



**HAL**  
open science

## Anisotropic features of natural Teguline clay

Feng Zhang, Yu Jun Cui, Ling-Ling Zeng, Nathalie Conil

► **To cite this version:**

Feng Zhang, Yu Jun Cui, Ling-Ling Zeng, Nathalie Conil. Anisotropic features of natural Teguline clay. *Engineering Geology*, 2019, 261, p105275. 10.1016/j.enggeo.2019.105275 . hal-02499355

**HAL Id: hal-02499355**

**<https://hal.science/hal-02499355v1>**

Submitted on 27 May 2021

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

## **Anisotropic features of natural Teguline clay**

Feng Zhang<sup>1</sup>, Yu-Jun Cui<sup>1</sup>, Lingling Zeng<sup>2</sup>, Nathalie Conil<sup>3</sup>

1: Ecole des Ponts ParisTech, Laboratoire Navier/CERMES, 6 et 8 avenue Blaise Pascal, 77455 Marne La Vallée cedex 2, France

2: Institute of Geotechnical Engineering, College of Civil Engineering, Fuzhou University, 350108 Fuzhou, China

3: CMHM, Andra, RD 960, 55290 Bure, France

### **\*Corresponding author:**

Prof. Yu-Jun CUI

Ecole des Ponts ParisTech

6-8 av. Blaise Pascal, Cité Descartes, Champs-sur-Marne

77455 Marne-la-Vallée cedex 2

France

E-mail : [yu-jun.cui@enpc.fr](mailto:yu-jun.cui@enpc.fr)

Tel: + 33 1 64153550

34 **Abstract:** In general, due to their geological formation by deposition, natural stiff  
35 clays exhibit anisotropic thermo-hydro-mechanical behaviour. This behaviour is  
36 important to be considered in geological and geo-environmental engineering. In this  
37 study, natural stiff Teguline clay was extracted at different depths and the thermal  
38 conductivity, compressibility and hydraulic conductivity were determined on samples  
39 along various orientations with respect to the bedding plane. Significant thermal and  
40 hydraulic conductivity anisotropies were evidenced with higher values along the  
41 bedding plane, indicating that both heat and water transfers preferentially occurred  
42 along the direction parallel to the bedding plane. Moreover, the compression index in  
43 the horizontal direction were found lower than in the vertical direction, while opposite  
44 phenomenon was observed for the yield stress. The degrees of thermal conductivity  
45 anisotropy  $\eta_T$ , compression index anisotropy  $\eta_{Cc1}$ , yield stress anisotropy  $\eta_{\sigma'_y}$  and  
46 hydraulic conductivity anisotropy  $\eta_{k1}$  were found comparable, indicating that bedding  
47 is a good indicator of the inherent thermo-hydro-mechanical anisotropy for natural  
48 stiff clays. Upon loading in oedometer after the yield stress, the inherent anisotropy  
49 changed: for the vertical sample (loading direction normal to the bedding plane),  
50 loading led to more and more anisotropy, while for the horizontal sample (loading  
51 direction parallel to the bedding plane), the inherent anisotropy disappeared first; then,  
52 the induced anisotropy was developed. These findings provided useful information for  
53 analyzing the thermo-hydro-mechanical anisotropy of natural soils in geotechnical  
54 and geo-environmental engineering.

55 **Key words:** Clays; Anisotropy; Thermal conductivity; Compressibility; Hydraulic

56 conductivity

57

## 58 **Introduction**

59 Due to their layered microstructure resulting from their initial deposition, natural stiff  
60 clays generally exhibit inherent anisotropy, which leads to directional dependence of  
61 their thermal, mechanical and hydraulic properties. This anisotropic feature is  
62 important to be accounted for while analysing the stability of the galleries excavated  
63 for geological nuclear waste disposal (Armand et al., 2013; François et al., 2014;  
64 Salehnia et al., 2015; Seyedi et al., 2017), because: i) during excavation, the damage  
65 of the host rock (thus the excavation damaged zone) is strongly depending on its  
66 anisotropy (Naumann et al., 2007; Niandou et al., 1997; Zhang et al., 2015); ii) after  
67 excavation, the stresses exerted to the concrete linings also strongly depend on the  
68 anisotropy by the surrounding host rock (Armand et al., 2013). This explains the  
69 particular attention paid recently to the anisotropic features of Boom Clay (Dao et al.,  
70 2014a; Dao et al., 2014b; Dao et al., 2015a; Dao et al., 2015b), Callovo-Oxfordian  
71 claystone (Armand et al., 2013; Zhang et al., 2015; Seyedi et al., 2017) and  
72 Tournemire shale (Niandou et al., 1997; Masri et al., 2014), and of Opalinus Clay  
73 (Naumann et al., 2007; Bertrand and Collin, 2017; Favero et al., 2018).

74 It has been well documented that for natural clays, the thermal conductivity and  
75 hydraulic conductivity in the horizontal direction are in general larger than in the  
76 vertical direction (Midttømme and Roaldset, 1999; Dao et al., 2014a), suggesting that  
77 the generally horizontal bedding planes are preferential flow directions for heat and  
78 fluids. Alshawmar (2014) carried out one-dimensional consolidation tests on  
79 undisturbed sensitive Champlain Sea clay and found that the ratio of horizontal to

80 vertical preconsolidation pressure varied from 0.71 to 0.95. Moreover, the horizontal  
81 compression index was 1% to 23%, higher than the vertical one, showing a larger  
82 compressibility in the horizontal orientation. This can be attributed to the directional  
83 differences in soil fabric and in stress history during soil sedimentation (Khan, 1993).  
84 The natural clays (such as Opalinus Clay) also exhibited anisotropic features in terms  
85 of strength with higher value in the direction parallel and perpendicular to the bedding  
86 plane and lower value in the direction at 45° to the bedding plane (Naumann et al.,  
87 2007).

88 It is also recognised that the anisotropic hydro-mechanical behavior is directly  
89 correlated to the microstructure features (Hicher et al., 2000; Zeng et al., 2017). Zeng  
90 et al. (2017) investigated the anisotropic swelling of Teguline clay associated with  
91 change in microstructure during wetting. The clay samples were allowed to swell  
92 freely or only in the vertical direction. The results showed that swelling occurred  
93 mainly in the direction perpendicular to the bedding plane, accompanied with the  
94 development of micro-fissures parallel to the bedding plane, the swelling along the  
95 bedding direction being negligible. The wetting-induced micro-fissures corresponded  
96 to the damage of soil, which could significantly modify the hydro-mechanical  
97 properties of soil in an anisotropic fashion.

98 Albeit the importance of anisotropy features recognised for natural soils from  
99 both academic and practical points of view, such results remain relatively limited,  
100 mainly because of the relatively large variability of natural soils that renders the  
101 interpretation of the test results difficult. This study aims at investigating the

102 anisotropy feature of the thermo-hydro-mechanical behaviour of natural clays. Natural  
103 Teguline clay was considered for this purpose and its thermal conductivity,  
104 compressibility and hydraulic conductivity was determined in various orientations  
105 with respect to the bedding plane, which allowed the inherent anisotropy to be  
106 identified. Furthermore, the development of stress-induced anisotropy beyond the  
107 yield stress was also analyzed.

108

## 109 **Materials and methods**

### 110 *Test materials*

111 Stiff Teguline clay samples were extracted from borehole AUB1011 at depths of  
112 18.21 m and 31.76 m, also from borehole AUB1012 at depths of 5.46 m, 11.56 m,  
113 18.06 m, 30.5 m, 30.8 m and 31.22 m, in the area of Albian Paris Basin (Aube,  
114 France), following the direction perpendicular to the bedding plane that was found to  
115 be parallel to the ground surface through observation of a piece of Teguline clay  
116 immersed in water. They were then covered by membrane and conserved in a fully  
117 confined cell (T1), preventing water loss and soil rebounding. This clay has a liquid  
118 limit of 42.0-56.5%, a plastic limit of 17.5-29.4% and a specific gravity of 2.71. The  
119 mineralogy was mainly defined by illite, illite-smectite, kaolinite, chlorite, quartz and  
120 carbonates (Zhang et al., 2018). The clay content and quartz content vary over depth,  
121 and their profiles are presented in Fig. 1. It can be observed that Teguline clay consists  
122 of 15-60% of clay minerals and 20-70% of quartz. It is worth noting that the tested  
123 Teguline clay cores were taken at the depths between 5 m and 40 m. The clay content

124 varied from 35% to 58% and the quartz content from 28% to 46%, showing a certain  
125 variability of mineralogy.

