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Supporting Model Refinement with Equivalence Checking in the Context of Model-Driven Engineering with UML-RT

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Abstract—Through model refinement, system developers can build a system model incrementally and gradually unveil the details of the system. While the process of incrementally building a model can help developers master the complexity of the system, even small modifications to a model may lead to a loss of initially present desirable behavior and properties. Furthermore, the impact of such changes on the model behavior becomes difficult to detect once the model size increases. We propose a formal approach to compare pairs of models in which the second model is the result of an incremental modification of the first. The results have shown that the approach helps verify that the modification is behavior preserving, i.e., that it is a refinement in the sense of the formal methods literature.

I. INTRODUCTION

Model-Driven Engineering (MDE) designates approaches in which models are created to describe a system, and from which a concrete implementation can be derived [9]. MDE provides a means to handle system complexity with abstraction and automation. A model is a representation of what is perceived as the relevant characteristics of the system [23], and it can be used for a variety of purposes such as understanding, communication, analysis, and code generation.

There are limits to the human ability to understand complexity [14]. Gradually adding details to the model is one way to master system complexity. MDE development often proceeds by incrementally adding details into the model. One starts with a very high level model in which, e.g., complex states are summarized by just one state, and then, once the developers are satisfied with this high-level model (e.g., certain tests are passed, certain properties are satisfied, etc.), they can unveil this complex state by adding detail to it. It would be helpful for developers to be able to check that these kinds of development steps are behavior preserving, i.e., that the new model is indeed equivalent in some sense to the previous model, because then it may not be necessary to run the same tests again and check that properties are preserved.

Although models aim to abstract system complexity, they can become very complex too, making it difficult to inspect them manually. Such analysis can be done using formal methods, which are techniques strongly rooted in mathematics and which rely on formal models. Formal models are written in

a formal specification language, i.e., a language with a clearly defined and unambiguous semantics. Formal verification tools provide a rigorous way for analyzing a model by exhaustively exploring its state space, which can be used to evaluate the impact when the model changes.

Despite their acceptance, formal techniques are not trivial to use as formal languages are complex to master and to integrate with semi-formal languages. The challenge is to integrate formal techniques with MDE [9], in order to benefit from the rigorosity and automation provided by formal verification tools to support the analysis of non-formal models and to make formal verification amenable to MDE practitioners [29].

Although several approaches have been proposed to bridge this gap using model checking techniques, less attention has been paid to the comparison of models related with each other by an abstraction-refinement relation. This paper proposes an approach based on formal methods to compare UML-RT models in order to show behavioral preservation when one model is the evolution of another one. For this, UML-RT models are automatically translated into a formal specification, and a well-known refinement pattern is implemented using a UML profile. The approach is tool supported and integrated into the Eclipse platform.

II. MDE AND FORMAL METHOD CONTEXT

This section introduces the main concepts of the MDE language we use in this work, the refinement technique we apply, as well as the formal methods background needed to understand the approach.

A. UML-RT

UML-RT is a language that integrates constructs suitable for modeling complex, event-driven, and potentially distributed real-time systems. A UML-RT model consists of five basic concepts: capsules, ports, protocols, connectors, and state machines (as illustrated in the example of an ATM in Figure 1).

Capsules are the central modeling construct of the formalism. They are used to represent the major architectural elements of real-time systems [27]. Capsules are UML active classes with composite structure that communicate through

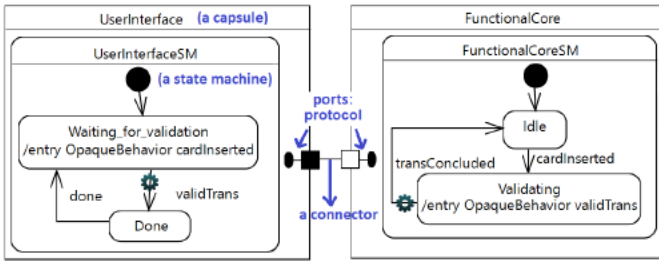


Fig. 1. An example of an ATM

ports typed by *protocols*. Communication is done by message passing. Protocols specify the messages that can be exchanged between capsules. Ports fully isolate a capsule’s implementation from its environment, all the communication being done through *connectors* that link the capsule ports. Connectors model communication channels, and each connector supports a single protocol. Capsules can have an internal behavior, which is described using *state machines*. Using the aforementioned concepts, UML-RT allows one to create models of real-time systems at different levels of detail.

