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A physical model for high resolution radar sea clutter

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Abstract—Sea clutter intensity for high-resolution imaging radars is known to deviate from the classical exponential probability distribution which is observed at low resolution. We use a physical description of sea clutter based on the Two-Scale Model to obtain a relevant expression of the clutter distribution at high-resolution. We test this model with a set of airborne SAR data and show that it provides excellent fits of the intensity distribution in the two polarizations with only one parameter, which is interpreted as a surface mean square slope and is sea state dependent.

Keywords—Sea clutter, radar image, high resolution

I. INTRODUCTION

Sea clutter discrimination is a key issue for target identification in a maritime environment with imaging radars. This discrimination is usually based on the statistics of pixel intensity. At low spatial resolution the pixel intensity is known to follow an exponential probability distribution. However, as the resolution increases, it is better represented by heavy tail distributions. Today, a wide variety of empirical distributions has been proposed to fit the observed data (e.g. [1]).

In this work, we rather use a physical description of sea clutter to characterize the intensity distribution. It is based on the Two-Scale Model (TSM) and leads to the so-called compound probability distribution ([2], [3], [4]). We apply this simple model to a set of high-resolution airborne SAR data and obtain excellent fits of the clutter distribution in the main two polarizations using a unique parameter, which is interpreted as a surface mean square slope in the radar look direction.

II. THE COMPOUND DISTRIBUTION MODEL

In high-resolution the radar reflectivity can be described in terms of a Two-Scale Model (TSM [5]) in which the elementary rough facets have the same order of magnitude as pixel size. In this model the Normalized Radar Cross Section (NRCS, $\sigma_0^L(\theta)$) from the sea surface is obtained by an incoherent average of the cross-section of tilted rough facets. For a single facet of size L and slope s, the fluctuations of pixel intensity are exponentially distributed about a mean quantity $\sigma_0^L(\theta; s)$ referred to as the texture, which is the local NRCS attributed to the facet. When the random fluctuations of the facet slope s are taken into account, this leads to a compound probability distribution for the pixel intensity:

$$pdf(I) = \int \frac{1}{\tau(\theta; \bar{s})} \exp\left(-\frac{I}{\tau(\theta; \bar{s})}\right) pdf(\bar{s}) d\bar{s} \quad (1)$$

where

$$\tau(\theta, \bar{s}) = \frac{\sigma_L^0(\theta, \bar{s})}{\sigma_L^0(\theta)} \quad (2)$$

is a dimensionless quantity which we refer to as the “texture” parameter. Here the intensity has been normalized by the NRCS (so that the model does not depend on any absolute calibration).

To apply this model, we assume that the facet slope distribution is Gaussian and characterized by its mean square slopes (mss_x, mss_y) along the x and y axis of a Cartesian horizontal coordinates system. We will here adopt the convention that the x-axis is directed along the radar line of sight and the y-axis crosswise to the radar aim direction.

The local facet cross section, σ_L^0 , is given by the tilted Bragg model. Its general expression can be found in [5] and is combined with the classical Elfouhaily spectral model [6]. The compound distribution of the normalized intensity I can thus be calculated by direct integration of (1). In testing the model we observed that the mss_y parameter (that is, the mss perpendicular to the radar look direction) has virtually no influence on the intensity distribution while the latter is very sensitive to the mss_x parameter (that is the mss in the radar look direction). We therefore ignored the mss_y parameter in the computations (by setting its value to mss_x).

We also observed that the employed spectral model has little influence on the distribution of normalized intensity. Hence the model admits a unique effective parameter, mss_x .

Given the dynamical range of the backscattered intensity it is convenient to express it in dB providing a simple change of variable for the corresponding pdf:

$$pdf(I_{dB}) = \frac{\log_{10}}{10} I pdf(I) \quad (3)$$

III. ASSESSMENT OF THE MODEL

A. The experimental data set

Experimental data are used in this paper to evaluate the accuracy of the proposed model to represent actual radar sea clutter. They were collected by SETHI, the airborne remote sensing radar developed by ONERA [7]. It is a pod-based multi-frequency high resolution SAR system designed to explore the scientific applications of remote sensing. SAR

data have been acquired at two different geographical positions along the French coast, the Atlantic Ocean and the Mediterranean Sea.