### 126 *Thermal conductivity tests*

127 After opening the Teguline clay from the fully confined cell (T1) in the laboratory,  
128 the samples were prepared by trimming to reach the dimensions of 85 mm in diameter  
129 and 60 mm - 90 mm in height. Then, they were covered by plastic film to avoid water  
130 loss by evaporation. The test program is presented in Table 1.

131 A thermal properties analyzer, KD2, was used to measure the thermal  
132 conductivity. Its principle is based on the transient hot-wire method. This device  
133 meets the requirements of ASTM Standards (ASTM D5334-00, 2000). In order to  
134 install the thermal probe into the soil, a hole was drilled in the centre of each sample.  
135 A layer of thermal grease was first applied on the surface of the probe to ensure a  
136 good contact between the soil and the probe. Then, the probe with grease was inserted  
137 into the sample. The thermal conductivity was measured in three orientations ( $\theta = 0^\circ$ ,  
138  $\theta = 90^\circ$ , and  $\theta = 45^\circ$  to the bedding plane). For a better accuracy, five successive  
139 measurements were conducted and a mean value was considered for further analyses.

140 Due to the geological formation by deposition, the Teguline clay is considered as  
141 transversely isotropic material. In that case, the measured thermal conductivity  $\lambda_0$   
142 corresponds to that in the direction parallel to the bedding plane  $\lambda_{//}$ . However, in the  
143 case of  $\theta = 90^\circ$ , the measured thermal conductivity  $\lambda_{90}$  is not the value in the direction  
144 perpendicular to the bedding plane ( $\lambda_{\perp}$ ). The true value of  $\lambda_{\perp}$  was thus back  
145 calculated using the following equation:



146 
$$\lambda_{\perp} = \frac{(\lambda_{90})^2}{\lambda_0} \quad (1)$$

147 Eq. (1) has been used by many authors (Penner, 1963; Munroe and Sass, 1987;  
 148 Davis et al., 2007; Dao et al., 2014a; Popov et al., 2012; Riche and Schneebeli, 2013;  
 149 Ye et al., 2017) to determine the thermal conductivity in the direction perpendicular to  
 150 the bedding plane  $\lambda_{\perp}$  in different materials (snow, igneous rocks, clay sediments,  
 151 etc.).

152 The thermal conductivity of  $\theta=45^{\circ}$  was calculated by Eq. (2) (Dao et al., 2014a):

153 
$$\lambda_{45} = \sqrt{\frac{(\lambda_0)^2 + (\lambda_{90})^2}{2}} \quad (2)$$

154 The thermal conductivity of clays depends on the composition (solid, water and  
 155 gas phases), the nature of the dominant minerals, the density and temperature (Farouki,  
 156 1986; Midttømme and Roaldset, 1999; Tang et al., 2008; Ye et al., 2017). The effect  
 157 of the slightly decrease of degree of saturation ( $S_r$ ) of the studied samples was  
 158 accounted for according to the Johansen's method (Johansen, 1975; Farouki, 1986):

159 
$$\lambda_{\text{sat}} = \frac{(\lambda - \lambda_{\text{dry}})}{K_e} + \lambda_{\text{dry}} \quad (3)$$

160 where  $\lambda_{\text{sat}}$ ,  $\lambda$  and  $\lambda_{\text{dry}}$  expressed in W/(m·K) are respectively the thermal  
 161 conductivities at saturated state, unsaturated state and dry state;  $K_e$  is the Kersten's  
 162 number.

163 The Kersten's number ( $K_e$ ) and the thermal conductivity at dry density  $\lambda_{\text{dry}}$  can  
 164 be determined by the following equations:

165 
$$K_e \cong \log(S_r/100) + 1.0 \quad (4)$$

166 
$$\lambda_{\text{dry}} = \frac{0.135\rho_d + 64.7}{\rho_s - 0.947\rho_d} \quad (5)$$

167 where  $S_r$  is the degree of saturation,  $\rho_d$  is the dry unit mass of soil and  $\rho_s$  is the unit  
 168 mass of solids, both expressed in  $\text{kg/m}^3$ .

169 The degree of thermal conductivity anisotropy  $\eta_T$  is defined as:

170 
$$\eta_T = \frac{\lambda_{//}}{\lambda_{\perp}} \quad (6)$$

171 where  $\lambda_{//}$  (equal to  $\lambda_0$ ) and  $\lambda_{\perp}$  were respectively the thermal conductivities in the  
 172 directions parallel and perpendicular to the bedding plane.

173 *Compressibility and hydraulic conductivity measurements*

174 The samples extracted from borehole AUB1012 at depths of 11.56 m and 30.5 m,  
 175 30.8 m were used for the compressibility and the hydraulic conductivity  
 176 measurements. Samples of 50 mm in diameter and 20 mm in height were prepared by  
 177 trimming from two directions: horizontal direction and vertical direction. The test  
 178 program is presented in Table 2.

179 After installing the soil sample in oedometer cell, step loading was applied up to  
 180 the in-situ effective vertical stress without putting the sample in contact with water in  
 181 order to avoid soil swelling which would affect the soil microstructure and thereby the  
 182 soil hydro-mechanical behavior (Delage et al., 2007; Cui et al., 2013). The in-situ  
 183 effective vertical stress  $\sigma'_{v0}$  was estimated using Eq. (7):

184 
$$\sigma'_{v0} = \gamma h - u_0$$

185 (7)

186 where  $\gamma$  is the mean unit weight of the soil above the depth considered,  $h$  is the depth

187 of the soil core (see Table 2);  $u_0$  is the in-situ pore pressure estimated from the ground  
188 water level that is assumed to be at the ground surface for simplicity (Zhang et al.,  
189 2018).

190 The value of  $\sigma'_{vo}$  for AUB1012 extracted at 11.56 m was found to be 0.125 MPa.  
191 This value was applied to the sample trimmed in the horizontal and the vertical  
192 directions (11.56 m). The values of  $\sigma'_{vo}$  for AUB1012 extracted at 30.8 m and 30.5 m  
193 were estimated at 0.34 MPa, which was applied to the sample trimmed in the  
194 horizontal (30.8 m) and the vertical (30.5 m) directions. It is worth noting that,  
195 experimentally, it is difficult to adopt an appropriate stress to be applied to the  
196 horizontal sample. Thus, for simplicity, the in-situ effective vertical stress applied to  
197 samples in both the horizontal and vertical directions. This also made the comparison  
198 between the responses of the samples in two directions easier.

199 After application of  $\sigma'_{vo}$ , the bottom porous stone and the drainage system were  
200 saturated with de-ionized water, and the sample was kept saturated for 1 week. Then,  
201 a constant water pressure of 0.1 MPa was applied using a controller of  
202 pressure/volume (CPV) from the lower base of the cell, and the upper base was kept  
203 at atmospheric pressure. Based on the water flux monitored, the hydraulic  
204 conductivity was determined by the constant-head method. Afterwards, the injection  
205 pressure of 0.1 MPa was reduced to 0.01 MPa, and the compression test was  
206 performed in a conventional way by step loading (see Table 2), up to 7.87 MPa for  
207 AUB1012 at depth of 11.56 m in two directions and 16.08 MPa for AUB1012 at  
208 depths of 30.80 m in the horizontal direction and 30.50 m in the vertical direction.

209 The hydraulic conductivity test was also performed after stabilization at each loading  
210 step. The axial displacement was monitored using a LVDT (Linear Variable  
211 Differential Transformer), and the deformation was considered as stabilized when the  
212 vertical strain rate was lower than  $5 \times 10^{-4}$  every 8 h (AFNOR, 1997). Note that the  
213 compression curve of vertical sample (where loading occurred in the direction normal  
214 to the bedding plane) from AUB1012 (11.56 m) was collected from Zhang et al.  
215 (2018).

