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Stress response of farmed European abalone reveals rapid domestication process in absence of intentional selection

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Abstract :

Farming, and thus the domestication of *Haliotis tuberculata*, began recently. We compared the responses of unselected farmed and wild abalone to stressors that occur on farms. The aim was to determine if the farm environment had induced differences in the behavioural or physiological performances of the abalone. Thirty hatchery-born 3.5 year-old abalone and thirty wild ones were reared under standard farm conditions for 6 months and characterised for 19 traits related to growth, survival, behaviour and immunology. Behavioural and immunological responses to stressors differed between the two stocks. Farmed abalone retracted and swivelled less in reaction to a finger contact. Phagocytosis efficiency was reduced by shaking in abalone from both origins, but the farmed stock returned to the basal level after the recovery week, while wild abalone did not, and a rise of total haemocyte count after shaking and its return to a basal level after one week was only observed for the farmed stock. This indicates that both behaviour and immune responses following a stress have been affected by the farming practices. This suggests that a domestication process has already been initiated in the farmed stock. Our results may also be important for the success of any population enhancement based on hatchery-produced abalone as they raise the question of the capacity of abalone with a farmed origin to be adapted to the wild environment.

Highlights

► Domestication effect on the European abalone *Haliotis tuberculata* biology. ► The ethology approach provides useful tools to characterize wild and farmed abalone. ► Foot contraction and righting latency are indicators of growth and survival after stress procedure.

Keywords : Abalone aquaculture, Domestication syndrome, Behavioural adaptation, Responses to stress, Immune response, Farm stressors

Abbreviations

ANOVA	analysis of variance
PCA	principle component analysis
SEM	standard error to the mean
Df	degree of freedom
NS	non significant

Introduction

Worldwide, farmed abalone stocks are in the early stages of their domestication process (Elliott, 2000). At the beginning of a domestication process, stocks may acquire specific traits to become more adapted to their captive environment as a result of the stressors or specific conditions in the farm environment (Lorenzen et al., 2012). As farming of these species has begun only recently, the study of behavioural and physiological responses to common stressors on farms may be useful both to improve the husbandry system and to study the domestication process.

Abalone are herbivorous marine gastropods that occur naturally in coastal waters of all continents. The European abalone *Haliotis tuberculata* is found on sheltered to exposed rocky shores between the intertidal and 10 meters depth, where it leads a cryptic life (Forster, 1962). *Haliotis tuberculata* is exclusively nocturnal in the wild. It was reported to move less than 10% of its time during the spring at 18°C (Allen et al., 2006; Cenni et al., 2009). Like most abalone species, *Haliotis tuberculata* is highly sensitive to environmental changes (Cenni et al., 2010). Farm stressors, like high temperature or high density (Huchette et al., 2003; Hooper et al., 2014a), manipulations (Hooper et al., 2011a), shaking (Malham et al., 2003), high ammonia or low oxygen saturation (Cheng et al., 2004c) and air exposure (Ragg and Watts, 2015) are known to produce higher disease susceptibilities and subsequent mortalities or reduced growth. Abalone that can tolerate these rearing stressors are more suitable for producers (Robinson et al., 2013). But multiple stressors may occur in an abalone rearing system and their consequences are often observed after some delay. Thus it is important to observe abalone for a long enough period after the stress application when studying stress responses (Ragg et al., 2000; Ragg and Watts, 2015).

To quantify the degree of fish domestication in aquaculture, a 5-level scale has been proposed (Teletchea and Fontaine, 2014). The level 0 is attributed to species that are only

74 harvested by fisheries. 1, 2 and 3 are considered “pre-domestication phase” levels, where the
75 life cycle is not closed in the farm. At level 3, the animals are grown in a farm, but wild animals
76 are used as broodstock to produce larvae. At level 4, the larvae are produced using farm reared
77 broodstock, and unintentional selection can occur progressively across the generations. At level
78 5, a selective breeding plan involving intentional selection is implemented leading to clear
79 genetic differentiation between wild and farmed population.

80 For marine species in the pre-domesticate phase, the impact of rearing environment on
81 behaviour and physiology may be higher than for terrestrial species. Indeed most marine species
82 have high phenotypic plasticity and rapid unintentional selection is facilitated by high fecundity
83 and high mortality rates at early stages, imposed by the rearing system in the early phases of
84 production (Taris et al., 2007; Lorenzen et al., 2012). Thus behavioural changes may occur
85 quickly for these species. Indeed, immune and metabolic changes in fish, associated with
86 differential expression of hundreds of genes have been observed after only one generation of
87 domestication (Christie et al., 2016), and interestingly small changes of rearing environment
88 with no intentional selection affect the behaviour differentiation between wild and farmed stock
89 (Straus and Friedman, 2009). In Australia, abalone produced from broodstock selected for a
90 high growth rate over three generations displayed higher mobility than wild specimens
91 (Robinson et al., 2013). A loss of predator avoidance reactions in farm-reared abalone may
92 explain the low survival success of some stock rebuilding or enhancement strategies, where
93 farm-produced juveniles were used (Kojima, 1995). Experiments to investigate the feasibility
94 of abalone enhancement strategies have underlined the importance of understanding their
95 behaviour and ecology in order to maximize the chance of success (Dixon et al., 2006; Hansen
96 and Gosselin, 2013). Predation has been identified as the highest source of mortality in
97 outplanting strategies (Hansen and Gosselin, 2013), and hatchery stock of *Haliotis*

98 *kamtchatkana* originating from wild broodstock showed an anti-predator behavioural deficit
99 compared to wild stock (Hansen and Gosselin, 2016).

100

101 Previous work has shown that behavioural and physiological reactions to stressors are
102 indicators of suboptimal conditions in abalone. For example, a deficit in calcium in the water
103 can induce a reduction in sheltering behaviour (Cenni et al., 2010). Handling stressors like the
104 sampling of an epipodium resulted in increased movement (Robinson et al., 2013).
105 Physiological traits such as immunological parameters can also be used to assess the effect of
106 such stress (Hooper et al., 2007; Ragg and Watts, 2015). In abalone, haemocytes are the main
107 defense cells (Travers, 2008); the density of haemocytes in circulation and their phagocytic
108 activity both vary after a stress. A shaking of fifteen minutes and heat stress both enhance
109 phagocytosis rate, which then returns to the basal level within two days (Malham et al., 2003;
110 Hooper et al., 2014a), and suboptimal rearing conditions or handling procedures induces a
111 decreased phagocytosis rate, which returns to the control level within 5 days (Cheng et al.,
112 2004b; Cheng et al., 2004c; Hooper et al., 2011a). Similarly, the total haemocyte count in
113 samples of haemolymph was increased by shaking and heat stress (Malham et al., 2003; Hooper
114 et al., 2011a; Hooper et al., 2014a), but decreased when the water quality decreased (Cheng et
115 al., 2004a).

