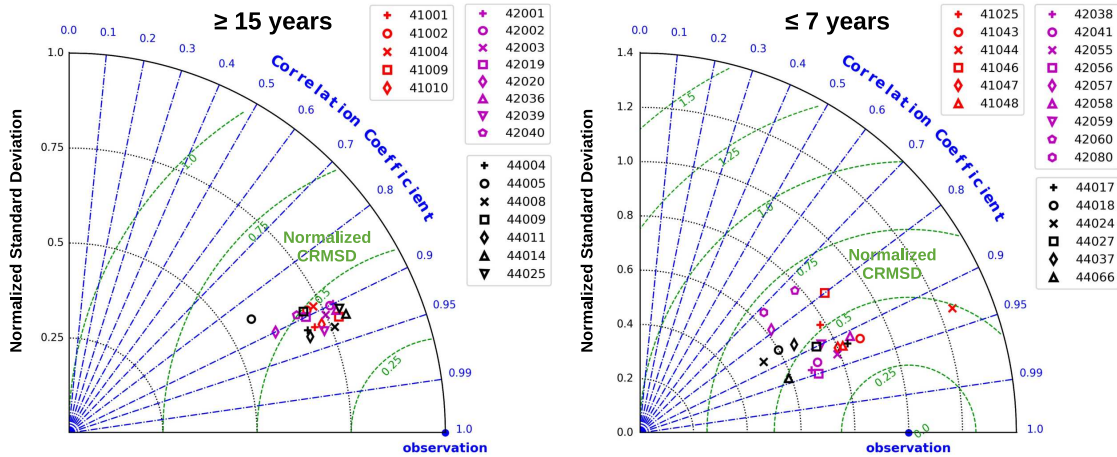


Figure 3: Taylor diagram of the estimation of the available wave energy flux  $P$  in NDBC wave buoys. The evaluation draws the line between observations that cover (left) more than 15 years and (right) less than 7 years. The point corresponding to observations is denoted as a blue circle on these figures.



254 flux depends more on the significant wave height (which is squared) than  
255 on the peak period. Whereas increased differences were obtained for the  
256 approach of the peak period [28, 44], a better agreement was thus reached  
257 here for the wave power density in relation to improved evaluations of the  
258 significant wave height (Fig. 3). With local exceptions for points 42020 and  
259 44005 located close to the coastline, the evaluation of  $P$  from long-term ob-  
260 servations ( $\geq 15$  years) showed reduced dispersion of statistical parameters  
261 in the Taylor diagram. For most of these points, the normalized CRMSD  
262 remained below 0.5 with correlation coefficient  $Pe$  over 0.89. The evaluation  
263 from measurements covering less than 7 years exhibited, however, a greater  
264 dispersion of points in the Taylor diagram with a series of locations charac-  
265 terized by normalized CRMSD over 0.5. Nevertheless, with exceptions for  
266 points 41046 and 41044 near the southern open boundary of computational  
267 domain, this concerned mainly locations in the vicinity of coastal irregulari-  
268 ties such headlands or straits (42080, 44018, 44024, 44037) and in the wake of  
269 islands (42057 and 42060). While refined spatial resolutions may be adopted  
270 to capture these complex geometries and improve wave predictions, this may  
271 also increase dramatically the computational time required to construct the  
272 wave hindcast database.

273 Times series of observed and predicted wave power density confirmed fi-  
274 nally the reliability of predictions for approaching the wave climate variability  
275 in offshore waters of the North-West Atlantic (41048), the GoM (42001) and  
276 the CS (42058) (Fig. 4). These comparisons revealed yet a tendency of pre-  
277 dictions to underestimate peak values of  $P$ , typically in storm conditions.  
278 However, taking into account the fact that WEC stopped operating under a

279 high sea state (survival mode), reduced effects were expected on character-  
280 izing the temporal variabilities of energy output at a given location.