216 All the tests were performed at a controlled temperature of  $20 \pm 1^\circ\text{C}$ .

217

## 218 **Experimental results**

### 219 *Thermal conductivity*

220 Since the values of degree of saturation were slightly lower than 100%, the thermal  
221 conductivity values at saturated state were first calculated using Eqs. (3), (4) and (5).  
222 Figs. 2(a)-2(d) show the changes of thermal conductivity with dry density and water  
223 content along the three directions ( $\lambda_0$ ,  $\lambda_{45}$ ,  $\lambda_{90}$ ) for samples AUB1011 and AUB1012.  
224 The calculated values of  $\lambda_{45}$  and  $\lambda_{\perp}$  were also plotted. A good agreement was  
225 obtained between calculation and measurement in case of  $\lambda_{45}$ , justifying the  
226 calculation method adopted. A significant thermal anisotropy was identified with the  
227 highest thermal conductivity values in the direction parallel to bedding, the smallest  
228 ones in the direction perpendicular to bedding and the values in-between at  $45^\circ$ . This  
229 is consistent with the results reported by Dao et al. (2014a) on Boom Clay: the  
230 thermal conductivity in the horizontal direction was found to be higher than in the

231 vertical direction. Moreover, the thermal conductivity along three directions ( $\lambda_0$ ,  
232  $\lambda_{45}$ ,  $\lambda_{90}$ ) increased with the increase of dry density (Figs. 2a and 2c), but decreased  
233 with the increase of water content (Figs. 2b and 2d). This is commonly explained by  
234 the thermal conductivities of soil components (Tang et al., 2008): the thermal  
235 conductivity of solids ( $\lambda_s$  is equal to 7.7 W/(m.k) for quartz and 2.0 W/(m.k) for other  
236 minerals) is much higher than that of water ( $\lambda_w = 0.57$  W/(m.k)). Tang et al. (2008)  
237 worked on compacted MX80 bentonite and they also observed that the thermal  
238 conductivity increased with the increase of dry density and decreased with the  
239 increase of water content.

240 Figs. 3(a)-3(b) show the changes of degree of thermal conductivity anisotropy  $\eta_T$   
241 with dry density and water content for samples AUB1011 and AUB1012. It appears  
242 that  $\eta_T$  varied from 1.49 to 1.65 for samples AUB1011 and from 1.23 to 1.55 for  
243 samples AUB1012. In addition, the degree of thermal conductivity anisotropy slightly  
244 decreased with dry density, but slightly increased with water content.

245

#### 246 *Compressibility and hydraulic conductivity*

247 Fig. 4 presents the compression curves of samples AUB1012 in two directions and at  
248 two depths. It can be observed that slight swells occurred during saturation under the  
249 in-situ vertical stress  $\sigma'_{vo}$  for the four samples, suggesting that the in-situ stresses were  
250 slightly underestimated. By approximating each curve with two straight lines, the  
251 compression indexes  $C_{c1}$  (corresponding to the common rebounding index  $C_s$ ) at  
252 lower stress and the compression indexes  $C_{c2}$  (corresponding to the common

253 compression index  $C_c$ ) at higher stress were determined. Meanwhile, the yield stresses  
254  $\dot{\sigma}_y$  (corresponding to the common pre-consolidation pressure  $\dot{\sigma}_p$ ) were determined as  
255 indicated by arrows in the figure. A degree of compression index anisotropy could be  
256 then defined either by  $\eta_{C_{c1}}$  (ratio of  $C_{c1}$  in the vertical direction to  $C_{c1}$  in the horizontal  
257 direction) or by  $\eta_{C_{c2}}$  (ratio of  $C_{c2}$  in the vertical direction to  $C_{c2}$  in the horizontal  
258 direction). Similarly, the degree of yield stress anisotropy  $\eta_{\dot{\sigma}_y}$  was defined as the  $\dot{\sigma}_y$   
259 in the horizontal direction divided by the one in the vertical direction. These  
260 parameters are reported in Table 3. The  $C_{c1}$  and  $C_{c2}$  in the horizontal direction were  
261 found to be slightly lower than in the vertical direction, while opposite phenomenon  
262 was observed in terms of yield stress. For sample AUB1012 at 11.56 m, the  $\eta_{C_{c1}}$  and  
263  $\eta_{\dot{\sigma}_y}$  were respectively 2.14 and 1.86, while for sample AUB1012 at 30.5 m and 30.8 m,  
264 the two parameters were respectively equal to 2.43 and 2.21. Interestingly, the values  
265 of  $\eta_{C_{c2}}$  were much lower, close to 1, indicating that after yield, the vertical sample had  
266 similar compressibility with the horizontal one.

267 The hydraulic conductivity  $k$  of Teguline clay in two directions and at two depths  
268 are shown in Fig. 5. For samples at 11.56 m, the logarithm of hydraulic conductivity  
269 almost linearly increased with the void ratio in two directions. A significant hydraulic  
270 conductivity anisotropy was evidenced, with higher values in the horizontal direction.  
271 Further examination showed that for AUB 1012 at 11.56 m, the distance between the  
272 lines in two directions gradually decreased after yielding, suggesting that the  
273 microstructures of the vertical sample and the horizontal one were getting closer. This  
274 phenomenon was not clear for AUB 1012 at 30.50 m and 30.80 m. A degree of

275 hydraulic conductivity anisotropy can be then defined either by  $\eta_{k1}$  (ratio of  $k_1$  in the  
276 horizontal direction to  $k_1$  in the vertical direction at the same void ratio) before the  
277 yield stress or by  $\eta_{k2}$  (ratio of  $k_2$  in the horizontal direction to  $k_2$  in the vertical  
278 direction at the same void ratio) after the yield stress. For samples AUB1012 at 11.56  
279 m, the  $\eta_{k1}$  and  $\eta_{k2}$  were respectively 1.73 and 1.5, while for samples AUB1012 at 30.5  
280 m and 30.8 m, owing to the limited data before the yield stress in the horizontal  
281 direction, only  $\eta_{k2}$  could be determined, which was about 2.0. This hydraulic  
282 conductivity anisotropy was also identified on Boom Clay (Yu et al., 2011): a  $\eta_{\kappa}$  of  
283 1.86 was obtained with a hydraulic conductivity in the horizontal direction ( $6.5 \times 10^{-12}$   
284 m/s), higher than in the vertical direction ( $3.5 \times 10^{-12}$  m/s).

285 In order to investigate the coupling effect between compressibility and  
286 permeability, the compression and hydraulic conductivity results are presented  
287 together in Fig. 6 in terms of normalized void ratio  $e/e_0$  and normalized hydraulic  
288 conductivity  $k/k_0$  versus the logarithm of vertical stress  $\sigma'_v$  for samples AUB1012 at  
289 11.56 m (Fig. 6(a)) and AUB1012 at 30.5 m, 30.8 m (Fig. 6(b)). The yield stresses in  
290 two directions are also indicated on the compression curves. It can be observed from  
291 Fig. 6(a) that: i) the normalized void ratio  $e/e_0$  decreased with the vertical stress  $\sigma'_v$  in  
292 two directions and the decrease of  $e/e_0$  in the vertical direction was found to be higher  
293 than in the horizontal direction; ii) the normalized  $k/k_0$  decreased with the vertical  
294 stress  $\sigma'_v$  in two directions; a linear curve was observed in the vertical direction, while  
295 a nearly bi-linear curve was identified in the horizontal direction: when the stress was  
296 lower than a threshold stress of 1.91 MPa (higher than the corresponding yield stress

297 of 1.04 MPa), the decrease of  $k/k_0$  was small, and when the stress was higher than  
 298 1.91 MPa, the decrease of  $k/k_0$  was significantly larger; iii) upon loading below the  
 299 threshold stress of 1.91 MPa, the decrease of  $k/k_0$  in the horizontal direction was lower  
 300 than in the vertical direction, which was consistent with the corresponding variation  
 301 of  $e/e_0$  versus  $\sigma'_v$ ; by contrast, beyond the threshold stress of 1.91 MPa, the decrease  
 302 of  $k/k_0$  in the horizontal direction was higher than in the vertical direction, which was  
 303 opposite to the corresponding variation of  $e/e_0$  versus  $\sigma'_v$ , indicating that significant  
 304 microstructure changes occurred upon loading beyond the threshold stress of 1.91  
 305 MPa for the horizontal sample. The similar phenomena were observed for the samples  
 306 at depths 30.5 m and 30.8 m (in Fig. 6(b)).

307

## 308 **Discussion**

### 309 *Thermal conductivity*

310 As mentioned previously, quartz has significantly higher thermal conductivity than  
 311 other minerals. Thus, the global thermal conductivity of soil  $\lambda_s$  is controlled by the  
 312 volumetric fractions of quartz and other minerals, as follows (Tang et al., 2008):

$$313 \quad \lambda_s = \lambda_q^{v_q} \lambda_o^{1-v_q} \quad (8)$$

314 where  $\lambda_q$  and  $\lambda_o$  are respectively the thermal conductivities of quartz (7.7 W/(m.K))  
 315 and other minerals (2.0 W/(m.K)).  $v_q$  is the volumetric fraction of quartz.

