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# A two-layer shallow flow model with two axes of integration, well-balanced discretization and application to submarine avalanches

J.M. Delgado-Sánchez\*, F. Bouchut<sup>†</sup>, E.D. Fernández-Nieto\*,  
A. Mangeney<sup>‡</sup>, G. Narbona-Reina\*

## Abstract

We propose a two-layer model with two different axes of integration and a well-balanced finite volume method. The purpose is to study submarine avalanches and generated tsunamis by a depth-averaged model with different averaged directions for the fluid and the granular layers. Two-layer shallow depth-averaged models usually consider either Cartesian or local coordinates for both layers. However, the motion characteristics of the granular layer and the water wave are different: the granular flow velocity is mainly oriented downslope while water motion related to tsunami wave propagation is mostly horizontal. As a result, the shallow approximation and depth-averaging have to be imposed (i) in the direction normal to the topography for the granular flow and (ii) in the vertical direction for the water layer. To deal with this problem, we define a reference plane related to topography variations and use the associated local coordinates to derive the granular layer equations whereas Cartesian coordinates are used for the fluid layer. Depth-averaging is done orthogonally to that reference plane for the granular layer equations and in the vertical direction for the fluid layer equations. Then, a finite volume method is defined based on an extension of the hydrostatic reconstruction. The proposed method is exactly well-balanced for two kind of stationary solutions: the classical one, when both water and granular masses are at rest; the second one, when only the granular mass is at rest. Several tests are presented to get insight into the sensitivity of the granular flow, deposit and generated water waves to the choice of the coordinate systems. Our results show that even for moderate slopes (up to  $30^\circ$ ), strong relative errors on the avalanche dynamics and deposit (up to 60%) and on the generated water waves (up to 120%) are made when using Cartesian coordinates for both layers instead of an appropriate local coordinate system as proposed here.

*Keywords and phrases.* Submarine avalanches; tsunami propagation; bilayer shallow water model; hydrostatic reconstruction; well-balanced finite volume method.

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\*Departamento de Matemática Aplicada I. ETS Arquitectura, Universidad de Sevilla. Avda. Reina Mercedes 2, 41012, Sevilla, Spain. [jmdelga@us.es](mailto:jmdelga@us.es), [edofer@us.es](mailto:edofer@us.es), [gnarbona@us.es](mailto:gnarbona@us.es)

<sup>†</sup>Université Paris-Est, Laboratoire d'Analyse et de Mathématiques Appliquées (UMR 8050), CNRS, UPEM, UPEC, F-77454, Marne-la-Vallée, France [francois.bouchut@u-pem.fr](mailto:francois.bouchut@u-pem.fr)

<sup>‡</sup>Institut de Physique du Globe de Paris, Seismology team, University Paris-Diderot, Sorbonne Paris Cité, 75238, Paris, France. [mangeney@ipgp.fr](mailto:mangeney@ipgp.fr)

# 1 Introduction

In this work we deal with the dynamics of sub-aerial and submarine landslides or avalanches entering the sea or a lake. As it is well known, these avalanches generate a wave at the water free surface and, depending on their intensity, may cause a tsunami. The study and modelling of these natural events are thus a key issue to assess the associated hazards. While dry avalanches have been extensively studied, submarine granular flow models and generated tsunami are still extremely challenging due to the more complex processes at work such as the fluid/grain interaction involving pore pressure effects or friction at the interface between the avalanche and the fluid layers. Derivation of such models for real avalanches over complex topography is even more tricky. This requires to make approximations for granular and fluid flows to get reasonable computational cost.

Many models have been developed to describe avalanches and generated waves as summarized in [39]. The difficulty lies in the different dynamics of the granular and fluid (i. e. water) masses. On one hand, to correctly capture the generated wave and its latter propagation, it is essential to consider dispersive effects in the fluid model as it has been widely observed (e.g. [38, 36, 2, 13, 25]). On the other hand, for the granular mass the difficulty mainly lies in defining the appropriate rheology including pore pressure effects [16, 15, 7]. At last, the interaction between the two materials plays an important role. As pointed out in [16] and precedent authors' works, the tsunami generation depends on the initial acceleration, volume and submergence of the landslide while the granular flow dynamics itself depends on the water flow on top of it.

Essentially two ways of simulating landslides generated tsunamis have been proposed.

The first family of models uses two independent models for the landslide and the tsunami wave. First, the granular flow is simulated using a landslide model. Then, the landslide free surface is imposed as a boundary condition in the water model to compute the wave generation and propagation. Some of these models are presented in [2, 17, 38, 31] where Navier-Stokes or Boussinesq equations are used for the water model. The main advantage of these models is to accurately describe the water wave including non-hydrostatic and thus dispersive effects. Other attempts consider a Boussinesq or a non-hydrostatic shallow water model to include dispersive effects, following the same idea and results (see e.g. [3, 13, 25, 37]).

Nevertheless, using a prescribed avalanche shape as a boundary condition in the water model prevents taking into account the interaction between both materials. It is generally argued that this interaction can be neglected due to the small size of the water waves compared to the large depth of the sea [24, 13]. This is however not true for landslides occurring near the shore where the water motion can affect the avalanche dynamics. As a result, even if these models well simulate the generated tsunami wave, they are not able to correctly capture the landslide dynamics and deposit.

The second family of models consider the interaction between landslide and water in a coupled model. Although there are several models considering Navier-Stokes equations for both layers as in [1, 19, 29], the most usual approach is to use shallow depth-averaged models for the landslide evolution (e.g. [22, 14, 18, 30, 26]). These models are mainly based on the pioneer work of Savage and Hutter (SH) [35] that is a shallow model for dry avalanches composed of granular material whose rheology is based on the Mohr-Coulomb theory. One of the advantages of shallow depth-averaged models for the landslide part is that their computational cost is much lower than Navier-Stokes models while they still describe the interaction between water and granular mass when two layers are considered. In such

models, the modelling of the water layer is made using either depth-averaging with or without dispersive effects or with the Navier-Stokes equations as in [30, 26].

The accurate simulation of the granular mass motion at the generation stage is a key point to correctly approximate the initial wave at the free surface, and then, the tsunami evolution. In most depth-integrated models, the same coordinate (Cartesian or local) system is used for both layers, even though it is appropriate only for one [15]. As discussed above, shallow depth-averaged models for water motion should be derived in Cartesian coordinates while the avalanche flow should be described based on a coordinate system related to topography variations. The avalanche generates a wave at the water free surface just above it (i.e. at the same longitudinal position). When using topography-related coordinate system for both the avalanche and water layers, the model generates a perturbation at the surface at a wrong place (see sketch in Figure 1). The steeper the slope is, the wronger the approximated starting location of the generated wave will be, thus inducing a wrong tsunami propagation. Some works have introduced different coordinate systems for each material, as [18] and [30]. In [18] a bilayer depth-averaged model for submarine avalanches is presented with Cartesian coordinates for the water layer and local coordinates for the avalanche. The drawback is that the landslide shape is considered as boundary condition for the water layer equations so that no interaction between the fluid and granular layers are taken into account. As discussed above, this simplification is justified in their paper by the fact that the action of the water wave on the avalanche is not so important for deep avalanches and because the water waves amplitude is small compared to the water depth [24]. In [31], the authors present a 3D shock-capturing method for the Navier-Stokes equations, named NHWAVE. This solver is based on  $\sigma$ -coordinates applied to the incompressible Navier-Stokes equations. In [30], this model is extended to landslide-induced tsunamis modelling. They developed a model where the landslide equations are averaged in a slope-oriented coordinate system and a Coulomb mixture rheology is used for the landslide material following [22]. The coupling between water and granular layer is made through kinematic boundary conditions and continuity of the normal stresses while friction between water and granular mass is neglected. The resulting model improves the approximation of the landslide evolution even though the model does not account for a real bottom topography. As the authors pointed out in their paper, this is a key point to explain the lack of precision in the landslide deposit prediction. The interaction between both layers is made by an interpolation procedure at the interface between the two considered grids. This model has been compared to experimental data in [16].

We would like to mention here additional effects that are important for the correct simulation of the whole system, such as the effect of the interstitial fluid in the granular mass, the way the interaction between the water and the granular mass is tackled and how the real bottom topography is taken into account. Since the avalanche is composed of a granular material filled with water, submarine avalanches models should account for the influence of the interstitial fluid on the flow as done for debris flows modelling [21, 23, 15]. Other important characteristics of this geophysical problem is the interaction between water and granular material, that is mainly defined by a drag force at the interface and by pressure gradient terms. Finally, bottom variations have to be accurately considered as they mainly drive landslide trajectory and velocity, thus playing a key role on the generated tsunami. Note that in shallow water depth-averaged models, appropriate for tsunami propagation, only the horizontal velocity is kept in the model whereas the flow velocity for landslides is mainly aligned along the slope. The shallow approximation and depth-averaging should be done in the vertical direction for water wave models and in the direction perpendicular to the topography for avalanche models. Hence, we propose

to use a Cartesian coordinate system for the water model and a coordinate system related to bottom variations for the avalanche. A depth-averaged Savage-Hutter model over a general bottom curvature was introduced in [5] for dry granular avalanches. This model also takes into account erosion processes that makes the basal coordinate system (local coordinates) time dependent. Thanks to the curvature terms added to the system it is possible to prove an energy balance. This approximation is also used in [28] together with an analysis of different rheologies, the extension to a two dimensional system in [27] and to debris flows problems in [20]. The extension of the general bottom curvature to submarine avalanches is developed in [14] by taking into account a two-phase granular mixture without including erosion effects. Numerical results based on this model are compared with laboratory experiment in [3] and to a Boussinesq-type model considering a rigid slide. In a weaker attempt to account for the real topography, tilted coordinates instead of Cartesian coordinates are commonly used in landslide modelling [21, 23, 6, 7].

The first objective of the present work is to develop an averaged bilayer model for submarine avalanches and tsunamis that takes into account a double coordinate system: Cartesian coordinates for the water layer and a new coordinate system related to the fixed bottom topography for the granular mass. This new system is based on tilted coordinates on a reference plane whose direction follows the main topography variation. This allows us to describe easily the relation between the coordinate systems, providing an exact coupling procedure. Both, the granular and water layers, are assumed to be immiscible and their interaction is described through a drag force and a pressure gradient. It can be viewed as an alternative to landslide Savage-Hutter models proposed in [14], [18] and [30] in order to better reproduce the water-granular dynamics and interaction. The second objective is to propose a well-balanced finite volume method for the proposed model that preserves exactly two kind of stationary solutions. Note that the proposed model degenerates to the two-layer shallow water system in Cartesian coordinates by considering a horizontal reference plane. Then, the numerical method can also be applied to classical bilayer shallow water systems. We do not focus only on the water and material at rest solution; the proposed numerical method also preserves exactly granular masses at rest even if the fluid is still moving. This property is reached by a generalization of the hydrostatic reconstruction for the proposed two-layer model.

The paper is organized as follows: Section 2 presents the coordinate systems, derives the proposed model and shows some of its properties. A numerical method is described in Section 3 to discretize the proposed model. Section 4 describes three numerical tests. The first one is designed to study the influence of the choice of the slope of the reference plane for dry, submerged and aerial initial landslides. In particular, the difference with the case in which only Cartesian coordinates are used is shown. In the second test, the system is written in a non dimensional form in terms of the characteristic height and length of the submarine avalanche and the water and avalanche depths. The influence of two aspect ratios on the spreading of the avalanche and on the maximum water wave at the free surface is analysed. The last test is designed to highlight the robustness of the numerical method in the presence of wet/dry areas by simulating the collapse of an initially aerial avalanche entering the fluid layer. In Appendix A the proof of the energy balance associated to the model and a bound of the associated eigenvalues is presented.

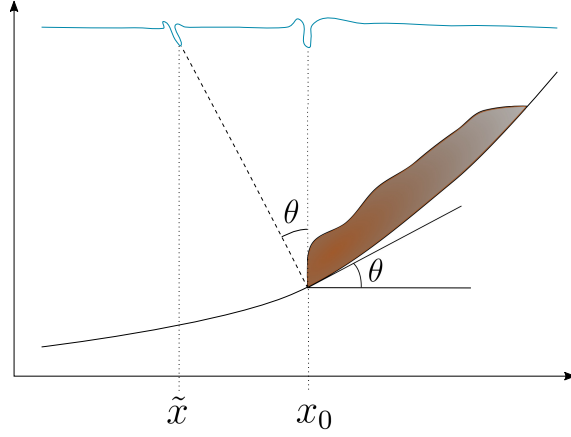


Figure 1: Sketch of the location of the generated wave. The point  $x_0$  gives the correct position but local coordinates would give  $\tilde{x}$  for the wave emplacement.

## 2 Proposed model

In this section, we present the derivation of a two-layer model of Savage-Hutter type to study submarine avalanches and generated tsunamis. The domain is made of two layers over a fixed topography. The first one is composed of an homogeneous inviscid fluid and the second one is the granular layer. In what follows, variables corresponding to the fluid layer will be denoted with subindex 1 and those to the granular layer with subindex 2.

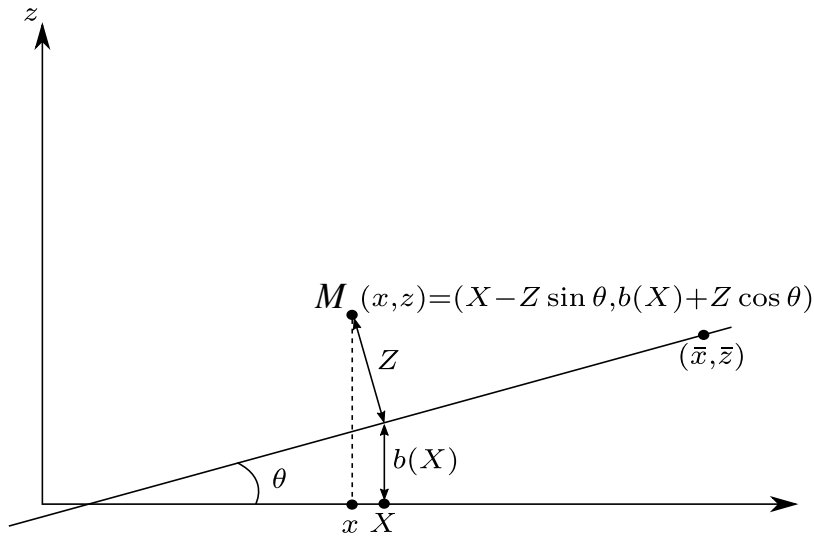


Figure 2: Coordinate systems.

As discussed above, we consider two different coordinate systems to model the fluid and granular layers. These coordinate systems are chosen according to the physical requirements of the typical flow occurring in each layer. Thus we consider Cartesian coordinates, denoted by  $\vec{x} = (x, z)$ , for the fluid

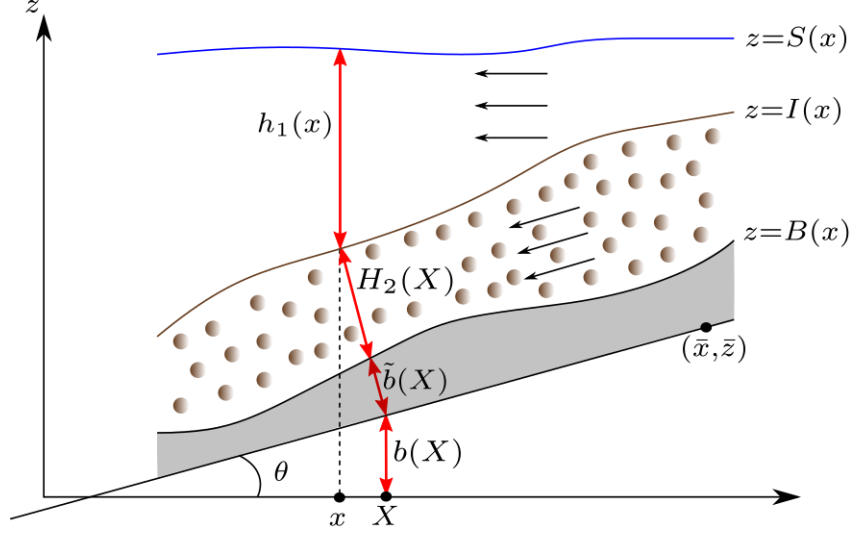


Figure 3: Notation for the layers at time  $t$

layer whereas a particular system of local coordinates is introduced for the granular layer as follows. We define the reference plane determined by a fixed point  $(\bar{x}, \bar{z})$  and its slope  $\tan \theta$ . For every point  $M$  in the grain layer with Cartesian coordinates  $\vec{x} = (x, z)$ , we introduce the coordinates  $\vec{X} = (X, Z)$ ,  $X$  being the abscissa of the orthogonal projection of  $M$  onto the reference plane and  $Z$  being the distance from  $M$  to the reference plane (see Figure 2). Notice that the local coordinates of the point  $M$  are given by  $(X/\cos \theta, Z)$  when using this notation. The relation between Cartesian coordinates  $\vec{x}$  and  $\vec{X}$  coordinates is

$$\vec{x} = (X - Z \sin \theta, b(X) + Z \cos \theta),$$

where  $b(X)$  is the level of the reference plane:

$$b(X) = \bar{z} + \tan \theta (X - \bar{x}). \quad (1)$$

The fixed topography is defined by  $\tilde{b}(X)$  as the distance, with sign, from the bottom level to the reference plane. Notice that the bottom level is thus given by  $b(X) + \tilde{b}(X) \cos \theta$ .

We will denote by  $\nabla_{\vec{a}}$  and  $\text{div}_{\vec{a}}$  the gradient and divergence operator respect to Cartesian coordinates for  $\vec{a} = \vec{x}$  and to  $\vec{X}$  coordinates for  $\vec{a} = \vec{X}$ , whereas  $\hat{\partial}_t = \partial_t|_{\vec{x}}$  and  $\partial_t = \partial_t|_{\vec{X}}$ .

