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- 1 Treatment with disinfectants may induce an increase in viable but non culturable 2 populations of Listeria monocytogenes in biofilms formed in smoked salmon 3 processing environments 4 Thomas Brauge^{1,§}, Christine Faille^{2,§}, Guylaine Leleu¹, Catherine Denis^{3,§}, Aurélie Hanin^{3,§}, 5 6 Graziella Midelet^{1,§,*} 7 8 ¹ ANSES, Laboratory for food safety, 62200 Boulogne-sur-mer, France 9 ² Univ. Lille, CNRS, INRAE, ENSCL, UMET, 59650, Villeneuve d'Ascq, France 10 ³ACTALIA Food Safety, 50000 Saint-Lô, France 11 § Joint Technological Network (RMT Chlean PASS): Hygienic Design of production lines & 12 equipment, http://www.actia-asso.eu 13 14 Corresponding author: thomas.brauge@anses.fr 15 Anses, Laboratoire de sécurité des aliments, 16 Boulevard Bassin Napoléon, 17 62200 Boulogne sur Mer, 18 France 19 20
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- 21

22 Abstract

23 The objectives of this study were 1) to evaluate the impact of two industrial disinfectants on 24 the viability of *Listeria monocytogenes* populations in biofilm and 2) to investigate the viability 25 state of *L. monocytogenes* cells present on contact surfaces in the smoked salmon 26 processing environment. In the first step, we cultured mono species and mixed species 27 biofilms containing L. monocytogenes on stainless steel or polyvinyl chloride (PVC) at 8°C for 28 48h. The biofilms were then exposed to quaternary ammonium- and hydrogen peroxide-29 based disinfectants. Residual total populations of *L. monocytogenes* were measured by 30 gPCR, and viable culturable (VC) cell populations were quantified using standard 31 microbiological culture-based techniques and by a quantitative PCR (gPCR) assay coupled 32 with a propidium monoazide treatment. Decreases in VC populations and the appearance of 33 viable but non culturable (VBNC) populations were observed in response to treatment with 34 the disinfectants. An 8 month sampling campaign in 4 smoked salmon processing plants 35 was also carried out to detect *L. monocytogenes* in environmental samples. VBNC cells were 36 detected mainly after the cleaning and disinfection operations. This study showed that 37 industrial disinfectants did not inactivate all L. monocytogenes cells on inert surfaces. The 38 presence of VBNC populations of *L. monocytogenes* in the smoked salmon processing 39 environment is a public health concern.

41 **1.** Introduction

42 Listeria monocytogenes (L. monocytogenes) is a Gram-positive, facultative anaerobic and 43 intracellular bacterial pathogen which is responsible for human cases of listeriosis. The 44 specie can cause severe illness in at-risk populations (Swaminathan and Gerner-Smidt, 45 2007). An increase of the number of outbreaks was observed in the European Union 46 between 2009-2013 and confirmed in 2017 (EFSA, 2018a). Several listeriosis outbreaks 47 were reported around the world were linked to diverse food products: ready-to-eat sausages 48 (South Africa, 2017-2018 : 1.060 cases, 216 deaths) (Olanya et al., 2019), cold-smoked fish products (Europe, 2014 - 2019 : 22 cases, 5 deaths) (EFSA, 2018b), frozen vegetables 49 50 (Europe, 2015-2018 : 47 cases, 9 deaths) (Authority et al., 2018) and dairy products 51 (France, 2019 : 7 cases). Outbreaks caused by *L. monocytogenes* pose a major threat to 52 consumer health and result in important economic losses. For example, the cost valuations 53 of listeriosis cases from a South African outbreak were over US\$ 260 million (234 M€). 54 including US\$ 10.4 million (9.4 M€) in hospitalization cots and losses amounting to US\$ 15 55 million (13.5 M€) (Olanya et al., 2019). Inadequate cleaning and disinfection procedures 56 resulting in cross contamination between the surfaces and food are suspected to have 57 caused many outbreaks. Jami et al. (2014) and Rodríguez-López et al. (2019) examined 58 sources of L. monocytogenes in the fish processing environment and often observed cross-59 contamination between surfaces.

