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Pore pressure pulse migration in microcracked andesite recorded with fibre optic sensors

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Pore pressure has a major influence on the effective stress and Abstract. thus on the mechanical behaviour of rocks. In this study, we focus on the hydromechanical behaviour of a low porosity and esitic rock heat-treated to 930°C 5 to induce thermal cracks and increase the permeability of the samples. First, 6 we show that permeability decreases from $8 \times 10^{-16} \text{m}^2$ to $1.5 \times 10^{-17} \text{m}^2$ with 7 a confining pressure (P_c) increase from 2 MPa to 40 MPa (pore pressure being approximately 0.2 MPa). Then, we used fibre optic pressure sensors to 9 monitor pore pressure diffusion at three points along the sample during the 10 propagation of a pore pressure pulse under hydrostatic ($P_c=40$ MPa) and 11 triaxial stresses ($P_c=40$ MPa, differential stress of 356 MPa). When the pore 12 pressure pulse was applied, the fibre optic sensors showed a sudden pore pres-13 sure increase one after the other as a function of their location along the sam-14 ple. Pore pressure increase downstream was very smooth under hydrostatic 15 stress and almost zero after the duration of the experiment (50 minutes) un-16 der triaxial stresses. This lack of downstream pore pressure increase under 17 triaxial stresses is due to the fact that a differential stress of 356 MPa de-18 creased permeability from approximately 10^{-17} m² to approximately 10^{-19} m². 19 Finally, the pore pressure diffusion process was modelled considering a uni-20 form spatial distribution of permeability in the andesite sample and the dead 21 volume attached at the downstream side. 22

1. Introduction

Rock permeability and its evolution with changes of external stress and pore pressure is 23 of interest for industrial purposes such as petroleum and geothermal reservoir engineering, 24 radioactive waste management, CO_2 sequestration and for the understanding of natural 25 hazards such as earthquakes. Human activities can lead to increase in effective stress 26 because of pore pressure decrease, for example during hydrocarbon or geothermal fluid 27 extraction [e.g. Segall and Fitzgerald, 1998]. Increases of effective pressure (external stress 28 minus pore pressure) can lead to a decrease of permeability [e.g. David et al., 1994; Miller, 29 2002; Bemer and Lombard, 2010] causing a reduction of the production rate in geo-fluids 30 exploitation. In geothermal fields situated in andesitic areas, permeability distribution 31 can vary over a wide range of values and fault zones usually control fluids pathways [e.g. 32 Brehme et al., 2016a, b]. As geothermal energy is currently developed [e.g. Tester et al., 33 2006; Zimmermann and Reinicke, 2010], it is necessary to understand the links between 34 fluid pressure, mechanical behaviour and possible seismicity at all scales [e.g. Shapiro and 35 Dinske, 2009a, b; Zang et al., 2014; Johann et al., 2016; Katayama et al., 2018; Chanard 36 et al., 2019]. In enhanced geothermal systems, stimulation significantly increases pore 37 pressure [Häring et al., 2008], leading to a reduction of effective stress. Reduced effective stress generates new fluid path ways, thus increasing production efficiency [Zimmermann et al., 2009] but it may also induce slip on pre-stressed discontinuities [e.g. Majer et al., 40 2007; Deichmann and Giardini, 2009; Terakawa et al., 2012]. 41

In the laboratory, it has been shown that even in the elastic domain, the effective stress law for the permeability is not straightforward [e.g. *Ghabezloo et al.*, 2009; *Braun et al.*, 2018] but is of major importance for prediction of permeability evolution in stressed geo-materials [e.g. *Shapiro et al.*, 1997, 2003]. At stresses beyond the elastic domain of

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the rock, crack propagation or nucleation, and plasticity add complexities. Depending on the failure mode of the rock considered, permeability can either increase or decrease 47 with increasing damage [e.g. Zhu and Wong, 1997] because rock deformation can occur with compaction or dilatancy of the porous medium. Brittle deformation can lead to dramatic changes of permeability because of changes of crack density and connectivity 50 [e.g. Guéquen et al., 1986; Darot and Reuschlé, 2000; Guéquen et al., 2011; Nicolas et al., 51 2014]. The sustainability of fracture permeability under varying pressure is questionable 52 [e.g. Zimmermann et al., 2010; Kluge et al., 2017]. When compaction is taking place, 53 permeability can decrease with increasing deformation and damage [e.g. Fortin et al., 54 2005; Farguharson et al., 2017]. 55

Permeability evolution as a function of stress and strain in volcanic rocks has already been 56 studied and can decrease [e.g. Farquharson et al., 2017] or increase [e.g. Farquharson et al., 57 2016b] with increasing axial strain. Moreover, in active volcanic hydrothermal systems, 58 rocks can have very different petrophysical properties. For example, *Heap et al.* [2017] 59 showed that the porosity of the materials in an active volcanic hydrothermal system (in 60 this study the Whakaari stratovolcano) varies from 0.01 to 0.7 and permeability varies by 61 eight orders of magnitude (from 10^{-19} m² to 10^{-11} m²). The authors assumed that the wide 62 range in physical and hydraulic properties is the result of the numerous lithologies and 63 their varied micro-structures and alteration intensities. Finally, some post-earthquake 64 ground movement might be correlated to pore-pressure transients [e.g. Jonsson et al., 65 2003], implying that pore pressure pulse diffusion under varying permeability conditions 66 is of interest. 67

Fibre optic pressure sensors are used in boreholes to record pressure with a high sensitivity [e.g. *Reinsch and Henninges*, 2010; *Reinsch et al.*, 2013a, 2017]. Fibre optic Fabry-Perot interferometer diaphragm and the method of measurement is known since the early 20th

⁷¹ century, but to the authors' knowledge, were not widely used in laboratory experiments
⁷² [e.g. *Reinsch et al.*, 2012a; *Blöcher et al.*, 2014].