## 2.1 Governing equations

We denote the velocity field of the layers by  $\vec{u}_i = (u_i, w_i)$  for  $i = 1, 2$ , where  $u_i$  represent the horizontal velocities and  $w_i$  the vertical ones. The densities are denoted by  $\rho_i$  and are assumed to be constant for both layers. The model that governs the physical system is given by the Euler equations, that in Cartesian coordinates read as

$$\text{div}_{\vec{x}} \vec{u}_i = 0, \quad (2)$$

$$\rho_i \hat{\partial}_t(\vec{u}_i) + \rho_i \vec{u}_i \cdot \nabla_{\vec{x}} \vec{u}_i + \text{div}_{\vec{x}} P_i = -\rho_i \nabla_{\vec{x}}(\vec{g} \cdot \vec{x}), \quad (3)$$

for  $i = 1, 2$ , where  $\vec{g} = (0, g)$  and  $g$  is the gravity constant. The pressure tensor  $P_i$  is defined as the negative stress tensor,

$$P_i = \begin{pmatrix} p_i^{xx} & p_i^{xz} \\ p_i^{zx} & p_i^{zz} \end{pmatrix}, \quad (4)$$

with  $p_i^{xz} = p_i^{zx}$ .

We consider exactly the previous equations for the fluid layer ( $i = 1$ ). For the grain layer ( $i = 2$ ), we perform the change of variables  $\vec{x} \mapsto \vec{X}$  which enables to reformulate system (2)–(4) in a more suitable form. With the aim of clarity we detail the main operators appearing in the change of variables procedure. Thus, for a given vector field  $\vec{\Phi}$ , recall that

$$J \nabla_{\vec{x}} \cdot \vec{\Phi} = \nabla_{\vec{X}} \cdot (J \mathcal{A} \vec{\Phi}), \quad (5)$$

where  $\mathcal{A}^{-1} = \nabla_{\vec{X}} \vec{x}$  and  $J = \det \mathcal{A}^{-1}$ ; for any scalar function  $f(\vec{x})$  we have

$$\nabla_{\vec{x}} f = (\nabla_{\vec{X}} \vec{X})^T \nabla_{\vec{X}} f.$$

where  $(\cdot)^T$  denotes the transpose of the corresponding matrix (see [5, Lemma 2.1]). Since

$$(\nabla_{\vec{x}} \vec{X})^{-1} = \nabla_{\vec{X}} \vec{x} = \begin{pmatrix} 1 & -\sin \theta \\ \tan \theta & \cos \theta \end{pmatrix}$$

then,

$$\nabla_{\vec{x}} f = \begin{pmatrix} \cos^2 \theta & -\sin \theta \\ \sin \theta \cos \theta & \cos \theta \end{pmatrix} \nabla_{\vec{X}} f. \quad (6)$$

Note that the change of variables is then valid when  $\theta \neq \pi/2$ , because  $J = 1/\cos \theta$ . We also introduce the following notation for the grain layer:

$$\begin{pmatrix} U_2 \\ W_2 \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} u_2 \\ w_2 \end{pmatrix}, \quad (7)$$

that is,  $U_2$  (respectively,  $W_2$ ) is the velocity of the second layer parallel (respectively, perpendicular) to the reference plane (see Figure 3). According to this, the following system of partial differential equations is obtained to describe the granular layer:

$$\begin{cases} \partial_X U_2 \cos \theta + \partial_Z W_2 = 0, \\ \rho_2 \partial_t U_2 + \rho_2 \cos \theta \partial_X (U_2^2) + \rho_2 \partial_Z (W_2 U_2) + \rho_2 \cos \theta \partial_X (\vec{g} \cdot \vec{x}) = -\cos \theta \partial_X \mathcal{P}_2^{xx} - \partial_Z \mathcal{P}_2^{zx}, \\ \rho_2 \partial_t W_2 + \rho_2 \cos \theta \partial_X (U_2 W_2) + \rho_2 \partial_Z (W_2^2) + \rho_2 \partial_Z (\vec{g} \cdot \vec{x}) = -\cos \theta \partial_X \mathcal{P}_2^{xz} - \partial_Z \mathcal{P}_2^{zz}. \end{cases} \quad (8)$$

where the pressure tensor  $\mathcal{P}_2$  is defined by

$$\mathcal{P}_2 = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} P_2 \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} = \begin{pmatrix} \mathcal{P}_2^{xx} & \mathcal{P}_2^{xz} \\ \mathcal{P}_2^{zx} & \mathcal{P}_2^{zz} \end{pmatrix}. \quad (9)$$

We introduce analogously the tensor  $\mathcal{P}_1$  that will be used for the boundary conditions at the interface. We also assume that  $\mathcal{P}_i^{xx} = \mathcal{P}_i^{zz}$  for  $i = 1, 2$ .



Let us now introduce the kinematics and boundary conditions in order to complete the system. Firstly, we define the thicknesses and boundaries of the physical system depicted in Figure 3.

We denote by  $h_1(x, t)$  the thickness of the fluid layer at the position  $x$  along the horizontal axis at time  $t$ , while  $H_2(X, t)$  is the thickness of the grain layer perpendicular to the reference plane. The bottom is defined by  $z = B(x)$ , with  $B(x) = b(X) + \tilde{b}(X) \cos \theta$  or by  $Z = \tilde{b}(X)$  when using  $\vec{X}$  coordinates. The interface between the grain and fluid layer is defined by  $z = I(x, t)$ , with

$$I(x, t) = B(x) + H_2(X, t) \cos \theta = b(X) + (\tilde{b}(X) + H_2(X, t)) \cos \theta,$$

while for  $\vec{X}$  coordinates, the interface is  $Z = \tilde{b}(X) + H_2(X, t)$ . Finally the water free surface is defined by the equation  $z = S(x, t) = I(x, t) + h_1(x, t)$ .

At the three boundaries, free surface, interface between layers and bottom, the density is discontinuous, so the kinematic conditions are directly deduced from the Rankine-Hugoniot condition for the mass conservation, that is written as

$$\widehat{\partial}_t \rho + \operatorname{div}_{\vec{x}}(\rho \vec{u}) = 0.$$

Writing down this condition through the interfaces we obtain the general boundary condition

$$([\rho], [\rho \vec{u}]) \cdot \vec{N} = 0, \quad (10)$$

where  $[\cdot]$  denotes the jump of a quantity through the interface and  $\vec{N}$  is the time-space normal to the corresponding interface. In our system, the density  $\rho$  takes the value 0 up to the free surface,  $\rho_1$  in the fluid layer and  $\rho_2$  in the grain layer. The velocity  $\vec{u}$  takes the value  $\vec{u}_1$  in the fluid layer,  $\vec{u}_2$  in the grain layer and 0 under the bottom interface.

At the free surface, defined by  $z - S(x, t) = 0$ , we have  $\vec{N} = (-\widehat{\partial}_t S, -\partial_x S, 1)$ , so from (10) we obtain the kinematic condition

$$\widehat{\partial}_t S + u_1 \partial_x S - w_1 = 0 \quad \text{at } z = S(x, t). \quad (11)$$

The normal vector to the bottom, defined by  $z - B(x) = 0$ , is  $\vec{N} = (0, -\partial_x B, 1)$ . So the kinematic condition at the bottom level is given from (10) by the usual no-penetration condition,

$$u_2 \partial_x B - w_2 = 0 \quad \text{at } z = B(x).$$

In order to use this condition for layer 2, it must be written in  $\vec{X}$  coordinates. We observe that  $z - B = Z - \tilde{b}$  at the bottom level, so the previous equation can be written as

$$u_2 \partial_x (z - B) - w_2 \partial_z (z - B) = 0 \quad \text{or, equivalently,} \quad u_2 \partial_x (Z - \tilde{b}) - w_2 \partial_z (Z - \tilde{b}) = 0.$$

Now using (6) and (7) we get

$$W_2 = U_2 \cos \theta \partial_X \tilde{b} \quad \text{at } Z = \tilde{b}(X). \quad (12)$$

Finally, the interface between the fluid and the grain layers is defined by  $z = I(x, t)$ , so  $\vec{N} = (-\widehat{\partial}_t I, -\partial_x I, 1)$ . Then, from (10) we obtain the following identity,

$$\rho_1 (\widehat{\partial}_t I + u_1 \partial_x I - w_1) = \rho_2 (\widehat{\partial}_t I + u_2 \partial_x I - w_2).$$

Since there is no exchange of mass between the fluid and granular layers, the kinematic conditions at the interface are given by setting to zero the left and right hand side of the previous equality. This corresponds to the transport condition of the points at the interface. Then we have

$$\widehat{\partial}_t I + u_1 \partial_x I = w_1 \quad \text{at } z = I(x, t), \quad (13)$$

$$\widehat{\partial}_t I + u_2 \partial_x I = w_2 \quad \text{at } z = I(x, t). \quad (14)$$

As in the bottom case, we rewrite the kinematic condition for layer 2 using  $\vec{X}$  coordinates. For this, notice that (14) is equivalent to

$$\widehat{\partial}_t(z - I) + u_2 \partial_x(z - I) + w_2 \partial_z(z - I) = 0 \quad \text{at } Z = \tilde{b}(X) + H_2(X, t).$$

Note that  $z - I = Z - (\tilde{b} + H_2)$  at the interface between layers. So, in view of (6) and (7), we conclude

$$\partial_t H_2 + U_2 \cos \theta \partial_X(\tilde{b} + H_2) = W_2 \quad \text{at } Z = \tilde{b}(X) + H_2(X, t). \quad (15)$$

Now we set the boundary conditions. We introduce the spacial unitary normal vector to each boundary with positive vertical component, denoted by  $\vec{n}^S$  for the water free surface,  $\vec{n}^I$  for the interface and  $\vec{n}^B$  for the bottom, respectively. Then, at  $z = S(x, t)$ , we assume the free surface tension condition given by

$$P_1 \vec{n}^S = 0 \quad \text{at } z = S(x, t). \quad (16)$$

At the interface  $z = I(x, t)$ , we impose the continuity of the normal component of the tensors and a friction force between the fluid and grain layers denoted by  $F$ , to be specified later:

$$(P_1 \vec{n}^I)_{\mathcal{N}} = (P_2 \vec{n}^I)_{\mathcal{N}} \quad \text{at } z = I(x, t), \quad (17)$$

$$(P_1 \vec{n}^I)_{\mathcal{T}} = (P_2 \vec{n}^I)_{\mathcal{T}} = F \quad \text{at } z = I(x, t), \quad (18)$$

where the subscript  $(\cdot)_{\mathcal{N}}$  (respectively,  $(\cdot)_{\mathcal{T}}$ ) denotes the normal (respectively, the tangent) component of a vector. Finally, we consider the friction at the bottom  $z = B(x)$  as follows,

$$(\mathcal{P}_2 \vec{n}^B)_{\mathcal{T}} = -\vec{n}^B \cdot (\mathcal{P}_2 - \mathcal{P}_1) \vec{n}^B \frac{U_2}{|U_2|} \mu \quad \text{at } z = B(x), \quad (19)$$

$\mu$  being the friction coefficient that will be given by a friction law. For instance, the Coulomb friction law gives  $\mu = \tan \delta_0$ , where  $\delta_0$  is the friction angle of the granular material in layer 2.

## 2.2 Asymptotic analysis and averaging process

As commonly done for the shallow averaged models, we consider a dimensional analysis of the set of equations defined by (2)–(3) for the first layer, and (8) for the second one together with the kinematic conditions (11), (12), (13), (15) and the boundary conditions (16)–(19).

We introduce  $H$  and  $L$  as the characteristic height and length of the domain. We assume a shallow domain, so  $\varepsilon = H/L$  is supposed to be small. We will denote with  $(*)$  the non-dimensional variables, then

$$(x, z) = (Lx^*, H z^*), \quad (X, Z) = (LX^*, H Z^*), \quad t = (L/g)^{1/2} t^*,$$

$$\begin{aligned}
h_1 &= Hh_1^*, \quad H_2 = Hh_2^*, \quad \tilde{b} = H\tilde{b}^* \quad (u_1, w_1) = (Lg)^{1/2}(u_1^*, \varepsilon w_1^*), \quad (U_2, W_2) = (Lg)^{1/2}(U_2^*, \varepsilon W_2^*), \\
(p_1^{xx}, p_1^{zz}) &= gH((p_1^{xx})^*, (p_1^{zz})^*), \quad (\mathcal{P}_2^{xx}, \mathcal{P}_2^{zz}) = gH((\mathcal{P}_2^{xx})^*, (\mathcal{P}_2^{zz})^*), \\
p_1^{xz} &= gH(p_1^{xz})^*, \quad \mathcal{P}_2^{xz} = gH(\mathcal{P}_2^{xz})^*, \quad F = \varepsilon(Lg) F^*.
\end{aligned}$$

Using this change of variables, the system (2)–(3) for the first layer is rewritten as (for the sake of clarity in the exposition, we omit the star notation in what follows):

$$\partial_x u_1 + \partial_z w_1 = 0, \quad (20)$$

$$\rho_1 \widehat{\partial}_t u_1 + \rho_1 u_1 \partial_x u_1 + \rho_1 w_1 \partial_z u_1 + \varepsilon \partial_x p_1^{xx} = -\partial_z p_1^{xz} \quad (21)$$

$$\varepsilon \left( \rho_1 \widehat{\partial}_t w_1 + \rho_1 u_1 \partial_x w_1 + \rho_1 w_1 \partial_z w_1 + \partial_x p_1^{xz} \right) + \rho_1 = -\partial_z p_1^{zz}. \quad (22)$$

For the second layer, system (8) becomes

$$\partial_X U_2 \cos \theta + \partial_Z W_2 = 0, \quad (23)$$

$$\rho_2 \partial_t U_2 + \rho_2 \cos \theta U_2 \partial_X U_2 + \rho_2 W_2 \partial_Z U_2 + \varepsilon \rho_2 \cos \theta \partial_X \left( b + Z \cos \theta + \frac{\mathcal{P}_2^{xx}}{\rho_2} \right) = -\partial_Z \mathcal{P}_2^{xz} \quad (24)$$

$$\varepsilon \left( \rho_2 \partial_t W_2 + \rho_2 \cos \theta U_2 \partial_X W_2 + \rho_2 W_2 \partial_Z W_2 + \cos \theta \partial_X \mathcal{P}_2^{xz} \right) + \rho_2 \partial_Z (b + Z \cos \theta) = -\partial_Z \mathcal{P}_2^{zz}. \quad (25)$$

For the kinematic conditions (11), (12), (13), (15), we obtain

$$\begin{aligned}
\widehat{\partial}_t S + u_1 \partial_x S - w_1 &= 0 \quad \text{at } z = S(x, t); \\
\widehat{\partial}_t I + u_1 \partial_x I &= w_1 \quad \text{at } z = I(x, t); \\
\partial_t H_2 + \cos \theta U_2 \partial_X (\tilde{b} + H_2) &= W_2 \quad \text{at } Z = \tilde{b}(X) + H_2(X, t); \\
W_2 &= U_2 \partial_X \tilde{b} \cos \theta \quad \text{at } Z = \tilde{b}(X).
\end{aligned}$$

Finally we develop the boundary conditions associated to the system. At the free surface,  $z = S(x, t)$ , we have  $\vec{n}^S = (-\varepsilon \partial_x S, 1) / \sqrt{1 + \varepsilon^2 (\partial_x S)^2}$ . Then from (16) we have

$$-\varepsilon \partial_x S p_1^{xx} + p_1^{zx} = 0, \quad -\varepsilon \partial_x S p_1^{xz} + p_1^{zz} = 0 \quad \text{at } z = S(x, t). \quad (26)$$

At the interface,  $z = I(x, t)$ , we write the conditions in (17) and (18) following the same idea than for the kinematic conditions at the interface, that is, we use  $\vec{X}$  coordinates to write the boundary conditions corresponding to layer 2. Thus, the normal vector to the interface with dimension is  $\vec{n}^I = (-\partial_x I, 1)$  that can be also written as  $\vec{n}^I = (\partial_x(z - I), \partial_z(z - I)) = (\partial_x(Z - (\tilde{b} + H_2)), \partial_z(Z - (\tilde{b} + H_2)))$ . The first expression is used to develop the components corresponding to layer 1 and the second one to those of layer 2, both previously normalized. For condition (17), we obtain:

$$p_1^{zz} + \mathcal{O}(\varepsilon) = \sin^2 \theta P_2^{xx} - 2 \sin \theta \cos \theta P_2^{xz} + \cos^2 \theta P_2^{zz} + \mathcal{O}(\varepsilon).$$

So, using (6) and (9), we have

$$\mathcal{P}_2^{zz} = p_1^{zz} + \mathcal{O}(\varepsilon) \quad \text{at } z = I(x, t). \quad (27)$$

Working similarly for the tangential condition (18), we obtain

$$p_1^{xz} = F + \mathcal{O}(\varepsilon) \quad \text{at } z = I(x, t); \quad (28a)$$

$$\mathcal{P}_2^{xz} = -F + \mathcal{O}(\varepsilon) \quad \text{at } Z = \tilde{b}(X) + H_2(X, t). \quad (28b)$$

Finally, at the bottom,  $z = B(x)$ , we set  $\vec{n}^B = (\partial_x(z - B), \partial_z(z - B)) = (\partial_x(Z - \tilde{b}), \partial_z(Z - \tilde{b}))$  that we use to obtain from (19),

$$\mathcal{P}_2^{xz} = -(\mathcal{P}_2^{zz} - \mathcal{P}_1^{zz}) \frac{U_2}{|U_2|} \mu + \mathcal{O}(\varepsilon) \quad \text{at } Z = \tilde{b}(X). \quad (29)$$

First we study the vertical momentum equations to obtain the hydrostatic pressure as an approximation of order  $\varepsilon$ . From (22) we have

$$\partial_z p_1^{zz} = -\rho_1 + \mathcal{O}(\varepsilon), \quad (30)$$

that we integrate from  $z \in [\mathcal{I}, S]$  to  $z = S$  and use (26) to get

$$p_1^{zz}(z) = \rho_1(S(x, t) - z) + \mathcal{O}(\varepsilon). \quad (31)$$

Now for the grain layer we use equation (25) to have

$$\partial_Z \mathcal{P}_2^{zz} = -\rho_2 \partial_Z (b(X) + Z \cos \theta) + \mathcal{O}(\varepsilon). \quad (32)$$

We integrate this expression from  $Z \in [\tilde{b}(X), \tilde{b}(X) + H_2(X, t)]$  to  $Z = \tilde{b} + H_2$  and using (27) and that  $p_1^{zz}|_{z=\mathcal{I}} = \rho_1 h_1(x, t) + \mathcal{O}(\varepsilon)$ , we obtain

$$\mathcal{P}_2^{zz}(Z) = \rho_1 h_1(x, t) + \rho_2 \cos \theta (\tilde{b}(X) + H_2(X, t) - Z) + \mathcal{O}(\varepsilon). \quad (33)$$

We introduce the values of  $p_1^{xx} = p_1^{zz}$  and  $\mathcal{P}_2^{xx} = \mathcal{P}_2^{zz}$  in equations (21) and (24); together with (20) and (23), the following system of partial differential equations is obtained:

$$\begin{cases} \partial_x u_1 + \partial_z w_1 = 0, \\ \widehat{\partial}_t u_1 + u_1 \partial_x u_1 + w_1 \partial_z u_1 + \varepsilon \partial_x S = -\frac{1}{\rho_1} \partial_z p_1^{xz} + \mathcal{O}(\varepsilon^2), \\ \partial_X U_2 \cos \theta + \partial_Z W_2 = 0, \\ \partial_t U_2 + \cos \theta U_2 \partial_X U_2 + W_2 \partial_Z U_2 + \varepsilon \cos \theta \partial_X (b + (\tilde{b} + H_2) \cos \theta + r h_1) = -\frac{1}{\rho_2} \partial_Z \mathcal{P}_2^{xz} + \mathcal{O}(\varepsilon^2), \end{cases} \quad (34)$$

where the density ratio  $r = \rho_1/\rho_2$  has been introduced.

