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# Anisotropy and Clausius-Clapeyron relation for forward and reverse stress-induced martensitic transformations in polycrystalline NiTi thin walled tubes

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## Abstract

Inside the Clausius-Clapeyron regime, transformation stresses during superelastic tensile tests of polycrystalline shape memory alloys are linearly dependent on temperature, with coefficients being the slopes of the forward and reverse transformation lines. In this work, experiments are performed to investigate the anisotropy of the slopes of the forward and reverse transformation stress-temperature lines in a NiTi superelastic thin walled tube. The classical Clausius-Clapeyron relation is widely used to model these slopes, although, in a strict sense, this relation is defined at thermodynamic equilibrium. Experimental results disagree with the widely used classical Clausius-Clapeyron relation in two points: (i) that there should be no difference between slopes for forward and reverse transformations and (ii) that the products of the slopes by the transformation strains should not depend on orientation, since the remaining terms (mass density and entropy change) are not orientation dependent. A modified “Clausius-Clapeyron” relation is then proposed, better suited to model the anisotropy of the slopes of stress-temperature transformation lines of forward and reverse in superelastic NiTi. This modification is based on a unified thermodynamic theory of thermoelastic martensitic transformation in which irreversible energies are accounted as a sum of stored elastic energy and

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dissipated energy. The modified “Clausius-Clapeyron” relation is obtained by expressing that this irreversible energy is temperature dependent and that this temperature dependence is dependent on the orientation.

*Keywords:* NiTi, superelasticity, temperature dependence, Clausius-Clapeyron, anisotropy

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## 1. Introduction

Superelastic NiTi shape memory alloys (SMA) have the ability to recover strains of the order of 10% in tension by simple mechanical unloading. With a high work output density [1] and a mechanical behaviour compatible with human tissues [2], NiTi superelastic SMA are being applied from engineering systems to biomedical implants. The remarkable amount of recoverable strain is attained through a thermoelastic – hence reversible – phase transformation between an austenite (A) and a martensite (M) phases. In the austenite phase, a mechanical loading above a critical stress level triggers the nucleation and propagation of the forward phase transformation (A → M) and upon unloading below a critical stress level the reverse phase transformation takes place (M → A).

Experimental results show that these critical stress levels for phase transformation increase linearly with temperature inside a temperature-stress region known as the Clausius-Clapeyron regime [3, 4]. This linear relation is a critical design parameter, experimentally calculated as being the slope of transformation stress-temperature curves ( $d\sigma_{tr}/dT$ ). This slope is usually known as Clausius-Clapeyron coefficient and is commonly thermodynamically modeled by the Clausius-Clapeyron equation

$$\frac{d\sigma_{tr}}{dT} = -\frac{\rho\Delta S}{\Delta\varepsilon_{tr}} = C \quad (1)$$

where  $C$  is the Clausius-Clapeyron coefficient,  $\rho$  is the mass density of the transforming material,  $\Delta S$  is the difference between specific entropies of A and M phases, and  $\Delta\varepsilon_{tr}$  is the strain for a complete phase transformation [5, 6].

From a strictly thermodynamic point of view, the Clausius-Clapeyron relation is defined for the thermodynamic equilibrium. It means that the relation in Eq. 1 does not predict any difference between forward and reverse slopes. However, when measured experimentally, a difference between forward and reverse slopes is commonly observed [5, 6] in polycrystalline SMA. This difference is even taken into account in constitutive modeling of SMA thermomechanical behaviour, where two different material parameters are usually attributed for each slope [7, 8]. Despite these evidences, Eq. 1 is always used to thermodynamically model the dependence on temperature of both forward and reverse transformation stresses during superelastic tensile tests.

Other limitation of Eq. 1 is that the only term taking into account the effect of crystallographic configuration, such as texture, is  $\Delta\varepsilon_{tr}$ . Taking the product of the slopes  $d\sigma_{tr}/dT$  by the transformation strain  $\Delta\varepsilon_{tr}$ , leaves a remaining term equal to the product of mass density  $\rho$  and entropy difference  $\Delta S$ , which depends only on composition [9]. Because the thermoelastic phase transformation in SMA takes place through ordered atomic movement, any significant alignment of crystallographic grains directly affects the mechanical behaviour of the material. Even grain size has been shown to affect the mechanical behaviour of NiTi superelasticity [10, 11].

Many applications of SMA use polycrystalline material in the form of sheets, rods and tubes, all forms that are highly textured [12]. NiTi superelastic thin walled tubes, which are used in the fabrication of 65% of self-expanding cardiovascular stents [13], are highly textured due to their manufacturing process. Barney et al. [14] performed X-ray microdiffractions on micrometric tensile samples cut from a tube in the longitudinal ( $0^\circ$ ) and circumferential ( $90^\circ$ ) directions and at  $45^\circ$ . They showed that the mechanical behaviour at  $45^\circ$  greatly differs from the other two studied directions. Likewise, Robertson et al. [12] calculated the theoretical transformation strains of twelve variants of martensite from texture data of drawn tubes. It resulted in the prediction of macro transformation strains in several loading orientations relative to the drawing axis. Their predictions showed that at  $45^\circ$  the transformation strain is smaller than in the lon-

gitudinal and circumferential directions. To the best of the authors' knowledge,  
55 no work addresses the anisotropy of the temperature dependence, however.

In this context, this work investigates and analyses the anisotropies of the superelastic behaviour and of its temperature dependence in a thin walled NiTi tube. Firstly, an experimental investigation is carried out in order to address the anisotropy of the thermomechanical behaviour of the tube's material. The  
60 original tube is flattened and samples are cut along five orientations from the drawing direction. The samples are submitted to isothermal tensile tests at several temperatures above  $A_f$ . The experimental results obtained at each orientation allow the calculation of key SMA properties and a clear quantitative analysis of anisotropy. Afterwards, the observations from this first part are  
65 examined from a thermodynamic point of view. This thermodynamic analysis addresses the anisotropy of energy terms involved in a transformation cycle. The anisotropy of the temperature dependence of these energy terms is also analysed, a dependence which is neglected when using the classical Clausius-Clapeyron relation.

## 70 **2. Material and methods**

### *2.1. NiTi tube and fabrication of tensile samples*

A 50.8%atNi-Ti thin walled tube of outer diameter 8.27 mm and wall thickness 0.165 mm was used. This tube was manufactured by Minitubes SA (France). Figure 1 shows a scheme of the fabrication process of the tensile samples, as well  
75 as the samples' final dimensions and nomenclature used in this work. The original tube was obtained after the last cold mandrel drawing [15, 16, 17]. A section of this original tube was first cut longitudinally using a pulsed optical fibre laser. This tube section was then flattened through a one-step shape setting at 723 K (450°C) for 20 min in a resistive furnace followed by water quench. The maxi-  
80 mum strain to flatten the tube in a "sheet" form was about 1.9% (in absolute value). This is negligible when compared to the strain to which the material is subjected in the manufacturing process of the tube from the ingot. From

the flattened tube, dogbone samples were laser cut in five orientations:  $\theta = 0^\circ$  (drawing direction-DD),  $\theta = 22.5^\circ$ ,  $\theta = 45^\circ$ ,  $\theta = 67.5^\circ$  and  $\theta = 90^\circ$  (transversal direction-TD). The  $\vec{e}_y$  and  $\vec{e}_x$  directions correspond to the longitudinal and transverse directions of the dogbone samples, respectively.

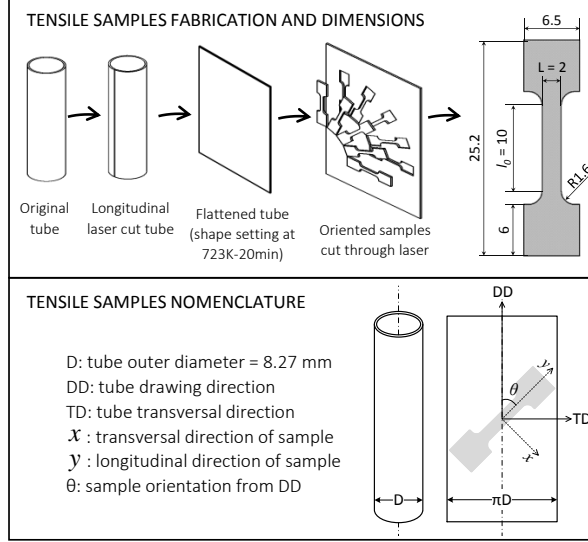


Figure 1: Scheme of fabrication process of dogbone tensile samples from a NiTi tube, the final dimensions of samples and the adopted nomenclature.

Differential Scanning Calorimetry (DSC) analysis (model Q200 from TA Instruments) was performed in samples of both original and flattened tubes. This technique was used to verify the effects of shape setting process in the transformation behaviour of the tube. The analysis was carried out between 373 K and 213 K with a  $\pm 10$  K/min rate. Figure 2 presents the DSC results for both original and flattened tubes.