In the frame of the GEOTREF project, aiming at improving our understanding of the 73 behaviour of fractured geothermal reservoirs, this study focuses on the hydro-mechanical 74 behaviour of a low porosity and esite rock. Samples were heat-treated to 930°C to induce 75 thermal cracks and increase their permeability. The questions we address are: How does 76 a pulse of pore pressure propagate in a low porosity and low permeability rock? What are 77 the induced spatial distribution and evolution of the permeability under hydrostatic and 78 triaxial conditions? Can fibre optic Fabry-Perot interferometer diaphragms be used in 79 laboratory rock deformation experiments to record local pore pressure evolution and non-80 linear diffusion of pore pressure? We report results of local pore pressure and permeability 81 measurements during a pore pressure pulse propagation under hydrostatic ($P_c=40$ MPa) 82 and triaxial stress states ($P_c=40$ MPa, differential stress of 356 MPa). 83

2. Sample characterization and experimental apparatus

2.1. Rock material and sample preparation

A cylindrical sample (length=100mm, diameter=50mm) was cored from a block of 84 andesite recovered from an outcrop in Anse a la Barque (Guadeloupe, French West Indies) 85 and the end-faces were ground flat to ensure a good parallelism. This and esite is formed 86 of a groundmass (composed of small crystals) with large phenocrysts (from hundreds of 87 microns to a few millimetres for the largest plagioclases) commonly micro-cracked (Figure 1 A and B). No obvious bedding related to volcanic features is identified in the block. The 89 sample has a connected porosity of less than 1% as measured using a pulse method. Initial 90 P-wave velocity in the sample was measured at room pressure and temperature and was 91 around 5000 m/s. Permeability is in the order of 10^{-21} m² as measured using a constant 92 flow procedure [Li et al., 2019]. To induce thermal cracks and increase its permeability 93

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before the experiment, the sample was heat-treated with a programmable Meker MHT-3 furnace [Nicolas et al., 2014]. The sample was heated at a rate of 50 °C/h, up to 930 95 ^oC, kept 2 h at this temperature, and then cooled at 50 ^oC/h, to avoid any quenching or thermal shock effect. Details on this procedure applied on andesite and associated 97 discussion can be found in *Li et al.* [2019]. The sample shows a higher fracture density 98 after heat treatment at 930 °C compared with intact samples (Figure 1). Heat treatment induces a decrease of P-wave velocities to approximately 2900 m/s and an increase of 100 permeability to 10^{-15} m² measured using a constant flow procedure [Li et al., 2019], as 101 also observed by *Darot et al.* [1992] among others. The intense micro-fracturing is due 102 to the different thermal expansion coefficients of the minerals [Fredrich and Wong, 1986; 103 Browning et al., 2016]. 104

2.2. Experimental apparatus and fibre optic sensor recording system

The sample was deformed in a conventional triaxial testing device (MTS 815) installed 105 at the GFZ Postdam (Germany). Details on the triaxial apparatus can be found in 106 Blöcher et al. [2007]. A shrinking tube made of fluorinated ethylene propylene (FEP) 107 was used during measurements of permeability evolution under hydrostatic loading and 108 neoprene jackets were used during pore pressure pulse propagation experiments to sepa-109 rate the sample from the oil confining medium. Axial and radial strains were recorded 110 with two axial extensioneters and one radial chain extension directly attached to the 111 jacket. Axial displacement was also measured with displacement transducers (DCDT) 112 mounted between the moving piston and a fixed platen. Volumetric strain is calculated 113 as $\varepsilon_v = \varepsilon_{ax} + 2\varepsilon_r$ where ε_{ax} and ε_r are the axial and radial strains, respectively. From now 114 on, compressive stresses and compactive strains are defined as positive. 115

Besides the mechanical system, an independent hydraulic system dedicated to pore pressure was connected to the sample. Pore pressure and fluid flow can be controlled at the top

and the bottom of the sample with two servo-controlled micro-volumetric pumps (Quizix 6000-Series) coupled by pairs with an accuracy of about 10^{-2} MPa and 10^{-4} ml/min, respectively [e.g. *Blöcher et al.*, 2014]. The total capillary void space between the pumps

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¹²¹ and the sample is approximately 100 ml. The triaxial cell is equipped with a heating ¹²² system. The temperature inside the vessel is recorded by two thermo-couples plunged in ¹²³ the confining oil close to the sample [e.g. *Pei et al.*, 2017].

The fibre optic sensors used in this study consist of miniature all-silica extrinsic Fabry-124 Perot cavity interferometer (EFPI) pressure sensors. Monochromatic light is sent in the 125 fibre and propagates to its head. Incident light is reflected two times: (1) it is first partly 126 reflected at the entrance into the EFPI cavity (glass/air interface) and (2) the transmit-127 ted light becomes reflected at the termination of the cavity (air/glass interface). Light 128 reflected from the end of the cavity is partly transmitted back into the fibre and interferes 129 with light reflected from the first reflection at the entrance into the Fabry-Perot cavity. 130 The phase shift between the two reflected signals depends on the cavity length, which is 131 a function of pressure and temperature, the latter being maintained constant at 30 ^{o}C 132 during all the experiments. Before being used, the fibre optic sensors have to be calibrated 133 (see next section), that is to say that the relation between pressure and cavity length (and 134 thus the phase shift evolution with pressure) has to be known through a calibration. A 135 thorough theoretical discussion of the principle of this sensor can be found in Bremer 136 et al. [2010] and details on the experimental set-up are given in *Reinsch et al.* [2012a, b]. 137 Feedthroughs constructed in-home were specifically dedicated to let fibre optics enter the 138 pressure vessel [Reinsch et al., 2013b]. To measure the pore pressure in the rock specimen 139 submitted to confining pressure, three fibre optic sensors were placed into three holes that 140 were previously drilled through the neoprene jacket in the rock at 1/4, 2/4 and 3/4 of 141 the height of the sample (Figure 2). The combination of the fibre optic sensors with the 142

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¹⁴³ pressure sensors of the Quizix system allow five independent pressure measurements at ¹⁴⁴ five points along the sample (0, 25, 50, 75 and 100 mm).