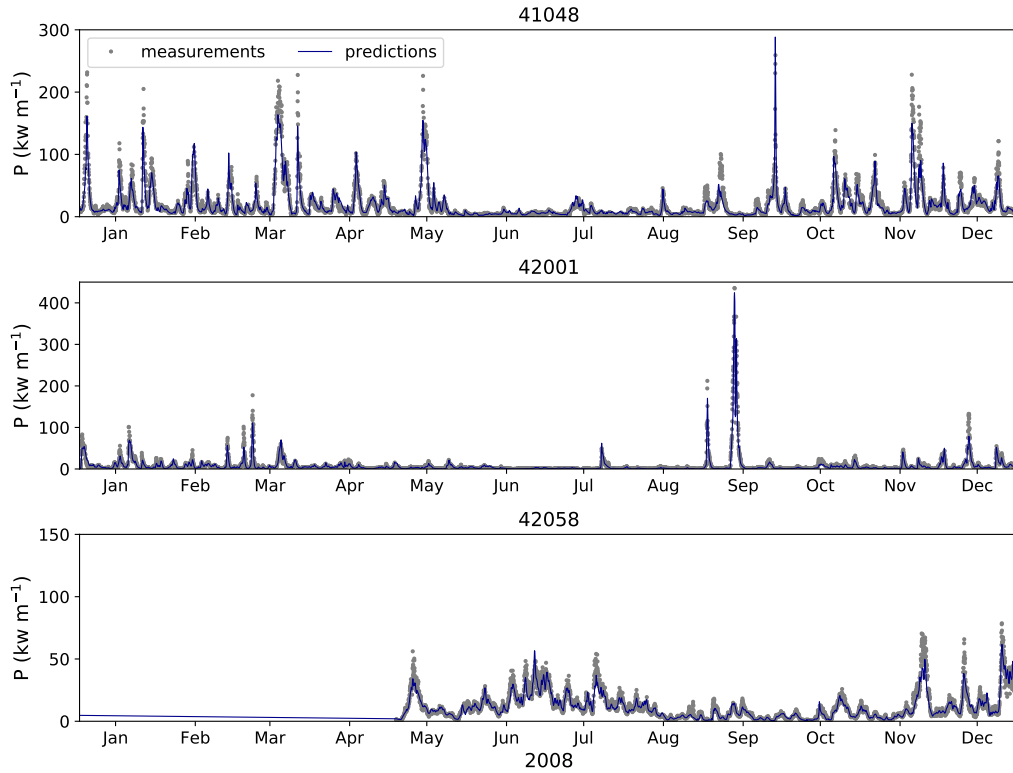


Figure 4: Time series of observed and predicted available wave energy flux at wave buoys 41048, 42001 and 42058 in 2008.

281 *4.2. Spatial distribution of wave energetic patterns*

282 Confirming previous numerical investigations of the available wave power  
283 density in the European continental shelf seas [9, 45], a close correlation  
284 was exhibited between the averaged significant wave height and the available  
285 wave energy flux (Fig. 5). The northern oceanic region off the USA East  
286 Coast was thus characterized by the most energetic conditions of the area

287 of interest with mean wave height over 2.2 m and wave power density over  
 288  $28 \text{ kWm}^{-1}$ . This evaluation was consistent with worldwide assessments of  
 289 the mean available wave power density that estimated the wave energy re-  
 290 source between 20 and  $30 \text{ kWm}^{-1}$  in these offshore regions [2, 3, 22, 23]. Off  
 291 the North-East Coast of USA, the computed mean wave power was in the  
 292 range of values obtained by Defne et al. [24] from the exploitation of wave  
 293 buoys observations with available wave energy fluxes of  $17.5 \text{ kWm}^{-1}$  at point  
 294 41001 and  $6.9 \text{ kWm}^{-1}$  at point 41004. Being less exposed to ocean waves,  
 295 the GoM and CS areas were characterized by reduced energetic conditions.  
 296 In these two regions, the estimation of the wave power resource was consis-  
 297 tent with the numerical investigations conducted by Appendini et al. [13]

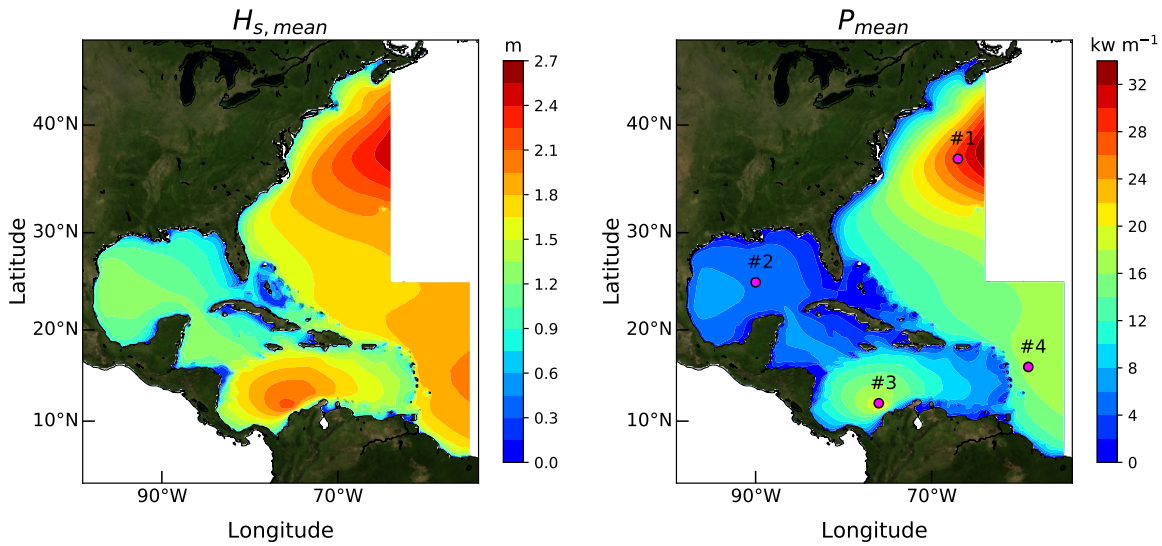


Figure 5: Predicted averaged significant wave height  $H_{s,mean}$  and available wave energy flux  $P_{mean}$  over the period 1979-2009. Points #1 to #4 selected for the analysis of time series of available wave power density are shown with magenta circles.

