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EVENT-TRIGGERED GAIN SCHEDULING OF REACTION-DIFFUSION PDES

IASSON KARAFYLLIS*, NICOLÁS ESPITIA[†], AND MIROSLAV KRSTIC [‡]

Abstract. This paper deals with the problem of boundary stabilization of 1D reaction-diffusion PDEs with a time- and space- varying reaction coefficient. The boundary control design relies on the backstepping approach. The gains of the boundary control are scheduled under two suitable event-triggered mechanisms. More precisely, gains are computed/updated on events according to two state-dependent event-triggering conditions: static-based and dynamic-based conditions, under which, the Zeno behavior is avoided and well-posedness as well as exponential stability of the closedloop system are guaranteed. Numerical simulations are presented to illustrate the results.

11 **Key words.** reaction-diffusion systems, backstepping control design, event-triggered sampling, 12 gain scheduling.

1. Introduction. Control design of complex systems modeled by partial differ-13 ential equations (PDEs) has become a central research area. The two traditional ways 14 to act on those complex systems are the *in-domain control* and *boundary control*. For 15boundary control, the backstepping method has been used as standard tool for designing feedback laws. It has initially emerged to deal with 1D reaction-diffusion 17 parabolic PDEs in [2], [36] and since then, the method has been employed to deal 18 with the boundary stabilization of broader classes of PDEs (for an overview see [21] 19 and [26]). One of the most remarkable features of the backstepping approach is that 20 for some specific cases, it is possible to obtain closed-form analytical solutions for 21 the kernels of the underlying integral Volterra transformation. Having explicit ex-22 pressions for the kernels and for controllers makes implementation simpler and more 23 precise. For instance, for reaction diffusion systems with constant parameters, closed-24 25 form solutions for the kernels has been obtained in terms of special functions such as the modified Bessel function [36]. When having a time-varying reaction coefficient, a 26closed-form solution can be obtained through power series for exponential stabiliza-27 tion [37], or, in the context of fixed-time stability, closed-form of time-varying kernels 28can be obtained using special functions as in [8]. 20

30 Nevertheless, for general reaction diffusion PDEs (e.g. having time- and spacevarying coefficient), obtaining closed-form solutions for the kernels is in general very 31 hard (or even not possible). For this class of PDEs with time- and space- varying 32 coefficients, the problem of boundary stabilization has been very challenging. Timeand space varying reaction coefficients come into play in some applications such as 34 in trajectory planing and multi-agent systems (see e.g. [27, 9]), to mention a few. In 35 36 general, the resulting kernel-PDE is of the form of hyperbolic spatial operator and first order derivative with respect to time since the kernel of the Volterra transfor-37 mation has to be time-varying. This brings additional source of complexity to the 38 problem that requires a careful well-posedness analysis and numerical methods for the 39 solvability. The solution of the kernel, indeed, is needed to be found numerically by 40 41 e.g. the method of integral operators or the so called method of successive approxi-

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42 mations (which traces back to the seminal work [3]). In this line, some contributions 43 have rigorously handled the well-posedness of time-varying kernels solutions where the

⁴⁴ reaction term is time and space dependent as in [27, 43] and some efficient algorithms

to better handle the solvability of kernels have been proposed e.g. in [15].

More recent contributions focus on coupled parabolic PDEs [29], with space varying reaction coefficients [42, 4] and time- and space- varying coefficients [19] all of which able to handle more challenging issues related to the solvability, suitable choices of target systems, coupling matrices structure and well-posedness issues in general.

50

In this paper, we aim at stabilizing scalar 1D reaction-diffusion PDEs with a timeand space- varying reaction coefficient from a different perspective. Our approach combines some ideas from hybrid systems, specifically from the framework of statedependent switching laws, sampled-data and event-triggered sampling/control strategies. For an overview of the literature on sampled-data, event-triggered and switching strategies, we refer to e.g. [14, 23, 40, 13, 11, 32, 16, 24] for finite-dimensional systems and to [25, 10, 17, 35, 12],[41, 33, 22, 5, 6, 31] for some classes of infinite dimensional systems.

59 Having said that, the main ideas in this paper state that instead of handling a time-varying kernel capturing the time- and space- varying coefficient, we use a 60 simpler kernel capturing only the spatial variation of the reaction coefficient. This is 61 possible as long as the reaction coefficient is sampled in time, thus the kernel-PDE 62 reduces to a form involving space-varying coefficient only between two successive 63 64 sampling times. In order to determine the time instants, we introduce event-triggered mechanisms that form an increasing sequence of triggering times (or time of events). 65 At those event times, kernels are computed/updated in aperiodic fashion and only 66 when needed. In other words, kernels for the control are scheduled according to some 67 event triggering condition (state-dependent law). Doing so, the approach constitutes a 68 kind of a gain scheduling strategy suggesting then the adopted name for our approach: 69 70 event-triggered gain scheduling.

Sampling in time the reaction coefficient introduces an error (called error when 71sampling) that is reflected in the target system after transformation. This requires 72 the study of well-posedness issues, ISS properties ([18]) and the exponential stability 73of the closed loop system when the control gains are scheduled according to the 74event-triggered mechanisms. In this paper we propose two strategies: the first one 7576 relies on a static triggering condition which takes into account the effect of the error when sampling after transformation and the current state of the closed-loop system. 77 The second strategy relies on a dynamic triggering condition which makes uses of 78 a dynamic variable that can be seen as a filtered version of the static triggering 79 80 condition. Moreover, under the two proposed strategies, the avoidance of the so called Zeno phenomenon is proved. Hence, we can guarantee the well-posedness as 81 well as the exponential stability of the closed-loop system provided that the reaction 82 coefficient is slowly time-varying. 83

The paper is organized as follows. In Section 2, we introduce the class of reaction-84 85 diffusion parabolic systems, the control design which includes the introduction of the event-triggered strategies for gain scheduling and the notion of existence and unique-86 87 ness of solutions. Section 3 provides the main results which include the avoidance of the Zeno phenomenon, the well-posedness of the closed-loop system and the expo-88 nential stability result. Section 4 provides a numerical example to illustrate the main 89 results. Finally, conclusions and perspectives are given in Section 5. The Appendix 90 91 contains the proof of an auxiliary result.

Notation. \mathbb{R}_+ will denote the set of nonnegative real numbers. Let $S \subseteq \mathbb{R}^n$ be 92 an open set and let $A \subseteq \mathbb{R}^n$ be a set that satisfies $S \subseteq A \subseteq \overline{S}$ where \overline{S} denotes 93 the closure of S. By $C^0(A; \Omega)$, we denote the class of continuous functions on A, 94 which take values in $\Omega \subseteq \mathbb{R}$. By $C^k(A; \Omega)$, where $k \geq 1$ is an integer, we denote the 95 class of functions on A, which takes values in Ω and has continuous derivatives of 96 order k. In other words, the functions of class $C^k(A;\Omega)$ are the functions which have 97 continuous derivatives of order k in S = int(A) that can be continued continuously 98 to all points in $\partial S \cap A$. $L^2(0,1)$ denotes the equivalence class of Lebesgue measurable 99 functions $f, g: [0,1] \to \mathbb{R}$ for which $||f|| = \left(\int_0^1 |f(x)|^2 dx\right)^{1/2} < \infty$ and with inner 100 product $\langle f,g \rangle = \int_0^1 f(x)g(x)dx$. $L^{\infty}(0,1)$ denotes the equivalence class of Lebesgue measurable functions $f:[0,1] \to \mathbb{R}$ for which $||f||_{\infty} = \operatorname{ess\,sup}_{x \in (0,1)}(|f(x)|) < +\infty$. 101 102 Let $u: \mathbb{R}_+ \times [0,1] \to \mathbb{R}$ be given. u[t] denotes the profile of u at certain $t \ge 0$, i.e. 103 (u[t])(x) = u(t,x), for all $x \in [0,1]$. For an interval $I \subseteq \mathbb{R}_+$, the space $C^0(I; L^2(0,1))$ 104is the space of continuous mappings $I \ni t \mapsto u[t] \in L^2(0,1)$. $H^2(0,1)$ denotes the 105 Sobolev space of functions $f \in L^2(0,1)$ with square integrable (weak) first and second-106 order derivatives $f'(\cdot), f''(\cdot) \in L^2(0, 1)$. 107

