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1 Can UAVs fill the gap between in situ surveys and satellites for habitat mapping ?

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10 Abstract:

Habitat mapping is an essential descriptor to monitor and manage natural or semi-natural 11 ecosystems. Habitats integrate both the environmental conditions and the related 12 biodiversity. However, it remains challenging to map certain habitats such as inland wetlands 13 due to spectral, spatial and temporal variability in the vegetation cover. Currently, no satellite 14 constellations optimize the spectral, spatial and temporal resolutions required to map 15 wetlands according to the habitats discriminated from in situ surveys. Our approach aims to 16 combine satellite and unmanned aerial vehicle (UAV) data to exceed their respective 17 limitations. Both data sources were combined through a spectral unmixing algorithm with the 18 hypothesis that endmembers from UAV data are pure enough to enhance plant community 19 abundances estimated from satellite data. The experiment was conducted on the regional 20 21 preserve of the Sougéal marsh, a wet grassland of 174 ha located upstream of the Mont-Saint-Michel Bay. Two satellite data sources - Sentinel-2 and Pleiades - and three acquisition 22 periods - November 2017, April 2018 and May 2018 - were considered. A reference map of 23 plant community distribution was produced from UAV multitemporal data and floristic surveys 24 25 to validate the unmixing of satellite data. This study shows innovative results and perspectives: while UAV can improve habitat discrimination, results vary among acquisition 26 periods and habitats. Results illustrate well the great potential of combined UAV and satellite 27 data but also demonstrate the influence of endmembers on the unmixing process and 28 29 technical limitations (e.g. spectral mismatches between sensors), which can be overcome using domain adaptation. 30

31 Keywords: Unmanned Aerial Vehicle; sensor synergy; endmember; wetlands; spectral
32 unmixing; habitat mapping; LTSER Armorique

33 1. Introduction

Global changes caused by human activities are a major threat to ecosystems, leading to a 34 worldwide loss of biodiversity (Tilman et al., 2017), which calls for an assessment of the 35 conservation status of wetlands. Habitat mapping is essential to describe and monitor 36 ecosystems because habitats result from both environmental conditions and the ecosystem's 37 biodiversity (Lopez and Fennessy, 2002; Lu et al., 2015). Remote sensing (RS) has been 38 identified as a pertinent source of data to derive "essential biodiversity variables", (O'Connor 39 et al., 2015; Pereira et al., 2013), which can be used to characterize and monitor natural and 40 semi-natural ecosystems. They also help to discriminate ecosystem distributions at the 41 landscape scale, as well as habitat heterogeneity within these ecosystems (Alleaume et al., 42 2018: Skidmore et al., 2015), providing useful indicators for supporting environmental 43 management of landscapes (Lu et al., 2015). 44

While habitats have been adequately mapped using RS for forest, heathland and grassland 45 ecosystems since the early 2000s (Corbane et al., 2015), mapping inland wetlands remains 46 challenging (Adam et al., 2010). Indeed, they encompass a wide diversity of ecosystems 47 driven by water stress, including humid and flooded grasslands. These ecosystems are of 48 particular interest since they provide many ecosystem services due to their hydrological, 49 ecological and biogeochemical functionalities (Costanza et al., 1997). They provide natural 50 and semi-natural habitats for rare fauna and flora and act as a refuge for wildlife diversity 51 (Denny, 1994). However, human land use leads to habitat degradation (Johnston et al., 52 2009; Malekmohammadi and Jahanishakib, 2017), such as invasion by exotic or eutrophic 53 indigenous species, disturbances due to intensive agriculture or development of late-54 successional vegetation stages due to land abandonment (Andrew and Ustin, 2009). 55 Detecting and monitoring habitat degradation in its early stages is of major importance for 56 land and biodiversity management (Walker and Smith, 1997). Accurately discriminating 57 habitats and mapping wet and flooded grasslands using optical RS is challenging (Adam et 58 al., 2010) since they exhibit high spectral and spatial variability in vegetation cover and 59 mixtures, which can become even more confused by local properties and processes (e.g. 60

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underlying soil, hydrological regime, atmospheric water vapor, agricultural practices). It is
also difficult to define clear boundaries between habitats due to the spatio-temporal
dynamics of vegetation cover caused by seasonal and annual effects of climate and human
activities (Rapinel et al., 2019; Zlinszky et al., 2014). Although RS faces these challenges, it
remains the only way to exhaustively map large spatial areas that could not be covered by *in-situ* surveys. Nevertheless, these surveys are crucial for training and validating RS results.

The accuracy of habitat maps depend strongly on the spectral, spatial and temporal 67 68 resolutions of RS imagery. First, the use of multispectral and hyperspectral data demonstrates that as the spectral resolution increases, discrimination of habitats or species 69 becomes easier (Adam et al., 2010). Multispectral data allow for good discrimination (Sha et 70 al., 2008; Yang, 2007), although hyperspectral data provide more precise information about 71 72 biophysical and biochemical characteristics of habitats (Erudel et al., 2017; Silvestri et al., 2003; Wang et al., 2007) or plants (Rebelo et al., 2018). However, hyperspectral data is only 73 currently available from airborne systems, which do not provide high frequency observations 74 over large areas except at high cost.. Second, high spatial resolution makes it possible to 75 76 extract features that describe textural or multi-scale characteristics, which allows for habitat mapping at meter (Martínez-López et al., 2014) and sub-meter resolutions (Sawaya et al., 77 2003; Szantoi et al., 2013). Very high spatial resolution (VHSR) sensors, such as Ikonos, 78 Quickbird or even Pleiades, however, have an associated cost that does not allow for 79 sufficiently frequent sampling to monitor habitats continuously over large areas (Guo et al., 80 2017; Yang, 2007). Third, RS time series captures changes in vegetation spectra due to 81 phenology and hydrological regimes (Wang et al., 2012; Asner, 1998). Discrimination of 82 wetland and grassland has been improved by the use of the phenological variability in 83 84 reflectance (Ouyang et al., 2013; Rapinel et al., 2019; Gilmore et al., 2008; Schuster et al., 2015). New satellite constellations such as Sentinel-2 provide high temporal frequency and 85 spectral richness, but their spatial resolution remains too low to discriminate small or patchy 86 habitat types effectively (Rapinel et al., 2019). Finally, no current satellite constellation offers 87 high-frequency temporal sampling with suitable high spatial and spectral resolutions; 88 however, optimizing the resolutions improved results (Rapinel et al., 2019). One way to 89

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optimize resolutions is to combine several data sources, such as optical data from unmanned 90 aerial vehicles (UAV) and satellites. 91

