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On the balanceability of some graph classes^{*}

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Abstract

Given a graph G, a 2-coloring of the edges of K_n is said to contain a balanced copy of G if we can find a copy of G such that half of its edges are in each color class. If there exists an integer k such that, for n sufficiently large, every 2-coloring of K_n with more than k edges in each color class contains a balanced copy of G, then we say that G is balanceable. Balanceability was introduced by Caro, Hansberg and Montejano, who also gave a structural characterization of balanceable graphs.

In this paper, we extend the study of balanceability by finding new sufficient conditions for a graph to be balanceable or not. We use those conditions to fully characterize the balanceability of graph classes such as circulant graphs, rectangular and triangular grids.

Keywords: Balanceable Graphs; Ramsey Theory

1. Introduction

Ramsey Theory studies the presence of ordered substructures in large, arbitrarily ordered structures. For instance, the seminal Ramsey Theorem [6] states that, for every integer r, every 2-coloring of the edges of K_n contains a monochromatic K_r whenever n is sufficiently large. However, it is also possible to look for other kinds of ordered substructures. In particular, Caro, Hansberg and Montejano [1] introduced the notion of *balanceability*, which looks for balanced copies of a graph in 2-colorings of the edges of K_n .

More formally, let G(V, E) be a simple, finite graph with an even number of edges. A 2-coloring of the edges of K_n is a function $\alpha : E(K_n) \to \{R, B\}$ that associates every edge with one of two colors; R and B being called the color classes. A 2-coloring α of the edges of K_n is said to contain a balanced copy of G if we can find a copy of G such that its edge-set E is partitioned in two parts (E_1, E_2) such that $|E_1| = |E_2|$ and $\alpha(e) = R$ for $e \in E_1$ and $\alpha(e) = B$ for $e \in E_2$. Said otherwise, we can find a copy of G with half of its edges in each color class. Being inspired by Ramsey Theory, balanceability is about finding a balanced copy of G in any 2-coloring of the edges of K_n . However, it is trivial to see that, for any graph G(V, E), we need at least $\frac{|E|}{2}$ edges in each color class in order to find a balanced copy of G. Thus, we need to guarantee a certain number of edges in each color class, leading to the following definition of a balanceable graph:

Definition 1. Let G be a graph with an even number of edges. If there exists an integer k such that, for n sufficiently large, every 2-coloring of the edges of K_n with more than k edges in each color class contains a balanced copy of G, then G is balanceable.

Observation 2. Note that the concept of balanceability can be extended to graphs with an odd number of edges, as explained in [1]. In this case, we would be looking for a copy where the number of edges in each color class differ by 1. However, in this paper, we only consider graphs with an even number of edges.

For example, a path on two edges is balanceable, since as long as each color class contains at least one edge, then we will be able to find two incident edges belonging to different color classes. For larger graphs, the

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question of deciding whether they are balanceable seems difficult to solve. Given a graph G and a partition (X, Y) of its vertices, we denote by e(X, Y) the number of edges with one endpoint in X and the other in Y; given a graph G and a subset W of its vertices, we denote by e(G[W]) the number of edges in the subgraph of G induced by the vertices in W. In their paper, Caro, Hansberg and Montejano proved the following characterization of balanceable graphs:

Theorem 3 ([1]). A graph G(V, E) with an even number of edges is balanceable if and only if G has both a partition $V = X \cup Y$ and a set of vertices $W \subseteq V$ such that $e(X, Y) = e(G[W]) = \frac{|E|}{2}$.

In other words, a graph is balanceable if and only if it has both a cut crossed by exactly half of its edges and an induced subgraph containing exactly half of its edges. Theorem 3 was proved by showing that, for every integer t and any n sufficiently large, there exists a number m(n, t) such that every 2-coloring of the edges of K_n with more than m(n, t) edges in each color class contains one of two specific colored copies of K_{2t} . Those specific colored copies may then be used, for some graph G, to find a balanced copy of G or to prove that no balanced copy of G may exist.

Caro, Hansberg and Montejano [1] showed via Theorem 3 that trees are balanceable. It was shown in [2], previous to this result, that the only balanceable complete graph with an even number of edges is K_4 . Caro, Lauri and Zarb [3] exhaustively studied the balanceability of graphs of at most four edges. However, the question of balanceability remains open for many graph classes. An explanation for this is that the characterization of Theorem 3 can be unpractical to handle. In this paper, we will give weaker but more practical conditions for deciding whether a given graph is balanceable or not (Section 2), that we will apply to several graph classes (circulant graphs in Section 3 and rectangular and triangular grids in Section 4).

2. Sufficient conditions for balanceable and non-balanceable graphs

In this section, we are concerned with finding weaker but more practical conditions for deciding whether a given graph can be balanceable. Those conditions will be based on the characterization of balanceable graphs provided by Theorem 3. A first example is the following sufficient condition for a graph to be balanceable:

Proposition 4. Let G(V, E) be a graph. If there exists $I \subset V$, an independent set of vertices of G such that $\sum_{v \in I} d(v) = \frac{|E|}{2}$, then G is balanceable.

Proof. Let X = I and $Y = W = V \setminus I$. Due to the condition on I, we have $e(X, Y) = \frac{|E|}{2} = e(G[W])$, and thus, by Theorem 3, G is balanceable.

This condition gives a direct proof of the balanceability of cycles of length equal to a multiple of 4:

Corollary 5. Let ℓ be a positive integer. The cycle $C_{4\ell}$ is balanceable.

Proof. We denote the vertices of $C_{4\ell}$ by $u_0, u_1, \ldots, u_{4\ell-1}$. By setting $I = \{u_{4i} \mid i \in \{0, \ldots, \ell-1\}\}$, we can apply Proposition 4 and get the result.

Furthermore, we can set I to be a singleton, in which case we obtain the following:

Proposition 6. Let G(V, E) be a graph. If there is a vertex $v \in V$ with $d(v) = \frac{|E|}{2}$, then G is balanceable.

Proof. Let I be the singleton containing the vertex v with $d(v) = \frac{|E|}{2}$ and apply Proposition 4.