108 **2. Problem description and control design.** Consider the following scalar 109 reaction-diffusion system with time- and space- varying reaction coefficient:

110 (2.1)
$$u_t(t,x) = \varepsilon u_{xx}(t,x) + \lambda(t,x)u(t,x),$$

111 (2.2)
$$u_x(t,0) = qu(t,0),$$

112 (2.3)
$$u(t,1) = U(t), \text{ or } u_x(t,1) = U(t)$$

113 and initial condition:

114 (2.4)
$$u(0,x) = u_0(x),$$

115 where $\varepsilon > 0$, $q \in (-\infty, +\infty]$ (the case $q = +\infty$ is interpreted as the Dirichlet case 116 (see [36])) and $\lambda \in \mathcal{C}^0(\mathbb{R}_+ \times [0, 1])$ with $\lambda[t] \in \mathcal{C}^1([0, 1])$. $u : [0, \infty) \times [0, 1] \to \mathbb{R}$ is the 117 system state and $U(t) \in \mathbb{R}$ is the control input. The initial condition $u_0(x)$ in (2.4) 118 is assumed to belong to $L^2(0, 1)$. We assume that $\lambda \in \mathcal{C}^0(\mathbb{R}_+ \times [0, 1])$ is bounded, i.e. 119 there exists a constant $\overline{\lambda} > 0$ such that

120 (2.5)
$$|\lambda(t,x)| \le \overline{\lambda}, \quad \forall t \ge 0, \quad x \in [0,1].$$

121 Moreover, we assume the following:

122 ASSUMPTION 2.1. There exists a constant $\varphi > 0$ such that the following inequality 123 holds:

124 (2.6)
$$|\lambda(t,x) - \lambda(s,x)| \le \varphi |t-s|, \quad \forall x \in [0,1], \quad t,s \ge 0.$$

Assumption 2.1 means that the reaction coefficient is Lipschitz with respect to time 125with Lipschitz constant φ . The constant φ is a quantity that depends on the rate 126127of change of the reaction coefficient: a high rate of change of the reaction coefficient implies a large value for φ . All subsequent results are also valid (with some modifi-128129cations) if Assumption 2.1 is replaced by the less demanding assumption of Hölder continuity instead of Lipschitz continuity, i.e., if we replace the right hand side of (2.6)130 by $\varphi|t-s|^a$, where $a \in (0,1)$ is a constant. However, for simplicity we will restrict 131 the presentation of the results to the Lipschitz case. 132133

134In what follows, we do not consider system (2.1)-(2.3) as a time-varying system 135but we consider system (2.1)-(2.3) as a time-invariant system with two inputs: the control input U(t) and the distributed disturbance input $\lambda[t]$ (see [38, Chapter 2]). 136 Furthermore, it should be noticed that the disturbance input appears in a multiplica-137tive way and system (2.1)-(2.3) is bilinear. Multiplicative control inputs in parabolic 138 PDEs have been studied in [20] and multiplicative disturbance inputs in abstract 139 infinite-dimensional systems have been studied in [28]. The fact of considering (2.1)-140 (2.3) as a time-invariant system is very important for theoretical reasons: we can 141always assume that the initial time is zero. Therefore, the proposed event-triggered 142 control scheme may be seen as a feedforward control scheme that compensates the 143 effect of the distributed disturbance input $\lambda[t]$. Feedforward control for infinite di-144 145mensional systems has been studied in [1].

2.1. Backstepping control design. Our aim is the global exponential stabi-146 lization of the system (2.1)-(2.3) at zero using boundary control. To that end, we 147follow the backstepping approach which makes uses of an invertible Volterra trans-148 formation to map the system into a target system simpler to handle and with desired 149 stability properties. Since the reaction coefficient is both time and space varying, 150151typically, the kernels of the transformation have to be chosen to depend on time. This brings an additional source of complexity since the resulting kernel PDE equa-152tion contains a time-derivative of the kernel and involves the time and space varying 153coefficient. Overall, the problem is much harder to solve but has been the object of 154extensive investigation since the seminal work [3]. Numerical strategies such as the 155156method of successive approximation have been widely employed (see e.g. [27] and 157[43]).

Our approach takes a different direction. We avoid solving a kernel-PDE hyper-158bolic spatial operator and first order derivative with respect to time capturing the 159160 reaction coefficient (dependent on both time and space). We use simpler kernels for the control under which we are still able to stabilize exponentially the closed-loop 161 system. This brings some degree of robustness to the controller. Inspired by event-162163 triggered control strategies (in both finite and infinite-dimensional settings), the key idea of our approach is to schedule the kernel gain at a certain increasing sequence of 164times. More precisely the computation and updating of the kernel are on events and 165 only when needed. The time instants are determined by event-triggered mechanisms 166 that form an increasing sequence $\{t_j\}_{j\in\mathbb{N}}$ with $t_0 = 0$ which will be characterized later 167168on.

169 Let $\{t_j\}_{j\in\mathbb{N}}$ be an increasing sequence of times with $t_0 = 0$ and define:

170 (2.7)
$$b_j(x) := \lambda(t_j, x), \text{ for } x \in [0, 1].$$

which is the sampled version of the reaction coefficient $\lambda(t, x)$. We define also the error when sampling:

173 (2.8)
$$e_j(t,x) := \lambda(t,x) - b_j(x), \text{ for } t \in [t_j, t_{j+1}), x \in [0,1].$$

174 Therefore, we can rewrite (2.1)-(2.3), for $t \in [t_j, t_{j+1})$ as follows:

- 175 (2.9) $u_t(t,x) = \varepsilon u_{xx}(t,x) + b_j(x)u(t,x) + e_j(t,x)u(t,x),$
- 176 (2.10) $u_x(t,0) = qu(t,0),$
- 177 (2.11) u(t,1) = U(t), or $u_x(t,1) = U(t)$.

- The backstepping boundary control design is performed by transforming (2.9)-(2.11)178
- into a target system which will reflect of the error when sampling $e_i(t, x)$ (2.8). There-179180 fore, consider the following invertible Volterra transformation, for $j \ge 0$,

181 (2.12)
$$w_j(t,x) = u(t,x) - \int_0^x K_j(x,y)u(t,y)dy := (\mathcal{K}_j u[t])(x)$$

whose inverse 1 is given by 182

183 (2.13)
$$u(t,x) = w_j(t,x) + \int_0^x L_j(x,y)w(t,y)dy := (\mathcal{L}_j w_j[t])(x)$$

with kernels K_j , $L_j \in C^2(\mathcal{T})$ evolving in a triangular domain given by $\mathcal{T} = \{(x, y) : 0 \le y \le x \le 1\}$ and satisfying [36, Theorem 2]: 184185

186 (2.14)
$$K_{j,xx}(x,y) - K_{j,yy}(x,y) = \frac{(b_j(y) + c)}{\varepsilon} K_j(x,y)$$
187

188
$$(2.15)$$

$$K_{jy}(x,0) = qK_j(x,0),$$

189

190 (2.16)
$$K_j(x,x) = -\frac{1}{2\varepsilon} \int_0^x (b_j(s) + c) ds,$$

191

192 (2.17)
$$L_{j,xx}(x,y) - L_{j,yy}(x,y) = -\frac{(b_j(x) + c)}{\varepsilon} L_j(x,y),$$

193

194 (2.18)
$$L_{jy}(x,0) = qL_j(x,0),$$

196 (2.19)
$$L_j(x,x) = -\frac{1}{2\varepsilon} \int_0^x (b_j(s) + c) ds$$

Under (2.12), (2.14)-(2.16) and selecting the control U(t) to satisfy 197

198 (2.20)
$$U(t) = \int_0^1 K_j(1, y) u(t, y) dy, \quad t \in (t_j, t_{j+1})$$

for Dirichlet actuation or by 199

200 (2.21)
$$U(t) = K_j(1,1)u(t,1) + \int_0^1 K_{j,x}(1,y)u(t,y)dy, \quad t \in (t_j, t_{j+1})$$

