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Genome analysis of *Enterobacteriaceae* with non-wild type susceptibility to third-generation cephalosporins recovered from diseased dogs and cats in Europe

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Key words: whole-genome sequencing; bacterial pathogens; animals; resistance; antibiotics

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Abstract

Background. Extended-spectrum- β -lactamases (ESBL) and plasmid-mediated cephalosporinases (pAmpC)-producing *Enterobacteriaceae* isolates are now reported worldwide in humans, animals, and in the environment. We identified the determinants of resistance to β -lactams and associated resistance genes as well as phylogenetic diversity of 53 ESBL- or pAmpC-producing *Enterobacteriaceae* isolated from dogs and cats in Europe.

Materials/methods. Of a collection of 842 *Enterobacteriaceae* isolates that were recovered in 2013 and 2014 from 842 diseased and untreated dogs and cats, for 242 ampicillin or amoxicillin resistant isolates (MIC \geq 16 mg/L), cefotaxime (CTX) and ceftazidime (CAZ) MICs were determined. Isolates with CTX and/or CAZ MIC \geq 1 mg/L (n=63) were selected, and their genomes were fully sequenced using Illumina Technology. Genomic data were explored to identify the resistance determinants, the plasmid incompatibility groups, and the sequence types (STs). Plasmid location of bla_{ESBL} and bla_{AmpC} was evaluated for all isolates based on the co-localization of resistance and plasmid incompatibility group genes on the same contig. Phylogenetic trees were constructed using core-genome MLST.

Results. Of the 63 sequenced isolates, 53 isolates harboured a *bla*_{ESBL} or *bla*_{AmpC} gene. Ten CTX and/or CAZ non-wild type isolates had neither *bla*_{ESBL} nor *bla*_{AmpC}. Among the 63 isolates, 44 (69.8%) were *Escherichia coli*, 11 (17.5%) were *Klebsiella pneumoniae*, and 8 (12.7%) were *Proteus mirabilis*. Fifty-one (80.9%) isolates originated from dogs and 12 (19.1%) from cats. Isolates were sampled from urinary tract (n=36), skin and soft tissue (n=22) and respiratory tract infections (n=5). Thirty-two isolates (32/53, 60.4%) carried *bla*_{ESBL} genes, including *bla*_{CTX-M-15} (n=12), *bla*_{CTX-M-14} (n=6), *bla*_{CTX-M-1} (n=5), *bla*_{CTX-M-2} (n=3), *bla*_{CTX-M-27} (n=3), *bla*_{SHV-28} (n=4), *bla*_{SHV-12} (n=2), and *bla*_{VEB-6} (n=1). Four isolates of *K. pneumoniae* had both *bla*_{CTX-M-15} and *bla*_{SHV-28}. Twenty-one isolates (21/53, 39.6%) carried genes encoding pAmpC, including *bla*_{CMY-2} (n=19) and *bla*_{DHA-1} (n=2). Thirteen *E. coli* isolates harboured both *bla*_{ESBL} or *bla*_{AmpC} genes and plasmids of incompatibility groups IncIB (9/13), IncII (8/13), and IncFII (6/13). In addition to the reduced susceptibility to CTX and/or CAZ, reduced susceptibility or evidence of acquired resistance to at least one other relevant class of antibiotics was observed for all 63 isolates.

E. coli isolates clustered in 23 STs, including B2 virulent clones from humans such as ST131 (n=5), *K. pneumoniae* isolates mostly clustered in 3 STs: ST11 (n=4), ST307 (n=3), and ST16 (n=2). Phylogenetic analysis identified the spread of *E. coli* ST131 *bla*_{CTX-M-27}, and of *K. pneumoniae* ST307 harboring *bla*_{CTX-M-15} and *bla*_{SHV-28} or ST11 *bla*_{CTX-M-15}.

Conclusions. We report here a 6.3% prevalence of ESBL/pAmpC producing *Enterobacteriaceae* in diseased dogs and cats. This EU survey confirms that dogs and cats can be infected with epidemic multidrug resistant clones that may also spread in humans.