This condition can be applied to the family of wheels:

Corollary 7. Wheels are balanceable.

Proof. The wheel W_n contains 2n edges, and the center of W_n has degree n, hence Proposition 6 applies. \Box

However, we can also find a condition that guarantees that a graph cannot be balanced. Recall that a graph is *eulerian* if all its vertices have even degree.

Proposition 8. Let G(V, E) be a eulerian graph. If $\frac{|E|}{2}$ is odd, then G is not balanceable.

Proof. Assume by contradiction that G is balanceable. Then, using Theorem 3, there is a partition of V in two sets X and $Y = V \setminus X$ such that half the edges are between X and Y. Since $\frac{|E|}{2}$ is odd, this means that, by denoting by X_{odd} the set of vertices of X that have an odd number of neighbours in Y, we have that $|X_{odd}|$ is odd. But now, this implies that in G[X], the vertices of X_{odd} have odd degree (since they have even degree in G). Thus, G[X] has an odd number of vertices with odd degree, which is impossible. This contradiction yields the result.

This allows us to prove that some graphs are not balanceable:

Corollary 9. Let ℓ be a positive integer. The cycle $C_{4\ell+2}$ is not balanceable.

Proof. The cycle $C_{4\ell+2}$ is eulerian, and has $4\ell+2$ edges, so $\frac{|E(C_{4\ell+2})|}{2} = 2\ell+1$ is odd and we can apply Proposition 8.

We can also characterize some non-balanceable regular graphs:

Corollary 10. Let d be an even positive integer, and let G be a d-regular graph of order n. G is not balanceable in the following cases:

- 1. If $d, n \equiv 2 \mod 4$;
- 2. If d = 4a with a odd, and $n \equiv 1, 3 \mod 4$.

Note that this is a sufficient condition for non-balanceability, so a regular graph that verifies neither conditions may still be non-balanceable.

Proof. If G is d-regular of order n and size e, then $e = \frac{dn}{2}$. Furthermore, since d is even, G is eulerian. We will study the two cases:

- 1. Given d = 4a + 2 and n = 4b + 2, then $\frac{e}{2} = \frac{(4a+2)(4b+2)}{4} = 4ab + 2a + 2b + 1$ is odd. Hence, Proposition 8 implies that G is not balanceable.
- 2. Given d = 4a and n = 4b + c with a odd and $c \in \{1, 3\}$, then, $\frac{e}{2} = \frac{4a(4b+c)}{4} = 4ab + ac$. Since $c \in \{1, 3\}$, this has the same parity than a, hence it is odd and Proposition 8 implies that G is not balanceable.

Furthermore, the following result is contained in the fact that no complete graph other than K_4 is balanceable [1, 2], but the proof of this stronger statement is more complex.

Corollary 11. If $n \equiv 5 \mod 8$, then K_n is not balanceable.

Proof. If n = 8k + 5 for some nonnegative integer k, then K_n is 4(2k + 1)-regular. Thus we can apply Corollary 10 with d = 4(2k + 1) and n = 4(2k + 1) + 1, which implies that K_n is not balanceable.

We will now characterize the balanceability of several graph classes, starting with circulant graphs.

3. Balanceability of circulant graphs

Let k, ℓ be two integers such that k > 3 and $\ell \in \{2, \ldots, k-2\}$. The circulant graph $C_{k,\ell}$ is the cycle graph C_k with vertices u_0, \ldots, u_{k-1} , and such that the chords $u_i u_{i+\ell}$ (where the addition is modulo k) are added to the edge set.

Theorem 12. Let k, ℓ be two integers such that k > 3 and $\ell \in \{2, ..., k-2\}$. The circulant graph $C_{k,\ell}$ is balanceable in the following cases:

• If $k \equiv 0 \mod 4$;

• If $k \equiv 2 \mod 4$ and $\ell \neq \frac{k}{2}$, and if $(k, \ell) \neq (6, 2)$.

In all the other cases, $C_{k,\ell}$ either has an odd number of edges or is not balanceable.

Due to the many cases we have to consider, the proof of Theorem 12 will be divided into several lemmas. The statement of Theorem 12 and the different cases and lemmas that prove them are summarized in Table 1. For the remainder of this section, we will assume that $\ell \leq \frac{k}{2}$, since if this is not the case then we can apply the same reasoning with $\ell' = k - \ell$.

ℓ	$\frac{k}{2}$	$< \frac{k}{2}$					
		odd	2	even, $\geq \lfloor \frac{k}{4} \rfloor$	even, $> 2, < \lfloor \frac{k}{4} \rfloor$		
odd		Not balanceable (Lemma 14)					
$\equiv 0 \mod 4$	Balanceable (Lemma 13)	Balanceable (Lemma 15)	Balanceable (Lemma 16)				
$\equiv 2 \mod 4$		Balanceable (Lemma 17)	Balanceable except $C_{6,2}$ (Lemma 18)	Balanceable (Lemma 19)			

Table 1: The balanceability of the circulant graph $C_{k,\ell}$. If $\ell > \frac{k}{2}$, then, refer to $C_{k,k-\ell}$.

First, we consider the case of $C_{k,\frac{k}{2}}$. Note that this graph only exists if k is even. Furthermore, if $k \equiv 2 \mod 4$, then $C_{k,\frac{k}{2}}$ has an odd number of edges, so we will only consider the case where k is a multiple of 4.

Lemma 13. If k is a multiple of 4, then the circulant graph $C_{k,\frac{k}{2}}$ is balanceable.

Proof. Let k = 4a with a > 1. Note that, in $C_{k,\frac{k}{2}}$, every vertex has degree 3. Furthermore, let e be the number of edges in $C_{k,\frac{k}{2}}$, then $e = \frac{3k}{2} = 6a$, which implies that $\frac{e}{2} = 3a$. Denote the vertices of $C_{k,\frac{k}{2}}$ by $u_0, u_1, u_2, \ldots, u_{k-1}$, and let $I = \{u_0, u_2, \ldots, u_{2a-2}\}$. It is easy to see that I is an independent set of size a, and thus $\sum_{v \in I} d(v) = 3a = \frac{e}{2}$. Thus, by Proposition 4, $C_{k,\frac{k}{2}}$ is balanceable.