- for Neumann actuation, the transformed system, for all $j \ge 0, t \in (t_j, t_{j+1})$, is as 201 follows: 202
- $$\begin{split} & w_{j,t}(t,x) = \varepsilon w_{j,xx}(t,x) c w_j(t,x) + (\mathcal{K}_j f_j[t])(x), \\ & w_{jx}(t,0) = q w_j(t,0), \\ & w_j(t,1) = 0, \quad \text{or} \quad w_{jx}(t,1) = 0, \end{split}$$
 203 (2.22)

204 (2.23)
$$w_{jx}(t,0) = qw_j(t,0)$$

(2.24)205

¹see [34, Chapter 4] for details on the invertibility of the Volterra transformation.

where 206

207 (2.25)
$$f_i(t,x) := e_i(t,x)u(t,x)$$

and c is a design parameter which is chosen as $c \ge \varepsilon \bar{q}^2$ (for Dirichlet actuation) or 208 $c \ge \varepsilon \bar{q}^2 + \varepsilon/2$ (for Neumann actuation) where $\bar{q} = \max\{0, -q\}$ (see [36]). 209

Moreover, the following estimate holds, for all $j \ge 0$ 210

211 (2.26)
$$\max\{|K_j(x,y)|, |L_j(x,y)|\} \le M \exp(2Mx), \text{ for } (x,y) \in \mathcal{T},$$

212

where $M := \frac{\bar{\lambda} + c}{\varepsilon}$ (see [36]). Definitions (2.12),(2.13) imply the following estimates, for all $j \ge 0$ 213

214 (2.27)
$$\|(\mathcal{K}_j u[t])\| \le K_j \|u[t]\|$$

215

216 (2.28)
$$\|(\mathcal{L}_j w_j[t])\| \le \tilde{L}_j \|w_j[t]\|,$$

where \tilde{K}_j and \tilde{L}_j are defined, respectively by $\tilde{K}_j := 1 + \left(\int_0^1 \left(\int_0^x |K_j(x,y)|^2 dy\right) dx\right)^{1/2}$ 217and $\tilde{L}_j := 1 + \left(\int_0^1 \left(\int_0^x |L_j(x,y)|^2 dy \right) dx \right)^{1/2}.$ 218

In addition, inequality (2.26) implies the existence of a constant G > 0 such that: 219

220 (2.29)
$$\max\left\{\tilde{K}_j, \tilde{L}_j\right\} \le G, \quad \text{for} \quad j \ge 0,$$

where 221

222 (2.30)
$$G := 1 + \sqrt{\frac{\bar{\lambda} + c}{4\varepsilon}} \left(\exp\left(\frac{4(\bar{\lambda} + c)}{\varepsilon}\right) - 1 \right)$$

which is independent of j. 223

2.2. Well-posedness analysis. In order to study well-posedness issues for 224(2.22)-(2.24) and in turn for (2.9)-(2.11) (by virtue of the bounded invertibility the 225226 backstepping transformations (2.12)-(2.13)) under any event-triggered gain scheduling implementation, we need first a more general result (see Theorem 2.2 below) which 227 228 establishes the notion of solution for the following reaction-diffusion system:

229 (2.31)
$$w_t(t,x) = \varepsilon w_{xx}(t,x) - cw(t,x) + (\mathcal{F}(t)w[t])(x), \quad x \in (0,1), \\ a_0w(t,0) + b_0w_x(t,0) = a_1w(t,1) + b_1w_x(t,1) = 0,$$

where $\varepsilon > 0, c, a_0, b_0, a_1, b_1$ are constants with $a_0^2 + b_0^2 > 0, a_1^2 + b_1^2 > 0$ and for each $t \ge 0$ the operator $\mathcal{F}(t) : L^2(0, 1) \to L^2(0, 1)$ is a linear bounded operator for which 230 231 there exist constants $\Omega_1, \Omega_2 > 0$ such that 232

233 (2.32)
$$\|\mathcal{F}[t]\| \le \Omega_1, \text{ for all } t \ge 0,$$

235 (2.33)
$$\|\mathcal{F}(t) - \mathcal{F}(s)\| \le \Omega_2 |t - s|, \text{ for all } t, s \ge 0.$$

236

 $\mathbf{6}$

THEOREM 2.2. For every initial condition $w_0 \in L^2(0,1)$ and T > 0, the initialboundary value problem (2.31) with

239 (2.34) $w[0] = w_0,$

240 has a unique solution $w \in C^0([0,T]; L^2(0,1)) \cap C^1((0,T); L^2(0,1))$ with $w[t] \in D$ for 241 all $t \in (0,T)$, where

242
$$D := \left\{ f \in H^2(0,1) : a_0 f(0) + b_0 f'(0) = a_1 f(1) + b_1 f'(1) = 0 \right\},$$

243 that satisfies (2.34) and (2.31) for all $t \in (0, T)$.

244 *Proof.* See Appendix A.

We are in position to specialize the well-posedness result to the system (2.22)-(2.24) so as we can construct the solution by the step method. To do so, let us take in (2.34) $a_0 = q$, $b_0 = -1$ for $q < +\infty$; $a_0 = 1$, $b_0 = 0$ for $q = +\infty$, $a_1 = 1$, $b_1 = 0$ for Dirichlet actuation and $a_1 = 0$, $b_1 = 1$ for Neumann actuation. In addition, it suffices to observe that the operator $(\mathcal{K}_j f_j[t])(x)$ in (2.22) has the form of $(\mathcal{F}(t)w_j[t])(x)$, i.e,

250 (2.35)
$$(\mathcal{F}(t)w_j[t])(x) = (\mathcal{K}_j(e_j[t]\mathcal{L}_jw_j[t]))(x), \quad t \in [t_j, t_{j+1})$$

which is indeed the case by virtue of (2.8), (2.12), (2.13) and (2.25). Moreover, due to (2.6) in Assumption 2.1, the operator $\mathcal{F}(t)$ satisfies (2.32)-(2.33). Extending continuously the operator $\mathcal{F}(t)$ defined by (2.35) for $t \geq t_{j+1}$ and using Theorem 2.2 we obtain the following proposition:

PROPOSITION 2.3. For every initial data $w_j[t_j] = (\mathcal{K}_j u[t_j])(x) \in L^2(0,1)$, there exists a unique function $w_j \in C^0([t_j, t_{j+1}]; L^2(0,1)) \cap C^1((t_j, t_{j+1}); L^2(0,1))$ with $w_j[t] \in D$ for $t \in (t_j, t_{j+1}]$ that satisfies (2.22)-(2.24) for all $t \in (t_j, t_{j+1})$ where $D \subset H^2([0,1])$ is the set of functions $f : [0,1] \to \mathbb{R}$ for which one has f'(0) = qf(0)and f(1) = 0 for the case of Dirichlet actuation or f'(1) = 0 for the case of Neumann actuation.

Consequently, by the bounded invertibility of the backstepping transformation, for every $u_0 \in L^2(0,1)$, we can construct a solution $u \in C^0\left([0,\lim_{j\to\infty}(t_j)); L^2(0,1)\right)$ with $u[t] \in H^2(0,1)$ for $t \in (0,\lim_{j\to\infty}(t_j))$ and $u \in C^1(\tilde{I}; L^2(0,1))$ where $\tilde{I} =$ $[0,\lim_{j\to\infty}(t_j)) \setminus \{t_j: j=0,1,2,\ldots\}$ which also satisfies (2.9)-(2.11) for all $t \in \tilde{I}$.

265 **2.3. Event-triggered gain scheduling.** Let us consider the following Sturm-266 Liouville operator $B: D \to L^2(0, 1)$ defined by

267 (2.36)
$$(Bh)(x) = -\varepsilon \frac{d^2h}{dx^2}(x),$$

for all $h \in D$ and $x \in (0,1)$ where $D \subset H^2([0,1])$ is the set of functions $h:[0,1] \to \mathbb{R}$ for which one has h'(0) = qh(0) and h(1) = 0 for the case of Dirichlet actuation or h'(1) = 0 for the case of Neumann actuation.