2.3. Calibration of the fibre optic sensors

Before using the fibre optic sensors, these sensors had to be calibrated. For calibration, 145 the three fibre optic sensors were placed within the oil in the pressure chamber. Tem-146 perature of the oil was controlled and maintained constant at 30 °C. The pressure was 147 increased from 2 to 40 MPa at a rate of 0.25 MPa/min in the vessel while data from the 148 sensors was recorded with a temporal resolution of 15 s, that is to say one measurement 149 approximately each 0.06 MPa. The sensor responses to an increase of pressure were tested 150 and the sensors response is shown to be linear as a function of pressure (Figure 3) for two 151 of the sensors (Fibres 1 and 3). Fibre 2 shows a hysteresis that could be explained by 152 a small leak in the Fabry-Perot cavity. The response of Fibre 2 seems to be correct and 153 linear after an initial non-linear response (Figure 3). From now on, this sensor will be 154 used only in the linear response domain and all pressure calculations are derived by the 155 slope of the calibration. 156

¹⁵⁷ With the calibration information, it is possible to correlate phase shift changes to pres-¹⁵⁸ sure changes. Calibration information was then used to infer the pressure evolution as a ¹⁵⁹ function of the sensor responses during the experiments. Based on the hysteresis of fibres ¹⁶⁰ 1 and 3, the precision can be estimated to be around 0.5 MPa. It is more complicated to ¹⁶¹ estimate the precision of fibre 2, and the response of this fibre will always be shown as a ¹⁶² dashed line.

3. Experimental procedures

¹⁶³ In this section, we outline the experimental procedures used to monitor (1) the evolution ¹⁶⁴ of porosity and permeability during hydrostatic loading (section 3.1), (2) the pore pressure ¹⁶⁵ evolution while a pore pressure pulse is sent under hydrostatic pressure (section 3.2), and
¹⁶⁶ (3) the pore pressure evolution while a pore pressure pulse is sent under triaxial stresses
¹⁶⁷ (section 3.3). One single sample was used for the three different phases of the experiment.
¹⁶⁸ Temperature of the oil was controlled and maintained constant at 30 °C during all the
¹⁶⁹ phases of the experiments.

3.1. Measuring the evolution of porosity and permeability during hydrostatic loading

¹⁷⁰ 3.1.1. Experimental procedure for hydrostatic loading

The dry sample was first placed in the chamber and vacuumed to approximately 10 mbar. It was then loaded hydrostatically up to a pressure of 2 MPa and saturated with deionized water. Saturation was controlled with the micro-volumetric pumps. Full saturation was assumed to be reached when the total injected volume of water remained constant. The sample was loaded hydrostatically up to a confining pressure of 40 MPa at a controlled pressure increase rate of approximately 0.25 MPa/min, which leads to a maximum volumetric strain rate of approximately $4.4 \times 10^{-7} \text{s}^{-1}$ if we consider a bulk modulus of K = 9.5 GPa (as measured, see section 4.1). The characteristic time t to reach fluid pressure equilibrium for a diffusion process over a distance l can be approximated by [Carslaw and Jaeger, 1959; Ge and Stover, 2000; Duda and Renner, 2013; Nicolas et al., 2016]:

$$t \sim \frac{l^2}{D},\tag{1}$$

where D is the hydraulic diffusivity. The hydraulic diffusivity D can be calculated as $[K \ddot{u} m p el, 1991]$:

$$D \sim \frac{kBK_d}{\eta\alpha},\tag{2}$$

where k is the permeability, B is Skempton's coefficient, K_d is the drained bulk modulus, η is the fluid viscosity, and α is Biot's coefficient. For heat-treated and site saturated

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¹⁷³ with water, $k = 10^{-17}$ m² (lowest value of permeability measured during hydrostatic ¹⁷⁴ loading, see section 4.1), $\eta = 1.002 \times 10^{-3}$ Pa.s, and $K_d = 9.5$ GPa. Assuming that ¹⁷⁵ Skempton's coefficient and Biot's coefficient are of the order of unity, hydraulic diffusivity ¹⁷⁶ is $D \sim 9.5 \ 10^{-7} \text{ m}^2 \text{s}^{-1}$. The length of the sample is l = 10 cm, and thus the diffusion ¹⁷⁷ time is in the order of $t \sim 100$ s, which is a shorter time than hydrostatic loading time ¹⁷⁸ ($t \sim 10000$ s) and ensures a fully drained behaviour.

Once the confining pressure of 40 MPa was reached, the sample was hydrostatically unloaded using the same procedure as that used for loading. The sample is then removed from the pressure vessel and three holes are drilled to place the three fibre optic sensors (see section 2.2).

¹⁸³ 3.1.2. Permeability measurements during hydrostatic loading

During hydrostatic loading, permeability is continuously recorded. To do so, the hydraulic short-cut (capillary connection) between the two ends of the sample were hydraulically disconnected. Pore pressure was kept at a constant pressure of 0.2 MPa on the downstream side and a constant flow was applied on the upstream side of the sample. First a constant flow of 0.4 ml/min was used, and was then decreased to 0.05 ml/min, 0.025 ml/min and finally 0.01 ml/min, as permeability decreased and to prevent upstream pressure to overcome confining pressure. A constant flow of 0.01 ml/min was used during complete unloading. Pore pressure increased on the side at which the flow was applied and permeability was calculated using Darcy's law [Darcy, 1856]:

$$k = \frac{Q\eta L}{A\Delta P},\tag{3}$$

where k is the permeability, Q is the flow through the sample, η is the fluid viscosity, L is the length of the sample, A is the sample cross-sectional area and ΔP is the pore pressure differential.

B7 3.1.3. Can compaction induce a flow comparable to the imposed one? D R A F T January 20, 2020, 9:18pm D R A F T

Using the experimental procedure described above, a remaining question is whether compaction could lead to a flow comparable to the one imposed by the permeability measurement [e.g. *Faulkner and Rutter*, 2003; *Mitchell and Faulkner*, 2008; *Crawford et al.*, 2008; *Fortin et al.*, 2011]. Volumetric strain rate is calculated as a function of imposed hydrostatic stress rate and bulk modulus as follows:

$$\dot{\varepsilon}_v = \frac{\dot{P}}{K},\tag{4}$$

where \dot{P} is the controlled pressure increase rate. Compactive volume rate is then simply calculated as:

$$\Delta V = V \dot{\varepsilon}_v,\tag{5}$$

where V is the volume of the sample. Using V = 200ml and $\dot{\varepsilon}_v = 2.6 \times 10^{-5}$ min⁻¹, it yields an approximate compactive volume rate of $\Delta \dot{V} = 5 \times 10^{-3}$ ml/min. This approximate (upper bound) compactive volume rate is twice lower than the imposed flow for the permeability measurement in the sample. Assuming a Biot's coefficient close to unity will forward the bulk volume rate to the fluid volume rate. For higher loads, Biot's coefficient will decrease, leading to a reduction of the fluid volume rate induced by compaction.