In all future cases, we assume $\ell < \frac{k}{2}$, thus every vertex of $C_{k,\ell}$ has degree 4. Furthermore, let e be the number of edges in $C_{k,\ell}$, then e = 2k. We will also denote the vertices of $C_{k,\ell}$ by $u_0, u_1, u_2, \ldots, u_{k-1}$.

Lemma 14. Let k be an odd integer. The circulant graph $C_{k,\ell}$ is not balanceable.

Proof. Since k is odd, $\frac{e}{2} = \frac{2k}{2} = k$ is odd. Since $C_{k,\ell}$ is eulerian, Proposition 8 implies that $C_{k,\ell}$ is not balanceable.

Lemma 15. Let k be an integer such that $k \equiv 0 \mod 4$, and let ℓ be an odd integer. The circulant graph $C_{k,\ell}$ is balanceable.

Proof. We denote k = 4a. Let $I = \{u_0, u_2, \ldots, u_{2a-2}\}$. It is easy to see that I is an independent set (since it contains vertices with an even index, that only have neighbours of odd index) of size a, and thus $\sum_{v \in I} d(v) = 4a = k = \frac{e}{2}$. Proposition 4 implies that $C_{k,\ell}$ is balanceable.

Lemma 16. Let k be an integer such that $k \equiv 0 \mod 4$, and let ℓ be an even integer. The circulant graph $C_{k,\ell}$ is balanceable.

Proof. We denote k = 4a and $\ell = 2b$. The idea is to select an independent set I of size a, allowing us to invoke Proposition 4. We start by adding to I the vertices $u_0, u_2, \ldots, u_{2b-2}$, thus a set of b independent vertices. However, we cannot add u_{2b} because of the edge u_0u_{2b} . Instead, we can add to I the vertices $u_{2b+1}, u_{2b+3}, \ldots, u_{4b-1}$, thus a set of b new vertices that are independent from each other as well as from the first ones. Again, we have to jump the vertex u_{4b+1} and start from u_{4b+2} . By applying this, we will select

 $\lfloor \frac{a}{b} \rfloor$ such sets of b vertices, and then we can apply the same construction and add the $a - \lfloor \frac{a}{b} \rfloor b$ last vertices we need to have |I| = a (those last vertices will be called *leftover vertices* in the remainder of the proof). This is depicted in Figure 1.

We now need to prove that I is an independent set. Note that the only thing that we need to prove is that the index of the second-biggest-index neighbour of u_0 is greater than the index of the last vertex that we selected. Indeed, we selected the sets in such a way that all vertices are independent from each other going forward.

The last vertex that is selected in I with our construction will have index:

$$i_{max} = \left\lfloor \frac{a}{b} \right\rfloor 2b + \left\lfloor \frac{a}{b} \right\rfloor + 2\left(a - \left\lfloor \frac{a}{b} \right\rfloor b\right) - 1 - 1 - r$$

with r = 1 if $\lfloor \frac{a}{b} \rfloor = \frac{a}{b}$ and r = 0 otherwise.

The $\lfloor \frac{a}{b} \rfloor 2b$ are the vertices selected in the $\lfloor \frac{a}{b} \rfloor$ sets that are themselves separated from each other by one supplementary vertex (thus the $\lfloor \frac{a}{b} \rfloor$); then the $2(a - \lfloor \frac{a}{b} \rfloor b)$ are the leftover vertices; and then we have to subtract 1 for the last vertex (which does not count) and again subtract 1 for the fact that the indices start at 0. Finally, if $\lfloor \frac{a}{b} \rfloor = \frac{a}{b}$, then there are no leftover vertices and thus we can subtract 1 from the total.

Thus, we have $i_{max} = 2a + \frac{a}{b} - 2 - r \le 2a + \frac{a}{b} - 2$. We only have to prove that $i_{max} < 4a - 2b$ since this would prove that the last vertex that we selected has an index smaller than the second-biggest-index neighbour of u_0 . There are two cases to consider:

- 1. If $2b \ge a$, then we have $\frac{a}{b} \le 2$. Since $\lfloor \frac{a}{b} \rfloor \le \frac{a}{b}$, we have $i_{max} \le 2a + \lfloor \frac{a}{b} \rfloor 2 \le 2a + 2 2 = 2a$. Furthermore, since $2b < \frac{k}{2} = 2a$ we have 4a - 2b > 2a. This implies that $i_{max} < 4a - 2b$, proving that I is an independent set.
- 2. If 2b < a, then $i_{max} \le 3a-2$ since $\lfloor \frac{a}{b} \rfloor \le a$. Furthermore, 4a-2b > 4a-a = 3a, and thus $i_{max} < 4a-2b$, proving that I is an independent set.

Thus, I is an independent set of size a, and since every vertex has degree 4 we have $\sum_{v \in I} d(v) = 4a = \frac{e}{2}$, and Proposition 4 implies that $C_{k,\ell}$ is balanceable.

For the next three lemmas, we cannot construct an independent set I such that $\sum_{v \in I} d(v) = \frac{e}{2}$, since all the degrees are 4 and $\frac{e}{2}$ is not a multiple of 4. We will instead prove that the vertices of $C_{k,\ell}$ can be partitioned in such a way that we can apply Theorem 3.

Lemma 17. Let k be an integer such that $k \equiv 2 \mod 4$, and let ℓ be an odd integer with $\ell < \frac{k}{2}$. The circulant graph $C_{k,\ell}$ is balanceable.

Proof. Let k = 4a + 2 and ℓ be an odd integer. We have two cases to consider.