298 which resulted in mean values of  $P$  up to  $13 \text{ kWm}^{-1}$  in the CS (off Colombia)  
299 and below  $8 \text{ kWm}^{-1}$  in the GoM. However, an area of high available wave  
300 power density was identified in the CS off Colombia, with energy levels com-  
301 parable to the southern oceanic region off the Lesser Antilles. As exhibited  
302 by Appendini [13], this wave energy pattern was mainly associated to the  
303 influence of an easterly zonal wind, liable to reach  $13 \text{ ms}^{-1}$  and known as  
304 the Caribbean Low-Level Jet (CLLJ).

#### 305 *4.3. Temporal variability of wave energy*

306 However, integrated parameters such as the mean available wave energy  
307 flux provided limited information for a refined evaluation of the technically  
308 exploitable energy [3]. The temporal variability of the available resource was  
309 thus investigated at the monthly time scale exhibiting a clear contrast be-  
310 tween (i) winter months with the highest energetic conditions and (ii) summer  
311 months with reduced energy levels (Fig. 6). The spatial distributions of wave  
312 energetic patterns at the seasonal scale confirmed these temporal variations  
313 (Fig. 7). In accordance with simulations performed by Allahdadi et al. [27],  
314 a significant decrease of the available wave power was revealed along the USA  
315 East Coast between the winter and the summer periods. The averaged yearly  
316 time series (Fig. 6) may be, very roughly, compared with waves conditions in  
317 the North-East Atlantic along the European shelf seas [6, 9, 10] that exhib-  
318 ited increased inter-annual power variabilities in the most energetic locations.  
319 Areas such as the CS showed, however, summer waves energetic conditions  
320 comparable to winter energy levels with values of  $P$  over  $25 \text{ kWm}^{-1}$ . These  
321 differences were exhibited in four locations (#1 to #4) that characterized  
322 the wave climate in different sub-regions of the area of interest (Figs. 5 and

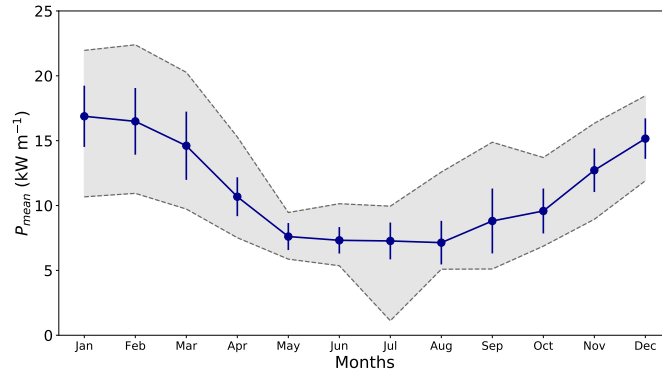


Figure 6: Yearly time series of the averaged predicted available wave energy flux over the WWII computational domain and the period 1979-2009. Errors bars show the associated standard deviation while grey shading indicates the range of numerical values.