To confirm that the compactive volume rate is lower than the imposed flow for the per-194 meability measurement, following *Fortin et al.* [2011], we consider that the fluid flow due 195 to the compaction of the sample occurs on both sides of the sample. The rate of fluid 196 volume output due to porosity compaction can simply be calculated as the mean of the 197 fluid flow on both sides of the sample. Upstream imposed flow and downstream flow 198 are significantly higher than compactive volume rate (mean flow) as shown in Figure 4, 199 implying that permeability can be calculated with the imposed flux and neglecting the 200 output of fluid due to porosity volume changes. 201

3.2. Pore pressure pulse under hydrostatic conditions

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The pore pressure pulse propagation experiment was performed at a confining pressure 202 of 40 MPa. Once the fibre optic sensors were plugged in the previously 25 mm deep drilled 203 holes, the dry sample was first placed in the pressure vessel and loaded isostatically up to 204 a pressure of 2 MPa. Vacuum was then applied in the total pore pressure system. Once 205 a pore pressure of 0.01 bar was attained, the sample was saturated with deionized water. 206 Pore pressure was then maintained constant at 0.2 MPa on both sides of the sample. 207 Saturation was controlled with the micro-volumetric pumps. Full saturation was assumed 208 to be reached when the total injected volume of water remained constant. 209

Once full saturation was reached, pore pressure was maintained constant at a pressure of 210 0.2 MPa on both sides of the sample and the hydrostatic pressure was increased from 2 211 MPa to 40 MPa at a controlled pressure increase rate of approximately 0.25 MPa/min, 212 ensuring a fully drained behaviour (see section 3.1.1). When the total injected volume of 213 pore water remained constant, the hydraulic short-cut (capillary by-pass) between the two 214 ends of the sample were hydraulically disconnected and pore pressure was increased from 215 0.2 MPa to 20 MPa (in a few seconds) and kept constant at 20 MPa on the bottom side 216 of the sample (from now on called upstream) while the other pump (from now on called 217 downstream) was stopped and did not regulate the pore pressure. Downstream pump was 218 used to record the evolution of the pore pressure on this side of the sample. Pore pressure 219 evolution was recorded on both sides of the sample with the Quizix pumps and on three 220 points along the sample with the fibre optic sensors, leading to five independent pressure 221 measurements along the sample (0, 25, 50, 75 and 100 mm). 222

Once pore pressure reaches equilibrium in the whole sample, pore pressure was decreased and maintained constant at a pressure of 0.2 MPa on the downstream side of the sample while the pump situated on the upstream side was stopped. Finally, 35 minutes later, ²²⁶ pore pressure was decreased and maintained constant at a pressure of 0.2 MPa on both ²²⁷ sides of the sample.

3.3. Pore pressure pulse under triaxial stresses

First, the saturated sample was maintained under a hydrostatic pressure of 40 MPa 228 following the procedure described in section 3.2. Then, differential stress was applied by 229 axially loading the sample at a controlled stress rate of $\sim 10^{-1} \mathrm{MPas}^{-1}$ until a differen-230 tial stress of 356 MPa was attained. This controlled stress rate ensures a fully drained 231 behaviour during loading. Under the target differential stress, the sample remains in the 232 elastic domain as shown by the results released in Li et al. [2019]. Once the target dif-233 ferential stress was reached, the stress-state was maintained constant. The two ends of 234 the sample were hydraulically disconnected and a pressure pulse was then induced. Pore 235 pressure was increased from 0.2 MPa to 35 MPa (in a few seconds) and kept constant at 236 35 MPa on the upstream side of the sample while the downstream pump was stopped. 237 Again, the pore pressure evolution was recorded on both sides of the sample with the 238 Quizix pumps and on three points along the sample with the fibre optic sensors, leading 239 to five independent pressure measurements along the sample (0, 25, 50, 75 and 100 mm). 240

4. Results

4.1. Hydrostatic loading: compaction and permeability evolution

Results for the hydrostatic loading and unloading experiment with up to 40 MPa confining pressure are presented in Figure 5 (a). During loading, the hydrostatic response was non-linear up to a pressure of ~ 28 MPa, beyond which the stress-strain curve became almost linear with a slope corresponding to a static bulk modulus of approximately K = 9.5 GPa (Figure 5 a). The mechanical response of heat-treated andesite subjected to isostatic loading is typical of a rock with micro-cracks [*Walsh*, 1965]. The non-linearity

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²⁴⁷ observed below 28 MPa can be explained by the progressive closure of pre-existing micro-²⁴⁸ cracks [e.g. Walsh, 1965; Batzle et al., 1980; Baud et al., 2000; Vajdova et al., 2004; Nicolas ²⁴⁹ et al., 2016], such as the ones shown in Figure 1 (B, C and D). All cracks are considered ²⁵⁰ to be closed at an isostatic stress above 28 MPa. Comparing the volumetric strain mea-²⁵¹ sured and the perfectly elastic trend, micro-crack porosity can be estimated to be at least ²⁵² $mp \sim 0.17\%$ [Walsh, 1965]. During unloading, the hydrostatic response was non-linear ²⁵³ and volumetric strain did not reach 0 when pressure reached 2 MPa.