Recent technological advances have made UAVs widely available, providing a new low-cost 92 RS data source with unrivalled properties (Anderson and Gaston, 2013). Despite their 93 inability to cover large areas, light UAVs can acquire VHSR multispectral data (Kaneko and 94 Nohara, 2014) at centimeter to decimeter spatial resolutions, which can be equivalent to pure 95 pixels. They are more flexible than traditional spaceborne or airborne sensors, allowing data 96 97 to be acquired at the same time as satellite data (Anderson and Gaston, 2013). Because of this flexibility, we hypothesized that UAV data can be used to complement satellite data (e.g. 98 Pleiades, Sentinel-2) to improve mapping of wetland habitats. We assume that UAVs can 99 100 play an important role between field surveys and satellite data. Indeed, automatic mapping 101 depends on field surveys, which are expensive (Elzinga et al., 1998) and difficult to combine with satellite imagery due to spatial and temporal topological errors (Karl et al., 2014; Zhang 102 103 et al., 2019) and, as mentioned, satellite resolutions that are too low. Thus, we examined whether UAVs can fill the gap between in situ surveys and satellites. 104

105 Our study aimed to test spectral synergies between UAV and satellite data to map plant 106 communities, which were considered as habitat units. Reference spectra can be extracted 107 from UAV data to map habitats at a lower spatial resolution (satellite) using a spectral 108 unmixing approach (Roth et al., 2015). Unmixing allows the estimation of fractional 109 abundances of distinct habitat classes that have specific spectral signatures (i.e. "endmembers") (Keshava and Mustard, 2002). This approach has been applied to habitat 110 mapping (Hamada et al., 2013; Silvestri et al., 2003; Wang et al., 2007) and has the 111 advantage of considering plant communities as fuzzy sets (Bastin, 1997; Rocchini et al., 112 113 2013), due to the variation in plant abundance along soil or altitudinal gradients. The main limitation of unmixing processes is the need to select pure endmembers (or pixels), although 114 vegetation patterns have fine-grained spatial heterogeneity. Hamada et al. (2013) pointed out 115 that the purity of endmembers is the key to achieving good accuracy using a spectral 116 unmixing approach. This study assumes that the spatial resolution of UAV data helps in 117

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118 extracting pure endmembers.

To test these hypotheses, this study focused on the contribution of multispectral UAV data, used along with satellite data, to map habitats using three RS datasets (UAV, Sentinel-2 and Pleiades) and *in situ* floristic surveys. We focused specifically on analyzing the mosaic of habitats of a flooded grassland in France. Their temporal (time series) and spatial (textural information) resolutions were not considered.

124 2. Materials

125 2.1. Study site

The study was conducted in the Sougéal marsh (western France, 48.52° N, 1.53° W) which 126 is part of the LTSER site "Zone Atelier Armorique". This site is a large flooded grassland of 127 174 ha located in the floodplain of the Couesnon River, upstream of Mont-Saint-Michel Bay 128 (Fig. 1). Due to its high conservation value, it has been included as a sub-site of the Natura 129 2000 "Baie du Mont Saint-Michel" site. It has been also labelled as a regional nature reserve. 130 It is regularly flooded from December to April, and managed through extensive mixed-grazing 131 of cows, horses and geese. It includes a network of shallow and stagnant channels, which 132 enables drainage of the site in spring. Plant assemblages are driven strongly by the flooding 133 gradient, encompassing different types of communities, from mesophilic to long-flooded 134 communities (assemblages described in Appendix A). The corresponding habitats are 135 identified using EUNIS typology (Ichter et al., 2014). Possible degradation of these habitats 136 has been detected at the site, particularly the spread of competitive eutrophic plant species 137 (e.g. Urtica dioica) and local trampling by cattle, which has created areas of bare soil. 138

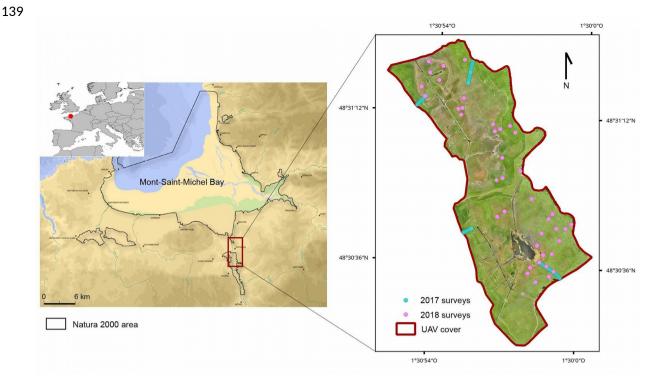


Fig. 1. (left) Location of the Sougéal marsh study site on the Mont-Saint-Michel Bay Natura
2000 site and (right) locations of floristic surveys in 2017 and 2018 on the orthophotograph
acquired by UAV on 18 May 2018.

143 2.2. Field data

144 Plant assemblages were characterized by floristic surveys performed in May 2017 and May 145 2018 (106 and 46 sampling plots, respectively; Fig. 1). For each plot of both surveys, we recorded all plant species and estimated their abundance as a percentage of cover in the 146 plot. The first survey was performed by distributing plots along four transects parallel to the 147 148 slope of the site to survey plant communities along the gradient of flooding duration. Plots measured 2 × 2 m and were located every 5 m along the transects. The second survey was 149 performed to supplement the first. To include all possible variants of the communities 150 151 identified at the site, plots were randomly placed in spatially homogeneous areas. These plots measured 50 × 50 cm. 152

- 153 2.3. Remotely sensed data
- 154 2.3.1.UAV data

155 UAV data were acquired for three dates (6 November 2017, 20 April 2018, 18 May 2018) to

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13

156 provide a multi-season dataset providing information about the phenology and seasonality of the habitats (Tomaselli et al., 2017). Two periods were selected to provide contrasting 157 158 phenologies: 1) before the winter floods (i.e. in November) and 2) after the winter floods, starting in April, which corresponded to the flowering period and biomass peak, a key period 159 for identifying floristic patterns with spectral data (Deng et al., 2017; Feilhauer et al., 2013). 160 Although grazing had started by 18 May 2018, an exclusion zone had been established to 161 162 allow vegetation to grow. Spectral responses for a given community differed depending on whether it was inside or outside this zone. 163

164 UAV data were acquired using an eBee+ (SenseFly, Cheseaux-sur-Lausanne, Switzerland), a self-guided, lightweight (1.2 kg) and fixed-wing drone. Its mean duration is 40 minutes, 165 which allows it to cover up to 14 km² under fair meteorological conditions (low wind). Its 166 167 multispectral sensor (Sequoia, Parrot SA, Paris, France) acquired 1.2 megapixel images in the green, red, red-edge and near infrared (NIR) bands (Fig. 2). It flew 148 m above the 168 ground, providing data at a resolution of 14.7 cm, which was resampled to 20 cm to provide 169 consistent spatial data for the study. The orthomosaics were generated for each 170 171 date/spectral band using Pix4dmapper software (Pix4D SA, Lausanne, Switzerland) producing geometric error smaller than the pixel size (root mean square error (RMSE) = 172 0.048-0.154 m). Data were radiometrically corrected for optical instrument factors (e.g. 173 vignetting, spectral response) and differences in solar irradiance and angle, and calibrated 174 using a radiometric target to provide top-of-canopy (TOC) reflectance (Assmann et al., 2018). 175

