

Quick compensatory mechanisms for tongue posture stabilization during speech production

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23 Abstract (250 words)

24 The human tongue is atypical as a motor system since its movement is determined by 25 deforming its soft tissues via muscles that are in large part embedded in it (muscular 26 hydrostats). However, the neurophysiological mechanisms enabling fine tongue motor 27 control are not well understood. We investigated sensorimotor control mechanisms of the 28 tongue through a perturbation experiment. A mechanical perturbation was applied to the 29 tongue during the articulation of three vowels $(/i/,/e/, /\epsilon/)$ under conditions of voicing, 30 whispering and posturing. Tongue movements were measured at three surface locations 31 in the sagittal plane using electromagnetic articulography. We found that the 32 displacement induced by the external force was quickly compensated for. Individual 33 sensors did not return to their original positions but went towards a position on the 34 original tongue contour for that vowel. The amplitude of compensatory response at each 35 tongue site varied systematically according to the articulatory condition. A mathematical 36 simulation that included reflex mechanisms suggested that the observed compensatory 37 response can be attributed to a reflex mechanism, rather than passive tissue properties. 38 The results provide evidence for the existence of quick compensatory mechanisms in the 39 tongue that may be dependent on tunable reflexes. The tongue posture for vowels could 40 be regulated in relation to the shape of the tongue contour, rather than to specific 41 positions for individual tissue points.

42

New & Noteworthy This study presents evidence of quick compensatory mechanisms in tongue motor control for speech production. The tongue posture is controlled not in relation to a specific tongue position, but to the shape of the tongue contour to achieve specific speech sounds. Modulation of compensatory responses due to task demands and mathematical simulations support the idea that the quick compensatory response is driven by a reflex mechanism. Keywords Speech motor control, Mechanical perturbation, Reflex, Compensatory response, Orosensory feedback.

60 Introduction

61 The tongue is a fundamental organ in a variety of basic biological functions for humans, 62 such as breathing, swallowing and speaking. Loss or damage of tongue function and its 63 control severely affect daily life. While understanding the mechanisms of tongue control 64 is crucial, the investigation of its sensorimotor foundations has been impeded for a long 65 time, mostly due to the technical difficulty of having access to the tongue during its 66 movement. Although a variety of measurement techniques (X-ray, electro-magnetometer, 67 ultrasound devices or magnetic resonance imaging) have been proposed for the study of 68 tongue motor control (Hoole and Zierdt 2010; Perkell et al. 1992; Westbury 1994; 69 Whalen et al. 2005; Xing et al. 2013), investigations were limited to descriptive studies of 70 the kinematic characteristics of tongue movements, and hence little is known about the 71 cortical or subcortical control of these movements.

Most of the volume of the tongue is made of muscles, which are quasiincompressible deformable bodies. As a consequence, tongue movements correspond to soft tissue deformations that are deeply constrained by this quasi-incompressibility. Thus, the tongue behaves dynamically like a muscular hydrostat (Kier and Smith 1985), which is clearly different from the behavior of a skeletal system, such as the limb or the arm, in which solid bony structures move around joints.

In case of speech production, the mechanisms of tongue motor control have been investigated especially through the adaptation paradigm using static perturbation, such as holding jaw positions with a bite block (Fowler and Turvey 1981; Gay et al. 1981), altering lip geometry with a lip tube (Savariaux et al. 1995) or modifying the palate shape by inserting an artificial palate (Brunner et al. 2011; Honda et al. 2002; McFarland et al.

83 1996). The produced sounds become quite similar to the original sounds after a short time 84 of adaptation following the perturbation, suggesting that the tongue control system 85 quickly adapts to new environments as we experience in daily life situations (e.g. 86 speaking while chewing gum). While those adaptive changes provide evidence for 87 efficient and robust control of the tongue, little is known about the neurophysiological 88 and physical phenomena enabling the control of tongue posture stabilization during the 89 production of speech sounds, in particular to compensate for time-varying perturbations 90 as experienced in our daily life.

91 In regard to speech production, a well-known hypothesis suggests that tongue 92 motor control consists in moving the tongue toward target postures associated with 93 phonemes (Keating 1990; MacNeilage 1970; Patri et al. 2015). In the production of 94 consonants, such as /t/ or /s/, the tongue must not only target postures precisely, but also 95 maintain them for a sufficient amount of time, which requires the capacity to efficiently 96 stabilize the tongue in the target postures. This task could be accomplished by moving the 97 tongue, or a part of the tongue, toward a specific location in the oral cavity, such as 98 toward the upper teeth, just as it is done in pointing or reaching tasks with the arm by 99 moving the different arm segments around their joints. It is however unclear whether the 100 postural stabilization of the deformable tongue relies on control principles that are similar 101 to those of the stabilization of arm segments around a joint.

102 The control mechanisms involved in limb and body posture stabilization have 103 often been investigated using time-varying perturbations (Burdet et al. 2001; Gomi and 104 Osu 1998; Gottlieb and Agarwal 1988; Marsden et al. 1972; Pruszynski and Scott 2012; 105 Soechting 1988; Soechting and Lacquaniti 1988). Immediate compensation for time-

106 varying perturbations can be driven by impedance control and neural feedback control. 107 Responses associated with impedance control are intrinsic physical responses of the 108 motor plant associated with passive elastic properties (Gomi and Osu 1998; Gottlieb and 109 Agarwal 1988). As a consequence, they are very fast. The response induced by neural 110 feedback control, such as stretch reflex, arrives later due to the delay in neural 111 transmission, which ranges from 20 to 50 ms for short-latency stretch reflex and from 50 112 to 100 ms for the long-latency stretch reflex (Pruszynski and Scott 2012). This time 113 sequence of responses was examined in the speech articulatory system using a 114 mechanical perturbation of the jaw (Gomi et al. 2002; Ito et al. 2005). The compensatory 115 response due to stiffness in the linkage between lip and jaw occurred less than 20 ms after 116 the onset of the movement induced by the perturbation; in contrast, the muscle activation 117 due to reflex was induced longer than 50 ms after this movement onset (Gomi et al. 118 2002). This compensatory reflex was mediated by the motor cortex (Ito et al. 2005). As in 119 limb studies, applying a time-varying mechanical perturbation to the tongue and 120 observing the behavioral response is an efficient approach to examine whether the tongue shows compensatory responses with latencies comparable to those of responses induced 121 122 by impedance control and by neural feedback control in the limb system.

Task-dependent modulation can be seen in both impedance control and reflex mechanisms. In impedance control, mechanical properties of the motor system (such as stiffness or damping) can be adjusted for external constraints (Burdet et al. 2001; Gomi and Osu 1998). It has been found in the speech articulatory system that the stiffness in the linkage between the jaw and upper lip increased for the production of the bilabial fricative consonant $/\Phi/$ as compared to the neighboring vowels in vowel-consonant-vowel

129 sequences (Gomi et al. 2002). In reflex control, long latency reflexes in the limb system 130 have been shown to vary depending on the task demands in a variety of situations (See 131 review in Pruszynski and Scott (2012)). In the orofacial system, the magnitude of 132 compensatory reflexes in the upper lip has been shown to vary depending on the speech 133 task: the magnitude of the muscle activation due to reflex was significantly larger during 134 the production of bilabial fricatives than during vowel production (Gomi et al. 2002), and 135 little or no response was induced in a resting condition (Ito and Gomi 2007). Similarly, 136 Kelso et al. (1984) observed an increase of muscle activation in the tongue in response to 137 a force perturbation applied to the jaw during the production of alveolar consonants /z/, 138 but not during the production of bilabial consonants /b/. Thus, compensatory responses 139 induced in the tongue by a force perturbation applied to the jaw seem also to be 140 modulated across different speech tasks and/or across speech and non-speech (resting) 141 tasks.