The first data set in Figure 1, is polarimetric SAR data, collected at X-band over the English Channel with a high sea state ($U_{10} = 10.2 \text{ m.s}^{-1}$), an incidence angle of 70° and a spatial resolution of 0.5m in both range and azimuth directions. Several linear acquisitions were made with flight headings varying by steps of 22.5° to explore azimuth variation.

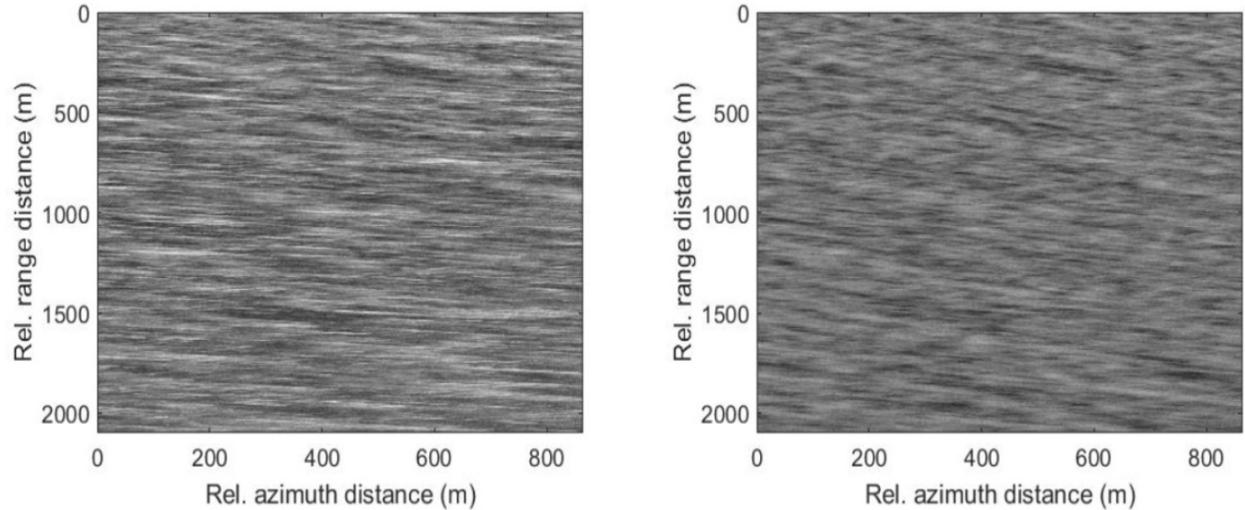
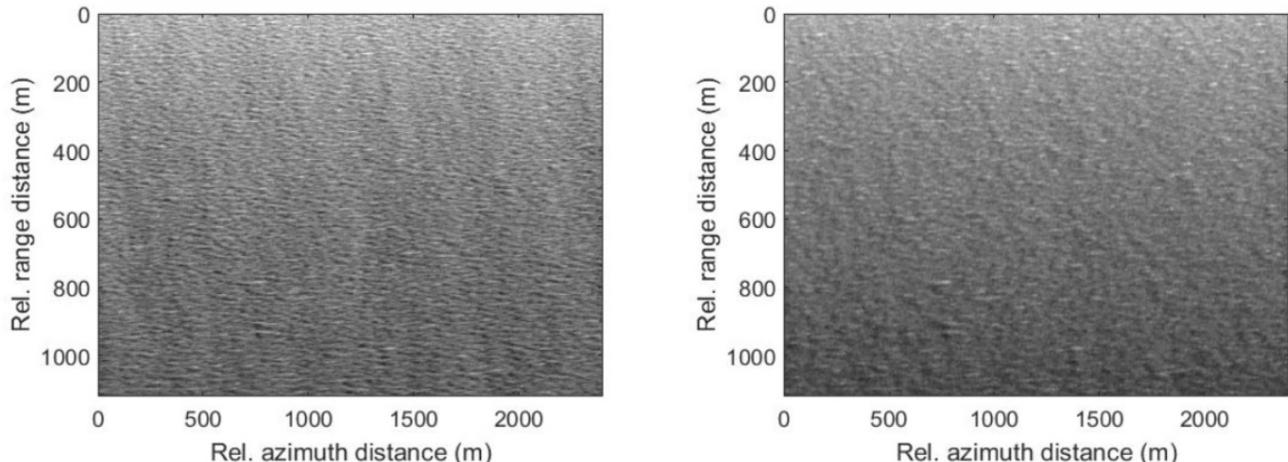
The second data set in Figure 2, is dual-frequency SAR data collected simultaneously at X- and L-band over the Mediterranean Sea with a moderate sea state ($U_{10} = 8.5 \text{ m.s}^{-1}$) and an incidence angle of 45° . The spatial resolution in both directions was equal to 0.5m at X-band and 1m at L-band. Only one linear flying trajectory is available, resulting in a crosswind observation.