First, we will prove that we can partition the vertices of $C_{k,\ell}$ in two sets X and Y such that $e(X,Y) = \frac{e}{2} = 4a + 2$. We begin by setting $X := \{u_0, u_1\}$, which puts 6 edges between X and Y as long as no neighbour of u_0 or u_1 is in Y. We now select a - 1 independent vertices that are not neighbours of u_0 and u_1 and put them in X. Note that there are 4a - 6 vertices not neighbours of u_0 and u_1 : 2a - 3 with an even index and 2a - 3 with an odd index. Thus, we can select a - 1 vertices of even index (without loss of generality), which is always possible. Indeed, assume by contradiction that a - 1 > 2a - 3; then a < 2, *i.e.* k < 10, *i.e.* k = 6, which is a contradiction since ℓ is odd, but $\ell > 1$ and $\ell < \frac{k}{2} = 3$ so this case cannot occur. Since the a - 1 vertices we just added to X are independent, we have e(X, Y) = 6 + 4(a - 1) = 4a + 2. This construction is depicted in Figure 2.

Now, denote $C_{k,\ell}$ by G, its vertex-set by V and its edge-set by E. We will prove that we can partition V in two sets W and $V \setminus W$ such that $e(G[W]) = \frac{e}{2} = 4a+2$. Note that two adjacent vertices in $V \setminus W$ independent from all other vertices in $V \setminus W$ put 7 edges in $E \setminus E(G[W])$. We will construct $V \setminus W$ by selecting two pairs of adjacent vertices independent from each other, and a-3 independent vertices that will not be neighbours of the four vertices previously selected. Thus, we will have $e - e(G[W]) = 2 \times 7 + 4(a-3) = 4a+2$, and thus e(G[W]) = 4a+2. There are three cases to consider.

First, assume that k = 10, the only graph to consider is $C_{10,3}$. In this case, we cannot construct the sets as explained above (since $2 \times 7 = 14 > 10 = \frac{e}{2}$). However, by setting $W = \{u_0, u_1, u_2, u_3, u_4, u_5, u_6\}$, there are 10 edges in G[W], so this case is covered.

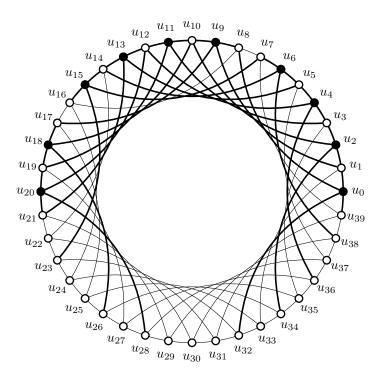


Figure 1: A depiction of the proof of Lemma 16 on $C_{40,8}$. The vertices that we selected in I are in black, and the out-edges of I are bolded.

Then, assume that $\ell = 3$ and k > 10. We put u_0, u_1, u_5 and u_6 in $V \setminus W$. There are k - 13 = 4a - 11 vertices that are neither those nor neighbours of those: 2a - 5 with an even index and 2a - 6 with an odd index. Thus, we can select a - 3 independent vertices with an even index, which is always possible since a - 3 > 2a - 5 if and only if a < 2, *i.e.* k < 10, which cannot occur as discussed previously. This implies that we have e - e(G[W]) = 4a + 2. This construction is depicted on the left-hand side of Figure 3.

Finally, assume that $\ell > 3$ and k > 10. We put u_0, u_1, u_3 and u_4 in $V \setminus W$. There are k - 15 = 4a - 13 vertices that are neither those nor neighbours of those: 2a - 6 with an even index and 2a - 7 with an odd index. Thus, we can select a - 3 independent vertices with an even index, which is always possible. Indeed, assume by contradiction that a - 3 > 2a - 6, then a < 3, *i.e.* k < 14; the case k = 6 has been discussed previously, and the case k = 10 cannot occur either since this would imply $\ell = 5 = \frac{k}{2}$, a contradiction. This implies that we have e - e(G[W]) = 4a + 2. This construction is depicted on the right-hand side of Figure 3.

Altogether, this allows us to invoke Theorem 3, and thus to conclude that the circulant graph $C_{4a+2,\ell}$ is balanceable when ℓ is odd and $\ell < 2a + 1$.

Lemma 18. Let k be an integer such that $k \equiv 2 \mod 4$. The circulant graph $C_{k,2}$ is balanceable if and only if $k \neq 6$.

Proof. This proof contains two parts: first, we will prove that $C_{6,2}$ is not balanceable; then, we will prove that $C_{4a+2,2}$ is balanceable when a > 1.

First, assume that k = 6. We will prove that there is no subset of vertices W such that e(G[W]) = 6. First, note that W cannot possibly be empty or all the vertices. Taking this into account, Table 2 shows possible sets for different sizes of W as well as e(G[W]) in each case (the possible sets are up to renaming vertices). Since no set W gives e(G[W]) = 6, Theorem 3 implies that $C_{6,2}$ is not balanceable.

Now, assume that a > 1, we will prove that $C_{4a+2,2}$ is balanceable. The proof is similar to the proof of Lemma 17.

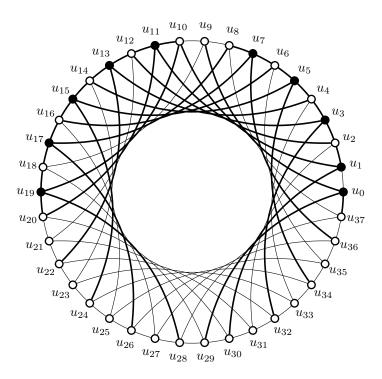


Figure 2: A depiction of the first case of the proof of Lemma 17 on $C_{38,9}$. The vertices that we selected in X are in black, and the edges between X and Y are bolded.

W	1	2	3	4	5
Possible		$u_0, u_1 \to 1$	$u_0, u_1, u_2 \to 3$	$u_0, u_1, u_2, u_3 \to 5$	
vertices in W	$u_0 \rightarrow 0$	$u_0, u_2 \to 1$	$u_0, u_1, u_3 \to 2$	$u_0, u_1, u_2, u_4 \to 5$	$u_0,\ldots,u_4\to 8$
$\rightarrow e(G[W])$		$u_0, u_3 \to 0$	$u_0, u_2, u_4 \rightarrow 3$	$u_0, u_1, u_3, u_4 \to 4$	

Table 2: Possible sets W of vertices of $C_{6,2}$ (up to renaming the vertices), and the value of e(G[W]) for each of them.