271 We denote $\mu_1 < \mu_2 < ... < \mu_n < ...$ with $\lim_{n\to\infty}(\mu_n) = +\infty$ and $\phi_n(x) \in \mathcal{C}^2([0,1],\mathbb{R}), (n = 1, 2...)$ the eigenvalues and the eigenfunctions, respectively, of the 273 operator B.

2.3.1. Event-triggered gain scheduling with a static triggering condition. We introduce the first event-triggering strategy (or mechanism) for gain scheduling considered in this paper. The triggering condition is a state-dependent law and determines the time instants at which the reaction coefficient has to be sampled and thereby when the kernel computation/updating has to be done.

279 DEFINITION 2.4 (Definition of the static event-triggered mechanism for gain sched-

280 uling). Let K_j be the kernel satisfying (2.14)-(2.16) and let $f_j(t, x)$ be given by (2.25),

281 $j \ge 0$. Let μ_1 be the principal eigenvalue of the Sturm-Liouville operator B (2.36).

282 Let $R \in (0,1)$ be a design parameter and define

283 (2.37)
$$\mu := c + \varepsilon \mu_1.$$

284 The static event-triggered gain scheduler is defined as follows:

The times of events $t_j \ge 0$ with $t_0 = 0$ form a finite or countable set of times which is determined by the following rules for some $j \ge 0$:

287 288

289 290 a) if $\{t > t_j : \langle (\mathcal{K}_j u[t]), (\mathcal{K}_j f_j[t]) \rangle > \mu R \| (\mathcal{K}_j u[t]) \|^2 \} = \emptyset$ then the set of the times of the events is $\{t_0, ..., t_j\}$.

291 b) if $\{t > t_j : \left\langle (\mathcal{K}_j u[t]), (\mathcal{K}_j f_j[t]) \right\rangle > \mu R \| (\mathcal{K}_j u[t]) \|^2 \} \neq \emptyset$, then the next event 292 time is given by:

293 (2.38)
$$t_{j+1} := \inf\{t > t_j : \left\langle (\mathcal{K}_j u[t]), (\mathcal{K}_j f_j[t]) \right\rangle > \mu R \| (\mathcal{K}_j u[t]) \|^2 \},$$

294

where
$$u[t]$$
 denotes the solution of (2.1)-(2.3) with (2.20) or (2.21) for $t \ge t_j$.

2.3.2. Event-triggered gain scheduling with a dynamic triggering condition. Inspired by [11] and [6], we introduce the second event-triggering mechanism for gain scheduling in this paper. It involves a dynamic variable which can be viewed as a filtered value of the static triggering condition in (2.38). With this strategy we expect to reduce updating times for the kernel scheduling and obtain larger interexecution times.

301 DEFINITION 2.5 (Definition of the dynamic event-triggered mechanism for gain 302 scheduling). Let K_j be the kernel satisfying (2.14)-(2.16), $f_j(t, x)$ be given by (2.25), 303 $j \ge 0$ and μ be given by (2.37). Let $R \in (0, 1)$, $\eta \ge 2\mu(1 - R)$ and $\theta > 0$ be design 304 parameters.

305 The dynamic event-triggered gain scheduler is defined as follows:

The times of events $t_j \ge 0$ with $t_0 = 0$ form a finite or countable set of times which is determined by the following rules for some $j \ge 0$:

a) if $\{t > t_j : \left\langle (\mathcal{K}_j u[t]), (\mathcal{K}_j f_j[t]) \right\rangle - \mu R \| (\mathcal{K}_j u[t]) \|^2 > \frac{1}{\theta} m(t) \} = \emptyset$ then the set of the times of the events is $\{t_0, ..., t_j\}$.

b) if
$$\{t > t_j : \left\langle (\mathcal{K}_j u[t]), (\mathcal{K}_j f_j[t]) \right\rangle - \mu R \| (\mathcal{K}_j u[t]) \|^2 > \frac{1}{\theta} m(t) \} \neq \emptyset$$
, then the next event time is given by:

314 (2.39)
$$t_{j+1} := \inf\{t > t_j : \left\langle (\mathcal{K}_j u[t]), (\mathcal{K}_j f_j[t]) \right\rangle - \mu R \| (\mathcal{K}_j u[t]) \|^2 > \frac{1}{\theta} m(t) \},$$

where u[t] denotes the solution of (2.1)-(2.3) with (2.20) or (2.21) for $t > t_j$ and m satisfies the ordinary differential equation

(2.40)

$$\dot{m}(t) = -\eta m(t) + \left(\mu R \| (\mathcal{K}_j u[t]) \|^2 - \left\langle (\mathcal{K}_j u[t]), (\mathcal{K}_j f_j[t]) \right\rangle \right), \quad for \quad t \ge t_j,$$

318 *and we set* $m(t_j) = 0$.

Remark 2.6. Let us remark that the static event-triggered strategy has only one design parameter (i.e. $R \in (0,1)$) whereas the dynamic event-triggered strategy

has three additional design parameters, namely, R (as in the static case), η and θ .

Essentially, η adjusts the convergence rate of the filter (2.40) that can be characterized

as $\eta \ge 2\mu(1-R)$. The parameter θ , on the other hand, can be selected to contribute to sample less frequent than with the static event-triggered strategy. As a matter of

fact, one can see the static event-triggering condition (2.38) as the limiting case of

the dynamic event-triggering condition (2.39)-(2.40) when θ goes to $+\infty$.

The following result guarantees that the dynamic variable m(t) remains always positive between two successive triggering times. This fact is going to be helpful in the stability analysis of the closed-loop system.

LEMMA 2.7. Under the definition of the event-triggered gain scheduling with dynamic trigger condition (2.39)-(2.40), it holds, for $t \in [t_j, t_{j+1}), j \ge 0$, that $\frac{1}{\theta}m(t) + D^{1/4}(t_j - t_j)^{1/2} = \sqrt{(t_j - t_j)^{1/2}}$

332
$$\mu R \| (\mathcal{K}_j u[t]) \|^2 - \left\langle (\mathcal{K}_j u[t]), (\mathcal{K}_j f_j[t]) \right\rangle \ge 0 \text{ and } m(t) \ge 0$$

Proof. From definition of the the event-triggered gain scheduling with dynamic triggering condition (2.39)-(2.40), events are triggered to guarantee, for $t \in [t_j, t_{j+1})$, $j \ge 0$ that $\frac{1}{\theta}m(t) + \mu R ||(\mathcal{K}_j u[t])||^2 - \langle (\mathcal{K}_j u[t]), (\mathcal{K}_j f_j[t]) \rangle \ge 0$. This inequality in conjunction with (2.40) yields:

337 (2.41)
$$\dot{m}(t) \ge -(\eta + \frac{1}{\theta})m(t)$$

for which the Comparison principle can be used to guarantee $m(t) \ge 0$, for all $t \in [t_j, t_{j+1}), j \ge 0$ and provided that $m(t_j) \ge 0$.

Lemma 2.7 guarantees that $\frac{1}{\theta}m(t_{j+1}) + \mu R \| (\mathcal{K}_j u[t_{j+1}]) \|^2 - \langle (\mathcal{K}_j u[t_{j+1}]), (\mathcal{K}_j f_j[t_{j+1}]) \rangle$ ≥ 0 and that $m(t_{j+1}) \geq 0$ when $t_{j+1} < +\infty$ (by continuity).

PROPOSITION 2.8. If the time of the next event generated by (2.38) is finite, then the time of the next event generated by the dynamic event triggered mechanism (2.39)-(2.40) is strictly larger than the time of the next event generated by the static event triggered mechanism (2.38).

Proof. Without loss of generality we may assume that j = 0 (and consequently $t_0 = 0$). Notice that if u[0] = 0 then both the static and dynamic event triggering conditions give $t_1 = +\infty$. By assumption, the time of the next event generated by the static strategy is finite; therefore it holds that u[0] is not zero. Consequently, $\mathcal{K}_0 u[0]$ is not zero.