116

117 Based on this international research in abalone biology, the present study aimed to
118 compare behavioural and physiological responses to farm stressors of farmed abalone (at
119 domestication level 3) and wild European abalone (domestication level 0). Our objective was
120 to assess if the early domestication process may have impacted abalone behaviour and
121 physiology in a farming context. The eventual consequences on growth and mortality were also
122 studied.

123

Materials and Methods

124 2.1 Animals

125 Farmed adult *H. tuberculata* (n= 30, 70.0 ± 4.0 mm total shell length, 3.5 years old) were
126 sampled from 9 sea-based breeding structures of the company France Haliotis (48°36'46N,
127 4°33'30W; Plouguerneau, France). Abalone were sampled randomly: an abalone was selected
128 haphazardly, and then the 3rd closest neighbour to this animal was gently detached ('chipped')
129 from the surface and tagged. The animals of the experiment were the third generation bred in
130 the farm, as a result of systematic mating in each generation between wild and farmed
131 broodstock (either males or females were wild broodstock) to prevent any inbreeding. Thus this
132 stock can be considered to be at domestication level 3 (Teletchea and Fontaine, 2014). A
133 professional diver collected wild adults of similar size to the farmed ones (n = 30, 70.1 ± 4.0
134 mm total shell length, age unknown) on the same day, near to the sea-based rearing structures,
135 separated from them by at least 100 m of sandy bottom. We assume that no genetic
136 contamination occurs in this area because the biomass of abalone is low considering wild
137 population and farm abalone are harvested before that they reach complete maturity (Clavier
138 and Richard, 1986). Farm abalone have a green coloration at the apex, as a result of the juveniles
139 feeding on *Ulvelia*. Shells of wild abalone were examined to make sure that they were not
140 escapees from the farm. Individuals of this population can be considered at domestication level
141 0 at the beginning of the experiment (Teletchea and Fontaine, 2014). Both farmed and wild
142 abalone were inspected when chipped, to ensure there were no cuts on the feet, and placed in
143 bags containing circular black plastic oyster seed collectors as attachment surfaces (diameter:
144 140 mm). Wild abalone were transported to the laboratory in a 100 L seawater tank in less than
145 one hour, to be held for 3 weeks in experimental tanks, during which time their responses to
146 stressors were measured. Then they were transferred into sea-growing structures for 5 months.

147 **2.2. Experimental tanks**

148 The experimental tanks consisted of two grey, flat, sub-square, epoxy-painted fibreglass tanks
149 (1.10 m x 1.10 m x 0.20 m, water volume = 100 L) with rough plastic grass strips on the edges
150 to prevent escapes. Each tank received 75 L.h⁻¹ of 3 µm mechanically filtered seawater at a
151 temperature of 10°± 1°C and an aeration system was placed in each tank. Ten pairs of oyster
152 collectors as hides were uniformly distributed along the tank edges. Photoperiod was adjusted
153 to the seasonal rhythm 10:14h (light: 8:30 h to 18:30 h). To avoid stressful conditions during
154 light changes, the light was adjusted slowly for 30 minutes during dawn (8:00 to 8:30 h) and
155 dusk (18:30 to 19:00 h) using a dimmer (Gold Star, Besser Elektronik, Italia). Tanks were
156 cleaned twice a week using a hose and the water filters were changed every day. *Palmaria*
157 *palmata*, *Saccharina latissima*, *Laminaria digitate* and *Ulva lactuca*, algae that occur on the
158 farm and on the Plouguerneau sea-shore, were placed in 4 plastic boxes in the middle of the
159 tank. All tanks were continuously videotaped with 4 digital cameras (TS-WD6001HPSC,
160 Sygonix GmbH, Germany), linked to a 24h-recording device (TVVR 40021, Abus, Germany).

161 **2.3. Experimental stress factors**

162 Growth, survival and behavioural and immunological traits were measured in the laboratory in
163 three batches, from December to February. For each batch, 10 wild and 10 farmed abalone were
164 carefully chipped with a spatula from their attachment surface , length and weight was
165 measured and abalone were individually marked with a reflective tag and a numbered plastic
166 tag attached with cyanoacrylate glue to their shell (Shepherd, 1988). They were then placed by
167 origin into two experimental tanks.

168 The laboratory observations (of responses to stressors) were made over three periods,
169 corresponding to three stressors that are regularly experienced by abalone during husbandry
170 procedures:

- 171 • The habituation period (days 1 to 7) corresponded to the period of recovery from the
172 mild stress of transport and handling and living in the lab environment. Previous
173 observations have shown that the stress of chipping and tagging has no impacts on
174 assays of haemocytes after 3 days (Hooper et al., 2011b).
- 175 • The high density period (days 8 to 14) : 52 additional individuals were added to each
176 tank on day 8 in order to induce a high-density stress (62 abalone.m⁻²) slightly higher
177 than the densities normally used in the farm. This stress lasted one week, and the
178 extra animals were removed on day 15.
- 179 • The post-acute stress period (days 15 to 21): on day 15, abalone were detached and
180 shaken (three jolts per second of 3 cm height) with an oyster farm spat screener for
181 20 min in air, which mimicked the stress experienced by abalone during the
182 screening process to separate sizes on farms (Hooper et al., 2011a). After one hour
183 of emersion and shaking, they were put back into their tank. After the stress, the
184 abalone were left undisturbed until day 21, when immunological parameters and
185 righting time were measured.

186 When all batches were completed, the abalone were replaced in a grow-out sea-cage (10
187 abalone.m⁻²) for 2.5 months (post-stress sea growing period, Figure 1) at low density so that the
188 maximum subsequent growth and survival would be expected. At the end of May (T3), the
189 grow-out sea-cage was brought to the farm. Weight, length, visual gonad index and the survival
190 of each abalone were determined before they were returned to the sea-cages at the normal
191 rearing density (50 abalone.m⁻²) for a summer growth period. In August (T6) after about 6
192 months of grow-out, they were brought back to the experimental tanks, measured to determine
193 growth and observed for 10 days to measure survival capacity after the handling procedure in
194 summer. This stress involved one hour of emersion due to the carrying of abalone from the sea
195 to the hatchery and chipping to remove the abalone from the sea-cages and place them in the

196 experimental tanks (Figure 1).