B. Abstraction Patterns

In [28], the author presents a catalog of abstraction patterns for model-based software engineering. These patterns can be seen as model transformation patterns, and they can be applied in both directions: to obtain a more abstract version of the model as well as to obtain a more refined one (called, in this case, refinement patterns). This catalog proposes and groups 13 patterns into three classes: *structural*, *behavioral*, and *temporal* patterns. The first one contains 5 patterns, the second one contains 4 patterns, and the last one contains 4 patterns.

In this paper, we describe an example in which the *Summary State* behavioral pattern is studied. In this pattern, a certain detailed-level behavior, described by a state machine, is abstracted into a state at a higher level (Figure 2). Two concepts are then introduced: *glass state* and *cross-over transitions*. The former to represent the set of states and transitions that are being abstracted, the latter to represent transitions that cross in or out of the glass state, and which have corresponding representatives in the abstract graph.

The primary focus of [28] is on the *syntactic* aspects of the transformations. The *semantic conformance* between a refinement and its abstraction is not discussed in the paper. According to the author, “semantic conformance is the property that an abstraction is phenomenologically consistent with its corresponding refinement”. We move the work described in [28] forward by providing a way to verify the semantic conformance of the refinement transformations, in the sense that the relevant structural and behavioral properties of the abstraction are retained in the refinement. We verify such behavioral-preserving refinement using equivalence checking.

C. Equivalence Checking

Equivalence checking is a formal technique that provides a means to show whether two systems exhibit exactly the same behavior. It can be used in this case to show the semantic conformance between an abstract and a refined model. First, a formal model for each system to be compared is created. Then, LTSS (labeled transition systems) representing the state space of the formal models are automatically generated, which represent all possible evolution of the system. Such LTSS can then be automatically compared using a given equivalence relation. For instance, *strong bisimulation* [22] is the most restrictive relation: two systems are strongly bisimulation equivalent whenever they can perform the same actions to reach strongly bisimulation equivalent states, i.e., they agree on each step they take.

There are cases in which some transitions may be skipped in the analysis. These transitions are considered “non observable” and hidden by receiving a special label (τ) in the LTS, allowing weaker bisimulation relations to bypass them when checking equivalence between models. One of the most important features in process algebra is that of abstraction, since it provides us with a mechanism to hide actions that are not observable, or not interesting for any other reason [30]. By abstraction, some of the actions in a LTS are made invisible or silent (τ -actions). Consequently, any consecutive execution of hidden (τ) steps cannot be recognized since they are not observable [30]. Several bisimulation relations exist that can deal with τ transitions. *Branching bisimulation* [30] is one of the most commonly used.

The numerous equivalence relations available in the literature can be used to show equivalence between two systems at different levels of abstraction. The choice of the equivalence relation depends on the abstraction level of the models and the verification goals. In case of non-equivalent models, the equivalence checker generates a counter example, i.e., a sequence of steps that leads both systems into a state where they are not equivalent. Finally, the results of the analysis can help to find anomalies in the modeled systems.

D. LNT Formal Specification Language

In order to compare two UML-RT models using equivalence checking, they should first be described by means of a formal

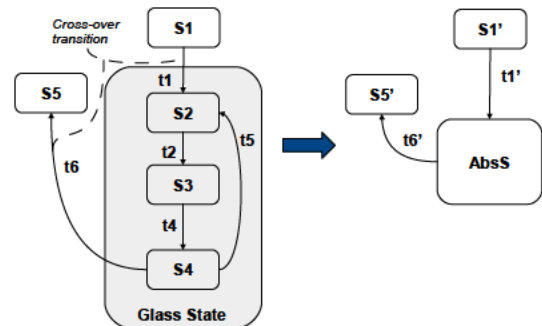


Fig. 2. The *summary state* pattern

language. We chose LNT [4] to describe the original models, because LNT and UML-RT have similar features, as described in Section IV-C.