Let t_1 be the time of the next event generated by the static event triggered mechanism and let T be the time of the next event generated by the dynamic one. We show next that $T > t_1$ by contradiction. Assume that $T \le t_1$. Define

354 (2.42)
$$q(t) := \mu R \| (\mathcal{K}_0 u[t]) \|^2 - \left\langle (\mathcal{K}_0 u[t]), (\mathcal{K}_0 f_0[t]) \right\rangle.$$

Then we have by virtue of (2.38), (2.42) $q(t) \ge 0$ for all $t \in [0, t_1]$ and by virtue of (2.39), (2.42) $q(T) = -\frac{1}{\theta}m(T)$, implying that $m(T) \le 0$. Since m(0) = 0 and $\dot{m}(t) = -\eta m(t) + q(t)$ for all $t \in [0, T]$, we have

358 (2.43)
$$m(t) = \int_0^t \exp(-\eta(t-s))q(s)ds, \text{ for all } t \in [0,T].$$

Since $q(t) \ge 0$ for all $t \in [0, T]$ we get $m(T) \ge 0$ and thus we conclude that m(T) = 0. By continuity of q(t) (which follows by virtue of Proposition 2.3, the continuity of all mappings involved with respect to time, and since the scalar product and the norm preserve continuity) and the fact that $q(t) \ge 0$ for all $t \in [0, T]$, the integral $\int_0^T \exp(-\eta(T-s))q(s)ds$ is zero only if q(t) is identically zero on [0, T]. However, that is not possible since $f_0[0] = 0$ (recall (2.7),(2.8) and (2.25)) and since q(0) = $\mu R \| \mathcal{K}_0 u[0] \|^2 - \langle \mathcal{K}_0 u[0], \mathcal{K}_0 f_0[0] \rangle = \mu R \| \mathcal{K}_0 u[0] \|^2 > 0$. Thus, it must hold that T > t_1 .

3. Analysis of the closed-loop system and main results. In this section we present our main results: the avoidance for the Zeno behavior ², the well-posedness and the exponential stability of the closed-loop system under boundary controller whose gains are scheduled according to the two event-triggered strategies.

371 **3.1.** Avoidance of the Zeno phenomenon.

372 **3.1.1. Event-triggered gain scheduling with a static triggering condi-**373 **tion.**

PROPOSITION 3.1. Under (2.38), there exists a minimal dwell-time between two triggering times, i.e. there exists a constant $\tau > 0$ (independent of the initial condition u_0) such that $t_{j+1} - t_j \ge \tau$, for all $j \ge 0$. More specifically, τ satisfies:

377 (3.1)
$$\tau = \frac{1}{\varphi} \frac{\mu R}{G^2},$$

with $\mu = c + \varepsilon \mu_1$ (recall (2.37) with μ_1 being the principal eigenvalue of the Sturm-Liouville operator B (2.36)), $R \in (0,1)$ being the design parameter involved in the event-triggering condition (2.38), φ as in Assumption 2.1 and G given by (2.30).

Proof. Assume that an event occurs at $t = t_{j+1}$, Then, from (2.38) and using (2.12), continuity of all mappings involved with respect to time and the Cauchy-Schwarz inequality, the following more conservative estimate holds:

384 (3.2) $||w_j[t_{j+1}])||||(\mathcal{K}_j f_j[t_{j+1}])|| \ge \langle w_j[t_{j+1}], (\mathcal{K}_j f_j[t_{j+1}])\rangle \ge \mu R ||w_j[t_{j+1}]||^2.$

385 Using (2.13), (2.25), (2.27)-(2.30) we get from (3.2):

386 (3.3)
$$G^2 \|w_j[t_{j+1}]\|^2 \|e_j[t_{j+1}]\|_{\infty} \ge \mu R \|w_j[t_{j+1}]\|^2.$$

387 Therefore,

388 (3.4)
$$G^2 \|e_j[t_{j+1}]\|_{\infty} \ge \mu R.$$

By virtue of Assumption 2.1 in conjunction with (2.7) and (2.8), we obtain for all $j \ge 0$

391 (3.5)
$$G^2 \varphi(t_{j+1} - t_j) \ge \mu R,$$

- 392 from which we can deduce (using definition (3.1))
- 393 (3.6) $t_{j+1} t_j \ge \tau,$
- being τ the minimal dwell-time (independent on initial conditions).

 $^{^{2}}$ We recall, the Zeno phenomenon means infinite triggering times in a finite-time interval. In practice, Zeno phenomenon would represent infeasible implementation into digital platforms since one would require to sample infinitely fast.

Proposition 3.1 allows us to conclude that $\lim(t_j) = +\infty$ and thereby we can apply Proposition 2.3 to get the following result on the existence of solutions of the closed-loop system (2.1)-(2.4) with control (2.20), under the static event-triggered gain scheduler (2.38).

COROLLARY 3.2. For every initial condition $u_0 \in L^2(0,1)$, there exists a unique mapping $u \in C^0(\mathbb{R}_+; L^2(0,1)) \cap C^1(I; L^2(0,1))$, $u[t] \in H^2(0,1)$ for t > 0 that satisfies (2.1)-(2.4) with control (2.20) or (2.21), under the static event-triggered gain scheduler (2.38) for all $t \in I$ and $I = \mathbb{R}_+ \setminus \{t_j \ge 0, j = 0, 1, 2, ...\}$.

403 Proof. It is an immediate consequence of Proposition 2.3 and Proposition 3.1.
404 Indeed, the solution is constructed (by the step method) iteratively between successive
405 triggering times.

406 Remark 3.3. The minimal dwell-time depends on the rate of change of the reac-407 tion coefficient. A higher rate of change of the reaction coefficient (i.e., large φ) would 408 give a smaller minimal dwell-time (i.e., more frequent event triggering). This is ex-409 pected since a high rate of change of the reaction coefficient requires a more frequent 410 update of the control law.

3.1.2. Event-triggered gain scheduling with a dynamic triggering condition. By virtue of Proposition 2.8, the inter-execution time for the dynamic event triggered mechanism (2.39)-(2.40) always exceeds the inter-execution time for the static event triggered mechanism (2.38). Due to Proposition 3.1, the Zeno phenomenon is immediately excluded.

Therefore, as in the static case, we can also conclude that $\lim(t_j) = +\infty$ and thereby we can apply Proposition 2.3 to get the following result on the existence of solutions of the closed-loop system (2.1)-(2.4) with control (2.20) or (2.21), under the dynamic event-triggered gain scheduler (2.39)-(2.40).

420 COROLLARY 3.4. For every initial condition $u_0 \in L^2(0,1)$, there exists a unique 421 mapping $u \in C^0(\mathbb{R}_+; L^2(0,1)) \cap C^1(I; L^2(0,1))$, $u[t] \in H^2(0,1)$ for t > 0 that satis-422 fies (2.1)-(2.4) with control (2.20) or (2.21), under the dynamic event-triggered gain 423 scheduler (2.39)-(2.40) for all $t \in I$ and $I = \mathbb{R}_+ \setminus \{t_j \ge 0, j = 0, 1, 2, ...\}$.

3.2. Exponential stability analysis. We present next the stability results under our two event-triggered gain scheduling strategies.

426 **3.2.1. Event-triggered gain scheduling with a static triggering condi** 427 **tion.**

428 THEOREM 3.5. Under Assumption 2.1, if the following condition is fulfilled,

429 (3.7)
$$\varphi < \frac{\mu^2 R(1-R)}{G^2 \ln{(G)}},$$

430 where φ , G, μ , R are defined, respectively, in (2.6), (2.30), (2.37), (2.38); then, the 431 closed-loop system (2.1)-(2.4) with control (2.20) or (2.21), under the static event-432 triggered gain scheduler (2.38), is globally exponentially stable. More specifically, there 433 exists a constant $\sigma > 0$ such that

434 (3.8)
$$||u[t]|| \le G \exp(-\sigma t) ||u[0]||, \text{ for all } t \ge 0.$$

435 Proof. By using the variational characterization of eigenvalues (see [39, Section 436 11.4]) in conjunction with (2.22)-(2.24),(2.25), the following estimate holds for all 437 $t \in (t_j, t_{j+1})$:

438 (3.9)
$$\frac{d}{dt} \left(\frac{1}{2} \| w_j[t] \|^2 \right) \le -\mu \| w_j[t] \|^2 + \langle w_j[t], (\mathcal{K}_j f_j[t]) \rangle,$$

439 where $\mu = c + \varepsilon \mu_1$ (recall (2.37) μ_1 being the principal eigenvalue of the Sturm-440 Liouville operator *B* (2.36)). We can rewrite (3.9) as follows:

441 (3.10)
$$\frac{d}{dt} \left(\frac{1}{2} \| w_j[t] \|^2 \right) \le -\mu (1-R) \| w_j[t] \|^2 - \mu R \| w_j[t] \|^2 + \left\langle w_j[t], (\mathcal{K}_j f_j[t]) \right\rangle,$$

442 where $R \in (0, 1)$ is the parameter involved in (2.38).