60

61 L. monocytogenes can persist during several months or years on surfaces in food processing 62 environments. For example, L. monocytogenes were detected at a prevalence of 16% in 63 environmental samples collected over a period of six-years (2003-2008) in Italy (Di Ciccio et 64 al., 2012); and with a prevalence of 3.7% in environmental and food samples from different 65 sites over a period of 1.5-years in Thailand (Vongkamjan et al., 2017). Hoffman (2003) 66 reported a prevalence of 43.8% and 1.2% in two plants, over two 8-week periods. The 67 persistence of *L. monocytogenes* could be due to its capacity to form biofilms even under 68 stressful conditions, such as those encountered in food environments (low temperature,

69 desiccation) (Ferreira et al., 2014). The complex, multicellular structure characteristic of 70 biofilms could offer bacterial cells protection during cleaning and disinfection (C&D) 71 procedures. Furthermore, several researchers have reported that biofilms promote the viable 72 but non culturable (VBNC) state, which would also contribute to resistance under stressful 73 conditions (Flemming et al., 2016; Stewart and Franklin, 2008). Bacteria in the VBNC state 74 have very low metabolic activity and do not divide. Consequently, VBNC cells do not grow on 75 standard microbiological media but retain the ability to recover and become culturable under 76 favourable conditions (ie. resuscitation). Different environmental stresses can induce the 77 VBNC state during food processing, such as starvation, oxidative stress, temperature 78 changes and disinfection (Zhao et al., 2017). We recently showed that VBNC populations 79 increased in L. monocytogenes biofilms following treatment with a NaOH solution (Brauge et 80 al., 2018).

81 The objective of this work was to study the impact of industrial disinfectants on the 82 prevalence and viability of *L. monocytogenes* present on food contact surfaces in the 83 smoked salmon processing environment. The effects of two such disinfectants (guaternary 84 ammonium compound (QA) and hydrogen peroxide (HP) based products) on the viability of 85 L. monocytogenes and the development of VBNC populations were examined in single 86 species and mixed biofilms grown on stainless steel and PVC in the laboratory. Extensive 87 sampling of food contact surfaces before and after cleaning and disinfection in four 88 commercial smoked salmon processing plants was also carried out over an 8 month period 89 to examine the prevalence of culturable and VBNC populations of *L. monocytogenes*.

90

91 2. Material and methods

92 Bacterial strains and culture conditions

93 Three bacterial strains were used in the study: Listeria monocytogenes Lm1 (serogroup 1/2a-

3a), Carnobacterium maltoromaticum P1AV5 and Carnobacterium divergens P9AV5. All

95 were isolated from contact surfaces in seafood processing plants. One hundred ml of fresh

96 culture were spun at 5,000 g for 10 min at 4°C, and the pellets were washed with 100 ml of a
97 sterile saline solution (8.5 g/ml NaCl). The procedure was repeated twice and the pellets
98 were resuspended in 2.5 ml sterile saline. Concentrations of each inoculum were adjusted to
99 obtain a final concentration at 1.10⁸ CFU/ml. Final inoculation solutions were prepared by
100 mixing equal volumes of each bacterial suspension.

101 **Preparation of smoked salmon filtrates**

Fifty grams of smoked salmon were homogenized in sterile water (1:5 ratio) for 1 min in a
Stomacher. Supernatant was collected after 1 h of decantation at room temperature and
filtered through a 0.22 µm pore size Stericup® filter (Millipore, Saint Quentin en Yvelines,
France). The filtrate was stored at -18°C and thawed at 4°C for one day before prior to
experimentation.

107 Biofilm formation

108 Coupons of AISI 316 L 2B stainless steel (Sapim Inox, Loison-sous-Lens, France) or 109 polyvinyl chloride (PVC) (Ammeraal Beltech, Noyelles-lès-Seclin, France) measuring 40 mm 110 x 20 mm were washed with a 2% (v/v) solution of RBST105 (RBS France, Vallerois-le-Bois, 111 France) and disinfected with a 0.2% (v/v) Oxygal (France-ANTI-GERM, Vaas, France) 112 solution for 5 min. The coupons were placed in 60-mm diameter Petri dishes (Nunc, 113 Roskilde, Denmark). Each 60-mm diameter petri dish was placed in a 140-mm diameter Petri 114 dish (Grosseron, Saint Herblain, France) containing paper towel soaked with 25 ml sterile 115 distilled water to prevent dehydration during incubation. Ten ml of smoked salmon filtrate 116 were deposited on each coupon and the plates were incubated at 8°C for 1 h. The smoked 117 salmon filtrate was then removed with a serological pipette and replaced with 10 ml bacterial 118 inoculum. The inoculated coupons were subsequently incubated at 8°C for 48 h.