By integrating the first equation of (34) between  $z = I$  and  $z = S$  and using the kinematic conditions, we obtain

$$\widehat{\partial}_t h_1 + \partial_x (h_1 \bar{u}_1) = 0,$$

where we denote

$$\bar{u}_1(x, t) = \frac{1}{h_1(x, t)} \int_{I(x, t)}^{S(x, t)} u_1(x, z, t) dz.$$

Now we integrate the second equation of (34) between  $z = I$  and  $z = S$ . By using the boundary conditions in (26) and (28a), we obtain, up to second order in  $\varepsilon$ ,

$$\widehat{\partial}_t(h_1 \bar{u}_1) + \partial_x(h_1 \bar{u}_1^2) + \varepsilon h_1 \partial_x \left( b + (\tilde{b} + H_2) \cos \theta + h_1 \right) = -\frac{F}{\rho_1},$$

where we assumed that  $\overline{u_1^2} = \bar{u}_1^2 + \mathcal{O}(\varepsilon^2)$ . Similarly, by integrating the third equation of (34) between  $Z = \tilde{b}$  and  $Z = \tilde{b} + H_2$  and using the kinematic conditions, we obtain

$$\partial_t H_2 + \cos \theta \partial_X (H_2 \bar{U}_2) = 0,$$

where the averaged velocity  $\bar{U}_2$  is now

$$\bar{U}_2(X, t) = \frac{1}{H_2(X, t)} \int_{\tilde{b}(X)}^{\tilde{b}(X) + H_2(X, t)} U_2(X, Z, t) dZ.$$

Finally, we integrate the horizontal momentum equation of the grain layer (34) between  $Z = \tilde{b}$  and  $Z = \tilde{b} + H_2$  and using conditions (28a) and (29), we obtain, up to second order in  $\varepsilon$ ,

$$\partial_t(H_2 \bar{U}_2) + \cos \theta \partial_X (H_2 \bar{U}_2^2) + \varepsilon \cos \theta H_2 \partial_X \left( b + (\tilde{b} + H_2) \cos \theta + r h_1 \right) = \frac{F}{\rho_2} - \text{sgn}(\bar{U}_2) H_2 \cos \theta (1 - r) \mu,$$

where we have approximated  $\text{sgn}(U_2|_{Z=\tilde{b}})$  by  $\text{sgn}(\bar{U}_2)$  for the Coulomb condition and we have used that  $(\mathcal{P}_2^{zz} - \mathcal{P}_1^{zz}) = (\rho_2 - \rho_1) H_2 \cos \theta$  from equations (31) and (33).

## 2.3 Final system of equations

Consider the system consisting of equations deduced in the previous integration process with the original (dimensional) variables. We drop the bar notation for clarity. In order to arrange the equations with the same system of coordinates, we introduce the change of variables determined by

$$(X, t) \mapsto (x, t) = (X - (\tilde{b}(X) + H_2(X, t)) \sin \theta, t)$$

for the equations of mass and momentum conservation of the first layer. Notice that

$$A := \nabla_{(X, t)}(x, t) = \begin{pmatrix} 1 - \partial_X(\tilde{b} + H_2) \sin \theta & -\partial_t H_2 \sin \theta \\ 0 & 1 \end{pmatrix}$$

so the Jacobian of the change of variables is

$$\mathcal{J} := \det(\nabla_{(X, t)}(x, t)) = 1 - \partial_X(\tilde{b} + H_2) \sin \theta.$$

Now, we use the following notation

$$H_1(X, t) = h_1(X - (\tilde{b}(X) + H_2(X, t)) \sin \theta, t), \quad U_1(X, t) = u_1(X - (\tilde{b}(X) + H_2(X, t)) \sin \theta, t)$$

and the divergence chain rule,  $\nabla_{(x,t)} \cdot \vec{f} = \frac{1}{\mathcal{J}} \nabla_{(X,t)} \cdot (\mathcal{J} A^{-1} \vec{f})$  for any vector  $\vec{f}$ , (see [5, Lemma 2.1] for details).

We must also specify the friction term at the interface introduced as  $F$  in previous equations. In particular, we consider the general form for the friction term  $F = K(c_1 U_1 - c_2 U_2)$  for coefficients  $K, c_1, c_2$  to be specified in such a way the final model accounts for a dissipative energy balance (see Theorem 1 below and equation (46) in the Appendix). Thus, we obtain

$$F = \rho_1 \gamma (\mathcal{J} U_1 \cos \theta - U_2),$$

for a friction coefficient  $\gamma$ . We can consider a linear friction law, for which  $\gamma$  is a constant value. A quadratic law, corresponding to  $\gamma = \bar{\gamma} |\mathcal{J} U_1 \cos \theta - U_2|$ , can be also considered, being  $\bar{\gamma}$  a constant coefficient in this case.

The following final system of equations is obtained:

$$\begin{cases} \partial_t(H_1 \mathcal{J}) + \partial_X(H_1(U_1 + \partial_t H_2 \sin \theta)) = 0, \\ \partial_t(H_1 U_1 \mathcal{J}) + \partial_X \left( H_1 U_1(U_1 + \partial_t H_2 \sin \theta) + g \frac{H_1^2}{2} \right) = -g H_1 \partial_X(b + (\tilde{b} + H_2) \cos \theta) - \mathcal{J} \gamma (\mathcal{J} U_1 \cos \theta - U_2), \\ \partial_t H_2 + \cos \theta \partial_X(H_2 U_2) = 0, \\ \partial_t(H_2 U_2) + \cos \theta \partial_X \left( H_2 U_2^2 + g \frac{H_2^2}{2} \cos \theta \right) = \\ \quad = -g H_2 \cos \theta \partial_X(b + \tilde{b} \cos \theta + r H_1) - g \operatorname{sgn}(U_2) H_2 \cos \theta (1 - r) \mu + r \gamma (\mathcal{J} U_1 \cos \theta - U_2). \end{cases} \quad (35)$$

This system satisfies the properties stated in the following theorem.

**THEOREM 1.** (i) *Model (35) verifies a dissipative energy balance through the following inequality:*

$$\begin{aligned} & \partial_t \left( r \cos \theta \mathcal{J} H_1 \left( \frac{U_1^2}{2} + g \left( \frac{H_1}{2} + b + (\tilde{b} + H_2) \cos \theta \right) \right) \right) \\ & + H_2 \frac{U_2^2}{2} + g H_2 \left( b + \tilde{b} \cos \theta + \frac{H_2}{2} \cos \theta \right) \\ & + \partial_X \left( r \cos \theta H_1 (U_1 + \partial_t H_2 \sin \theta) \left( \frac{U_1^2}{2} + g \left( \frac{H_1}{2} + b + (\tilde{b} + H_2) \cos \theta \right) \right) + r \cos \theta g \frac{H_1^2}{2} U_1 \right. \\ & \quad \left. + H_2 \cos \theta U_2 \left( \frac{U_2^2}{2} + g(r H_1 + b + (\tilde{b} + H_2) \cos \theta) \right) \right) \\ & \leq -g |U_2| H_2 \cos \theta (1 - r) \mu - r \gamma (\mathcal{J} U_1 \cos \theta - U_2)^2 \end{aligned} \quad (36)$$

(ii) *If  $\lambda_k$ ,  $k = 1, \dots, 4$ , are the eigenvalues of the transport matrix of model (35) and these are real, then*

$$\frac{\bar{U} - \Psi}{\mathcal{J}} \leq \lambda_k \leq \frac{\bar{U} + \Psi}{\mathcal{J}} \quad \text{for all } k = 1, \dots, 4, \quad (37)$$

where

$$\bar{U} := \frac{U_1 + \mathcal{J}U_2 \cos \theta}{2} \quad \text{and} \quad \Psi := \sqrt{\frac{3}{2}} \left( \frac{1}{2} (U_1 - \mathcal{J}U_2 \cos \theta)^2 + gh_2 (\cos \theta)^3 \mathcal{J}^2 + gh_1 \right)^{1/2}. \quad (38)$$

□

The proof of the theorem is in the Appendix of the paper.

Let us remark that we can also write the final system in Cartesian coordinates by using the inverse of the change of variables presented in the paper. For simplicity, let us consider no friction between both layers (that is,  $\gamma = 0$ ); then, the mass and momentum equations for the granular layer read as follows:

$$\begin{aligned} \partial_t(h_2 \hat{\mathcal{J}}) + \partial_x \left( h_2(u_2 \cos \theta - \partial_t(\tilde{b}^* + h_2) \sin \theta) \right) &= 0 \\ \partial_t(h_2 u_2 \hat{\mathcal{J}}) + \partial_x \left( h_2 u_2(u_2 \cos \theta - \partial_t(\tilde{b}^* + h_2) \sin \theta) \right) &= \\ -gh_2 \cos \theta \partial_x(b^* + (\tilde{b}^* + h_2) \cos \theta + rh_1) - F_b, & \end{aligned} \quad (39)$$

$F_b$  being the Coulomb friction term and

$$\hat{\mathcal{J}} := \det(\nabla_{(x,t)}(X, t)) = 1 + \partial_x H_2 \sin \theta.$$

In equations (39), we are using the following notation:

$$\begin{aligned} h_2 = h_2(x, t) &= H_2(X(x, t), t), \quad u_2 = u_2(x, t) = U_2(X(x, t), t), \\ b^* = b^*(x, t) &= b(X(x, t)), \quad \tilde{b}^* = \tilde{b}^*(x, t) = \tilde{b}(X(x, t)). \end{aligned}$$

Let us remember that the change of variables is given by the equality

$$x = X - (\tilde{b}(X) + H_2(X, t)) \sin \theta.$$

On one hand, there are some technical inconveniences when using Cartesian coordinates for the final system. Firstly, the above change of variable requires to solve an implicit equation in order to determine the position of the granular interface once we have computed  $h_2$ . Secondly, from the numerical point of view, the system also becomes more difficult to be solved, because we have to approximate a second order derivative in space and time in both the mass and momentum equations. On the other hand, the advantage is that, writing the system as shown in (39), we can use a computational code written in Cartesian coordinates to solve the fluid.

## 2.4 Comparison with Ma et al. model [30]

As mentioned in the introduction, the model presented in [30] follows the same idea that we develop here, i.e., to consider a double coordinate system appropriate to the dynamics of each layer. Because of this similarity, we would like to point out the differences between our model and the model in [30].

The main difference comes from the approximation of the water layer. [30] solve the full Navier-Stokes equations, thus taking into account non-hydrostatic effects and providing a better solution to

the generated wave. These equations are written in Cartesian coordinates. For the landslide layer they also follow a Savage-Hutter type model derivation in slope-oriented coordinate system, thus measuring the avalanche thickness in direction normal to the bottom which is defined as an inclined plane. The model does not describe variable topographies and neglects the friction between the water and granular layers.

The coordinate system that we propose is not the same since we work with coordinates  $(X, Z)$  introduced in Section 2 with respect to a reference plane of slope  $\theta$ . This definition helps to connect both coordinate systems used for the water and granular layers. This is the main difficulty when working with two different coordinate systems, more from a numerical point of view because two grids must be considered. We take into account a variable topography that is introduced over the reference plane, defined by  $\tilde{b}$ . Thus, the choice  $\theta = \alpha$  for our reference plane,  $\tilde{b} = 0$  and  $\gamma = 0$  would give the same solution as that provided in [30] for the isotropic case (constant  $k_{act/pass} = 1$  in [30]).

We also have a different coefficient in the Coulomb friction term at the bottom,  $F_b$ . In [30], this term reads

$$F_b = (1 - \lambda)g \operatorname{sgn}(U_2)H_2 \cos \theta \mu, \quad (40)$$

where the coefficient  $(1 - \lambda)$  comes from the definition of the pressure at the bottom for the solid phase. The coefficient  $\lambda$  is used, as in [22], to select a portion of the total pressure of the mixture layer. The authors take it as a calibration constant. On the contrary, for us

$$F_b = (1 - r)g \operatorname{sgn}(U_2)H_2 \cos \theta \mu, \quad (41)$$

where  $r = \rho_1/\rho_2$  and the coefficient  $(1 - r)$  comes from the submerged condition of the granular mass inducing a reduced gravity  $(1 - r)g$ . This definition is also proposed in [18]. If we look at the interpretation of the Coulomb repose angle of the material ( $\mu = \tan \delta_0$ ), it must exactly compensate gravitational forces for an stationary solution, meaning a stopping criterion for the material. This property is fulfilled with the proposed definition of  $F_b$  given by (41). On the contrary, with the definition (40), the solution is at rest when the slope of the granular surface is smaller than

$$\frac{1 - \lambda}{1 - r} \tan \delta_0.$$

Note that in this case we only obtain the correct stopping criterium when  $\lambda = r$ .

The other and main difference between the models is the way they connect both layers. According to the coupling, the common point of the models is the continuity of the pressure at the granular/water interface and the kinematic condition. Measurement of the granular layer thickness is essential to calculate the evolution of the water surface and this must be given in Cartesian coordinates in the water layer equations. A change of coordinates is thus needed. This is what we have done to present the final model: we have written both equations under the same coordinate system through the change of variables given at the beginning of this section. On the contrary, in the model presented in [30], the thickness of the granular layer in cartesian coordinates  $(x, z)$  is obtained through an interpolation of the values of the neighborhood grid points to a certain value  $x$  (see Figure 1 in [30]). This makes the approximation weaker since there is not a real conversion from one coordinate system to the other in the continuous formulation.

Finally, even if our system does not either consider curvature effects, the fact that we compute the solution from a reference plane allows us to obtain a better approximation than the Cartesian or usual local coordinate choices as will be shown in the numerical tests.



### 3 Numerical method

In this section the solver considered for the numerical tests is presented. The friction term between layers can be discretized semi-implicitly, so we describe the numerical treatment of the other components of the model in what follows. Firstly, let us remark that model (35) can be rewritten as

$$\begin{cases} \partial_t(H_1\mathcal{J}) + \partial_X\left(H_1(U_1 - \partial_X(H_2U_2)\sin\theta\cos\theta)\right) = 0, \\ \partial_t(H_1U_1\mathcal{J}) + \partial_X\left(H_1U_1(U_1 - \partial_X(H_2U_2)\sin\theta\cos\theta)\right) + gH_1\partial_X\left(H_1 + b + (\tilde{b} + H_2)\cos\theta\right) = 0, \\ \partial_tH_2 + \cos\theta\partial_X(H_2U_2) = 0, \\ \partial_t(H_2U_2) + \cos\theta\partial_X\left(H_2U_2^2\right) + gH_2\cos\theta\partial_X\left((1-r)(b + (\tilde{b} + H_2)\cos\theta) + \xi r(H_1 + (b + (\tilde{b} + H_2)\cos\theta))\right) = \\ = -g\operatorname{sgn}(U_2)H_2\cos\theta(1-r)\mu, \end{cases}$$

where  $\xi = 1$ . We have introduced this parameter  $\xi$  because a simplification of the model, that considering the rigid lid hypothesis on the momentum equation of the second layer, corresponds to set  $\xi = 0$ . This hypothesis can be considered for the case of submarine avalanches because the gradient of the water free surface, that is,  $\partial_X(H_1 + b + (\tilde{b} + H_2)\cos\theta)$  does not have a great influence on the movement of the granular layer. By another way, the advantage of considering this hypothesis is that the eigenvalues of the model are always real when  $\xi = 0$ . For example, complex eigenvalues are typical in coupled submarine avalanches models in case of an aerial avalanche entering the fluid. In such a case, some numerical treatment of complex eigenvalues must be considered (see [12]). By setting  $\xi = 0$  we avoid this difficulty and very similar results are obtained. Then, for the numerical tests we consider  $\xi = 0$ .

