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1 Photosensitizing and Antioxidant Activities of Humic Substances: A case study

2 of β2-adrenoceptor Agonist Terbutaline

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23 Abstract

Humic substances (HS) play an important role in the phototransformation of micro-pollutants in 24 surface waters and a significant part of this reactivity is often attributed to oxidant triplet excited states 25 (³HS*). The present study aims to understand why ³HS* were found to be negligibly involved in the 26 HS-mediated phototransformation of β 2-adrenoceptor agonist terbutaline (TBL) while this chemical 27 shows a high reactivity towards triplet 3-carboxybenzophenone (³CBP*), a typical HS model sensitizer. 28 29 To clarify this apparent discrepancy, several experiments were conducted. We first confirmed that TBL can be easily oxidized by triplet riboflavin (³RF*), another sensitizer ($k = (1.7 \pm 0.4) \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$). 30 Afterwards, we studied the effect of TBL on the HS-mediated phototransformation of 2,4,6-31 trimethylphenol (TMP), chosen for its high reactivity with ³HS*. TBL was found to enhance the rate 32 of TMP loss. This result is rationalized by postulating that (i) TMP and TBL are both oxidized by 33 ³HS* to yield the phenoxyl radicals TMP_{-H} and TBL_{-H}, respectively, and (ii) TBL_{-H} is further reduced 34 by TMP which generates additional TMP_{-H}. Fitting the experimental data gives $k = (1.8 \pm 0.5) \times 10^9$ 35 M⁻¹ s⁻¹ for the reaction between ³HS* and TBL and DFT calculations further support the conclusion 36 that TBL_H can be reduced by a lot of phenols. Hence, in the case of TBL, the oxidant effect of ${}^{3}\text{HS}*$ is 37 counterbalanced by the antioxidant properties of HS. 38

39 Key Words: Humic substances; Laser flash photolysis; Substituted phenols; Antioxidant; DFT40 calculation.

41

42 1. Introduction.

Humic substances (HS) that include humic and fulvic acids are ubiquitous in soils and natural waters,
and can promote the degradation of organic micro-pollutants in illuminated aquatic environments [1,
2]. Their reactivity is however complex and not fully understood. Indeed, upon sunlit irradiation, HS is
capable of generating various reactive species such as hydroxyl radical (OH) [3], singlet oxygen (¹O₂)
[4], triplet excited states (³HS*) [5], oxy and peroxy radicals [6], that can accelerate the decomposition
of the organic micro-pollutants. Among all these reactive species, ³HS* have been found to play an

49 important role in the photochemical degradation of organic micro-pollutants, such as pesticides [7] and 50 pharmaceuticals [8]. They are generally proposed to abstract electrons or H atoms from 51 micropollutants and lead to their oxidation. However, it has been also reported that the sensitized-52 photodegradation of chemicals such as aniline and sulfonamide antibiotics can be inhibited by HS [9-53 11]. HS that contain phenolic/polyphenolic moieties in their complex structures [12, 13] could act as 54 antioxidants, regenerating the starting micro-pollutants (reaction 1).

Aniline_{-H} + HS
$$\rightarrow$$
 Aniline + HS_{-H} (1)

In a former study, the photodegradation of β2-adrenoceptor agonist terbutaline (TBL) in the presence of HS was reported [14]. Our results revealed that ³HS* played a negligible role in the transformation of TBL, which seemed contradictory with the high reactivity of TBL with triplet 3carboxybenzophenone (³CBP*), a typical HS model sensitizer [14]. We proposed a backwards reaction process to rationalize these observations. Specifically, TBL could be oxidized by ³HS* at a significant rate, but the generated intermediary radical could also be reduced by HS itself to give back TBL.

63 To confirm our hypothesis according which HS oppose both photosensitizing and antioxidant activities, several experiments were conducted in this study. Laser flash photolysis (LFP) was applied 64 to determine the reaction rate constant of TBL with triplet riboflavin (³RF*), which was selected as 65 another HS model sensitizer. Moreover, we studied the HS-mediated transformation of 2,4,6-66 trimethylphenol (TMP) in the presence of TBL. TMP was chosen for its high reactivity with ³HS* and 67 used to confirm and evaluate the interaction between ³HS* and TBL through competitive reactions 68 69 [15-17]. Finally, density functional theory (DFT) calculations were performed to evaluate the 70 antioxidant ability of a series of substituted phenols that could be potentially present in HS.