142 In speech production, a number of converging experimental and modeling results 143 suggest that tongue stiffness varies across the front vowels, such as i/i, e/i and ϵ/i , in 144 conjunction with the amount of tongue positioning variability: the stronger the stiffness, 145 the smaller the variability. Previous studies (Beckman et al. 1995; Perkell and Nelson 146 1985) showed that front-high vowels /i/ and /e/ have less articulatory variability than 147 lower vowels like $|\varepsilon|$ and |a|. In addition, using electropalatography (Stone and Lundberg 148 1996) and MR imaging (Badin et al. 2002), a strong relationship was found between the 149 intensity of the linguo-palatal contact and the activation of the posterior genioglossus, 150 which pulls forward the back part of the tongue, as evidenced by magnitude of grooving 151 the back part of the tongue. The idea is also supported by the simulations with a 3D 152 biomechanical model of the tongue showing that, for a given height of the tongue, an 153 increase in the amount of lateral contacts between the tongue and the palate is achieved 154 through an increase in tongue muscle activation, specifically activation of the posterior 155 genioglossus (Buchaillard et al. 2009; Gick et al. 2017). An increase in muscle activation 156 induces an increase in muscle tissue stiffness called the stress-stiffening effect (Nazari et 157 al. 2011). Applying a mechanical force perturbation to the motor system, as used in 158 previous studies (Burdet et al. 2001; Gomi and Osu 1998; Gottlieb and Agarwal 1988), 159 allows stiffness to be measured by observing the displacement induced by the 160 perturbation, since for a given magnitude of the force the magnitude of the displacement 161 is proportional to the stiffness, assuming linear dynamical properties. Hence, force 162 perturbation can be an efficient tool to reveal task-dependent changes in stiffness of the 163 tongue or of the linguo-palatal system.

164 For the reflex mechanism, it is still unclear whether or not a stretch reflex or 165 similar types of reflex are involved in the postural control of the tongue. In the tongue, it 166 is still under debate which sensory receptors play a role in proprioception and in stretch 167 reflex. In general, muscle spindles are considered as the main source of proprioception 168 and stretch reflex (Schmidt and Lee 2005). Cooper (1953) had found muscle spindles in 169 the muscles of the human tongue. However, Neilson et al. (1979) did not find any 170 evidence that tonic stretch reflex was induced in the tongue in response to stretching of 171 the tip of the tongue. In this context, we can assume that muscle spindles in the tongue 172 may not work like those in the skeletal muscles of the limbs. Showing a compensatory 173 response with an appropriate latency can provide a clue for the involvement of reflex

mechanism in the control of posture stabilization and can suggest how muscle spindles orproprioceptive organs are involved in the compensatory responses.

176

176 In our study, we focus on quick compensatory responses for the stabilization of 177 the tongue. We examined whether perturbations of tongue postures were compensated by 178 on-line feedback control mechanisms by observing the time course of the tongue 179 response due to time-varying perturbations. For this purpose, a novel mechanical 180 perturbation system was specifically developed using a robotic device. We applied the 181 mechanical perturbation in an unanticipated manner while subjects were holding 182 specified tongue postures. Electromagnetic articulography was used to measure the 183 displacement of the articulatory organs (jaw, lips and tongue).

184 We expected to observe different phases over time in the compensatory responses 185 to the perturbation, with different latencies, due to the successive involvement of 186 different feedback mechanisms: impedance control associated with mechanical stiffness 187 of the tongue muscles, short delay stretch-like reflexes, and long delay auditory and/or 188 somatosensory feedback. We also expected to observe a variation in the magnitude of the 189 displacement induced by the perturbation according to (1) the vowel posture, because of 190 differences across vowels in the stiffness of tongue muscles, and (2) articulatory manners 191 (speaking versus non-speaking), because of differences in the requirements in terms of 192 postural control accuracy between these two tasks.

In order to analyze the time-course of the responses to perturbation, especially in terms of the shape of the kinematic responses respectively induced by impedance control and by stretch-like reflex, we simulated the tongue force perturbations using a mathematical biomechanical model of the tongue (Perrier et al. 2003). Since this

197 mathematical simulation includes an account of short-delay somatosensory feedback for 198 reflex response, it can be used to dissociate a reflex contribution from the response by 199 impedance control through manipulation of the amplitude of the reflex gain in the model. 200 By comparing the simulated movements of the tongue in the model with those observed 201 experimentally, we could assess whether the reflex mechanism as implemented in the 202 model could explain the experimentally observed compensatory responses.

203

204 Methods

205 **Participants**

Nine native French speakers (18-35 years old, 3 females) participated in the
experiment. The participants were all healthy young adults who reported normal hearing.
All participants signed informed consent forms approved by the local ethical committee
of the University Grenoble Alpes (CERNI: Comité d'Ethique pour les Recherches non
Interventionnelles: CERNI-AvisConsultatif-2017-01-17-04).

211

212 Articulatory measurement and tongue perturbation

Electromagnetic articulography (Wave, Northern Digital Inc.) was used to measure articulation. For vowel production, the articulation is primarily characterized by the geometry of the vocal tract shape in the mid-sagittal plane. Hence, the measurement sensors were glued in the mid-sagittal plane: tongue tip (TT), tongue blade (TB), and tongue dorsum (TD) on the tongue surface, upper and lower lips (UL and LL), and jaw (J). Four reference sensors, located on the nasion, the left and right mastoids and the upper incisors (see Fig. 1), were also used for the measurement of head movements and their subtraction from the other sensors' movements in an off-line analysis. The sensor data were recorded with a 400 Hz sampling rate, and the produced speech sound was recorded synchronously with a 22.05 kHz sampling frequency.

223 A small robotic device (Phantom Premium 1.0, Geomagic) was used to apply a 224 force to the tongue (see Figure 1A). The robot was set in front of the subject and 225 connected to the tongue surface through a thin thread. At both extremities of the thread, 226 two small anchors (see TP in Figure 1B) were glued on the tongue surface on both sides 227 of the tongue blade sensor (TB) of the articulograph. The tongue perturbation was 228 produced by pulling the tongue forward with a 1 N force for 1 second. The force was 229 applied with 5-ms rising and falling phases in order to avoid mechanical noise of the 230 robot. The subject's head was held in place by a head holder in order to maintain a 231 constant stable position.

232

233 Experimental tasks: vowels and articulatory manners

The first focus of this study is to compare perturbed responses in different vowel 234 235 postures. We focused on three French vowels, /i/, /e/ and /ɛ/ that are expected to be 236 produced with different amounts of tongue muscle activation. Vowels i/i, e/i and ϵ/i are 237 close neighbors in terms of both acoustical and articulatory characteristics. In the 238 acoustical domain, vowels are efficiently described by the first two formants, F1 and F2, 239 which are the lowest two resonance frequencies of the vocal tract and correspond to the 240 first two maxima of the frequency spectrum of the sound. The first formant gradually 241 decreases from $\frac{\varepsilon}{\epsilon}$, to $\frac{\epsilon}{and}$, while the second formant increases. There is no other 242 vowel between them in French phonetics. In the articulatory domain, these vowels are usually discriminated along the tongue height (or jaw height) dimension, which corresponds to the vocal tract aperture. The production of /i/ requires a high and anterior tongue position, corresponding to the narrowest aperture of the vocal tract in the palatal region. As compared to the production of /i/, tongue height gradually decreases and moves backwards for the production of /e/ and / ϵ /. This variation is associated especially with a decrease in the activation of the posterior genioglossus.