197 **2.4 Growth and Survival**

198 The variables are listed in Table 1 for reference. Length (L) was measured at T0, T3 and T6 for
199 each batch of abalone and each origin (Table 1). Growth and survival were calculated using the
200 initial measurement times for each batch and period. The first growing period (G_{0-3}) is related
201 to their ability to recover during and after acute stressors, the second growing period (G_{3-6})
202 occurred under the usual farm rearing conditions, although there is also a change between spring
203 and summer between these growth periods.

$$204 \quad G_{0-3} = 30 * (L_{T3} - L_{T0}) / (ND) \quad (1)$$

$$205 \quad G_{3-6} = 30 * (L_{T6} - L_{T3}) / (ND) \quad (2)$$

206 Where: ND is the number of days between measurements.

207 Mortalities were recorded over time when abalone were found to be dead in the tanks or by the
208 observation of empty shells in the rearing structures. A 5-level scale (*SurvRank*- see Table 1)
209 was used for subsequent analysis.

210 **2.5 Behavioural measurements**

211 A reactivity test was performed on the last days of both the habituation period and the high
212 density period between 16:30 and 19:00. It involved gently touching the abalone with a finger
213 on the border of the abalone and running the finger clockwise around the abalone in 10 s.
214 Reactions were observed before, during and for 5 s after touching the abalone.

- 215 ○ At rest, before touching the foot, the retraction of the foot was recorded as
216 *Hab.RetractT0* for the habituation period and as *HighD.RetractT0* for the high
217 density period (criteria: O if retracted, P if partially relaxed, N if relaxed). The

218 foot was considered retracted when the shell was in contact with the edge or the
219 bottom of the tank.

220 ○ While touching the abalone, the protective swivelling movement of the shell was
221 recorded as *Hab.SwivellT1* for the habituation period and as *HighD.SwivellT1*
222 for the high density period.

223 ○ After touching, the contraction of the foot was recorded as *Hab.RetractT2* for
224 the habituation period and as *HighD.RetractT2* for the high density period.

225

226 A righting test was performed on day 21, the last day of the post-acute stress period, just after
227 the haemolymph sampling procedure described below, in order to have a measure of the energy
228 reserves of the abalone after the 3 weeks of stress and recovery (Baldwin et al., 2007). The
229 righting test was performed after the haemolymph sampling in order to have an unbiased
230 measurement of the haemolymph parameters. Indeed handling can affect haemocyte parameters
231 in less than 5 minutes and for up to 3 days (Malham et al., 2003; Hooper et al., 2011a). Abalone
232 were gently placed on their back in the center of a white 12-L bucket containing 5 L of sea
233 water at the same temperature as the tanks. The abalone were filmed for 4 min with a camcorder
234 (Sony, HDR-XR155), to measure how long they took to right themselves, using the software
235 VLC media player. The time to right themselves (*Righting*) was measured from the moment the
236 abalone was placed in the bucket on its back to when it had fully turned over. If an abalone did
237 not turn over in 4 minutes, a 4-min maximum time was recorded.

238

239 **2.6 Immunological measurements**

240 Measures of phagocytosis efficiency and total haemocyte count (THC) were performed on day
241 15, just before the shaking at the end of the high density period (*THC_{T0}*, *Phago_{T0}*), 30 minutes
242 after the beginning of the shaking (*THC_{T30}*, *Phago_{T30}*) and 60 minutes after the beginning of

243 shaking (THC_{T60} , $Phago_{T60}$). Final measures of THC and phagocytosis efficiency were also
244 performed (THC_{Tend} , $Phago_{Tend}$) at the end of the experiment, on day 21. To reduce the direct
245 impact of haemolymph sampling as a stressor, this measurement was performed by a single
246 experienced person and if sampling was done in more than 1 min, the procedure was stopped
247 in order to avoid excessive stress and mortality linked with the sampling.

248 Following the protocol of Duchemin et al. (2008), haemolymph (less than 0.2 ml per individual)
249 was collected from the pedal sinus for these measurements, using a 1 ml syringe and fine needle.
250 Each abalone was sampled in less than 2 minutes, by the same person. The sample was
251 transferred into a vial and kept on ice. Samples were treated just after collection to avoid
252 aggregation. Haemolymph was used for determining: (1) total haemocyte count (150 μ l); (2)
253 phagocytosis efficiency (50 μ l). Both analyses were performed on a FACS-Calibur flow
254 cytometer (Becton Dickinson, France) equipped with a 488 nm laser. Cells were counted for 60
255 s. The immunological parameters were calculated using WinMDI software.

256 For the THC samples, 150 μ l of haemolymph was immediately added to 6% formalin (100 μ l)
257 and kept at 4°C before analysis, when it was diluted into 200 μ l of filtered and sterile seawater
258 (FSSW) and incubated for 30 min in dark conditions with SYBR green fluorescent dye (4 μ l,
259 Molecular Probes, 10^{-3} dilution of the commercial stock solution) before flow cytometry.
260 Results were expressed as number of cells. μ L $^{-1}$.

261 To measure phagocytosis efficiency, a protocol adapted from Travers et al. (2008a) was
262 followed. In summary, 50 μ l of haemolymph was deposited into a 24-well plate containing 50
263 μ l of sterile seawater. Haemocytes were allowed to adhere for 15 min at 18°C. Then 30 μ l of
264 fluorescent beads (fluoresbrite YG Microspheres 2.00 μ m, Playscale, 1:100 in distilled
265 water) were added. After 2 h at 18°C, supernatants were removed, 100 μ l of trypsin (2.5 mg.mL $^{-1}$
266 1 in AASH anti-aggregant solution) was added; and the plates were shaken for 10 min to detach
267 the adherent cells. Then 100 μ l of 6% formalin was used to fix the cells. Samples were kept at

268 4°C before analysis by flow cytometry. The phagocytosis efficiency (*Phago*) was defined as
269 the percentage of haemocytes that had engulfed three or more beads.