1. Introduction

Spread of extended-spectrum β-lactamases (ESBLs) and plasmid-mediated cephalosporinases (pAmpC)-producing *Enterobacteriaceae* is an important global public health concern (Canton et al., 2012; Rozwandowicz et al., 2018). During the last decades, the incidence of human ESBL/pAmpC-producing *Enterobacteriaceae* infections increased globally both in hospitals and in the community (Chong et al., 2018). Concomitantly, ESBL/pAmpC-producing *Enterobacteriaceae* have emerged worldwide in livestock, in food of animal origin and in companion animals (Bogaerts et al., 2015; Hordijk et al., 2013; Michael et al., 2017), enhancing the risk of spread of *bla*_{ESBL} and *bla*_{AmpC} genes from non-human sources and implying a potential risk of transmission of these bacteria or of resistance genes to humans from these new reservoirs (Pomba et al., 2017). The close contact between household pets and people offers favorable conditions for transmission. To illustrate the risk of human-pet transmission of bacteria or resistance genes in only one of the European industrial countries, the presence of 11.5 million cats and 6.9 million dogs in German households underlines the importance of these "family members" (Ohr, 2014).

Additional data are needed to clarify the epidemiology and the public health burden of these ESBL-and pAmpC-producing isolates from non-human reservoirs (Dorado-Garcia et al., 2018). The Executive Animal Health Study Center (CEESA) manages a monitoring program (ComPath) to survey antimicrobial susceptibility of bacterial pathogens isolated from dogs and cats suffering from respiratory tract infection (RTI), urinary tract infection (UTI) and skin and soft tissue infection (SSTI) in Europe (de Jong et al., 2013). As part of the ComPath program, our study aimed to identify determinants of resistance to β-lactams and associated resistance genes as well as phylogenetic diversity of *Enterobacteriaceae* isolates with non-wild type susceptibility to third-generation cephalosporins (3GC) recovered from diseased and untreated dogs and cats in Europe.

2. Material and methods

2.1. Bacterial isolates

Samples were collected in 2013 and 2014 from 842 diseased dogs and cats as a part of the CEESA Compath II program in 12 European countries (Fig. 1): Belgium, Czech Republic, France, Germany, Hungary, Italy, The Netherlands, Poland, Spain, Sweden, Switzerland, and the United Kingdom. Local laboratories in each country were responsible for recovery and identification of bacterial isolates, as stated previously (de Jong et al., 2013). Samples were collected by veterinary practitioners in their daily practice. One sample per animal was taken and diseased dogs and cats were untreated with any antimicrobial in the previous four weeks. Chronically-diseased animals were excluded. Only one animal per household, breeder, or kennel was included (de Jong et al., 2013).

2.2. Bacterial identification of isolates

On receipt in the central laboratory (IHMA Sarl Europe, Monthey, Switzerland) bacterial isolates were plated on tryptic soy agar (TSA) 5% sheep blood agar (Becton Dickinson, Kranklin Lakes, NJ), then incubated at 35°C overnight in normal atmosphere (de Jong et al., 2013). Bacterial re-identification of all 3GC non-wild type susceptible isolates was performed by matrix-assisted laser desorption ionization-time of flight mass spectrometry (MALDI-TOF MS) on Microflex LT (Bruker Daltonics, Bremen, Germany). Acquired bacterial spectra were analyzed in the MALDI Biotyper 3.0 software 114 with the database version 3.1.2.

2.3. Antimicrobial susceptibility testing

Susceptibility to a panel of 14 to 20 antimicrobials commonly used to treat companion animals from UTI, SSTI and RTI was conducted at a central laboratory. The activity of antimicrobials was tested by agar microdilution against the *Enterobacteriaceae* isolates as recommended by the Clinical and Laboratory Standards Institute (CLSI, 2013). For all isolates with ampicillin or amoxicillin MIC \geq 16 mg/L, the MICs of cefotaxime (CTX) and ceftazidime (CAZ) were determined by broth microdilution according to CLSI (CLSI, 2013). *Enterobacteriaceae* with non-wild type susceptibility to 3GC (CTX and/or CAZ MIC \geq 1 mg/L) were selected for further analysis.