The curve for the original cold-worked tube does not indicate the presence of phase transformation. The curve for the flattened tube presents peaks during cooling and heating. They are associated with Austenite to R-phase transformation (A→R), since little peak energy ( $\approx 4.3$  J/g) and small temperature hysteresis ( $\approx 5$  K) are observed [18, 19]. Transformation temperatures were

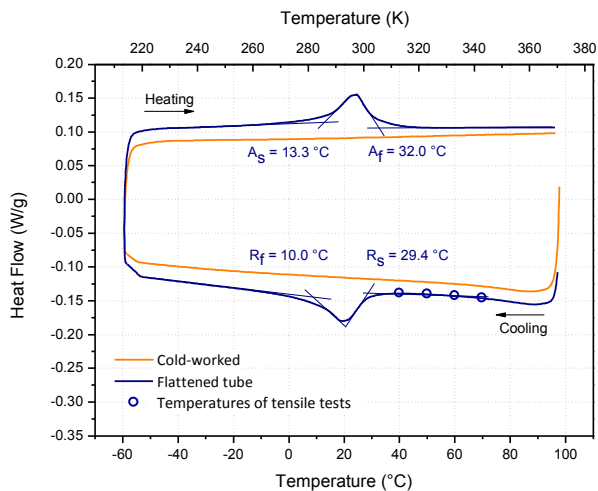


Figure 2: DSC results for the original tube (cold-worked) and for flattened tube (after shape setting at 723 K for 20 min). (In color)

extracted by slope-line-extension method and are:  $R_s = 302\text{ K}$ ;  $R_f = 283\text{ K}$ ;  $A_s = 286\text{ K}$ ;  $A_f = 305\text{ K}$ .

100 Robertson et al. [12] analyzed the texture of a flattening NiTi tube. They showed that the flattening process, performed through five steps, does not change significantly the texture of the original tube. Moreover, they showed that the texture of the NiTi tube is not altered when the material is submitted to heat treatments. On the contrary, the texture is intensified.

105 *2.2. Isothermal tensile tests*

The oriented samples were subjected to isothermal, displacement control tensile tests in a Gabo Eplexor 500N testing machine with a strain rate of  $5 \times 10^{-4}\text{ s}^{-1}$ . The temperature of the specimen is imposed by a resistive air furnace and controlled by using a fan which blows directly on the specimen.

110 Although the temperature of samples was not measured during tests, tensile results obtained with this strain rate indicate that self-heating was kept to a minimum. This assumption is based in two simultaneous experimental observations: stress plateaus are horizontal and a single, well-defined localization band

(observed in digital image correlation results not shown in this paper) nucleated during tests. Both these observations have been reported in literature to be related with quasi-isothermal experimental conditions, as for example in [20].

Tests were carried out at four temperatures with a measured accuracy of  $\pm 1$  K. Testing temperatures were chosen so that at the start of tensile tests samples had an austenite microstructure, based on the DSC results presented in Fig. 2. The chosen temperatures were 313 K (40°C), 323 K (50°C), 333 K (60°C) and 343 K (70°C). After the completion of a superelastic cycle, samples were loaded until rupture. The nominal stress level attained at the end of the superelastic cycle was dependent on the test temperature to allow the sample to attain approximately the same strain level at all temperatures. These stress levels were 750 MPa, 800 MPa, 850 MPa and 900 MPa for the tests at 313 K, 323 K, 333 K and 343 K, respectively. Prior to the isothermal tensile tests, the dogbone samples were heated in boiling water for 1 min, so that all structure was austenitic, and cooled to room temperature to ensure that all samples start tests with the same structure.

The furnace lid contains a transparent crystal window, allowing strain data acquisition through 2-D digital image correlation technique (DIC). The samples were prepared with a black speckle pattern over a white background on their surface. In our study the calculated strain maps correspond to the longitudinal  $\varepsilon_{yy}(M)$  component of the Hencky strain tensor in function of the material point  $M$ .  $M$  is inside a defined zone of interest (ZOI) of around 200 x 1000 pixels. The speckle allowed a 29 px  $\times$  29 px subset to be used in the correlation analysis, performed with Vic2D software from Correlated Solutions. The digital image scale is 0.01 mm/pixel.

To plot the global mechanical behaviour, logarithmic tensile strain  $\varepsilon_{yy}$  was averaged over the ZOI at each instant. Axial nominal stress is calculated as  $\Sigma_{yy} = F/A_0$  where  $F$  is the axial force and  $A_0$  is the initial cross section area ( $A_0 = \text{sample width (L)} \times \text{sample thickness} = 2 \times 0.165 \text{ mm}^2$ ). Cauchy (or true) stress is calculated as  $\sigma_{yy} = F/A$  where  $A$  is the current cross section area.  $A$  is obtained from the hypothesis of volume conservation ( $A = A_0/\exp(\varepsilon_{yy})$ ).



145 **3. Thermodynamic framework of thermoelastic martensitic transfor-**  
**mation**

This section provides a background on the thermodynamic framework used in this work to calculate and discuss some of the analyzed properties. The present approach is based on the works of Ortín and Planes [21, 22, 23] and  
 150 Wollants et al. [24], Favier and Liu [25] and Liu [26].

This approach is expressed at the scale of a representative element volume (REV). The free energy change  $dG$  per unit mass of REV over an infinitesimal step  $df_m$  of transformation for a thermoelastic martensitic transformation in a polycrystalline matrix is expressed as [22, 24, 25, 26]:

$$dG = (\Delta H_{ch} - T\Delta S_{ch})df_m + \delta E_{st} + \delta E_{fr} - \delta W_{mech}^{tr} = 0 \quad (2)$$

155 where:

- $f_m$  is the mass fraction of martensite, defined in the REV. In full austenitic state  $f_m = 0$ , in full martensitic state  $f_m = 1$  and infinitesimal steps  $df_m$  are such that  $0 \leq f_m \leq 1$ ;
- $\Delta H_{ch}$  is the specific chemical enthalpy of transformation per mass unit of transforming material, understood as the difference between the chemical  
 160 specific enthalpies of the martensite and austenite phases:

$$\Delta H_{ch} = H_{ch}^M - H_{ch}^A;$$

- $\Delta S_{ch}$  is the specific chemical entropy of transformation per mass unit of transforming material, understood as the difference between the chemical  
 165 specific entropies of the martensite and austenite phases:

$$\Delta S_{ch} = S_{ch}^M - S_{ch}^A;$$

- $T$  is temperature;
- $\delta E_{st}$  accounts for the elastic energy stored in the REV by an infinitesimal step  $df_m$  of the transformation at the stage corresponding to  $f_m$ ;

- 170 •  $\delta E_{fr}$  accounts for the energy dissipated by the infinitesimal step  $df_m$  of transformation at the stage corresponding to  $f_m$ ;
- $\delta W_{mech}^{tr}$  represents the external mechanical work per unit mass of the REV required to induce the infinitesimal step of transformation  $df_m$  at the same stage.

175 The occurrence of a stress induced martensitic transformation is determined when the Gibbs free energy of the REV ( $G$ ) reaches a minimum, which is expressed by the condition ( $dG = 0$ ). In derivative form, this condition results in:

$$G' = \frac{\partial G}{\partial f_m} = \Delta H_{ch} - T\Delta S_{ch} + E'_{st} + E'_{fr} - W'_{mech}{}^{tr} = 0 \quad (3)$$

where:

- 180 •  $G'$  is defined by  $dG = (\partial G/\partial f_m)df_m = G'df_m$ ;
- $\delta E_{st} = (\partial E_{st}/\partial f_m)df_m = E'_{st}df_m$ ;
- $\delta E_{fr} = (\partial E_{fr}/\partial f_m)df_m = E'_{fr}df_m$ ;
- $\delta W_{mech}^{tr} = (\partial W_{mech}^{tr}/\partial f_m)df_m = W'_{mech}{}^{tr}df_m$ .

In Equation 3, all terms are expressed as energy per unit mass of trans-  
 185 forming material in J/kg and are algebraic values. The infinitesimal martensite mass fraction step  $df_m$  is positive during forward transformation (austenite to martensite, A→M) and negative during reverse transformation (martensite to austenite, M→A).

The specific chemical **enthalpy and entropy changes**, respectively,  $\Delta H_{ch}$   
 190 and  $\Delta S_{ch}$ , originate from the difference in atomic arrangement between the two phases. They are both considered constant for a given transformation in a given alloy system.  $H_{ch}^M < H_{ch}^A$  and  $S_{ch}^M < S_{ch}^A$ , leading to  $\Delta H_{ch} = H_{ch}^M - H_{ch}^A < 0$  and  $\Delta S_{ch} = S_{ch}^M - S_{ch}^A < 0$ . Also, the heat capacities of austenite and martensite are assumed equal [24, 27].

195 The **stored strain energy** term,  $\delta E_{st}$ , includes the elastic energy associated with the accommodation of the shape and volume changes of the transformation. This energy is stored in the system during the forward transformation ( $\delta E_{st} > 0$ ) and progressively released with the reversion of martensite to the parent phase ( $\delta E_{st} < 0$ ). As  $df_m > 0$  during forward transformation (A→M) and  $df_m < 0$  during reverse transformation (M→A),  $E'_{st} > 0$  for both forward and reverse transformations.

The **dissipated energy** term,  $\delta E_{fr}$ , is the sum of all energies consumed during phase transformation. It includes frictional work associated with the moving of internal defects and transformation phase boundaries [24]. As  $\delta E_{fr}$  is always dissipated, its value is always positive for both forward and reverse transformations. As  $df_m > 0$  during forward transformation (A→M) and  $df_m < 0$  during reverse transformation (M→A),  $E'_{fr} > 0$  for the forward transformation and  $E'_{fr} < 0$  for the reverse transformation.