As pressure was increased from 2 to 40 MPa, permeability decreased from $8 \times 10^{-16} \text{m}^2$ 254 to $1.5 \times 10^{-17} \text{m}^2$ (Figure 5 b). Together with the static measurements, this decrease 255 highlights the closure of pre-existing cracks [e.g. Brace et al., 1968; Brace, 1977, 1978] be-256 tween 0 and 40 MPa and more especially between 2 MPa and 28 MPa when the decrease 257 is more dramatic. During unloading, permeability increased from its minimum value to 258 $2 \times 10^{-16} \text{m}^2$ (Figure 5 b) but did not recover its initial value. This hysteresis, together 259 with the mechanical data, implies that some micro-cracks remain closed after the loading-260 unloading procedure. 261

The evolution of permeability as a function of volumetric strain is strictly identical during loading and unloading (Figure 5 c), showing that the cracks that remaining closed after unloading are responsible for the permanent decrease of permeability after unloading.

4.2. Pore pressure propagation under hydrostatic stress

Local pore pressure measurements with fibre optic sensors as a function of time are presented in Figure 6 (a). In addition, the evolution of upstream and downstream pore pressures in the pumps are plotted in blue and black, respectively. During the whole pressure pulse propagation, hydrostatic pressure was 40 MPa. Figure 6 (b) shows a linear interpolation of pore pressure along the sample as a function of time. Magnifications of the behaviour at the beginning of the experiment are provided in Figure 6 (c to f). When

pore pressure was increased upstream, the fibre optic sensors reacted one after the other 271 as a function of their location along the sample (Figure 6). They first showed a sudden 272 increase to intermediate values ranging from 15 MPa (the nearest from upstream) to 273 approximately 5 MPa (nearest to downstream). Downstream pore pressure increase was 274 very smooth (Figure 6 a). Fibre optic pressure measurements remained between the two 275 extremes (downstream and upstream) pore pressures, indicating a pressure gradient along 276 the sample. After 28 minutes, pore pressure was almost constant in the whole sample at 277 values around 19 to 20 MPa. 278

Then, pore pressure was decreased suddenly at the former downstream point (Figure 6 (a)), leading to a negative pore pressure pulse symmetrical to the positive pulse sent at the beginning of the experiment. Pore pressure decreased and similar to the first part of the experiment, a pore pressure gradient was highlighted. After 35 minutes, one can observe a small positive pore pressure pulse during global pore pressure decrease, which is due to the opening of a valve outside the sample.

After 65 minutes, pore pressure was maintained at 0.2 MPa on both sides of the sample. The fibre optic sensors showed a progressive decrease of pore pressure in the sample. The middle fibre optic sensor did not decrease to zero, which could be due to its hysteresis shown during the calibration (section 2.3).

4.3. Pore pressure propagation under triaxial stresses

Figure 7 (a) shows the evolution of differential stress and volumetric strain during triaxial loading at a confining pressure of 40 MPa. During differential stress loading from 0 MPa to 356 MPa, volumetric strain is very low, in agreement with the hydrostatic mechanical results showing that pre-existing cracks were closed.

²⁹³ Before pore pressure pulse propagation, it was necessary to check that the sample was ²⁹⁴ not creeping (see *Brantut et al.* [2013] or *Nicolas et al.* [2017] for details on creep) under

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²⁹⁵ a confining pressure of 40 MPa and a differential stress of 356 MPa. Figure 7 (b) shows ²⁹⁶ that axial and volumetric strains were extremely low (tending to 0) implying that there ²⁹⁷ was no creep.

When pore pressure was increased upstream, the fibre optic sensors reacted one after the 298 other as a function of their location along the sample but downstream pressure remained 299 at 0 MPa (Figure 8). The fibre optic sensors first showed a sudden increase to intermediate 300 values ranging from 7.5 MPa (the nearest from downstream) to approximately 27.5 MPa 301 (nearest to upstream). Pore pressure increase downstream was almost zero because pore 302 pressure at the upstream side was decreased before it started increasing downstream (Fig-303 ure 8). Pore pressures then remained constant during more than 50 minutes and between 304 the two extreme (downstream and upstream) pore pressures. The gradient of pressure was 305 approximately constant within the sample although effective pressure increased from the 306 upstream side to the downstream side. After 55 minutes, pore pressure was maintained 307 at 0.2 MPa at the former upstream point and pore pressure started to decrease all over 308 the sample (Figure 8). The behaviour was similar as that observed under hydrostatic 309 pressure, with the sudden decrease followed by a gradual decrease. 310

5. Discussion

5.1. Permeability evolution under hydrostatic stress

The mechanical response of the heat-treated andesite subjected to isostatic loading (Figure 5 a) is typical for a rock with micro-cracks and pores [e.g. *Walsh*, 1965], in agreement with micro-structural observations of the intact heat-treated rock (Figure 1). The non-linearity in the stress-strain relation indicates crack closure up to a hydrostatic stress of 28 MPa, leading to a decrease of permeability from 8×10^{-16} m² to 1.5×10^{-17} m² which is similar to previous observations [e.g. *Vinciguerra et al.*, 2005; *Guéguen et al.*, 2011; *Nara et al.*, 2011].

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At crack closure, permeability was about $1.5 \times 10^{-17} \text{m}^2$, whereas the thermally untreated sample had a permeability of approximately 10^{-21}m^2 . At crack closure, the difference to the thermally untreated sample remained around four orders of magnitude, as also observed by *Nara et al.* [2011]. This could be explained by the fact that cracks may be "mechanically closed", that is to say that asperities on both sides of the crack are in contact but there remains some open space between the asperities as observed by *Kranz et al.* [1979]; *Bernabe* [1986] and modelled by *Walsh* [1981]; *Zimmerman et al.* [1992]. *Sevostianov and Kachanov* [2002] showed that asperities in contact (also called islands) dominate the mechanical response.