Therefore, from the definition of the static event-triggered gain scheduler, events are triggered to guarantee, $\langle w_j[t], (\mathcal{K}_j f_j[t]) \rangle \leq \mu R ||w_j[t]||^2$, for all $t \in (t_j, t_{j+1})$. Then, we obtain for all $t \in [t_j, t_{j+1})$.

445 Then, we obtain for an
$$t \in [l_j, l_{j+1})$$
:

446 (3.11)
$$||w_j[t]||^2 \le \exp\left(-2\mu(1-R)(t-t_j)\right) ||w_j[t_j]||^2$$

447 Using (2.12), (2.13), (2.27)-(2.30) and (3.11), we get:

448 (3.12)
$$||u[t]||^2 \le G^2 \exp(-2\mu(1-R)(t-t_j))||u[t_j]||^2,$$

for all $t \in [t_j, t_{j+1})$. Since $u \in \mathcal{C}^0(\mathbb{R}_+; L^2(0, 1))$, it follows that (3.12) holds for $t = t_{j+1}$ as well, i.e.

451 (3.13)
$$\|u[t_{j+1}]\|^2 \le G^2 \exp(-2\mu(1-R)(t_{j+1}-t_j)) \|u[t_j]\|^2$$

Now, for all $t \ge 0$, an estimate of ||u[t]|| in terms of ||u[0]|| can be derived recursively, by using (3.6) and the fact that there have been j events and that $j\tau$ units of time have (at least) been passed until t. To that end, we can apply induction on j and prove that, for all $j \ge 0$,

456 (3.14)
$$||u[t_j]||^2 \le (G^{2j}) \exp(-2\mu(1-R)t_j)||u[0]||^2,$$

457 and that $t_j \ge j\tau$. Let $j \ge 0$ be given (arbitrary) and $t \in [t_j, t_{j+1})$ (arbitrary). We 458 obtain from (3.12),(3.13) and (3.14):

459 (3.15)
$$||u[t]||^2 \le (G^2)^{j+1} \exp(-2\mu(1-R)t) ||u[0]||^2$$

460 Moreover, since $j \leq \frac{t}{\tau}$, it holds:

461 (3.16)
$$||u[t]||^2 \le G^2 \exp\left(-\left(2\mu(1-R) - \frac{2\ln(G)}{\tau}\right)t\right) ||u[0]||^2.$$

462 In light of condition (3.7) in conjunction with (3.6) where $\tau = \frac{1}{\varphi} \frac{\mu R}{G^2}$, we finally obtain:

463 (3.17)
$$||u[t]|| \le G \exp(-\sigma t) ||u[0]||, \text{ for all } t \ge 0,$$

464 with
$$\sigma = \frac{\mu^2 R(1-R) - \varphi G^2 \ln(G)}{\mu R} > 0$$
. This concludes the proof.

Remark 3.6. Notice that $\mu^2 R(1-R) - \varphi G^2 \ln(G) > 0$ holds true provided that φ 465is sufficiently small (this corresponds to the case where $\lambda(t, x)$ is slowly time-varying 466 coefficient). In addition, it is worth remarking that we can select $R = \frac{1}{2}$ in order to 467468 maximize the allowable upper bound φ . Nevertheless, different values of R may be used in practice since the obtained estimates are conservative. The proof of Theorem 4692 provides a (conservative) explicit estimate of the convergence rate $\sigma > 0$. The 470obtained estimate shows that the smaller φ is (i.e., the slower the change of the 471reaction coefficient), the higher the convergence rate is. 472

473 **3.2.2.** Event-triggered gain scheduling with a dynamic triggering con-474 dition.

475 THEOREM 3.7. If condition (3.7) of Theorem 3.5 holds, then, the closed-loop sys-476 tem (2.1)-(2.4) with control (2.20) or (2.21), under dynamic event-triggered gain 477 scheduler (2.39)-(2.40) is globally exponentially stable. More specifically, there exists 478 a constant $\sigma > 0$ such that

479 (3.18)
$$||u[t]|| \le G \exp(-\sigma t) ||u[0]||, \text{ for all } t \ge 0.$$

480 Proof. An estimate of the time-derivative of the following function $W(t) := \frac{1}{2} ||w_j[t]||^2 + m(t)$ along the solutions (2.22)-(2.24),(2.25),(2.40) is given by: (3.19)

482
$$\dot{W}(t) \leq -\mu \|w_j[t]\|^2 + \left\langle w_j[t], (\mathcal{K}_j f_j[t]) \right\rangle - \eta m(t) - \left\langle w_j[t], (\mathcal{K}_j f_j[t]) \right\rangle + \mu R \|w_j[t]\|^2$$

483 which can be rewritten as follows:

484 (3.20)
$$\dot{W}(t) \leq -\mu(1-R) \left(\|w_j[t]\|^2 + 2m(t) \right) - m(t)(\eta - 2\mu(1-R)).$$

By Lemma 2.7, we guarantee that $m(t) \ge 0$ and since $\eta \ge 2\mu(1-R)$ (recall Definition 2.5), thus we get:

487 (3.21)
$$\dot{W}(t) \leq -2\mu(1-R)W(t)$$

488 Therefore, we obtain for $t \in [t_j, t_{j+1})$:

489 (3.22)
$$\frac{1}{2} \|w_j[t]\|^2 + m(t) \le \exp(-2\mu(1-R)(t-t_j)) \left(\frac{1}{2} \|w_j[t_j]\|^2 + m(t_j)\right).$$

490 Notice that $\frac{1}{2} ||w_j[t]||^2 \le \frac{1}{2} ||w_j[t]||^2 + m(t)$ and that by Definition 2.5, $m(t_j) = 0$. 491 Therefore, from (3.22) we have, for all $t \in [t_j, t_{j+1})$:

492 (3.23)
$$||w_j[t]||^2 \le \exp(-2\mu(1-R)(t-t_j))||w_j[t_j]||^2.$$

The remaining part of the proof follows the same reasoning as the proof of Theorem 3.5 (see from (3.12)). This concludes the proof.

495 Remark 3.8. The function W(t) is monotonically decreasing (see (3.21)), for all 496 $t \in [t_j, t_{j+1})$. However, the function $\frac{1}{2} ||w_j[t]||^2$ may not be monotonically decreasing 497 on that interval. The design parameter θ involved in the dynamic event-triggering 498 condition (2.39)-(2.40) and also discussed in Remark 2.6, allows to limit the poten-499 tial increase of $\frac{1}{2} ||w_j[t]||^2$. Indeed, since events are triggered to guarantee $\frac{1}{\theta}m(t) +$ 500 $\mu R ||(\mathcal{K}_j u[t])||^2 - \langle (\mathcal{K}_j u[t]), (\mathcal{K}_j f_j[t]) \rangle \geq 0$, it holds that

501
$$\frac{d}{dt}\left(\frac{1}{2}\|w_j[t]\|^2\right) \le -\mu(1-R)\|w_j[t]\|^2 + \frac{1}{\theta}m(t).$$

Notice that the larger the value of θ , the more limited the increase. We approach then to the case as we were dealing with the static event-triggered gain scheduler.