The **external mechanical work**,  $\delta W_{mech}^{tr}$ , is expressed as function of the macroscopic strain and stress tensors at the level of the REV, according to continuum mechanics theory. In a tensile test the external mechanical work per unit mass is accounted as  $\delta W_{mech} = \frac{1}{\rho} \sigma d\varepsilon$ . The volumetric mass density,  $\rho$ , is considered in this work as  $\rho = 6450 \text{ kg/m}^3$ ,  $\sigma$  is the applied tensile Cauchy stress (or true stress) and  $d\varepsilon$  is the increment of logarithmic strain. If plastic deformation is negligible, the strain increment can be divided in two summands, an elastic strain increment and a transformation strain increment:

$$d\varepsilon = d\varepsilon_{el} + d\varepsilon_{tr} \quad (4)$$

From this division the external mechanical work can be written as:

$$\delta W_{mech} = \delta W_{mech}^{el} + \delta W_{mech}^{tr} \quad (5)$$

which gives the external mechanical work to induce transformation

$$\delta W_{mech}^{tr} = \frac{1}{\rho} \sigma d\varepsilon_{tr} \quad (6)$$

In this paper, the increment  $d\varepsilon_{tr}$  is assumed proportional to the infinitesimal  
 220 step  $df_m$  [28]:  $d\varepsilon_{tr} = df_m \Delta\varepsilon_{tr}$ , where  $\Delta\varepsilon_{tr}$  is the tensile transformation strain  
 for a complete transformation. Thus,  $W'_{mech} = \frac{1}{\rho} \sigma \Delta\varepsilon_{tr}$ . Therefore, for a tensile  
 test, Eq. 3 reads:

$$G' = \Delta H_{ch} - T \Delta S_{ch} + E'_{st} + E'_{fr} - \frac{1}{\rho} \sigma \Delta\varepsilon_{tr} = 0 \quad (7)$$

Equation 7 dictates the energy balance of the thermodynamic system (con-  
 stituted by the two-phase transforming material) at each  $\sigma, T$  pair. While the  
 225 specific chemical enthalpy and entropy of transformation are function of com-  
 position only, the terms of transformation strain, stored and frictional energies  
 can be function of martensite fraction and temperature.

Now, isolating the tensile Cauchy stress in Eq. 7 one obtains [26]:

$$\sigma = \frac{\rho}{\Delta\varepsilon_{tr}} [\Delta H_{ch} - T \Delta S_{ch} + E'_{st} + E'_{fr}] \quad (8)$$

Differentiating Eq. 8 with respect to temperature gives the general form of  
 230 the slope of transformation stress-temperature. At a given martensite fraction  
 $f_m$ :

$$\frac{d\sigma}{dT} = \frac{\rho}{\Delta\varepsilon_{tr}} \left( -\Delta S_{ch} + \frac{\partial E'_{st}}{\partial T} + \frac{\partial E'_{fr}}{\partial T} \right) - \frac{\sigma}{\Delta\varepsilon_{tr}} \frac{\partial \Delta\varepsilon_{tr}}{\partial T} \quad (9)$$

The classical Clausius-Clapeyron equation is defined in the thermodynamic  
 equilibrium, i.e. in the absence of any irreversible energy terms and assuming a  
 non temperature dependent transformation strain:

$$\frac{d\sigma}{dT} = -\frac{\rho \Delta S_{ch}}{\Delta\varepsilon_{tr}} = C \quad (10)$$

235 where  $C$  is the Clausius-Clapeyron coefficient for a given phase transformation.

#### 4. Experimental results

Figure 3 contains a set of nominal stress  $\Sigma_{yy}$ -logarithmic  $\varepsilon_{yy}$  strain curves  
 for five orientations  $\theta$  and four testing temperatures  $T$ . For clarity purposes, the

last loading up to rupture is omitted in this figure and is presented further in the  
240 article (see Fig. 6). Perfect superelastic behaviour is observed for all orientations  
and testing temperatures, with negligible residual strain after unloading.

The curves in Fig. 3 show that the strain achieved at the end of loading varies  
with orientation, as well as the plateau stress. Unlike the other orientations, it  
is notable that at  $\theta = 45^\circ$  the material does not present a well-defined stress  
245 plateau. The absence of a plateau is an indication of a non-localized deformation  
[29, 30, 31, 32], which was confirmed through the strain maps of DIC.

The testing temperature has little influence on the strain levels. Stress levels  
increase with increasing testing temperature for all orientations, as expected in  
NiTi alloys according to the Clausius-Clapeyron relation.

## 250 5. Discussion

In this section thermomechanical key properties of NiTi are presented and  
discussed. A quantitative analysis of the anisotropic behaviour of transforma-  
tion strain, stress, stress hysteresis and slopes of forward and reverse transfor-  
mation lines is performed. The methodology used for calculations is presented  
255 along with the property itself.

### *5.1. Qualitative analysis of the anisotropy of mechanical behaviour*

From the stress-strain curves of Fig. 3 it is possible to qualitatively infer a  
strong anisotropy in the mechanical behaviour of the NiTi tube. The strains at  
the end of loading are very dependent on the orientation. The plateau stresses  
260 are also orientation dependent. The overall observation is that the mechanical  
behaviour evolves almost symmetrically from  $0^\circ$  and  $90^\circ$  directions towards  $45^\circ$ .

The shape of stress-strain curves at  $45^\circ$  from the drawing direction is very  
distinct compared to the other orientations. Besides reaching the smaller strain  
at the end of loading, this orientation has a very smooth mechanical behaviour  
265 with some degree of hardening. The origin of this distinct mechanical behaviour  
is attributed to the texture induced by the drawing process of the tube [12,

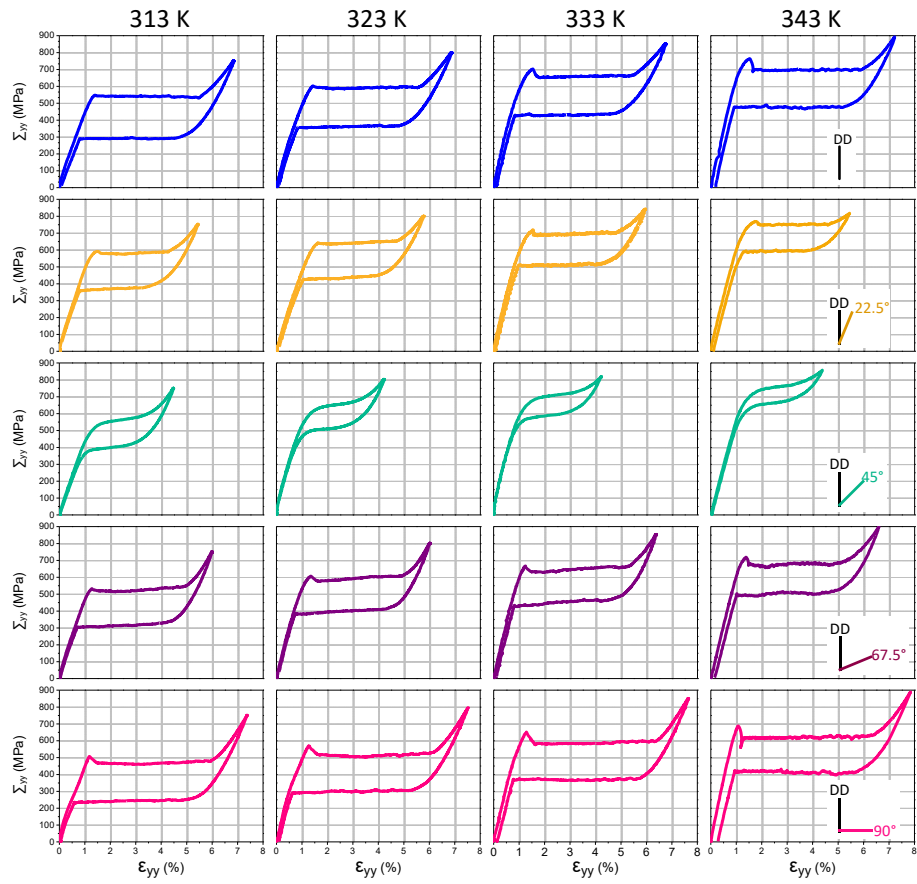


Figure 3: Nominal tensile stress versus logarithmic strain plotted for each orientation at 313 K (40°C), 323 K (50°C), 333 K (60°C) and 343 K (70°C).

33]. Texture measurements performed by Barney et al. [14] in a NiTi tube flattened through a shape setting process show that a specimen cut along the 45° orientation contains predominantly  $\langle 100 \rangle$ -type grains.

270 Indeed the tensile behaviour of  $\langle 100 \rangle$  NiTi single crystals measured by Gall et al. [34] resembles those of Fig. 3 for the 45° orientation. The authors show that  $\langle 100 \rangle$ -type grains present strong transformation hardening under tension, which seems to hinder the stress-induced martensitic transformation compared to other single crystal orientations, as well as cause early rupture. Therefore, 275 less transformation strain is observed in this direction.