By simplicity, for a domain  $[a, b]$  we consider a fixed grid with step  $\Delta x$ , with  $x_i = a + (i - 1/2)\Delta x$ ,  $x_{i+1/2} = a + i\Delta x$ . Let us denote the vector of unknowns by

$$W = (\mathcal{H}_1, \mathcal{Q}_1, H_2, Q_2)^T = (H_1\mathcal{J}, H_1\mathcal{J}U_1, H_2, H_2U_2)^T.$$

A HLL-PVM-1U method is considered (see [11]), that can be defined as follows:

$$W_i^{n+1} = W_i^n - \frac{\Delta t}{\Delta x}(\tilde{\mathcal{F}}_{i+1/2}^n - \tilde{\mathcal{F}}_{i-1/2}^n) - \frac{\Delta t}{\Delta x}\mathcal{S}_i^n, \quad (42)$$

where  $W_i^{n+1}$  denotes the approximation of the average of the vector solution at volume  $(x_{i-1/2}, x_{i+1/2})$  and time  $t^{n+1} = t^n + \Delta t$ . In what follows the definition of the numerical flux functions  $\tilde{\mathcal{F}}_{i+1/2}^n$  and the approximation of source and non-conservative terms  $\mathcal{S}_i$  is presented. With the purpose of simplicity, we omit the superindex  $n$  in the description.

Let us denote by  $\mathcal{J}_i$  an approximation of  $\mathcal{J}$ ; we can set

$$\mathcal{J}_i = \max\left(1 - \sin\theta(\tilde{b}_{i+1} + H_{2,i+1} - \tilde{b}_{i-1} - H_{2,i-1})/(2\Delta x), \operatorname{tol}\right),$$

where  $\operatorname{tol}$  is a minimum tolerance that allows to consider situations where the model is near the limit of application. It can be related to the maximum slope of the granular free surface. For example, it can be set as  $\operatorname{tol} = \tan(85^\circ)$ .

An hydrostatic reconstruction is considered for the fluid and the granular layers (see [4]). It allows us to treat wet/dry fronts and to discretized the Coulomb friction term and to obtain a well-balanced method for solutions at rest for the fluid and the granular layers. Firstly, at a given interface  $x_{i+1/2}$ , the following left and right reconstruction are defined. For the fluid layer we define

$$H_{1,i+1/2}^- = \max(H_{1,i} - \max(\Delta z_1, 0), 0), \quad H_{1,i+1/2}^+ = \max(H_{1,i+1} - \max(-\Delta z_1, 0), 0),$$

where

$$\Delta z_1 = (\tilde{b}_{i+1} - \tilde{b}_i + H_{2,i+1}^n - H_{2,i}^n) \cos \theta + \Delta x \tan \theta.$$

Then,

$$\mathcal{H}_{1,i+1/2}^\pm = H_{1,i+1/2}^\pm \mathcal{J}_{i+\frac{1}{2} \pm \frac{1}{2}} \quad \text{and} \quad \mathcal{Q}_{1,i+1/2}^\pm = \mathcal{H}_{1,i+1/2}^\pm U_{1,i+\frac{1}{2} \pm \frac{1}{2}}.$$

Secondly, the reconstruction of the variables associated to the granular layer is done. Let us denote by  $f_{i+1/2}$  the approximation of the Coulomb friction term. It is defined as follows

$$f_{i+1/2} = \begin{cases} f_c \mu \frac{U_{2,i+1/2}^*}{|U_{2,i+1/2}^*|} & \text{if } |U_{2,i+1/2}^*| > f_c \mu \Delta t, \\ \frac{U_{2,i+1/2}^*}{\Delta t} & \text{otherwise,} \end{cases}$$

where

$$f_c = g \cos \theta (1 - r), \quad \text{and} \quad U_{2,i+1/2}^* = \frac{U_{2,i} + U_{2,i+1}}{2} - f_c \frac{\Delta t}{\Delta x} \left( \Delta z_1 + \frac{\xi r}{1 - r} (H_{1,i+1/2}^+ - H_{1,i+1/2}^-) \right).$$

This approximation of the Coulomb friction term is considered in the definition of the hydrostatic reconstruction of  $H_2$ , defined as follows:

$$H_{2,i+1/2}^- = \max(H_{2,i} - \max(\Delta z_2, 0), 0), \quad H_{2,i+1/2}^+ = \max(H_{2,i+1} - \max(-\Delta z_2, 0), 0)$$

where

$$\Delta z_2 = (\tilde{b}_{i+1} - \tilde{b}_i) + \frac{\Delta x}{\cos \theta} \left( \frac{f_{i+1/2}}{f_c} + \tan \theta \right).$$

Finally, the solid discharge reconstruction is defined by

$$Q_{2,i+1/2}^\pm = H_{2,i+1/2}^\pm U_{2,i+\frac{1}{2} \pm \frac{1}{2}}$$

and the reconstructed vector of unknowns will be denoted as

$$W_{i+1/2}^\pm = (\mathcal{H}_{1,i+1/2}^\pm, \mathcal{Q}_{1,i+1/2}^\pm, H_{2,i+1/2}^\pm, Q_{2,i+1/2}^\pm)^T.$$

By using these definitions of the state reconstructions we consider the following definition of the numerical flux:

$$\begin{aligned} \tilde{\mathcal{F}}_{i+1/2} &= \frac{1}{2} \left( \mathcal{F}(W_{i+1/2}^+) + \mathcal{F}(W_{i+1/2}^-) \right) \\ &\quad - \frac{1}{2} \left( \alpha_{0,i+1/2} (W_{i+1/2}^+ - W_{i+1/2}^-) + \alpha_{1,i+1/2} (\mathcal{F}(W_{i+1/2}^+) - \mathcal{F}(W_{i+1/2}^-) + \mathcal{S}_{i+1/2}) \right), \end{aligned}$$

where

$$\mathcal{F}(W) = \begin{pmatrix} H_1 U_1 \\ H_1 U_1^2 \\ H_2 U_2 \cos \theta \\ H_2 U_2^2 \cos \theta \end{pmatrix}$$

and

$$\mathcal{S}_{i+1/2} = \begin{pmatrix} 0 \\ g \frac{H_{1,i} + H_{1,i+1}}{2} (H_{1,i+1/2}^+ - H_{1,i+1/2}^-) \\ 0 \\ g(1-r) \cos \theta \frac{H_{2,i} + H_{2,i+1}}{2} \left( (1-r) \cos \theta (H_{2,i+1/2}^+ - H_{2,i+1/2}^-) + \xi r (H_{1,i+1/2}^+ - H_{1,i+1/2}^-) \right) \end{pmatrix}.$$

Moreover,

$$\mathcal{S}_i = \frac{1}{2} (\mathcal{S}_{i-1/2} + \mathcal{S}_{i+1/2}) + \frac{1}{2} (C_{i-1/2} + C_{i+1/2}),$$

where

$$C_{i+1/2} = \begin{pmatrix} -\frac{H_{1,i+1} + H_{1,i}}{2} \frac{Q_{2,i+1} - Q_{2,i}}{\Delta x} \cos \theta \sin \theta \\ -\frac{H_{1,i+1} U_{1,i+1} + H_{1,i} U_{1,i}}{2} \frac{Q_{2,i+1} - Q_{2,i}}{\Delta x} \cos \theta \sin \theta \\ 0 \\ 0 \end{pmatrix}.$$

This definition corresponds to the application of the hydrostatic reconstruction to the HLL-PVM-1U method introduced in [11]. Moreover, for the hydrostatic reconstruction, based in a reconstruction in terms of the gradient pressure appearing in the energy of the model, we have used the following equality:

$$\partial_X \left( b + (H_2 + \tilde{b}) \cos \theta + r H_1 \right) = (1-r) \partial_X \left( b + (H_2 + \tilde{b}) \cos \theta \right) + r \partial_X \left( b + (H_2 + \tilde{b}) \cos \theta + H_1 \right).$$

Note that the last term is the gradient of the water free surface, defined in terms of the hydrostatic reconstruction of the fluid layer. The first term is discretized by the definition of the hydrostatic reconstruction for the second layer. The first and second components of  $C_{i+1/2}$  are discretizations of the terms  $H_1 \partial_t H_2 \sin \theta$  and  $H_1 U_1 \partial_t H_2 \sin \theta$ , respectively.

Finally, the HLL-PVM-1U method is defined by the following two coefficients,

$$\begin{aligned} \alpha_{0,i+1/2} &= (S_{R,i+1/2} |S_{L,i+1/2}| - S_{L,i+1/2} |S_{R,i+1/2}|) / (S_{R,i+1/2} - S_{L,i+1/2}), \\ \alpha_{1,i+1/2} &= (|S_{R,i+1/2}| - |S_{L,i+1/2}|) / (S_{R,i+1/2} - S_{L,i+1/2}), \end{aligned}$$

where the characteristic velocities  $S_{L,i+1/2}$  and  $S_{R,i+1/2}$  are defined as an approximation of the bounds of the eigenvalues given by (38), namely,

$$S_{L,i+1/2} = \frac{\bar{U}_i - \Psi_i}{\mathcal{J}_i}, \quad S_{R,i+1/2} = \frac{\bar{U}_{i+1} - \Psi_{i+1}}{\mathcal{J}_{i+1}},$$

where

$$\bar{U}_i := \frac{U_{1,i} + \mathcal{J}_i^n U_{2,i} \cos \theta}{2} \quad \text{and} \quad \Psi_i = \sqrt{\frac{3}{2}} \left( \frac{1}{2} (U_{1,i} - \mathcal{J}_i U_{2,i} \cos \theta)^2 + g H_{2,i} (\cos \theta)^3 \mathcal{J}_i^2 + g H_{1,i} \right)^{1/2}.$$

This numerical method satisfies the following well-balanced properties, corresponding to two kind of steady solutions. The first one corresponds to the classical one consisting of water at rest solution without movement of the granular layer, defined by

$$U_1 = 0, \quad U_2 = 0, \quad \partial_X \left( H_1 + b + (H_2 + \tilde{b}) \cos \theta \right) = 0, \quad \left| \partial_X \left( b + (H_2 + \tilde{b}) \cos \theta \right) \right| \leq \mu.$$

The second one corresponds to the case in which the fluid is not at rest but the granular layer does not move. That occurs when

$$U_2 = 0 \quad \text{and} \quad \left| \partial_X \left( b + (H_2 + \tilde{b}) \cos \theta + \frac{\xi r}{1-r} (H_1 + b + (H_2 + \tilde{b}) \cos \theta) \right) \right| \leq \mu.$$

Note that this second solution depends on the rigid lid hypothesis. Without this hypothesis, by setting  $\xi = 1$ , the gradient of the water free surface can produce the movement of the granular layer in some cases.

**THEOREM 2.** *The numerical method defined in this section preserves:*

- (i) *exactly steady state solutions corresponding to constant water free surface with granular free surface with a slope smaller than  $\mu$ , if the initial condition is defined veyfying*

$$U_{i,1}^0 = U_{2,i}^0 = 0, \quad (\tilde{b}_{i+1} - \tilde{b}_i + H_{2,i+1}^0 - H_{2,i}^0) \cos \theta + \Delta x \tan \theta + H_{1,i+1} - H_{1,i} = 0,$$

$$\frac{1}{\Delta x} \left| (\tilde{b}_{i+1} - \tilde{b}_i + H_{2,i+1}^0 - H_{2,i}^0) \cos \theta + \Delta x \tan \theta \right| \leq \mu.$$

- (ii) *exactly steady states of the granular layer, even for the case in which the fluid is not at rest, if*

$$U_2^n = 0, \quad \frac{1}{\Delta x} \left| (\tilde{b}_{i+1} - \tilde{b}_i + H_{2,i+1}^n - H_{2,i}^n) \cos \theta + \Delta x \tan \theta \right. \\ \left. + \frac{\xi r}{1-r} ((\tilde{b}_{i+1} - \tilde{b}_i + H_{2,i+1}^n - H_{2,i}^n) \cos \theta + \Delta x \tan \theta + H_{1,i+1} - H_{1,i}) \right| \leq \mu.$$

The proof is straightforward: it is enough to see that under the above conditions we obtain that  $\tilde{\mathcal{F}}_{i+1/2} = 0$  and  $\mathcal{S}_i = 0$  in the case (i); for the case (ii). this equality is deduced for the third and fourth components of  $\tilde{\mathcal{F}}_{i+1/2}$  and  $\mathcal{S}_i$ .

We cannot prove the positivity of the numerical method, even though the numerical tests have not shown any problem of negative heights. A way to obtain the positivity could be to develop a Suliciu type solver (see [4]).

## 4 Numerical tests

The numerical tests have been done with a numerical method based on a hydrostatic reconstruction with a PVM-1U-HLL solver (see [4] and [11]) defined in terms of the bounds of the eigenvalues given by (38).

In this section, we present three numerical tests. In the first test, the influence of the choice of the slope of the reference plane is analysed for the cases of dry, submerged and aerial avalanches. In the second test, the system is written in a non dimensional form in order to study the effect of two aspect ratios on the spreading and deposit of the avalanche and on the maximum water wave generated at the free surface. Finally, the interaction of an initially aerial avalanche with the fluid is shown in the third test to check that the model properly deals with wet/dry fronts.

### 4.1 Test 1: Influence of the slope of the reference plane

The goal of this test is to show the flow dynamics obtained for each coordinate system in the cases they are designed for and, subsequently, to quantify the error made in other situations.

As initial condition, we consider a granular mass released on an inclined plane of slope  $\alpha$  (see Figure 4 for sketch and notation) that will flow down-slope. The initial constant upper surface of the granular layer is denoted by  $h_{s0}$  and its vertical thickness is maximum at point  $x_0$ . We simulate four cases: (A) a dry granular mass without a fluid layer over an inclined plane, (B) a dry granular mass over two planes (inclined/horizontal), (C) a submerged granular mass inside a fluid layer with initial free surface height equal to 1 m, and (D) an initially sub-aerial granular mass that will enter a fluid layer of initial free surface height equal to 0.6 m. For cases (C) and (D) the bottom is defined as two planes, inclined/horizontal, (see Figure 4). The experiments have been carried out for two different slopes: the slope  $\alpha = 35^\circ$ , with  $x_0 = 1$  m and  $h_{s0} = 0.96$  m; and the slope  $\alpha = 45^\circ$ , with  $x_0 = 0.6$  m and  $h_{s0} = 0.9$  m.

The parameters involved in the model have been chosen as follows: the densities of the fluid and granular layers are  $\rho_1 = 1000\text{kg/m}^3$  and  $\rho_2 = 1900\text{kg/m}^3$ , respectively, thus providing  $r = 0.5263$ . The friction coefficient between the layers is  $\gamma = 0.001$  and, at the bottom, the Coulomb friction law is used with a friction coefficient  $\mu = \tan 28^\circ$ , except in case A in which  $\mu = \tan 38^\circ$  (respectively,  $\mu = \tan 48^\circ$ ) when  $\alpha = 35^\circ$  (respectively,  $\alpha = 45^\circ$ ).

For the coordinate system, we test different values for the slope  $\theta$  of the reference plane:  $\theta = \alpha$ , that corresponds to the slope of the inclined plane,  $\theta = 0^\circ$  (i.e., Cartesian coordinates), that corresponds to the slope of the horizontal plane, and an intermediate value  $\theta = \alpha/2$ .

The results are obtained by using  $\Delta x = 0.005$  m in the discretization of the domain  $[-2 \text{ m}, 2 \text{ m}]$ . The CFL condition is 0.5.

Let us first investigate the effect of the chosen coordinate system on dry granular flows (Figures 4a-4b) by comparing our numerical results to those of the code SHALTOP, specially designed to accurately describe topography variations in shallow depth-averaged models [8, 9, 33, 32, 10]. This model can be written in a Cartesian coordinate system but the equations are derived by applying the shallow approximation and depth-averaging in the direction normal to the slope. As a result, it describes flows over an arbitrary topography and contains the whole curvature tensor of the terrain. This comparison is also made for the initial submerged test (Figure 4c) by considering the reduced gravity approximation,

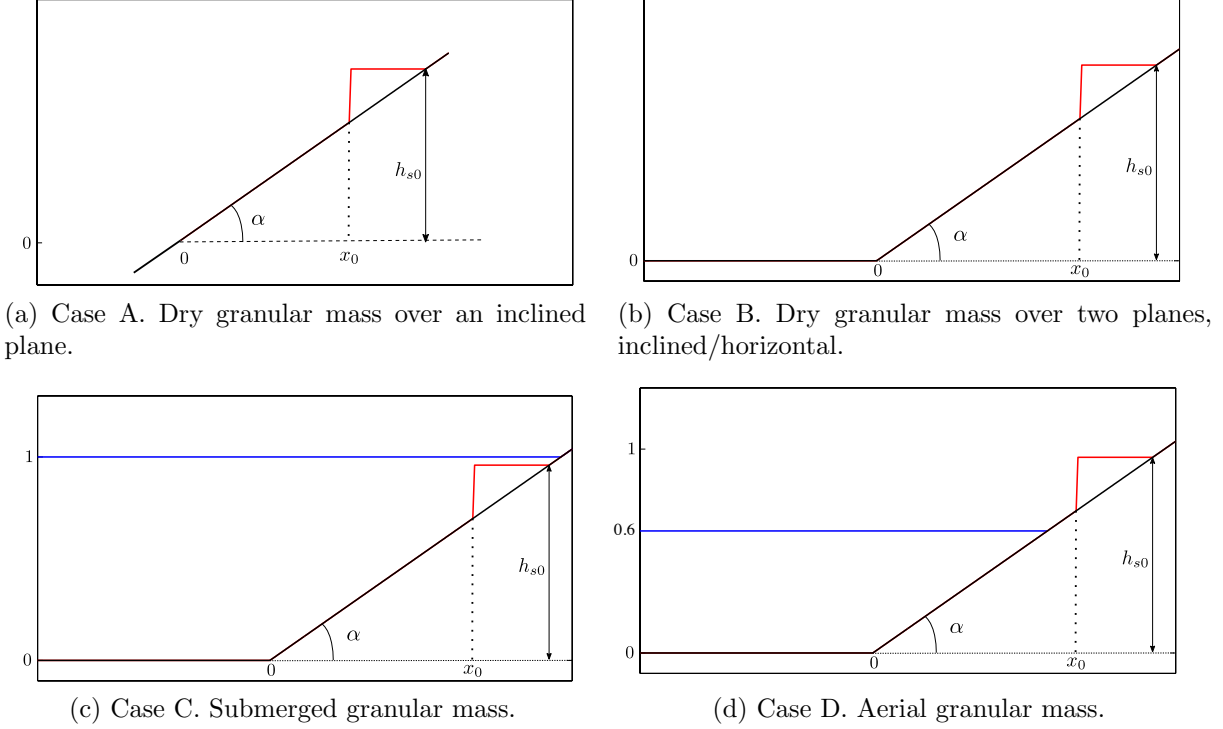


Figure 4: Test 1. Initial conditions for the studied cases.

i.e., by replacing  $g$  with  $(1 - r)g$  in SHALTOP as explained in [10].