249 The second focus is to examine the effect of speaking versus non-speaking 250 conditions. We compared compensatory responses in voicing, whispering and posturing 251 conditions. In the three conditions, the subjects were asked to sustain the tongue position 252 for a few seconds. In voicing and whispering conditions, they were asked to do so while 253 voicing or whispering. In non-speech posturing condition, they were asked to do so 254 silently. In order to have the same set of reference vowel tongue positions as for the 255 speaking conditions, subjects were instructed to produce the vowel briefly at the 256 beginning of each trial of the posturing condition, and then to maintain the posture 257 silently (without voicing or whispering).

258

259 Experimental procedure

Nine experimental tasks (three vowels under three articulatory manners) were tested in random order. At the beginning of each trial, visual instruction on a monitor was used to inform the subjects about the task for the coming trial. The trial was launched by the experimenter after examining whether the participant was ready for the task. The subjects were instructed to start their task via visual and auditory cues. The perturbation was applied 1s after the trial onset on a randomly-selected third of the trials in order to

avoid any anticipation by the subject. There were 270 trials in total. As a result, 10 perturbed responses were recorded in each condition. The total experimental time was approx. 2 hours including the preparation time for placing the EMA sensors and gluing the wires of the robotic arm on the participant's tongue, and the experimental session itself. The long duration of the experiment and the complexity of the tasks made it difficult to record a larger number of subjects.

272

273 Data analysis

274 For pre-processing of articulatory movement data, three-dimensional head 275 movement correction was done off-line based on the head position data provided by 276 reference sensors. We also subtracted the movement information of the jaw from the 277 tongue and lower lip values since those are carried by the jaw. Velocity and acceleration 278 were calculated to detect changes in movement. As seen in Figure 2 and beyond, the 279 forward direction of movement corresponds to a decrease of the measured values in the 280 presented figures. The failed trials were excluded from the analysis (no exclusion for 1 281 subject, one exclusion for 3 subjects, two exclusions for 5 subjects).

For the data analysis, movement data were averaged across subjects. In this averaging, we did not consider raw position data due to large inter-individual differences in size and shape of the tongue and the vocal tract. Instead we took the average of the displacement relative to the position of the tongue at the time of the perturbation onset, which was calculated by aligning the data at this time to zero. We also estimated the tongue contour for each produced tongue posture by calculating direction vectors between two neighbor sensors (TB and TT; TB and TD), although this is a rough

estimation since we have only three sensors. The angles of those vectors were alsoaveraged across subjects.

291 In the temporal patterns of the perturbation response, we expected to observe 292 multiple phases due to the combination of reactions associated with purely passive and 293 reflex components of the tongue. To assess this multiple-phase organization of the 294 responses, we divided the perturbation response into four intervals based on evident local 295 peaks on the horizontal displacement of the TB sensor. Those intervals are shown in 296 Figure 2, numbered from R1 to R4 (See Results for detailed explanation of the extraction 297 of the intervals). The locations of these local peaks were extracted at the point when the 298 absolute value of horizontal velocity became sufficiently small (less than 10 mm/s). In 299 our analysis we mostly focused on intervals R1, R2 and R4 since those intervals reflect 300 response mechanisms that were at the core of this study: response due to passive 301 components and task-related compensatory response. The possibility of the existence of 302 overlapping responses with different latencies was further examined by looking for any 303 inflection point in the compensatory response. We then looked for peaks in the absolute 304 value of the horizontal acceleration to detect subtle changes in movement characteristics. 305 For statistical analysis, the amplitudes of tongue displacement in the sagittal plane within 306 each of the intervals were quantified using both vertical and horizontal displacements, 307 based on the detected peak points in each response phase. A mixed-effect model was 308 applied with three fixed effects: vowel (/i/, /e/ and / ϵ /), articulatory manner (voicing, 309 whispering, and posturing) and sensor-location (TT, TB, and TD). Individual variability 310 across subjects was taken into consideration as a random effect. Post-hoc tests were 311 carried out with Bonferroni correction (p < 0.05).

312 For the acoustical analysis, we extracted the first three formants (F1, F2 and F3) 313 using Linear Predictive Coding analysis (Rabiner and Schafer 1978). Although vowels /i/, 314 |e| and $|\epsilon|$ can be efficiently separated in the (F1, F2) plane, we included F3 because it 315 provides informative cues about local articulatory changes around these vowels. This 316 analysis was only used for the data recorded under the voicing condition. For statistical 317 analysis, the amplitudes of F1, F2 and F3 were calculated at the representative time 318 points that were defined on the basis of the tongue displacement peaks mentioned above. 319 We examined whether the sound produced at these key time points of the response to the 320 perturbation was significantly different from the sound just before the perturbation onset 321 ("original sound" henceforth). We carried out a paired t-test between the sound 322 characteristics at the key time points and those of the original sound.

323

324 Simulations with the 2D biomechanical model

325 We carried out mathematical simulations using a 2D biomechanical model of the 326 tongue. The model was originally developed in previous studies (Pavan and Perrier 1997; 327 Perrier et al. 2003), and implements both passive stiffness and a stretch-like reflex to 328 produce accounts of tongue kinematics in speech production. Details are described in 329 those previous studies. Briefly, the tongue in the mid-sagittal plane is modeled as a 330 biomechanical finite element model with 221 nodes (See Fig. 1C). Seven primary 331 intrinsic and extrinsic muscles of the human tongue (posterior and anterior genioglossus, 332 hyoglossus, styloglossus, inferior longitudinalis, superior longitudinalis, and verticalis) 333 were embedded in the model as force actuators acting via macrofibers on selected 334 elements, whose stiffness increases with muscle activation. Details on the insertion points 335 and muscle description can be found in Perrier et al. (2003). As representative examples, 336 we show the implementation of the styloglossus and posterior genioglossus (Figure 1C) 337 since these two muscles are considered to have a major role in the response to the 338 mechanical perturbation used in this study. The styloglossus is the primary muscle for 339 tongue retraction and also for the reaction against our study's perturbation, which was 340 applied in the direction of tongue protrusion. The posterior genioglossus is the main 341 muscle responsible for the tongue elevation and protrusion associated with the production 342 of the front /i/, /e/ and / ϵ / vowels.

343 The upper contour of the tongue mesh model has 16 nodes, which are numbered 344 in increasing order from the tongue root to the tongue tip. Nodes 11, 13 and 16 were 345 chosen as the corresponding locations of the sensors used in the test, TD, TB and TT, 346 respectively (See Figure 1C). The perturbation force was applied to four nodes consisting 347 of nodes 13 and 14 and two nodes below those (TP in Figure 1C) in the horizontal 348 direction. Due to numerical instability issues in the numerical simulation and to 349 differences between the experimental tongue configurations and the modeled ones, we 350 applied a perturbation force of 0.25 N in each perturbed node for a shorter duration (200 351 ms) for the production of vowel /e/.