Another feature of the anisotropy of NiTi tubes observed in the results of Fig. 3 is the symmetrical tendency of mechanical behaviour from 45° orientation towards drawing and transversal directions (0° and 90°). In both senses – from 45° to 0° and from 45° to 90° – the strain at the end of loading increases and 280 the plateau stress decreases for tests at the same temperature. Barney et al. [14] and Bechle and Kyriakides [32] also pointed out the similarities of mechanical behaviour between drawing and transversal directions in NiTi tubes. According to texture measurements, samples cut from thin walled NiTi tubes along the drawing direction have predominantly  $\langle 111 \rangle$  grains [12, 14], while samples cut 285 along the transversal direction have a mix of  $\langle 111 \rangle$  and  $\langle 110 \rangle$ -type grains [12]. The tensile tests in NiTi single crystals performed by Gall et al. [34] show that both  $\langle 111 \rangle$  and  $\langle 110 \rangle$  grains reach substantial larger strains than  $\langle 100 \rangle$  grains at the same stress level, besides presenting a well-defined stress plateau.

### 5.2. Presence of R-phase during tensile tests

290 As indicated in the DSC results in Fig. 2, the alloy of the tube presents the intermediate R-phase during forward (cooling) and reverse (heating) transformations. Because prior to tensile tests all samples were cooled from 100°C to room temperature ( $\approx 22^\circ\text{C}$ ), samples were introduced in the furnace of the tensile machine with a mixture of R-phase and austenite (DSC peak temperature 295 of A-R transformation is  $\approx 20^\circ\text{C}$ ). As tensile tests are performed at higher temperatures, the amount of R-phase at the beginning of test decreases. Indeed,

Duerig and Bhattacharya [4, p.495] showed how the presence of the R-phase “plays a critical role in controlling the plateau stress” and pointed out the importance of taking this phase into account when considering stress induced phase transformation.

In the presence of stress, the A $\leftrightarrow$ R transformation is associated with small transformation strains. Literature reports transformation strains between 0.2% and 1% [4, 35], although no information was found concerning the influence of texture or strain orientation. This transformation is noticeable at the apparent elastic zone of the stress-strain curve, with the occurrence of inflection points. In the results of Fig. 3, a slight inflection in initial loading is perceptible for the sample tensioned at 90° at 313 K and 323 K. At higher temperatures, the R-phase vanishes and austenite transforms directly into martensite. In other orientations, this inflection was not observed.

### 5.3. Anisotropy of the elastic modulus of austenite ( $E$ )

The estimation of elastic modulus of a transforming material from a stress-strain curve can be rather inaccurate. This happens because, in addition to pure elasticity, other mechanisms of deformation are involved in the deformation process. In the case of NiTi phase transformation, martensite reorientation [35] and/or plasticity may contribute to lower the measured elastic modulus. Liu and Xiang [36] analysed the measurement of elastic modulus from stress-strain curves of a near-equiatomic NiTi alloy. As a conclusion the authors suggest that the largest value ever measured should be taken as elastic modulus. Nevertheless, the true value of  $E$  will always be expected to be greater than the typical measurements from stress-strain curves.

Following this reasoning, the elastic modulus  $E$  of the austenite is measured at the very beginning of the true stress ( $\sigma_{yy}$ ) versus logarithmic strain  $\varepsilon_{yy}$  curves at testing temperature  $T = 333$  K. This zone has less phase transformation influence. Figure 4b shows the  $\sigma_{yy} - \varepsilon_{yy}$  curves (right ordinate axis) at 333 K for all orientations, along with slopes  $d\sigma/d\varepsilon$  (left ordinate axis) as a function of strain. Figure 4a shows with more detail the behaviour of  $d\sigma/d\varepsilon$  at the beginning



of loading. The elastic modulus at each orientation,  $E(\theta)$ , was determined by plotting  $d\sigma/d\varepsilon$  in the range  $[0.1\%, 0.5\%]$  and by extrapolating this value at  $\varepsilon_{yy} = 0\%$ .

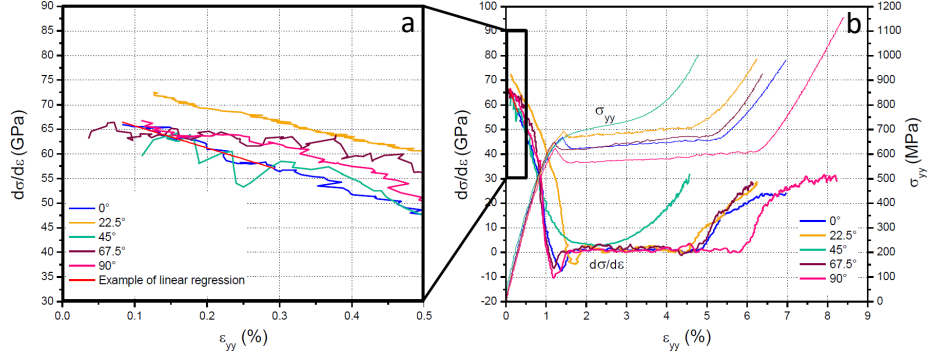


Figure 4: (a) Local slopes  $d\sigma/d\varepsilon$  at the beginning of loading for all orientations at  $T = 333$  K, showing the overall tendency when  $\varepsilon_{yy} = 0$ . (b)  $\sigma_{yy} - \varepsilon_{yy}$  behaviour (right axis) and local slopes  $d\sigma/d\varepsilon$  (left axis) for the whole strain range. (In color)

330 Figure 5 shows the extracted  $E(\theta)$  values. Little orientation dependence is observed. No anisotropy of the austenite elastic modulus of austenite is measured from the results obtained in the present paper.

A mean value of  $E = 70$  GPa is considered and used in this work. This value is a typical elastic modulus value for austenite phase [37, 38]. Bechle and  
 335 Kyriakides [32] have also reported similar elastic modulus values for austenite phase after performing tensile tests in NiTi tubes: 66.8 GPa and 65.7 GPa for longitudinal and circumferential directions, respectively.

#### 5.4. Inelastic stress-strain curves

Inelastic strain  $\varepsilon_{in}$  was obtained by subtracting the elastic strain  $\varepsilon_{el} = \sigma_{yy}/E$   
 340 from the global mechanical behaviour:  $\varepsilon_{in} = \varepsilon_{yy} - \sigma_{yy}/E$ .  $E$  is the elastic modulus in the tension direction. It has been shown experimentally in the previous section that for austenite  $E = 70$  GPa for all orientations.

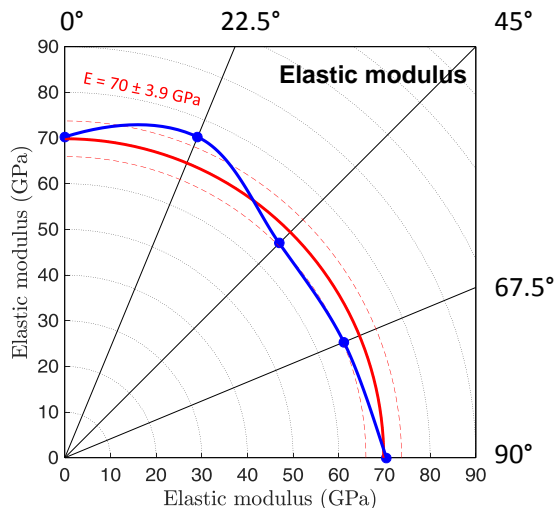


Figure 5: Elastic modulus extracted from tests at 333 K (blue), mean value of 70 GPa (red) and indication of standard deviation of  $\pm 3.9$  GPa. (In color)

The elastic modulus of oriented martensite is very orientation dependent due to the lower crystal symmetry [39]. The experimental determination of elastic modulus of oriented martensite is however very difficult. In this respect, the work of Alonso et al. (2015, 2019) [37, 38] discusses how tensile stress-strain curves do not provide reliable estimations for the elastic modulus of martensite because of the mixture of deformation mechanisms, even at high stress levels. The authors showed in a rigorous experimental campaign that a reliable method to estimate the elastic modulus of the detwinned martensite formed through stress induced phase transformation is the calculation of the true storage modulus (deduced from the true stress and strain and not from the engineering stress and strain) during a dynamic mechanical analysis performed throughout a superelastic cycle. The martensite modulus should be calculated at stress levels after the stress plateau. Through this method, the elastic modulus of the detwinned martensite phase measures 73 GPa, the same order of magnitude of austenite modulus. The inelastic strain is thus calculated using a elastic modulus  $E = 70$  GPa.

Figure 6 presents the tensile true stress  $\sigma_{yy}$ - logarithmic inelastic  $\varepsilon_{in}$  strain curves, plotted for each testing temperature, including loading until rupture for tests at 313 K, 323 K and 333 K. Except for plateau stress, the overall behaviour of all samples is similar for all temperatures. Some cycling effect was observed between the first and second loadings but mainly in the stress level and practically negligible on strain.