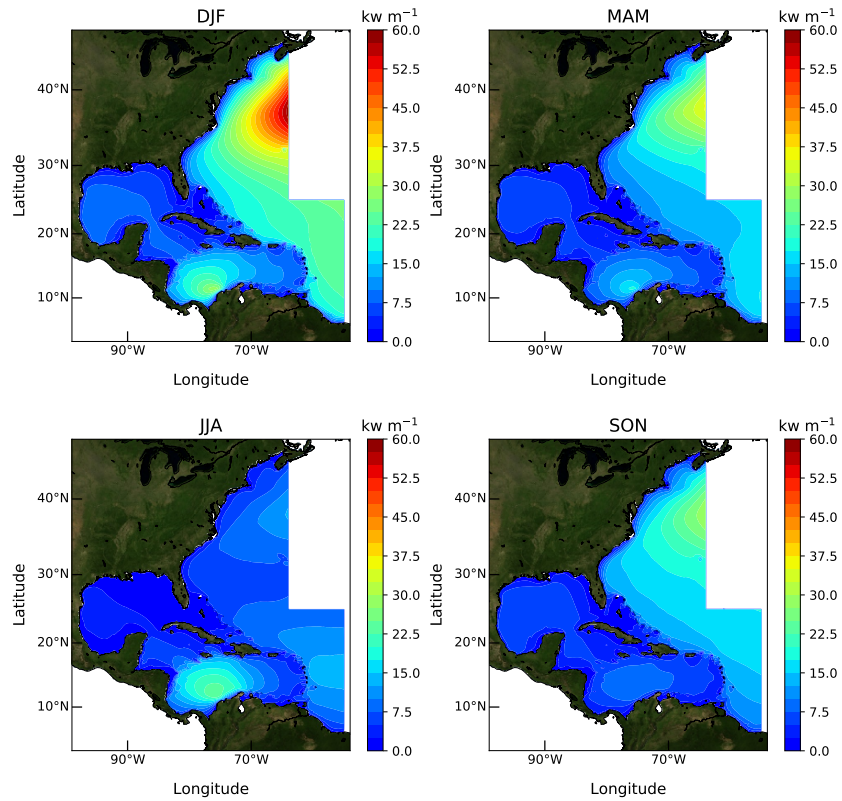


Figure 7: Seasonal evolutions of mean available wave energy flux predicted over the period 1979-2009.

323 8, and Tab. 1). In comparison with the oceanic regions (points #1 and #4)  
324 and the GoM (point #2), the evolution of the wave climate in the CS (point  
325 #3) was thus characterized by two peaks in February and July that resulted  
326 from the CLLJ [13]. In the GoM (point #2), significant variability of the  
327 available resource was furthermore exhibited between August and November  
328 in relation to the influence of tropical cyclones with the biggest impact on  
329 September [21, 25]. Whereas appearing in semi-enclosed basins with reduced  
330 energy levels, these temporal variations impacted the value of predicted avail-  
331 able wave power averaged over the WWIII computational domain and the  
332 31-year period between 1979 and 2009 (Fig. 6).

333 The spatial heterogeneity in the temporal variability of the wave climate  
334 was evaluated with the four statistical metrics COV, SV, MV, and AV (Sec-  
335 tion 3.3) (Fig. 9). The results, obtained from the exploitation of the wave  
336 hindcast database, appeared consistent with the worldwide assessment of  
337 wave power variability [2, 23] with a coefficient of variation exceeding 2.5  
338 in the GoM, seemingly associated with the influence of tropical cyclones.  
339 The SV and MV indexes followed also the spatial distribution established  
340 by Cornett [2] in spite of slight differences for MV in the south-eastern part  
341 of the GoM. Our local investigation of wave power variability was also con-  
342 sistent with results obtained by Appendini et al. [13] in the southern CS  
343 with values of COV and SV restricted to 0.8 and 1.0, respectively. The  
344 spatial distribution of these statistical metrics highlighted that the temporal  
345 variability in wave power was not the same everywhere, and established dif-  
346 ferently at monthly, seasonal and annual time scales. In the oceanic regions  
347 of the North-West Atlantic, a clear contrast was thus exhibited between (i)

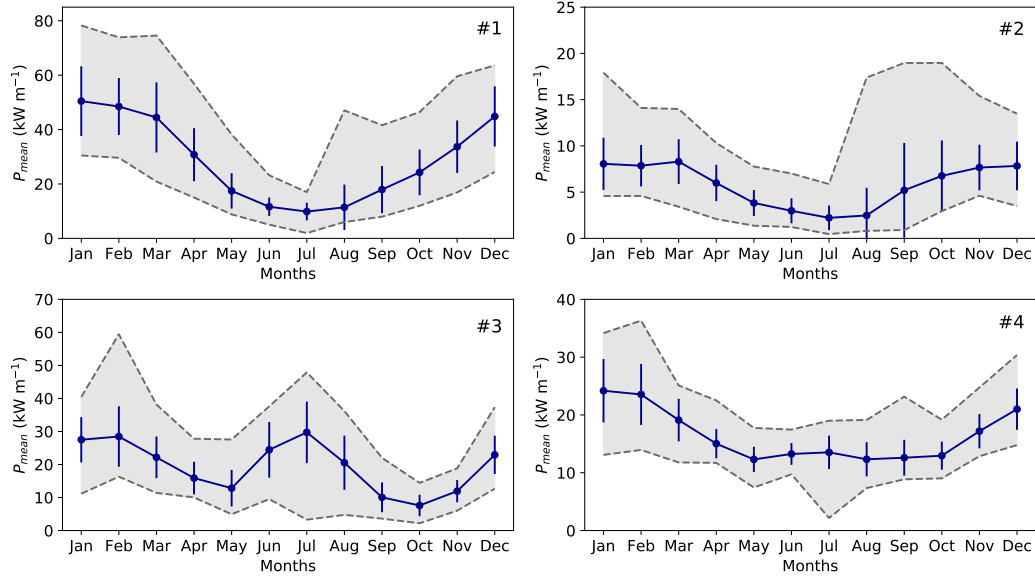


Figure 8: Yearly time series of the averaged predicted available wave energy flux over the period 1979-2009 at locations #1 to #4. Errors bars show the associated standard deviation while grey shading indicates the range of numerical values.