The wind and wave information were obtained from Météo-France, the French national meteorological service and is given in Table I.

PROPERTIES OF THE SETHI SEA CLUTTER ACQUISITIONS INVESTIGATED IN THIS PAPER

Area	Polarization	Frequency band	Spatial resolution (m)	Incidence angle (deg)	Wind speed (m.s ⁻¹)	Wave height (m)	Look direction
Atlantic	HH+VV	X	0.5	70	10.2	3.6	varying
		X	0.5				
	L		1	45	8.5	1.1	Cross-wind

Table 1. Properties of the sea clutter acquisition investigated in this paper

Figure 1. X-band SAR data collected over the Atlantic Ocean with (left) HH-pol and (right) VV-pol. Incidence 70° , $U_{10} = 10.2 \text{ m.s}^{-1}$, upwind.Figure 2. SAR data collected over the Mediterranean Sea at (left) X-band and (right) L-band. HH-pol, incidence 45° , $U_{10} = 8.5 \text{ m.s}^{-1}$, crosswind.

A. Model fitting

The Bhattacharya distance is used to calculate the similarity between two PDF $m(x)$ and $d(x)$

$$D_B(m, d) = -\ln(C_B(m(x), d(x))) \quad (4)$$

It is related to the Bhattacharya coefficient C_B which measure the overlap quantity between the two statistical samples:

$$C_B(m, d) = \int \sqrt{m(x)d(x)} dx \quad (5)$$

The empirical distribution of the intensity data has first been evaluated and the Bhattacharya distance (D_B) has been

minimized with respect to the trial values of mss_x for each polarization.

For the data in the Atlantic Ocean, in X-Band with a grazing angle of 70° and a strong sea state, the model fits with the data (Fig 4). The D_B is equal to -30dB and -29.4 dB in HH and VV-pol respectively.

For the other data in the Mediterranean Sea, in X-Band, as we see in the Figure 5, the model fits with the data. The D_B is equal to -30.1dB and -30.8 dB in HH and VV-pol respectively. For the data acquired at the same place but in L-Band, we see in the Figure 5, that the model fits also well with the data. For this band of frequency, the D_B is equal to -29.8dB and -29.9 dB in HH and VV-pol respectively.