176 2.3.2.Satellite data

Two types of multispectral satellite images were used: Sentinel-2 (ESA) and Pleiades
(Airbus) (Table 1). Satellite data were pre-processed at level 2A (i.e. orthorectified with
absolute ground reflectance values). Pleiades imaging was provided by the Centre National
d'Études Spatiales (CNES, the French spatial agency) KALIDEOS program at level 1C:
geometric corrections are accurate (< 0.7 m), while reflectance is retrieved using the
FLAASH algorithm (Cooley et al., 2002). Sentinel-2 images were pre-processed by the
CNES: radiometric corrections using the MACCS algorithm (Hagolle et al., 2015) and an

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- 16

184 estimated positional error with an RMSE of 12.5 m (Dechoz et al., 2015) (i.e. a potential

185 offset of > 50 pixels compared to UAV data). Pixels were adjusted empirically by shifting

186 them to match ground-control points to minimize geometric mismatches between satellite

187 and UAV data.

	Date	Sensor	Local time	Sensor incidence angle	Sensor azimuth angle	Solar zenith angle	Solar azimuth angle
	6 Nov 2017	UAV	11:32:30	Near-nadir	/	64.181°	177.031°
	6 Nov 2017	Pleiades	11:26:34	21.2762°	180.0429°	64.639°	173.643°
	20 Apr	UAV	11:36:30	Near-nadir	/	36.281°	170.816°
	2018						
	19 Apr	Sentinel-2	10:56:19	Near-nadir	/	36.992°	166.341°
	2018						
	18 May	UAV	11:10:30	Near-nadir	/	29.548°	157.664°
	2018						
	20 May	Pleiades	11:25:34	17.7779°	180.0648°	29,298°	161.603°
	2018						
	19 May	Sentinel-2	10:56:19	Near-nadir	/	30.369°	151.244°
	2018						
189							

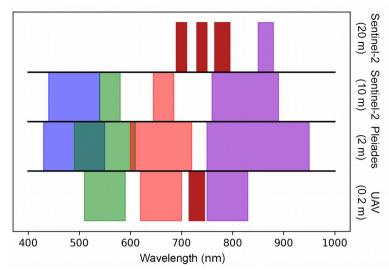
188 Table 1. Acquisition details for UAV, Sentinel-2 and Pleiades data.

190 Spectral characteristics of the satellite and UAV images complemented each other (Fig. 2).

191 All satellite and UAV images were acquired under clear-sky conditions with a maximum

192 temporal mismatch between satellite and UAV acquisition of 2 days. Consequently, we

assumed that the two sets of images did not differ significantly in spectral responses.



- 195 Fig. 2. Spectral (bandwidth) and spatial features of Sentinel-2, Pleiades and Sequoia (UAV)
- 196 sensors.

197 3. Methods

- 198 The methodological workflow was stratified in four steps (Fig. 3), as described in the
- 199 following subsections.

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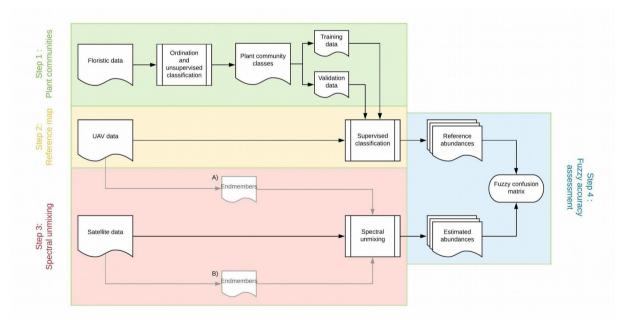


Fig. 3. The general workflow, composed of four steps: 1) unsupervised classification of
floristic data, 2) supervised classification of multi-temporal UAV data to create a reference
map, 3) estimation of plant community abundances by habitat based on two types of spectral
unmixing (extraction of endmembers in (A) UAV imagery or (B) satellite imagery) and 4)

205 accuracy assessment.

206 3.1. Step 1: Unsupervised classification of floristic data

Plant groups were defined based on their floristic composition using correspondence 207 analysis (Greenacre, 2010) performed using the FactoMineR package of R software (R Core 208 Team, 2019). Groups were identified using hierarchical classification analysis based on the 209 210 coordinates of the sampled plots on the correspondence analysis axes. Four groups were detected based on the plots sampled in 2017. Plots sampled in 2018 were projected as 211 212 supplementary individuals on the multivariate plots. Each sampling plot was assigned to a 213 group depending on its location in the multivariate plot. Seven plots that lay on the border 214 between two groups were removed from subsequent analysis. In total, floristic groups 1-4 215 contained 61, 19, 39 and 26 plots, respectively.

3.2. Step 2: Reference map: supervised classification of UAV data

217 The spatial distribution of plant communities was mapped using a supervised classification. The entire UAV dataset, composed of spectral bands and three additional indices (NDVI, 218 219 NDVI-RE and NDWI; Gitelson and Merzlyak, 1994; Rouse et al., 1974; Xie et al., 2018) from 220 the three dates, was processed using one random forest (RF) model (Breiman, 2001). RF is an ensemble classifier that generates multiple decision trees by randomly selecting a subset 221 222 of samples and variables (Belgiu and Drăgut, 2016). It is useful for RS data because it is 223 non-parametric and thus does not make assumptions about the distribution of the data, 224 which are rarely Gaussian. Moreover, it provides both hard and soft classifications that correspond to probability maps of each class considered. 225

The RF classifier was set up to generate 300 trees. Then, the accuracy, choice of hyper-226 parameters and robustness of RF classification was assessed by cross validation: the 227 sampling dataset from fields plots was divided into 10 subsets, each containing a nearly 228 229 equal number of each class. Each subset served successively as learning/validation data, allowing the established model to be tested with 10 different sampling sets. The quality of the 230 classification was assessed using the Kappa index of agreement (KIA) and the overall 231 232 accuracy (OA). To optimize RF hyperparameters (maximum of features, maximum of leaf 21 11

nodes, ...), 20 values taken randomly have been tested for each subset for each subset and 233 234 the best one has been kept (see Appendix B). The RF and cross validation were performed 235 using the scikit-learn package in Python (Pedregosa et al., 2011).