Figures 5, 6, 7 and 8 show the final deposit for each case. The difference between the coordinate systems is obviously higher for  $\alpha = 45^\circ$  than for  $\alpha = 35^\circ$ .

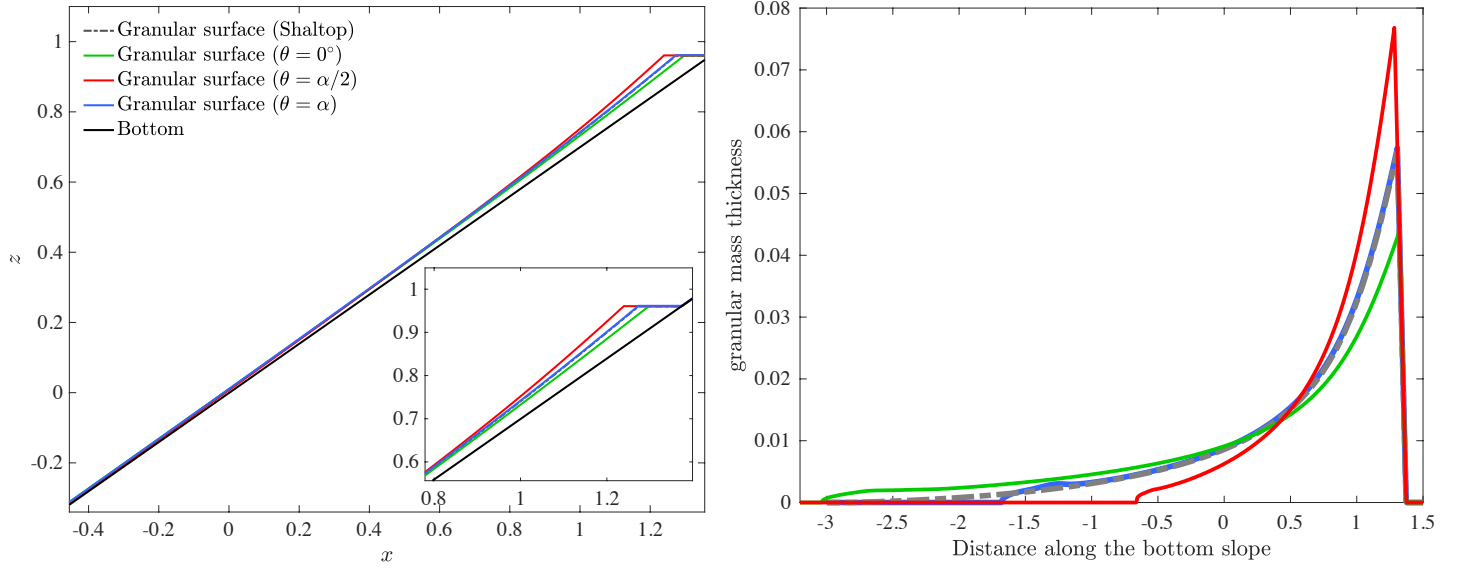
Figures 5, 6, 7 and 8 show the final deposit for each case. The difference between the coordinate systems is obviously higher for  $\alpha = 45^\circ$  than for  $\alpha = 35^\circ$ . Overall, the deposit obtained for  $\theta = \alpha$  goes further, followed by the solution obtained with Cartesian coordinates and at last by the intermediate slope solution. Interestingly, the behavior is not the same during the flow on the inclined plane as observed on Figures 6a, 6b, 7a and 7b. Contrary to the deposit, the faster solution is obtained when using the Cartesian coordinates (dashed green line) while the solution for  $\theta = \alpha$  (dashed blue line) remains backward. In particular, for  $\alpha = 45^\circ$ , the granular front already reached the horizontal bottom at these intermediate times for the Cartesian coordinates solution, as opposed to the local coordinates solutions, whose front positions are still on the inclined plane. The smaller velocity obtained for the local coordinates system compared to the Cartesian coordinates system for flows on inclined planes is due to the difference in the driving force related to pressure gradients and Coulomb friction. Indeed, the terms  $gH_2 \cos \theta \partial_X(b + (\tilde{b} + H_2)\cos \theta + rH_1)$  and  $g \operatorname{sgn}(U_2)H_2 \cos \theta(1 - r)\mu$  are not multiplied by  $\cos \theta$  in the Cartesian coordinate system. In particular we can see clearly this effect in Figure 5 corresponding to Case A. In this figures we also show the deposits from the local point of view, that is perpendicular to the bottom plane. We can observe that the solution of the proposed model for  $\theta = \alpha$  coincides with the solution given by SHALTOP. A small difference can be seen at the front position due to a different numerical treatment of the wet/dry transition. Note also that the plotted solution of SHALTOP is obtained by a longitudinal cut of the 2D provided solution. For the Cartesian model the front position

is overestimated and contrarily the solution obtained with  $\theta = \alpha/2$  is underestimated, as expected.

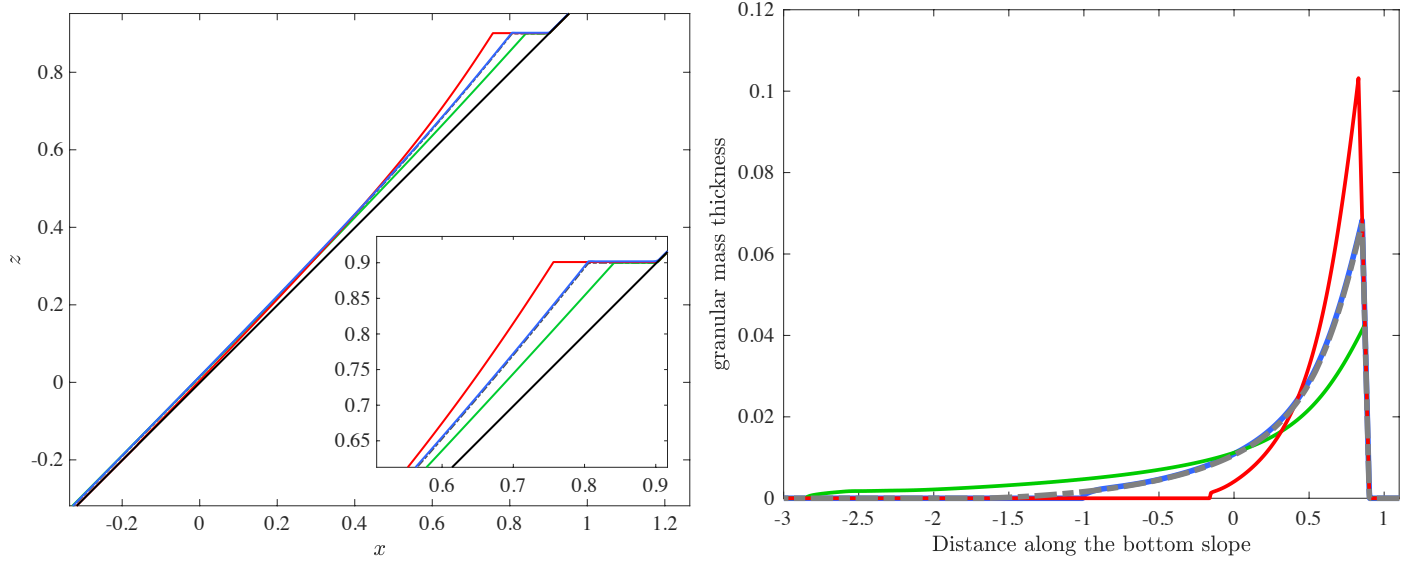
The results obtained are not surprising regarding the cases  $\theta = 0^\circ$  and  $\theta = \alpha$ . The idea behind the local coordinates is to better capture the evolution of the material when it travels over the inclined plane of slope  $\alpha$ , since the computed velocity is parallel to the reference plane. Thus, the solution provided by the model with  $\theta = \alpha$  will be suitable for the evolution of the mass along the inclined plane, but inappropriate once the material reaches the horizontal part. Analogously, the model in Cartesian coordinates works properly on the horizontal part of the bottom but does not provide a good approximation for the inclined evolution of the granular mass because the computed velocity is horizontal. If we look at the comparison with the reference solution provided by SHALTOP, we can see that the flow on the inclined plane is well reproduced with  $\theta = \alpha$  as expected, contrary to  $\theta = \alpha/2$  and  $\theta = 0^\circ$ . However, the best approximation of the deposit is obtained with  $\theta = \alpha/2$ . In particular, when  $\alpha = 35^\circ$ , the solution obtained for  $\theta = \alpha/2$  is the only one that retains mass at the rear part of the avalanche over the inclined plane (see Figures 6a, 7a, 8). On the contrary, when  $\alpha = 45^\circ$ , none of the solution reproduce the retained mass simulated by SHALTOP (see Figures 6b, 7b), although the closer one is again the solution obtained for  $\theta = \alpha/2$ .

The change in granular flow dynamics obtained with these different coordinate systems has a strong impact on the generated water waves as shown for the submerged case in Figures 7a, 7b and for the aerial case in Figure 8. In the submerged case, the difference is again larger when the slope is steeper. In the aerial case, there is a big difference between the solutions obtained with the Cartesian and the proposed local coordinate system.

One of the most interesting conclusion of these results is that the solution obtained for a reference plane with slope  $\theta$  between  $0^\circ$  and the maximum inclination of the bottom gives the most reasonable solution globally (from the inclined to the horizontal part of the bottom) when compared to SHALTOP. In particular, it is able to keep part of the mass on the inclined plane while other solutions ( $\theta = 0^\circ$  or  $\theta = \alpha$ ) could not.



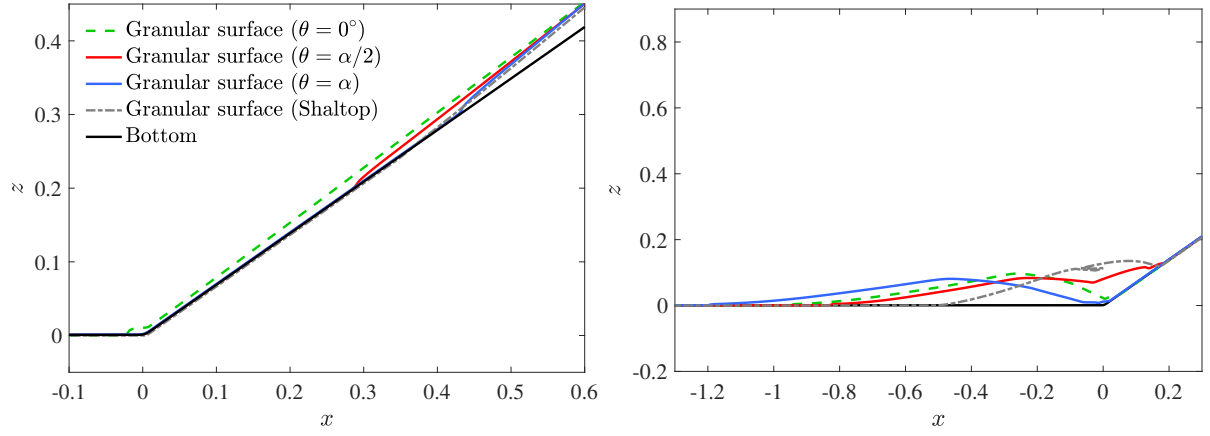
(a)  $\alpha = 35^\circ$ .



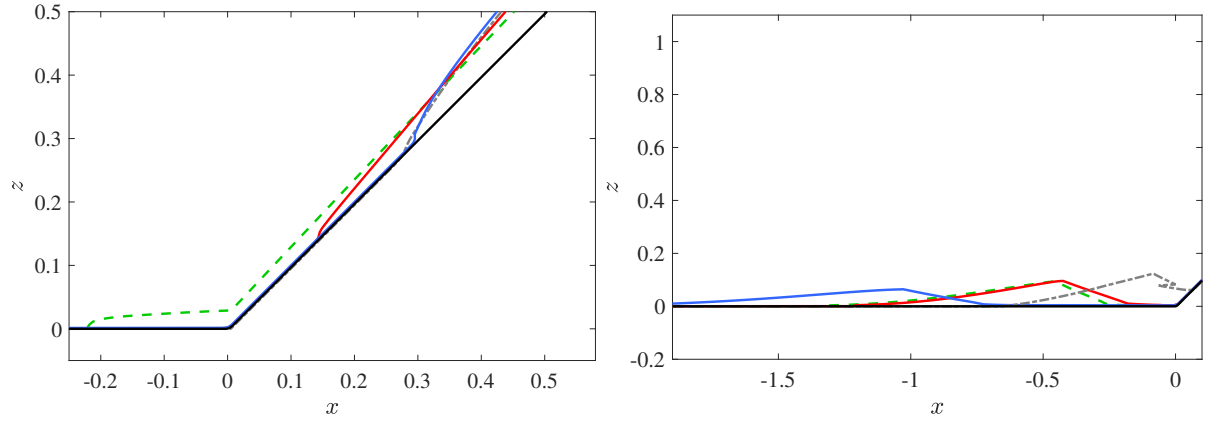
(b)  $\alpha = 45^\circ$

Figure 5: Test 1. Case A: dry granular mass over an inclined plane. Figures on the left show the deposits and zoom of the rear part. In figures on the right, the thickness of the granular mass is measured perpendicular to the bottom for each case.



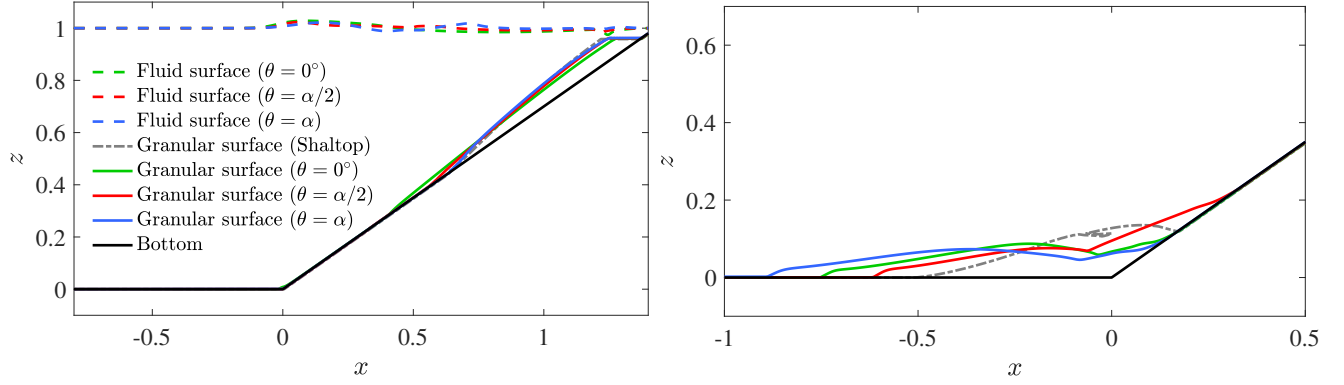


(a)  $\alpha = 35^\circ$ . Left, evolution of the avalanches on the inclined plane ( $t = 0.4$  s). Right, deposits.

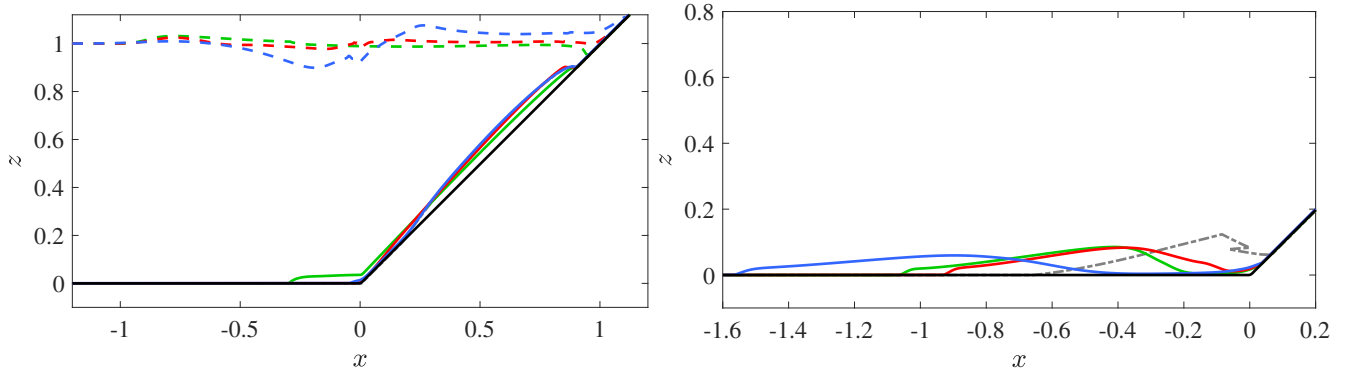


(b)  $\alpha = 45^\circ$ . Left, evolution of the avalanches on the inclined plane ( $t = 0.3$  s). Right, deposits.

Figure 6: Test 1. Case B: dry granular mass over inclined/horizontal planes.



(a)  $\alpha = 35^\circ$ . Left, evolution of the avalanches on the inclined plane ( $t = 0.4$  s). Right, deposits.



(b)  $\alpha = 45^\circ$ . Left, evolution of the avalanches on the inclined plane ( $t = 0.5$  s). Right, deposits.

Figure 7: Test 1. Case C: submerged granular mass over inclined/horizontal planes.

