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GROWTH OF SIBONY METRIC AND BERGMAN KERNEL FOR DOMAINS WITH LOW REGULARITY

NIKOLAI NIKOLOV AND PASCAL J. THOMAS

ABSTRACT. It is shown that even a weak multidimensional Suita conjecture fails for any bounded non-pseudoconvex domain with C^1 boundary: the product of the Bergman kernel by the volume of the indicatrix of the Azukawa metric is not bounded below. This is obtained by finding a direction along which the Sibony metric tends to infinity as the base point tends to the boundary. The analogous statement fails for a Lipschitz boundary. For a general C^1 boundary, we give estimates for the Sibony metric in terms of some directional distance functions. For bounded pseudoconvex domains, the Blocki-Zwonek Suita-type theorem implies growth to infinity of the Bergman kernel; the fact that the Bergman kernel grows as the square of the reciprocal of the distance to the boundary, proved by S. Fu in the C^2 case, is extended to bounded pseudoconvex domains with Lipschitz boundaries.

1. Results

Let D be a domain in \mathbb{C}^n , $z \in D$, $X \in \mathbb{C}^n$. Define the Bergman kernel, the Azukawa metric, and the Sibony metric, respectively, as follows (see e.g. [JP]):

$$K_D(z) := \sup\{|f(z)|^2 : f \in \mathcal{O}(D), \ ||f||_{L^2(D)} \le 1\};$$
$$A_D(z; X) := \limsup_{\lambda \to 0} \frac{\exp g_D(z, z + \lambda X)}{|\lambda|},$$

where $g_D(z, w) := \sup\{u(w) : u \in PSH(D), u < 0, u < \log || \cdot -z || + C\}$ is the pluricomplex Green function of D with pole at z;

$$S_D(z;X) := \sup_v [\mathcal{L}_v(z;X)]^{1/2},$$

where \mathcal{L}_v is the Levi form of v, and the supremum is taken over all functions $v: D \to [0, 1)$ such that v(z) = 0, $\log v$ is plurisubharmonic on D, and v is of class \mathcal{C}^2 near z.

Let $M_D \in \{A_D, S_D\}$ and $V_D^M(z)$ be the volume of the indicatrix

$$I_D^M(z) := \{ X \in \mathbb{C}^n : M_D(z; X) < 1 \}.$$

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Z. Błocki and W. Zwonek [BZ, Theorem 2] proved the following.

Theorem 1. If D is a pseudoconvex domain in \mathbb{C}^n , then

$$K_D(z)V_D^A(z) \ge 1, \quad z \in D.^1$$

Theorem 1 for n = 1 is known as the Suita conjecture (see [Sui]). The first proof of this conjecture was given in [Bło].

On the other hand, by [Nik, Proposition 2], even a weaker version of Theorem 1 fails for bounded non-pseudoconvex domains with $C^{1+\varepsilon}$ boundaries. Our first aim is to extend this result to C^1 boundaries.

Proposition 2. Let D is a bounded non-pseudoconvex domain in \mathbb{C}^n with C^1 boundary. Then there exists a sequence $(z_i)_i \subset D$ such that

$$\lim_{j \to \infty} K_D(z_j) V_D^A(z_j) = 0.$$

Since D is non-pseudoconvex and ∂D is of class C^1 , there exists a point $p \in \partial D$ such that

$$\limsup_{z \to p} K_D(z) < \infty.$$

On the other side, since $S_D \leq A_D$, then $I_D^A \leq I_D^S$ and hence $V_D^A \subset V_D^S$. So, Proposition 2 will be a consequence of the following.

Proposition 3. Let D be a bounded domain D in \mathbb{C}^n with C^1 boundary near $p \in \partial D$. Then

$$\lim_{z \to p} V_D^S(z) = 0.$$

The proof will be given in Subsection 2.1. Combining Theorem 1 and Proposition 3, it follows that

$$\lim_{z \to p} K_D(z) = \infty$$

if, in addition, D is pseudoconvex. Proposition 5 below says more.