236 3.3. Step 3: Estimation of abundances of plant communities

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3.3.1.State-of-the-art: A priori assumption for analyzing spectral mixtures of plant 238 communities

239 Two steps are crucial in the unmixing process: selection of endmembers and estimation of 240 abundance. The first requires identifying the spectral signature of each endmember, which is 241 essential because spectral unmixing is entirely dependent on - and thus sensitive to - the choice of endmembers (Tompkins et al., 1997). The flooding gradient creates fine-grained 242 243 spatial variability in plant communities, which makes endmember extraction challenging. To include a wider range of variability, Multiple Endmember Spectral Mixing Analysis (MESMA) 244 245 was performed, in which each endmember is represented by several spectra (Roberts et al., 1998). The second step - estimating the abundance matrix - uses the following equation (Eq. 246 247 1):

$$X = E \times A \tag{Eq. 1}$$

with X the signal received, E the endmember matrix and A the abundance matrix. 249

Then, MESMA attempts to minimize the residuals (Eq. 2). 250

251 (Eq. 2) [L(X, EA)+P(E, A)]

with L a loss function (measuring the quality of the estimate) and P a penalty function (to 252 force the equation to achieve desired properties). 253

254 In this study, the spectral signal was a mixture of photons that interacted with different plant species, soil and potentially water, which is assumed to be highly non-linear (Asner, 1998; 255 Borel and Gerstl, 1994; Roberts et al., 1993). 256

257 3.3.2.SAGA+ unmixing algorithm

258 The unmixing algorithm used to estimate abundances was SAGA+ (Nakhostin et al., 2016),

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which is based on the geometric concept of finding the simplex that embeds data. The simplex is calculated in a feature space associated with a kernel, which is useful when analyzing non-linear mixtures. Each vertex of the simplex corresponds to an endmember. Two constraints are imposed on the algorithm to avoid having all endmembers contribute to the estimated solution: 1) the sparsity level (λ), which establishes the threshold below which the abundance of an endmember becomes null, and 2) a maximum number of endmembers per pixel (n_E).

3.4. Step 4: Accuracy assessment and optimization

The accuracy of satellite unmixing was assessed by calculating a fuzzy confusion matrix, 267 268 which is particularly suitable when using fuzzy classification (Binaghi et al., 1999). Unmixing can indeed be viewed as a "soft" classifier in which the proportions of classes in each pixel is 269 extracted instead of a single class. Analyzing results with a fuzzy confusion matrix is thus 270 more consistent than analyzing only regression between abundances, since false positives 271 272 and false negatives are considered. The fuzzy confusion matrix was calculated by comparing estimated abundances to reference abundances from RF probability maps. Like hard 273 274 classifications, this fuzzy matrix preserves the ability to locate errors and to derive the 275 following indicators from soft classifications: fuzzy OA (OA_f), fuzzy KIA (KIA_f), fuzzy 276 producer's accuracy (PA_f) and fuzzy user's accuracy (UA_f). Since the unmixing algorithm is 277 configurable, hyperparameter optimization (Kernel sigma, λ , n_E) was performed using KIA_f as 278 a quality criterion. The setting with the highest KIA_f was selected.

279 3.5. Experiments

280 3.5.1. Influence of acquisition features

The first experiment assessed the influence of the spatial/spectral resolutions of the satellite sensor and the acquisition period on the spectral unmixing results. In May 2018, all types of data were acquired almost simultaneously. Comparing results from Sentinel-2 and Pleiades imagery while performing the same unmixing process would allow the respective influence of the spectral and spatial resolution to be determined. The contribution of the spectral resolution of Sentinel-2 was also tested by comparing the results obtained using its full

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287 spectral resolution to those obtained with only the spectral bands similar to those in the UAV 288 data. Since satellite images were acquired on three dates, the influence of the state of 289 habitats (i.e. phenology, winter flooding) during a given period could be identified. Each 290 satellite sensor was compared among the acquisition dates. To identify the tests performed, codes were established that combined the acquisition month (nov, apr, may), satellite sensor 291 (pl, s2) and source of endmember data (sat, uav) (Table 2). 292

293 Table 2. Codes given to the unmixing processes tested

Date	Sensor	Images used to extract endmembers	Code	
6 Nov 2017	Pleiades	Pleiades	nov-pl-sat	
0 100 2017	Fieldues	UAV	nov-pl-uav	
20 Apr	Sentinel-	Sentinel-2	apr-s2-sat	
2018	2	UAV	apr-s2-uav	
	Sentinel-	Sentinel-2	may-s2- sat	
18 May	2	UAV	may-s2- uav	
2018		Pleiades	may-pl-sat	
	Pleiades	UAV	may-pl- uav	

294

295 3.5.2.Influence of extracting endmembers from UAV vs. satellite data

296 The second experiment assessed the potential of UAV data to provide suitable endmembers for unmixing habitats. Two sources of endmembers were distinguished. The classic method 297 consisted of extracting spectra from the satellite imagery that will be processed (case B, Fig. 298 3). Their pixels are often assumed to be pure. The locations of sampling plots, acquired with 299 a DGPS with a spatial accuracy of 2-3 cm, were used to extract endmembers. Since plots 300 301 were separated by 5 m, however, a given pixel – especially in Sentinel-2 images (10 m 302 spatial resolution) – may have covered two plots. If the two plots did not belong to the same 303 habitat (i.e. the pixel covers two habitats), the corresponding spectrum was removed from the endmember matrix. Since sampling plots covered 2 × 2 m or less, even Pleiades images 304 305 may have provided mixed spectral signatures, since the plots did not fit the image geometry 306 perfectly (Fig. 4). The second method consisted of extracting endmembers from UAV images 27

(case A, Fig. 3), whose high spatial resolution (0.2 m) allowed us to assume that most pixels 307 308 were pure (Fig. 4). Given the high spatial resolution of the UAV data, the number of spectra 309 selected was too large to include all of them in the MESMA unmixing process; thus, the 310 median spectral value was calculated for each sampling plot. This approach extracted endmembers of certain types and patches of vegetation that would not be detectable at lower 311 resolutions because of their small size, such as U. dioica patches or bare soils, which are 312 313 mainly long and narrow, corresponding to cattle pathways on the study site. The extracted endmembers are compared in Appendix C. 314



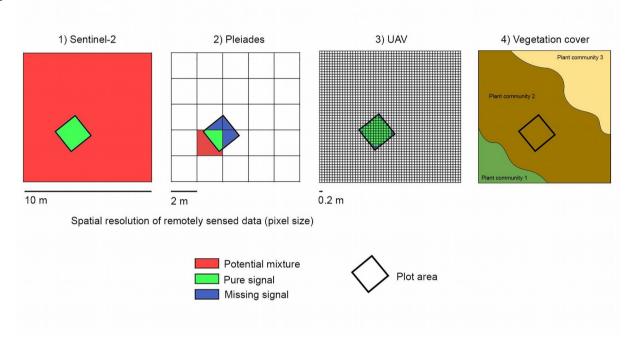


Fig. 4. Influence of spatial resolution on the spectral response of survey areas. a) Sentinel-2
resolution (10 m), b) Pleiades resolution (2 m), c) UAV resolution (0.2 m), d) Example of
plant community distribution.