LNT is a language derived from the E-LOTOS [16] standard. It improves LOTOS [15] and can be translated to LOTOS automatically. LOTOS was originally devised to support standardization of OSI (Open Systems Interconnection), but has been used now more widely to model concurrent systems. LNT inherits from LOTOS the way a system is represented: with a data part, based on algebraic abstract data types, and with a control part, based on process calculus. In LNT, both parts (data and control) share a common syntax close to the imperative programming style [10]. In LNT, a system is described by means of blocks of code called *modules*. Modules can contain types, channels, functions, and processes. A process is an object which denotes a behavior; it can be parameterized by a list of formal *gates* (or *channels*), through which the process can communicate with other processes.

LNT is strongly typed, and types such as `int`, `char`, `boolean`, `string`, etc. are available. Besides, the language provides constructs such as conditional statements, iteration, assignment, sequential composition, etc. The language can also handle concurrency, communication, non-determinism, signaling, exceptions, etc. An example of a LNT specification is illustrated in Figure 6 and it will be detailed in the Subsection IV-C.

III. RUNNING EXAMPLE

To introduce our verification approach, consider a simplified ATM whose model is illustrated in Figure 1. It contains two communicating capsules called *UserInterface* and *FunctionalCore*, each one containing a state machine modeling part of a transaction validation behavior of an ATM: the user interface models some user interactions with the ATM, and the functional core describes a simplified representation of the validation process of the ATM. The capsules exchange messages through a port, which will trigger state transitions in the corresponding state machine.

The state machine of the *FunctionalCore* is in the state *Idle* until a card has been inserted in the ATM (which is detected by the user interface). It triggers the transition to the *Validating* state, and back to the *Idle* state. On the *UserInterface* side, the state machine alternates between the *Waiting_for_validation* state and the *Done* state, according to the messages received from the functional core.

Suppose that developers now want to add more detail to this abstract model by explaining what the complex states *Validating* and *Waiting_for_validation* are, and they produce a new model (Figure 3). In the *UserInterface*'s state machine, the *Waiting_for_card_validation* state is activated once a card is inserted in the ATM, staying in this state until the card has been validated by the functional core. Next, the *Waiting_for_code_validation* state becomes active, followed by the *Waiting_for_transaction_validation* state once the code has been validated. Once the transaction has been validated, the state machine transits to the *Done* state, and returns to the

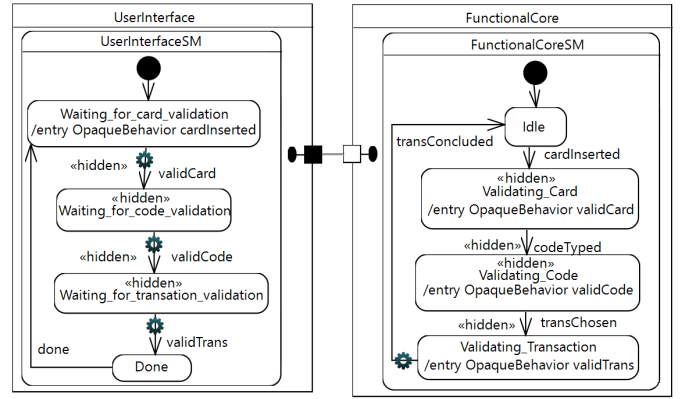


Fig. 3. New ATM model after adding details to the complex states

Waiting_for_card_validation state once a confirmation is received from the functional core capsule. In the *FunctionalCore* side, the original *Validating* state is also further developed to include some details of the validation process.

For the sake of simplicity, the logic for validating the card, the code and the transactions are out of scope of this paper, and we focus on the messages exchanged by the capsules. We do not consider either the case of invalid cards, codes, and transactions. In this context, developers may wonder whether this new version of the model preserves its initial behavior, i.e., whether it is a refinement of the original model.

IV. COMPARING UML-RT MODELS

Our approach to compare pairs of UML-RT models is illustrated in Figure 4. The comparison first requires the UML-RT models to be expressed in a formal specification language. With this goal, we wrote an automatic translation from the UML-RT models into LNT formal models using ATL [17] (ATLAS Transformation Language). This step consists of an M2M (model-to-model) transformation, and both the source and the target model should conform to their respective metamodels.