119 **Disinfectant treatments**

120 After incubation, non-adhering bacteria were removed by immersing the coupons twice in 121 12.5 ml sterile saline for 1 min. The coupons were then treated for 20 min with 12.5 ml of 122 hydrogen peroxide (HP) or quaternary ammonium (QA, active ingredients: quaternary 123 ammonium and glutaraldehyde) solutions at 2% (v/v), as recommended by the supplier 124 (Ecolab, Arceuil, France). The disinfectant solutions were then removed, the coupons were 125 immersed in in 12.5 ml of universal neutralizing broth (AEB610753, Biomérieux) for 30 min 126 and rinsed twice with 12.5 ml of sterile saline for 1 min. All experiments were carried out at 127 room temperature. The same protocols were used for controls, using sterile water instead of 128 disinfectant solutions. Stick-mounted sponges supplied in sterile bags with 10 ml of 129 neutralizing buffer (Sponge-Stick, 3M, Minnesota, USA) were used as recommended by 130 Brauge et al. (2020) to sample bacterial populations on the surfaces. The sponges were 131 homogenized with a Stomacher for 1 min prior to further analysis.

132 Microscopy

- 133 Coupons were stained with a 5 µg/mL solution of 4',6 diamidino-2-phenyl-indole (DAPI,
- 134 Merck, Darmstadt, Germany). After 15 min incubation in the dark, the coupons were rinsed
- and immediately examined with an epifluorescence microscope (Imager.Z1, Zeiss, France)
- 136 connected to a CCD camera (Axiocam-MRm, Zeiss) and a digital image acquisition system.
- 137 Four fields of view were recorded for each sample.

138 Quantification of total, viable and culturable bacteria

- Viable culturable bacteria were enumerated on ALOA® agar (BioMérieux) incubated for 24 h
 at 37°C for *L. monocytogenes*, and on plate count agar (PCA, Oxoid, Basingstoke,United
 Kingdom) supplemented with Novobiocin (Sigma Aldrich, Missouri, USA) at 1900 µg/mL
- 142 incubated for 24 h at 30°C for *Carnobacterium*.

143 Total DNA and DNA from viable cells were quantified by qPCR and PMA-qPCR,

144 respectively. A portion of each bacterial supernatant was spun at 5,000 g in a centrifuge for 5 145 min at room temperature. The resulting pellet was re-suspended in 990 µL of sterile water. A 146 495 μL aliguot was used for DNA extraction for standard gPCR. The remaining 495 μL was 147 treated with PMA (Propidium monoazide, Biotium, Hayward, United States) to a final 148 concentration of 50 µM. Samples with PMA were incubated for 5 min at room temperature in 149 the dark and then subjected to light exposure at 80% for 10 min in an Eppendorf tube using a 150 PhAST Blue lamp (GenIUL, Barcelone, Espagne). Cells were then collected by centrifugation 151 at 5,000 g for 10 min at room temperature. For both gPCR and PMA-gPCR, DNA was 152 extracted with DNeasy® Blood & Tissue kits for the "Purification of Total DNA from Animal 153 Tissues" (Qiagen, Hilden, Germany), using the ATL lysis buffer. The elution step was carried 154 out with 100 µL of AE buffer. Quantification of total and viable cells by gPCR and PMA-gPCR 155 was performed as described in (Brauge et al., 2018). Standard curves were obtained using DNA covering the range from 10¹ to 10⁹ genome equivalents (GE) per ml. The GE was 156 157 calculated on the basis of a *L. monocytogenes* serotype 1/2a genome of 2.94.10⁶ bp (Allnutt 158 et al., 2016). The guantification limit was 3. 22 log GE/ ml in an initial concentration of the 159 sample which corresponds to 2.32 log GE/ cm² for a 8 cm² coupon.