319 3.5.3. Influence of radiometric intercalibration

Combining optical RS data sources requires radiometric intercalibration. Each data source
was processed for TOC reflectance. Despite these corrections, interoperability between
sensors with different characteristics (e.g. spectral response, point spread function,
instantaneous field of view) can produce errors (Teillet et al., 2007). To address this problem,
the domain adaptation method was used (Tuia et al., 2016). This family of methods consists

of adapting data when one uses two sources with different characteristics (here, UAV and satellite data) in order to compare them effectively. In practice, UAV data are transformed to make them compatible with satellite data.

328 4. Results

329 *4.1. Floristic typology*

330 Four groups of plants were discriminated, which corresponded to plant assemblages331 (Appendix A) along the flooding gradient (Appendix D):

- Group 1: mesophilic (M) communities ("E2.1 Permanent mesotrophic
 pastures and aftermath-grazed meadows" in the EUNIS typology), dominated by
 Lolium perenne, Poa trivialis and *Ranunculus repens*, with *Rumex obtusifolius*,
 R. crispus, Taraxacum officinale and *Deschampsia cespitosa* as interstitial
 species.
- Groups 2 and 3: meso-hygrophilic (MH) communities ("E3.4: Moist or wet
 eutrophic and mesotrophic grassland" in the EUNIS typology), with group 2
 dominated by *Alopecurus geniculatus and Poa trivialis*, with *Carex hirta*,
 Ranunculus sardous and *Potentilla anserine* as interstitial species; and group 3
 dominated by *Eleocharis acicularis* and *Agrostis stolonifera*, with *Ranunculus flammula* as an interstitial species.
- Group 4: hygrophilic (H) communities ("E3.4: Moist or wet eutrophic and mesotrophic grassland" in the EUNIS typology), dominated by Glyceria fluitans,
 with Veronica scutellata and Eleocharis palustris as interstitial species.

346 4.2. Sub-pixel reference map

The supervised classification using RF and applied to multi-temporal UAV data discriminated the four plant communities with an OA of 0.83 (\pm 0.07) and a KIA of 0.77 (\pm 0.10). The two MH classes showed confusion between them (Appendix E), but once merged into a single MH class, it was discriminated well from the two others (M and H). Once merged, its classification had an OA of 0.90 (\pm 0.08) and a KIA of 0.85 (\pm 0.12). Analysis of the confusion matrix (Table 3) showed little confusion between the classes; the lowest producer's accuracy

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353 (PA) was 0.81 for class H. Nearly all commission and omission errors were between classes
354 M and MH or H and MH. The three other classes considered (water, bare soils and *U. dioica*)
355 were discriminated well, with few confusions. The relative importance of features is shown in
356 Appendix F.

Table 3. Mean confusion matrix (%) between the random forest classification of three plant
communities derived from UAV imagery (columns) and sampling plots (lines). Classes: M –
mesophilic; MH – meso-hygrophilic; H – hygrophilic; BS - bare soils; Ud – *Urtica dioica* and
W – water. PA: Producer's accuracy, UA: User's accuracy.

			Cla	assific	cation				
	Class	М	MH	Н	Bs	U	W	PA	UA
						d			
	М	31.	4.4	0.				0.9	0.8
_		9		2				3	7
R	MH	2.1	38.	1.				0.8	0.9
e f e			8	8				7	1
	Н		1.5	8.				0.8	0.8
				5				1	5
r	Bs				2.		0.	0.9	0.9
е					8		1	8	8
n	Ud	0.3				3.		1.0	0.9
С	24	0.0				7		0	2
е	W					-	3.	0.9	1.0
	vv						9. 9	9	0
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Plant community abundances produced by the RF model (Fig. 5) highlight the complexity of 362 the spatial distribution of habitats and their ecotones (i.e. transitions between two habitats). 363 364 Some habitats were well discriminated at the southern end of the site, while the ecotones 365 were fuzzier at the northern end, with interlaced habitats (Fig 5a). Habitat distribution 366 logically depended on the topography. Drier locations in highest areas corresponded to M 367 class, and vice-versa for H class. MH class was usually located between them. At the 368 northern end of the site, small dikes on either side of channels with MH class on them were 369 clearly identified (Fig 5b). Comparing the distribution of RF-classified communities to field 370 observations confirmed the accuracy of the mapping, except for one artifact: a depression in 371 the southeast corner of the study site that contained only H class was mapped instead as a pattern of all three classes (Fig. 5c). 372

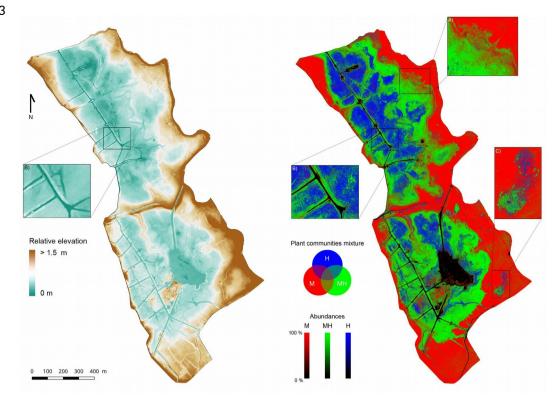


Fig. 5. Topography and plant communities of the Sougéal marsh. Left: digital surface model
derived from UAV RGB data. Right: color composite of wet grassland plant communities in
the Sougéal marsh derived from random forest classification of UAV imagery. Insets: a)
ecotone, b) class MH on small dikes of channels and c) hygrophilic depression. Classes: M –
mesophilic; MH – meso-hygrophilic; H – hygrophilic.

379 4.3. Abundance estimation

380 4.3.1. Influence of satellite acquisition features

381 For May 2018, the best unmixing results were obtained for may-pl-sat ($OA_f = 0.68$ and $KIA_f =$ 382 0.53; Table 4). May-pl-sat was more effective than may-s2-sat, with a difference of +0.10 383 KIA_f points. The two satellite sensors identified class M well, with a UA_f and PA_f exceeding 384 0.70 for both (Fig. 7). However, Sentinel-2 did not detect class MH as well ($PA_f = 0.40$ and 385 $UA_f = 0.64$) as Pleiades (PA_f = 0.63 and UA_f = 0.71). Both satellite sensors yielded poor 386 results for bare soils, especially Sentinel-2, from whose data accurate endmembers for bare 387 soils could not be extracted. Pleiades (Fig. 6g and 6h) clearly identified the channels, unlike Sentinel-2 (Fig. 6e and 6f). However, the latter provided higher abundances for each habitat 388 with less plant community mixing - compared to those obtained from Pleiades (see Appendix 389 390 G for details) – which is more consistent with field observations. Concerning Sentinel-2 data,

391 applying the same processes to a subset of satellite bands corresponding to those of the

392 UAV yielded identical results for both acquisition dates (apr-s2-sat and may-s2-sat).

393 Table 4. Unmixing results by endmember sources for each Sentinel-2 and Pleiades

acquisition. OA: overall accuracy, KIA: Kappa index of agreement, DA: domain adaptation.