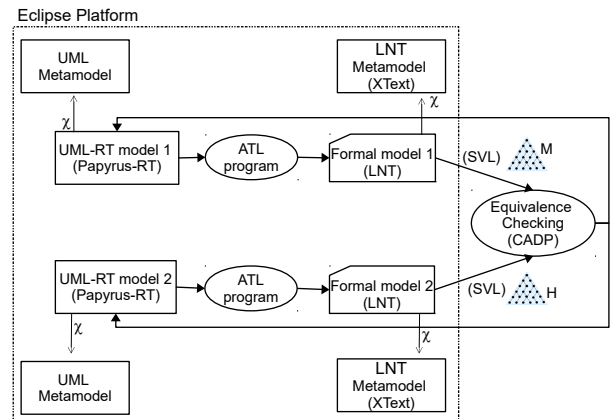


Fig. 4. Equivalence checking of UML-RT models

From the formal models, LTSS are automatically generated (i.e., the graphs M and H in Figure 4), which are compared with each other using equivalence checking. Finally, the results of the comparison can be used to modify the original UML-RT models. For instance, if the models are said non-equivalent, an counter-example is generated by the tool. This counter-example identifies why the models are not equivalent, which may help to identify anomalies in the UML-RT models. A transformation from (part of) UML-RT into LNT, and a specification of the LNT metamodel were implemented in the context of this work.

A. LNT Metamodel

As illustrated in Figure 4, the UML-RT models are conforming to the UML metamodel, whereas for the target model, no metamodel is currently available for the LNT models to be conform with. We specified in XText [7] a subset of the LNT language grammar. As a result, we can assure that the generated LNT specification is conform to its grammar.

B. Modeling the Glass State

In this work we focus on the *summary state* abstraction pattern [28] (cf. Section II-B). This pattern introduces the concept of *glass state*, a state containing some states and transitions in the refined version of the model. Our approach allows one to tag some elements in the UML-RT diagrams (states and transitions of the state machine), in order to identify the elements added in the model, and to allow verification that the remaining part of the detailed model behaves exactly as the abstract version of the model.

We use UML *stereotypes* in order to identify in the detailed version of the UML-RT models the states and transitions which compose the glass state. *Stereotype* is a UML concept that permits extending the semantics of UML to a particular domain. Equations 1 and 2 illustrate the idea. The abstract version of the UML-RT model $M_{UMLRT_{Abs}}$ is translated into a LNT model M_{LNT} using an ATL program P (Equation 1). For the detailed version of the model (Equation 2), the elements of the glass state are first annotated in the model $H_{UMLRT_{Ref}}$ using the *hidden* stereotype. Then, this annotated model $H_{UMLRT_{Abs}}$ is translated into another model H_{LNT} using the same ATL program P . Finally, the equivalence checker is used to verify equivalence between M_{LNT} and H_{LNT} .

$$M_{UMLRT_{Abs}} \xrightarrow{P} M_{LNT} \quad (1)$$

$$H_{UMLRT_{Ref}} \xrightarrow{\text{annotations}} H_{UMLRT_{Abs}} \xrightarrow{P} H_{LNT} \quad (2)$$

Figure 3 illustrates the use of the *hidden* stereotype to tag the states and transitions which compose the glass state in the detailed version of the ATM model. When the ATL program generates the LNT model H_{LNT} from this annotated UML-RT model $H_{UMLRT_{Abs}}$, these annotated elements will generate special labels in the LNT specification too. This labeling will be used afterwards by the equivalence checker to ignore these

TABLE I
FROM STRUCTURAL ELEMENTS OF UML-RT TO LNT

UML-RT	LNT
capsule	module
state machine	process
connector	channel
protocol	type, channel type

hidden elements and to focus on the remaining parts of the models during the analysis.

The *summary state* pattern is implemented in this work using the abstraction technique called *Omission*, introduced in [21]. In this abstraction technique, transitions can be tagged with a special label τ in a state machine, allowing the equivalence verification to bypass these transitions during the analysis.