Table 1: Statistical metrics for wave energy conditions at locations #1 to #4 with the water depths and the mean available wave energy flux  $P_{mean}$  over the 31-year period considered.

Points	Coordinates		Water depths (m)	$P_{mean}$ ( $\text{kWm}^{-1}$ )	COV	SV	MV	AV
	Lon.	Lat.						
#1	67° W	37° N	4999	28.7	0.6	1.3	1.4	0.4
#2	90° W	25° N	3536	5.7	0.6	0.9	1.0	0.5
#3	76° W	12° N	3296	19.5	0.5	0.8	1.1	0.6
#4	59° W	16° N	5204	16.4	0.3	0.6	0.7	0.3

348 the northern energetic area (off the USA East Coast) with significant tempo-  
 349 ral variability and (ii) the southern part (off the Greater and Lesser Antilles)  
 350 with reduced energy levels and variability. This difference was also high-  
 351 lighted by the time series of the averaged predicted available wave power  
 352 density at points #1 and #4 (Fig. 8). In spite of reduced energy levels, the  
 353 wave power resource was finally particularly unsteady in the GoM in relation

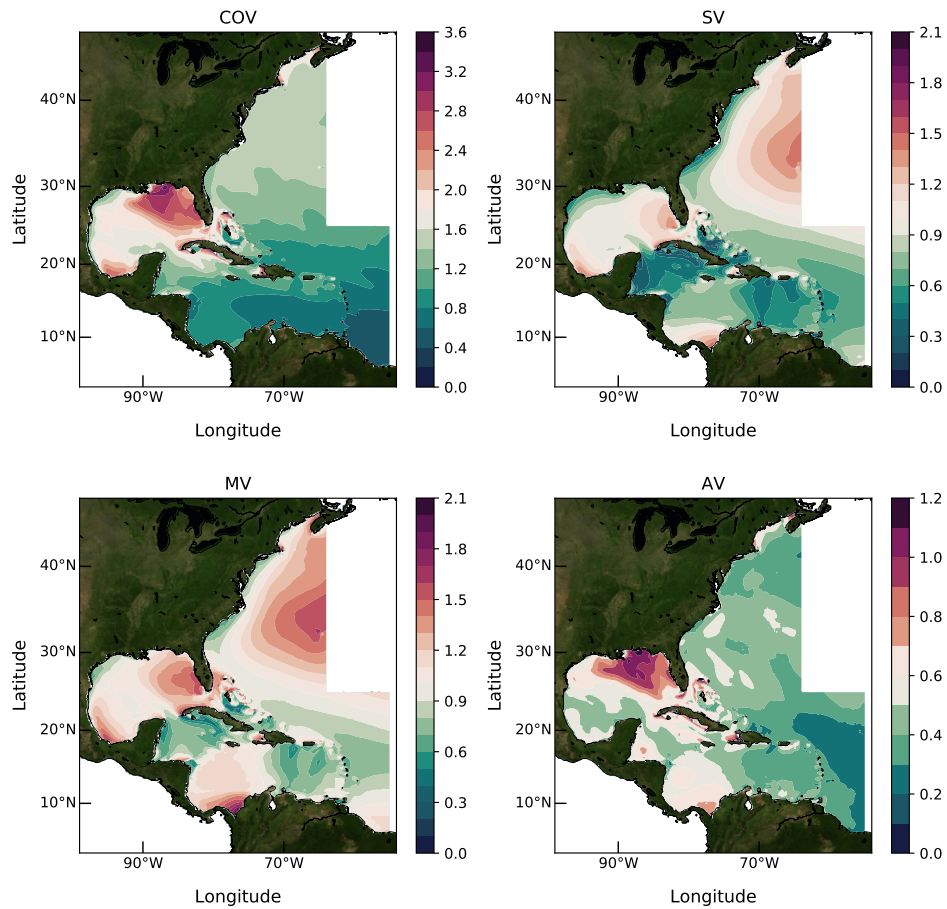


Figure 9: Temporal variability indexes of the available wave energy flux between 1979 and 2009.



354 to the influence of multiple hurricanes. While reduced in comparison to the  
355 GoM, the south-western part of the CS showed also temporal variability par-  
356 ticularly noticeable at monthly time scale and seemingly associated to the  
357 influence of the CLLJ. The AV index exhibited finally a zonation between  
358 (i) the oceanic regions and the CS where it was restricted to 0.7 and (ii) the  
359 GoM where it exceeded 1.0 (Fig. 9).