Acquisition date	6 Nov 2017		20 Apr 2018		18 May 2018			
Sensor	Pleiades		Sentinel-2		Sentinel-2		Pleiades	
Score	OA _f	KIA _f	OA _f	KIAf	OA _f	KIA f	OA _f	KIAf
Satellite endmembers	0.58	0.40	0.76	0.64	0.60	0.43	0.68	0.53
UAV endmembers	0.48	0.22	0.64	0.44	0.56	0.40	0.65	0.49
UAV endmembers (with DA)	0.58	0.38	0.71	0.57	0.65	0.51	0.65	0.51

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Comparison of results highlighted the strong influence of acquisition date and solar zenith 396 397 angle: scores were higher for April and lower for November. For Pleiades, scores were 398 higher for May than for November ($OA_f = 0.68$ and 0.58, respectively). For Sentinel-2, scores 399 were higher for April than for May ($OA_f = 0.76$ and 0.6, respectively), while Pleiades yielded a 400 higher score than Sentinel-2 for May ($OA_f = 0.65$ and 0.60, respectively). Apr-s2-sat discriminated class M ($PA_f = 0.80$ and $UA_f = 0.86$) and class H class better ($PA_f = 0.85$ and 401 $UA_{f} = 0.62$). Estimated abundances for November showed strong confusion between plant 402 403 communities for nov-pl-sat (Fig 6a and 6b), while apr-s2-sat showed habitat patterns most similar to the reference map. Estimates for May showed clear but different patterns for 404 classes H and MH. 405

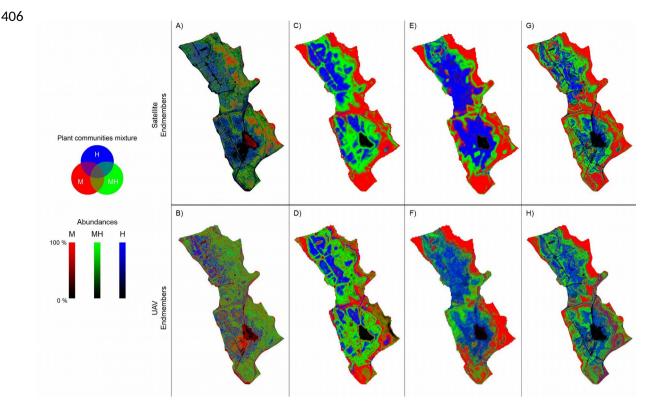


Fig. 6. Color composites of wet grassland plant communities in the Sougéal marsh derived
from spectral unmixing of a) nov-pl-sat, b) nov-pl-uav, c) apr-s2-sat, d) apr-s2-uav, e) mays2-sat, f) may-s2-uav, g) may-pl-sat, and h) may-pl-uav. See Table 2 for unmixing codes.
Classes: M – mesophilic; MH – meso-hygrophilic; H – hygrophilic.

411 4.3.2. Influence of endmembers extracted from UAV vs. satellite data UAV-extracted endmembers rarely estimated abundances better than satellite-extracted 412 413 endmembers (Table 4). May-s2-uav was the only experiment in which UAV-extracted 414 endmembers had better results than satellite-extracted endmembers (+0.08 KIA_f points compared to may-s2-sat). The other experiments showed slightly lower OAf or KIAf scores 415 when using UAV endmembers. UAV endmembers did not discriminate habitat classes as 416 417 clearly as satellite ones (Fig. 6, darker hues). In certain cases, however, UAV endmembers always improved the PA_f for classes that were fuzzier (MH) or covered areas often smaller 418 than satellites' pixel size (water, bare soils) (Fig. 7). For instance, may-pl-uav endmembers 419 estimated class MH better (i.e. higher PA_f) than Sentinel-2 or Pleiades endmembers. UAV 420 421 endmembers discriminated water better, especially may-pl-uav ($PA_f = 0.76$ and $UA_f = 0.53$). 422 For bare soils, UAV-extracted estimates were similar to those extracted from Pleiades but 423 always better than those extracted from Sentinel-2.

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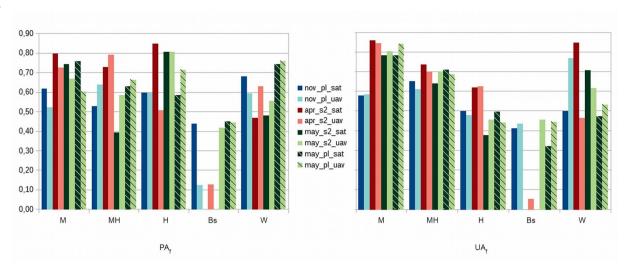


Fig. 7. Fuzzy producer's accuracy (PAt) and user's accuracy (UAt) by class and unmixing
process. See Table 2 for unmixing codes. Classes: M – mesophilic; MH – meso-hygrophilic;
H – hygrophilic; BS - bare soils; Ud – Urtica dioica and W – water.

428 4.3.3. Influence of radiometric intercalibration: domain adaptation

429 Domain adaptation adjusted the TOC reflectance values acquired with UAV to those of the

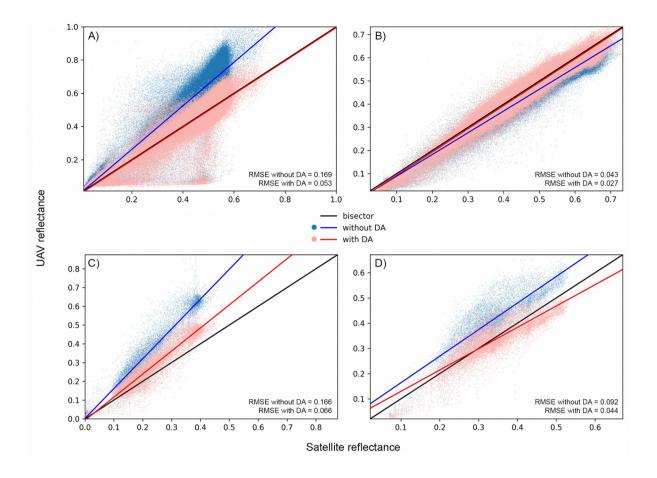
430 satellite sensor, thus compensating for radiometric mismatches that remained after

431 correcting each type of RS data (Fig. 8). Domain adaptation improved data intercalibration

432 for all acquisition dates significantly: improvements were greater for November and April but

433 less pronounced for May. Similarly, intercalibration of UAV data was better with Sentinel-2

434 data (Fig. 8c and 8d) than with Pleiades data (Fig. 8a and 8b).