C. Transforming UML-RT into LNT

The ATL transformations from UML-RT to LNT cover a subset of both languages. Table I illustrates how the main structural concepts of UML-RT are mapped into elements of the LNT language. A capsule in UML-RT becomes a module in LNT, and a state machine becomes a process. A state machine in UML-RT describes the behavioral part of the model, and a process in LNT mainly describes the behavior of the modeled system. Connectors linking UML-RT capsules are translated into LNT channels through which processes can communicate. Since channels in LNT are typed (to indicate which kind of value is exchanged in the channel), protocols in UML-RT are translated into regular types and channel types in LNT. Regular types allow variables which will receive the messages sent to the channel to be declared.

UML-RT and LNT share some characteristics regarding the behavioral modeling of systems. In UML-RT each capsule evolves independently and concurrently, exchanging messages with each other from time to time through connectors linking the capsules' ports. On the other hand, LNT was designed to model asynchronous concurrent systems: systems whose components may operate at different speeds, without a global clock to synchronize them [4]. These processes exchange messages with each other from time to time through channels. In order to translate UML-RT into LNT, UML-RT capsules linked by a connector are translated into LNT by processes whose executions are put in parallel by means of the parallel composition operator `par / end par` of LNT. This operator allows one to compose and execute processes in parallel, and to define through which channel the processes communicate.

For the ATM example, the two capsules *UserInterface* and *FunctionalCore* (linked by a connector) are expressed in LNT by the parallel composition of two processes: `userinterfacesm` and `functionalcoresm` (Figure 5), corresponding to the state machines of the UML-RT capsules in Figure 1. These processes exchange values through the channel `ATMProt_connector`, of type `ATMProt`.

```

module Top ( userinterface , functionalcore ) is
  process Top [ ATMProt_connector : ATMProt ] is
    par
      ATMProt_connector -> userinterfacesm [ ATMProt_connector ]
      ||
      ATMProt_connector -> functionalcoresm [ ATMProt_connector ]
    end par
  end process
end module

```

Fig. 5. Example of a parallel composition in LNT

In UML-RT, messages received through ports can trigger state transitions, which execute a chain of actions in the model. A chain of actions of a transition is composed of the exit action of the source state (if present), the action code of the transition (if present), and the entry action of the target state (if present).

We translate chains of actions into LNT as `if-then-else` statements for each state of the state machine (lines 10-27 of Figure 6) following the template illustrated in Listing 1. First the transition chain of actions of the initial pseudo state is encoded, followed by an update of a `currentState` variable, which keeps track of the current state of the state machine (lines 1-3 in Listing 1). Then an unbounded loop envelops the other transition chain of actions of the state machine (lines 4-20). According to the current state of the state machine (lines 6-19), a series of `if-then-else` verifies whether the received message (line 5) triggers a transition in the current state (e.g. lines 6-7), and executes the corresponding chain of actions (e.g. lines 8-11).

For this work, the action code of either the exit of a state, the transition, or the entry of a state consists of message-passing actions. For instance, the sending of the message `cardInserted` through the connector `connector` in line 10 of Figure 6. More sophisticated action codes with assignments or guards are out of scope of this paper and a topic for future work.

Figure 6 illustrates how the body of a state machine is translated to LNT. This LNT specification corresponds to the *UserInterface* state machine of the detailed version of the ATM example (Figure 3). First, an enumerated type containing all

Listing 1. Template of transition chain of actions

```

1  execute action code of exit trans.of initial state
2  execute entry action of stateA
3  currentState := stateA
4  start loop
5  receive message through a channel
6  if currentState = stateA
7    if messageReceiv triggers transitionA of stateA
8      execute exit action of stateA
9      execute action code of transitionA
10     execute entry action of target stateB
11     currentState := stateB
12   elsif messageReceiv triggers transB of stateA
13     execute exit action of stateA
14     execute action code of transB
15     execute entry action of target stateC
16     currentState := stateC
17   elsif ...
18   elsif currentState = stateB
19     if messageReceiv ...
20   end loop

```

```

1= module userinterface is
2
3= type UserInterfaceSM_StateNames is
4  Waiting_for_card_validation, Waiting_for_code_validation,...
5  with "=="
6  end type
7
8= process userinterfacesm [ connector : ATMProt ] is
9= var ATMProt_var : ATMProt , currentState : UserInterfaceSM_StateNames in
10= connector ( cardInserted );
11  currentState := Waiting_for_card_validation ;
12= loop
13=   select connector ( ? ATMProt_var )
14=   end select ;
15=   if (currentState==Waiting_for_card_validation) then
16=     if (ATMProt_var==validCard) then
17=       connector ( codeTyped );
18=       currentState := Waiting_for_code_validation
19=     end if
20=   elsif (currentState==Waiting_for_code_validation) then
21=     if (ATMProt_var==validCode) then
22=       connector ( transChosen );
23=       currentState := Waiting_for_transation_validation
24=     end if
25=   elsif ...
26=   end if
27= end loop
28 end var
29 end process
30
31 end module