352 In the model, muscle force is generated based on the λ -model (Feldman 1986) as 353 follows:

 $F(t) = \rho(e^{cA} - 1)$

where A is the muscle activation level, c is the reflex gain parameter, and $\rho(\cdot)$ is the factor accounting for the force generation capacity of each muscle, which is a function of its cross-sectional area and its fiber density in each muscle. The muscle activation level *A* is itself determined as a function of the current muscle length *L* and a value λ' , which depends on the threshold muscle length λ for activation and on the rate of change in muscle length ($\lambda' = \lambda$ then in static configurations), as follows:

361
$$A = \begin{cases} L - \lambda', & L > \lambda' \\ 0, & L \le \lambda' \end{cases}$$

362 To evaluate the potential role of the embedded short-latency somatosensory reflex 363 in the tongue response to the perturbation, we carried out a series of simulations in which 364 we modified the amplitude of this reflex. The simulation always started from the posture 365 at rest (zero muscle forces), which corresponds to the posture for the production of a 366 schwa. In the formula shown above, the muscle activation level includes both descending 367 activations adapted to the achievement of the posture for a specific vowel and reflex 368 activation. In order to control the gain for reflex activation separately, we reformulated 369 muscle activation A in two parts as follows:

$$F(t) = \rho \left(e^{c(L_e - \lambda) + kc(L - L_e)} - 1 \right)$$

where L_e and λ are the actual length and the centrally specified motor command 371 of the muscle in the tongue posture selected for vowel /e/. These L_e and λ values were 372 determined in previous studies (e.g. Perrier et al., 2003). The activation $A_e = L_e - \lambda$, 373 374 corresponds to the baseline activation level, reached in vowel /e/ before the perturbation 375 started. The reflex activation induced by the perturbation was represented as the 376 difference between the muscle length L measured during the response to the perturbation 377 and the baseline muscle length L_e . In this formula, k enables controlling the gain of the 378 reflex activation induced by the perturbation. We tested in the simulations several 379 amplifications of this gain, from 0 to 10. Condition k = 0 simulates the situation in which reactions to the perturbation are strictly limited to the consequences of passivemechanical components.

382

383 **Results**

384 *Overview of the time course of the response in the direction of the perturbation*

385 We first considered the time course of the response to the perturbation in the 386 direction of the perturbation, i.e. along the horizontal axis. Figure 2A shows the averaged 387 horizontal displacement for vowel ϵ under voicing condition for all the sensors (UL, LL, 388 J, TT, TB and TD). Increasing values correspond to a backward horizontal movement. 389 The vertical dashed lines indicate the displacement peaks delimiting intervals R1 to R4 390 on the TB sensor, and the dotted line marks for the same sensor an inflection point that is 391 interpreted as evidence for an overlap of responses with different latencies. As shown 392 here, the perturbation induced a large tongue displacement in the forward direction (R1) 393 and a certain amount of this change was then recovered by a compensatory movement 394 (R2). After reaching the peak compensatory response on TB (end of R2), the tongue 395 could not precisely hold the same posture; rather, the tongue gradually drifted forward 396 (R3). This indicates that the tongue posture was more efficiently controlled to resist 397 against the transient change, than against the constant bias force. This may be due to the 398 fact that a constant force constraint is quite rare in daily life, whereas transient changes 399 are often experienced when biting and drinking. As soon as the perturbation force was 400 removed, the tongue moved in the backward direction, but it did not completely return to 401 its original position (R4). This aspect of the response amplitude will be discussed later in 402 the section "Geometrical path of the response in the mid-sagittal plane". The upper and 403 lower lips, and the jaw also showed significant displacements in the R2 interval, although 404 the amplitude was considerably smaller than the ones in the tongue. Considering that 405 there is some delay between the perturbation onset and the displacement onsets of these 406 articulators, these displacements may be interpreted as a consequence of a heterogenic 407 reflex loop arising from muscles that are not in the tongue.

408 Figure 2B represents a magnified view of the compensatory response in TB as 409 representative data. This plotted part of the signal began 50ms after the perturbation onset 410 and lasted 300ms, as marked by the black thick horizontal bar on the x-axis in Figure 2B. 411 During this time interval, there was an inflection point associated with a peak in the 412 second derivative at around 140ms after the perturbation onset (top panel in Figure 2B, 413 vertical thin-dotted line), indicating a significant change in the response at this time. We 414 also observe an interesting velocity profile (middle panel in Figure 2B) with two peaks of 415 velocity, one before and one after the inflection point, indicating that the compensatory 416 response was not a single phase response, but rather a sequence of at least two responses: 417 The first component may be a passive reaction due to the passive stiffness of the tongue, and the second component may result from an active reflex-based compensatory response 418 419 with some delays due to neural transmission. Based on this observation, we divided the 420 compensatory response in two parts, before (Interval R2-1) and after (Interval R2-2) the 421 inflection points on the displacement curve. We analyzed these two compensatory 422 responses separately.

423

424 *Geometrical path of the response in the mid-sagittal plane*

Next, we considered the displacement of the tongue in the mid-sagittal plane. Figure 3A represents the averaged trajectory of sensor TB. The three panels represent the three vowel conditions. In each panel, the different lines represent the different articulatory manners (voicing, whispering and posturing). We aligned the data at zero at the onset-time of the tongue perturbation. The displacement peaks, which are represented by the vertical dashed lines in Figure 2, are presented by asterisks in Figure 3A.

431 In general, the detailed responses from the onset to the offset of the perturbation 432 are quite similar across articulatory manners and vowels. We can also confirm the 433 temporal structure of the response observed in the previous section as follows. Once the 434 perturbation was applied in the forward direction, the tongue moved first in the same 435 direction (initial response, interval R1). The precise direction of this initial response 436 varied slightly depending on the articulatory configuration at the time of the perturbation 437 onset, because of slight differences in the orientation of the wire connected to the robotic 438 arm due to differences in jaw opening. After reaching the peak displacement of the initial 439 response, the tongue moved back in a compensatory response (R2-1 and R2-2). Then, the 440 position gradually drifted mostly in the direction of the perturbation force (R3). When the 441 perturbation force was removed, the tongue moved back in the posterior direction (R4).

The trajectories in the mid-sagittal plane revealed that the compensatory response in the interval R2 did not tend to bring the sensor back to its original position, because of a significant and continuous lowering of the tongue. To analyze this diagonal direction of the compensatory movement, we superimposed our rough estimation of the original tongue contour at the perturbation onset on the sensor trajectories in Figure 3A (lightdashed lines in each panel). Based on this original contour, we found that the tongue

448 tended to return to its original contour, instead of its original position. The direction of 449 this compensatory movement was nearly perpendicular to the original tongue contour 450 (81.7 degrees \pm 2.02). This tendency was consistent across all articulatory manners and 451 all vowels. This suggests that the compensatory mechanism underlying tongue posture 452 control is not to maintain or recover the exact whole same position of the tongue, but 453 rather to maintain the same local shape of the tongue contour in the region described by 454 the sensors. This statement is also supported by the observation of the tongue position 455 after the perturbation removal, since the final positions of the sensors did not match the 456 original positions, but were close to the original tongue contour, specifically in the 457 speaking conditions (voicing and whispering).

458

459 *Quantitative analysis of the response amplitudes*

460 Displacement amplitudes in each response phase were statistically compared 461 across tasks using linear mixed-effect models with three fixed effects [vowel (/i/, /e/ and 462 $\frac{1}{\epsilon}$, articulatory manner (voicing, whispering, and posturing) and sensor location (TT, 463 TB and TD)]. We found an interaction between sensor location and articulatory manner 464 in the later part of the compensatory response (R2-2) [F (4,182) = 7.32, p < 0.001]. All 465 other contrasts (R1, R2-1, R3 and R4) did not show any reliable interaction effect. 466 Accordingly, we present first the compensatory response in the interval R2-2, and then 467 the fixed effects in other contrasts, particularly in R1, R2-1 and R4.