2.4. Genome sequencing analysis

Bacterial DNA was extracted with QIAamp DNA mini kit (Qiagen) and quantified using fluorometric analysis (Qubit® dsDNA BR Assay Kit, Invitrogen). Genomes were sequenced with Illumina NextSeq 500 (V2) to obtain 150-bp reads with at least 90x coverage. SPAdes (version 3.12) assembled bacterial genomes de novo from raw reads. Genomic data were explored to identify the resistance determinants (ResFinder; 2019-01-04), the plasmid incompatibility groups (PlasmidFinder; 2019-01-24), and the sequence types (STs; 2019-01-10). Nucleotide and deduced amino acid sequences and chromosomal mutations of promoters and attenuators of bla_{AmpC} genes were compared to GenBank (AY899338.1) for E. coli isolates. Plasmid location of bla_{ESBL} and bla_{AmpC} was evaluated for all isolates based on the co-localization of resistance and plasmid incompatibility group genes on the same contig. STs were determined in silico using the database from the Center for Genomic Epidemiology (www.genomicepidemiology.org). The population structure of the three species included in the study (E. coli, K. pneumoniae and P. mirabilis) had been determined using a genome-wide approach. We used a homemade tool (https://github.com/bvalot/wgMLST) for a core genome MLST analysis (cgMLST) based on an allelic comparison of 1,861 genes for E. coli, 2,114 genes for K. pneumoniae, and 2,548 genes for P. mirabilis. Phylogenetic trees were constructed on the SNP present in these genes using Bayesian approach (MrBayes 3.2.6) with GTR+G model. E. coli isolates were sorted into phylogroups using the in silico tool (Beghain et al., 2018) to evaluate the virulence of the isolates (Picard et al., 1999). All the Read Archives will be available on NCBI under the Bioproject number PRJNA575837 at the time of the publication of the manuscript.

3. Results

Figures 1A, 1B, and 1C gather the genotypic and phenotypic data of the *E. coli*, *K. pneumoniae* and *P. mirabilis* isolates with the non-wild type susceptibility to 3GC, respectively, with Figure 1D giving the color signification.

3.1. Bacterial collection and antimicrobial susceptibility testing

Among the ComPath collection of 842 *Enterobacteriaceae* isolates recovered during 2013-2014, we selected all the isolates (n=63, 7.5%) with MICs of CTX and/or $CAZ \ge 1$ mg/L. The distribution of the species is given in Table 1. Fifty-two (82.6%) isolates originated from dogs and 11 (17.5%) from cats. Isolates were recovered from UTI (n=36), SSTI (n=22) and RTI (n=5).

In addition to the reduced susceptibility to 3GC, reduced susceptibility or evidence of acquired resistance to at least one other relevant class of antibiotics was observed for all 63 isolates. They were non-susceptible to fluoroquinolones (n=48), to gentamicin (n=19), or to trimethoprim/sulfamethoxazole (n=27)(Supplemental Table 1).

3.2. Identification of bla_{ESBL} and bla_{AmpC}

Among the 63 *Enterobacteriaceae* isolates sequenced, 53 harboured either bla_{ESBL} or bla_{AmpC} . The details of these genes is given in Table 1. Thirty-two (60.4%) isolates carried bla_{ESBL} genes, including 17 bla_{CTX-M} of group 1 (twelve $bla_{CTX-M-15}$ and five $bla_{CTX-M-1}$), nine bla_{CTX-M} of group 9 (six $bla_{CTX-M-14}$ and three $bla_{CTX-M-27}$) and three bla_{CTX-M} of group 2 ($bla_{CTX-M-2}$). Twenty-one (39.6%) isolates carried an AmpC-encoding genes, including 19 bla_{CMY-2} . Ten 3GC non-wild type isolates had neither bla_{ESBL} nor bla_{AmpC} genes. Among them, three isolates of *E. coli* had an identical transversion at position -32 in the -35 box of the ampC promoter.

ESBL- and pAmpC-producing isolates were retrieved from nine participating countries. Forty-four (83.2%) isolates originated from dogs and nine (17.3%) from cats. Isolates producing ESBL or pAmpC enzymes were recovered from pets suffering from UTIs (n=30), SSTIs (n=20) and RTIs (n=3).