```

Fig. 6. State machine coding in LNT

the states of the state machine is declared (lines 3-6). Secondly, a process implements the behavior of the state machine (lines 8-29). The process is parameterized by one channel called `connector` of type `ATMProt` (line 8), corresponding to the connector and the port protocol of the *UserInterface* UML-RT capsule through which the state machine receives messages. The process contains two variables (line 9), one to receive messages that are sent to the `connector` channel (line 13), and another one to keep track of the current state of the state machine. Finally, the template described in Listing 1 is implemented (lines 10-27).

A UML-RT state machine receives messages from capsule ports. This is translated to LNT as a non-deterministic choice operator `select` (lines 13-14 in Figure 6) with one channel called `connector` (since on the example the capsule has only one port). This operator allows the process to communicate with other processes which synchronize on the same channel, and to receive messages in the variable `ATMProt_var`.

We acknowledge that ideally it would be good to prove the correctness of the translation. However, this is outside the scope of this work. Instead, we rely on extensive testing and manual inspection.

Such formal specification of a UML-RT model behavior can also have other purposes such as a better understanding of the semantics of UML-RT, which is useful for instance to teach the language.

D. Hiding Elements in the Model

When the UML-RT model is translated into LNT, its hidden elements (e.g., Figure 3) are also labeled in the LNT model with a tag called *hidden*. Such information is transmitted to the LTSSs automatically generated from the formal models, and an SVL [11] (Script Verification Language) program is used to tag the “hidden”-labeled transitions with the special label τ ,

allowing branching bisimulation equivalence to take this into account when comparing the models.

V. TOOL SUPPORT

Figure 4 also illustrates the languages and tools used in our approach. We used Papyrus-RT¹ [24] for creating the UML-RT models. Papyrus-RT is a domain-specific modeling language tool based on Papyrus, an Eclipse-based environment for UML. Papyrus-RT provides an implementation of the UML-RT modeling language, together with editors, code generator for C++, and a run-time system.

The translation of the UML-RT models into the formal model was done using an program written in ATL² [17], a model transformation language and toolkit developed on top of the Eclipse platform, which provides ways to produce a set of target models from a set of source models. Sharing both a declarative and an imperative syntax, an ATL transformation program is composed of rules that define how source model elements are matched and navigated to create and initialize the elements of the target models [8].

A grammar of the LNT language was partially defined using XText³ [7], a framework for development of programming languages, allowing one to define languages by specifying its grammar. As a result, XText provides an infrastructure including parser, linker, typechecker, compiler, and editing support for Eclipse, providing a fully featured, customizable Eclipse-based IDE. Once the grammar of a language has been defined with XText, Eclipse can be used as a smart editor for the language, providing the developer with many features such as syntax highlighting, content-assist, auto-completion, folding, and jump-to-declaration [7]. One of the benefits of our approach is that Eclipse can now be used as an editor for LNT specifications.

CADP⁴ [13] (Construction and Analysis of Distributed Processes) is the formal verification toolbox we used. The choice of the toolbox was mainly motivated by its maturity, continuous evolution, support, and the numerous tools available. CADP is a toolbox for verifying asynchronous concurrent systems: systems whose components may operate at different speeds, without a global clock to synchronize them. Such components are described by modules, and they communicate through channels. CADP implements several kinds of analysis: model checking, equivalence checking, reachability analysis, on-the-fly verification, simulation, compositional verification, distributed verification, static analysis, etc. It contains tools to create a graph-representation from the formal model (LTS), and the reasoning is performed over this graph. The more complex the system under evaluation is, the larger its graph will be. CADP handle large graphs using different techniques, such as *compositional verification* [12]. This technique handle state-space explosion by creating an equivalent graph for each component of the model, replacing a state space by an

TABLE II
CURRENT STATE OF THE FRAMEWORK

Description	#loc	Details
LNT XText grammar	243	27 rules
ATL program	565	18 rules, 11 helpers
SVL program	8	1 rule

equivalent but smaller one. In practice, bigger models can be handled, so that one can create more realistic models.