To interpret theoretically and quantitatively the decrease of volumetric strain and permeability, we consider a porous rock as made of a mixture of solid matrix, spherical pores and penny-shaped cracks. Following *Walsh* [1965], the crack closure pressure P_{cl} for an isotropic stress state can be related to the crack aspect ratio defined as $\xi = w/2c$, where w and 2c are the crack aperture and the crack length, respectively :

$$\xi = \frac{4P_{cl}(1-\nu_0^2)}{\pi E_0},\tag{6}$$

where E_0 and ν_0 are the bulk moduli and Poisson's ratio of the crack-free matrix, respectively. Using the elastic properties of the crack-free material ($E_0 = 50$ GPa and $\nu_0 = 0.25$, which are standard values) and a crack closure pressure of $P_{cl} = 28$ MPa, equation 6 gives an aspect ratio equal to $\xi = 7 \times 10^{-4}$, in good agreement with values reported in the literature [e.g. Fontaine et al., 2008; Adelinet et al., 2010; Guéguen et al., 2011]. Adelinet et al. [2011] showed that at larger scale, aspect ratios are higher, highlighting that the aspect ratios could be scale-dependent in volcanic rocks. If cracks are assumed to be characterized by a penny-shape geometry, then crack porosity is given by [Guéguen and Kachanov, 2011]:

$$\phi^{crack} = 2\pi\rho_c \langle \xi \rangle,\tag{7}$$

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where $\langle \xi \rangle$ is the average crack aspect ratio defined as $\langle \xi \rangle = \langle w/2c \rangle$ and ρ_c is the crack density defined as:

$$\rho_c = \frac{1}{V} \sum_{i=1}^n c_i^3, \tag{8}$$

where c_i is the radius of the *i*th crack and N is the total number of cracks embedded in the representative elementary volume (REV) V. Taking $\langle \xi \rangle = 7 \times 10^{-4}$ and an initial crack porosity $mp \simeq 0.17\%$ (determined from hydrostatic loading mechanical data shown in Figure 5 a), one finds an initial crack density equal to $\rho_c = 0.39$.

Dienes et al. [1982] calculated the permeability of a medium containing an isotropic distribution of cracks of radius c and found that:

$$k = \frac{32\pi}{15} \xi^3 c^2 \rho_c \theta f, \tag{9}$$

where θ and f are accounting for the hydrodynamics of flow through a system of cracks 311 with varying thickness and accounting for the fraction of cracks that belong to an infinite 312 network and will be determined from percolation theory, respectively. The percolation 313 threshold calculated by *Guéquen and Dienes* [1989] for the case of a Bethe lattice where 314 each crack has 4 neighbours is a crack density of approximately 0.14, much lower than 315 the crack density of 0.39 calculated for our heat-treated and esite. Above the percolation 316 threshold, the function f is equal to 1, which is the value used here. The factor θ ac-317 counting for the hydrodynamics of flow through a system of cracks with varying thickness 318 is taken equal to 1 here, in agreement with Guéquen and Dienes [1989]. Using equation 9 319 with $k = 10^{-17} \text{m}^2$, $\langle \xi \rangle = 7 \times 10^{-4}$ and $\rho_c = 0.39$, the mean crack length c can be calculated 320 to be around 0.1 mm, which is in good agreement with direct observations (Figure 1 C 321 and D) and corresponding to the average crystal length. 322

5.2. Describing fluid pressure pulse propagation under hydrostatic stress

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It is now well-accepted that effective pressure has an influence on permeability [e.g. *Brace et al.*, 1968; *Brace*, 1977, 1978] and that the effective stress law for the permeability is not straightforward [e.g. *Ghabezloo et al.*, 2009; *Braun et al.*, 2018]. This is due to the potential closure of pre-existing cracks [e.g. *Brace et al.*, 1968; *Brace*, 1977, 1978]. Thus, pressure pulse propagation could lead to variations of permeability due to effective pressure variations.

Combining mass conservation and Darcy's law (equation 3) and neglecting fluid and rock compressibilities, fluid propagation can modelled with a simple 1D diffusion equation [e.g. *Rice and Cleary*, 1976]:

$$\frac{\partial p(z)}{\partial z} = C(t),\tag{10}$$

where C is a constant. Neglecting rock compressibility may be a strong assumption, but 323 this can be verified using the fibre optic sensors and comparing their measurements to 324 the pore pressure evolution at specific positions (corresponding to those of the fibre optic 325 sensors) calculated with a constant pore pressure gradient derived from pressure values 326 in the upstream and downstream pumps (Figure 9 a). A zoom into the beginning of the 327 pressure pulse is provided in Figure 9 (b). Calculated pore pressures are close to measured 328 data, showing that the pore pressure evolution follows an evolving constant gradient along 329 the sample during pore pressure increase and decrease, in agreement with equation 10. 330 Assuming a constant flow along the sample (*i.e.*, steady state or neglected fluid com-331 pressibility), local pore pressure measurements and Darcy's law were used to calculate 332 the evolution of permeability along the sample as a function of time (Figure 9 c). Local 333 permeability measurements are in good agreement with the mean permeability calculated 334 from upstream and downstream pump pressure measurements (light blue in Figure 9 c). 335 Fibre 2 may not be very reliable (section 2.3) and permeabilities calculated with this fibre 336 could not be considered. Permeability calculated between upstream and fibre 1 is lower 337

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than permeability calculated between fibre 3 and downstream, implying that permeability 338 could decrease with decreasing effective pressure. Permeability calculated between fibres 339 1 and 3 (purple points in Figure 9 c) is comprised between the previous permeabilities. 340 Comparison between these three permeabilities may not be reliable because the fibre optic 341 sensor measurements have a precision that can be estimated to be around 0.5 MPa (see 342 section 2.3). The evolution of permeability as a function of time for one section of the 343 sample is possible to consider. Permeability calculated in the section of the sample nearest 344 to the downstream side increases with pore pressure, due to crack opening with effective 345 pressure decrease due to pore pressure increase (black dots in Figure 9 c). Permeability 346 calculated in the section of the sample nearest to the upstream side remained constant 347 as a function of time (blue dots in Figure 9 c), which can be explained by the fact that 348 pore pressure remained almost constant in this part of the sample. The mean permeabil-349 ity calculated between the upstream and downstream pumps is always between the local 350 permeability measurements. Finally, when pore pressure was almost at equilibrium, local 351 pore pressure measurements were not precise enough to calculate local permeabilities. 352 However, this pore pressure pulse propagation experiment highlights the interest of the 353 use of fibre optics to measure local pore pressures. 354

5.3. Modelling pore pressure increase in a disconnected dead volume

Following Mayr et al. [2011], the experiments were modelled considering the diffusion of water from the upstream side, through the sample and into the downstream dead volume (tubing and pump) as shown in Figure 10. Neglecting fluid compressibility but removing the hypothesis that rock compressibility can be neglected compared to equation 10, fluid propagation can modelled with a new diffusion equation. During pore fluid migration, pore pressure p in the sample depends on the distance from upstream z and time t, which

can be described by a 1D version of the diffusion equation [e.g. Rice and Cleary, 1976]:

$$\frac{\partial p(z,t)}{\partial t} = D \frac{\partial^2 p(z,t)}{\partial z^2},\tag{11}$$

where D is the diffusivity.