4. Numerical simulations. We illustrate the results by considering (2.1)-(2.4) with $\varepsilon = 1$, $q = +\infty$ and initial condition $u_0(x) = 2(x - x^2)$. For numerical simulations, the state of the system has been discretized by finite differences on a uniform grid with the step h = 0.02 for the space variable. The discretization with respect to



FIG. 1. Profile of the time- and space- varying reaction coefficient $\lambda(t, x) = 10 + \frac{50}{\cosh^2(5(t-1))} + 7\cos(5\pi t) + \frac{50}{\cosh^2(5x)}$ for the reaction-diffusion system (2.1)-(2.4).

- 508 time was done using the implicit Euler scheme with step size $\Delta t = h^2$. We run sim-
- ulations on a frame of 2s. We choose the time- and space- varying coefficient $\lambda(t, x)$
- 510 to have a simple form as $\lambda(t, x) = \lambda_c + \lambda_t(t) + \lambda_x(x)$. More specifically:

511 (4.1)
$$\lambda(t,x) = 10 + \frac{50}{\cosh^2(5(t-1))} + 7\cos(5\pi t) + \frac{50}{\cosh^2(5x)}, \quad t > 0, \quad x \in [0,1],$$

512 which has a profile depicted in Figure 1. We stabilize the closed-loop system (2.1)-

(2.4) under Dirichlet actuation with boundary control (2.20) whose kernel gains satisfy
(2.14)-(2.16) and are scheduled according to the two event-triggered mechanisms we
introduced in Definition 2.4 (static-based triggering condition) and Definition 2.5
(dynamic-based triggering condition).

The parameters of the triggering conditions are set R = 0.15, $\mu = c + \varepsilon \pi^2 = \pi^2$ with c = 0. In addition, $\eta = 16.7$ and $\theta = 0.15$.

From (2.7), $b_j(x) = \lambda(t_j, x) = \tilde{\lambda}_j + \frac{50}{\cosh^2(5x)}$, where $\tilde{\lambda}_j := 10 + \frac{50}{\cosh^2(5(t_j-1))} + 7\cos(5\pi t_j)$ with $\{t_j\}_{j\in\mathbb{N}}$ according to (2.38) (static) or (2.39)-(2.40) (dynamic). In ei-

ther cases, kernels K_j satisfying (2.14)-(2.16), for all $t \in [t_j, t_{j+1})$, admit a closed-form solution which is given as follows [36, Section VIII.E]:

523 (4.2)
$$K_{j}(x,y) = -\tilde{\lambda}_{j}y \frac{I_{1}\left(\sqrt{\tilde{\lambda}_{j}(x^{2}-y^{2})}\right)}{\sqrt{\tilde{\lambda}_{j}(x^{2}-y^{2})}} - 5\tanh(5y)I_{0}\left(\sqrt{\tilde{\lambda}_{j}(x^{2}-y^{2})}\right),$$

where $I_m(\cdot), m \in \mathbb{Z}$ is a modified Bessel function of the first kind of order m. Figure 2 524 shows the event-triggered sampled version of the profile of the time- and space- vary-525ing reaction coefficient (4.1) for all $t \in [t_j, t_{j+1}), j \ge 0$ according to the static event-526 triggered gain scheduler (2.38) (depicted on the left) and the dynamic event-triggered 528 gain scheduler (2.39)-(2.40) (depicted on the right). Hence, the kernel updating is done on events and aperiodically. One of the main features of this approach is that 529530 the kernel of the control does not need to be computed using the method of successive approximations to solve a PDE kernel which involves a time- and space- varying coefficient (see e.g. [15, 19] which do deal with successive approximations method 532 and efficient numerical schemes for the computation of kernels and further consider-533ations for the stabilization of reaction-diffusion PDEs with time- and space- varying 534



FIG. 2. Sampled version of the profile of the time- and space- varying reaction coefficient (4.1), i.e. $\lambda(t_j, x)$ for all $\{t_j\}_{j \in \mathbb{N}}$ according to the static event-triggered gain scheduler (2.38) (depicted on the left) and the dynamic event-triggered gain scheduler (2.39)-(2.40) (depicted on the right).

coefficients). As motivated throughout the paper, it suffices to schedule the kernel in a suitable way and only when needed while using a simpler kernel (in some cases admitting closed-form solution; or in some cases when it is approximated via a simpler successive approximation as one is not taking into account the time-dependence).

Figures 3 and 4 show the time-evolution of the L^2 norm of the closed-loop system (2.1)-(2.4), (4.1) and the time-evolution of the boundary control (2.20), respectively, under static event-triggered gain scheduler (2.38) (red dashed line) and dynamic event-triggered gain scheduler (2.39)-(2.40) (blue line). For both figures, on the right, there are zooms of the two curves to illustrate the difference. It can be observed that under the two strategies, the behavior is similar with same theoretical

guarantees. Finally, we run simulations for 100 different initial conditions given by



FIG. 3. Time-evolution of the L^2 norm of the closed-loop system (2.1)-(2.4), (4.1) with boundary control (2.20) under static event-triggered gain scheduler (2.38) (red dashed line) and dynamic event-triggered gain scheduler (2.39)-(2.40) (blue line). On the right, there is a zoom of the two curves to illustrate the difference.

545

 $u_0(x) = \sqrt{2/n} \sin(\sqrt{n\pi x}) + \sqrt{n}(x - x^2)$, for n = 1, ..., 100 on a frame of 2s. We compare the static event triggered mechanism with respect to the dynamic one while computing the inter-execution times between two triggering times. We compare several cases by tuning different parameters. For all cases, η is selected as $\eta = 2\mu(1-R)$. The mean value of the numbers of events generated under the two strategies is re-



FIG. 4. Time-evolution of the boundary control under static event-triggered gain scheduler (2.38) (red dashed line) and under dynamic event-triggered gain scheduler (2.39)-(2.40) (blue line). On the right, there is a zoom of the two curves to illustrate the difference.

ported in Table 1. The mean value and coefficient of variation (ratio between the 551standard deviation and the mean value) of inter-execution times for both approaches 552553are reported in Tables 2 and 3, respectively. In addition, Figure 5 shows the density of the inter-execution times (axis in logarithmic scale). The red bars correspond to the 554555inter-execution times under the static event triggered mechanism (2.38); whereas the blue bars correspond to the dynamic event triggered mechanism (2.39)-(2.40) result-556ing in larger inter-execution times. Therefore, it can be asserted that, as expected, 557with the dynamic triggering condition one obtains larger inter-execution times and 558 we can reduce the number of events rendering the strategy slightly less conserva-559tive. In general, dynamic event-triggered strategies may offer benefits with respect to 560static strategies as in the framework of even-triggered control (in finite and infinite 561 dimensional settings). 562

TABLE 1 Mean value of the number of events generated under the static event-triggered gain scheduler (2.38) and under dynamic event-triggered gains scheduler (2.39)-(2.40).

	$R = 0.15, \eta = 16.7$	$R = 0.5 \ \eta = 9.86$
Static ET	39.93	18.58
Dynamic ET ($\theta = 100$)	37.08	17.6
Dynamic ET $(\theta = 1)$	29.8	16.02
Dynamic ET ($\theta = 0.015$)	17.01	8.99

TABLE 2 Mean value of inter-execution times for static event-triggered gain scheduler (2.38) and for dynamic event-triggered gains scheduler (2.39)-(2.40).

	$R = 0.15, \eta = 16.7$	$R = 0.5 \ \eta = 9.86$
Static ET	0.0460	0.0738
Dynamic ET ($\theta = 100$)	0.0354	0.0521
Dynamic ET $(\theta = 1)$	0.0374	0.0582
Dynamic ET ($\theta = 0.015$)	0.0546	0.1112



FIG. 5. Density of the inter-execution times (axis in logarithmic scale) computed for 100 different initial conditions given by $u_0(x) = \sqrt{2/n} \sin(\sqrt{n\pi x}) + \sqrt{n}(x-x^2)$, for n = 1, ..., 100 on a frame of 2s. The parameters of the event-triggered strategies are: R = 0.5, $\eta = 9.86$ and $\theta = 0.015$. The red bars correspond to the density of inter-execution times under the static event triggered mechanism (2.38); whereas the blue bars correspond to the dynamic event triggered mechanism (2.39)-(2.40) resulting in larger inter-execution times.