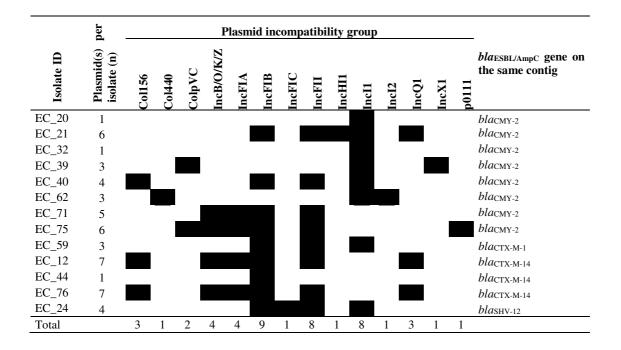
Table 1. ESBL and pAmpC-encoding genes of 63 *Enterobacteriaceae* isolates with non-wild type susceptibility to third-generation cephalosporins retrieved from diseased and untreated dogs and cats in Europe (2013-2014).

bla _{ESBL} and		Spe	Isolates (n) per animal species				
bla_{AmpC} gene(s)	E. coli	K. pneumoniae	P. mirabilis	Total	Cats	Dogs	
bla _{CMY-2}	13	-	6	19	4	15	
bla _{CTX-M-15}	5	3	-	8	1	7	
bla _{CTX-M-15} , bla _{SHV-28}	-	4	-	4	-	4	
bla _{CTX-M-14}	6	-	-	6	1	5	
bla _{CTX-M-1}	3	2	-	5	2	3	
bla _{CTX-M-2}	3	-	-	3	-	3	
bla _{CTX-M-27}	3	-	-	3	-	3	
bla _{SHV-12}	2	-	-	2	-	2	
bla _{DHA-1}	1	1	-	2	-	2	
bla _{VEB-6}	-	-	1	1	-	1	
Unidentified	8	1	1	10	3	7	
Total (%)	44 (69.8)	11 (17.5)	8 (12.7%)	63	11	52	

3.3. Plasmid characterization

Forty-eight of the 63 isolates (76.2%) harbored one or several plasmids (1 to 8). Thirteen *E. coli* isolates harbored both ESBL- and pAmpC-encoding genes, together with genes of plasmids which incompatibility groups are given in Table 2. No *K. pneumoniae* neither *P. mirabilis* isolates had colocalization of resistance and plasmid incompatibility group genes on the same contig.

Table 2. Co-localization of resistance and plasmid incompatibility group genes on the same contig for 13 *E. coli* isolates from diseased and untreated dogs and cats in Europe (2013-2014) with non-wild type susceptibility to third-generation cephalosporins.



3.4. Phylogenetic groups and Multilocus sequence typing

E. coli clustered in 23 STs. Among the 22 *E. coli* harboring a *bla*_{ESBL} gene, twelve belonged to a virulent extraintestinal phylogroup B2 (ST131, n=4; ST73, n=1), phylogroup D (ST405, n=1; ST362, n=2; ST38, n=2) and phylogroup F (ST457, n=1). The remaining ten isolates belonged to phylogroups A and B1. Among the 14 *E. coli* isolates harboring *bla*_{AmpC} genes, ten belonged to phylogroup B2 (ST131, n=1; ST372, n=2; ST80, n=1; ST625, n=1), phylogroup D (ST38, n=1; ST973, n=1) and phylogroup F (ST354, n=2). The remaining four isolates were sorted in the phylogroups A, B1 or C. One B2 virulent isolate of *E. coli* ST131 harbored both *bla*_{CTX-M-15} and plasmid of incompatibility group IncFII genes. Three *E. coli* isolates belonging to the virulent phylogroup D (ST405, n=1; ST362, n=2) harbored both *bla*_{CTX-M-14} and plasmid of incompatibility group IncFII.

K. pneumoniae harboring $bla_{\rm ESBL}$ clustered in a few STs. The epidemic high-risk international clones (*i*) ST11, represented by four isolates, among which two harbored $bla_{\rm CTX-M-15}$ and two harbored $bla_{\rm CTX-M-15}$ and (*ii*) ST15, represented by one isolate having both $bla_{\rm CTX-M-15}$ and $bla_{\rm SHV-28}$. The emerging high-

risk clone ST307 was represented by three isolates harboring $bla_{CTX-M-15}$ and bla_{SHV-28} . The sole *K. pneumoniae* isolate with the pAmpC-encoding gene bla_{DHA-1} belonged to ST16.