#### 360 *4.4. Comparative analysis of wave energy extraction scenarios*

361 A comparative analysis was conducted to investigate the theoretical ex-  
362 tractable energy in locations #1 to #4 characterized by varying wave cli-  
363 mates. However, the energy output was, most of the time, determined with  
364 respect to the technological characteristics and performances of the device, by  
365 relying, for instance, on the power matrix that provided the power generated  
366 in different classes of wave height and period (see [4] for a non-exhaustive  
367 review). Whereas this approach resulted in a refined quantification of the  
368 energy output (particularly useful for preliminary studies of WEC implemen-  
369 tation in the marine environment), results obtained were dependent from the  
370 device technology restricting the scope of these studies.

371 In the present investigation, we followed instead the generic approach  
372 proposed by Portilla et al. [3] which provided a comparative analysis of wave  
373 power exploitation by introducing variables independent from the device and  
374 expressed in units of power over units of distance (in  $\text{Wm}^{-1}$ ). This study  
375 considered a series of variables commonly used in WEC resource assessments:  
376 (i) the installed capacity (IC), (ii) the nominal installed capacity (NC), (iii)  
377 the annual electricity production (AP) and (iv) the capacity factor (CF).  
378 The installed capacity (expressed in  $\text{Wm}^{-1}$ ) refers to the amount of energy

379 that would be produced by WEC operating at its full capacity. The nomi-  
380 nal installed capacity (expressed in  $\text{Whm}^{-1}\text{year}^{-1}$ ) quantifies thus the total  
381 energy produced during a given period of time (typically one year) if the  
382 device operates at its full IC. The annual electricity production (expressed in  
383  $\text{Whm}^{-1}\text{year}^{-1}$ ) is the total energy produced by the device during a year. The  
384 capacity factor (with no units) refers finally to the performance of the device  
385 by accounting for the fraction of the time the energy converter is operating  
386 at full capacity. It is defined as the ratio between AP and NC. Following  
387 Portilla et al. [3], we considered finally two energy extraction scenarios at  
388 the four locations #1 to #4. In the first scenario A, WEC were converted  
389 the available wave energy flux with the upper limit of the IC. In the second  
390 scenario B, more realistic operation conditions were introduced. WEC were  
391 thus operated above a given threshold of available energy and were halted be-  
392 low too strong wave conditions to guarantee the protection of the device and  
393 enter into survival mode. Portilla et al. [3] considered three levels of lower  
394 and upper limits at 10, 20 and 30%, respectively. However, their investiga-  
395 tion was restricted to two locations in the North Atlantic and the Equatorial  
396 Pacific. As we considered here four locations, the analysis was simplified by  
397 retaining the mid-value of 20%, setting the lower and upper limits at 20 and  
398 120% of the IC, respectively.

399 In scenario A, as expected, the annual electricity production (computed  
400 over the 31-year period) was growing with the installed capacity reaching a  
401 limit when the IC exceeded the available resource while the capacity factor  
402 was decreasing by increasing IC and the nominal installed capacity (Fig. 10).  
403 The IC of a device has thus to be adapted to the local wave climate to guaran-

404 tee the balance between a minimum amount of energy output and high WEC  
 405 performances. This aspect is fundamental as increasing the IC of a device  
 406 requires significant capital investments which reduces the economical yield  
 407 and profitability of a wave energy farm. In the present investigation, low in-  
 408 stalled capacity had thus to be considered in the low energetic location #2 of  
 409 the GoM whereas extra capacity for power generation still existed in the en-  
 410 ergetic point #1 of the North-West Atlantic, but with reduced CF. However,  
 411 this evaluation may be influenced by the wave power variability. Whereas the  
 412 mean available wave energy flux  $P_{mean}$  was 75% higher at point #1 than point  
 413 #4 (Tab. 1), the annual production and the capacity factor may be lower  
 414 in the northern oceanic region (point #1) than in its southern part (point  
 415 #4). For  $IC = 18 \text{ kWm}^{-1}$ , AP was thus estimated at  $108 \text{ kWhm}^{-1}\text{year}^{-1}$  at  
 416 point #1 against  $117 \text{ kWhm}^{-1}\text{year}^{-1}$  at point #4, and CF was computed at  
 417 0.68 at #1 against 0.74 at #4. The high wave power variability at point #1,