On the other hand, Proposition 3 may fail in the Lipschitz case even for V_D^A .

Example 4. Let $D := \{z \in \mathbb{C}^2 : 1 < |z_1| + |z_2| < 2\}$. Then

$$\limsup_{x \to 1^+} A_D((x,0);X) < \infty$$

uniformly in the unit vectors X. In particular,

$$\liminf_{x \to 1^+} V_D^A((x,0)) > 0.$$

The proof follows as the proof of [DNT, Proposition 5] (for S_D , see also the proof of [FL, Proposition 2].)

¹If $V_D^A(z) = \infty$, then $K_D(z) = 0$.

Proposition 5. Let D be a bounded pseudoconvex domain D in \mathbb{C}^n with Lipschitz boundary near $p \in \partial D$. Then

$$\liminf_{z \to p} K_D(z)\delta_D^2(z) > 0.$$

When the boundary is C^2 , Proposition 5 is due to S. Fu [Fu, Theorem, p. 979]. The proof for the Lipschitz case is given in Subsection 2.2.

Lemma 8 and [DNT, Proposition 5] lead to the following generalization of [FL, Theorem 2] and [DNT, Corollary 6].

Proposition 6. Let $f : \mathbb{R}_+ \to \mathbb{R}_+$ be a continuous function such that $f \not\equiv 0, f(0) = 0, and f(t)/t$ is an increasing function for t > 0. Let D be a bounded domain in \mathbb{C}^n given by $\operatorname{Re}(z_1) + O(|\operatorname{Im}(z_1)|) < f(||z'||)$ near $0 \in \partial D$. There exists a constant c > 1 such that if $\delta > 0$ is small enough and $X \in \mathbb{C}^n$, then

$$c^{-1}B(\delta;X) \le S_D(q_{\delta};X) \le A_D(q_{\delta};X) \le cB(\delta;X),$$

where $q_{\delta} := (-\delta, 0')$ and $B(\delta; X) := \frac{f^{-1}(\delta)}{\delta} |X_1| + ||X||.$

The hypothesis that f(t)/t is increasing is a sort of local concavity of the domain D. We can remove this assumption for the lower bound. Let D be a domain in \mathbb{C}^n with C^1 boundary near $p \in \partial D$. For $q \in D$ near p choose a point $p_q \in \partial D$ such that $||q - p_q|| = \delta_D(q)$. Let L_q be the complex line through q and p_q , which contains the real line normal to ∂D at p_q . Let H_{q^*} be the complex hyperplane through $q^* := 2p_q - q$ which is orthogonal to L_q . Set $\delta_D^*(q) := \min(1, \delta_{D^c \cap H_{q^*}}(q^*))$ (the 1 is there because the hyperplane H_{q^*} could fail to intersect \overline{D} , for example, if D is convex).

Proposition 7. Let D be a bounded domain in \mathbb{C}^n with C^1 boundary near $p \in \partial D$. There exists a constant c > 0 such that if $q \in D$ near p and $X \in \mathbb{C}^n$, then

$$cS_D(q;X) \ge \frac{\delta_D^*(q)}{\delta_D(q)} ||X_q|| + ||X||,$$

where X_q is the orthogonal projection of X onto L_q .

The proofs of Propositions 6 and 7 are given in Subsections 2.3 and 2.4.

It would be interesting to know whether Proposition 7 is still valid in the case of a Lipschitz boundary.

2. Proofs

2.1. **Proof of Proposition 3.** By a linear change of coordinates, we may assume that p = 0 and the outer normal to ∂D at p is (1, 0, ..., 0).

There exists an open polydisk \mathcal{U} centered at the origin and included in the unit polydisk so that, with $z_1 =: x_1 + iy_1$ and $z' := (z_2, \ldots, z_n)$,

(1)
$$D \cap \mathcal{U} = \mathcal{U} \cap \{x_1 < f_0(y_1, z')\},$$

where f_0 is a real-valued C^1 function such that $f_0(y_1, z') = o((y_1^2 + ||z'||^2)^{1/2})$ and $f_0(y_1, z') < 1$. By the localization property of the Sibony metric [FL, Lemma 5], it will be enough to prove the property for $D \cap \mathcal{U}$, and we may restrict the neighborhood further to reduce ourselves to a model situation.