316 The thermal conductivity of soil also depends significantly on the arrangement of  
 317 particles (McGaw, 1969; Hadley et al., 1984). Many models were used to predict the



318 thermal conductivity (McGaw, 1969; Hadley et al., 1984), among which the series  
319 model which assumes perpendicular arrangement of conduction layers and the parallel  
320 model which assumes parallel arrangement of conduction layers allowed the lower  
321 and upper bounds of thermal conductivities to be defined, as follows:

$$322 \quad \lambda_{series}^{-1} = n\lambda_w^{-1} + (1 - n)\lambda_s^{-1} \quad (9)$$

$$323 \quad \lambda_{parallel} = n\lambda_w + (1 - n)\lambda_s \quad (10)$$

324 where  $n$  is the soil porosity;  $\lambda_w$  and  $\lambda_s$  are respectively the thermal conductivities of  
325 water and soil solid, expressed in W/(m.K).

326 Fig. 7 presents the comparisons of thermal conductivities between the calculated  
327 (using the Eqs. (8), (9) and (10)) and the measured values. It is worth noting that, the  
328 measured  $\lambda_0$  and calculated  $\lambda_{\perp}$  (using Eq. (1) based on the measured  $\lambda_0$  and  $\lambda_{90}$ ) were  
329 considered as the measured values in the directions parallel and perpendicular to the  
330 bedding plane, respectively. In the calculation, the thermal conductivities of quartz,  
331 other minerals and water were taken equal to 7.7 W/(m.K), 2.0 W/(m.K) and 0.57  
332 W/(m.K), respectively (Tang et al., 2008). The volumetric fractions of quartz, clay  
333 content were determined from Fig. 1 and the soil porosities were calculated from the  
334 dry densities (in Table 1). All results of samples AUB1011 and AUB1012 are  
335 considered in the analysis. The thermal conductivity results in Fig. 7(a) show that the  
336 series model gave satisfactory results, in particular while considering the quartz effect.  
337 On the contrary, the parallel model gave underestimation without considering the  
338 quartz effect and gave overestimation with consideration of quartz effect.

339 Basically, in case of series model, heat flow took place through water, quartz and  
340 other minerals in series in the direction perpendicular to the bedding plane. In that  
341 case as less solid contacts could be expected, a lower thermal conductivity was  
342 obtained. In the case of parallel model, heat flow took place through water quartz and  
343 other soil minerals in the direction parallel to the bedding plane. As more solid  
344 contacts were involved in that case, a higher thermal conductivity was expected. The  
345 satisfactory results given by the series model with consideration of quartz effect (in  
346 Fig. 7(a)) suggests that the volumetric fraction of quartz was important to be  
347 accounted for. However, it appears that the parallel model with consideration of the  
348 quartz effect overestimated the thermal conductivity (in Fig. 7(b)); thus in that case it  
349 seems more suitable to not consider the quartz effect. In fact, the real soil arrangement  
350 was neither fully in series, nor fully in parallel. Thus, in the case of series model the  
351 consideration of quartz effect compensated the effect of soil particles and water that  
352 are not in series, while in the case of parallel model the non-consideration of quartz  
353 effect compensated to some extent the effect of soil particles and water that are not in  
354 parallel.

355

### 356 *Compressibility and hydraulic conductivity*

357 Basically, compression in oedometer obeys  $K_0$  condition. The value of  $K_0$  can be  
358 estimated using the following equation (Della Vecchia et al., 2010; Lima et al., 2011;  
359 Zhang et al., 2018):

$$360 \quad K_0^{SC} = (1 - \sin \varphi') \sqrt{\text{OCR}} \quad (11)$$

361 where  $K_o^{SC}$  is the earth pressure coefficient at rest for over-consolidation soil,  $\phi'$  is the  
362 effective internal friction angle, OCR is the over-consolidation ratio. For Teguline  
363 clay extracted at 11.56 m and 30.5 m, the OCRs are respectively 4.5 and 5.3  
364 (determined from Fig. 4), and the effective internal friction angle  $\phi'$  is about  $27.3^\circ$   
365 (Zhang et al., 2018). The  $K_o^{SC}$  values determined using Eq. (11) are respectively 1.15  
366 and 1.24 for samples extracted at 11.56 m and 30.5 m, indicating that the maximum  
367 effective stress that Teguline clay had suffered in the horizontal direction in the  
368 history was expected to be higher than in the vertical direction. This is the reason why  
369 the yield stress in the horizontal direction was higher than in the vertical direction.

370 The degrees of anisotropy identified in terms of thermal conductivity,  
371 compression index, yield stress and hydraulic conductivity are not far from each other  
372 for the studied Teguline clay:  $\eta_T$  varied from 1.23 to 1.65,  $\eta_{Ccl}$  from 2.14 to 2.43,  $\eta_{\sigma_y}$   
373 from 1.86 to 2.21 and  $\eta_{kl}$  was 1.73. This indicates that bedding is a good indicator of  
374 thermo-hydro-mechanical anisotropy for natural stiff clays.

375 The compression curves (see Fig. 4) and hydraulic conductivities (see Fig. 5) in  
376 the horizontal and the vertical directions were found to gradually converge after yield,  
377 suggesting that the hydro-mechanical anisotropy of the horizontal sample was  
378 approaching that of the vertical one. As the microstructure of soil does not change  
379 significantly before the yield stress, the anisotropy identified represents the inherent  
380 one. On the contrary, significant microstructure change can be expected beyond the  
381 yield stress and in that case any anisotropy identified represents the induced one.  
382 Thereby, the large values of  $\eta_{Ccl}$ ,  $\eta_{\sigma_y}$  and  $\eta_{kl}$  obtained suggest a significant inherent

383 anisotropy of Teguline clay, in agreement with the results of thermal conductivity.  
384 Upon loading beyond the yield stress, similar values of compression index  $C_{\alpha 2}$  in two  
385 directions (see Table 3) were obtained. This does not indicate an isotropic behaviour;  
386 it just suggests that the microstructures of the vertical sample and the horizontal one  
387 were getting closer after yielding. The evolution of microstructure for vertical and  
388 horizontal Teguline clay samples during loading can be described in Fig. 8. For the  
389 vertical sample, loading in oedometer after the yield stress led to more and more  
390 anisotropic microstructure feature because of the expected increase of particle  
391 orientation (Delage and Lefebvra, 1984; Cui et al., 2013), while for the horizontal  
392 sample, the inherent anisotropy disappeared first upon loading; then, induced  
393 anisotropy developed with the increase of particle orientation. Thus, the changes of  
394 principle stresses (as if rotation of principal stresses took place) was involved in that  
395 case and the horizontal sample experienced changes from initial inherent anisotropy  
396 to isotropy and then to the stress-induced anisotropy.

397 The microstructure changes during loading for vertical and horizontal samples  
398 described in Fig. 8 were in agreement with the evolution of  $k/k_0$  with  $\sigma'_{v0}$  in  
399 semi-logarithmic plot (Figs. 6(a) and 6(b)). For the horizontal sample, the decrease of  
400  $k/k_0$  with  $\sigma'_v$  was small before the threshold stress, as the fluid flow in the direction  
401 parallel to the bedding is dominant and in that case the bedding plane acts as  
402 preferential path for water flow. By contrast, beyond the threshold stress, dramatic  
403 decrease of  $k/k_0$  was observed, indicating that inherent anisotropy disappeared and  
404 induced anisotropy appeared with the increase of particle orientation. In that case,

405 water flow in the direction normal to the induced orientated particles became  
406 prevailing. Thereby, for the horizontal sample, the threshold stress identified in the  
407  $k/k_0 - \dot{\sigma}_v$  curve in semi-logarithmic plot separated the zone with prevailing parallel  
408 flow (in the direction parallel to the inherent bedding plane) from the zone with  
409 prevailing perpendicular flow (in the direction perpendicular to the induced orientated  
410 particles). This threshold stress should correspond to the stress that generated the  
411 isotropic state in the soil. For the vertical sample, no clear threshold stress was  
412 observed in the  $k/k_0 - \dot{\sigma}_v$  curve in semi-logarithmic plot. This is logical because loading  
413 in oedometer after the yield stress led to more and more anisotropy and in that case  
414 the changes of perpendicular flow (in the direction perpendicular to the bedding plane  
415 before the yield stress and normal to the induced orientated particles after the yield  
416 stress) were dominant.