Experimental results show that downstream dead volume controls the increase of pore pressure downstream and permeability is relatively high, implying that the gradient is the sample is controlled by the downstream dead volume. Let us examine the upstream and downstream boundary conditions. Initial pore pressure is equal to 0.2 MPa upstream, in the complete sample and in the downstream reservoir:

$$\forall z, p(z = 0, t < t_i) = 0.2 \text{MPa}, \tag{12}$$

where t_i is the time at which the pore pressure step is applied. Then, even though the upstream pressure application may be slightly delayed, the application of the pore pressure step upstream is simply approximated by a step function, such as:

$$p(z=0, t \ge t_i) = 20$$
MPa. (13)

Let us now examine the role of the downstream dead volume, as done by *Pimienta et al.* [2016]. We consider fluid mass continuity downstream, *i.e.*, the change of mass in the sample equals the fluid mass change in the dead volume, with opposite sign. Following *Brace et al.* [1968] and *Lin* [1977], the downstream boundary condition is:

$$S_1 \frac{\partial p_d}{\partial t}_{z=L} + \frac{kA}{\eta} \frac{\partial p_p}{\partial z}_{z=L} = 0, \qquad (14)$$

where L is the sample length, and S_1 is the downstream reservoir storage capacity. The storage capacity is:

$$S_1 = \frac{V_1}{K_f},\tag{15}$$

where V_1 is the reservoir volume and K_f is the fluid bulk modulus. Note that we neglect

³⁵⁶ the reservoir compressibility. Equations 11 and 14 are resolved with the boundary condi-D R A F T January 20, 2020, 9:18pm D R A F T ³⁵⁷ tions expressed in equations 12, 13, and 14. Note that this implies that permeability is

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³⁵⁸ considered constant during the complete experiment, whatever the effective pressure. We ³⁵⁹ thus neglect the permeability sensitivity to effective pressure.

The values of the parameters used in the model are given in Table 1. Rock porosity, bulk modulus and intrinsic permeability are taken equal to those measured on heat-treated samples. Fluid characteristics (bulk modulus, density and dynamic viscosity) are taken equal to those of water commonly obtained at 20°C. Downstream volume was experimentally measured on the machine. To sum up, the values used for the model are taken equal to those experimentally obtained on rock samples, on the machine and values for fluid (water) are taken from the literature.

Figure 11 shows the comparison between our experimental results and the model pre-367 dictions. Predicted downstream pore pressure evolution (black curve) is in very good 368 agreement with the experimental results. However, pore pressure evolution at the three 369 points along the sample corresponding to the location of the fibre optic sensors are in 370 moderate agreement since the present model is not able to predict the sudden increase 371 to the intermediate values followed by a smooth increase to their maximum value. This 372 could be explained by the fact that the applied pore pressure pulse could act as a stress 373 boundary at the bottom of the sample (since it does not diffuse instantaneously). This ad-374 ditional external stress could lead to a compression of the downstream part of the sample. 375 Due to poro-elastic behaviour, this additional compaction could lead to a sudden pore 376 pressure increase without diffusion, which was not considered by the simulation. Another 377 explanation could be that permeability evolution as a function of effective pressure (and 378 thus pore pressure) has to be taken into account in the model. 379

5.4. Implications and perspectives

The use of fibre optics to measure local pore pressure was shown to be useful to understand the hydro-mechanical behaviour of low-permeability porous materials. In this

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study, fibre optics were used to monitor the migration of a pore pressure pulse but it also
 opens new horizons for this technique, which are discussed below.

Pore pressure diffusion could also control the transmission of stress and the diffusing pore pressure front could play a dual role in the triggering of seismicity by decreasing the coefficient of friction and by decreasing the strength [e.g. *Talwani and Acree*, 1984]. Excess pore pressure can also cause an embrittlement of porous fluid-saturated media, as shown by *Farquharson et al.* [2016a] in the case of volcanic edifices. Comparing pore pressure migration with fibre optics and acoustic emission migration with an acoustic system could help improving our understanding of triggered seismicity.

Rapid fault slip during earthquakes can increase pore pressure because of thermal pres-391 surization, which can decrease shear resistance of the fault material [e.g. Rempel and 392 *Rice*, 2006; *Sulem et al.*, 2007, or pore pressure decrease because of the opening of new 393 fractures. It would be possible to use fibre optics during failure of samples to have a 394 closer look at pore pressure evolution during shearing inducing dilatancy and failure of 395 low-permeability porous media subjected to triaxial stresses. Fibre optics would be use-396 ful to study the evolution of local pore pressure during laboratory stick-slip experiments 397 [e.g. Passelègue et al., 2013] under water saturated conditions, which is similar to what is 398 observed on natural faults [e.g. Passelèque et al., 2014]. 399

⁴⁰⁰ Natural faults could control the pathways of fluids and thus fluid diffusion in geothermal
⁴⁰¹ fields [e.g. *Brehme et al.*, 2016b]. Fibre optics can be used to monitor the evolution of
⁴⁰² permeability in low-permeability saw-cut samples to study the evolution of permeability
⁴⁰³ of natural faults as a function of confining pressure and differential stress.

6. Conclusions

It is well known that pore pressure has a major influence on the mechanical behaviour of porous rocks, and thus is of interest for industrial purposes and for our understanding

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⁴⁰⁶ of natural events such as earthquakes. A key parameter for fluid pressure build up is the ⁴⁰⁷ ability for the material to diffuse fluid, that is to say permeability. However, permeability ⁴⁰⁸ can be very variable. In the heat-treated andesitic rock used in this study, permeability ⁴⁰⁹ decreased from 8×10^{-16} m² to 1.5×10^{-17} m² with a confining pressure increase from 2 ⁴¹⁰ MPa to 40 MPa. Moreover, a differential stress of 356 MPa decreased permeability from ⁴¹¹ 10^{-17} m² to 10^{-19} m².