Since D is bounded, I_D^S is a bounded convex set. Thus it will be enough to prove that for any q close enough to 0, there is a unit vector X_q such that $\lim_{q\to 0} S_D(q, X_q) = \infty$. Choose a point $\tilde{p} \in \partial D$ such that $\|\tilde{p} - q\| = \delta_D(q)$, and $X_q = \|\tilde{p} - q\|^{-1}(\tilde{p} - q)$, which is the outward unit normal at \tilde{p} . We want to have some uniform version of (1) as \tilde{p} varies. Let $\rho(z) = x_1 - f_0(y_1, z')$ be the (local) defining function of D. For q close enough to 0, $\nabla \rho(\tilde{p})$ is close enough to (1, 0) so that the signed distance from a point $z \in \partial D$ to its projection on the tangent hyperplane $T_{\tilde{p}}\partial D$ is comparable to

$$f_0(y_1, z') - (f_0(\operatorname{Im} \tilde{p}_1, \tilde{p}') + Df_0(\operatorname{Im} \tilde{p}_1, \tilde{p}') \cdot (y_1 - \operatorname{Im} \tilde{p}_1, z' - \tilde{p}')).$$

By the Mean Value Theorem, there is some $\theta \in [0, 1]$ depending on all the variables involved so that the above expression equals

$$\left[Df_0 \left((1-\theta) \operatorname{Im} \tilde{p}_1 + \theta y_1, (1-\theta) \tilde{p}' + \theta z' \right) - Df_0 (\operatorname{Im} \tilde{p}_1, \tilde{p}') \right] \cdot (y_1 - \operatorname{Im} \tilde{p}_1, z' - \tilde{p}').$$

Since f_0 is of class \mathcal{C}^1 , its differential is uniformly continuous on any compact set, so there exists some positive continuous function $f_1 : \mathbb{R} \times \mathbb{R}_+ \to \mathbb{R}_+$ with $f_1(y, x) = o(|y| + x)$ such that for any $q \in \mathcal{U}$, a possibly smaller neighborhood of 0, if we make a linear change of coordinates so that \tilde{p} becomes 0 and the unit outer normal to ∂D at \tilde{p} becomes (1, 0), then the defining function of ∂D becomes $\rho_{\tilde{p}}(z) = x_1 - f_{\tilde{p}}(y_1, z')$ with $|f_{\tilde{p}}(y_1, z')| \leq f_1(y_1, ||z'||)$. From now on we work with those coordinates. We can find a function $f = f(y_1, r)$ strictly increasing with respect to $r \in [0, \infty)$ such that $f(y_1, r) = o(|y_1| + r)$ and $D \cap \mathcal{U} \subset \tilde{D}$, where

(2)
$$\hat{D} := \{(z_1, z') : |z_1| < 1, ||z'|| < 1, \text{ and } x_1 < f(y_1, ||z'||)\}$$

by setting

$$f(y_1, r) := cr^2 + \max_{0 \le \|z'\| \le r} f_1(y_1, z'),$$

with c > 0 some small constant.

By a slight abuse of notation, let f^{-1} stand for the inverse function of $r \mapsto f(0, r)$. Proposition 3 follows from:

Lemma 8. There is a constant $c_1 > 0$ such that if D is defined as in (2), with f(0,0) = 0 and f a continuous function strictly increasing over \mathbb{R}_+ in the second variable, then

$$S_{\tilde{D}}(q_{\delta}, (1, b')) \ge c_1 \frac{f^{-1}(\delta)}{\delta}, \quad b' \in \mathbb{C}^{n-1}.$$

Proof. The construction is a modification of the proof of [FL, Proposition 3].