For a better understanding of the interaction effect in R2-2, we took an average across the vowels as shown in Figure 4. The pattern of the amplitude variation across the sensor locations was different in each articulatory manner. In the voicing condition, the

471 amplitudes of tongue tip (TT) and blade (TB) movements were larger than that of the 472 tongue dorsum (TD) (p < 0.001 in both), and TT and TB were not different from each 473 other (p > 0.2). A similar tendency was found in whispering condition (p < 0.001 between 474 TT and TD and between TB and TD), but TT and TB were modestly different (p = 0.08). 475 On the other hand, in the posturing condition, there was no significant difference between 476 TT and TD and between TB and TD (p > 0.9), but there was a significant difference 477 between TT and TB (p < 0.05). When we compared the differences across articulatory 478 manners in each tongue location, TT showed larger amplitude of movements for 479 compensation when subjects were producing speech sounds (p < 0.001). Contrarily, TD 480 moved less when subjects were speaking (p < 0.05). TB was not significantly different 481 across the articulatory manners (p > 0.5). The tendency of reduced compensatory 482 response in TD was more remarkable along the vertical direction (p < 0.001 both for 483 voicing versus posturing and for whispering versus posturing), but not along the 484 horizontal one (p > 0.4 in all three comparisons). This suggests that the posterior section 485 of the tongue may be controlled to maintain an appropriate amount of constriction 486 opening between tongue and palate for speaking, which would induce a small tolerance to 487 changes in response to the perturbation, unlike what is observed for TT. In summary, our 488 results suggest that the gain of the compensatory response was specifically increased in 489 the front part of the tongue (TT) and decreased in the back part of the tongue (TD) in 490 both speaking conditions. This is consistent with the idea that the tongue shape is 491 controlled in a systematic way to preserve tongue characteristics that are crucial for 492 targeted speech sounds.

For the fixed effect components in all contrasts, we took an average in each fixed effect as shown in Figure 3B. We found a reliable difference across the different levels of the fixed effects (vowel, articulatory manner and sensor location). As an overall tendency, the influence of each fixed effect on the amplitude of tongue displacement was similar in the intervals R1, R2-1, and R4, and the R2-2 intervals were different from the others. This also supports the idea that the compensatory response in R2-2 may be driven differently from the ones in R1, R2-1, and R4.

500 In regard to the vowel influence (top panel in Figure 3B), the displacement 501 amplitude was reliably different ([F (2,182) = 175.5, p< 0.001] in R1, [F (2,182) = 13.45, 502 p < 0.001] in R2-1 and [F (2,182) = 142.2, p < 0.001] in R4). The largest displacement was 503 seen in the production of $\frac{1}{\epsilon}$ and the amplitude of displacement was gradually reduced for /e/ and /i/. The post-hoc test showed there was a significant difference in all of the 504 505 pairwise comparisons (p < 0.02) consistently in the three response intervals R1, R2-1 and 506 R4. In the comparison of the three articulatory manners we observe that the 507 displacements in whispering and voicing conditions were consistently smaller than those 508 in the posturing condition in the initial part of the perturbation response (interval R1) [F 509 (2,182) = 24.01, p< 0.001]. There was no reliable difference between voicing and whispering (p > 0.9). A similar tendency in the difference between the voicing and 510 511 whispering manners and the posturing manner was also found in the earlier phase of 512 compensatory movement [F (2,182) = 4.823, p< 0.01]. In R4, the averaged values 513 showed a similar tendency although no difference was found across the three articulatory 514 manners [R4: F(2,182) = 1.774, p > 0.15].

515 Finally, we found a significant difference according to the sensor location on the 516 tongue [F(2,182) = 26.22, p < 0.001] in R1 (bottom panel in Fig. 3B). The displacement 517 in the tongue blade (TB) was not different from the one in the tongue dorsum (TD) (p > 1518 0.9), whereas the displacement in tongue tip (TT) was smaller than the other two (p < 1000519 0.001 in both). This was also seen when the perturbation was removed [R4: F(2,182) =520 22.74, p < 0.001], and a modest difference was found in R2-1, [F(2,182) = 2.384, p =521 (0.09). The small movement amplitude of the TT sensor does not seem to be due to 522 contact with the teeth, since it was observed both in the forward and backward direction 523 after the onset and the offset of the perturbation.

524

525 Formant patterns in speech sounds

526 The changes in tongue shape due to the tongue perturbation also resulted in 527 changes in the produced sounds. Particularly, a compensation for the perturbation in the 528 space of the first three formants was observed in relation with the amount of 529 compensatory movement. Figure 5 represents the temporal pattern of the three formants averaged across the participants. Triangles represent the times at the key points in the 530 531 horizontal movement as mentioned above (dashed and dotted lines on Figure 2), with the 532 first triangle corresponding to the end of interval R1 and the beginning of interval R2. 533 The acoustic impact of the perturbation varied across vowels. The peak changes occurred 534 at the onset of the reflex-like compensatory movement (second triangle corresponding to 535 the beginning of interval R2-2). The largest changes were induced during the production 536 of the vowel $/\epsilon/$.

537 For vowel ϵ , the changes at the first negative peak were significant for F1 and F3 538 (p < 0.005 for both), but not in F2 (p > 0.3). Those were significantly reduced at the peak 539 displacement of the compensatory response (third triangle corresponding to the end of 540 interval R2-2; p < 0.03 for F1 and p < 0.002 for F3), although their frequencies were still 541 significantly different from their values at the perturbation onset (p < 0.003 for F1 and p 542 < 0.05 for F3). When the perturbation was removed, the changes between the fourth and 543 fifth triangles were induced again in F1 and F3 (p < 0.03 for F1 and p < 0.002 for F3). For 544 vowel /e/, the initial change due to the perturbation was induced in all three formants (F1: 545 p < 0.05, F2: p < 0.002, F3: p < 0.005). At the end of the compensatory response R2 (third 546 triangle), the changes in F1 and F3 were significantly reduced (F1: p < 0.01, F3: 547 p < 0.0001) with no reliable difference from their original frequencies (p > 0.1 for F1 and 548 p > 0.4 for F3). On the contrary, the compensatory movement did not reduce the 549 alteration of the second formant induced by the perturbation (p > 0.6), which remained 550 even after the removal of the perturbation. The removal of the perturbation changed F1 (p 551 < 0.01) and F3 (p < 0.001), but not F2 (p > 0.2). For vowel /i/, the initial change was 552 induced in F1 and F2, but not in F3. Those formants returned to their original values at 553 the perturbation onset by the compensatory response.

Interestingly, the early component R2-1 of the compensatory response (between the first and second triangle) was not effective in compensating the acoustic effects of the perturbation. Rather acoustic compensation occurred mostly in the second component R2-2 of the compensatory response (between the second and the third triangle). This suggests the second component of the compensatory response (R2-2) may be specifically controlled to maintain and recover the intended speech sound. Taken together with the displacement results, these results suggest that the tongue position is controlled not to maintain a specific tongue position, but to maintain the shape of the tongue surface in the region that is crucial for the perceptual characteristics of the sound.