In low permeability samples, fluid diffusion can be very slow, implying that it is hard to 412 follow from the sides of the sample, especially when there are large dead volumes. To 413 overcome this difficulty, we used fibre optic pressure sensors to monitor pore pressure 414 evolution as a function of time along the sample during the propagation of pore pressure 415 pulse under hydrostatic ($P_c=40$ MPa) and triaxial stress ($P_c=40$ MPa, differential stress 416 of 356 MPa). Finally, the pore pressure diffusion process was modelled considering a 417 uniform spatial distribution of permeability in the andesite sample and the dead volume 418 attached at the down-stream side. This modelling leads to results in very good agreement 419 with experimental results. 420

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Figure 1. Micrographs of intact andesite (A and B) and of 930°C heat-treated andesite (C and D) in Scanning Electron Microscopy. (A) General observations of the layout. Large (few mm) phenocrystals of plagioclases and pyroxenes are included in a matrix composed of smaller crystals (B). (C and D) The heat-treatment induces an dramatic increase of crack density and newly created cracks propagate in phenocrystals and in the matrix.

Figure 2. Schematic view of the experiment. A pore pressure increase from 0.2 MPa to 20 MPa is applied upstream. The downstream pump is off but can record the pore pressure. Local pore pressure measurements are recorded with fibre optics along the sample at three different positions.

Figure 3. Response of the three fibre optics to an increase of pressure from 2 MPa to 40 MPa. Fibres 1 and 3 show a linear response to applied pressure and Fibre 2 shows an hysteresis. This calibration data is used to calculate the local pore pressure as a function of the three fibres response during the pore pressure pulse propagation.

Parameter	Symbole	value
Danagitar	4	1 507
Porosity	ϕ	1.3%
Downstream volume	V_1	50 ml
Fluid bulk modulus	K_{f}	2.2 GPa
Rock bulk modulus	K_d	$9.5~\mathrm{GPa}$
Sample radius	r	$2.5~\mathrm{cm}$
Sample length	L	$10 \mathrm{~cm}$
Intrisic permeability	k	10^{-18}m^2
Fluid density	ho	$1000~{ m kg/m}^3$
Gravitational force	g	$9.8 \mathrm{m/s^2}$
Fluid dynamic viscosity	η	1.002×10^{-3} Pa.s

 Table 1.
 Summary of the parameters and their values used in the model.

Figure 4. Imposed upstream flow (blue), downstream flow (red) and mean flow (green) are plotted as a function of time during permeability measurements made during hydrostatic loading. The inset is a zoom on the three flows when upstream flow is at its minimum value (0.01ml/min).

Figure 5. (a) Evolution of the volumetric strain plotted versus confining pressure during the hydrostatic loading (in blue) and unloading (in red). The black line corresponds to a linear elastic behaviour for a static bulk modulus of 9.5 GPa. The dashed line corresponds to the crack closure pressure inferred from the volumetric strain. The microcrack porosity is shown by an arrow labelled mp in the Figure. (b) Evolution of the permeability is plotted as a function of the confining pressure during loading (blue) and unloading (red). The dashed line corresponds to the crack closure pressure inferred from (a). (c) Evolution of the permeability is plotted as a function at a function of volumetric strain during loading (blue) and unloading (red).

Figure 6. (a) Evolution of controlled upstream (blue) and measured downstream (black) pore pressure as a function of time after application of a pressure increase from 0.2 MPa to 20 MPa upstream. Local pore pressure measurements with three fibre optics located along the sample are also shown in red, green and yellow from upstream to downstream. (b) Evolution of pore pressure across time is plotted as a function of length along the sample. (c) Zoom on the 20 minutes at the beginning of the experiment (grey shaded area in (a)). (d) Same as (b) for the 20 minutes at the beginning of the experiment. (e) Zoom on the 10 minutes at the beginning of the experiment (grey shaded area in (a)). (f) Same as (b) and (d) for the 10 first minutes of the experiment.

Figure 7. (a) Evolution of differential stress and volumetric strain as a function of time during triaxial loading. (b) Evolution of volumetric (red) and axial (green) strains as a function of time under constant triaxial stress before pressure pulse propagation.

Figure 8. (a) Evolution of controlled upstream (blue) and measured downstream (black) pore pressure as a function of time after application of a pressure increase from 0.2 MPa to 35 MPa upstream at a confining pressure of 40 MPa and a differential stress of 356 MPa. Local pore pressure measurements with three fibre optics located along the sample are shown in red, green and yellow from upstream to downstream. (b) Evolution of pore pressure across time is plotted as a function of length along the sample dor the pressure pulse sent under a confining pressure of 40 MPa and a differential stress of 356 MPa.

Figure 9. (a) Evolution of controlled upstream (blue) and measured downstream (black) pore pressure as a function of time after application of a pressure increase from 0.2 MPa to 20 MPa upstream under a hydrostatic stress state. Local pore pressure measurements with three fibre optics located along the sample are also shown in red, green and yellow from upstream to downstream. Red, green and yellow dots correspond to pore pressure along the sample calculated with Darcy's law using upstream and downstream pressure for the positions of the three fibre optics of corresponding color. (b) Same as (a) zooming on the 10 minutes at the beginning of the experiment (grey shaded area in (a)). (c) Evolution of permeability as a function of time calculated between two following pressure measurements made either with the microvolumetric pumps or the fibre optics. Light blue curve corresponds to the permeability calculated between upstream and downstream pumps with Darcy's law.

Figure 10. Schematic view of the model. We consider the diffusion of pore fluid through a porous medium (rock sample) into the downstream dead volume composed of the tubing and the Quizix pump.

Figure 11. Comparison of data and results of the model for pressure measurements upstream, downstream and along the sample for a pore pressure pulse sent under a hydrostatic pressure of 40 MPa.

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Volumetric strain [%]

Axial strain [%]