71 **2. Experimental**

72 2.1 Chemicals and reagents

73 Terbutaline hemisulfate (TBL, 98%), 2,4,6-trimethylphenol (TMP, 99%), riboflavin (RF, 98%) and

74 humic acids (HS, reference number 53680) were purchased from Sigma-Aldrich. HPLC grade

acetonitrile (ACN) was supplied by Fisher Chemical. Other reagents were at least of analytical grade
and used as received without further purification. Milli-Q water (18.2 MΩ cm) was obtained from a
Millipore Milli-Q system.

78 2.2 Photodegradation experiments and analyses

79 A vertical cylindrical reactor (id = 14 mm) was used in this study. The air-saturated solutions were irradiated in a device equipped with three "black light" Mazda 125 W lamps (90 % of radiant energy 80 emitted at 365 nm). The radiant flux was equal to 5×10^8 Einstein cm⁻³ s⁻¹ which was measured directly 81 using a VLC-3W radiometer. Aliquots of 1 mL were withdrawn after selected irradiation times and 82 further analyzed by HPLC. The decay of TMP alone or in the presence of TBL was monitored until 83 conversion extents comprised between 55 and 85%. The rates of TMP consumption were measured at 84 conversion extents comprised between 12 and 30%. The concentration of TMP was measured by 85 86 HPLC using a Waters HPLC apparatus equipped with a 2996 photodiode array detector (PAD), an auto sampler and a reverse-phase C₁₈ Nucleodur, Macherey-Nagel column (5 µm, 250×4.6 mm). The 87 flow rate was set at 1 mL min⁻¹, and the mobile phase was a mixture of 60 % methanol and 40% water 88 acidified with phosphoric acid (0.1%). 89

Laser flash photolysis (LFP) experiments were carried out using a Quanta Ray GCR 130-01Nd: YAG laser and an Applied Photophysics station previously described [18]. Solutions containing TBL and RF were irradiated at 355 nm, using the third harmonic of the laser. A red filter was placed between the cell and the photomultiplicator to avoid parasitic signals. The rate constant of reaction of TBL with 3 RF* (absorbance of 0.5 at 355 nm) was measured by monitoring the decay of 3 RF* at 650 nm. The rate of 3 RF* disappearance in the absence of oxygen was equal to:

96
$$-\frac{d[{}^{3}\text{RF}^{*}]}{dt} = (k_{d} + k[\text{TBL}])[{}^{3}\text{RF}^{*}]$$
(Eq 1)

where k_d is the rate constant of desactivation of ³RF* and *k* represents the bimolecular rate constant of reaction between TBL and ³RF*. $k_d + k$ [TBL] is the apparent first order decay rate constant of ³RF* that was obtained by fitting the experimental data using an exponential decay. The reactivity of the 100 phenoxyl radical issued from TBL with oxygen was studied by exciting TBL (10^{-3} M) at 266 nm. The 101 phenoxyl radical decay was monitored over 940 μ s at 440 nm, the maximum of absorption of the 102 transient [19]. The solutions were saturated with air or with oxygen. The fitting of the transient decay 103 was done between 50 and 400 μ s.

104 **2.3 Calculations**

Density Functional Theory (DFT) calculations were performed using the Gaussian 09 software package [20].The geometry optimization and frequency calculations were carried out by using B3LYP method and 6-311G* basis set. The obtained sums of electronic and thermal free energies were used to represent the Gibbs free energy of each chemical. The integral equation formalism polarized continuum model (IEFPCM), based on the self-consistent-reaction-field (SCRF) method, was used to consider the bulk solvent effect of water [21].

111 **3. Results and discussion.**

112 **3.1 Reactivity of TBL towards** ³**RF***

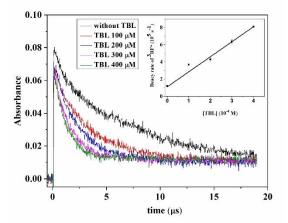
113 It was previously shown that the photodegradation of TBL was significantly enhanced by HS [14]. 114 Investigating the effect of sorbic acid and oxygen, two ³HS* scavengers, led to the conclusion that ³HS* played a negligible role in the HS-mediated photodegradation of TBL. However, this result was 116 in contrast with the high reactivity of TBL towards triplet of 3-carboxybenzophenone (³CBP*), which 117 is often regarded as a typical model sensitizer of HS.