270 **2.7 Statistical analyses**

271 Statistical analyses were performed with R 3.0.3 software. After a verification of the
272 assumptions of variance homogeneity and normal distribution, comparisons of growth
273 measurements between the farmed and wild abalone were done using an ANOVA model with
274 the origin as a fixed effect (wild versus farmed). Differences between the two origins on the
275 qualitative behavioural variables were tested by a Fisher test. For each of the immunological
276 variables, the first analysis was a repeated measure ANOVA to determine whether the timing
277 of the measurement had an effect for each origin. A log transformation of the THC and a logit
278 transformation of the percentage phagocytosis were used (Warton and Hui, 2011). The second
279 step of this analysis was to compare the two origins at each time of measurement using a
280 Kruskal-Wallis test (Day and Quinn, 1989) because the two variables did not satisfy the
281 assumption of variance homogeneity.

282 A NMDS (non-parametric multi-dimensional scaling) was carried out with the package MASS
283 on R 3.2.2, as a first step in a multi-dimensional analysis of the dataset. The aim of the NMDS
284 was to select variables that discriminated the two groups (data not shown). Based on the
285 ANOVAs of the variables for behaviour and immunology, those variables that were not
286 significantly different between origins were progressively removed from the NMDS analyses,
287 to find a combination of variables that clearly discriminated the origins at a stress level $<0, 05$
288 with $k=2$. The variable *SurvRank* was retained in the analyses, to see if there was any
289 relationship between survival and the other variables. The second step was carried out by using
290 the Factominer package to plot two Multi Factorial Analyses (MFA) (Lé et al., 2008). The eight
291 variables identified as discriminant in the NMDS analysis were reduced and scaled before the

292 implementation of the two MFA models. The first analysis was focused on the 60 individuals
293 observed in the laboratory period to study the survival process. This model was implemented
294 with 4 quantitative variables (*SurvRank*, THC_{T60} , THC_{Tend} , $Phago_{Tend}$) and 1 qualitative variable
295 (*Origin*). In this dataset 8% of the data were missing. In order to increase the degrees of freedom
296 and use the information from all the individuals, a regularized iterative imputation was
297 performed on the dataset, using the package missMDA (Josse et al., 2013). Iterative imputation
298 can create false correlation in the dataset (Quinn and Keough, 2002). To reduce this risk, a
299 recommended methodology was followed before the implementation of the analysis (Quinn
300 and Keough, 2002). This imputation was carried out after an optimization step of noise
301 reduction, in order to implement values by iteration independently of the noise and decrease the
302 risk of creating false correlations (Josse et al., 2013).

303 The second MFA model was built to study the growth of the 21 individuals that survived after
304 6 months in the experiment, with 5 quantitative variables (G_{0-3} , G_{3-6} , THC_{T60} , THC_{Tend} ,
305 $Phago_{Tend}$) and one qualitative variable (*Origin*). The same imputation process was applied as
306 3% of the data were missing.

307 In the two MFA the quantitative variables were pooled in three groups in order to give the same
308 weight to each group: the Growth (G_{0-3} ; G_{3-6}), the Survival (*SurvRank*), and the Immunology
309 (THC_{T60} , THC_{Tend} , $Phago_{End}$).

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Results

3.1. Behavioural traits

317 Overall, 62% of the abalone had a retracted foot before their reactivity test was initiated, and
318 80% after touching. No significant differences were observed between the habituation and high
319 density periods for retraction before and after the foot was touched (Table 2). Foot retraction
320 was not significantly different before touching between the farmed and wild abalone (Table 2).
321 After touching however, wild abalone performed more swivelling movements and the foot was
322 more often retracted than in farmed abalone, both after the habituation period and after the high
323 density period (Table 2). No significant difference in the righting time was observed between
324 farmed and wild abalone at the end of the post-acute stress period (Table 2).

3.2 Immunological traits

326 High individual variability was observed for both phagocytosis efficiency and total haemocyte
327 count, with the standard deviation representing respectively 40% and 50% of the mean. The
328 shaking stress generated a decrease in phagocytosis efficiency, with a maximum decrease 60
329 minutes after the end of the shaking period (Figure 2a). After 6 days, the phagocytosis
330 efficiency was back to a value close to the value observed before the stress. The decrease
331 between T0 and T60 was significant in abalone from both origins (Figure 2a). THC values were
332 relatively stable for the wild abalone before, 30 and 60 m after the shaking and 6 days later
333 (around 3000 cells. μL^{-1}), whereas they rose significantly for the farmed abalone and were at
334 their highest level 60 minutes after the end of the stress period (Increase from 4000 to 5500
335 cells. μL^{-1}) (Figure 2b). Five days later, the THC for the farmed abalone had declined
336 significantly (2200 cells. μL^{-1}) (Figure 2b).

337

338 For all times of measurement, no significant differences in the phagocytic efficiency were
339 observed between the two origins, although the sample means differed at T60: wild abalone
340 tended to have a lower phagocytosis efficiency than farmed abalone (respectively, 20 vs 24 %,
341 $K= 2.8$, $P = 0.09$). The THC values were, in contrast, significantly lower for wild abalone after
342 the density stress before the shaking, and after the shaking stress at T60 compared to the farmed
343 abalone (Figure 2b). At the end of the post-stress period this difference was reversed: wild
344 abalone had significantly higher THC values (Figure 2b).

345 **3.3 Survival and growth**

346 The two origins presented similar mortality patterns, with a major mortality event observed in
347 the first months of rearing after the stress. No difference of survival was observed between the
348 wild and farmed abalone after 6 months of the experiment (Table 3). Almost no growth was
349 observed during the post-stress period, so there was no difference between wild and farmed
350 abalone. However, during the 3-6 month period, farmed abalone grew significantly faster than
351 wild abalone (Table 3). Growth performances just after the stress period and in the later summer
352 period were significantly correlated ($t=2.68$, $df=20$, $P= 0.01$).

353 **3.4 1st Multivariate analysis: origin, immune parameters, and survival after** 354 **stress**

355 In the first MFA model, based on 60 individuals, the first two components explained 60% of
356 the total variance (34% for the first, 26% for the second) (Figure 3). The two groups were
357 clearly discriminated by the analysis ($V_{test} > 2$) (Figure 3,1). The most important loadings on
358 the first component were the origin and the immunity parameters (Table 4). This component
359 represents the differential immune responses of the two origins during the laboratory period.
360 The THC_{T60} and the $Phago_{Tend}$ were associated and opposed to the THC_{tend} (Figure 3.2). The
361 most important loading on the second component was the survival rate (Table 4) (Figure 3). No

362 immunological parameter had an important loading in this component. This indicates that
363 abalone of the two origins were different from an immunological point of view, but their origin
364 and the immune parameters seemed to be not related to their survival after the stress period
365 (Figure 3).