For any $a \in \mathbb{R}$, let $\varphi(z) := \frac{z-a}{z+a}$. When $a \leq 0$, φ is holomorphic and bounded by 1 in modulus on $\{z \in \mathbb{C} : \operatorname{Re} z < 0\}$. In what follows, the square root of a complex number z is defined on $\mathbb{C} \setminus \mathbb{R}_-$ with $-\pi < \arg z < \pi$. For $\delta > 0$ and $z \in \mathbb{C} \setminus [\delta, \infty)$, we let $\psi_{\delta}(z) := -(-z+\delta)^{1/2}$. Let $a_{\delta} := \psi_{\delta}(-\delta) = -\delta^{1/2}\sqrt{2}$, and $\Phi := \varphi_{a_{\delta}} \circ \psi_{\delta}$. Then $|\Phi(z)| < 1$ for $\operatorname{Re} z < \delta$, $\Phi(-\delta) = 0$, $\Phi'(-\delta) = 1/(8\delta)$.

Now we claim that, for any $\delta > 0$ and $\gamma \in (0, 1)$, we can choose a constant c_{δ} such that $u = u_{\delta} \in A(D, q_{\delta})$ where

$$u_{\delta}(z_{1}, z') := \max \left(c_{\delta}(|\Phi(z_{1})|^{2} + ||z'||^{2}), ||z'||^{2(1+\gamma)} \right),$$

for $||z'|| < f^{-1}(\delta), z \in \tilde{D},$
 $u_{\delta}(z_{1}, z') := ||z'||^{2(1+\gamma)},$ for $||z'|| \ge f^{-1}(\delta), z \in \tilde{D}.$

We set $c_{\delta} := \frac{f^{-1}(\delta)^{2(1+\gamma)}}{1+f^{-1}(\delta)^2}.$

Clearly, in a neighborhood of q_{δ} , u_{δ} coincides with the first term in the maximum, so it is locally smooth and, for δ small enough,

$$\left(\frac{\partial^2 u_{\delta}}{\partial z_1 \partial \bar{z}_1}(q_{\delta})\right)^{1/2} = c_{\delta}^{1/2} \left|\Phi'(-\delta)\right| \ge \frac{f^{-1}(\delta)^{1+\gamma}}{9\delta}.$$

We still need to see that u_{δ} is well defined and in the appropriate class. For $||z'|| < f^{-1}(\delta)$, if $\operatorname{Im} z_1 = 0$ and $z \in \tilde{D}$, then $\operatorname{Re} z_1 < \delta$, so $\Phi(z_1)$ is well defined, and clearly $0 \leq u_{\delta}(z) \leq 1$. In each of the domains where a definition is given, one easily sees that $\log u_{\delta}$ is a maximum of plurisubharmonic functions, so itself plurisubharmonic.

We need to see that both definitions of u_{δ} agree in a neighborhood of $\{||z'|| = f^{-1}(\delta)\} \cap \tilde{D}$. It follows from the fact that on that set,

$$||z'||^{2(1+\gamma)} = f^{-1}(\delta)^{2(1+\gamma)} = c_{\delta}(1+f^{-1}(\delta)^2) > c_{\delta}(|\Phi(z_1)|^2 + ||z'||^2).$$

Finally, we obtain $S_{\tilde{D}}(q_{\delta}, (1, b')) \geq \frac{f^{-1}(\delta)^{1+\gamma}}{9\delta}$. Since this holds for any $\gamma > 0$, it follows that $S_{\tilde{D}}(q_{\delta}, (1, b')) \geq \frac{f^{-1}(\delta)}{9\delta}$.

2.2. **Proof of Proposition 5.** The proof is based on the well-known Ohsawa-Takegoshi extension theorem which easily reduces the situation to the case n = 1.