3.5. Phylogenetic analysis

Phylogenetic analysis along with the plasmid and resistance determinant profiles identified the spread in pets of ESBL-producing *Enterobacteriaceae* that are epidemic in humans (Figure 1A and 1B; Suplemental Table 1). We found that two UK dogs shared a strain of *E. coli* ST131 that carried *bla*_{CTX}. M-27 (isolates EC_43 and EC_45). Besides, two isolates of *E. coli* ST362 carrying *bla*_{CTX-M-14} (isolates EC_12 and EC_76) were found in one dog and one cat with UTI from Spain (Figure 1A). Finally, the clone *K. pneumoniae* ST307 harboring *bla*_{CTX-M-15} and *bla*_{SHV-28} (isolates KP_13 and KP_14) was shared by two UTI-suffering dogs from Czech Republic (Figure 1B; Supplemental Table 1).

4. Discussion

The prevalence of *Enterobacteriaceae* infecting dogs and cats and harboring bla_{ESBL} or bla_{AmpC} genes was relatively low (6.3%), in line with those found by other studies (3.8-15.3%) in Europe [(reviewed by (Ewers et al., 2012)]. Similarly, the 2008-2010 European surveillance program ComPath I found a prevalence of ESBL- or pAmC-producing *Enterobacteriaceae* of 3.6% in infected dogs and cats (Bogaerts et al., 2015). This prevalence can reach 40.4% in China, where it mirrors that measured in humans (Ewers et al., 2012; Quan et al., 2017).

The resistance to 3GC in our collection is mostly associated with the dissemination of CTX-M-type enzymes, which are now the most prevalent ESBLs worldwide. Although less frequently reported than $bla_{\text{CTX-M}}$, the genes bla_{AmpC} (and in particular $bla_{\text{CMY-2}}$) now spread among E. coli and Proteus spp. (Marques et al., 2018). Here, the pAmpC genotype was found in 21 Enterobacteriaceae isolates out of 63 (33.3%), essentially related to $bla_{\text{CMY-2}}$ as previously reported in companion animals (Bogaerts et al., 2015; Bortolami et al., 2019; Melo et al., 2018). In the E. coli isolates of our collection, $bla_{\text{CMY-2}}$ was co-localized with various plasmid genes (Table 2), especially IncI1 plasmids which are widespread in E. coli isolates of animal origin (Carattoli, 2013; Hansen et al., 2016). This large proportion of CMY-2-producing Enterobacteriaceae is worrisome since (i) this enzyme has a stronger β -lactamase activity and (ii) CMY-2 isolates also harbored other resistance mechanisms, such as porin deficiency leading to the non-enzymatic resistance to carbapenems (Goessens et al., 2013; Jacoby, 2009).

Similarly to what is observed in humans, CTX-M-type enzymes is the most common ESBL type circulating in companion animals and humans and share a similar epidemiology probably due to the close contact between pets and their owners (Canton et al., 2008). The CTX-M-type ESBLs CTX-M-1, -14, and -15 are commonly found in companion animals (Ewers et al., 2012). Their geographic distribution mirrors that of the human enzymes, with CTX-M-1 predominating in Africa and Europe, CTX-M-14 in Asia and North America, and CTX-M-15 worldwide (Rubin and Pitout, 2014). In our work, the low prevalence of *E. coli* isolates harboring *bla*_{CTX-M-1} confirms data found in a study in United Kingdom (Bortolami et al., 2019) but was lower than that retrieved in clinical samples from

Germany and from The Netherlands (Dierikx et al., 2012; Schink et al., 2013). CTX-M-1 has been found to dominate the distribution of ESBL/pAmpC in pigs, veal calves, and cattle while CTX-M-15 dominate in humans (Dorado-Garcia et al., 2018). The low distribution of CTX-M-1 in the present collection that contrasted with that of CTX-M-15 may reflect the living environment (*i.e.* more urban than rural) of the companion animals sampled in our study.