366 **3.5 2nd Multi-variate analysis: origin THC and growth**

367 In the second MFA model based on 21 individuals still alive after 6 months of experiment, the
368 first two components explained 64% of the total variance (42% for the first, 22% for the second)
369 (Figure 4). The two origins were clearly discriminated by the model on the first component
370 ($V_{test} > 2$) (Figure 4, 1). The most important loadings on the first component were the origin
371 (*Origin*), the growth in the summer period (G_{3-6}) and the THC 60 min after the shaking (THC_{160})
372 (Table 4). This component can be interpreted as the differential capacity of the two groups to
373 react to the shaking stress and later growth in the normal rearing conditions (Figure 4, 2). In the
374 second component, the most important loadings were the THC at the end of the laboratory
375 period (THC_{Tend}) and growth in the spring period (G_{0-3}) but this component was not associated
376 with origin. This component may represent the resilience of individuals to the shaking stress in
377 terms of post stress THC response and growth.

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Discussion

389 The study was designed to assess the potential effects of early domestication on
390 behaviour, physiology, and production traits, by comparing a farmed stock with a wild stock in
391 a farm environment. We have found that farmed and wild abalone could be discriminated by
392 several different traits related to rearing stressors early in the domestication process.

393 Firstly, during habituation and in the high-density period, wild abalone retracted their
394 foot more frequently and did more swivelling movements than farmed ones in response to a
395 finger contact. These results are consistent with other observations done in *H. kamtschakana*
396 (Hansen and Gosselin, 2016). The swivelling shell movements have been described as
397 responses to predator contact (Day et al., 1995; Allen et al., 2006) and heat stress (Hooper et
398 al., 2014a). Two hypotheses can be proposed: either the farmed abalone were less stressed by
399 a contact and did not react to it, or they have partially lost the capacity to react their foot in
400 response to a contact. The testing of these hypotheses is important for any restocking programs
401 for European abalone (Lorenzen et al., 2012) and can explain why outplanted hatchery stocks
402 are subject to high predation mortality (Hansen and Gosselin, 2013). Further studies are
403 required to determine if this result indicates a habituation to this stress or a loss of reflex for
404 farm animals that were raised in an artificial environment, with no predators and frequent
405 handling. These results show that abalone behavioural reactions to stress can be significantly
406 modified by a single cycle of domestication. Such changes have been described in some farmed
407 fish species (Millot et al., 2010). The first generation of hatchery salmon obtained with no
408 intentional selection had a lower fitness in the wild than wild stocks, which highlights that a
409 genetic adaptation to a captive environment can occur in a single generation with deleterious
410 effects in the wild (Christie et al., 2012). This quick adaptation in response to unintentional
411 selection can be explained by a large effective population size (Christie et al., 2012), and a high

412 mortality rate in the first production stages in most farmed aquatic species (Taris et al., 2007).
413 Conversely, wild and unselected farmed sea bass populations were reported to present similar
414 reactions to stress (Millot et al., 2010).

415 In both farmed and wild abalone, 62% had a retracted foot during the day, before they
416 were disturbed. The normal foot contraction during the day in wild abalone was not modified
417 in the farmed abalone by the early domestication process. Donovan *et al.* (1999) proposed 2
418 hypotheses to explain the variation in foot position seen in an abalone population: (1)
419 individuals with a relaxed foot might have lower energy consumption; and (2) individuals with
420 a contracted foot may have higher energy consumption but less chance of being removed by
421 predators. As abalone are nocturnal (Cenni et al., 2009), it would be interesting to perform these
422 observations at night, when the frequency of individuals with retracted feet is expected to be
423 lower (Cenni et al., 2010).

424 The second set of parameters that discriminated the two stocks were the immunological
425 traits following the acute stress of 20 minutes of shaking coupled with an emersion of one hour.
426 The phagocytosis rate of both stocks decreased after the shaking and had partly recovered by
427 the end of the experiment. This result is not congruent with results reported by Malham et al.
428 (2003) on the same species, but is in accordance with other studies showing that stress induces
429 a reduction of phagocytosis capacity for some time after the stress (Cheng et al., 2004b; Hooper
430 et al., 2014a) on other abalone species. The lowest values of phagocytosis rate were observed
431 60 minutes after the shaking period and were significantly different from the basal level for
432 both stocks.

433 After one week of recovery, the phagocytosis rates were still lower than those measured just
434 before the shaking stress for the wild abalone, indicating that wild abalone may need more than
435 one week to completely recover from an acute shaking stress. The farmed stock had returned to

436 the basal level, which may indicate a better adaptation to acute farm stressors, probably due to
437 previous exposure to this stressor one or perhaps two times during the rearing process. This
438 result suggests that a single cycle of domestication without intentional selection can alter the
439 dynamic of the stress response, which is a key measure of the animal's robustness and
440 adaptation to the environment (Monget and Le Bail, 2009; Friggens et al., 2010).

441 The phagocytosis efficiency was similar to the one reported in summer in a previous
442 study *H. tuberculata* (Travers et al., 2008b). The phagocytosis rate of *Haliotis tuberculata*
443 observed in our study is also close to that reported in *Haliotis diversicolor* in Taiwan (Cheng et
444 al., 2004b), but lower than in the Australian hybrid *Haliotis laevigata* * *Haliotis rubra* (Hooper
445 et al., 2011a; Hooper et al., 2014b), although there may be some differences due to the methods
446 used. Phagocytosis efficiency at T60 and at the end of the stress procedures was unexpectedly
447 not correlated with the later survival of the studied abalone. It has been proposed that a decrease
448 of phagocytosis efficiency is related to an increase in disease susceptibility (Malham et al.,
449 2003; Cheng et al., 2004a; Hooper et al., 2011a), but eventual subsequent mortality will likely
450 depend on both the physiologic status of an individual and its likelihood to be infected by a
451 pathogen in its environment (Travers, 2008; Lachambre et al., 2017). Pathogens were
452 presumably not abundant during our stress period.