First, we will prove that we can partition the vertices of $C_{4a+2,2}$ in two sets X and Y such that e(X,Y) = 4a + 2. For this, we set $X_1 = \{u_0, u_3, \ldots, u_{3(a-2)}\}$, $X_2 = \{u_{4a-1}, u_{4a}\}$, and $X = X_1 \cup X_2$. It is easy to see that X_1 is an independent set and that no vertex in X_2 is adjacent to a vertex in X_1 (since we have $a > 1 \Rightarrow 4a - 3 > 3a - 2 > 3(a - 2)$). Thus, we have $e(X,Y) = e(X_1,Y) + e(X_2,Y) = 4(a - 1) + 6 = 4a + 2$.

Then, as in the proof of Lemma 17, assume that a = 2, *i.e.* k = 10. If we set $W = \{u_0, u_1, u_2, u_3, u_4, u_6, u_8\}$, then we have e(G[W]) = 10. This, with the previous point (that applies if a = 2), proves that $C_{10,2}$ is balanceable. Assume now that a > 2. Let $V_1 = \{u_0, u_3, \ldots, u_{3(a-4)}\}$ (if a = 3 then we set $V_1 = \emptyset$), $V_2 = \{u_{4a-6}, u_{4a-5}\}$ and $V_3 = \{u_{4a-2}, u_{4a-1}\}$; then set $W = V \setminus (V_1 \cup V_2 \cup V_3)$. It is easy to see that V_1 is an independent set and that no vertex in V_2 (resp. V_3) is adjacent to a vertex in V_1 or V_3 (resp. V_1 or V_2), since we have $a > 2 \Rightarrow 4a - 8 > 3a - 6 > 3(a - 4)$. Thus, we have e - e(W) = 4(a - 3) + 14 = 4a + 2, which implies e(G[W]) = 4a + 2.

The above constructions allow us to invoke Theorem 3, which implies that $C_{4a+2,2}$ is balanceable.

Lemma 19. Let k be an integer such that $k \equiv 2 \mod 4$, and let ℓ be an even integer such that $\ell > 2$. The circulant graph $C_{k,\ell}$ is balanceable.

Proof. Let k = 4a + 2 and $\ell = 2b$. The proof for this lemma is a mix of the proofs for Lemmas 16 and 18: we will use the structure we constructed in the proof for Lemma 16 and add either one or two independent edges to it, modifying the structure to keep everything independent from each other.

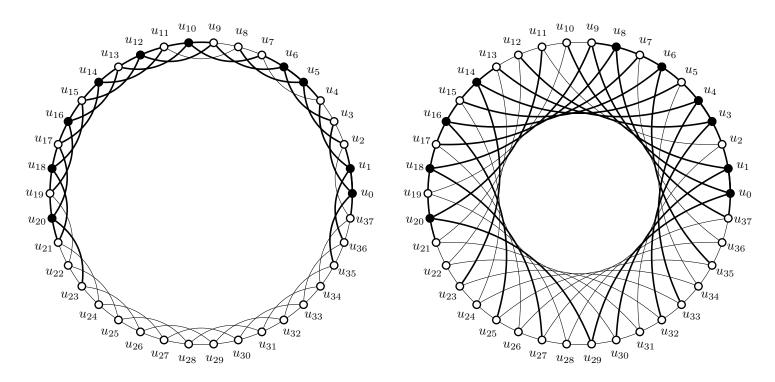


Figure 3: A depiction of the second case of the proof of Lemma 17 on $C_{38,3}$ and $C_{38,9}$. The vertices that we selected in $V \setminus W$ are in black, and the edges outside of G[W] are bolded.

First, we construct X in two steps. We begin by creating a set X_1 by applying the same construction than in the proof of Lemma 16 (so several sets of $\frac{\ell}{2}$ vertices at distance 2 along the outer cycle from each other, each set being separated from the others by another vertex): a total of a-1 such vertices are added to X_1 . Then, let $X_2 := \{u_{4a-1}, u_{4a}\}$. Now, we need X_1 and X_2 to be independent from each other, so if a vertex in X_1 is adjacent to a vertex in X_2 (this can happen to at most one vertex), we remove it from X_1 and add to this set the next vertex in the construction described in the proof of Lemma 16 (we may start a new set this way). This is depicted on the left-hand side of Figure 4. The last vertex that is selected in X_1 with our construction will have index:

$$i_{max} \le 2a + \left\lfloor \frac{a}{b} \right\rfloor - 2 + 3 - 2.$$

That is, the same maximum index than in the proof of Lemma 16, but with two corrections: +3 may happen since we could start a new set by shifting the neighbour of either u_{4a-1} or u_{4a} (this gives us +2, and may give us an additional +1 if the vertex we shift creates a new set), and -2 since we only need a-1 vertices in X_1 (instead of the *a* from the proof of Lemma 16). Now, we need to prove that this last index is less than $4a - 1 - \ell$.

If $\ell \geq a$, then we can check that we will always have $i_{max} = 2a - 3 < 2a - 2 < 4a - 1 - \ell$ since $\ell < \frac{k}{2} = 2a + 1$. Indeed, we will put in X_1 first the b - 1 vertices $u_0, u_2, \ldots, u_{\ell-4}$, then the a - b vertices $u_{\ell-1}, u_{\ell+1}, \ldots, u_{\ell+2(a-b)-3}$ (which is always possible since $\ell \geq a$). The last index will thus always be $\ell + 2(a-b) - 3 = 2a - 3.$

Assume now that $\ell < a$. Since $\ell > 2$, we have $b \ge 2$ and thus $\lfloor \frac{a}{b} \rfloor \le \lfloor \frac{a}{2} \rfloor \le \frac{a}{2} + 1$. Thus, $i_{max} \le \frac{a}{2}$ $2a + \lfloor \frac{a}{b} \rfloor - 1 \le 2a + \frac{a}{2} + 1 - 1 = \frac{5a}{2} < 3a \le 4a - 1 - \ell.$ Hence, in this construction, X_1 and X_2 are independent from each other, and by setting $X = X_1 \cup X_2$ we

have e(X, Y) = 6 + 4(a - 1) = 4a + 2.