In this work, the BISIMULATOR⁵ [20] and BCG_CMP⁶ equivalence checkers, and the OCIS⁷ simulator (Open/Caesar Interactive Simulator) are used, the last one for step-by-step simulation with backtracking. Although LNT is the main input language of CADP, the tool supports other input languages such as SVL⁸ [11] (Script Verification Language). SVL offers means to describe operations over LTSS, which are difficult to perform by hand on large LTSS. It can be seen as a process calculus extended with operations on LTSS, e.g., minimization (also called reduction), abstraction, comparison, deadlock detection, as well as orchestration of calls to the CADP tools.

The creation of the UML-RT models, the transformation into the formal model, and the editing of the LNT formal model provided by the XText grammar are integrated into the Eclipse platform. This provides a means to build a bridge between the formal verification tool CADP and the model-driven engineering tool Papyrus-RT, allowing the MDE community to benefit from the analyses provided by formal methods tools.

Table II illustrates the current state of our verification framework. The XText grammar covers a subset of the LNT language, and the ATL program covers some elements of UML-RT. Finally, the SVL program performs one single transformation in the LTS: hiding the transitions tagged with the *hidden* label.

VI. VALIDATION

We use this approach to analyze both the abstract and detailed versions of the ATM described in this paper. Both models are created in Papyrus-RT, then the glass state is identified in the detailed model using the *hidden* stereotype (cf. Figure 3). The ATL transformations generate one LNT model for each version of the ATM, with the information about the hidden elements in them. Then, the SVL program hides the elements that are to be hidden in the generated LTSS. Finally, the LTSS of the models are compared with each other using the equivalence checker of CADP.

Specifically, branching bisimulation is used to check whether the abstract version of the model and the detailed one (tagged with hidden elements) are equivalent. The verification shows that the two models are branching bisimulation equivalent, which means that the detailed version of the ATM model behaves exactly like its abstract version, in the sense of

¹<http://www.eclipse.org/papyrus-rt/>

²<http://www.eclipse.org/atl/>

³<http://www.eclipse.org/Xtext>

⁴<http://cadp.inria.fr>

⁵<http://cadp.inria.fr/man/bisimulator.html>

⁶http://cadp.inria.fr/man/bcg_cmp.html

⁷<http://cadp.inria.fr/man/ocis.html>

⁸<http://cadp.inria.fr/man/svl.html>

TABLE III
RESULTS - SOME FIGURES

Model	#loc	Details
UML-RT (abstract)	-	3 capsules, 2 state machines, 6 states, 6 transitions
UML-RT (detailed)	-	3 capsules, 2 state machines, 10 states, 10 transitions
LNT (abstract)	78	3 modules, 3 processes, 4 types
LNT (detailed)	98	3 modules, 3 processes, 4 types

branching bisimulation equivalence relation. In other words, the modifications done to the original model did not change its behavior (disregarding its hidden elements and focusing on the observable behavior of the models), the new version is a refinement of the previous one, and the pattern used to refine the model is the *summary state* pattern.

Table III illustrates the size of both the source model and the generated target models of the ATM example, and the number of lines of code generated in LNT.

VII. RELATED WORK

Several approaches [1]–[3], [5], [6], [18], [19], [25], [26], [31] have been proposed to analyze UML or UML-RT models using formal methods. Some approaches [5], [6], [25], [26] are partially similar to the one presented in this paper. Other approaches are based on UML profiles [3], [18], [19], or are supported by different tools [1], [2], [31].

Similar to the Eclipse-based editor for LNT we propose in this paper (as a result of the XText grammar for LNT), an Eclipse-based editor for LOTOS is proposed in [5]. Even though LOTOS and LNT share some constructs, since LNT is derived from E-LOTOS which enhances LOTOS, an editor for LOTOS would not be entirely suitable for a LNT specification.