160 Environmental sampling in smoked salmon plants

161 Samples were taken from surfaces at the end of the production day before cleaning and 162 disinfection (C&D) operations, and the following morning before the start of a new production 163 cycle. Two critical points (areas with a high risk of contamination) were identified in each of 164 the four smoked salmon processing plants and a sampling campaign was conducted for 165 eight months at a frequency of four samples (2 samples before and 2 samples after C&D 166 procedure) per month and per plant (n= 128). The identified surfaces (100 cm²) were wiped 167 with a sterile sponge, using a zigzag motion in two perpendicular directions. Five ml of 168 peptone water were added to the bag containing the sponge and the contents were 169 homogenized for 1 min with a stomacher. The guantification of dead and viable populations

170 of *L. monocytogenes* was carried out by qPCR and PMA-qPCR as described previously.

171 Enumeration of VC populations was carried out on ALOA agar incubated at 37°C for 48 h.

172 For the detection of *L. monocytogenes*, 5 ml of the suspension were diluted with half-Fraser

173 broth and were incubated at 30°C for 24 h. Fluids from the enrichment culture were applied

to ALOA agar and the plates were incubated at 37°C for 24h.

175 Statistical analysis

176 All biofilm experiments were replicated six times (two coupons per experiment for three 177 independent experiments). Data was analyzed using general linear model procedures in 178 Statgraphics centurion V18 software (Sigmaplus, Neuilly-sur-seine, France). For each 179 biofilm, variance analyses (ANOVA) were performed to determine the impact of substrate 180 and disinfectant treatment on counts of i) culturable cells, ii) total viable cells (both culturable 181 and not), and iii) total populations of *L. monocytogenes*. Differences were considered 182 statistically significant at P < 0.05.

183 **3. Results**

184 Impact of disinfectant treatments on viability of *L. monocytogenes* in a mixed biofilm

Bacterial populations in the biofilm were enumerated by plate counting (viable and culturable cells), PMA-qPCR (viable cells) and qPCR (total cells) after treatment with water (control) or with disinfectant solutions (Figure 1). The difference between quantification by qPCR assay and PMA-qPCR assay was indicative of a dead population. The difference between the quantification by PMA-qPCR assay and the enumeration on the agar plate indicated the presence of VBNC populations.

Total and viable *L. monocytogenes* populations quantified by qPCR and PMA-qPCR on
control stainless steel coupons were 6.80 Log (GE/cm²) and 5.93 Log (GE/cm²), respectively
(Figure 1A). Measurement of VC populations on selective media yielded similar results, or
5.88 and 6.42 Log (CFU/cm²), respectively. No significant difference was observed between

195 culturable, viable and total populations (P > 0.05), demonstrating that most *Listeria* cells 196 remained viable and culturable in the biofilm under grown under control conditions. 197 Microscopic observations of biofilms showed a heterogeneous structure, with the presence of 198 thick clusters surrounded by diffuse material (white arrows) separated by lightly colonized 199 areas, where only small clusters and single cells were observed (Figure 2). Biofilms 200 cultivated on stainless steel were also treated with hydrogen peroxide (HP) and guaternary 201 ammonium compounds (QA), two disinfectants frequently used in the seafood industry. 202 Significant decrease in total L. monocytogenes populations was observed after QA treatment 203 (reduction of 1.06 Log (GE/cm²), and a comparatively lower decrease after HP treatment 204 (reduction of 0.60 Log (GE/cm²)) (Figure 1A). These results were confirmed by microscopic 205 observations (Figure 2) which showed that disinfection treatments caused the appearance of 206 larger aggregates on stainless steel, with the presence of some thick clusters surrounded by 207 diffuse material (white arrows) and areas where only single cells were seen. 208 Significant reductions (P < 0.05) in viable populations of L. monocytogenes were measured 209 on stainless steel surfaces (1.79 and 1.88 log (GE/cm²) for HP and QA treatments, 210 respectively). The difference between qPCR and PMA-qPCR assay measurements was 211 indicative of the presence of dead cells after treatment of the biofilms (2.06 log GE/cm² after 212 HP treatment and of 1.70 log GE/cm² after QA treatment). Significant (P < 0.05) decreases in 213 L. monocytogenes VC populations were observed (5.22 Log (CFU/cm²) after HP treatment 214 and 4.49 Log (CFU/cm²) after QA treatment). This was indicative of VBNC populations in the 215 biofilms treated with HP (difference of 2.95 log GE/cm²) and QA (difference of 2.12 log 216 GE/cm²). In summary, VBNC and VC populations of *L. monocytogenes* were detected in 217 biofilms after treatment with both HP and QA disinfectants. VC populations of 218 Carnobacterium were also affected by exposure to the disinfectants, which resulted in 219 reductions of 4.25 Log (CFU/cm²) and 3.88 Log (CFU/cm²) after HP and QA treatment, 220 respectively.