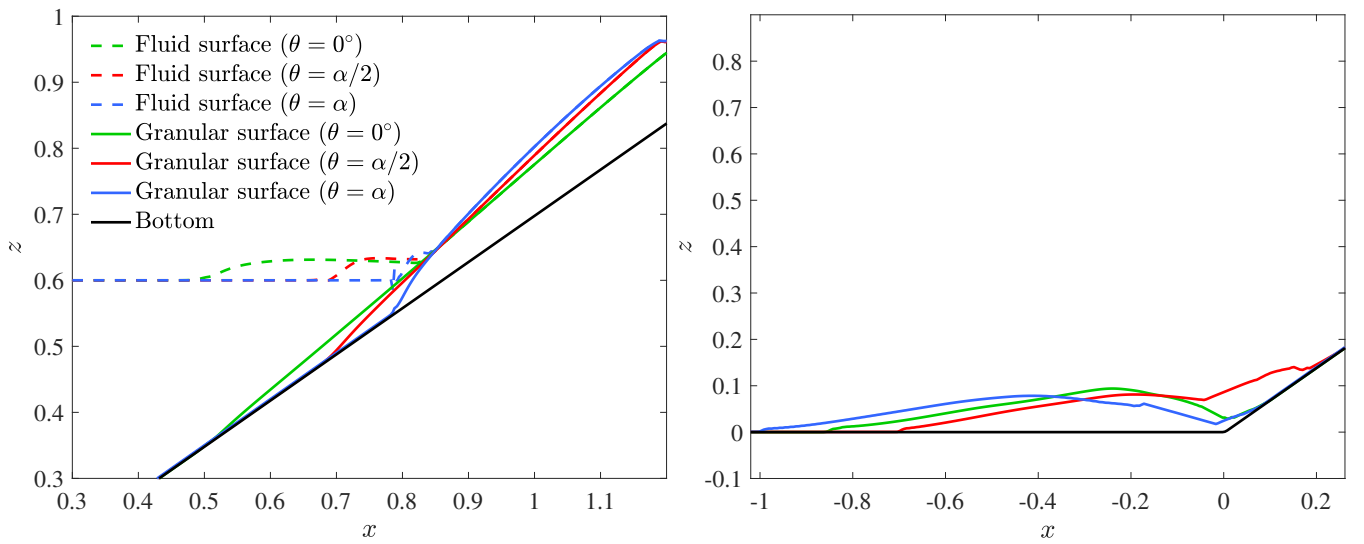


Figure 8: Test 1. Case D: aerial granular mass,  $\alpha = 35^\circ$ . Left, evolution of the avalanches on the inclined plane ( $t = 0.3$  s). Right, deposits.

## 4.2 Test 2: Sensitivity of the models to characteristic aspect ratios

In this section, we study the dynamics and deposits of the avalanche over an inclined plane of slope  $\alpha$  and the generated water waves for different geometry of the initial granular mass and for different initial location (i.e., depth) of this mass within the water layer. For each case, we compare the solution of the model proposed in Section 2 ( $\theta = \alpha$ ) with that obtained for Cartesian coordinates ( $\theta = 0^\circ$ ). Figure 9 shows the initial condition in which the granular mass, located on the inclined plane upwards from the fixed point  $R$ , is a triangle whose upper side is horizontal. Let us denote by  $\mathcal{H}_1$  the distance from the initial higher level of the granular mass to the initial level of the water free surface, by  $\mathcal{L}_2$  the length of the base of the granular mass along the slope and by  $\mathcal{H}_2$  the height of the triangle normal to the bottom. We also introduce  $K_b$  as a characteristic height of the bed. By setting

$$a_H = \frac{\mathcal{H}_1}{\mathcal{H}_2}, \quad a_2 = \frac{\mathcal{H}_2}{\mathcal{L}_2} \quad \text{and} \quad a_b = \frac{K_b}{\mathcal{L}_2},$$

it is possible to obtain a scaling of the equations in such a way that the generic solution of the dimensionless system only depends on  $a_H$ ,  $a_2$  and  $a_b$  and not on the mass nor the acceleration due to gravity  $g$ . Hence, the following change of variable is proposed:

$$X = \mathcal{L}_2 \bar{X}, \quad t = \sqrt{\frac{\mathcal{L}_2}{g}} \bar{t}, \quad H_1 = \mathcal{H}_1 \bar{H}_1, \quad H_2 = \mathcal{H}_2 \bar{H}_2,$$

$$U_1 = \sqrt{g\mathcal{H}_1} \bar{U}_1, \quad U_2 = \sqrt{g\mathcal{H}_2} \bar{U}_2, \quad b = K_b \bar{b}, \quad \tilde{b} = K_b \bar{\tilde{b}}, \quad \gamma = \sqrt{g\mathcal{H}_2} \bar{\gamma}.$$

If we denote  $\bar{\mathcal{J}} = 1 - a_2 \partial_{\bar{X}} \left( \frac{a_b \bar{\tilde{b}}}{a_2} + \bar{H}_2 \right) \sin \theta$ , system (35) is written as follows:

$$\left\{ \begin{array}{l} \partial_{\bar{t}}(\bar{H}_1 \bar{\mathcal{J}}) + \sqrt{a_2} \partial_{\bar{X}} \left( \bar{H}_1 (\sqrt{a_H} \bar{U}_1 + \sqrt{a_2} \partial_{\bar{t}} \bar{H}_2 \sin \theta) \right) = 0, \\ \partial_{\bar{t}}(\bar{H}_1 \bar{U}_1 \bar{\mathcal{J}}) + \sqrt{a_2} \partial_{\bar{X}} \left( \bar{H}_1 \bar{U}_1 (\sqrt{a_H} \bar{U}_1 + \sqrt{a_2} \partial_{\bar{t}} \bar{H}_2 \sin \theta) \right) = \\ = -\frac{1}{\sqrt{a_H a_2}} \bar{H}_1 \partial_{\bar{X}} \left( a_b b + (a_b \bar{\tilde{b}} + a_2 \bar{H}_2) \cos \theta + a_H a_2 \bar{H}_1 \right) - \frac{1}{a_H \sqrt{a_2}} \bar{\mathcal{J}} \bar{\gamma} \left( \bar{\mathcal{J}} \bar{U}_1 \cos \theta - \frac{1}{\sqrt{a_H}} \bar{U}_2 \right) \\ \partial_{\bar{t}} \bar{H}_2 + \sqrt{a_2} \cos \theta \partial_{\bar{X}} (\bar{H}_2 \bar{U}_2) = 0 \\ \partial_{\bar{t}} (\bar{H}_2 \bar{U}_2) + \sqrt{a_2} \cos \theta \partial_{\bar{X}} (\bar{H}_2 \bar{U}_2^2) = \\ = -\frac{1}{\sqrt{a_2}} \bar{H}_2 \cos \theta \partial_{\bar{X}} \left( a_b b + (a_b \bar{\tilde{b}} + a_2 \bar{H}_2) \cos \theta + r a_H a_2 \bar{H}_1 \right) - \frac{1}{\sqrt{a_2}} \text{sgn}(\bar{U}_2) \bar{H}_2 \cos \theta (1 - r) \mu \\ + \frac{1}{\sqrt{a_2}} r \bar{\gamma} (\sqrt{a_H} \bar{\mathcal{J}} \bar{U}_1 \cos \theta - \bar{U}_2) \end{array} \right.$$

Several tests are performed for different values of these aspect ratios. The bottom is determined by the fixed point  $R = (R_1, R_2)$  and slope  $\alpha$ . We have considered three bottom slopes  $\alpha = 10^\circ$ ,  $\alpha = 20^\circ$  and  $\alpha = 30^\circ$ . For a fixed  $\alpha$ , the initial condition is defined by setting the values of  $a_2$  and  $a_H$ , related

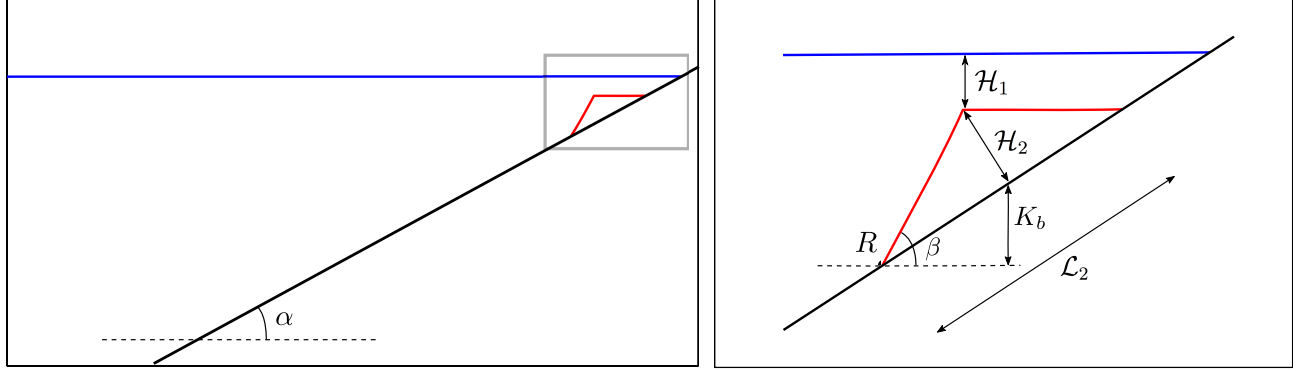


Figure 9: Test 2. Initial condition. Image on the right show the section boxed in the plot on the left

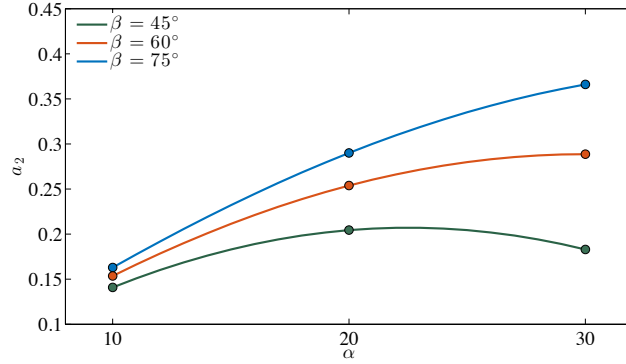


Figure 10: Test 2.  $a_2$  in terms of  $\alpha$  for three values of  $\beta$

to the initial shape of the granular mass and to the initial level of the fluid free surface with respect to the granular mass, respectively. The following relation, derived easily after a glance at Figure 9, allows to relate the slope  $\beta$  of the granular mass to the bottom slope  $\alpha$  and to the aspect ratio  $a_2$ :

$$a_2 = a_2(\alpha, \beta) = \frac{\tan(\alpha) \tan(\beta - \alpha)}{\tan(\alpha) + \tan(\beta - \alpha)}.$$

We have chosen angles  $\beta = 45^\circ$ ,  $\beta = 60^\circ$  and  $\beta = 75^\circ$ . Figure 10 exhibits how  $a_2$  changes in terms of  $\alpha$  for the three considered values of  $\beta$ . We observe that higher values of  $a_2$  are reached for bigger values of  $\beta$ . Three possibilities are considered for the aspect ratio  $a_H$ :  $a_H = 0.5$ ,  $a_H = 1$  and  $a_H = 2$ .

The parameters involved in the model have been chosen as follows: the density ratio is  $r = 0.3$ , the friction coefficient between layers is  $\gamma = 0.001$  and, at the bottom, the Coulomb friction law is used with a friction coefficient  $\mu = \tan 35^\circ$ .

For comparison, we simulate the solutions with  $\theta = 0^\circ$  and  $\theta = \alpha$ . The results are obtained by using  $\Delta x = 0.005$  and the CFL condition is 0.9.

In Figure 11, we present the evolution in time of the front of the avalanche. The distance travelled by the front is

$$\bar{d}_{\text{front}} = \frac{R_1 - \bar{X}_{\text{front}}}{\cos \alpha},$$

where  $\bar{X}_{\text{front}}$  denotes the Cartesian abscissa of the front of the avalanche. Regardless of the values of  $\alpha$  and  $\beta$ , the faster solutions are those corresponding to the model in Cartesian coordinates ( $\theta = 0^\circ$ ) as mentioned in Section 4.1. We can also observe that, the bigger  $a_H$ , the smaller is the avalanche front travelled distance, except for the initial times where fronts travel faster are obtained for bigger  $a_H$ .

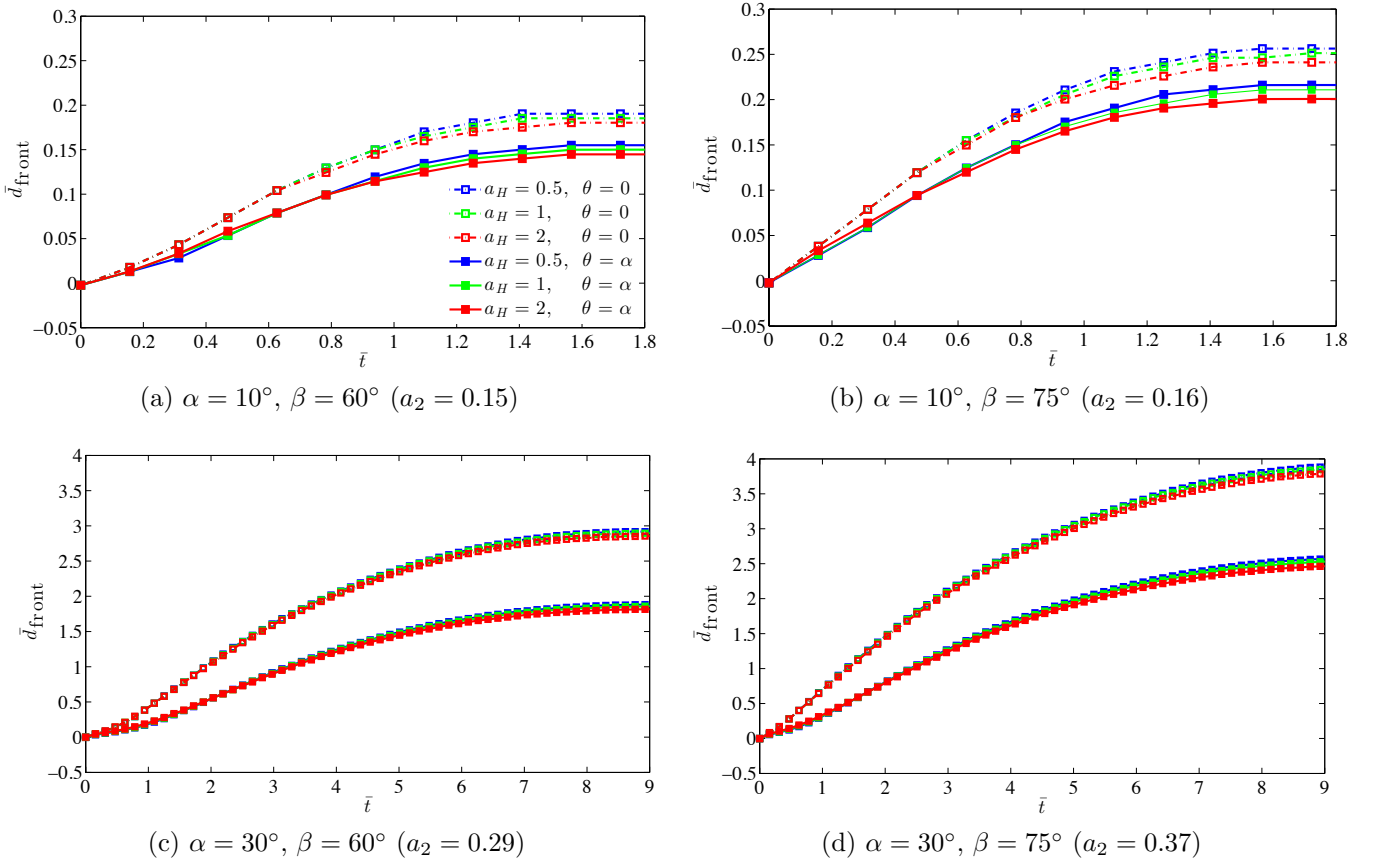


Figure 11: Test 2. Evolution in time of  $\bar{d}_{\text{front}}$ .

Let us now compare the time evolution of the maximum height of the generated water wave for both models. For a fixed time  $\bar{t}$ , the fluid free surface perturbation at  $\bar{X}$  is

$$\bar{\eta}(\bar{X}, \bar{t}) = \bar{\mathcal{S}}(\bar{X}, \bar{t}) - \bar{\mathcal{S}}(\bar{X}, 0),$$

where

$$\begin{aligned} \bar{\mathcal{S}}(\bar{X}, \bar{t}) &= a_b b + (a_b \bar{b}(\bar{X}) + a_2 \bar{H}_2(\bar{X}, \bar{t})) \cos \theta + a_H a_2 \bar{H}_1(\bar{X}, \bar{t}) \\ &= \frac{1}{\mathcal{L}_2} \left( b + (\bar{b}(X) + H_2(X, t)) \cos \theta + H_1(X, t) \right). \end{aligned}$$

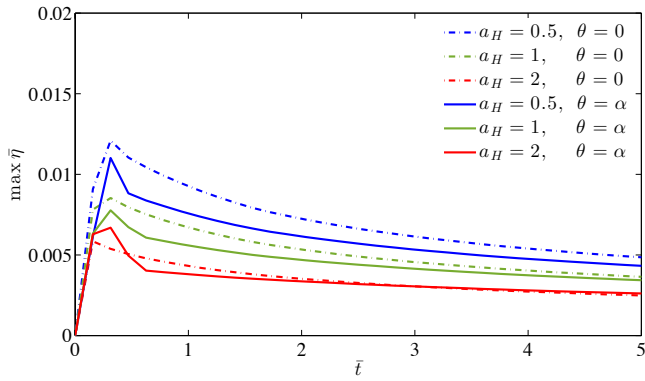
Figure 12 shows the time evolution of  $\max_{\bar{X}} \bar{\eta}(\bar{X}, \cdot)$ . As we can see, this function has a maximum precisely when the avalanches starts to fall off. We can observe that this maximum perturbation is bigger the lower  $a_H$  is, both for  $\theta = 0^\circ$  and  $\theta = \alpha$ . In general, the maximum water height generated by the avalanches simulated with  $\theta = 0^\circ$  (dashed lines) are bigger than with  $\theta = \alpha$  (continuous lines).