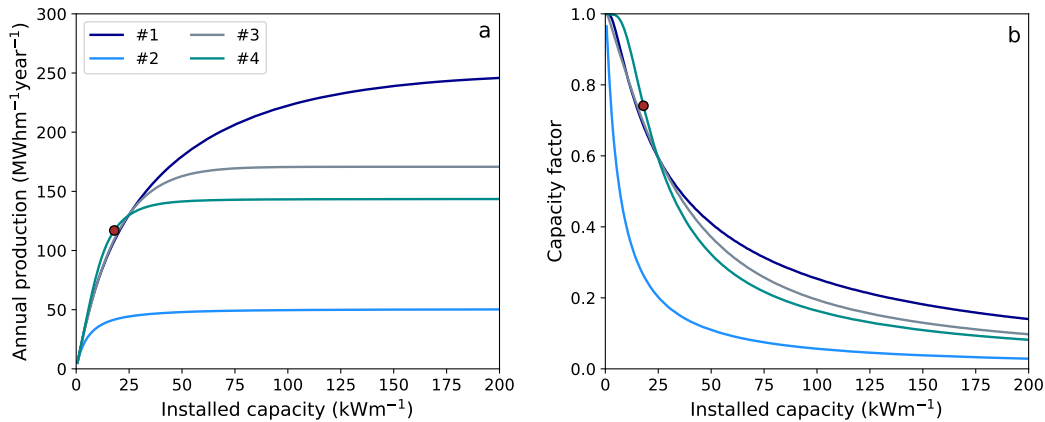


Figure 10: Predicted (a) annual production and (b) capacity factor against the installed capacity at locations #1 to #4 for the first case study A. The brown circle indicates the reference taken to illustrate the comparison between the four locations.

418 exhibited by the statistical metrics, (Tab. 1 and Section 4.3) may explain  
 419 these differences. Indeed, at point #1, the energy produced during storm  
 420 events was restricted to reduced IC whereas it may be dramatically lower  
 421 during summer calm weather conditions (Fig. 8). This energy production  
 422 contrasted with the output at point #4 where more regular wave conditions  
 423 were approaching, for low IC, the nominal installed capacity increasing WEC  
 424 performances.

425 In scenario B, the analysis confirmed results obtained by Portilla et al.  
 426 [3] that exhibited peak values for AP and CF with respect to the installed  
 427 capacity IC (Fig. 11). Indeed, as expected, the annual production reached a  
 428 peak value when the IC range (between the lower and upper limits of the op-  
 429 eration of the device) captured the maximum available wave resource. WEC  
 430 with reduced IC harnessed thus the lower part of the available wave energy  
 431 while devices with high IC failed to operate at full capacity. However, the

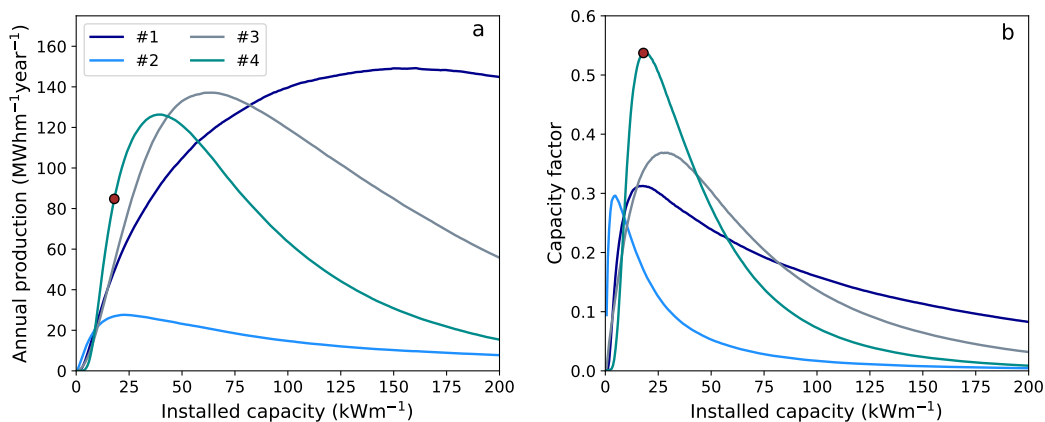


Figure 11: Predicted (a) annual production and (b) capacity factor against the installed capacity at locations #1 to #4 for the second case study B. The brown circle indicates the reference taken to illustrate the comparison between the four locations.

432 peaks of AP and CF were not reached for the same IC at a given location.  
433 Indeed, as exhibited in scenario A, reduced AP may be reached with high  
434 WEC performances and CF. Moreover, the introduction of start-up and sur-  
435 vival mode conditioned the adaptability of WEC to a given wave climate. At  
436 the four locations considered, this resulted in differences between the optimal  
437 values of IC that provided the maximum AP or CF. For  $IC = 18 \text{ kWm}^{-1}$ ,  
438 we exhibited thus the optimal values of CF at point #4 (CF=0.54) whereas  
439 reduced CF were obtained at the energetic location #1 (CF=0.31).