118 To further verify the oxidability of TBL, another model sensitizer, riboflavin, was used in this work.

119 The reaction rate constant of TBL with ${}^{3}RF^{*}$ was also determined by LFP as performed with CBP.

- 120 Figure 1 shows the decay of ${}^{3}RF^{*}$ at 650 nm in the absence and presence of TBL (0-400 μ M) in argon-
- 121 saturated medium. TBL clearly accelerated the rate of ${}^{3}RF^{*}$ decay, the apparent first order decay rate
- 122 constant of ${}^{3}\text{RF*}$ increasing from $1.2 \times 10^{5} \text{ s}^{-1}$ in the absence of TBL to $8.0 \times 10^{5} \text{ s}^{-1}$ in the presence of
- 123 400 μ M of TBL. Using a linear regression of the first order decay rate constant of ³RF* versus TBL

124 concentration, we could estimate the second order rate constant of reaction of TBL with ${}^{3}\text{RF*}$ to $(1.7 \pm 0.4) \times 10^{9} \text{ M}^{-1} \text{ s}^{-1}$, which fits well with that found with ${}^{3}\text{CBP*}((2.7 \pm 0.3) \times 10^{9} \text{ M}^{-1} \text{ s}^{-1})$.



126

Figure 1. Decay of ${}^{3}RF^{*}$ monitored at 650 nm in the absence and presence of TBL under argonsaturated condition; the insert shows the linear relationship of the apparent first order decay rate constant of ${}^{3}RF^{*}$ versus the concentration of TBL.

130 3.2 HS-mediated photodegradation of the mixture TBL and TMP

Based on the reaction rate constants measured between TBL and ³CBP* or ³RF*, we expected that 131 TBL was able to be oxidized by ³HS*. To confirm this hypothesis and to get a deeper insight into the 132 reaction between HS and TBL, we investigated the effect of TBL on the HS-sensitized 133 photodegradation of TMP. TMP was chosen because it readily can react with ³HS* and was often used 134 as a probe molecule to quantify their contribution [15, 17]. On the first hand, TBL could compete with 135 TMP for the reaction with ³HS* and potentially reduce its rate of photodegradation. On the other hand, 136 as a good H-donor, TMP might reduce the phenoxyl radicals issued from TBL (TBL_H), and 137 138 regenerate TBL.

In the presence of HS (20 mg L⁻¹) and in air-saturated solution, TMP (5×10^{-5} M) was photodegraded with an initial rate of 1.5×10^{-8} M s⁻¹ (Figure 2). The addition of TBL in the range of 1×10^{-4} - 4×10^{-4} M increased this rate until 3.7×10^{-8} M s⁻¹ (Figure 2). To rationalize these data, we propose that reactions 2-8 take place. Specifically, ³HS* are formed after light absorption by HS and inter-system crossing (reaction 2), $\Phi_{\rm T}$ is the quantum yield of ³HS* formation. Afterwards, ³HS* can undergo several decay

pathways: deactivation by reaction with oxygen (reaction 3), competitive oxidations of TBL (reaction 144 4) and TMP (reaction 5). Values of k_3 and k_5 were determined as $2 \times 10^5 \text{s}^{-1}$ [17] and $2 \times 10^9 \text{ M s}^{-1}$ [15], 145 respectively. Reaction 4 and 5 generate phenoxyl radicals TBL_H and TMP_H that can potentially be 146 involved in several reactions: they can react with oxygen, with TMP or TBL through addition or H-147 148 abstraction reactions, or with other radicals [22]. At low photon rate absorption as it is the case here, the phenoxyl radicals concentration is low and radical/radical re-combinations should proceed at lower 149 150 rates than radical/molecule reactions. Therefore, in this section, we consider that TBL_H and TMP_H will mainly react with molecules. The first possibility is a reaction with oxygen through reactions 6 151 and 7, respectively. To estimate the value of k_6 , we generated TBL_H radicals by LFP of TBL (10⁻³ M) 152 and monitored their decay in different conditions. In air as in oxygen-saturated solutions, t he decay of 153 TBL_H was well fitted by a second order kinetics $(2k/\epsilon = 3.2\pm0.3\times10^5 \text{ s}^{-1})$, Figure SI-1, Supporting 154 155 Information), indicating that in these particular conditions where TBL_H concentration was very high, radical/radical reactions were dominant while reaction of TBL_H with both O₂ (2.6×10^{-4} M or 1.3×10^{-3} 156 M) and TBL (10^{-3} M) were negligible. The half-life of TBL_H being of 2×10^{-4} s, the absence of oxygen 157 effect on the radical decay indicates that the maximum value of $k_6[O_2]$ is about 370 s⁻¹, and k_6 smaller 158 than 2.8×10^5 M⁻¹ s⁻¹. The second possibility in the case of TBL_H radicals is a reaction with TMP 159 160 (reaction 8). The exact nature of this reaction is not fully defined at this stage. It might be a H-atom transfer from TMP to TBL_H, or some addition reaction of TBL_H on TMP. In both cases, reaction 8 161 162 constitutes an additional pathway of TMP consumption.