Since ∂D is Lipschitz near p, the uniform exterior cone condition is satisfied, that is, we may assume that $\Gamma_z := \{z\} + \Gamma \subset D^c$ for $z \in \partial D$ near p, where $\Gamma = \{\zeta \in \mathbb{C}^n : r > \operatorname{Re}(\zeta_1) > r^{-1}\sqrt{\operatorname{Im}^2(\zeta_1) + ||\zeta'||^2}\}, r > 0$. For q near p, let $p_q \in \partial D$ be such $||q - p_q|| = \delta_D(q)$. Set

$$D_q = \{\zeta \in \mathbb{C} : (\zeta, q') \in D\}.$$

Denote by $p_{1,q} \in \partial D_q$ and $p_{2,q} \in \partial \Gamma_{p_q}$ the closest points to q on the half-line $q + (\mathbb{R}_+ \times \{q'\})$. Set $\delta = ||q - p_{1,q}||, \delta' = ||q - p_{2,q}||$. Then, since $B(q, \delta_D(q)) \subset D$ and $D_q \times \{q'\} \cap \Gamma_{p_q} = \emptyset$, a bit of plane geometry shows that

(3)
$$\delta \le \delta' \le \frac{\delta_D(q)}{r} \sqrt{1+r^2}.$$

We may assume that $p_{1,q} = 0$, $q = (-\delta, 0')$ and $D_q \subset G_r := \mathbb{C} \setminus [0, r]$. Using the notations of the proof of Proposition 3, a conformal mapping from G_r to a punctured unit disk is given by $\Phi := \varphi_a \circ \psi \circ \xi$, where $\xi(z) := \frac{z}{r-z}, \ \psi(z) := -(-z)^{1/2}, \ a := \psi \circ \xi(-\delta) = \frac{-\delta^{1/2}}{(r+\delta)^{1/2}}$. The domain $\Phi(G_r) = \mathbb{D} \setminus \{\frac{-1-a}{-1+a}\}$ has the same Bergman kernel as the disc itself.

The Bergman kernel of G_r is given by $K_{G_r}(z) = |\Phi'(z)|^2 K_{\mathbb{D}}(\Phi(z))$, where \mathbb{D} stands for the complex unit disc. Since $\Phi(-\delta) = 0$ and $K_{\mathbb{D}}(0) = 1/\pi$, we get that

(4)
$$K_{D_q}(q) \ge K_{G_r}(-\delta) = \frac{1}{\pi} \left(\frac{r}{4\delta(r+\delta)}\right)^2$$

On the other hand, it follows by [OT, Theorem p. 179] that there exists a constant c > 0 depending only on diam D such that

(5)
$$K_D \ge c^{n-1} K_{D_q}.$$

Now, (3), (4), and (5) imply the desired result.

Remark. Adding an argument to make the situation uniform, as in the proof of Proposition 3, to the proof of [JN, Proposition 2], it follows that if ∂D is of class C^1 near p, then

$$\lim_{z \to p} K_{D_q}(z) \delta_D^2(z) = \frac{1}{4\pi} \quad \text{and hence} \quad \liminf_{z \to p} K_D(z) \delta_D^2(z) \ge \frac{c^{n-1}}{4\pi}.$$

2.3. **Proof of Proposition 6.** The lower bound follows easily from Lemma 8 and the boundedness of D.

The upper bound is obtained as in the proof of [DNT, Proposition 5]: we use the fact that the indicatrix for the Azukawa metric is pseudoconvex and contains the indicatrix of the Kobayashi-Royden metric². We will show that a certain Hartogs figure is included in that latter indicatrix.

Let \mathbb{B}^d stand for the unit ball of \mathbb{C}^d . By a linear change of variables, we may assume that $\{-\delta\} \times \overline{\mathbb{B}}^{n-1} \subset D$, so for any $X \in \overline{\mathbb{B}}^{n-1}$, $\varphi(\zeta) := q_{\delta} + \zeta X$ provides a map from \mathbb{D} to D with $\varphi'(0) = X$. If we show that there exists $c_1 > 0$ such that any vector $X \in c_1 \frac{\delta}{f^{-1}(\delta)} \mathbb{D} \times \partial \mathbb{B}^{n-1}$

$${}^{2}\kappa_{D}(z;X) = \inf\{|\alpha| : \exists \varphi \in \mathcal{O}(\mathbb{D},D) \text{ with } \varphi(0) = z, \alpha \varphi'(0) = X\}.$$

can be realized as the derivative at the origin of a holomorphic map φ from \mathbb{D} to D with $\varphi(0) = q_{\delta}$, then the whole of $c_1 \frac{\delta}{f^{-1}(\delta)} \mathbb{D} \times \mathbb{B}^{n-1}$ will be included in $I_D^S(q_{\delta})$.