417

## 418 **Conclusion**

419 The anisotropy feature of stiff Teguline clay was investigated in terms of thermal  
420 conductivity, compressibility and hydraulic conductivity. The results obtained allow  
421 the following conclusions to be drawn.

422 A significant thermal conductivity anisotropy was evidenced: the highest value  
423 was in the direction parallel to the bedding plane and the smallest one in the direction  
424 perpendicular to the bedding plane, the one at  $45^\circ$  being logically in between, in  
425 agreement with the results of hydraulic conductivity. The compression index also  
426 revealed the anisotropic feature of Teguline clay: lower values were identified in the

427 horizontal direction. Moreover, the clay anisotropy was also characterized by higher  
428 values of yield stress in the horizontal direction than in the vertical direction.

429 The degrees of thermal conductivity anisotropy  $\eta_T$ , compression index  
430 anisotropy  $\eta_{Cc1}$ , the yield stress anisotropy  $\eta_{\sigma_y}$  and hydraulic conductivity anisotropy  
431  $\eta_{k1}$  were not far from each other, suggesting that bedding is a good indicator of the  
432 inherent thermo-hydro-mechanical anisotropy for natural stiff clays.

433 Upon loading in oedometer, the inherent anisotropic features of Teguline clay  
434 changed after the yield stress: for the vertical sample, loading led to more and more  
435 particle orientation and thus more and more anisotropy. On the contrary, for the  
436 horizontal sample, loading led to the disappearance of the inherent anisotropy first,  
437 before creating the stress-induced anisotropy. The evolution of  $k/k_0$  versus  $\sigma'_{vo}$  in  
438 semi-logarithmic plot also was found to be in agreement with the microstructure  
439 changes.

440 These findings confirm that natural stiff clays, through their formation by  
441 deposition, develop orientated microstructure. As a result, anisotropic features  
442 develop in terms of thermal conductivity, compressibility and hydraulic conductivity.  
443 These anisotropic features changed upon loading beyond the yield stress or the  
444 threshold stress. This anisotropy feature is important to be accounted for when  
445 constructing geotechnical and geo-environmental structures in natural stiff clays.

446

## 447 **References**

448 AFNOR., 1997. Sols: reconnaissance et essais. Essais oedométriques. Partie 1: Essai  
449 de compressibilité sur matériaux fins quasi saturés avec chargement par paliers.

450 Alshawmar, F., 2014. Evaluation of compressibility, anisotropy and at-rest lateral  
451 earth pressure in Champlain Sea clays. Master thesis, University of Ottawa.  
452 Ottawa, Canada.

453 Armand, G., Noiret, A., Zghondi, J., Seyedi, D.M., 2013. Short- and long-term  
454 behaviors of drifts in the Callovo-Oxfordian claystone at the  
455 Meuse/Haute-Marne Underground Research Laboratory. *J Rock Mech Geotech*  
456 *Eng.* 5, 221-230.

457 ASTM D5334-00, 2000. Standard test methods for determining of thermal  
458 conductivity of soil and soft rock by thermal needle probe procedure. ASTM  
459 International, West Conshohocken, PA.

460 Bertrand, F., Collin, F., 2017. Anisotropic modelling of Opalinus Clay behaviour:  
461 From triaxial tests to gallery excavation application. *J Rock Mech Geotech Eng.*  
462 9(3), 435-448.

463 Cui, Y.J., Nguyen, X.P., Tang, A.M., Li, X.L., 2013. An insight into the  
464 unloading/reloading loops on the compression curve of natural stiff clays. *Appl.*  
465 *Clay Sci.* 83-84, 343-348.

466 Davis, M.G., Chapman, D.S., Van Wagoner, T.M., Armstrong, P.A., 2007. Thermal  
467 conductivity anisotropy of metasedimentary and igneous rocks. *J. Geophys. Res.*  
468 112 (B05216), 1-7.

469 Dao, L.Q., Delage, P., Tang, A.M., Cui, Y.J., Pereira, J.M., 2014a. Anisotropic thermal  
470 conductivity of natural Boom Clay. *Appl. Clay Sci.* 101, 282-287.

471 Dao, L.Q., Cui, Y.J., Tang, A.M., Pereira, J.M., Li, X.L., Sillen, X., 2014b.  
472 Investigating the anisotropy of the shear modulus of natural Boom Clay.  
473 *Geotech Lett.* 4, 98-101.

474 Dao, L.Q., Cui, Y.J., Tang, A.M., Delage, P., Li, X.L., Sillen, X., 2015a. Anisotropy in  
475 Oedometer Test on Natural Boom Clay. *Engineering Geology for Society and*  
476 *Territory.* 6, 499-502.

477 Dao, L.Q., Cui, Y.J., Tang, A.M., Pereira, J.M., Li, X.L., Sillen, X., 2015b. Impact of  
478 excavation damage on the thermo-hydro-mechanical properties of natural Boom  
479 Clay. *Eng Geol.* 195, 196-205.

480 Delage, P., Lefebvre, G., 1984. Study of the structure of a sensitive Champlain clay  
481 and of its evolution during consolidation. *Can. Geotech J.* 21(1), 21-35.

482 Delage, P., Le, T.T., Tang, A.M., Cui, Y.J., Li, X.L., 2007. Suction and in-situ stresses  
483 of deep Boom clay samples. *Géotechnique.* 57(1), 239-244.

484 Della Vecchia, G., Lima, A., Jommi, C., and Romero, E. 2010. Some remarks on the  
485 hydro-mechanical constitutive modelling of natural and compacted Boom clay.  
486 *Proc. 5th Int. Conf. on Unsaturated Soils.* Barcelona. Taylor and Francis Group,  
487 London, 803-809.

488 Farouki, O.T., 1986. Thermal properties of soils. *Rock and Soil Mechanics* 11. Trans  
489 Tech Publications, Clausthal-Zellerfeld, Germany.

490 Favero, V., Ferrari, A., Laloui, L., 2018. Anisotropic behaviour of Opalinus Clay  
491 through consolidated and drained triaxial testing in saturated conditions. *Rock*  
492 *Mech Rock Eng.* 51(5), 1305-1319.

493 Francois, B., Labiouse, V., Dizier, A., Marinelli, F., Charlier, R., Collin, F., 2014.

494 Hollow Cylinder Tests on Boom Clay: Modelling of Strain Localization in the  
495 Anisotropic Excavation Damaged Zone. *Rock Mech Rock Eng.* 47, 71-86.

496 Hadley, G.R., Mcvey, D.F., Morinl, R., 1984. Thermophysical properties of deep  
497 ocean sediments. *Marine Geotechnology.* 5(3-4), 257-295.

498 Hicher, Y.P., Wayudi, H., Tessier, D., 2000. Microstructural analysis of inherent and  
499 induced anisotropy in clay. *Mech Cohes-frict Mat.* 5(5), 341-371.

500 Johansen, O., 1975. Thermal conductivity of soils. Ph.D. thesis, Trondheim, Norway.  
501 (CRREL Draft Translation 637, 1977). ADA 044002.

502 Khan, M.M.A., 1993. Strength-deformation behaviour of a weathered clay crust. PhD  
503 thesis, University of Ottawa, Ottawa, Canada.

504 Lima, A., Romero, E., and Piña, Y. 2011. Water retention properties of two deep  
505 Tertiary clay formations within the context of radioactive waste disposal. VII  
506 Brazilian Symposium on Unsaturated Soil. Pirenópolis, Goiania, Brazil,  
507 315-321.

508 Masri, M., Sibai, M., Shao, J.F., Mainguy, M. 2014. Experimental investigation of the  
509 effect of temperature on the mechanical behavior of Tournemire shale. *Int J Rock  
510 Mech Min Sci.* 70, 185-191.

511 Mcgaw, R., 1969. Heat conduction in saturated granular materials. High research  
512 board sepcial report. 103, 114-131.

513 Midttømme, K., Roaldset, E., 1999. Thermal conductivity of sedimentary rocks:  
514 uncertainties in measurement and modeling. *Geol. Soc. Lond., Spec. Publ.*  
515 158(1), 45-60.

516 Munroe, R.J., Sass, J.H., 1987. Thermal Conductivity of Samples From Borehole  
517 VC-1, Report 87-184. Geological Survey.