164
$$HS + h\nu \rightarrow {}^{1}HS^{*} \rightarrow {}^{3}HS^{*} \qquad \Phi_{T}$$
 (2)

165
$${}^{3}\text{HS}^{*} + \text{O}_{2} \rightarrow \text{HS} + {}^{1}\text{O}_{2} \qquad k_{3} = 2 \times 10^{5} \text{s}^{-1}$$
 (3)

166
$${}^{3}\text{HS}^{*} + \text{TBL} \rightarrow \text{HS}^{-} + \text{H}^{+} + \text{TBL}_{-\text{H}}^{-} \qquad k_{4}$$
 (4)

167
$${}^{3}\text{HS}^{*} + \text{TMP} \rightarrow \text{HS}^{-} + \text{H}^{+} + \text{TMP}_{-\text{H}} \quad k_{5} = 2 \times 10^{9} \,\text{M}^{-1} \,\text{s}^{-1}$$
 (5)

168
$$\operatorname{TBL}_{H} + O_2 \rightarrow \operatorname{Radicals/Products} k_6$$
 (6)

169
$$\operatorname{TMP}_{\mathrm{H}}^{\bullet} + \mathrm{O}_2 \rightarrow \operatorname{Radicals/Products} k_7$$
 (7)

170
$$TBL_{-H} + TMP \rightarrow Radicals/Products k_8$$
 (8)

171 In the absence of TBL, assuming the quasi stationaty state (q.s.s.) hypothesis, the steady state

172 concentration of ${}^{3}HS*$, $[{}^{3}HS*]_{ss}$, is equal to:

[³HS*]_{SS} =
$$I_a \Phi_T \frac{1}{k_3 + k_5[\text{TMP}]}$$
 (Eq 2)

174 where I_a is the light intensity absorbed by HS, Φ_T , is the quantum yield of ³HS* formation and [TMP]

175 the concentration of TMP The initial decay rate of TMP (r_1) is therefore equal to:

176
$$r_{1} = -\frac{d[\text{TMP}]}{dt} = I_{a} \Phi_{T} \frac{k_{5} [\text{TMP}]_{0}}{k_{3} + k_{5} [\text{TMP}]_{0}}$$
(Eq 3)

177 Where $[TMP]_0$ is the initial TMP concentration. When TBL is added to the solution, $[{}^{3}HS*]_{ss}$ is equal 178 to:

[³HS*]_{SS} =
$$I_a \Phi_T \frac{1}{k_3 + k_4 [TBL] + k_5 [TMP]}$$
 (Eq 4)

180 and the initial TMP loss rate (r_2) is equal to:

181
$$r_2 = (-\frac{d[\text{TMP}]}{dt})_{\text{TBL}} = I_a \Phi_T \frac{k_5 [\text{TMP}]_0}{k_3 + k_4 [\text{TBL}]_0 + k_5 [\text{TMP}]_0} + k_8 [\text{TMP}]_0 [\text{TBL}_{-H}]_{\text{SS}}$$
 (Eq 5)

where $[TBL_{-H}]_{SS}$ represents the steady-state concentration of TBL_{-H} , which can be obtained by the following equation (Eq 6):