The prevalence of the emerging ST131 C1/H30R *bla*_{CTX-M-27} clade (also known as C1-M27 sublineage) increased in humans (Birgy et al., 2017; Matsumura et al., 2016). A recent study that examined ESBL-producing isolates from livestock, humans, companion animals, food, and the environment between 2009 and 2016 in Germany found that the prevalence of *bla*_{CTX-M-27} increased exclusively in isolates of human origin (Ghosh et al., 2017). In our study, we found two *E. coli* C1-M27 (isolates EC_43 and EC_45) in two UK dogs with SSTI, with identical pattern of plasmid and resistance genes.

E. coli ST131 *bla*_{CTX-M-15} is a worldwide pandemic clone in humans, but more rarely reported in infected companion animals (Nicolas-Chanoine et al., 2014). In our study, two *E. coli* ST131 producing CTX-M-15 were found, one from UTI sample and one from RTI sample, both from the same clinic in Czech Republic, but with different plasmid and resistance pattern (Supplemental Table 1).

The emergence and clonal spread of *K. pneumoniae* have also been reported in recent years. *Klebsiella* spp. isolates from companion animals have been well documented worldwide and in particular in European countries (Donati et al., 2014; Ewers et al., 2014; Hidalgo et al., 2013; Marques et al., 2019b; Poirel et al., 2013; Wohlwend et al., 2015). As previously found, *bla*_{CTX-M-15} genotype dominated in the eleven *K. pneumoniae* isolates of this work (Ewers et al., 2014). Here, we report the spread of *K. pneumoniae* ST307 (isolates KP_13, KP_14 from Germany and KP_79 from Spain) and the occurrence of clones ST11 and ST15, with those three clones also spreading in human (Marques et al., 2019b; Villa et al., 2017). Two recent studies evidenced shared *K. pneumoniae* strains between companion animals, humans, and the environment including isolates producing CMY-2-like, DHA-1-like or/and CTX-M-type enzymes (Hong et al., 2019; Marques et al., 2019a). However, the

transmission routes between pets and their owner need to be clarified using longitudinal studies exploited by WGS.

Fewer data have documented the occurrence of resistance genes to β -lactams in *P. mirabilis* isolated from companion animals (Marques et al., 2018). However, we confirmed here that most 3GC non-wild type *P. mirabilis* isolates produced the plasmid-borne cephalosporinase CMY-2.

The ten isolates with a non-wild type susceptibility to 3GC without bla_{BLSE} or bla_{AmpC} genes had low level of resistance to CAZ or CTX (\leq 0.25-4 mg/L). Such levels of resistance to 3GC can be due to a reduced impermeability or to the overproduction of the intrinsic AmpC cephalosporinase. Hence, three isolates of E. coli (belonging to independent STs) had a transversion (T \rightarrow A) that creates the E. coli consensus -35 box TTGACA, responsible to the overexpression of AmpC and the resistance to 3GC (Tracz et al., 2007).

5. Conclusion

Here, we assessed the prevalence and molecular diversity of ESBL- and pAmpC-encoding genes of *Enterobacteriaceae* isolates infecting dogs and cats and found pathogenic and resistant clones that also spread in humans. This might suggest that dogs and cats can be either the source or the target of such antibiotic resistant pathogens, which transmission routes still have to be deciphered with longitudinal studies applying WGS.

Figure legend

Figure 1. Phylogeny, source and resistance data of *E. coli* (A), *K. pneumoniae* (B) and *P. mirabilis* (C) isolates with a non-wild type susceptibility to third-generation cephalosporins isolated from diseased and untreated dogs and cats in Europe (2013-2014). The Bayesian phylogenetic trees of *E. coli*, *K. pneumoniae*, and *P. mirabilis* were based on 1 861, 2 114, and 2 548 core genes, respectively. We used the reference genomes of *Shigella dysenteriae*, *Klebsiella variicola*, and *Proteus vulgaris* as outgroups for *E. coli*, *K. pneumoniae*, and *P. mirabilis* collections, respectively. The names of the countries of isolation were abbreviated following the international code ISO 3166-1 alpha-2. UTI, urinary tract infection; SSTI, skin and soft tissue infection; RTI, respiratory tract infections. The MIC are given in mg/l.