440 In both configurations, site #2, located in the GoM, presented limited  
441 interest for the setup of wave energy converters being characterized by re-  
442 duced AP and CF. In spite of oceanic energetic conditions, site #1, off the  
443 USA East Coast, required increased IC, with high capital investments, to  
444 provide AP comparable to the values obtained at sites #3 and #4, in the CS  
445 and off the Lesser Antilles (Fig. 11-a). These two locations appeared finally  
446 interesting for the exploitation of the wave energy showing (i) moderated  
447 energetic conditions compatible with WEC operations and (ii) moderated  
448 variability of the energy resource in comparison with the northern oceanic  
449 region or the GoM (Section 4.3). Site #4 was particularly attractive reach-  
450 ing the highest CF values at low IC (Fig. 11-b) and being located off energy  
451 starved territories of the Lesser Antilles (Fig. 5).

## 452 5. Conclusions

453 A 31-year consistent wave hindcast database was exploited to provide  
454 detailed insights into the temporal variability of the available wave energy  
455 resource and its exploitation by WEC in the region covering the North-West

456 Atlantic, the GoM and the CS. Complementing previous evaluations based  
457 on the significant wave height and the peak period, the database was assessed  
458 by comparing the available wave energy flux, derived from predictions and  
459 observations, in 41 buoys located in coastal and offshore waters of the area of  
460 interest. In addition to a map of averaged wave energetic patterns, a series of  
461 four metrics was considered to exhibit the temporal variability of the resource  
462 at monthly, seasonal and annual time scales. A generic method was finally  
463 applied to characterize the theoretical exploitable energy in four locations  
464 with varying wave climates. The main outcomes of the present study are as  
465 follows:

- 466 1. A clear contrast was exhibited in the available wave energy flux between  
467 the oceanic regions of the North-West Atlantic and the semi-enclosed  
468 basins, protected from incoming waves conditions by a series of islands.  
469 However, a localized region with high wave power density was identified  
470 in the CS, off Colombia, within the area of influence of the Caribbean  
471 Low-Level Jet.
- 472 2. The exploitation of the hindcast database revealed differences in the  
473 yearly time series of the averaged predicted available wave power be-  
474 tween the northern and southern parts of the North-West Atlantic, the  
475 GoM, and the CS. The northern oceanic regions exhibited thus a clear  
476 contrast between (i) the winter energetic conditions and (ii) the sum-  
477 mer low-energetic period. However, this evolution contrasted with the  
478 wave climate in the CS that exhibited two peak values of the available  
479 wave power during the months of February and July in relation to the  
480 influence of the CLLJ.

- 481 3. Varying temporal variabilities of the available wave energy flux were ex-  
482 hibited by the four statistical metrics COV, SV, MV, and AV. Whereas  
483 the northern oceanic area showed significant temporal variability during  
484 the energetic winter period, the southern region, off the Lesser Antilles,  
485 displayed reduced temporal variations at the monthly, seasonal and an-  
486 nual time scales. The wave power resource was particularly unsteady  
487 in the GoM in relation to the influence of multiple hurricanes and was  
488 characterized by monthly variability in the south-western CS. A clear  
489 zonation was also identified at the annual time scale between the CS  
490 and the oceanic regions where it was reduced and the GoM where it  
491 was pronounced.
- 492 4. The comparative analysis of wave energy extraction scenarios revealed  
493 the reduced interest of the GoM for the exploitation of the wave energy.  
494 The results obtained confirmed furthermore that less energetic, but  
495 with more regular waves conditions (such as the CS and the southern  
496 oceanic region off the Lesser Antilles) may provide energy output levels  
497 comparable to the classically high-energetic ocean regions characterized  
498 by significant temporal variability (such as the northern oceanic area).  
499 The region off the Lesser Antilles appeared particularly interesting for  
500 WEC implementation to supply, at reduced installed capacity, a part  
501 of sustainable marine renewable energies within the electricity grid of  
502 island territories.

503 The exploitation of a long-term wave hindcast database provided poten-  
504 tial developers and government further insights about the temporal variabil-  
505 ity of the available wave energy flux and its exploitation in a region char-

506 acterized by varying wave climate conditions. The present investigation will  
507 undeniably benefit from broader exploitation of hindcast database in the  
508 most promising locations for the exploitation of the wave energy around the  
509 world. The results obtained may furthermore serve as a background refer-  
510 ence for the implementation of advanced numerical simulations of the waves  
511 conditions and the available wave power in the area of interest. These re-  
512 fined assessments will naturally help to optimize the design and locations of  
513 WEC in nearshore coastal waters with advanced estimations of the associated  
514 energy output.

#### 515 **Credit author statement**

516 **Nicolas Guillou:** Conceptualization, Methodology, Software, Valid-  
517 ation, Formal analysis, Investigation, Writing Original Draft, Writing Re-  
518 view & Editing, Visualization, Supervision. **Georges Chapalain:** Writing  
519 Review & Editing.

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526 Engineering and Environment (Cerema, <http://www.cerema.fr>).



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