184
$$k_{8}[\text{TMP}][\text{TBL}_{-H}]_{\text{SS}} + k_{6}[\text{O}_{2}][\text{TBL}_{-H}]_{\text{SS}} = I_{a}\Phi_{\text{T}}\frac{k_{4}[\text{TBL}]}{k_{3} + k_{4}[\text{TBL}] + k_{5}[\text{TMP}]}$$
(Eq 6)

185 and $[TBL_{-H}]_{SS}$ is equal to:

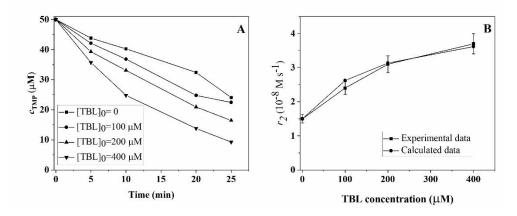
$$[TBL'_{H}]_{SS} = I_a \Phi_T \frac{k_4 [TBL]}{k_3 + k_4 [TBL] + k_5 [TMP]} \times \frac{1}{k_6 [O_2] + k_8 [TMP]}$$
(Eq 7)

187 Finally, one gets:

188
$$r_{2} = \frac{I_{a}\Phi_{T}}{k_{3} + k_{4}[TBL]_{0} + k_{5}[TMP]_{0}} \times (k_{5}[TMP]_{0} + \frac{k_{8}[TMP]_{0} \times k_{4}[TBL]_{0}}{k_{8}[TMP]_{0} + k_{6}[O_{2}]})$$
(Eq 8)

Eq 8 confirms that if reaction 8 did not exist, TBL would decrease the rate of TMP loss due to the 189 competition between TBL and TMP for the reaction with ³HS*, which is not confirmed 190 experimentally. Therefore, reaction 8 needs to be considered in this study. Using Eq 8, we attempted 191 to fit experimental data of Figure 2. For simplification, we first made the hypothesis that $k_6[O_2]$ is 192 negligible behind k_8 [TMP]. As k_6 [O₂] is < 370 s⁻¹, this implies that k_8 [TMP]> 3700 s⁻¹ and thus k_8 > 193 $7.3 \times 10^7 \text{ M}^{-1} \text{ s}^{-1}$, considering that $[\text{TMP}]_0 = 50 \text{ }\mu\text{M}$. The experimental data were well fitted using $k_4 =$ 194 $(1.8 \pm 0.5) \times 10^9$ M⁻¹ s⁻¹ which is in accordance with the rate constant of reaction with ³CBP* and 195 ³RF*. If $k_6[O_2]$ is not negligible behind $k_8[TMP]$, then the consumption of TMP through reaction 8 is 196 less important and k_4 value has to be increased. The value of $(1.8 \pm 0.5) \times 10^9$ M⁻¹ s⁻¹ is therefore a 197 198 minimal value.

199 Concerning the nature of reaction 8, we postulated that the reaction is a H-atom transfer between 200 TBL_{-H} and TMP to yield TBL and TMP_{-H} because TMP is a good H-atom donor. The H-atom 201 transfer between TBL_{-H} and TMP was further confirmed by DFT calculation estimating the 202 difference of Gibbs free energies before and after this reaction (ΔG). The result with ΔG (-0.26 eV) 203 <0 indicates the spontaneity of this reaction from the thermodynamic point of view, supporting our 204 hypothesis that TBL_H can be reduced by TMP and induce its degradation.



205

Figure 2. Photodegradation of TMP in the presence of HS and different concentrations of TBL (A); Experimental and calculated decay rates of TMP (in M s⁻¹) under different conditions (B) ([TMP]₀ = 50μ M, [HS]₀ = 20 mg L^{-1} , pH = 8.0, air-saturated condition).

210 3.3 Antioxidant ability of phenolic moieties

- 211 To explain the negligible involvement of ${}^{3}HS*$ in the HS-mediated photodegradation of TBL, we
- 212 postulate that like TMP, HS may have the capacity to reduce TBL_H, as described in reaction 9,
- 213 regenerating TBL.