 TABLE 3

 Coefficient of variation of inter-execution times for static event-triggered gain scheduler (2.38)

 and for dynamic event-triggered gains scheduler (2.39)-(2.40).

	$R = 0.15, \eta = 16.7$	$R = 0.5 \ \eta = 9.86$
Static ET	1.9814	2.203
Dynamic ET ($\theta = 100$)	2.110	2.693
Dynamic ET $(\theta = 1)$	2.6251	2.8905
Dynamic ET ($\theta = 0.015$)	2.3457	2.0965

5. Conclusion. In this paper, we have addressed the problem of exponential 563stabilization of a reaction-diffusion PDE with time- and space- varying reaction coef-564ficient. The boundary control design relies on the backstepping method and the gains 565are computed/updated on events according to two event-triggered gain scheduling 566 schemes. Two event-triggered strategies are prosed for gain scheduling: static and 567 dynamic. The latter involves a dynamic variable that can be viewed as the filtered 568 569value of the static one. It has been observed that under this strategy it is possible to reduce the number of events for the gain scheduling. We show that under the two 571 proposed event-triggered gain scheduling schemes Zeno behavior is avoided, which allows to prove well-posedness as well as the exponential stability of the closed-loop 573 system.

574 Our approach can be seen as an efficient way of kernel computation as it is sched-575 uled aperiodically, when needed and relying on the current state information of the 576 closed-loop system and the time- and space- varying reaction coefficient which is con-577 sidered as a distributed input disturbance. Furthermore, the boundary controller is 578 seen as a feedforward one. This work constitutes an effort towards the "robustifica-579 tion" of boundary controllers designed under backstepping method.

In future work, we expect to combine these results with event-triggered control 580 strategies for boundary controlled reaction-diffusion PDEs systems recently intro-581duced in [7] (which deals with constant reaction coefficient only). The results in this 582paper may suggest that the triggering times for gain scheduling may be synchronized 583 with the time instants for control updating. The control is going to be piecewise 584constant and not piecewise continuous as in the present work. This would represent 585a more realistic way of actuation on the PDE system towards digital realizations. Fi-586 587 nally, we expect to study observers to come up with an observer-based event-triggered gain scheduling scheme for this class of reaction diffusion PDEs. This would require 588 to handle new arising challenging issues (e.g. event-triggered gain scheduling of the 589 output injection gains, point-wise estimates whenever ones samples the output mea-590 surement, the avoidance of the Zeno phenomena, among many others).

592 Appendix A. Proof of Theorem 2.2.

Proof. It suffices to show that there exists k > 0 such that for each $w_0 \in L^2(0, 1)$ and T > 0 the initial value problem

595 (A.1)
$$\dot{y}[t] + (A+kI)y[t] = \mathcal{F}(t)y[t],$$

 $y[0] = w_0,$

has a unique classical solution on [0, T] in the sense described in [30] where $A: D \rightarrow L^2(0, 1)$ is the Sturm-Liouville operator defined by the following formula for every $f \in D$:

599 (A.2)
$$(Af)(x) = -\varepsilon f''(x) + cf(x), \text{ for } x \in (0,1).$$

Notice that any solution y[t] of (A.1) provides a solution of the initial-boundary value problem (2.31) with (2.34) by means of the formula $w[t] = \exp(kt)y[t]$ and any solution w[t] of the initial boundary value problem (2.31) with (2.34) provides a solution of the initial value problem (A.1) by means of the formula $y[t] = \exp(-kt)w[t]$.

The fact that A is a (non-singular) Sturm-Liouville operator guarantees that the eigenvalues $\lambda_n, n = 1, 2, ...$ of A are all real with $\lim (\lambda_n) = +\infty$ and that the eigenfunctions $\phi_n \in C^2([0, 1]), n = 1, 2, ...$ of A with $A\phi_n = \lambda_n \phi_n$ and $\|\phi_n\| = 1$ form an orthonormal basis of $L^2(0, 1)$. It follows that the semigroup

608
$$S(t)u = \sum_{n=1}^{\infty} \exp(-\lambda_n t) \langle \phi_n, u \rangle \phi_n, \text{ for } u \in L^2(0,1) \text{ and } t > 0,$$

is a C_0 semigroup on $L^2(0,1)$ (see [44], page 178). Consequently, -A is the infinitesimal generator of a C_0 semigroup on $L^2(0,1)$.

The fact that -A is the infinitesimal generator of an analytic semigroup follows from the fact that the eigenvalues λ_n , n = 1, 2, ... of A are all real with $\lim (\lambda_n) = +\infty$ Indeed, we can directly apply Theorem 5.2 on page 61 in [30] when $\lambda_1 > 0$. When $\lambda_1 < 0$ then we can apply Theorem 5.2 on page 61 in [30] to the operator -A - rIwith $r > -\lambda_1$ (which generates the C_0 semigroup $\exp(-rt)S(t)$; see explanations on page 61 in [30]). 617 Since -A is the infinitesimal generator of a C_0 semigroup $S(t), t \ge 0$ on $L^2(0, 1)$ 618 and since for each $t \ge 0$ the operator $\mathcal{F}(t) : L^2(0, 1) \to L^2(0, 1)$ is a linear bounded 619 operator for which there exist constants $\Omega_1, \Omega_2 > 0$ such that (2.32) and (2.33) hold, it 620 follows from Theorem 1.2 on page 184 in [30] that there exists a unique mild solution

621 $y \in C^0([0,T]; L^2(0,1))$ of the initial value problem (A.1), i.e.,

622 (A.3)
$$y[t] = \exp(-kt)S(t)w_0 + \int_0^t \exp(-k(t-s))S(t-s)\mathcal{F}(s)y[s]ds$$
, for all $t \in [0,T]$.

Theorem 2.2 on page 4 in [30] implies the existence of constants $M, \omega > 0$ such that the estimate $||S(t)|| \le M \exp(\omega t)$ holds for all $t \ge 0$. Exploiting the previous estimate in conjunction with (A.3) and (2.32), we get for all $t \in [0, T]$:

626 (A.4)
$$||y[t]|| \le M \exp(-(k-\omega)t) ||w_0|| + \frac{Mc}{k-\omega} \max_{0\le s\le T} (||y[s]||), \text{ for all } t\in[0,T].$$

627 Selecting k > 0 so that $\frac{Mc}{k-\omega} < 1$, estimate (A.4) implies the following estimate:

628 (A.5)
$$\max_{0 \le s \le T} (\|y[s]\|) \le \left(1 - \frac{Mc}{k - \omega}\right)^{-1} M \|w_0\|.$$

629 We next define

630 (A.6)
$$g(t) = \mathcal{F}(t)y[t], \quad t \in [0, T].$$

Inequalities (A.5), (2.32) and definition (A.6) imply that $g \in L^p([0,T]; L^2(0,1))$ for every $p \in [1, +\infty)$. Definition (A.6) allows us to conclude that the mild solution $y \in C^0([0,T]; L^2(0,1))$ of the initial value problem (A.1) is also a mild solution of the inhomogeneous initial value problem:

635 (A.7)
$$\begin{aligned} \dot{z}[t] + (A + kI)z[t] &= g(t), \\ z[0] &= w_0, \end{aligned}$$

i.e., z[t] = y[t] for $t \in [0, T]$. Since -A is the infinitesimal generator of an analytic semigroup S(t) on $L^2(0, 1)$, it follows from Theorem 3.1 on page 110 in [30] that for every $p \in (1, +\infty)$, the mapping $t \to y[t]$ is locally Hölder continuous on (0, T] with exponent $\frac{p-1}{p}$. Using (2.32),(2.33) and the fact that $g(t) = \mathcal{F}(t)y[t]$ for $t \in [0, T]$, it follows that for every $p \in (1, +\infty)$, the mapping $t \to g[t]$ is locally Hölder continuous on (0, T] with exponent $\frac{p-1}{p}$. By virtue of Corollary 3.3 on page 113 in [30] we conclude that y[t] is the unique classical solution of (A.1).

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