Note that, even in some cases, the maximum perturbation obtained for  $\theta = 0^\circ$  reaches the same level than that calculated for  $\theta = \alpha$  with a smaller value of the aspect ratio  $a_H$ . For instance, Figure 12(c) and (d) show that, for  $\alpha = 30^\circ$ , the maximum level of the continuous blue line is overcome both by the dashed green line ( $\theta = 0^\circ$  and  $a_H = 1$ ) and by the dashed red line ( $\theta = 0^\circ$  and  $a_H = 2$ ). We can also observe that the maximum water perturbation generated by avalanches obtained with  $\theta = \alpha$  are time-delayed with respect to that corresponding to  $\theta = 0^\circ$ . These observations are essentially related to the faster granular flow obtained for  $\theta = 0^\circ$  compared to  $\theta = \alpha$ .

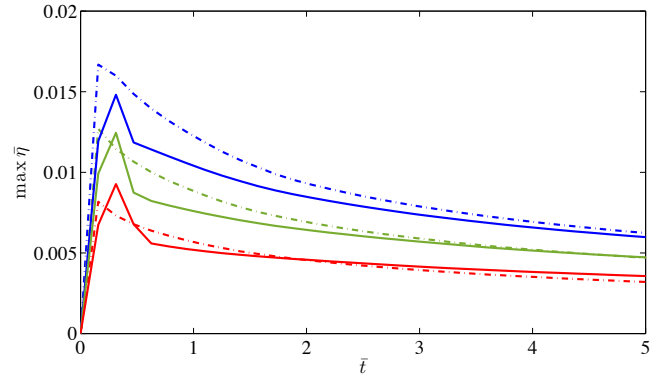
Finally, in Figure 13 we show the relative errors obtained when considering  $\theta = 0^\circ$  compared to the appropriate case  $\theta = \alpha$  for several values of interest, namely, the maximum in time of: the travelling distance ( $\bar{d}_{\text{front}}$ ), the velocity at the front of the avalanche ( $\bar{U}_2(\text{front})$ ), the maximum water perturbation ( $\max \bar{\eta}$ ) and the avalanche center of mass ( $C_M$ ). For each of these values  $f$ , the relative error is calculated by  $\frac{|f(\theta=\alpha)-f(\theta=0^\circ)|}{|f(\theta=\alpha)|}$ . We show the results for  $\beta = 60^\circ$ , for two values of the aspect ratio  $a_H = 0.5, 2$  and for several values of  $\alpha = 10^\circ, 13^\circ, 16^\circ, 20^\circ, 23^\circ, 26^\circ, 30^\circ$ .

Overall, the error increases with the slope of the bottom  $\alpha$ , as expected. It can reach up to 60% for the avalanche front position and velocity. Interestingly, the computed errors for the center of mass in Figure 13d coincides for the two values of  $a_H$  while the error is slightly bigger for the case  $a_H = 2$  for both the travelling distance and the velocity at the front. Furthermore, the error made on the center of mass calculation is much smaller (about 5% for slope angle  $\alpha = 30^\circ$ ). Nevertheless, the behavior is quite different for the maximum water surface perturbation (Figure 13c) where we can see a strong increase of the error for values of  $\alpha$  bigger than  $26^\circ$  in the case of  $a_H = 0.5$  while the error remains of the same order of magnitude for  $a_H = 2$ .

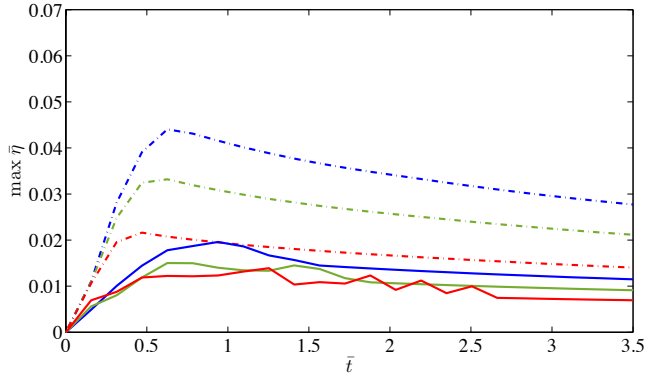
As a result, strong errors on the avalanche dynamics, deposit and generated water waves are made when using Cartesian coordinates as for example in [15] instead of local coordinates as proposed here.



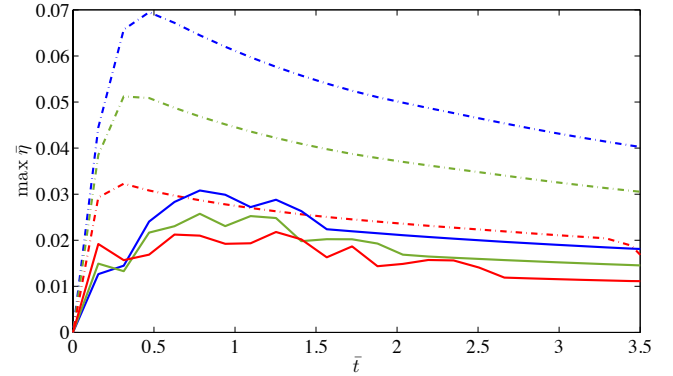
(a)  $\alpha = 10^\circ, \beta = 60^\circ$  ( $a_2 = 0.15$ )



(b)  $\alpha = 10^\circ, \beta = 75^\circ$  ( $a_2 = 0.16$ )

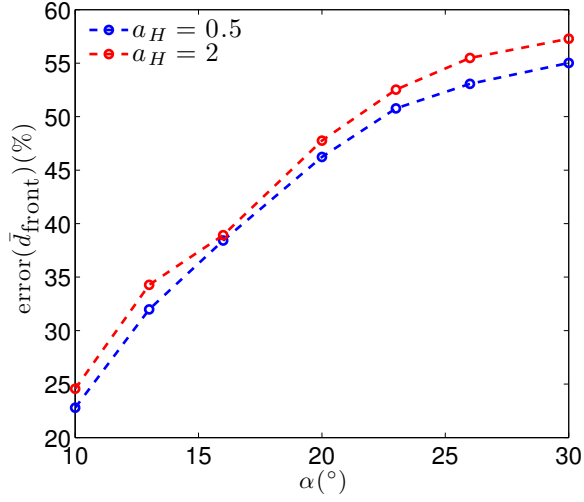


(c)  $\alpha = 30^\circ, \beta = 60^\circ$  ( $a_2 = 0.29$ )

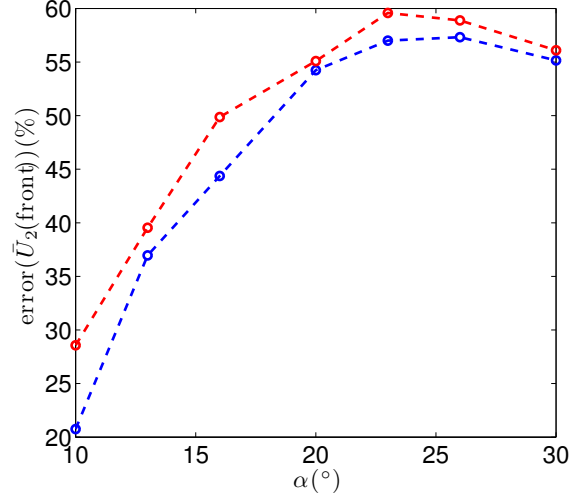


(d)  $\alpha = 30^\circ, \beta = 75^\circ$  ( $a_2 = 0.37$ )

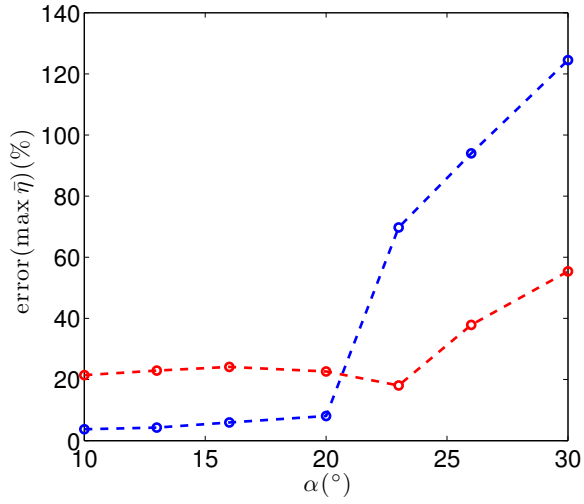
Figure 12: Test 2. Time evolution of  $\max_{\bar{X}} \bar{\eta}$ .



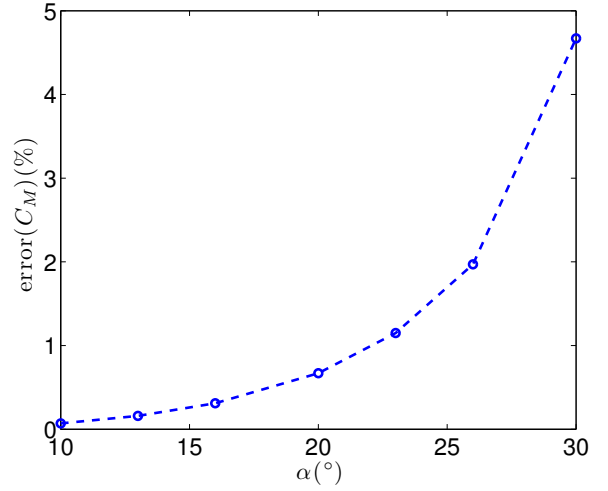
(a) Relative error of  $\max_t(\bar{d}_{\text{front}})$



(b) Relative error of  $\max_t(\bar{U}_2(\text{front}))$



(c) Relative error of  $\max_t(\max \bar{\eta})$



(d) Relative error of  $\max_t(C_M)$ , mass center

Figure 13: Test 2. Relative errors for each analysed value  $f$  defined as  $\frac{|f(\theta=\alpha)-f(\theta=0^{\circ})|}{|f(\theta=\alpha)|}$  for  $\beta = 60^{\circ}$  and aspect ratio  $a_H = 0.5, 2$ . For  $f = \max_t\{\bar{d}_{\text{front}}, \bar{U}_2(\text{front}), \max \bar{\eta}, C_M\}$ .



### 4.3 Test 3: Aerial avalanche

In this test we consider the case of an initially sub-aerial granular avalanche that reaches a fluid area. Moreover we added a bump on the bottom to produce non trivial solutions, involving in particular several wet/dry transitions between the avalanche and the fluid. A reference plane with an inclination angle  $\theta = 10^\circ$  is considered. The computation is performed over the interval  $[-3 \text{ m}, 3 \text{ m}]$  with a space step  $\Delta X = 3/250 \text{ m}$  and a CFL parameter equals to 0.5. The fixed bottom topography is determined by the function

$$\tilde{b}(X) = 0.1e^{-X^2/0.01},$$

that is a nearly plane bed with a bump in the middle zone. As in Test 1, we have also set the density ratio to  $r = 0.5263$ , the friction coefficient between the phases to  $\gamma = 0.001$  and a Coulomb friction law for the granular layer with a friction coefficient  $\mu = \tan 28^\circ$ . The initial condition is set to  $U_1(x, 0) = U_2(X, 0) = 0 \text{ m/s}$ , and

$$h_2(X, 0) = \begin{cases} 1 \text{ m}, & \text{if } 1.3 \text{ m} < X < 1.7 \text{ m} \\ 0 \text{ m}, & \text{otherwise} \end{cases}, \quad h_1(x, 0) = \max\{0.2 - \mathcal{I}(x, 0), 0\} \text{ m},$$

where  $\mathcal{I}(x, 0)$  is the ordinate of the interface between the grain and fluid layer at the position  $x$  (see figure 14a).

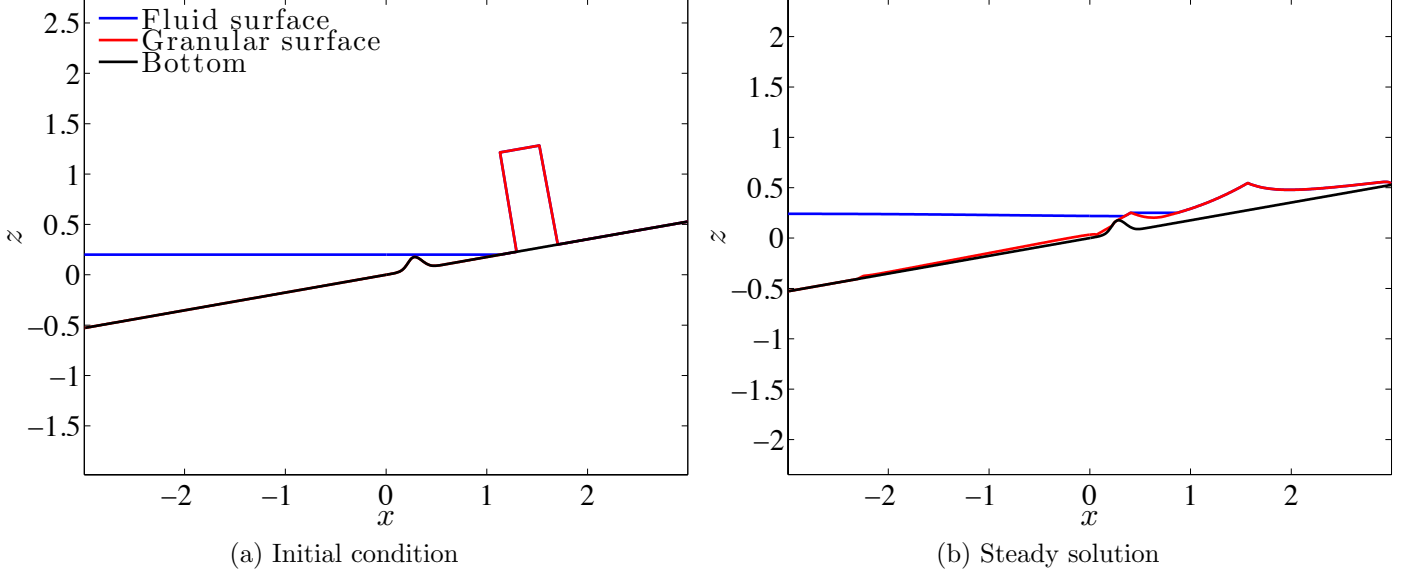
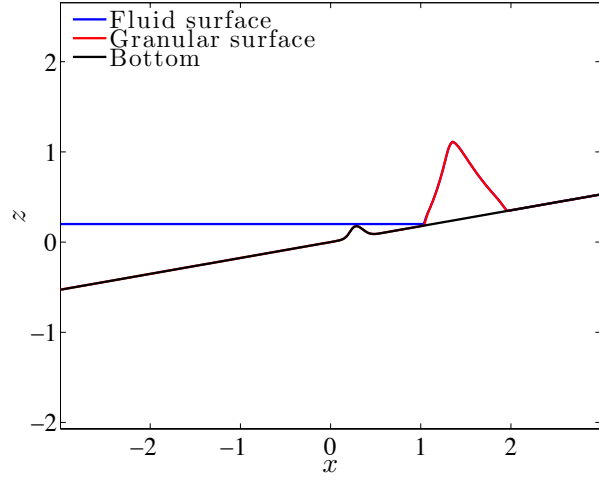
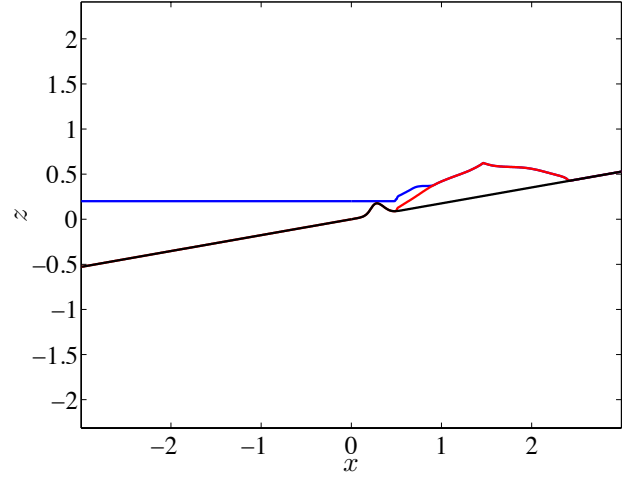


Figure 14: Test 3. Left: Initial condition. Right: final steady solution.

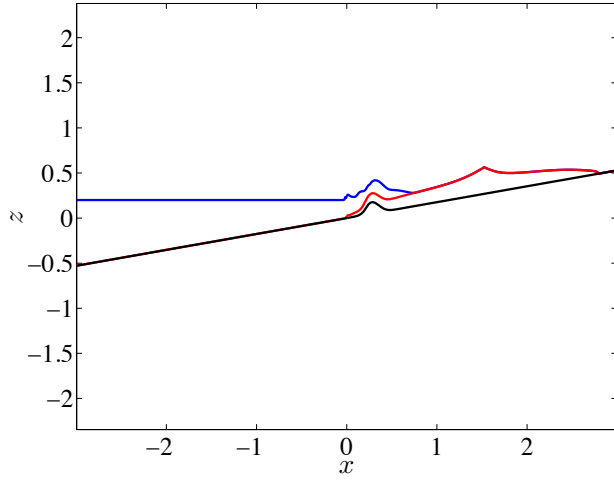
The final steady state is complex as shown on Figure 14b. The granular deposit has a bumpy shape with an area filled with fluid over the granular layer, isolated from the rest of the fluid. Figure 15 shows the time evolution of the system in the early stage. Water waves generated by the landslide at the free surface are observed, firstly, when the avalanche enters the water and, later, when it collides with the bump (Figure 15(b), (c) and (d)). As a consequence of the bump, part the granular layer returns back (see Figure 15(e) and (f)) before it stops on the slope and forms a deposit.