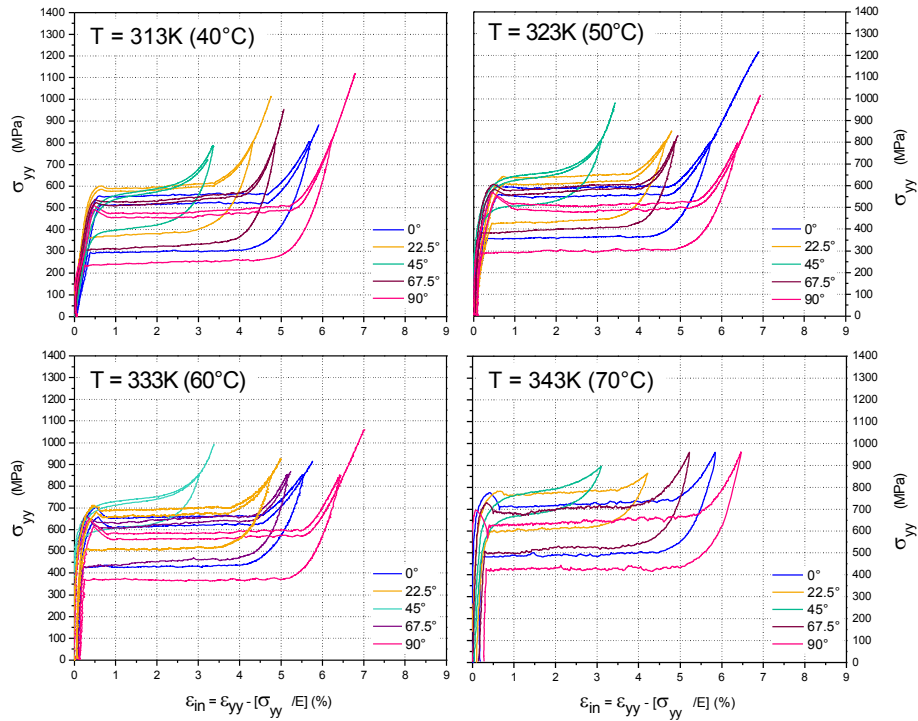


Figure 6: True tensile stress versus inelastic strain curves as function of testing temperature. (In color)

### 365 5.5. Anisotropy of the transformation strain ( $\Delta\varepsilon_{tr}$ )

Among the different methods to measure transformation strain, the plateau strain — which is simply the length of the stress plateau in a stress-strain curve — has been a very recurrent method used for superelastic alloys. However, the plateau strain is not a measure of the total transformation strain [4]. It is in fact

370 associated with the deformation of only the most favourably oriented martensite  
variants. In order to complete phase transformation the less favourably oriented  
variants still need to transform. This takes place as the stress resumes increas-  
ing, right after the plateau [40]. In other words, the phase transformation is  
still taking place outside the stress plateau region [31].

375 There are other evidences that martensitic transformation completion does  
not coincide with the end of stress plateau [30, 31, 40]. One work in particu-  
lar, by Pelton et al. [28], shows that at the end of macroscopic stress plateau  
the martensite fraction has reached around 85%. These measurements were  
performed with neutron diffraction technique, which allows to compute the be-  
380 haviour of the bulk material.

Therefore, instead of the plateau strain, transformation strains ( $\Delta\varepsilon_{tr}$ ) were  
determined from the  $\sigma_{yy}$ - logarithmic  $\varepsilon_{in}$  strain loading curves until rupture,  
plotted in Fig. 6. The procedure to estimate  $\Delta\varepsilon_{tr}$  is based on the following:

- It was considered that there is a region of the loading curve after the stress  
385 plateau for which no plastic strain was experienced by the samples. Two  
facts give support to this assumption. On one hand, no residual strain was  
observed after unloading; it means that there is no plastic deformation for  
strains lower than the maximum strain of the superelastic cycle. On the  
other hand, seen the trend of loading curves until rupture in 6, it is likely  
390 that negligible plastic strain was experienced by the samples. The fact that  
the  $d\sigma/d\varepsilon$  slope is still increasing at the end of loading for all orientations  
(see Fig. 4b) is also an indication of the absence of plastic deformation.  
This is consistent with the amount of cold-work to which the tube was  
submitted during manufacturing process. Moreover, the  $\sigma_{yy} - \varepsilon_{in}$  curves  
395 do not show any change of curvature between the end of stress plateau  
and the rupture point (except for the sample  $\theta = 0^\circ$  tested at 323 K).
- Elastic moduli of austenite and detwinned martensite are equal when true  
stress is used [41, 37, 38]. With equal austenite and martensite elastic  
modulus, when the material completes transformation (martensite fraction

400  $f_m = 1$ ) in the absence of plasticity, the  $\sigma_{yy} - \varepsilon_{in}$  curves would tend to a vertical line outside the zone of the stress plateau.

- Because of that, the function in Equation 11 was used to fit the final portion of the  $\sigma_{yy} - \varepsilon_{in}$  curve between a point P and the rupture point, as schematically illustrated in Fig. 7.

$$\varepsilon_{in} - \varepsilon_P = (\Delta\varepsilon_{tr} - \varepsilon_P) \tanh\left(a \frac{\sigma_{yy} - \sigma_P}{\Delta\varepsilon_{tr} - \varepsilon_P}\right) \quad (11)$$

405 The tanh function was chosen because it reaches smoothly a constant value.  $\sigma_P$ ,  $\varepsilon_P$  and  $a$  are the true stress, inelastic strain and local slope at point P, respectively.

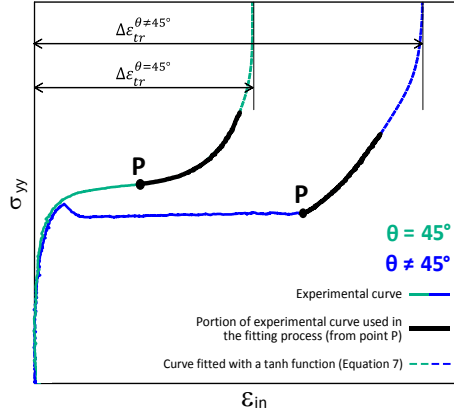


Figure 7: Methodology used for the estimation of total transformation strain from curves of loading until rupture, which can be applied with or without the presence of a stress plateau. (In color)

Figure 8 shows the result of fitting for all orientations and testing temperatures.

410 Figure 9a shows the dependence of  $\Delta\varepsilon_{tr}$  on orientation, determined using the previous methodology.

The following observations can be made regarding the orientation and temperature dependencies:

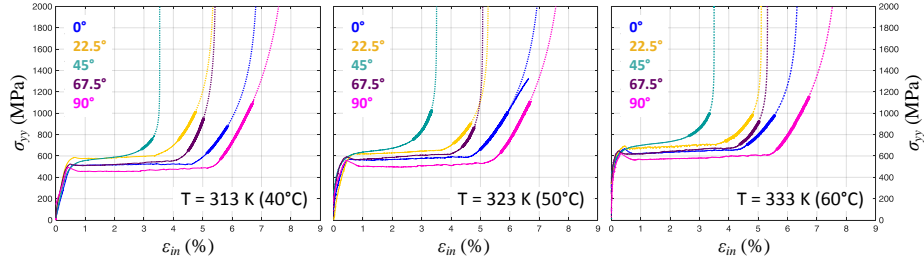


Figure 8: Results of fittings with Equation 11 for estimation of transformation strains ( $\Delta\varepsilon_{tr}$ ). The thick zones are the final portions of the  $\sigma_{yy} - \varepsilon_{in}$  curves that were used in the fitting process. (In color)

- $\Delta\varepsilon_{tr}$  is mostly independent of the testing temperature for all directions. The highest difference occurred at  $0^\circ$ , with standard deviation of only 4%.
- Because of that, mean values of  $\Delta\varepsilon_{tr}$  are considered for each orientation and were plotted with a thicker line. A very strong anisotropy is observed. This anisotropy is almost symmetric with direction  $45^\circ$  as “mirror direction”. The greatest difference reaches 58%, between  $45^\circ$  and  $90^\circ$ .

Based on the works of Robertson et al. [33], Robertson et al. [12] and Barney et al. [14] (as discussed in section 5.1), tensile specimens cut from NiTi tubes at  $0^\circ$ ,  $45^\circ$  and  $90^\circ$  have grains predominantly oriented at  $\langle 111 \rangle$ ,  $\langle 100 \rangle$  and a mix of  $\langle 111 \rangle$  and  $\langle 110 \rangle$  directions, respectively. Thus, the transformation strain results in Fig. 9a can be compared with results of solutionized NiTi single crystals tensioned in the  $[100]$ ,  $[110]$  and  $[\bar{1}11]$  crystallographic directions of Miyazaki et al. [42] (inverse pole figure in Fig. 9b). Indeed a similar trend of total transformation strain is observed between polycrystalline  $0^\circ$ ,  $45^\circ$ ,  $90^\circ$  samples and  $\langle 111 \rangle$ ,  $\langle 100 \rangle$ ,  $\langle 110 \rangle$  single crystals.