453 The Total Haemocyte Counts (THC) were measured to follow the immunological status
454 of abalone, but haemocytes are also important in different functions like the carrying of energy
455 reserves (Travers, 2008). In our study, different responses to shaking were observed in the two
456 stocks. The wild abalone always showed lower total THC across all the measurements after the
457 shaking. In contrast, THC in the farmed abalone rose after the shaking and decreased after one
458 the week of recovery. The pattern of response in the farm abalone is consistent with previous
459 observations (Malham et al., 2003). The increased response of farmed abalone may indicate
460 that they were able to react more to the combined acute stress of shaking and emersion; and is

461 consistent with what was observed for the phagocytosis efficiency. In further studies, it would
462 be interesting to complete this observation with glucose or glycogen measurement in order to
463 determine if these two response patterns are linked with physiological status or the availability
464 of reserves in individuals. In our study, the differences between farmed and wild stock might
465 be linked with energetic reserves available before the experiment. A farm environment is
466 characterised by a high food availability (Travers, 2008). This hypothesis is consistent with the
467 fact that wild abalone need more time to right themselves (Lachambre et al., 2017).

468 Our factor analysis showed that THC of individuals 60 minutes after the shaking was positively
469 related with the phagocytosis at the end of the experiment and negatively related to THC at the
470 end of the experiment. Consequently, the THC level observed one hour after the shaking and
471 the depression in phagocytosis after the recovery week may be associated parameters that are
472 part of the same stress reaction. The rise of THC after a stress has been proposed as a positive
473 reaction to stress (Cheng et al., 2002; Cheng et al., 2004c; Travers, 2008). Farmed abalone have
474 been shaken at least once or twice at juvenile age during husbandry rearing, so that their
475 modified reaction may indicate phenotypic plasticity in response to the repeated stress.

476 The two MFA models show that abalone origin can be clearly differentiated, based on the
477 immunological differences discussed above between the origins. Interestingly, these differences
478 were not associated with significant differences in survival between the two origins. This
479 suggests that immune differences observed in this study were not large enough to initiate
480 mortality differences. It could be interesting to reproduce the same study with a less important
481 stressor than a shaking stress together with one hour of emersion time to see if the differential
482 reaction of the two stocks induce differences of growth in a higher survival context. The fact
483 that THC_{60} is associated with a better growth rate in the second MFA model and that growth
484 rates in the post stress period and the summer period are correlated consolidates the idea that
485 that the rise of THC is a positive reaction to the acute stress.

486 Two hypotheses can be proposed to explain why that summer growth was higher in our farmed
487 abalone than in the wild ones. Firstly, farm abalone may be better adapted to the rearing
488 structures due to unintentional selection, or individuals in this cohort with higher growth
489 performance may have been selected during the early stages of rearing. Secondly, farmed
490 abalone may have had a better recovery from the stress period, as no differences were observed
491 just after the stress. As the growth of wild individuals was close to 0, it is also possible that they
492 were not able to recover from the stress period in winter and therefore stopped growing. Another
493 hypothesis to explain the growth differences is that the wild abalone were not in the same
494 physiological status as farm abalone at the beginning of the experiment because the farm
495 abalone were fed in the winter and the availability of suitable algae is likely to be lower in the
496 winter in the wild.

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Conclusion

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Our study suggests that a domestication process may have occurred in a single generation of rearing in the European abalone *H. tuberculata*. The farm environment seems to have modified the response to different stressors of our farmed stock compared to the wild one. The rise of THC after an acute stress, the return to a lower level of THC after one week of recovery and the return to a basal phagocytosis efficiency level appear to be positive reactions to stressors and these reactions characterised the response of farmed abalone. Interestingly the two stocks responded differentially to shaking and finger contact, but this was not associated with significant differences of later survival or post-stress growth. This suggests that the impact of the farm environment on the biology of our farmed stock did not have a huge impact in its agronomic performances and did not induce rapid economic gains. The effect of a captive environment and husbandry practice on the behaviour and immune response of abalone was unexpected, although this has been previously reported in salmon (Christie et al., 2012).

532

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662 **Tables:**

663

664 Table 1: Description of the variables used in this study

665

666 Table 2: Behaviour by farmed and wild abalone origin after touching the foot of abalone in a
667 standardized manner (% of each population. Comparison by Fisher test, NS= Non significant
668 differences) and a righting test

669

670 Table 3: Comparison between wild and farmed abalone origins of the mean of survival and growth
671 rates by ANOVA for length and growth, and Kruskal Wallis test for survival

672

673 Table 4: Relationships between the variables and the MFA component (the values mentioned is the
674 cos² between the variables and the component)

Figures caption:

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677 Figure 1: Representation of the experimental design at three levels: the global experiment design, a batch
678 of the laboratory period and the detail of shaking and haemolymph procedure

679

680 Figure 2: The means and standard errors of a) the phagocytosis efficiency in % (*Phago*) and b) the THC
681 in cells. μL^{-1} (*THC*). Black bars: farmed origin. Grey bars: wild origin. The X axis shows the time of
682 measurement after the shaking on day 15. T0: just after the shaking, T30: 30 minutes after, T60: 60
683 minutes after, End: on day 21 at the end of the 3 week stress period. a, b indicate the significance of
684 differences between the times of measurement within each origin. Differences between origins at one
685 time are represented by * when $P\text{value} < 0,05$ and • when $P\text{value} < 0,1$.

686

687 Figure 3: Multiple factorial phenotype differentiation between wild and farmed abalone (n=60): **1)**
688 **Individual map:** wild abalone in gray and farmed abalone in black, *Origin* (farmed abalone / wild
689 abalone), **2) Quantitative variable factor map:** *Phago_{Tend}*= phagocytosis efficiency at the end of the
690 stress period, *THC_{T60}*= THC 60 minutes after the shaking, *THC_{Tend}*= THC at the end of the stress period;
691 *SurvRank*= survival rank

692

693 Figure 4: Multiple factorial phenotype differentiation between wild and farmed abalone, in
694 relation with growth rates: **1) Individual map:** wild abalone in gray and farmed abalone in
695 black and the barycentre of each group (W, F) **2) Quantitative variable factor map:**
696 *Phago_{Tend}*= phagocytosis efficiency at the end of the stress period, *THC_{T60}*= THC 60 minutes
697 after the shaking, *THC_{Tend}*= THC at the end of the stress period; *G₀₋₃* Monthly growth in length
698 in the spring at low density; *G₃₋₆* Monthly growth in length in the summer at normal density.