518 Naumann, M., Hunsche, U., Schulze, O., 2007. Experimental investigations on  
519 anisotropy in dilatancy, failure and creep of Opalinus Clay. *Phys. Chem. Earth.*  
520 32(8-14), 889-895.

521 Niandou, H., Shao, J.F., Henry, J.P., Fourmaintraux, D., 1997. Laboratory  
522 investigation of the mechanical behaviour of Tournemire shale. *Int J Rock Mech  
523 Min Sci.* 34(1), 3-16.

524 Penner, E., 1963. Anisotropic thermal conduction in clay sediments. International  
525 Clay Conference.

526 Popov, Y., Bayuk, I., Parshin, A., Miklashevskiy, D., Novikov, S., Chekhonin, E.,  
527 2012. New methods and instruments for determination of reservoir thermal  
528 properties. Proceedings Thirty-seventh Workshop on Geothermal Reservoir  
529 Engineering. Stanford University, Stanford, California.

530 Riche, F., Schneebeli, M., 2013. Thermal conductivity of snow measured by three  
531 independent methods and anisotropy considerations. *The Cryosphere.* 7,  
532 217-227.

533 Salehnia, F., Collin, F., Li, X.L., Dizier, A., Sillen, X., Charlier, R., 2015. Coupled  
534 modeling of Excavation Damaged Zone in Boom clay: Strain localization in rock  
535 and distribution of contact pressure on the gallery's lining. *Comput Geotech.* 69,  
536 396-410.

537 Seyedi, D.M., Armand, G., Noiret, A., 2017. "Transverse Action"- A model



538 benchmark exercise for numerical analysis of the Callovo-Oxfordian claystone  
 539 hydromechanical response to excavation operations. *Comput Geotech.* 85,  
 540 287-305.

541 Tang, A.M., Cui, Y.J., Le, T.T., 2008. A study on the thermal conductivity of  
 542 compacted bentonites. *Appl. Clay Sci.* 41, 181-189.

543 Ye, W.M., Lu, Y., Huang, X.H., Chen, B., Chen, Y.G., Cui, Y.J., 2017. Anisotropic  
 544 thermal conductivity of unsaturated compacted GMZ bentonite-sand mixture.  
 545 *PanAm Unsaturated Soils.* 413-424.

546 Yu, L., Gedeon, M., Wemaere, I., Marivoet, J., De Craen, M., 2011. Boom clay  
 547 hydraulic conductivity. A Synthesis of 30 Years of Research. External Report  
 548 SCK-CEN, Mol (Belgium).

549 Zhang, C.L., Armand, G., Conil, N., 2015. Investigation on the anisotropic  
 550 mechanical behaviour of the Callovo-Oxfordian clay rock. Final report.

551 Zhang, F., Cui, Y.J., Zeng, L.L., Robinet, J.C., Conil, N., Talandier, Jean., 2018. Effect  
 552 of degree of saturation on the unconfined compressive strength of natural stiff  
 553 clays with consideration of air entry value. *Eng. Geol.* 237, 140-148.

554 Zeng, L.L., Cui, Y.J., Conil, N., Zghondi, J., Armand, G., Talandier, J., 2017.  
 555 Experimental study on swelling behaviour and microstructure changes of natural  
 556 stiff clays upon wetting. *Can. Geotech J.* 54(5), 700-709.

557  
 558  
 559  
 560

## 561 **List of Tables**

562 **Table 1.** Program for thermal conductivity tests  
 563 **Table 2.** Program for compressibility and hydraulic conductivity tests  
 564 **Table 3.** Parameters obtained from the compression curves  
 565

## 566 **List of Figures**

567 **Fig. 1.** Variations of (a) clay content; (b) quartz content over depth  
 568 **Fig. 2.** Variations of thermal conductivity with (a) dry density for samples AUB1011;  
 569 (b) water content for samples AUB1011; (c) dry density for samples AUB1012; (d)  
 570 water content for samples AUB1012  
 571 **Fig. 3.** Variations of degree of thermal conductivity anisotropy  $\eta_T$  with (a) dry density;  
 572 (b) water content  
 573 **Fig. 4.** Compression curves  
 574 **Fig. 5.** Hydraulic conductivity  $k$  versus void ratio  $e$   
 575 **Fig. 6.** Normalized  $e/e_0$  or  $k/k_0$  versus vertical stress  $\sigma'_{v0}$  for samples (a) AUB1012 at  
 576 11.56 m; (b) AUB1012 at 30.5 m and 30.8 m  
 577 **Fig. 7.** Comparisons of measured thermal conductivity and calculated values by (a)

578 series model; (b) parallel model

579 **Fig. 8.** Conceptual model for vertical sample and horizontal sample subjected to  
580 loading in one-dimensional condition

581  
582  
583  
584  
585  
586  
587  
588  
589  
590  
591  
592  
593  
594  
595  
596  
597  
598  
599  
600  
601  
602  
603

**Table 1.** Program for thermal conductivity tests

Core	Depth(m)	Water content (%)	Dry density (Mg/m <sup>3</sup> )	Direction
AUB1011	18.21	13.68	1.98	0° , 45° and 90°
	31.76	12.13	2.04	0° , 45° and 90°
AUB1012	5.46	19.53	1.74	0° , 45° and 90°
	11.56	15.28	1.87	0° , 45° and 90°
	18.06	14.69	1.90	0° , 45° and 90°
	30.5	12.20	2.04	0° , 45° and 90°
	31.22	12.19	2.06	0° , 45° and 90°

604  
605

606

**Table 2.** Program for compressibility and hydraulic conductivity tests

Core	Depth(m)	Direction	Stress path (MPa)
AUB1012	11.56	Horizontal	0.125-0.375-0.874-1.874-3.872-7.869
		Vertical	
	30.8	Horizontal	0.345-0.594-1.094-2.093-4.092-8.089-16.083
		Vertical	
30.5	Vertical		

607

Note that the horizontal direction refers to the loading direction parallel to the bedding

608

plane, while the vertical direction refers to the loading direction normal to the bedding

609

plane.

610

611

**Table 3.** Parameters obtained from the compression curves

Core	Direction	$C_{C1}$	$\eta_{Cc1}$	$\dot{\sigma}_y$	$\eta_{\dot{\sigma}_y}$	$C_{C2}$	$\eta_{Cc2}$
AUB1012 11.56 m	Horizontal	0.0081		1.04		0.074	
	Vertical		2.14		1.86		1.09
AUB1012 30.8 m	Horizontal	0.0042		3.97		0.064	
	Vertical		2.43		2.21		1.14
AUB1012 30.5 m	Vertical	0.0102		1.80		0.073	