Moreover, a similar dependence was found experimentally by Robertson et al. [12] for a flattened NiTi tube with 0.7 mm of wall thickness. However, the authors do not present their experimental curves and consider the transformation strain as the strain attained at the end of the stress plateaus. They also comment on how this shape of  $\Delta\varepsilon_{tr} - \theta$  curve is consistent with paths of fatigue

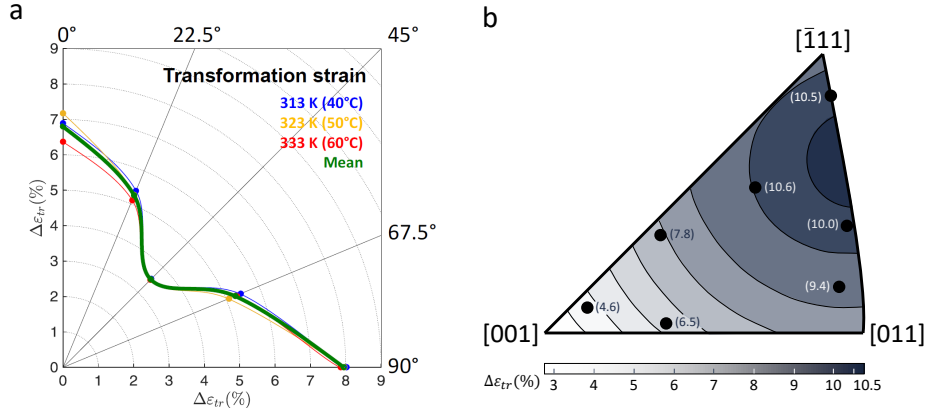


Figure 9: (a) Transformation strain ( $\Delta\varepsilon_{tr}$ ) values for all orientations and tested temperatures. (b) Inverse pole figure showing the orientation dependence of the recoverable strain of solution-treated single crystals (parenthesis) and calculated values (contour lines) (From [42]). (In color)

cracks in NiTi tubes, which preferentially follow the 45° direction, the direction that “requires the lowest strain energy to form martensite”.

### 5.6. Anisotropy of forward and reverse transformation stresses

When a stress strain curve has a well defined stress plateau, the transformation stresses are generally defined as being the stress levels of the plateaus. The stress-strain results presented in Fig. 3, however, show that the superelastic material of the tube can have behaviours with or without plateau, depending on the orientation. Then, for comparison purposes, the procedure for obtaining the transformation stresses had to be standardized.

The method used in this work was to measure the transformation stresses  $\sigma_{tr}$  at the same level of martensite fraction. Forward and reverse transformation stresses were then extracted at  $\varepsilon_{in} = \Delta\varepsilon_{tr}(\theta)/2$ , where  $\Delta\varepsilon_{tr}(\theta)$  is the total transformation strain of each orientation. This is hypothetically equivalent to  $f_m = 0.5$ , assuming a linear relation between inelastic strain and martensite fraction  $f_m$  [43].

Figure 10a presents the variation of  $\sigma_{tr}$  for forward and reverse transformations with  $\theta$  for all testing temperatures. The overall trend of the curves is an increase of  $\sigma_{tr}$  from  $0^\circ$  to  $45^\circ$  followed by a decrease from  $45^\circ$  to  $90^\circ$ . However, less anisotropy is observed for the stresses for forward ( $F$ ) than for reverse ( $R$ ) transformation.

This difference between loading and unloading implies that it exists an anisotropy in the stress hysteresis. The stress hysteresis, defined as  $\sigma_{tr}^{hys} = \sigma_{tr}^F - \sigma_{tr}^R$  was calculated from the data in Fig. 10a and is shown in Fig. 10b.  $\sigma_{tr}^{hys}$  is smaller at  $45^\circ$  and higher at  $0^\circ$  and  $90^\circ$ .

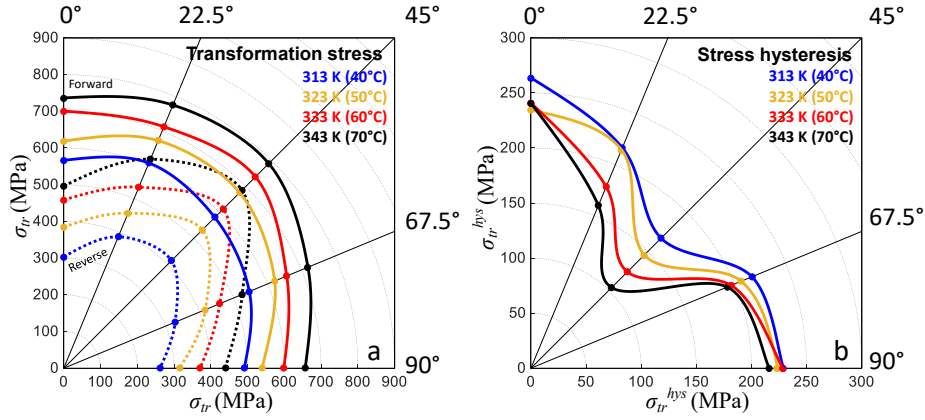


Figure 10: (a) Polar plot of transformation stresses at loading (forward transformation,  $F$ ) and unloading (reverse transformation,  $R$ ) defined at  $f_m = 0.5$ . (b) Stress hysteresis, calculated as the difference between transformation stress of loading and unloading ( $\sigma_{tr}^{hys} = \sigma_{tr}^F - \sigma_{tr}^R$ ). (In color)

In stress-induced phase transformation the hysteresis observed is caused mostly by dissipative processes which are associated mainly to the frictional work due to interfacial motion [44]. Indeed, Barney et al. [14] showed that tensile loading at the  $45^\circ$  orientation leaves behind islands of untransformed material due to its predominant texture. Based on this, it is possible that the more restricted lattice movement in the  $45^\circ$  sample could hinder the interfacial



motion. The restricted movement at  $45^\circ$  is caused by its probable predominant  
465 grain orientation, as discussed in Section 5.1. Therefore, less energy dissipation  
and smaller hysteresis were observed in this sample compared to other orienta-  
tions.

### 5.7. Anisotropy of transformation lines slopes ( $d\sigma_{tr}/dT$ )

Figure 11 shows the forward and reverse transformation stresses as function  
470 of the temperature for the five orientations. In order to determine the errors on  
the transformation stresses, several tests were repeated (they are not shown in  
this paper for clarity purpose). They allowed to estimate an error bar of  $\pm 20$   
MPa for the determination of the transformation stress. The solid segments  
connect two successive transformation stresses. The dashed lines are obtained  
475 by performing a linear regression for the four tested temperatures. For all ori-  
entations, the slopes of the forward and reverse solid segments are not temperature  
dependent and are close to the slopes of the forward and reverse dashed lines,  
respectively.

In order to suppress the effect of the R-phase, it would be better to calcu-  
480 late the slopes for temperatures higher than  $60^\circ\text{C}$ , i.e. using only the results at  
 $60^\circ\text{C}$  and  $70^\circ\text{C}$ . However the accuracy of the slope calculated using only two  
temperatures would be very low, of the order of  $\pm 2\text{MPa/K}$ . Due to these ob-  
servations, lines slopes  $d\sigma_{tr}/dT$  were calculated for each orientation using the  
four available transformation stresses for forward and reverse transformations  
485 as being the slopes of the dashed lines of Fig. 11.

Figure 12 shows the transformation lines slopes for all orientations. A strong  
anisotropy is observed. The slope at  $\theta = 0^\circ$  is comparable to the Clausius-  
Clapeyron coefficient of austenite-martensite transformation of NiTi wires ( $\approx 6$   
MPa/K) [4]. Wires typically present a  $\langle 111 \rangle$  texture [45, 46], which is the  
490 predominant texture in the drawing direction of NiTi tubes [12].

Besides the anisotropy of forward and reverse slopes, two other behaviours  
are observed:

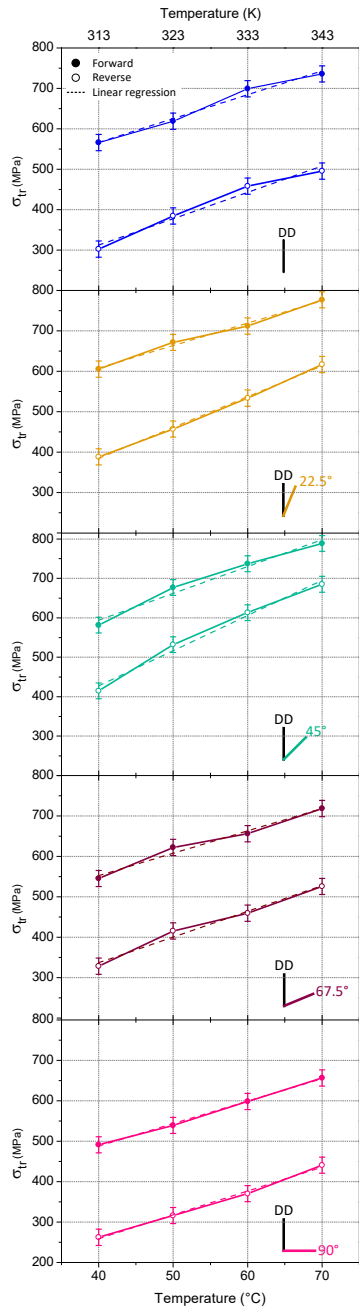


Figure 11: Forward (load) and reverse (unload) transformation stresses as a function of test temperature for all tested orientations. The dashed lines are obtained by performing a linear regression for the four tested temperatures. (In color)

- $d\sigma_{tr}/dT$  for forward transformation is always smaller than  $d\sigma_{tr}/dT$  for reverse transformation;
- the difference between forward and reverse slopes is very anisotropic.