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Figure 1 :

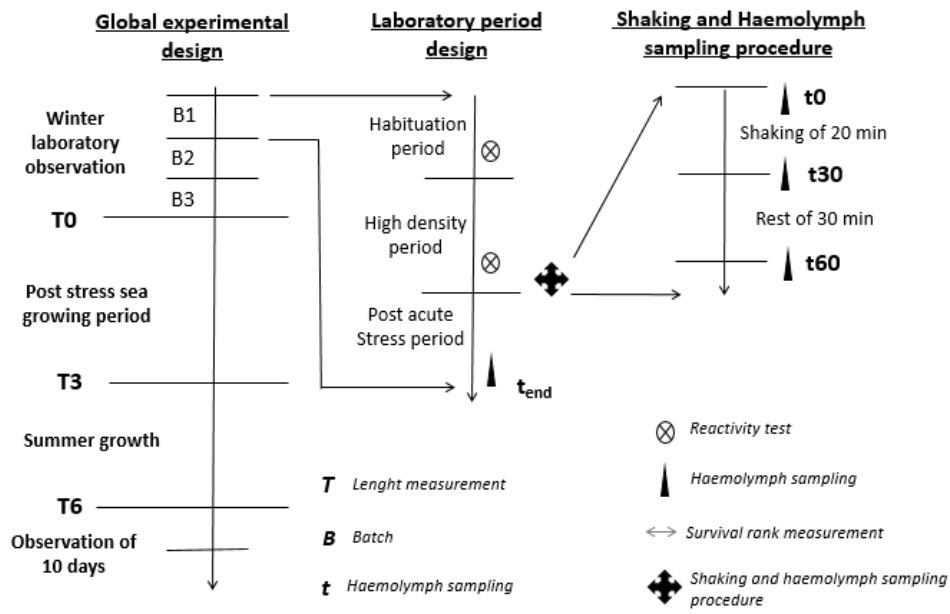


Figure 2:

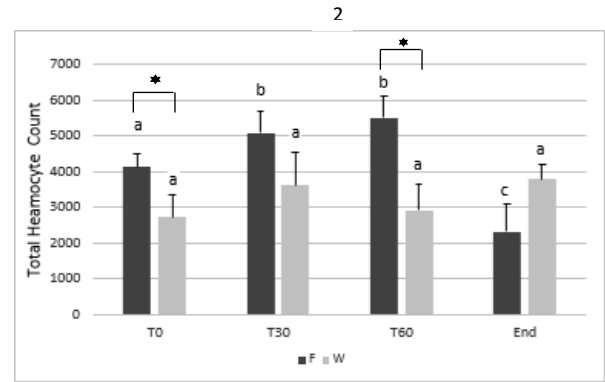
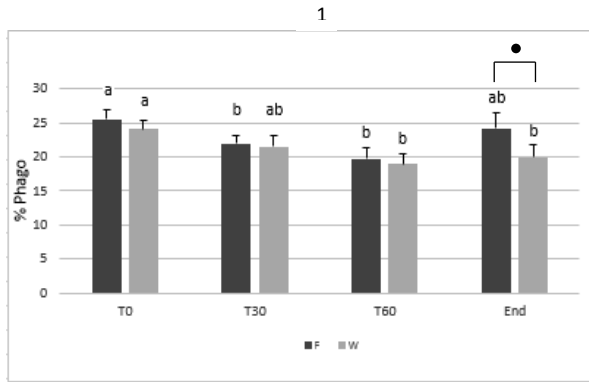


Figure 3 :

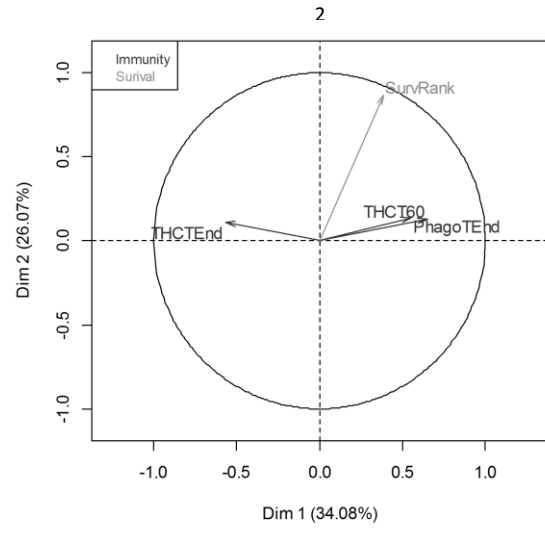
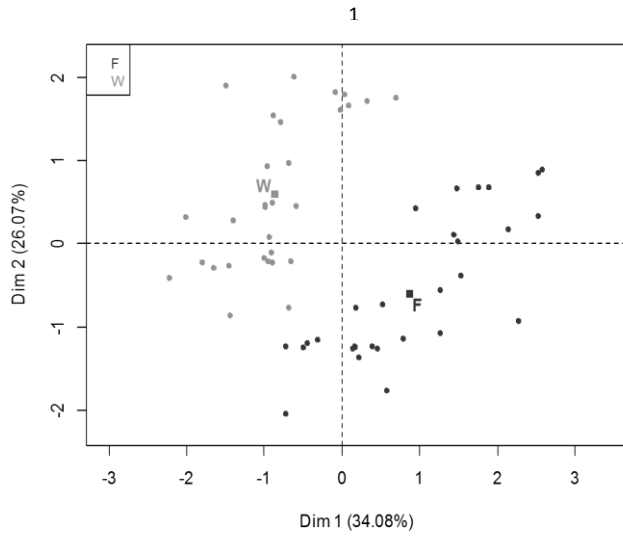