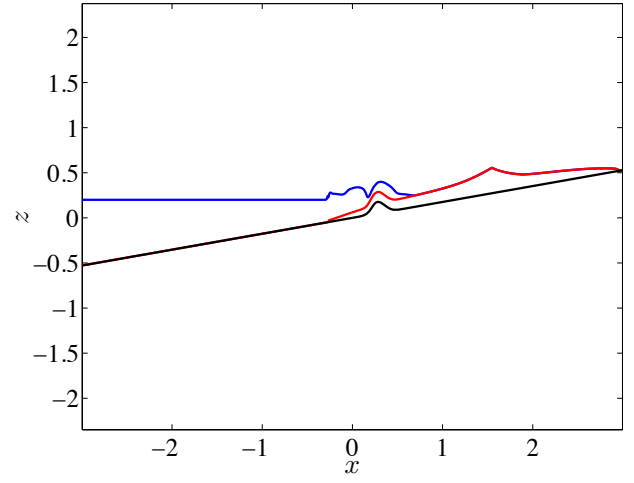
(a)  $t = 0.1$  s



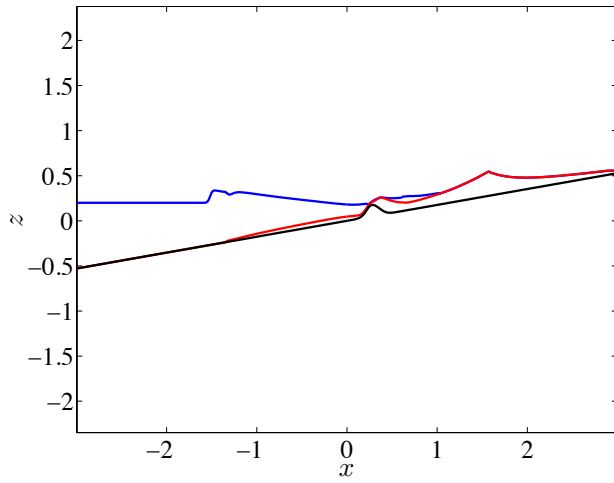
(b)  $t = 0.3$  s



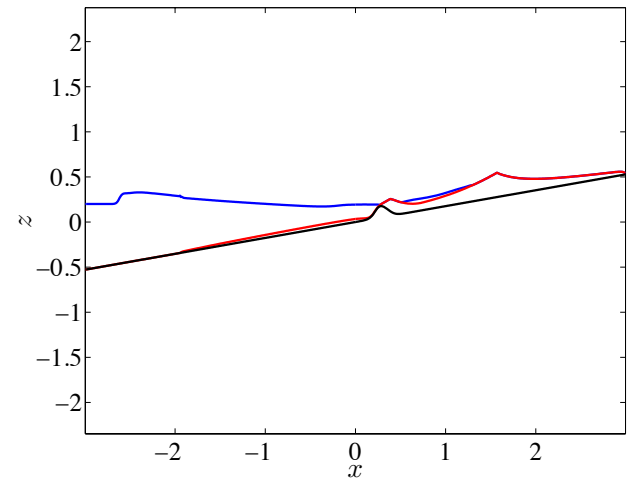
(c)  $t = 0.5$  s



(d)  $t = 0.6$  s



(e)  $t = 1.1$  s



(f)  $t = 1.5$  s

Figure 15: Evolution of the system in the early stage (zoom).

## 5 Conclusions

A two-layer depth-averaged model has been proposed by considering two different axes of integration in order to describe submarine landslides over complex topographies and the generated perturbation of the water free surface. For the fluid layer, the shallow approximation and depth-averaging are performed in a Cartesian (i.e., horizontal/vertical) coordinate system while for the granular layer a reference plane following the averaged topography is chosen, called local coordinate system. This makes it possible to account for the different characteristics of (i) the fluid flow, mainly horizontal, and (ii) the avalanche flow that is essentially tangent to the topography. Furthermore, it allows to properly locate the water surface perturbation on top of the avalanche. In particular, when considering a model completely written in local coordinates, the location of the perturbation on the water free surface depends artificially on the choice of the angle of the reference plane. The resulting model has been rewritten in terms of only one horizontal system of coordinates. The proposed model verifies an exact energy balance. A well-balanced finite volume method is proposed to solve the equations. It preserves exactly the granular mass at rest, even if the fluid is still moving. This is an additional well-balanced property that has not been usually studied for coupled two-layer models. The classical water at rest solution is also exactly preserved. This method can also be applied for the two-layer Cartesian system.

We have simulated dry and submarine avalanches as well as initially sub-aerial avalanches entering the fluid layer and the generated perturbations at the water free surface. These simulations have been performed on (i) an inclined bed and (ii) a two-slope bottom starting with an inclined plane reaching a horizontal plane. We have investigated the influence of the reference frame angle and compared our results to those obtained with a Cartesian coordinate system and with the code SHALTOP designed to simulate dry granular flows over complex topography. We have found that the simulated dynamics and deposits as well as the generated water surface perturbations are very sensitive to the chosen coordinate system. In particular, significant errors are obtained when using a Cartesian coordinate system for flows on an inclined bed, while a sloping coordinate system prevents reproducing the mass spreading on horizontal planes. Overall, the use of an inappropriate coordinate system always overestimates the runout distance of granular flows. For a two-slope bottom, choosing the average slope for the reference frame provides the best compromise.

By varying the initial geometry of the granular mass released on an inclined plane as well as its depth within the water layer, we quantified the error made when using Cartesian coordinate systems instead of a local coordinate system following the plane. The slope angle of the plane has been also varied. By using non-dimensional variables, we proved that the model can be written only in terms of three aspect ratios, corresponding to ratios between the characteristic heights of the fluid, the avalanche, the bottom, and the characteristic length of the initial profile of the granular layer. Our results show that even for moderate slopes (up to  $30^\circ$ ), strong relative errors on the avalanche dynamics and deposits (up to 60%) and on the generated water waves (up to 120%) are made when using Cartesian coordinate systems for both the landslide and water motion as done in, e.g., [15], instead of the two-axis of integration as proposed here.

In a forthcoming work we will investigate the improvement of the submarine avalanches modelling by including some of the effects commented in the introduction, as the dispersive effects for the water layer in this framework of two-axis of integration. Submarine avalanches produce a high vertical acceleration on the fluid, so non-hydrostatic effects are necessary to introduce. Dispersive effects are also necessary to

compare with experimental results, that usually provide the time series of the water surface evolution. Furthermore, since the submarine avalanche can generate a tsunami, we can also use this model to follow its propagation because in areas where there is no granular mass the model reduces to a one layer shallow model.

The extension to the two-dimensional case is mandatory if we would like to apply the proposed model to realistic submarine avalanches. In this sense, it is easy to carry out a 2D extension of the model where the inclination of the reference plane follows the  $x$ -axis, being parallel to the  $y$ -axis. Nevertheless, to chose a reference plane that fits optimally the bathymetry can implies a rotation as well as tilting. In this case, the extension of the model entrains a thorough development that must be carefully done.

## Acknowledgements

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## Appendix

### A Proof of Theorem 1

In this appendix we present the proof of Theorem 1.

(i) For clarity in the exposition we recall here the system to be studied for a general friction force  $F$ . The specific expression will be solved through the search of a dissipative energy balance, as exposed below. The system reads:

$$\partial_t(H_1\mathcal{J}) + \partial_X(H_1(U_1 + \partial_t H_2 \sin \theta)) = 0, \quad (43a)$$

$$\partial_t(H_1 U_1 \mathcal{J}) + \partial_X(H_1 U_1 (U_1 + \partial_t H_2 \sin \theta)) = -g H_1 \partial_X(H_1 + \mathcal{I}) - \mathcal{J} \frac{F}{\rho_1}, \quad (43b)$$

$$\partial_t H_2 + \cos \theta \partial_X(H_2 U_2) = 0, \quad (43c)$$

$$\partial_t(H_2 U_2) + \cos \theta \partial_X(H_2 U_2^2) = -g H_2 \cos \theta \partial_X(r H_1 + \mathcal{I}) - g \operatorname{sgn}(U_2) H_2 \cos \theta (1 - r) \tan \delta_0 + \frac{F}{\rho_2}, \quad (43d)$$

where we use the notation of the interface,  $\mathcal{I}(X, t) = b + (\tilde{b} + H_2) \cos \theta$ . We multiply the momentum equation for the layer 1 in (43b) by  $U_1$  and we use the mass equation (43a). The left hand side gives:

$$\begin{aligned} & U_1 \left( \mathcal{J} H_1 \partial_t(U_1) + U_1 \partial_t(\mathcal{J} H_1) + H_1(U_1 + \partial_t H_2 \sin \theta) \partial_X(U_1) + U_1 \partial_X(H_1(U_1 + \partial_t H_2 \sin \theta)) \right) \\ &= \mathcal{J} H_1 \partial_t \left( \frac{U_1^2}{2} \right) + H_1(U_1 + \partial_t H_2 \sin \theta) \partial_X \left( \frac{U_1^2}{2} \right) \\ &= \partial_t \left( \mathcal{J} H_1 \frac{U_1^2}{2} \right) + \partial_X \left( H_1(U_1 + \partial_t H_2 \sin \theta) \frac{U_1^2}{2} \right). \end{aligned}$$

Now we develop the first term on the right hand side:

$$\begin{aligned}
-gH_1\partial_X(H_1 + \mathcal{I})U_1 &= -\partial_X(gH_1(H_1 + \mathcal{I})U_1) + g(H_1 + \mathcal{I})\partial_X(H_1U_1) \\
&= -\partial_X(gH_1(H_1 + \mathcal{I})U_1) - \partial_X(g(H_1 + \mathcal{I})H_1\partial_t H_2 \sin \theta) \\
&\quad + gH_1\partial_t H_2 \sin \theta \partial_X(H_1 + \mathcal{I}) - gH_1\partial_t(\mathcal{J}H_1) - g\mathcal{I}\partial_t(\mathcal{J}H_1) \\
&= -\partial_X(gH_1(H_1 + \mathcal{I})(U_1 + \partial_t H_2 \sin \theta)) - \partial_t(g\mathcal{I}\mathcal{J}H_1) - gH_1^2\partial_t\mathcal{J} \\
&\quad - g\mathcal{J}\partial_t(\frac{H_1^2}{2}) + g\mathcal{J}H_1\partial_t\mathcal{I} + gH_1\partial_t H_2 \sin \theta \partial_X(H_1 + \mathcal{I}) \\
&= -\partial_X(gH_1(H_1 + \mathcal{I})(U_1 + \partial_t H_2 \sin \theta)) - \partial_t(g\mathcal{I}\mathcal{J}H_1) - \partial_t(g\mathcal{J}\frac{H_1^2}{2}) - gH_1^2\partial_t\mathcal{J} \\
&\quad + g\frac{H_1^2}{2}\partial_t\mathcal{J} + g\mathcal{J}H_1\partial_t H_2 \cos \theta + g\partial_t H_2 \sin \theta (\partial_X(\frac{H_1^2}{2}) + H_1\partial_X\mathcal{I}) \\
&= -\partial_X(gH_1(H_1 + \mathcal{I})(U_1 + \partial_t H_2 \sin \theta)) - \partial_t(g\mathcal{J}H_1(\mathcal{I} + \frac{H_1}{2})) + \partial_X(g\frac{H_1^2}{2}\partial_t H_2 \sin \theta) \\
&\quad - g\frac{H_1^2}{2}\partial_t\mathcal{J} + g\mathcal{J}H_1\partial_t H_2 \cos \theta - g\frac{H_1^2}{2}\partial_X(\partial_t H_2 \sin \theta) + g\partial_t H_2 \sin \theta H_1\partial_X\mathcal{I} \\
&= -\partial_t\left(g\mathcal{J}H_1(\mathcal{I} + \frac{H_1}{2})\right) - \partial_X\left(gH_1(\frac{H_1}{2} + \mathcal{I})(U_1 + \partial_t H_2 \sin \theta)\right) + g\frac{H_1^2}{2}U_1 \\
&\quad \underbrace{+ g\mathcal{J}H_1\partial_t H_2 \cos \theta + g\partial_t H_2 \sin \theta H_1\partial_X\mathcal{I}}_{R_1}.
\end{aligned}$$

Notice that using the definition of  $\mathcal{J}$ , we have  $\partial_t\mathcal{J} = -\partial_X(\partial_t H_2 \sin \theta)$ , that we used to simplify the last equality. Now we focus on the last term; since  $\partial_X b = \tan \theta$ , it follows:

$$R_1 = gH_1\partial_t H_2 \cos \theta (\mathcal{J} + \tan \theta \partial_X\mathcal{I}) = gH_1\partial_t H_2 \cos \theta (1 + \tan^2 \theta) = gH_1\partial_t H_2 \frac{1}{\cos \theta}.$$

Finally, the energy balance for the first layer reads:

$$\begin{aligned}
&\partial_t \left( \mathcal{J}H_1 \left( \frac{U_1^2}{2} + g \left( \frac{H_1}{2} + \mathcal{I} \right) \right) \right) + \partial_X \left( H_1 (U_1 + \partial_t H_2 \sin \theta) \left( \frac{U_1^2}{2} + g \left( \frac{H_1}{2} + \mathcal{I} \right) \right) + g \frac{H_1^2}{2} U_1 \right) \\
&= gH_1\partial_t H_2 \frac{1}{\cos \theta} - \mathcal{J}U_1 \frac{F}{\rho_1}.
\end{aligned} \tag{44}$$

Similarly, to obtain the energy balance for the layer 2, we multiply (43d) by  $U_2$  and use (43c). The left hand side gives:

$$\begin{aligned}
&U_2 \left( H_2 \partial_t (U_2) + U_2 \partial_t (H_2) + H_2 \cos \theta U_2 \partial_X (U_2) + U_2 \partial_X (H_2 \cos \theta U_2) \right) \\
&= H_2 \partial_t \frac{U_2^2}{2} + H_2 \cos \theta U_2 \partial_X \frac{U_2^2}{2} \\
&= \partial_t \left( H_2 \frac{U_2^2}{2} \right) + \partial_X \left( H_2 \cos \theta U_2 \frac{U_2^2}{2} \right).
\end{aligned}$$

The first term on the right hand side is:

$$\begin{aligned}
-gH_2 \cos \theta \partial_X(rH_1 + \mathcal{I})U_2 &= -\partial_X(gH_2 \cos \theta(rH_1 + \mathcal{I})U_2) + g(rH_1 + \mathcal{I})\partial_X(H_2 \cos \theta U_2) \\
&= -\partial_X(gH_2 \cos \theta(rH_1 + \mathcal{I})U_2) - g(rH_1 + \mathcal{I})\partial_t H_2 \\
&= -\partial_X(gH_2 \cos \theta(rH_1 + \mathcal{I})U_2) - grH_1 \partial_t H_2 - g(b + (\tilde{b} + H_2) \cos \theta) \partial_t H_2 \\
&= -\partial_X(gH_2 \cos \theta(rH_1 + \mathcal{I})U_2) - \partial_t \left( gH_2 \left( b + \tilde{b} \cos \theta + \frac{H_2}{2} \cos \theta \right) \right) \\
&\quad - grH_1 \partial_t H_2.
\end{aligned}$$

Then the energy balance for the layer 2 reads:

$$\begin{aligned}
&\partial_t \left( H_2 \frac{U_2^2}{2} + gH_2 \left( b + \tilde{b} \cos \theta + \frac{H_2}{2} \cos \theta \right) \right) + \partial_X \left( H_2 \cos \theta U_2 \left( \frac{U_2^2}{2} + g(rH_1 + \mathcal{I}) \right) \right) \\
&= -grH_1 \partial_t H_2 - g|U_2|H_2 \cos \theta (1 - r) \tan \delta_0 + \frac{F}{\rho_2} U_2.
\end{aligned} \tag{45}$$

The total energy balance of the system is found by the combination  $r \cos \theta \cdot (44) + (45)$ :

$$\begin{aligned}
&\partial_t \left( r \cos \theta \mathcal{J} H_1 \left( \frac{U_1^2}{2} + g \frac{H_1}{2} + g\mathcal{I} \right) + H_2 \frac{U_2^2}{2} + gH_2 \left( b + \tilde{b} \cos \theta + \frac{H_2}{2} \cos \theta \right) \right) \\
&+ \partial_X \left( r \cos \theta H_1 (U_1 + \partial_t H_2 \sin \theta) \left( \frac{U_1^2}{2} + g \left( \frac{H_1}{2} + \mathcal{I} \right) \right) + r \cos \theta g \frac{H_1^2}{2} U_1 \right. \\
&\quad \left. + H_2 \cos \theta U_2 \left( \frac{U_2^2}{2} + g(rH_1 + \mathcal{I}) \right) \right) = -g|U_2|H_2 \cos \theta (1 - r) \tan \delta_0 - (\mathcal{J} U_1 \cos \theta - U_2) \frac{F}{\rho_2}.
\end{aligned}$$

So, if we define

$$F = \rho_1 \beta (\mathcal{J} U_1 \cos \theta - U_2), \tag{46}$$

we find the inequality given in the Theorem 1.

(ii) To prove the second part of Theorem 1 it is enough to apply the result presented in [34] to the characteristic polynomial of the transport matrix of the model. It is possible to rewrite the model is written under the structure

$$\partial_t W + \mathcal{A}(W) \partial_X W - \partial_X (\mathcal{D}(W) \partial_X W) = b,$$

where  $\mathcal{A}$  is the transport matrix,  $W$  is the vector of unknowns of the mode, matrix  $\mathcal{D}$  is defined by the coefficients related to the second order derivatives, by using that  $\partial_t H_2 = -\cos \theta \partial_X (H_2 U_2)$  at the first and second equations of the model, and vector  $b$  contains the definition of friction terms.

The result presented in [34] states that if all the roots of a polynomial  $a_n x^n + a_{n-1} x^{n-1} + \dots + a_0$  of degree  $n$  are real, then they lie in the range bounded by

$$-\frac{a_{n-1}}{na_n} \pm (n-1) \left( \frac{a_{n-1}^2}{n^2 a_n^2} - \frac{2a_{n-2}}{n(n-1)a_n} \right)^{1/2}.$$

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