564

565 Simulation with the biomechanical model: effect of the reflex gain

566 We carried out simulations of the force perturbation of the tongue using the 2D 567 biomechanical model and examined the model's perturbation response. The general 568 pattern of movement along the horizontal direction was very similar to the experimental 569 one (see Figure 6A, k = 1). The trajectory showed a first immediate forward movement 570 (interval R1) followed by a backward movement (interval R2) and a strong final 571 backward movement once the perturbation was removed (interval R4). However, the 572 gradual drift experimentally observed during the holding phase in interval R3 did not 573 exist in the simulation: the tongue model remained stable.

574 We then tested how the compensatory response changed depending on changes in 575 reflex gain. Figure 6A shows the temporal pattern of horizontal displacement in 576 simulation with several reflex gains ranging from 0 to 10 times the gain in the original 577 tongue model. Change in reflex gain affected the responses to the perturbation. The 578 strongest consequence was observed in the amplitude of the compensatory response 579 corresponding to interval R2 in the experimental data. The largest response was obtained 580 with the largest reflex gain (k = 10). On the other hand, when the gain was set to zero, 581 which corresponds to the situation where the tongue responded with its passive stiffness 582 only, the compensatory response was not observed. This finding supports the idea that the 583 compensatory response is not driven by a passive component alone, but by an additional 584 neural feedback mechanism, possibly by a reflex arc. Figure 6D displays the 585 compensatory amplitudes in each observed location on the tongue contour. The amplitude 586 of TT was smaller than the other two, TB and TD and the amplitudes of TB and TD were 587 almost the same. This simulated variation across the locations on the tongue was similar 588 to our behavioral observations under posturing condition (right panel in Fig. 4). In the 589 current simulations, the reflex gain was set to the same value in all the tongue muscles. 590 The differences between the results of our simulations and the experimental observations 591 in speaking condition suggest that our subjects could have regulated the reflex gain 592 specifically in each muscle to achieve the stability requirement of the speech production 593 tasks.

594 The simulated muscle activation patterns are shown in Figure 7. The model has 595 seven tongue muscles (GGp: Posterior genioglossus, GGa: Anterior genioglossus, Hyo: 596 Hyoglossus, Sty: Styloglossus, SupLongi: Superior longitudinalis, IntLongi: Inferior 597 longitutinalis, and Vert: Verticalis). Figure 7A represents the temporal patterns of the 598 changes in muscle activations after the perturbation. The values represent relative 599 changes from the baseline activations in the tongue posture at the perturbation onset with 600 various amplitudes of the reflex gain. The top panel is a magnified view of the 601 corresponding tongue blade (TB) displacements that is already shown in Figure 6A. 602 Figure 7B showed the baseline activation levels in tongue posture of vowel /e/ reached at 603 the perturbation onset.

604 In these four muscles, we found that the activation of the styloglossus and the 605 posterior genioglossus was most affected by the perturbation, while the activation of the

606 anterior genioglossus and the inferior longitudinalis changes relatively little. The 607 styloglossus muscle showed excitatory patterns of activation, while the posterior 608 genioglossus showed inhibitory pattern of activation in contrast. These observations are 609 consistent with the fact that the forward displacement of the tongue due to the 610 perturbation caused an elongation of the fibers of the styloglossus, which increases the 611 difference between the actual fiber length and the corresponding threshold muscle 612 command λ , and a decrease in the length of the fibers of the posterior genioglossus, 613 which reduces the difference between the actual fiber length and the corresponding λ 614 command. As a result, styloglossus activation increases and pulls the tongue backward in 615 order to change the fiber length back to a value closer to the λ command, and the 616 activation of the posterior genioglossus is reduced. Although there were reflex activations 617 in the hyoglossus and verticalis, this effect is small because, due to their orientation in the 618 tongue, their fibers were less impacted by the forward displacement of the tongue.

619 We also found differences between recorded and simulated responses in the mid-620 sagittal plane. Figure 6B shows the simulated trajectory in the sagittal plane. As in the 621 recorded data, the tongue was moved horizontally by the perturbation force. Then the 622 compensatory response was induced in the direction of the original position. After the 623 release of the perturbation force, the tongue almost returned to the original location. 624 Contrary to our experimental observations, the simulated compensatory response did not 625 induce the tongue to recover its original contour, but rather to return directly to its 626 original shape at the perturbation onset.

627 We examined the amplitude of the initial displacement immediately after the 628 perturbation (interval R1 in the experimental data) at three tongue sites (TT, TB and TD)

(Figure 6C). The largest amplitude was seen in TB, where the perturbation was applied.
The displacements in TT and TD were almost the same and they were smaller than in TB.
These differences could originate in the fact that the tongue model includes an isotropic
account of tongue biomechanics (see Nazari et al. 2013, for a more realistic account).

633

634 **Discussion**

635 The current study enabled the first observation of a rapid compensatory response 636 in the tongue induced by an external mechanical perturbation. The mathematical 637 stimulations using a 2D biomechanical model of the tongue strongly support the 638 hypothesis of the existence of a reflex mechanism by showing that a sequence of 639 compensatory responses similar to the one observed experimentally can be reproduced 640 with a reflex arc. In addition, the reflex-like part of the simulated compensatory response 641 was systematically modified in vowel posturing depending on the task. More specifically, 642 the anterior part of the tongue showed greater amplitudes of the compensatory response 643 in the speaking tasks than in the posturing task. In contrast, the posterior part of the 644 tongue showed a smaller response in the speaking tasks. Speaking requires more precise 645 control to maintain the target tongue contour. Our experimental observations also suggest 646 that the control of the tongue for vowel production does not aim at producing a specific 647 tongue position, but rather at preserving the shape of tongue contour in the region close to 648 the palate. These compensatory movements changed the formant patterns of the perturbed 649 speech signal and enable recovering formant patterns that were closer to the original 650 ones. As an additional finding, the current study quantitatively demonstrated the 651 empirically known fact that tongue stiffness can be changed according to the vowel and

the articulatory manner, and that it influences the stability of the tongue posture. The limited number of subjects (nine) included in this experiment obviously calls for some caution in relation to these general conclusions. However, the consistency of the response across all the participants and the systematic nature of the observations across repetitions argue strongly for the generalization of our results.

657 Tongue motor control mechanisms have been investigated mostly based on 658 empirical observations of tongue kinematics and inspired by findings from other organs 659 such as the limb. Applying a newly developed mechanical perturbation to the tongue 660 during postural control aims at allowing the discovery of detailed clues about tongue 661 control mechanisms, such as error correction for movement and posture stabilization by 662 feedback loops, and update of feedforward commands by adaptation and learning. In the 663 current study, this approach enabled us to show, for the first time, a rapid compensatory 664 response with a strong evidence for the role of a neural feedback loop. Interestingly in 665 our experiment, this feedback control does not seem to preserve the exact tongue posture, 666 but to preserve the shape of the tongue contour, which is crucial for vowel production. 667 Such a feedback mechanism is difficult to predict from the generalization of control 668 mechanisms observed in skeletal systems, in which restoring the original position is the 669 basis of postural control. This flexibility (or redundancy) may be a special feature of 670 tongue posture control due to the muscular hydrostat system. As a possible mechanism, a 671 basic muscle tonus of the genioglossus, which is the largest muscle in the tongue 672 musculature (Takemoto 2001), may be involved in the stabilization of the original tongue 673 contour. Due to the fan-like orientation of the fibers of the genioglossus, this basic tonus 674 applies a main stress that acts in the direction to the tongue root for maintaining the

tongue posture in usual situations and this may largely contribute in the studied
compensatory movement to maintain the same tongue contour as before the perturbation.
The compensatory nature can also fit to the task demand to maintain a specific shape of
the tongue contour for the production of a variety of vowel sounds.