Now, as in the proof of Lemma 17, we have to deal with the case of $C_{10,4}$. In this case, by setting $W := \{u_0, u_1, u_2, u_4, u_5, u_6, u_8\}$, we have e(G[W]) = 10.

Finally, for $k \ge 14$, we construct $V \setminus W$ by applying the same construction than in the proof of Lemma 16. Let V_1 be a set of a - 3 vertices constructed this way, then let either $V_2 = \{u_{4a-4,4a-3}\}$ (if $\ell > 4$) or $V_2 = \{u_{4a-7}, u_{4a-6}\}$ (if $\ell = 4$), and $V_3 = \{u_{4a-1}, u_{4a}\}$. Again, we shift the potential vertices in V_1 adjacent to a vertex in V_2 or V_3 (at most 2 such vertices), and thus the highest index we can reach is:

$$i_{max} \le 2a + \left\lfloor \frac{a}{b} \right\rfloor - 2 + 6 - 6$$

The +6 comes from the two potential shifts, and the -6 from the fact that we select a - 3 vertices instead of a. We now need to verify that $i_{max} < 4a - i - \ell$ for $i \in \{4, 7\}$ (depending on the value of ℓ). We have three cases to check:

- 1. If $\ell = 4$, then $i_{max} \le 2a + \lfloor \frac{a}{2} \rfloor 2$; and $4a 7 \ell = 4a 11$. Now, if a > 6 then since $\lfloor \frac{a}{2} \rfloor \le \frac{a}{2}$ it is easy to check that $i_{max} \le 2a + \frac{a}{2} 2 < 4a 11$. We need to check that $i_{max} < 4a 11$ in the remaining cases:
 - (a) If a = 3, then we have $V_1 = \emptyset$ so no contradiction arises;
 - (b) If a = 4, then we have $i_{max} = 0$ and 4a 11 = 5 so no contradiction arises;
 - (c) If a = 5, then we have $i_{max} = 3$ and 4a 11 = 9 so no contradiction arises;
 - (d) If a = 6, then we have $i_{max} = 5$ and 4a 11 = 13 so no contradiction arises.

Thus, if $\ell = 4$, then $i_{max} < 4a - 7 - \ell$.

- 2. If $\ell \ge a$, then we can check that we will always have $i_{max} = 2a 5$ and $4a 4 \ell \ge 2a 4$ since $\ell < \frac{k}{2} = 2a + 1$. Indeed, we will put in V_1 first the b 3 vertices $u_0, u_2, \ldots, u_{\ell-8}$ as well as $u_{\ell-4}$, then the a b 1 vertices $u_{\ell-1}, u_{\ell+1}, \ldots, u_{\ell+2(a-b)-5}$ (which is always possible since $\ell \ge a$). The last index will thus always be $\ell + 2(a b) 5 = 2a 5$.
- 3. If $\ell > 4$ (thus b > 2) and $\ell < a$, then since $\lfloor \frac{a}{b} \rfloor < \frac{a}{2}$ we have $i_{max} \le 2a + \lfloor \frac{a}{b} \rfloor 2 < \frac{5a}{2} 2$; and $4a 4 \ell > 3a 4$. Now, we know that $a > \ell > 4$, so it is easy to check that $\frac{5a}{2} 2 < 3a 4$, and thus, that $i_{max} < 4a 4 \ell$.

All those cases prove that V_2 and V_3 are independent from V_1 . By setting $V \setminus W = V_1 \cup V_2 \cup V_3$, we have e - e(G[W]) = 14 + 4(a - 3) = 4a + 2, and thus e(G[W]) = 4a + 2.

Those two constructions, depicted in Figure 4, allow us to invoke Theorem 3, which implies that $C_{4a+2,\ell}$ is balanceable when ℓ is even and $\ell > 2$.

Together, Lemmas 13 to 19 prove the validity of Theorem 12, which fully characterizes which of the circulant graphs are balanceable and which are not.

4. Balanceability of grids

In this section, we study the balanceability of grid graphs. In particular, we study rectangular and triangular grids.

4.1. Rectangular grids

Let $G_{k,\ell}$ be the rectangular grid graph with k vertices per row and ℓ vertices per column. It is easy to see that $G_{k,\ell}$ has $k(\ell-1) + (k-1)\ell = 2k\ell - (k+\ell)$ edges, and this number is even if and only if k and ℓ have the same parity.

Theorem 20. Let k and ℓ be two integers such that $k, \ell > 1$. If k and ℓ have the same parity, then $G_{k,\ell}$ is balanceable.

Proof. In the grid graph $G_{k,\ell}$ with vertex-set V and edge-set E, vertices can have degree two, three or four. The repartition is as follows:

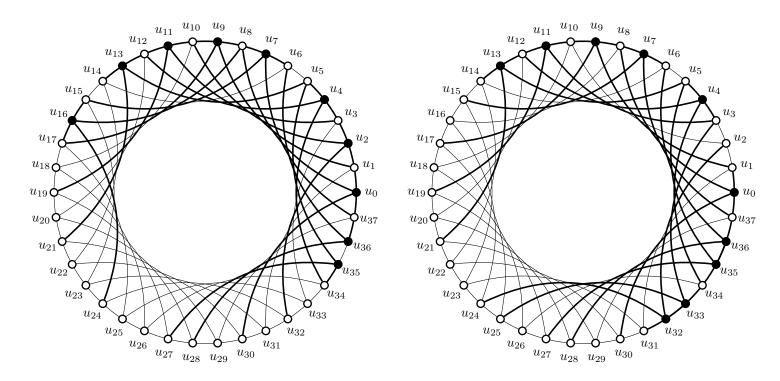


Figure 4: A depiction of the proof of Lemma 19 on $C_{38,8}$. On the left-hand side, the vertices in X are bolded, as well as the edges between X and Y. On the right-hand side, the vertices in $V \setminus W$ are bolded, as well as the edges outside G[W].

- 4 vertices of degree two (the corners);
- $2(k-2) + 2(\ell-2) = 2(k+\ell) 8$ vertices of degree three (the sides);
- $k\ell 2(k + \ell) + 4$ vertices of degree four (the inside).