612

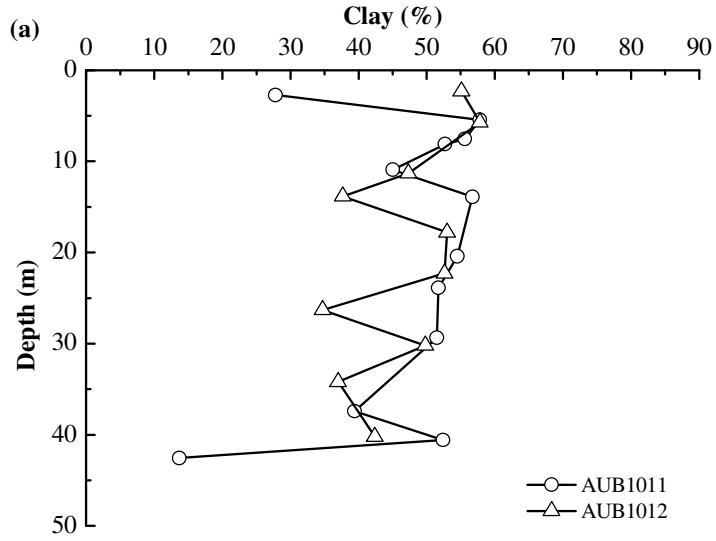
613

614

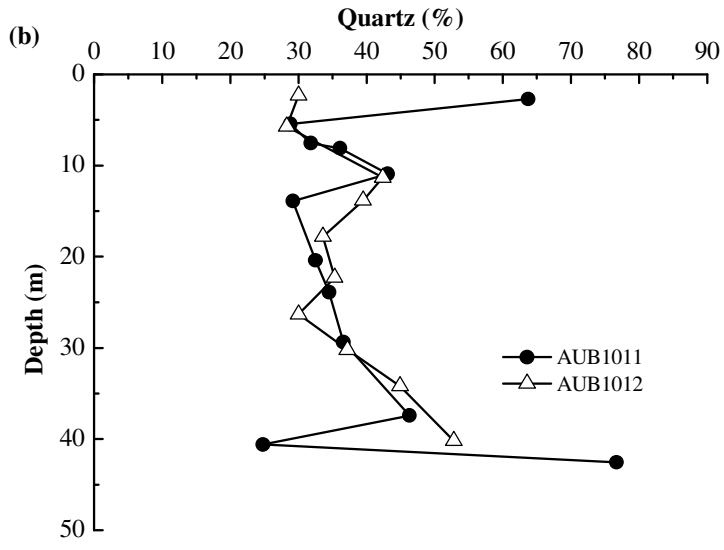
615

616

617



618

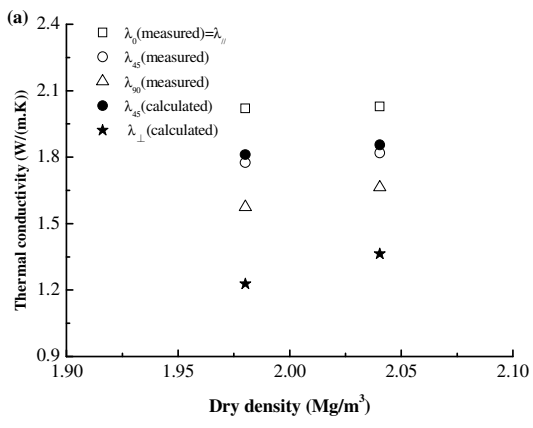


619

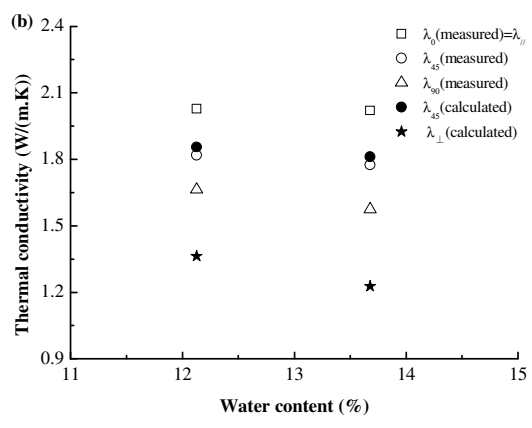
620

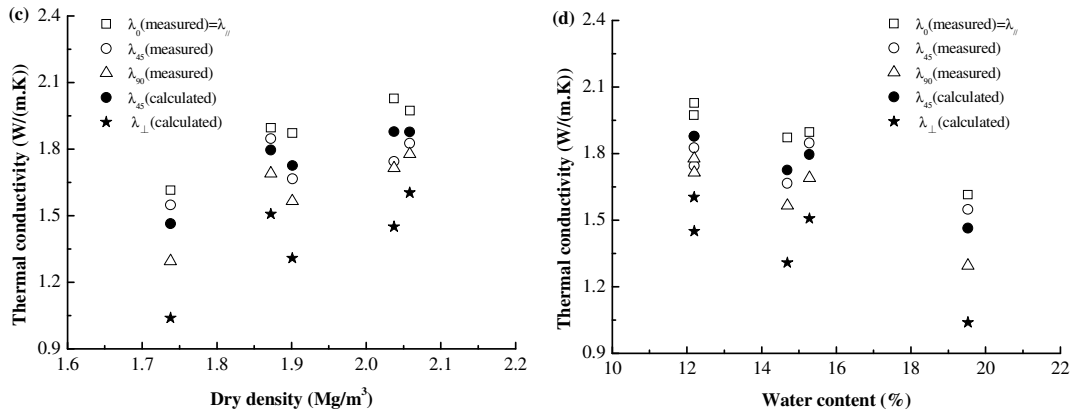
**Fig. 1.** Variations of (a) clay content; (b) quartz content over depth

621

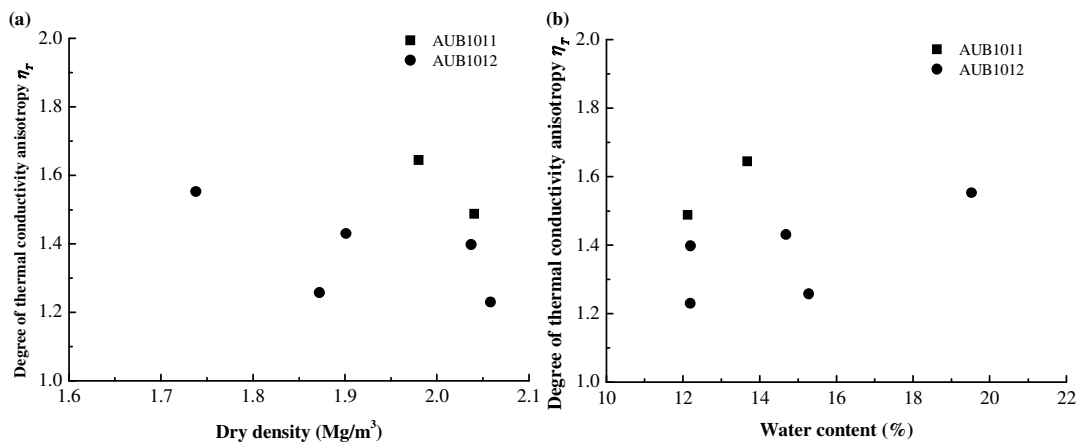


622

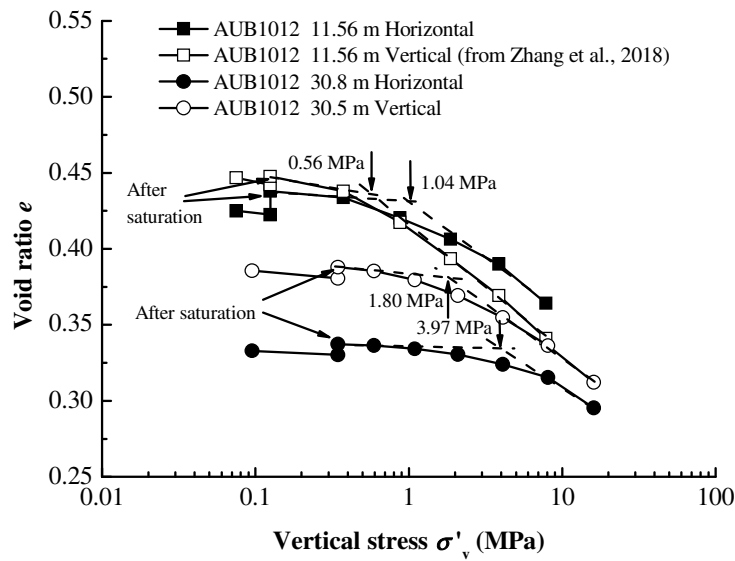




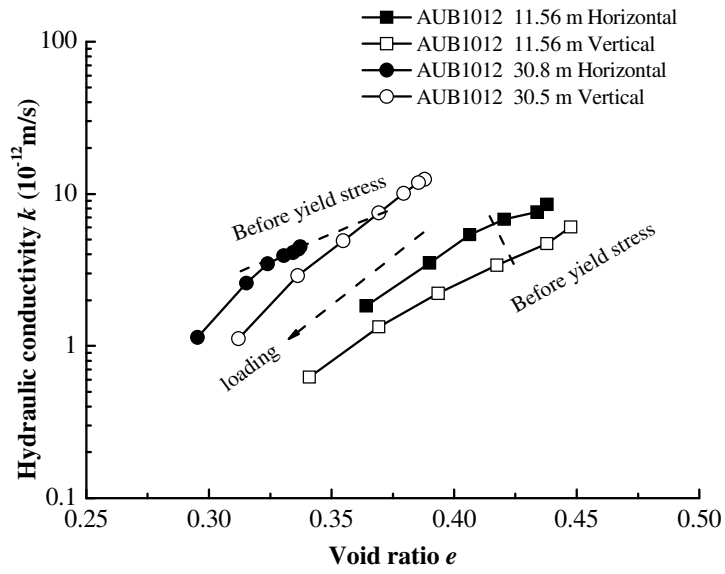
623  
 624 **Fig. 2.** Variations of thermal conductivity with (a) dry density for samples AUB1011;  
 625 (b) water content for samples AUB1011; (c) dry density for samples AUB1012; (d)  
 626 water content for samples AUB1012