679 The observed compensatory responses worked to recover the auditory 680 characteristics (formant patterns) of the original sound. This is consistent with previous 681 experimental observations such as those of Gay et al. (1981) in which a bite-block was 682 used to perturb subjects during the articulation of English vowels resulting in 683 compensation strategies that preserved the shape of the vocal tract constriction. This 684 recovering of the original contour could be guided by a voluntary compensation 685 mechanism. Since voluntary reactions involve a cortical processing and a decision 686 process, the resultant latency for voluntary compensation is typically long. Previous 687 studies demonstrated that the reaction time of muscle activation in response to external 688 stimulations was 150 ± 13 ms in the jaw (Ottenhoff et al. 1992), 315.7 ± 98.4 ms in lips (Ito 689 et al. 2005) and 154 ms in fingers (Cole and Abbs 1987). The compensatory response 690 observed in our study was induced less than 100 ms after the perturbation onset, for the 691 first phase of the response (R2-1) and 140 ms after the perturbation for the second phase 692 (R2-2). Considering muscle contraction delays from muscle activation onset to force 693 generation (Ito et al. 2004; Mannard and Stein 1973) and the duration of the mechanical 694 response from force generation to the onset of movement, we established that the 695 latencies measured in the current compensatory responses were shorter than the latencies 696 of voluntary reaction observed in former studies. Accordingly, we conclude that the 697 current compensatory response does not result from a voluntary action, but from other698 faster mechanisms.

699 Given the findings in Gomi et al (2002), these faster mechanisms can be driven by 700 passive mechanical properties and by reflexes. These two mechanisms are distinguished 701 based on response latency. Mechanical response is the fastest response, which can be 702 induced just after the movement change by the perturbation. We have assumed that the 703 articulatory manner (voicing, whispering, posturing) can be controlled depending on the 704 adjustment of mechanical properties, such as stiffness and viscosity (Burdet et al. 2001; 705 Gomi et al. 2002; Gomi and Osu 1998). A reflex response occurs after the passive 706 response due to neural transmission delays (Gomi et al. 2002). Depending on the pathway 707 of neural feedback, such as via the brainstem or up to the cortex, there are several 708 possible response latencies (Ito et al. 2005; McClean 1991). In our experimental data, we 709 found an inflection point during compensatory response that corresponds to the 710 acceleration peak around 140 ms after the perturbation onset. We interpreted this 711 inflection point in displacement as the point of initiation of a reflex response, which 712 means that the remaining part of the compensatory response (R2-2 interval in the right 713 panel of Figure 2) was driven by reflex mechanisms. Our mathematical simulation 714 supports this idea because the change in reflex gain in the model induced significant 715 changes of the amplitude of the compensatory response. In the orofacial system, cortical 716 reflexes have been shown to be involved in compensatory responses in the lips, with lip 717 muscle responses occurring 47.5ms (\pm 27.5ms) after perturbation of the jaw, with the 718 corresponding change in movement observed 100 ms after the perturbation onset (Gomi 719 et al. 2002). Since the latency of the kinematic compensatory response in our experiment 720 was longer than the one observed in lip adjustments due to cortical reflex in jaw 721 perturbation studies, a cortical reflex could also be involved in the response of our 722 subjects.

723 Neilson et al. (1979) had failed to find clues for tonic stretch reflex of the tongue 724 with electromyographical recording from five tongue muscles (genioglossus, geniohyoid, 725 mylohyoid, styloglossus and tongue intrinsic muscle). They used a sponge forceps to grip 726 and stretch the tongue. It can be difficult to apply a force to the tongue in a consistent 727 manner with such a manual perturbation. Moreover, the force to grip the tongue with the 728 sponge forceps could have had an undesired effect and suppressed any reflexes, including 729 a stretch reflex. Contrary to this finding, we found concordant elements in the latency of 730 the experimentally observed responses, and in the similarities between simulated 731 responses with the biomechanical model and observed responses. These results support 732 the hypothesis of the existence of a reflex in the control of the tongue posture. Given the 733 nature of the current tongue perturbation, the observed compensatory response may 734 correspond directly to the stretch reflex as it would be in skeletal muscles. This can be 735 supported by the observation of the activation pattern of the styloglossus in our 736 biomechanical model. Since the neural connection and the sensory origin could not be 737 determined in the behavioral study alone, further investigation including the recording of 738 muscle activation is required to confirm the existence of stretch-like reflex in the tongue.

The current study can provide a direct clue about stiffness variations of the tongue during speech production. By using a robotic device, we consistently applied the same amount of force to the tongue in the three vowel articulations (/i/, /e/, and / ϵ /) and in the three articulatory manners (voicing, whispering and posturing). Comparing the vowel

743 articulation, the smallest displacement due to the perturbation was induced in the 744 articulation of /i/ and the displacement was increased from /e/ to ϵ /. This is consistent 745 with the previous findings described in the Introduction. The current study 746 experimentally examined the variation of tongue stiffness depending on the requirements 747 of speech utterances. Changes in tongue stiffness also explain the differences observed 748 across articulatory manners. We found that the displacement induced by the force 749 perturbation was smaller in the voicing and whispering conditions than in the posturing 750 condition. This indicates that intrinsic tongue mechanical stiffness was larger when the 751 subjects were actually producing speech sounds, and suggests that speech production 752 requires a larger amount of muscle activation. Since we do not find any difference 753 between voicing and whispering condition, the activation level of tongue muscles seems 754 not to be reliably affected by the simultaneous involvement of vocal fold vibrations. 755 Taken altogether these findings support the idea that the stiffness of the tongue can be 756 modified depending on the vowel and on the articulatory manner. Interestingly, response 757 patterns in the R2-2 interval were different from the response patterns in all the others 758 R1, R2-1 and R4 intervals; this result also supports the idea that the compensatory 759 response in R2-2 was not driven by a passive component, but rather by neural feedback 760 loop, such as a reflex.

Stiffness can also be different between anterior and posterior parts of the tongue. We found that displacement due to the passive component of the perturbation response was different across sensor locations on the tongue (TT, TB and TD). While TB and TD responded with a similar amplitude of displacement, TT was smaller than the others. The results indicate that the passive stiffness of the tissue connecting TB and TD is high

real enough to synchronize their movements, while the stiffness of the connection between TB and TT is relatively low. Accordingly, the passive mechanical characteristics of the tongue may not be homogeneous in the tongue body. Investigating mechanical properties of the tongue body is difficult because those properties may be different in tests carried out on cadavers and in living subjects due to basic muscle tonus. Applying a mechanical stretch to the several different sites during the same task is one way to further investigate the mechanical properties of the tongue body in detail.

773 We found that the amplitude of the compensatory response in speaking tasks was 774 greater in the anterior region of the tongue than in the posterior region. This may be 775 related to the composition of the muscle fibers. (Stål et al. 2003) found that fiber 776 composition in intrinsic tongue muscles varied depending on the part of the tongue. The 777 anterior region of the tongue contained predominantly fast twitch fibers (Type II), in 778 contrast to the posterior region which contains more slow twitch fibers (Type I) than 779 Type II fibers. This difference in muscle composition may be due to the functional role of 780 the different muscles in making quick and flexible movements. Accordingly, 781 compensatory responses to external disturbances may be included in those functional 782 demands.