It is well-known that $\sum_{v \in V} d(v) = 2|E|$. We want to find an independent set of vertices I such that $\sum_{v \in I} d(v) = \frac{|E|}{2}$. To do this, we can select one fourth of the vertices in every degree set. There are several cases.

Case 1: If k and ℓ are even, then we can select 1 vertex of degree two, $\frac{k+\ell}{2} - 2$ vertices of degree three, and $\frac{k\ell}{4} - \frac{k+\ell}{2} + 1$ vertex of degree four. It is always possible to select those vertices such that they induce an independent set, since there is an independent set containing half the vertices of $G_{k,\ell}$, and in particular half the corners, half of the sides and half of the inside. By applying Proposition 4, $G_{k,\ell}$ is balanceable.

Case 2: If k and ℓ are odd, and $k + \ell$ is not a multiple of 4, then we can select 1 vertex of degree two, $\frac{k+\ell}{2} - 3$ vertices of degree three, and $\frac{k\ell+7}{4} - \frac{k+\ell}{2}$ vertices of degree four. Again, it is always possible to select those vertices such that they induce an independent set (by the same argument than the previous case). Furthermore, $k\ell + 7$ is a multiple of 4: by noting k = 2a + 1 and $\ell = 2b + 1$, we have $k\ell + 7 = 4ab + 2a + 2b + 1 + 7 = 4ab + 8 + (2a + 2b) = 4ab + 8 + (k + \ell - 2)$, and the fact that $k + \ell$ is not a multiple of 4 implies that $(k + \ell - 2)$ is. We will thus have:

$$\sum_{v \in I} d(v) = 2 + 3\left(\frac{k+\ell}{2} - 3\right) + 4\left(\frac{k\ell+7}{4} - \frac{k+\ell}{2}\right)$$
$$= 2 + 3\frac{k+\ell}{2} - 9 + k\ell + 7 - 4\frac{k+\ell}{2}$$
$$= k\ell - \frac{k+\ell}{2}$$
$$= \frac{|E|}{2}$$

Proposition 4 then implies that $G_{k,\ell}$ is balanceable.

Case 3: If k and ℓ are odd, and $k + \ell$ is a multiple of 4, then we can select 2 vertices of degree two, $\frac{k+\ell}{2} - 3$ vertices of degree three, and $\frac{k\ell+5}{4} - \frac{k+\ell}{2}$ vertices of degree four. Again, it is always possible to select those vertices such that they induce an independent set (by the same argument than the previous case). Furthermore, $k\ell + 5$ is a multiple of 4: by noting k = 2a + 1 and $\ell = 2b + 1$, we have $k\ell + 5 = 4ab + 2a + 2b + 1 + 5 = 4ab + (2a + 2b + 6) = 4ab + (k + \ell + 4)$. We will thus have:

$$\sum_{v \in I} d(v) = 4 + 3\left(\frac{k+\ell}{2} - 3\right) + 4\left(\frac{k\ell+5}{4} - \frac{k+\ell}{2}\right)$$
$$= 4 + 3\frac{k+\ell}{2} - 9 + k\ell + 5 - 4\frac{k+\ell}{2}$$
$$= k\ell - \frac{k+\ell}{2}$$
$$= \frac{|E|}{2}$$

Proposition 4 then implies that $G_{k,\ell}$ is balanceable.

All possible cases have been covered, and thus if k and ℓ have the same parity, then the rectangular grid $G_{k,\ell}$ is balanceable.

4.2. Triangular grids

Let T_h be the (equilateral) triangular grid with h vertices on each side. It is easy to see that T_h has $\frac{3(h-1)h}{2}$ edges, and that this is even if and only if $h \mod 8 \in \{0, 1, 4, 5\}$. We will prove that some triangular grids are not balanceable, while others are.

Theorem 21. Let h be a positive integer such that $h \mod 8 \in \{0, 1, 4, 5\}$. The triangular grid T_h is balanceable if and only if $h \mod 8 \in \{0, 1\}$.

Proof. We prove two statements here: the non-balanceability of T_{8k+4} and T_{8k+5} ; as well as the balanceability of T_{8k} and T_{8k+1} .

We will consider the vertices of T_h row by row, starting from a single vertex at the top of the grid. The vertex u_i^j will be the *i*th vertex (starting from the left) in the *j*th row (starting from the top), so the top vertex is u_1^1 , the second row contains u_1^2 and u_2^2 , and so on. Note that the three corner vertices have degree 2, the vertices on the sides of the grid have degree 4, and the vertices in the middle have degree 6; thus T_h is eulerian.

First, assume that h = 8k + 4. Then, $\frac{|E(G)|}{2} = \frac{3(8k+3)(8k+4)}{4} = 48k^2 + 42k + 9$ and thus is odd. Since T_h is eulerian, Proposition 8 implies that it is not balanceable. The reasoning is the same with h = 8k + 5, with $\frac{|E(G)|}{2} = 48k^2 + 54k + 15$.

Now, assume that $h \in \{8k, 8k + 1\}$. We will prove that there is an independent set I such that $\sum_{v \in I} d(v) = \frac{|E(G)|}{2}$, and apply Proposition 4 to complete the proof. We define, for every row except the first, second and last ones, two kinds of independent sets: we call *A-set* of the *i*th row the independent set containing all vertices u_{2j+1}^i for $j \ge 0$; and we call *B-set* of the *i*th row the independent set containing all vertices u_{2j+1}^i for $j \ge 0$; and we call *B-set* of the *i*th row the independent set containing all vertices u_{2j}^i for $j \ge 1$. Note that, if *i* is odd, then the A-set of the *i*th row contains two vertices of degree 4 and $\frac{i-3}{2}$ vertices of degree 6; and the B-set of the *i*th row contains $\frac{i-1}{2}$ vertices of degree 6. In the following, we will call degree of an A-set (resp. B-set) the sum of the degrees of the vertices it contains.

Case 1: h = 8k. Note that in this case, $\frac{|E(G)|}{2} = 48k^2 - 6k$. We take the following vertices in *I*:

- 1. u_1^1 :
- 2. B-sets on rows 3 + 2i for $i \in \{0, ..., k 1\}$;
- 3. A-sets on rows $3 + 2k, 3 + 2k + 2, \dots, h 1$.