These observations are discussed in more detail in Section 6 in a thermodynamic analysis.

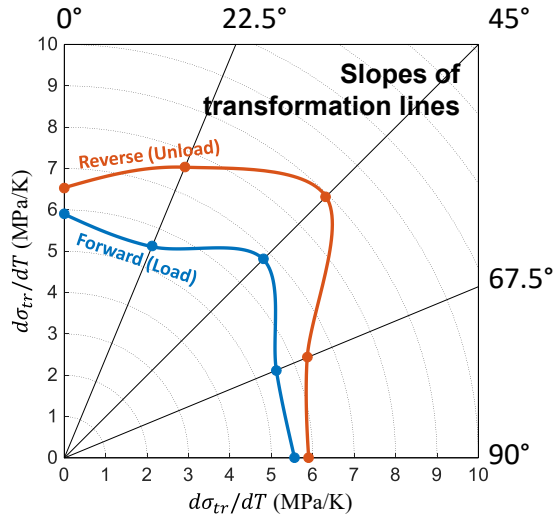


Figure 12: Slopes of transformation lines for forward and reverse transformations at  $f_m = 0.5$ . (In color)

## 6. Thermodynamic analysis of the anisotropy

The experimental results are analysed using the thermodynamic framework presented in Section 3.

### 6.1. Orientation dependence of the product $(d\sigma_{tr}/dT)\Delta\varepsilon_{tr}$

Equation 10 states the relation between external stress and temperature usually applied for a thermoelastic phase transformation. This form of the Clausius-Clapeyron equation is widely used for SMA. If this equation applies, the product  $(d\sigma_{tr}/dT)\Delta\varepsilon_{tr}$  should neither depend on orientation, neither be

different for forward and reverse transformations. This is because the entropy change  $\Delta S_{ch}$  and mass density  $\rho$  depend only on the alloy composition [9].

However, observing the experimental curves in Fig. 13, the product of slopes of transformation lines and  $\Delta\varepsilon_{tr}$  is in fact very orientation dependent and different between loading and unloading. This result can be analyzed considering the relation written in Eq. 9. It has been shown in Fig. 9a that for all directions the transformation strain  $\Delta\varepsilon_{tr}$  is not dependent on temperature. Thus the fact that the product  $(d\sigma_{tr}/dT)\Delta\varepsilon_{tr}$  is not constant indicates that the stored and frictional energies might be temperature dependent.

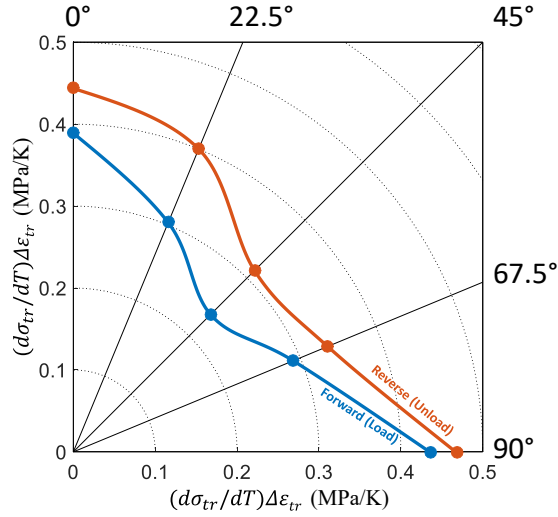


Figure 13: Product of the slopes of the transformation lines by transformation strains, showing an orientation dependent behaviour. (In color)

## 6.2. Temperature dependence of frictional work and stored elastic energy

In order to investigate the temperature dependence of the frictional work and stored elastic energy terms, let us consider Eq. 8. Re-writing it for the forward (F) and reverse (R) transformations separately, gives respectively:

$$\sigma_{tr}^F(f_m, T) = \frac{\rho}{\Delta\varepsilon_{tr}} [\Delta H_{ch} - T\Delta S_{ch} + E_{st}^{\prime F}(f_m, T) + E_{fr}^{\prime F}(f_m, T)] \quad (12)$$

with  $E'_{st}(f_m, T) > 0$  and  $E'_{fr}(f_m, T) > 0$ ;

$$\sigma'_{tr}(f_m, T) = \frac{\rho}{\Delta\varepsilon_{tr}}[\Delta H_{ch} - T\Delta S_{ch} + E'_{st}(f_m, T) + E'_{fr}(f_m, T)] \quad (13)$$

520 with  $E'_{st}(f_m, T) > 0$  and  $E'_{fr}(f_m, T) < 0$ .

Subtracting Eq. 13 from Eq. 12 one obtains:

$$\begin{aligned} (\sigma'^F_{tr} - \sigma'^R_{tr})_{(f_m, T)} &= \frac{\rho}{\Delta\varepsilon_{tr}}[(E'_{st}{}^F + E'_{fr}{}^F) - (E'_{st}{}^R + E'_{fr}{}^R)]_{(f_m, T)} \\ &= \frac{\rho}{\Delta\varepsilon_{tr}}\Delta E'(f_m, T) \end{aligned} \quad (14)$$

Equation 14 states that  $(\sigma'^F_{tr} - \sigma'^R_{tr})_{(f_m, T)}$  is directly proportional to  $\Delta E'(f_m, T)$ . This term accounts for the difference of specific irreversible energies between forward and reverse austenite-martensite transformations, which can be written:

525

$$\Delta E'(f_m, T) = (E'_{st}{}^F - E'_{st}{}^R) + (E'_{fr}{}^F + |E'_{fr}{}^R|) \quad (15)$$

where  $|E'_{fr}{}^R|$  is the absolute value of the frictional energy of reverse transformation, adopted to make explicit the sign of this term, avoiding any ambiguity.

The dependence of  $\Delta E'(f_m, T)$  with temperature is analysed for the specimens cut along  $45^\circ$  from the drawing direction. The mechanical behaviour in this orientation does not experience the localization phenomenon and thus the martensite fraction dependence can also be evaluated. Figure 14b illustrates the behaviour of  $\Delta E'(f_m, T)$  calculated using Eq. 14. To the most part  $\Delta E'$  does not depend on  $f_m$ . It clearly depends on temperature, though. Figure 14c shows graphically  $\Delta E'(T)$  as function of test temperature, calculated as mean values

530

535 in the  $f_m$  range where it is constant. For  $\theta = 45^\circ$   $\Delta E'$  decreases linearly with increasing temperature with a rate of  $-0.012 \text{ J g}^{-1} \text{ K}^{-1}$ . This dependence on temperature is generally neglected, especially if the classic Clausius-Clapeyron equation is used.

An hypothesis for the negative temperature dependence of the irreversible energy is that the temperature increase is accompanied by the increase of trans-

540

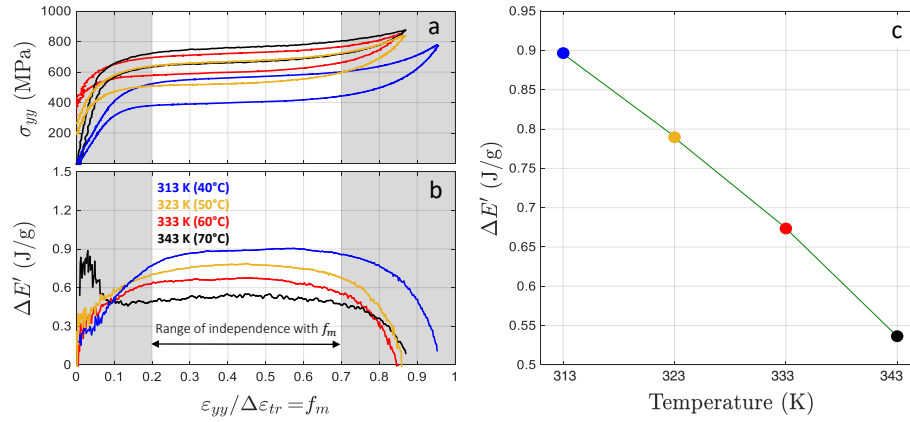


Figure 14: (a) True stress versus estimated martensite fraction at different temperatures for specimens cut along  $\theta = 45^\circ$ . (b) Difference between loading and unloading stresses plotted in (a). (c) Temperature dependence of the term  $\Delta E'$  extracted from (b) as the mean value in the range of independence with  $f_m$ . (In color)

formation stress, due to the Clausius-Clapeyron relation. With the increase of applied stress, less martensite variants might form, or in other words, the growth of martensite variants that are better aligned with the applied stress is favored. This higher crystallographic alignment likely leads to less frictional energy loss during phase transformation. Analogously, during mechanical cycling, where crystallographic alignment is induced by loading, it is observed a drop in the value of stored elastic energy and frictional energy [47].