436 Fig. 8. Scatterplots of UAV vs. satellite reflectance with (red) and without (blue) domain adaptation (DA) for the near infrared spectral band: a) Pleiades on 6 November 2017, b) 437 Pleiades on 20 May 2018, c) Sentinel-2 on 19 April 2018 and d) Sentinel-2 on 19 May 2018. 438 439 Using domain-adapted UAV endmembers improved all unmixing results, regardless of the 440 date of acquisition (Table 5). The mean improvement was $+0.09 \text{ KIA}_{f}$ points, but 441 improvement varied among dates (+0.01 to +0.16 KIAf points, +0.01 to +0.09 OAf points). The accuracy of the results was strongly correlated with the quality of the intercalibration of 442 443 the data sources.

Table 5. Improvements in spectral calibration of UAV data (RMSE) and unmixing results with
UAV endmembers (overall accuracy (OA_f) and Kappa index of agreement (KIA_f)) between
applying and not applying the domain adaptation method.

Data source and date	ΔOA_{f}	Δ KIA _f	Δ RMSE (NIR)	
Pleiades (6 Nov 2017)	+0.09	+0.16	-0.116	
Pleiades (18 May 2018)	+0.01	+0.01	-0.016	

Sentinel-2 (20 Apr 2018)	+0.06	+0.09	-0.096
Sentinel-2 (19 May 2018)	+0.09	+0.11	-0.048

447

448 5. Discussion

449 Broadly, this study demonstrates that UAVs show great potential to fill the gap between in 450 situ surveys and satellite imagery for providing and improving RS essential biodiversity 451 variables. The spectral unmixing approach allowed for estimation of mixed habitats and 452 overcame the spatial resolution constraint of satellite sensors by providing subpixel 453 information. MESMA has already demonstrated its ability to integrate the variability in 454 heterogeneous plant classes but at lower spatial resolutions (Li et al., 2005; Michishita et al., 455 2012; Rosso et al., 2005). When used to map natural or semi-natural habitats, it enables 1) 456 discrimination of community patterns with a grain size smaller than the spatial resolution of 457 the sensor (Roth et al., 2015) and 2) representation of ecotones between two habitats (Hill et 458 al., 2007).

5.1. Endmember effectiveness in spectral, spatial and temporal dimensions
Endmember selection remains a challenging task that can be controversial. The dataset
used made it possible to evaluate influences of spectral, spatial and temporal dimensions in
this crucial step of habitat mapping in flooded and humid grasslands in a temperate oceanic

463 climate.

464 First, spectral resolution is known to be essential for discriminating wetland plant 465 communities (Adam et al., 2010), and it is an important feature when analyzing the spectral mixture (Bioucas-Dias et al., 2012). Surprisingly, however, we found that including all 466 Sentinel-2 spectral bands did not improve analysis of the spectral mixture for the Sougéal 467 marsh. The increased spectral resolution from the additional bands (6 red-edge, NIR and 468 mid-infrared bands at 20 m) may not have compensated for the decrease in the spatial 469 resolution. This study also shows that spectral intercalibration of RS data is crucial to perform 470 471 unmixing of satellite data with endmembers from a different source. Even though data were radiometrically corrected to produce TOC reflectance, mismatches in spectral values 472 473 remained between UAV and satellite data, especially in the green and NIR bands (Appendix 45 23

474 H). Overestimation of spectral values by the UAV's Sequoia multispectral sensor is
475 suspected because its grassland reflectances exceeded 0.6 in the NIR. Domain adaptation
476 overcame these problems, although testing the quality and interoperability of the sensor is
477 required for future scientific studies.

478 Second, most endmembers extracted from high-spatial-resolution imagery yielded better results. Pleiades, with a spatial resolution 5 times as high as that of Sentinel-2, yielded better 479 480 results in May 2018. One can assume that the VHSR images of Pleiades make it possible to 481 extract endmembers that are not influenced by potential class mixtures (Roth et al., 2015). 482 Higher-spatial-resolution UAV data can also help extract a pure spectral signature, but also 483 one that could be too specific, depending on the grain size of plant community patterns. Nonetheless, UAV endmembers always improved discrimination of the meso-hygrophilic 484 485 class, which is floristically more heterogeneous because of variations in flooding throughout the year (Bonis, 2014). This result suggests that endmembers from VHSR data are more 486 487 suitable for heterogeneous classes, for which acquisition of pure pixels is more complicated. In future studies, VHSR data could be used to purify endmember spectral bands by 488 489 identifying and eliminating endmembers influenced by the background (Bian et al., 2016; Ma 490 et al., 2015).

491 Finally, this study strengthens the ability of RS data to discriminate plant communities better during specific periods. Like Rapinel et al. (2019), acquiring images in early spring (April), 492 493 near the biomass peak and flowering of plants, appears to help discriminate plant communities greatly (Feilhauer et al., 2013). The grain size of plant community patterns may 494 495 vary among periods depending on the hydrological regime (Corriale et al., 2013; Todd et al., 2010). The differences in spatial patterns identified between the periods studied (e.g. 496 497 hygrophilic habitat in April and May) were likely due to confusion caused by certain species 498 common to several habitats but not present in all vegetation belts, due to the flooding gradient over time, which causes spatial and temporal phenological shifts. Depending on 499 land use, vegetation types and vegetation dynamics (i.e. during the acquisition period), 500 501 selection of endmembers can be even more subtle than expected. Hence, integrating multi-

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502 temporal endmembers when a phenological gradient is present is an interesting prospect

503 (Dudley et al., 2015).