627  
 628 **Fig. 3.** Variations of degree of thermal conductivity anisotropy  $\eta_T$  with (a) dry density;  
 629 (b) water content



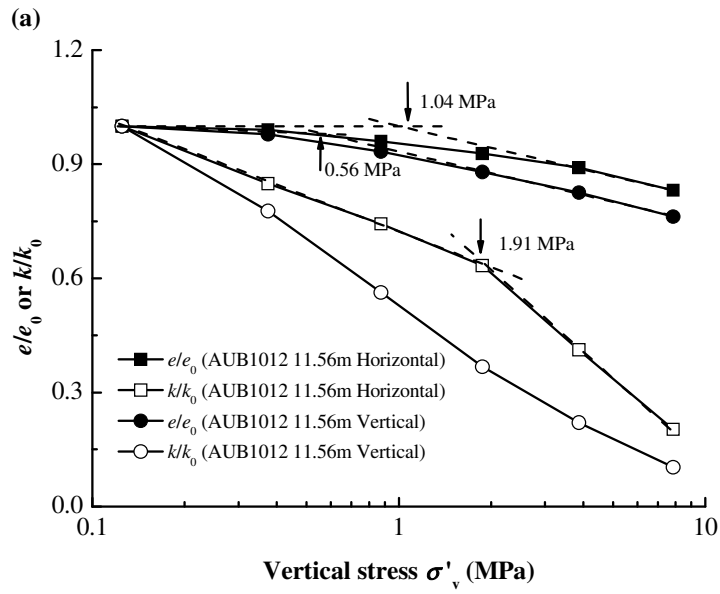
630  
 631 **Fig. 4.** Compression curves



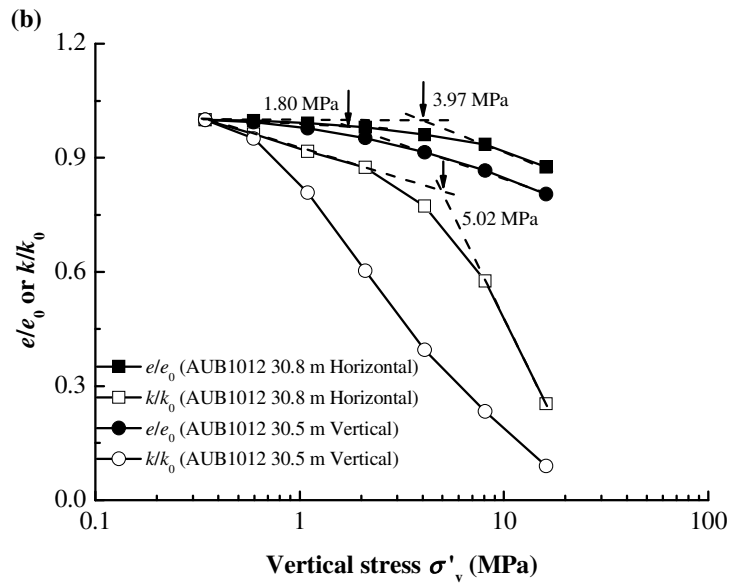
632

633

**Fig. 5.** Hydraulic conductivity  $k$  versus void ratio  $e$

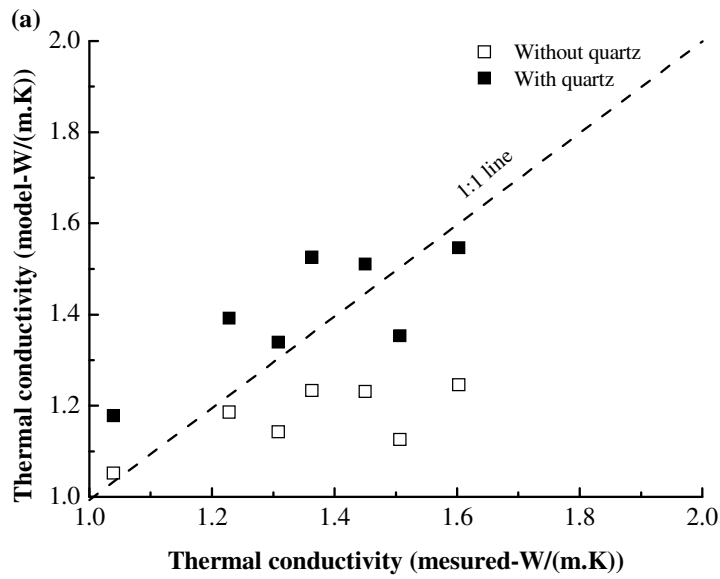


634

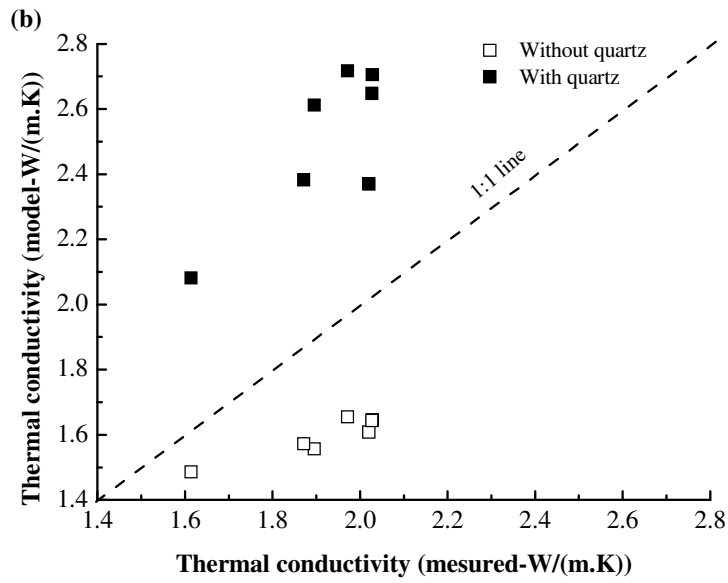


635

636 **Fig. 6.** Normalized  $e/e_0$  or  $k/k_0$  versus vertical stress  $\sigma'_{v0}$  for samples (a) AUB1012 at  
 637 11.56 m; (b) AUB1012 at 30.5 m and 30.8 m

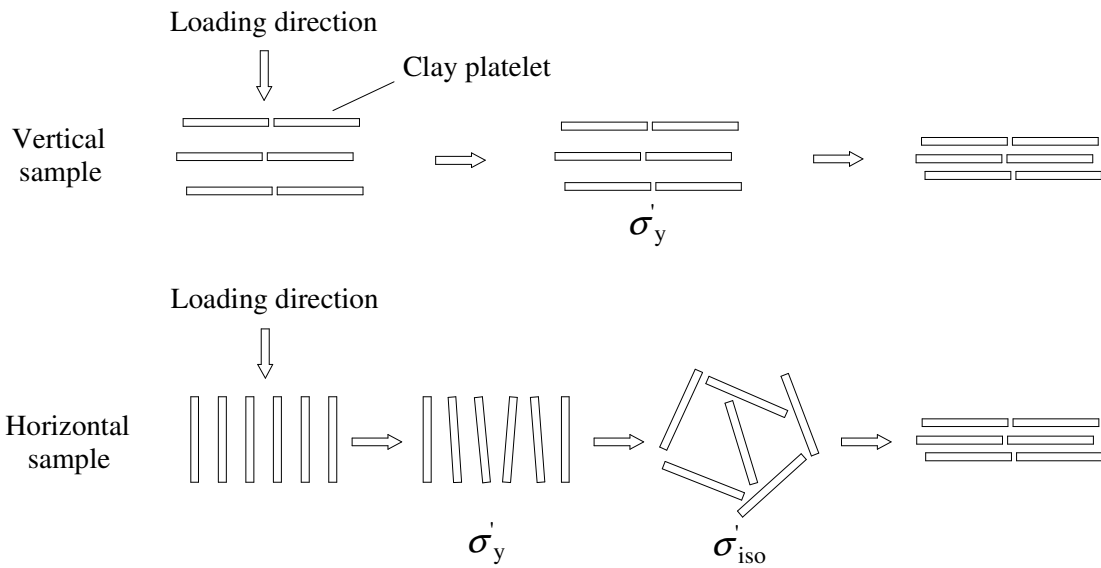


638



639  
640  
641  
642

**Fig. 7.** Comparisons of measured thermal conductivity and calculated values by (a) series model; (b) parallel model



643  
644  
645  
646

**Fig. 8.** Conceptual model for vertical sample and horizontal sample subjected to loading in one-dimensional condition