In speech motor control, auditory feedback can also be a possible loop to induce compensatory movements together with somatosensory feedback (Houde and Jordan 1998; Lametti et al. 2012). In previous studies (Larson et al. 2000; Purcell and Munhall 2006), the onset of auditory compensation was found to be more than 200 ms after the perturbation onset when a sustained vowel was perturbed at a certain time in pitch or formant due to altered auditory feedback, which is a situation similar to the one in the

789 current study. In Purcell and Munhall (2006), when the first formant was suddenly shifted 790 by 136 Hz on average at a certain time during the sustained vowel production, the 791 auditory perturbation was gradually compensated from around 300 ms after the 792 perturbation onset, and the amplitude of compensation reached more than 20 Hz at 800 793 ms after the perturbation onset (approx. 15% of compensation). This manner of 794 compensation is different from the findings of our study, in which the acoustic changes 795 induced by the tongue perturbation were immediately compensated at the latest 300 ms 796 after the perturbation onset, without any gradual compensation afterwards. In addition, 797 the maximum change of the first formant induced by the tongue perturbation during the 798 production of ϵ / was approximately 55 Hz on average across subjects, which is about 799 half of Purcell and Munhall's acoustical perturbation. In contrast, the magnitude of the 800 compensation in the tongue perturbation was 27 Hz on average, which corresponds to 801 approximately 50% of the magnitude of the initial formant change. Thus, larger amounts 802 of acoustical compensation were achieved in our study than in the case of auditory 803 perturbation. Considering that the acoustical change was synchronized with the 804 compensatory movement in the tongue, we believe that the current compensation is 805 induced predominantly by somatosensory error rather than auditory error. In addition, the 806 auditory error that remained after the first compensatory response (i.e. after 250 ms) in 807 the production of ϵ /was not further compensated. Since the sound in perturbed condition 808 was still in the perceptual range of vowel ϵ , this acoustical error may be ignored to be 809 corrected, as opposed to the somatosensory error. The auditory error accompanying the 810 articulatory perturbation, as in the current study, may be easily compensated by simply 811 compensating for the articulatory changes. On the contrary the auditory error that occurs

with unperturbed articulation, such as in altered auditory feedback, may be difficult to
compensate for probably due to a conflict between auditory and somatosensory
requirements (Katseff et al. 2012; Lametti et al. 2012; Patri et al. 2019).

815 Although the previous studies (Larson et al. 2000; Purcell and Munhall 2006) 816 showed that the compensatory response via auditory feedback is longer than 200 ms, Cai 817 et al. (2011) also reported a relatively faster auditory compensation (approx. 120 ms). 818 Since this latency is comparable to the one in the current compensatory response, 819 auditory feedback may be involved in the production of the current compensatory 820 movement. Different from the current situation where the perturbation was applied during 821 the static articulation of the vowels, as it was in Larson et al. (2000) and Purcell and 822 Munhall (2006), Cai et al. (2011) applied auditory perturbation in a dynamical manner 823 since the first formant was altered during the production of a vowel-to-vowel gesture. 824 The arm motor control studies suggested that the latency of compensation to sensory 825 errors can be different between dynamical and static motor situations. The changes of 826 muscle activation by reflexes in response to a force perturbation occur with a longer 827 latency during a static posturing (80 ms, Soechting and Lacquaniti 1988)), than during a 828 movement (40 ms, Soechting (1988)). This suggests that the response via auditory 829 feedback in static situation can take longer than the 120 ms latency found in Cai et al. 830 (2011), and that the response to the current compensatory response occured sufficiently 831 early to reject the possility that it would be due to auditory feedback. We conclude that 832 the possible involvement of auditory feedback is unlikely in the compensation observed 833 in our study.

834 These converging indications concerning a major role for somatosensory feedback 835 in the current compensatory response also suggest that correcting the somatosensory error 836 is enough to recover the perceptually relevant characteristics of the original speech sound. 837 Since similar speech sounds can be produced with different articulatory configurations, 838 multiple choices for articulatory compensation can be possible for compensation of the 839 speech sound. However, the compensatory movement observed in our study was achieved in a consistent manner across the vowels and subjects, which tends to preserve 840 841 the tongue shape in the constriction region. This suggests that the speech motor control 842 system could make use of a one-to-one auditory-somatosensory mapping in order to 843 achieve a specific auditory goal using somatosensory feedback alone.

844

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Figure Legends

Figure 1: A: Experimental setup of tongue perturbation. Green points represent the location of sensors of electromagnetic articulograph [TT: tongue tip, TB: tongue blade, TD: tongue dorsum, UL: upper lip, LL: lower lip, J: jaw, and reference makers (nasion, right and left mastoid and upper incisor)]. B: the view of tongue surface representing the locations of EMA sensors (TT, TB and TD) and anchor points for the tongue perturbation (TP). C: Schematic view of the 2D tongue model. The nodes on the tongue surface are numbered from 1 (tongue root) to 16 (tongue tip). The nodes, 11, 13 and 16 were chosen as the corresponding location of measured sensors (TD, TB, and TT, respectively). The four nodes (nodes 13 and 14 and two nodes below those) represented by blue-filled points were chosen as the area for the tongue perturbation (TP). Muscle representations of styloglossus and posterior genioglossus were shown as representative examples. Styloglossus is shaded in red and posterior genioglossus is in light blue. The bold thick line (styloglossus in black and posterior genioglossus in gray) represents macrofibers and the shaded area represents the elements whose mechanical stiffness was changed by muscle activation.

Figure 2: A: Horizontal displacement change in the jaw (J), upper and lower lips (UL and LL), and tongue tip, blade and dorsum (TT, TB and TD). The shaded area represents the standard error across the subject. The vertical dashed and dotted lines represent remarkable inflection points in the trajectory that was detected in TB. B: The magnified view of the horizontal displacement, horizontal velocity and horizontal acceleration in

TB. The time range corresponds to the range shown with the thick horizontal bar in A. The vertical lines also correspond to the ones in A.

Figure 3: A: Trajectory of tongue blade (TB) displacement due to the perturbation from the onset of the perturbation (circle mark) to the offset (x mark) in voicing condition. Each line represents three vowel conditions. * marks represent a detected local peak of the movement. + marks represent acceleration peaks during compensatory response. The estimated tongue surfaces in each vowel condition are superimposed as gray lines. B: Averaged displacements in each phase. Top panel: grouping according to the vowels; Middle panel: grouping according to articulatory manners; Bottom panel: grouping according to the sensor locations. Error bars represent standard error.

Figure 4: Displacements of compensatory response (R2-2 in Figure 2). Error bars represent standard error.

Figure 5: Temporal patterns of the first, second and third formants in speech sounds. Triangles represent the temporal points corresponding to the inflection points in displacement, which is represented as the vertical line in Figure 2. The shaded area represents the standard error across participants.

Figure 6: Simulated results. A: time response in horizontal displacement of TB with each reflex gain. The vertical lines represent the peak points of the first initial response (R1) and of the compensatory responses (R2). B: TB displacement in the sagittal plane. C: The

peak displacement of R1 in three tongue sites (TT, TB and TT). D: The peak amplitude of compensatory response (R2) in three tongue sites.

Figure 7: Simulated results. A: time response of muscle activation level. The amplitude of muscle activation was represented in local unit of the simulation. B: base line muscle activity for the production of /e/ in simulation.