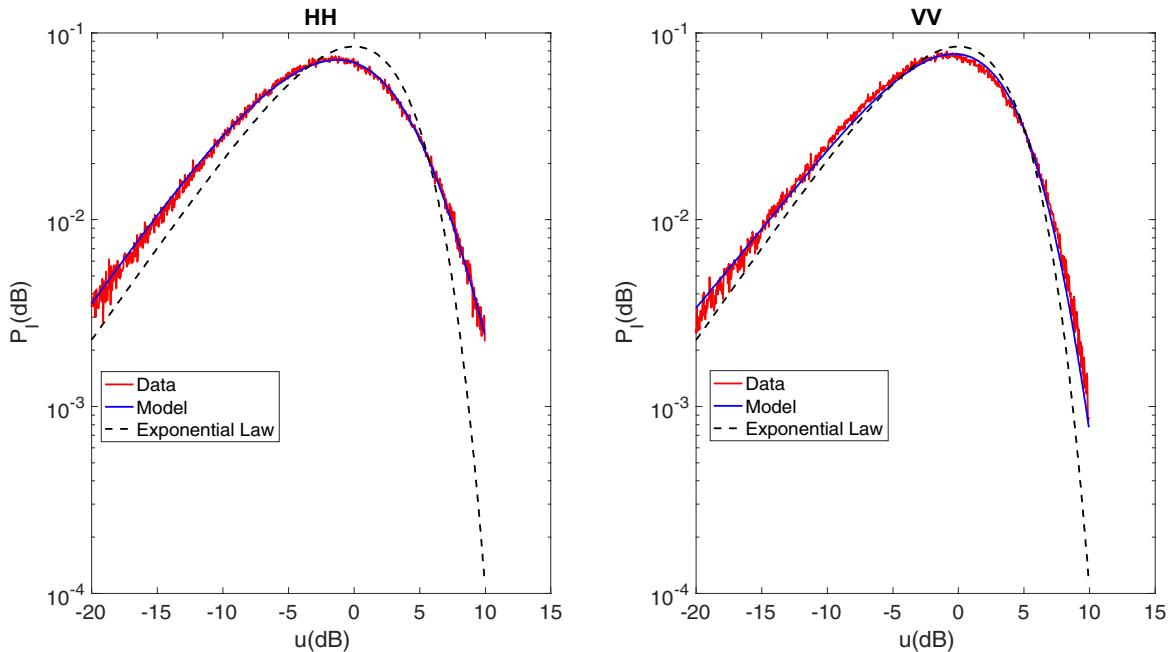


Figure 4. Illustration of model fitting - Atlantic Ocean : X-Band, Incidence 70° , $U_{10} = 10.2 \text{ m.s}^{-1}$. Actual radar data (red), model (blue), exponential law (black dash)

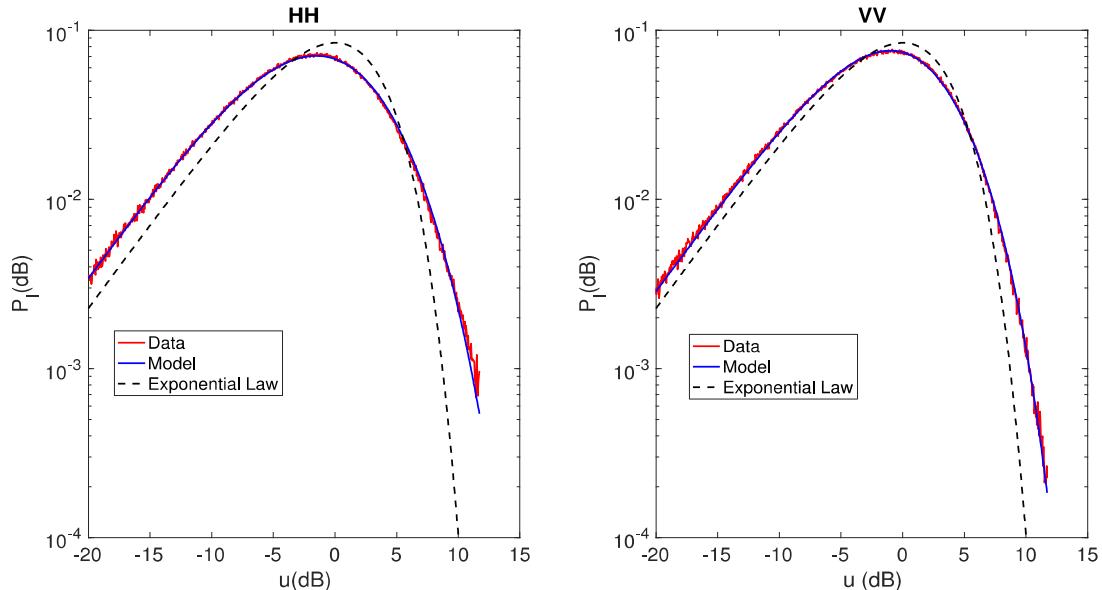


Figure 5. Illustration of model fitting - Mediterranean Sea: X-Band, Incidence 45° , $U_{10} = 8.5 \text{ m.s}^{-1}$. Actual radar data (red), model (blue), exponential law (black dash)