Let

$$\varphi(\zeta) := q_{\delta} + \zeta \left(c_1 \frac{\delta}{f^{-1}(\delta)}, X' \right) \text{ where } X' \in \partial \mathbb{B}^{n-1}.$$

Let $r(z) = \operatorname{Re} z_1 + O(|\operatorname{Im} z_1|) - f(||z'||)$ be the defining function of D. Then, for c_1 well chosen,

(6)
$$r\left(\varphi(\zeta)\right) \le -\delta + \frac{\delta}{f^{-1}(\delta)}|\zeta| - f(|\zeta|) \le -f(|\zeta|) \le 0$$

when $|\zeta| \leq f^{-1}(\delta)$. On the other hand, when $|\zeta| \geq f^{-1}(\delta)$, the hypothesis on f implies $f(|\zeta|) \geq \frac{\delta}{f^{-1}(\delta)} |\zeta|$ and we get $r(\varphi(\zeta)) \leq 0$ again.

2.4. **Proof of Proposition 7.** We follow the strategy of the proofs of Proposition 3 and Lemma 8. Take coordinates so that the complex line through q and p_q be the z_1 axis. An argument of uniformity similar to the one at the beginning of the proof of Proposition 3 shows that we can choose a domain D_q locally defined by

$$\operatorname{Re} z_1 < f_0(\operatorname{Im} z_1, z'),$$

with $f_0(0,0) = 0$, $Df_0(0,0) = 0$, $D \subset D_q$, and the growth of f_0 is uniformly controlled in a neighborhood of the original point p. Let

(7)
$$f_1(y,r) := \max_{\|w'\| \le r} f_0(y,w').$$

Then f_1 is continuous, nonnegative, increasing in r. Finally, for any $\eta > 0$, let $g_{\eta}(y, r) := f_1(y, r) + \eta r^2$, and let D^{η} be the domain defined locally by

$$\operatorname{Re} z_1 < g_\eta(\operatorname{Im} z_1, \|z'\|).$$

Note that $\delta_D(q) = \delta_{D^{\eta}}(q)$. Let g_{η}^{-1} be the inverse function of $r \mapsto g_{\eta}(0, r)$. By Lemma 8,

$$cS_D(q, (1, b')) \ge cS_{D^{\eta}}(q, (1, b')) \ge \frac{g_{\eta}^{-1}(\delta_D(q))}{\delta_D(q)}$$

Let $z'(\eta)$ be a point such that $g_{\eta}(0, ||z'(\eta)||) = \delta_D(q)$. Letting $\eta \to 0$, by compactness there is a cluster point z'(0) such that

$$||z'(0)|| = \lim_{\eta \to 0} ||z'(\eta)|| = \lim_{\eta \to 0} g_{\eta}^{-1}(\delta_D(q)),$$

so that

$$cS_D(q, (1, b')) \ge \frac{\|z'(0)\|}{\delta_D(q)}$$

and $f_1(0, ||z'(0)||) = \lim_{\eta \to 0} g_\eta(0, ||z'(\eta)||) = \delta_D(q)$, so $(\delta_D(q), z'(0)) \in \partial D_q$ and by minimality of $\delta^*_{D_q}$ we have

$$||z'(0)|| \ge \delta^*_{D_q}(q) \ge \delta^*_D(q).$$

On the other hand, in any direction the Sibony metric is bounded below by the Euclidean norm because the domain is bounded. Taking the maximum of the estimates, we obtain the desired result.

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