214
$$TBL_{H} + HS \rightarrow TBL + HS_{H}$$
 (9)

The mentioned antioxidant properties of HS have been documented in the case of compounds such as aniline and sulfonamide antibiotics [9-11]. They are linked to H-atom donor properties, and mainly attributed to HS phenolic and polyphenolic moieties [12, 13, 23]. Janssen et al. have used mono- and dihydroxylated cinnamic acids as proxies of some of the hydroquinonic and phenolic moieties in HS [24]. They found that these analogues of redox-active moieties could suppress the indirect photolysis of tryptophan with rate constants ranging from 9.7×10^6 to 2.6×10^9 M⁻¹s⁻¹. After the H-atom abstraction, HS will undergo irreversible follow-up reactions [25].

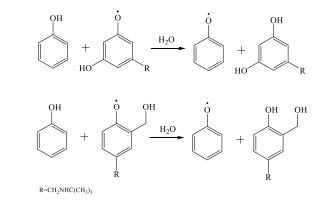
Table 1. DFT calculation results for the possibilities of H-abstraction reactions of different substituted phenols with TBL_{-H} ($\triangle G_1$) and SAL_{-H} ($\triangle G_2$).

Substituted	Position	ΔG_1	ΔG_2	Substituted	Position	ΔG_1	ΔG_1
phenol		(eV)	(eV)	phenol		(eV)	(eV)
Phenol	-	-0.147	0.142	TMP	-	-0.260	0.030
	ortho-	-0.076	0.213		ortho-	-0.479	-0.189
-CH ₃	meta-	-0.046	0.243	-NH ₂	meta-	0.031	0.320
	para-	-0.083	0.206		para-	-0.547	-0.258
	ortho-	-0.012	0.278		ortho-	0.411	0.700
-OCH ₃	meta-	0.050	0.339	-COOH	meta-	0.064	0.353
	para-	-0.241	0.049		para-	0.127	0.416
	ortho-	-0.015	0.274		ortho-	-0.010	0.279
-CHO	meta-	0.066	0.355	-NHCOCH ₃	meta-	0.041	0.330
	para-	0.120	0.410		para-	-0.142	0.147
	ortho-	0.092	0.381		ortho-	0.191	0.480
-Cl	meta-	0.054	0.343	-CN	meta-	0.124	0.413
	para-	-0.036	0.253		para-	0.108	0.397

224

225 To further support our conclusion of HS's antioxidant properties on TBL.H, DFT calculations were performed to evaluate the reaction of a series of substituted phenols with TBL_H. (Scheme 1), as listed 226 227 in Table 1. These results suggest that many substituted phenols moieties in HS are potentially able to reduce TBL_{-H} ($\Delta G_1 < 0$), depending on the substituted groups and their positions. For instance, all the 228 229 three methyl-phenols could reduce TBL.H. Moreover, similar calculations were also conducted in the 230 case of salbutamol (SAL), a structurally related chemical of TBL, to verify the reliability of the proposed reduction mechanism. As reported previously [14], SAL that exhibited relative high 231 reactivity towards ³HS* (>10⁹ M⁻¹ s⁻¹) was photodegraded much faster than TBL in the same 232 experimental conditions ruling out a significant importance of the reduction of SAL.⁺. As expected, 233 calculation results ($\triangle G_2$ in Table 1) reveal that most of the selected phenols are not able to reduce 234

235 SAL_H to SAL, indicating that such process was less favourable, in accordance with former 236 conclusions [14].



237

238

Scheme 1. Proposed reduction pathways of TBL_{-H} and SAL_{-H} by phenols.

239 4. Conclusion.

The present study was designed to answer why ³HS* appeared to be negligibly involved in the 240 photochemical transformation of TBL while TBL readily reacts towards ³CBP*, a typical HS model 241 sensitizer. Studying the HS photosensitized degradation of TBL/2,4,6-trimethylphenol revealed that 242 243 TBL accelerated the photodegradation of the phenolic probe. This behavior was rationalized by postulating a set of reactions in which ³HS* oxidized both TBL and TMP while the radical issued from 244 TBL reacts with TMP, regenerating TBL and consuming TMP. In addition, DFT calculation results 245 further confirmed that TBL_H can be reduced by a lot of phenols via H abstraction. The results 246 247 obtained in this work could explain the poor photodegradation of TBL in the presence of HS, which 248 would be mainly due to the regeneration processes of TBL by the phenol moieties of HS. The present study provides very useful information about the photochemical behavior of TBL in natural waters 249 containing HS, and would also help us to understand the photosensitivity and antioxidant activities of 250 251 HS.

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