221

222 Similar results were found with *L. monocytogenes* biofilms cultivated on PVC (Figure 1B).

223 The control biofilm was mainly composed of *Carnobacterium* and *L. monocytogenes* VC cells

(approximately 5.5 Log (CFU/cm²) and large populations of non culturable or dead cells were
 found after treatment with the disinfectants. Microscopic observations confirmed the absence
 of bacterial detachment from the PVC surface (Figure 2).

227

228 Quantification of *L. monocytogenes* populations (viable culturable, viable and total) in 229 environmental samples collected in smoked salmon processing plants

230 Before C&D operation, the prevalence of VC L. monocytogenes in environmental samples in 231 4 industries was 3.17% for VC L. monocytogenes, 10.32% for viable population and 15.87% 232 for total population. After C&D operation, the prevalence of VC L. monocytogenes in 233 environmental samples in 4 industries was 1.59% for VC L. monocytogenes, 9.52% for viable 234 population and 14.29% for total population. Prevalence was low in three of the four plants, 235 where contamination with *L. monocytogenes* was sporadic (Figure 3 A, C, D). In plant (B), 236 the VC L. monocytogenes was detected before C&D operations in two critical areas. 237 including 50% of samples collected on slicer blades and 25 % on a slicing tray, but was not 238 detected after C&D operations (Figure 3 B). For the viable population we also showed a 239 decrease for the slicer blade after C&D operations and a stability for the slicing tray. This 240 stability were also observed for the total populations after C&D operations for both critical 241 points. Moreover, the prevalence of VBNC populations increased after C&D operations on 242 slicer blades and was stable on the slicing tray after C&D operations. Populations of dead L. 243 monocytogenes also increased at both locations after C&D procedures.

244 **4. Discussion**

We evaluated the impact of two disinfectants frequently used in the seafood processing industry on the viability of *L. monocytogenes*, including a disinfectant composed of quaternary ammonium compounds (QA) and a disinfectant containing hydrogen peroxide

248 (HP). Biofilms grown for 48 h were treated with both disinfectants at concentrations 249 recommended by the supplier. Culturable bacteria were enumerated on agar media and total 250 and viable cells were quantified by qPCR and PMA-qPCR, respectively. We showed that the 251 HP and QA products did not inactivate all L. monocytogenes cells in biofilms grown for 48 h 252 on PVC or stainless steel. Instead, L. monocytogenes cells became unculturable but 253 remained viable after the treatments. These results are in agreement with previous research 254 which showed that populations of VBNC L. monocytogenes increased in biofilms exposed to 255 0.5% NaOH (Brauge et al., 2018). Similarly, Overney et al. (2017) showed induction of the 256 VBNC state in *L. monocytogenes* biofilms formed by strain LO28 (serotype 1/2c) on stainless 257 steel following treatment with chlorinated alkaline cleaner used at a concentration of 3% 258 (vol/vol) (Topax 66, Ecolab, Arcueil, France) or a guaternary ammonium-based disinfectant 259 at a recommended concentration of 2% (vol/vol) (Triguart MS, Ecolab). Other researchers 260 have reported induction of the VBNC state in *L. monocytogenes* exposed to commercially 261 available household cleaners in combination with inorganic salts. L. monocytogenes cells 262 exposed to combinations of surfactants and salts for 5 min up to 1 h lost their culturability on 263 standard growth media while retaining ATP production, fermentation of sugars and 264 membrane integrity, which are suggestive of active metabolism (Robben et al., 2018). The 265 mechanisms responsible for the inactivation of microorganisms by disinfectants are often 266 unclear. Depending on their molecular structure and physico-chemical properties, different 267 disinfectants may interfere with the bacterial cell membrane, molecules responsible for 268 energy metabolism or nucleic acids (Maris, 1995). The VBNC state may confer enhanced 269 resistance of *L. monocytogenes* to disinfectants. Using an ATP-based approach, Robben et 270 al. (2019) showed that Escherichia coli, Bacillus cereus, Pseudomonas aeruginosa and 271 Listeria monocytogenes in the VBNC state were resistant to several antibiotics (ampicillin, 272 imipenem, ciprofloxacin, and gentamicin) and were insensitive to selected disinfectants 273 (benzalkonium chloride and trioctylmethylammonium chloride) and preservatives (bronopol 274 and sodium azide). Nowakowska and Oliver (2013) have also shown that Vibrio vulnificus in 275 the VBNC state is more resistant to environmental stresses.