### 6.3. Origin of the difference between forward and reverse slopes of transformation lines

The consequences of the aforementioned temperature dependence on the difference between slopes of transformation lines are now analysed. Equation 9 is written for the forward and reverse transformations in Eq. 16 and Eq. 17, respectively. Since transformation strain does not depend on temperature, this

term is neglected hereafter.

$$\left(\frac{d\sigma}{dT}\right)^F = \frac{\rho}{\Delta\varepsilon_{tr}} \left( -\Delta S_{ch} + \frac{\partial E'_{st}}{\partial T} + \frac{\partial E'_{fr}}{\partial T} \right) \quad (16)$$

$$\left(\frac{d\sigma}{dT}\right)^R = \frac{\rho}{\Delta\varepsilon_{tr}} \left( -\Delta S_{ch} + \frac{\partial E'_{st}}{\partial T} - \frac{\partial |E'_{fr}|}{\partial T} \right) \quad (17)$$

555 Subtracting  $(d\sigma_{tr}/dT)^R$  from  $(d\sigma_{tr}/dT)^F$  (Eq. 16 minus Eq. 17) one gets:

$$\left(\frac{d\sigma}{dT}\right)^F - \left(\frac{d\sigma}{dT}\right)^R = \frac{\rho}{\Delta\varepsilon_{tr}} \left[ \frac{\partial(E'_{st} + E'_{fr})}{\partial T} - \frac{\partial(E'_{st} - |E'_{fr}|)}{\partial T} \right] \quad (18)$$

According to Eq. 14, the term inside the square brackets in Eq. 18 is equal to:

$$\frac{\partial\Delta E'}{\partial T} = \frac{\partial(E'_{st} - E'_{st})}{\partial T} + \frac{\partial(E'_{fr} + |E'_{fr}|)}{\partial T} \quad (19)$$

From Eq. 18 and Eq. 19, the difference between  $(d\sigma_{tr}/dT)^F$  and  $(d\sigma_{tr}/dT)^R$  is caused by the fact that  $\partial\Delta E'/\partial T \neq 0$ .

560 As shown in Fig. 12,  $(d\sigma_{tr}/dT)^F < (d\sigma_{tr}/dT)^R$  for all orientations. This difference is commonly observed in NiTi alloys and some authors have attempted to explain it. Liu and Yang [6], concluded that  $(d\sigma_{tr}/dT)^F < (d\sigma_{tr}/dT)^R$  because the transformation strain is bigger for loading ( $F$ ) than for unloading ( $R$ ). Thus, as the slope of stress-temperature transformation lines are inversely proportional to transformation strain,  $(d\sigma_{tr}/dT)^F < (d\sigma_{tr}/dT)^R$ . However, they  
 565 consider the transformation strains to be the lengths of forward and reverse stress plateaus. This premise is somewhat amiss, since it has been found evidence that martensitic transformation completion does not coincide with the end of the plateau [30, 31]. In our opinion, the transformation strain is equal  
 570 for forward and reverse transformations and then the explanation suggested by Liu and Yang [6] would not be valid. The presented experimental results and the performed thermodynamic analysis show that  $\partial\Delta E'/\partial T \neq 0$  is a more thermodynamically reasonable explanation for  $(d\sigma_{tr}/dT)^F \neq (d\sigma_{tr}/dT)^R$ .

Furthermore, as stated in Eq. 18 and Eq. 19, the nature of the relation  
 575 between  $\Delta E'$  and temperature determines the relation between  $(d\sigma_{tr}/dT)^F$  and  
 $(d\sigma_{tr}/dT)^R$ : since  $\partial\Delta E'/\partial T < 0$  (see Fig. 14c),  $(d\sigma_{tr}/dT)^F < (d\sigma_{tr}/dT)^R$ .

#### 6.4. Anisotropy of the temperature dependences of the stored and frictional en- ergies

Figure 13 shows that the products  $(d\sigma_{tr}/dT)\Delta\varepsilon_{tr}$  are different for forward  
 580 and reverse transformations and that the difference is orientation dependent. It  
 is concluded that  $\partial\Delta E'/\partial T$  is also orientation dependent.

In Eq. 16 and Eq. 17 the temperature dependencies of the irreversible  
 energies for forward and reverse transformations are four unknowns and thus it is  
 not possible to calculate these four terms individually. Nevertheless, assuming  
 585 that the temperature dependencies of the forward and reverse stored energy are  
 equal:

$$\frac{\partial E'_{st}}{\partial T} = \frac{\partial E'^F_{st}}{\partial T} = \frac{\partial E'^R_{st}}{\partial T} \quad (20)$$

$$\frac{\partial E'_{fr}}{\partial T} = \frac{\partial E'^F_{fr}}{\partial T} = \frac{\partial |E'^R_{fr}|}{\partial T} \quad (21)$$

it is possible to calculate the total irreversible energies for a thermodynamic  
 cycle by adding and subtracting Eq. 16 and Eq. 17. Taking into account  
 Equations 20 - 21 and performing some algebraic manipulation, the stored and  
 590 frictional energy temperature dependencies are:

$$\frac{\partial E'_{st}}{\partial T} = \frac{1}{2} \frac{\Delta\varepsilon_{tr}}{\rho} \left[ \left( \frac{d\sigma}{dT} \right)^F + \left( \frac{d\sigma}{dT} \right)^R \right] + \Delta S_{ch} \quad (22)$$

$$\frac{\partial E'_{fr}}{\partial T} = \frac{1}{2} \frac{\Delta\varepsilon_{tr}}{\rho} \left[ \left( \frac{d\sigma}{dT} \right)^F - \left( \frac{d\sigma}{dT} \right)^R \right] \quad (23)$$

Figure 15 shows the result of Eq. 22 and Eq. 23 calculated using experi-  
 mental data of Fig. 9 and Fig. 12 with  $\rho = 6450 \text{ kg/m}^3$ . The error bars in the



graph indicate the sensibility of  $dE'_{st}/dT$  to  $\Delta S_{ch}$  values. For a 50.8%atNi-Ti alloy, the interval  $60.5 \leq \Delta S_{ch} \leq 63$  J/gK was used [48].

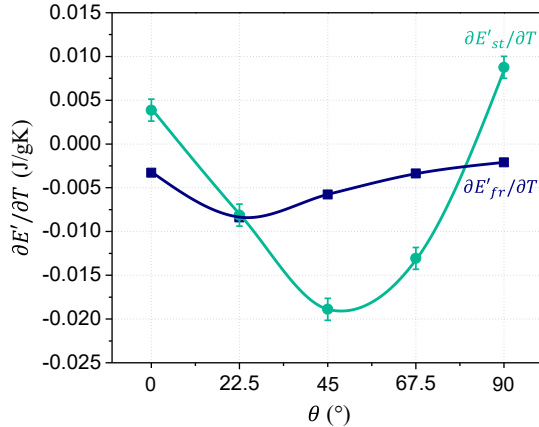


Figure 15: Temperature dependencies of stored and dissipated energies as function of the orientation. The error bars indicate stored elastic energy values calculated with  $60.5 \leq \Delta S_{ch} \leq 63$  J/gK for a 50.8%atNi-Ti alloy [48]. (In color)

595 Figure 15 show a strong orientation dependence, mainly for the stored elastic energy. Multiplying the values in Figure 15 by the applied temperature difference ( $\Delta T = 30^\circ\text{C}$ ) shows that  $\Delta E'_{st}$  and  $\Delta E'_{fr}$  are between 0.1 and 0.6 J/g, which are within the range found for this material [47, 49].

## 7. Conclusion

600 The anisotropy of the temperature dependence of superelastic SMA was studied through the experimental characterization and thermomechanical analysis of transformation stresses and strains in a thin walled NiTi tube. From the experimental data, the following conclusions are drawn:

- 605 1. In the studied temperature range (from  $40^\circ\text{C}$  to  $70^\circ\text{C}$ ), full transformation strain depends strongly on orientation but not on temperature. The lowest transformation strains were measured at  $45^\circ$  from the drawing direction. An almost symmetric profile was observed from this orientation

to the drawing ( $0^\circ$ ) and transversal directions ( $90^\circ$ ). Variations of transformation strain reached 58% between orientations.

- 610 2. Transformation stress is also anisotropic. However, stress for reverse transformation is more orientation dependent than for forward transformation.
3. Slopes of the transformation stress-temperature lines of forward and reverse transformations are significantly anisotropic. Besides, the slope for reverse transformation is higher than for forward transformation for all  
615 orientations.

Supported by our experimental data, the following conclusions are achieved concerning the thermodynamic analysis:

1. The temperature dependence of the difference of specific irreversible energy is not negligible as assumed by the classical Clausius-Clapeyron equation, typically used to model both forward and reverse slopes of the transformation stress-temperature lines. This conclusion is drawn based on the  
620 fact that the product of slopes of the transformation stress-temperature lines and total transformation strain strongly depends on orientation.
2. Irreversibilities of the phase transformation are due to specific stored elastic energy ( $E'_{st}$ ) and specific frictional energy ( $E'_{fr}$ ). The difference  $\Delta E'$   
625 of the irreversible energy ( $E'_{st} + E'_{fr}$ ) between loading (forward  $F$  transformation) and unloading (reverse  $R$  transformation) of a superelastic test decreases with increasing test temperature.
3. The dependence of  $\Delta E'$  on temperature is the cause for the slopes of the  
630 forward and reverse transformation stress-temperature lines to be different. For the studied material,  $\partial\Delta E'/\partial T$  is negative, leading to a  $d\sigma_{tr}/dT$  smaller for forward transformation than for the reverse transformation.
4. The dependence of  $\Delta E'$  on temperature is also orientation dependent. Indeed, the temperature dependencies of both stored and dissipated specific  
635 energies are orientation dependent.

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