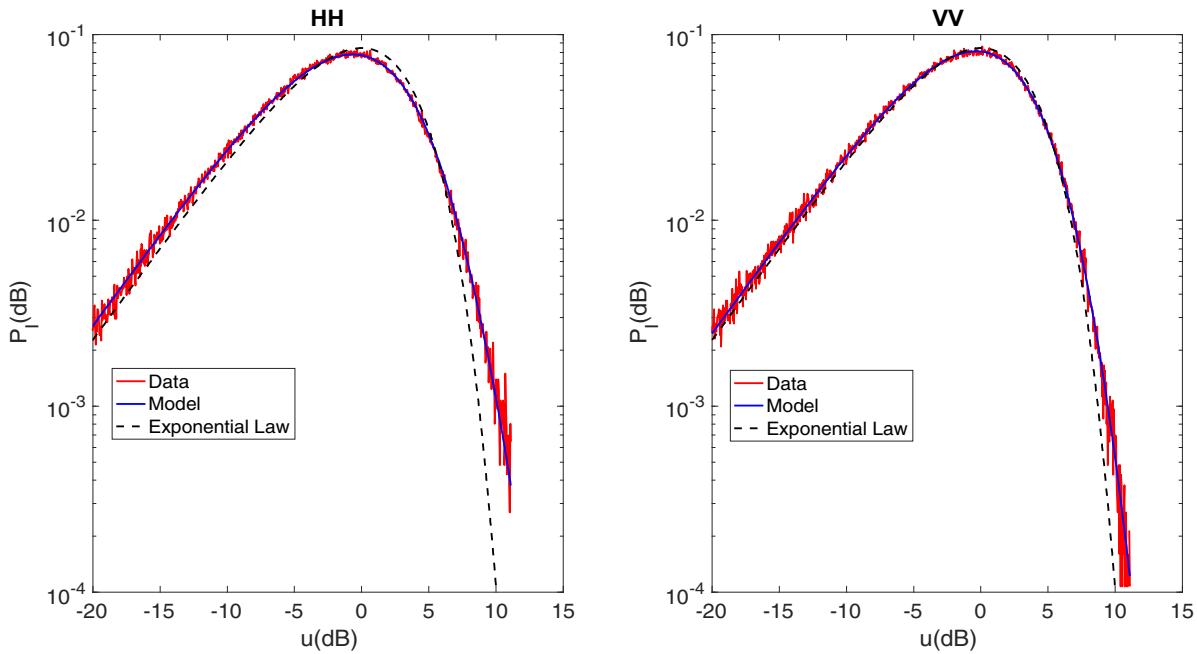


Figure 6. Illustration of model fitting - Mediterranean Sea: L-Band, Incidence 45° , $U_{10} = 8.5 \text{ m.s}^{-1}$. Actual radar data (red), model (blue), exponential law (black dash)

The values of the mss_x that allow a perfect fit to the empirical distribution of intensities are found to depend on the radar frequency, polarization, incidence angle and sea state. However, their values have the expected order of magnitude ($5.10^{-4} - 3.10^{-3}$) for filtered slopes.

Investigation is in progress to establish a precise relationship between these fitting values and the sea state for a given radar geometry.

II. CONCLUSION/DISCUSSION

A physical model, based on a classical Two-Scale representation of the ocean surface, has been used to fit high-resolution airborne SAR data. This model is controlled by only one parameter which is linked to the sea state and is robust to the calibration of the radar. The accuracy of the proposed model to represent actual radar sea clutter has been assessed using one measure of goodness of fit (the Bhattacharyya Distance) with radar data collected at X- and L-Band with different sea states and acquisition geometries.

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