This is depicted on the left-hand side of Figure 5.

Thus, I contains u_1^1 which has degree 2, k B-sets which have degree 6(i+1) for $i \in \{0, \ldots, k-1\}$, and 3k-1 A-sets which have degree 8 + 6i for $i \in \{k, \ldots, 4k-2\}$. Thus, we have:

$$\sum_{v \in I} d(v) = 2 + \sum_{i=0}^{k-1} 6(i+1) + \sum_{i=k}^{4k-2} (8+6i)$$

= 2 + $\frac{6k(k+1)}{2}$ + 8(4k-2) + $\frac{6(4k-2)(4k-1)}{2}$ - 8(k-1) - $\frac{6(k-1)k}{2}$
= 48k² - 6k

Case 2: h = 8k + 1. Note that in this case, $\frac{|E(G)|}{2} = 48k^2 + 6k$. If h = 1 then the result trivially holds since G is the trivial graph. Otherwise, we take the following vertices in I:

- 1. u_1^1 ;
- A-sets on rows 3, 5, ..., h 2, from which we remove k vertices of degree 6 (this is always possible since those A-sets will contain ∑^{4k-2}_{i=0} i = 8k² 6k + 1 vertices of degree 6, and k ≥ 1 ⇒ 8k² 6k + 1 > k);
 u^h₁, u^h₃, ..., u^h_h.

This is depicted on the right-hand side of Figure 5.

Thus, I contains u_1^1 which has degree 2, 4k - 1 A-sets which have degree 8 + 6i for $i \in \{0, \ldots, 4k - 2\}$, from which we remove k vertices of degree 6 thus removing 6k, and the vertices selected on the last row (4k - 1 of degree 4 and two of degree 2). Thus, we have:

$$\sum_{v \in I} d(v) = 2 + \sum_{i=0}^{4k-2} (8+6i) - 6k + 4(k-1) + 2 + 2$$
$$= 2 + 8(4k-1) + \frac{6(4k-2)(4k-1)}{2} - 6k + 16k$$
$$= 48k^2 + 6k$$

Thus, we have proved that if $h \mod 8 \in \{0, 1\}$, then T_h is balanceable; and that if $h \mod 8 \in \{4, 5\}$, then T_h is not balanceable. This completes the proof of Theorem 21.

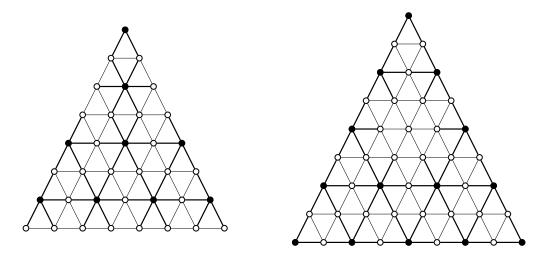


Figure 5: The independent set I such that $\sum_{v \in I} d(v) = \frac{|E(G)|}{2}$ for T_8 (on the left) and T_9 (on the right). Vertices in I are filled in black, and the out-edges of I are thick.

5. Conclusion

In this paper, we extended the study of balanceable graphs initiated in [1], and used the characterization of balanceable graphs given by Theorem 3 to state weaker but more practical conditions for balanceability and non-balanceability. However, the question of how hard is the characterization of Theorem 3 remains open. Note that this theorem states that a graph is balanceable if and only if it contains both a cut crossed by half of its edges and an induced subgraph containing half of its edges. In particular, the problem of deciding whether a graph has a cut crossed by exactly half of its edges is a variant on the problem EXACT-CUT (which asks whether a graph contains a cut crossed by exactly k edges), which is NP-complete:

Proposition 22. Let G be a graph and k a positive integer. The problem of deciding whether G contains a cut crossed by exactly k edges is NP-complete.

Proof. The problem EXACT-CUT is trivially in NP: a certificate will be a partition (X, Y) and the verifier will simply count the edges with one endpoint in X and the other in Y, which can be done in polynomial time.

We use a reduction from SIMPLE-MAX-CUT, which asks whether an unweighted graph contains a cut crossed by at least k edges, and was proved to be NP-complete by Garey, Johnson and Stockmeyer [4]. Let (G(V, E), k) be an instance of SIMPLE-MAX-CUT. We let $G' = G \cup K_{1,|E|}$ (that is, the disjoint union of G and a star with |E| leaves) and k' = k + |E|. The instance (G', k') of EXACT-CUT is equivalent to (G, k) for SIMPLE-MAX-CUT. Indeed, if there is a cut (X, Y) crossed by ℓ edges (with $\ell \ge k$) in G, then we can take the same cut in the G component of G', and add $k + |E| - \ell$ leaves of $K_{1,|E|}$ to X (which is always possible since $k \le \ell \le |E|$) and any remaining leaves as well as the central vertex of the star to Y, obtaining a cut crossed by exactly k + |E| edges. Conversely, if there is a cut crossed by exactly k + |E| edges in G', then at most |E| of those edges can be found in the star, so at least k are found in G, and we can use the same cut for SIMPLE-MAX-CUT.

However, note that the restriction of SIMPLE-MAX-CUT to $k = \frac{|E|}{2}$ has a trivial answer since there exists a polynomial algorithm giving a cut crossed by at least half the edges of a graph [5]. Nonetheless, it seems that guaranteeing a cut crossed by exactly half of the edges would remain difficult. This is one of the two possible angles to tackle the problem of the computational complexity of balanceability by using the characterization given by Theorem 3, the other one being the existence of an induced subgraph containing exactly half the edges of the graph. Since both of those problems seem to be difficult on their own, we conjecture that the problem of balanceability is NP-complete.

Conjecture 23. The problem of deciding whether a given graph is balanceable is NP-complete.

In this paper, we also studied the balanceability of the circulant graphs and of the rectangular and triangular grids, for which we fully characterized those that are balanceable and those that are not. Other graph classes that could be interesting to study are cubic graphs, as well as not necessarily regular classes such as k-trees, outerplanar, or even planar graphs.

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