276

277 We carried out repeated samplings in four smoked salmon processing plants that use the 278 disinfectants HP and / or QA to verify the presence of viable L. monocytogenes populations 279 in food processing plants. We confirmed the presence of *L. monocytogenes* VC populations 280 with a prevalence of 3.17% and 1.59% before and after C&D operations. This is in 281 agreement with several studies which have confirmed that VC L. monocytogenes populations 282 may become established in food-processing environments (Thimothe et al., 2004; Vaz-Velho 283 et al., 2001). Leong et al. (2015) found *L. monocytogenes* in 2.5% of 508 samples, including 284 433 environmental swabs and 75 food samples. Others studies have indicated higher 285 prevalence rates (Gambarin et al., 2012; Ricci et al., 2018; Schjørring et al., 2017). However, 286 there have been few attempts to determine the prevalence of dead or non-culturable 287 populations of *L. monocytogenes* in food processing environments. In the present study, we 288 have shown that both were present in the smoked salmon processing environment, and that 289 VBNC populations likely arise in response to stresses induced by industrial disinfectants 290 used in cleaning and disinfection operations.

291 **5.** Conclusion

The present work showed that exposure of *L. monocytogenes* biofilms grown in the laboratory to HP and / or QA disinfectants reduced VC populations and induced the VBNC state in the species. Sampling of contact surfaces in smoked salmon processing plants suggested that use of these disinfectants in routine cleaning and sanitation operations leads to the development of persistent VBNC populations on such surfaces. Alternative strategies are urgently needed to mitigate the risks associated with *L. monocytogenes* in the seafood processing environment.

299

300

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- 403



Carnobacterium viable culturable

- Listeria viable culturable
- Listeria viable population

Listeria total population

Figure 1: Quantification of viable culturable (Log (CFU/cm²)), viable (Log (GE/cm²)) and total (Log (GE/cm²))populations of *L. monocytogenes* and viable culturable of *Carnobacterium* in mixed biofilm treated with water (control), Hydrogen Peroxyde (HP) or Quaternary Ammonuim (QA) disinfectants. Biofilms were grown for 48 h at 8°C on (A) stainless steel and (B) PVC conditioned with smoked salmon filtrate. The error bars represented the standard deviation (n = 6). Statistical significant differences were evaluated at P < 0.05 and represented by letters a, b, ab.



Figure 2: Observations by epifluorescence microscopy of *L. monocytogenes* and *Carnobacterium* in **mixed biofilms stained with DAPI.** Biofilms were grown on stainless steel or PVC conditioned with smoked salmon filtrate and incubated at 8°C for 48H. After, biofilms were treated with water (control), Hydrogen Peroxyde (HP) or Quaternary Ammonuim (QA) disinfectants. Magnification x400. White arrows indicate the some thick clusters surrounded by diffuse material.



Figure 3: Prevalence of viable culturable (Log (CFU/cm²)), viable (Log (GE/cm²)) and total (Log (GE/cm²)) *L. monocytogenes* populations from smoked salmon plant of the (A) company A, (B) company B, (C) company C, (D) company D before (n=32) and after (n=32) cleaning and disinfection procedure.