Figure 4

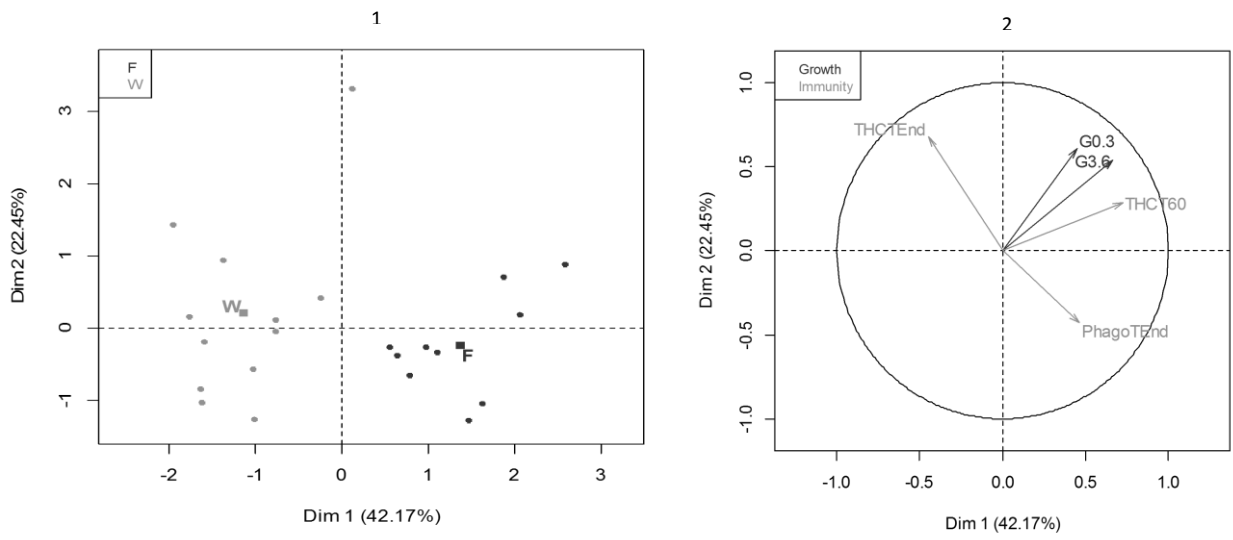


Table 1:

Variables categories	Variables names	Variables definitions
Growth	<i>G₀₋₃</i>	Growth in length between T0 and T3, mm.month
	<i>G₃₋₆</i>	Growth in length between T3 and T6, mm.month
Survival	<i>SurvRank</i>	Survival Rank with 5 categories: 0= Abalone dead during the laboratory period, 1=abalone dead before T3, 2=abalone dead between T3 and T6, 3=abalone dead during the 10 days of summer observations, 4= abalone alive at the end of the experiment
Origin	<i>Origin</i>	Origin of the abalone study: farm abalone labelled F, and wild abalone W
Behaviour	<i>Hab.RetractT0</i>	% of the population with a retracted foot, on day 7 of the habituation period (Hab.RetractT0.O= Abalone with foot retracted, Hab.RetractT0.N=Abalone with foot relax)
	<i>Hab.SwivelT1</i>	% of the population that performed a swivelling movement in reaction to finger contact, on day 7 of the habituation period
	<i>Hab.RetractT2</i>	% of the population that retracted the foot after a finger contact, on day 7 of the habituation period
	<i>HighD.RetractT0</i>	% of the population with a retracted foot, on day 7 of the high density period
	<i>HighD.SwivelT1</i>	% of the population that performed a swivelling movement in reaction to a finger contact, on day 7 of the high density period
	<i>HighD.RetractT2</i>	% of the population that retracted the foot in reaction to a finger contact, on day 7 of the high density period
	<i>Righting</i>	The time needed by the abalone to turn itself over when placed upside down
Physiology	<i>THC_{T0}</i>	Total haemocyte count before the shaking in cells/ yL ⁻¹
	<i>THC_{T30}</i>	Total haemocyte count 30 minutes after the shaking in cells/ yL ⁻¹
	<i>THC_{T60}</i>	Total haemocyte count 60 minutes after the shaking in cells/ yL ⁻¹
	<i>THC_{TEnd}</i>	Total haemocyte count 6 days after the shaking in cells/ yL ⁻¹
	<i>Phago_{T0}</i>	Phagocytosis efficiency before the shaking
	<i>Phago_{T30}</i>	Phagocytosis efficiency 30 minutes after the shaking
	<i>Phago_{T60}</i>	Phagocytosis efficiency 60 minutes after the shaking
	<i>Phago_{Tend}</i>	Phagocytosis efficiency 6 days after the shaking

Table 2:

	wild stock	farmed stock	N(wild, farmed)	Stat-Test	Pvalue
Habituation period					
Hab.RetractT0 (% of the population with foot retracted)	60%	63%	30, 30	3.8	NS
Hab.SwivelT1 (% of the population that swivelled in reaction to a finger contact)	33%	10%	30, 30	5.5	P=0,06
Hab.RetractT2 (% of the population that retracted the foot after a finger contact)	26%	10%	30, 30	6.3	P=0,03
High density period					
HighD.RetractT0 (% of the population with foot retracted)	68%	66%	28, 29	0.12	NS
HighD.SwivelT1 (% of the population that swivelled in reaction to a finger contact)	25%	3%	28, 29	6.8	P=0,01
HighD.RetractT2 (% of the population that retracted their foot after a finger contact)	21%	24%	28, 29	1.08	NS
Righting (Time to right in min)	1.6	1.3	25; 23	1.8	NS

Table 3

	wild	farmed	N(wild, farmed)	Stat Test	Df	Test
<i>L</i> _{T0} (Initial length in mm)	70,1±1,45	70.0±1,40	30, 30	0.19	1;58	NS
<i>SurvivalR</i>	2.3±0.26	1.9±0.23	30, 30	0.73	1;58	NS
<i>G</i> ₀₋₃ (between T0 and T3, mm.month)	0.03 ±0,09	0.18 ±0,09	17, 14	1.3	1;20	NS
<i>G</i> ₃₋₆ (between T0 and T3, mm.month)	0.07 ±0,10	0.36 ± 0;11	12, 10	4.6	1;20	P=0,04

Table 4 :

MFA model 1:			MFA model 2		
Variables name	MFA component 1	MFA component 2	Variables name	MFA component 1	MFA component 2
<i>Origin</i>	0,63	0,43	<i>Origin</i>	0,94	0,03
<i>Phago_{Tend}</i>	0,30	0,02	<i>Phago_{Tend}</i>	0,21	0,18
<i>THC_{T60}</i>	0,42	0,02	<i>THC_{T60}</i>	0,53	0,08
<i>THC_{TEnd}</i>	0,32	0,02	<i>THC_{TEnd}</i>	0,20	0,46
<i>SurvRank</i>	0,14	0,75	<i>G₀₋₃</i>	0,20	0,37
			<i>G₃₋₆</i>	0,45	0,29