The transformation from UML-RT to LNT presented in this paper is inspired by some of the ideas presented in [6], [25], [26]. In [6] the authors present a translation from UML-RT to CSP (Communicating Sequential Processes), a process algebra formalism which shares some constructs with LNT such as the representation of system behavior by processes. This work inspired our own translation to LNT in some aspects; however, our work is specific to LNT. On the other hand, in [26] (resp [25]), the formal semantics of UML-RT is defined using the *OhCircus* (resp *kiltera*) formalism, which helped us to better understand the semantics of UML-RT before mapping some of its elements into LNT.

Closer to our work, AVATAR [18] is a SysML environment defined to take into account both security and safety properties in graphical models, and to automatically prove both kinds of properties from the system models. It does not cover, however, equivalence verification of models. Alternatively, DIPLODOCUS [19] is a UML profile intended for the modeling and the formal verification of real-time and embedded applications. However, DIPLODOCUS mainly focuses on implementing architectural elements (e.g., CPUs, bus, memories) of the embedded applications, while our concern is mainly

with the behavior of the system. Finally, TURTLE [3] (Timed UML and RT-LOTOS Environment) is a UML 1.5 profile dedicated to the modeling and formal verification of real-time systems. Its main strength lies on its formal semantics defined in a variation of LOTOS: RT-LOTOS. However, it does not seem that the approach has been applied to equivalence verification either.

In TTool [2] (the Turtle Toolkit), UML models may be automatically transformed into a LOTOS specification, in order to evaluate properties of the system by model checking. TTool is an open-source toolkit that supports several UML2 / SysML profiles, including TURTLE and DIPLODOCUS. Alternatively, CTTTool [1] can generate LOTOS specifications that implement a (synchronous) semantics of UML2 component diagrams and state-machines, and analyze this LOTOS code with CADP. CTTTool provides a number of menus for controlling the CADP functions. However, the approach mainly focuses on distributed components, while our work focuses on real-time systems, and targets the LNT language. Another tool-supported approach is provided in [31], which also uses ATL to translate UML-RT into a formal notation, here, functional finite state machines. However, the focus of the formal analysis is on symbolic execution and model checking.

In summary, the advantage of our work compared with the previous work is that our approach benefits from equivalence checking to compare UML-RT models and to verify behavior-preserving refinement between models, with the ultimate goal of providing better support to MDE developers. Besides, while previous work mainly targets the LOTOS language, we cover the LNT language and provide a syntax-highlighting Eclipse editor for LNT developers.

VIII. CONCLUSION

In this paper we propose an approach to support model refinement, i.e., the process of gradually adding details to the model in an incremental development. Our approach provides a framework to verify whether this process preserves the model behavior. With this goal, the initial model and its detailed version are first translated into two formal models, and later compared with each other using equivalence checking.

The contributions of the paper are: (a) the use of equivalence checking to support the verification of behavioral-preserving refinement in the MDE context; (b) an automatic translation from UML-RT into the LNT formal language, which relieves practitioners from having to be familiar with the formal language; (c) the implementation of the *summary state* pattern, which is a first step to automatize the verification of the pattern catalog proposed in [28]; (d) the integration of Papyrus-RT (an MDE tool) with CADP (a formal verification tool), making formal methods more accessible to non-experts in the domain; and finally (e) the approach is tool supported.

The approach has been validated by the application in two case studies: First, in a *PingPong* model, in which two capsules (Pinger and Ponger) exchange messages with each other. Secondly, in the ATM example described in this paper, in which the *summary state* pattern has been implemented and

an abstract and a detailed versions of the model are compared with each other. Equivalence between the models has been shown, which means that the detailed version of the model is a refinement of the abstract one.

We plan to improve the readability of the counter examples in case of non-equivalent models, since these counter examples are expressed as states and transitions of the formal specification, making it hard to identify to which states and transitions they correspond in the original UML-RT models. We also plan to investigate the potential use of the simulation tools of CADP to simulate UML-RT models. Besides, a plugin could be developed to invoke the CADP tools directly from Eclipse. CADP provides both a graphical user interface and a command line interface that can be easily integrated within Eclipse. Finally, a natural improvement would be to enlarge the coverage of the UML-RT language, and to implement other patterns of the catalog in [28]. For this, enhancements in the LNT grammar and in the ATL transformations would be necessary.

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