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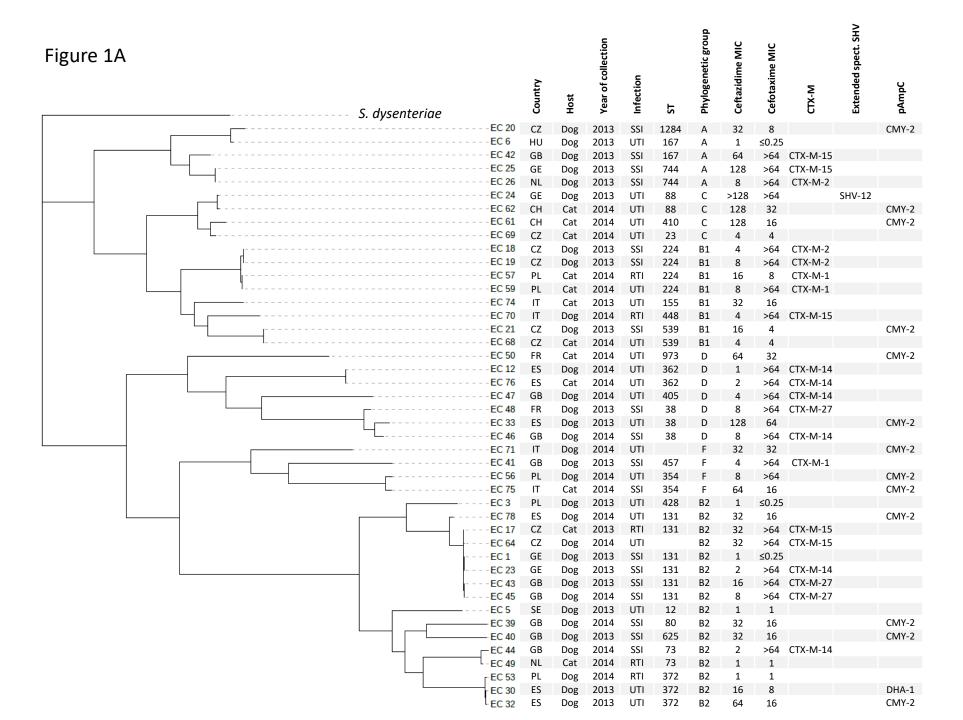
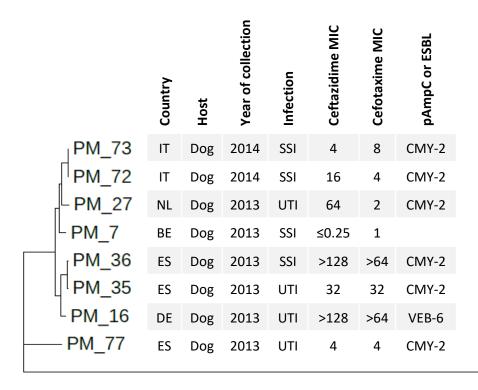


Figure 1B

		Country	Host	Year of collection	Infection	ST	Ceftazidime MIC	Cefotaxime MIC	CTX-M	Extended spectrum SHV	pAmpC
	KP_55	PL	Dog	2014	UTI	11	16	>64	CTX-M-15		
	KP_51	PL	Dog	2014	UTI	11	>128	>64	CTX-M-15		
	KP_9	ES	Dog	2013	UTI	11	0,5	>64	CTX-M-1		
	KP_34	ES	Dog	2013	UTI	11	4	>64	CTX-M-1		
	KP_14	CZ	Dog	2013	UTI	307	128	>64	CTX-M-15	SHV-28	
	KP_13	CZ	Dog	2013	UTI	307	64	>64	CTX-M-15	SHV-28	
	KP_79	ES	Dog	2014	UTI	307	8	>64	CTX-M-15	SHV-28	
	KP_63	DE	Dog	2014	UTI	15	32	>64	CTX-M-15	SHV-28	
	KP_2	NL	Dog	2013	UTI	130	1	≤0.25			
	KP_66	CZ	Dog	2013	UTI	16	>128	>64	CTX-M-15		
	KP_15	CZ	Dog	2013	UTI	16	128	16			DHA-1

K. variicola

Figure 1C



P. vulgaris