504 5.2. Synergies among In situ, UAV and satellite data

505 UAV technology shows great potential for successfully mapping habitats of the Sougéal 506 marsh at a spatial resolution never achieved before (20 cm). VHSR imagery played two 507 major roles in this study: clarifying and validating satellite data. First, UAV data were used to 508 extract "pure" endmembers to clarify satellite data using the unmixing algorithm (Schaaf et 509 al., 2011), which estimated plant community abundances. Second, combing UAV data with in 510 situ surveys provided a spatially exhaustive ground truth to assess these estimated abundances. However, the accuracy of the reference map can be questioned, because 511 spatial and temporal phenological shifts could contribute to a failure to discriminate habitats 512 properly. For instance, ecotones can represent one of these shifts, ultimately belonging to 513 514 one habitat rather than a mixture of two. Moreover, grazing activities (May-November) are likely to influence spectral signatures of plant communities and may lead to local 515 misclassifications. Producing a monthly time series of UAV data, for instance, would help 516 discriminate these habitats by monitoring floods and vegetation phenology. 517

518 This study focused on spectral complementarities between UAV and satellite data. Spatial 519 and temporal complementarities still need to be explored. Indeed, UAV data provide highresolution textural (vegetation patterns or heterogeneity) and topographical information that 520 can be useful for habitat mapping. These spatial features can complement spectral 521 information to differentiate complex environments in which vegetation communities have a 522 strong spectral similarity (Zhao and Du, 2016). Object-oriented approaches that include 523 texture information allow for multi-scale analyses (Moffett and Gorelick, 2013; Tuxen and 524 Kelly, 2008) and could be used with a convolutional neural network to automatically extract 525 multi-scale spatial features. This method has proven to be even more effective for wetland 526 mapping (Mahdianpari et al., 2018; Rezaee et al., 2018) than traditional classifiers such as 527 RF. Finally, an interesting perspective for combining UAV and satellite data is spatio-528 temporal fusion, which allows for modeling of high-resolution images using two data sources, 529 530 one at high spatial resolution and the other at high temporal resolution (Chen et al., 2015). 49 25

531 5.3. Remote sensing: a proxy of the state of biodiversity

532 Using UAVs for RS provides good discrimination or estimation of wetland habitats at level 3 533 of the EUNIS typology on the Sougéal marsh, despite grazing, which prevents vegetation from developing fully. Classifying species groups into plant communities is based essentially 534 on the presence of certain species that characterize the habitat; however, the abundances of 535 all of its constituent species contributes most to the spectral signature of the plant 536 537 community. The spectral signal acquired does not make it possible to identify the typical species of the community, which may have low abundance. On the contrary, the dominant 538 species in the community contribute the most to the spectral signature. Nonetheless, this 539 540 study demonstrates that UAV data have great potential to enhance discrimination of 541 herbaceous habitats in humid grasslands at a fine level of nomenclature (typological resolution below EUNIS level 3), whether combined with satellite data or not. 542

Habitat mapping has been recognized for more than 40 years as a good proxy of
biodiversity. Beyond its assessment of habitat types, this study also demonstrates that some
indices of conservation status can be estimated in wetland habitats, such as the proliferation
of eutrophic species (*U. dioica*) or the occurrence of local disturbances due to intensive
grazing (i.e. bare soils). This opens new avenues for using UAV technology in a broader
objective of analyzing the ecosystem functioning related to habitat presence, distribution and
ecological status.

550 6. Conclusion

This study evaluated the utility of UAV data to fill the gap between *in situ* survey and satellite 551 data for habitat mapping. The main results showed that UAVs have great potential for habitat 552 mapping: they are flexible (allowing data to be acquired at the same time as satellite data). 553 can map habitats on small areas (up to ca. 100 ha) effectively and provide training 554 (endmember) and validation (habitat class) data for unmixing high-temporal-resolution 555 satellite multispectral data, such as Sentinel-2 (10 m) or Pleiades (2.4 m). Fuzzy 556 classifications of mesophilic, meso-hygrophilic and hygrophilic communities were produced 557 with good to reasonable accuracy when combining UAV endmembers and satellite data, 558 559 although the study revealed some technical limitations and the challenge of mapping of these 26 51

habitats. For the former, although it is necessary to intercalibrate sensors to increase the 560 accuracy of habitat maps, domain adaptation can overcome spectral mismatches. For the 561 562 latter, some acquisition periods were more suitable than others. The influence of climate, hydrological regimes and land use (here, grazing) may lead to spatial and temporal shifts in 563 habitat phenology, making it difficult to discriminate boundaries of flooded grassland habitats 564 accurately. However, UAV data contribute to early detection of invasive species or land 565 566 degradation whose mean area remains smaller than the spatial resolution of satellite images. Futur work is needed to benefit fully from potential synergies between satellite and UAV data 567 for environmental applications. Although focused only on spectral synergies, this study 568 569 identified promising improvements by combining spatial and temporal characteristics.

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878 879 **Figure captions**

880 Fig. 1: (left) Location of the Sougéal marsh study site on the Mont-Saint-Michel Bay Natura 881 2000 site and (right) locations of floristic surveys in 2017 and 2018 on the orthophotograph 882 acquired by UAV on 18 May 2018.

883 Fig. 2: Spectral (band width) and spatial features of Sentinel-2, Pleiades and Seguoia (UAV) 884 sensors.

885 Fig. 3: The general processing workflow composed by four steps. 1) Unsupervised classification of floristic data. 2) Supervised classification of multidate UAV data to provide a 886 reference map. 3) Estimation of habitats' abundances using spectral unmixing with two 887 888 cases: A) extraction of endmembers in UAV imagery; and B) extraction of endmembers in 889 satellite imagery. 4) accuracy assessment.

890 Fig. 4: Impact of spatial resolution on the spectral response of survey areas. a) Sentinel-2 891 resolution (10m); b) Pleiades resolution (2m); c) UAV resolution (0.2m); d) Example of plant communities distribution. 892

893 Fig. 5: Topography and plant communities of the Sougeal marsh. Left: digital surface model 894 derived from UAV RGB data. Right: color composite of wet grasslands plant communities in 895 the Sougeal marsh derived from RF classification of UAV imagery. Specific areas: a) 896 ecotone; b) MH on small dikes of channels; c) hygrophilic depression. Classes description: M 897 – Mesophilic; MH – Meso-Hygrophilic; H – Hygrophilic.

Fig. 6: Color composites of wet grasslands plant communities in the Sougeal marsh derived 898 899 from spectral unmixing of a) nov-pl-sat, b) nov-pl-uav, c) apr-s2-sat, d) apr-s2-uav, e) may-900 s2-sat, f) may-s2-uav, g) may-pl-sat, and h) may-pl-uav. Classes description: M – Mesophilic; 901 MH – Meso-Hygrophilic; H – Hygrophilic.

902 Fig. 7: Fuzzy producer's (PA_f) and user's (UA_f) accuracy per classes and unmixing processes. Classes description: M – Mesophilic; MH – Meso-Hygrophilic; H – Hygrophilic; BS 903 - Bare soils; Ud – Urtica dioica and W – Water. PA: Producer's accuracy, UA: User's 904

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905 accuracy.

- 906 Fig. 8: Scatter plots of UAV versus Satellite measurements with (red) and without (blue) DA
- 907 for the NIR spectral band: a) Pleiades at 2017-11-06; b) Pleiades at 2018-05-20; c) Sentinel-
- 908 2 at 2018-04-19 ; and d) Sentinel-2 at 2018-05-19.