

Sammy Khalife, Yann Ponty, Laurent Bulteau

### ▶ To cite this version:

Sammy Khalife, Yann Ponty, Laurent Bulteau. Sequence graphs realizations and ambiguity in language models. COCOON 2021 - 27th International Computing and Combinatorics Conference, Oct 2021, Tainan, Taiwan. hal-02495333v3

# HAL Id: hal-02495333 https://hal.science/hal-02495333v3

Submitted on 13 Jan 2021 (v3), last revised 18 May 2023 (v4)

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

#### 3 Sammy Khalife

- <sup>4</sup> LIX, CNRS, Ecole Polytechnique, Institut Polytechnique de Paris, 91128 Palaiseau, France
- 5 khalife@lix.polytechnique.fr

#### 6 Yann Ponty

- 7 LIX, CNRS, Ecole Polytechnique, Institut Polytechnique de Paris, 91128 Palaiseau, France
- 8 yann.ponty@lix.polytechnique.fr

#### Laurent Bulteau

- LIGM, CNRS, Université Gustave Eiffel, 77454 Marne-la-Vallée, France
- laurent.bulteau@univ-eiffel.fr

#### Abstract

Several language models rely on an assumption modeling each local context as a (potentially oriented) bag of words, and have proven to be very efficient baselines. Sequence graphs are the natural structures encoding their information. However, a sequence graph may have several realizations as a sequence, leading to a degree of ambiguity. In this paper, we study such degree of ambiguity from a combinatorial and computational point of view. In particular, we present theoretical results 17 concerning the family of sequence graphs. Several combinatorial problems are presented, depending on three levels of generalisation (window size, graph orientation, and weights), and whether some of these are NP-complete is left opened. We establish different algorithms, including an integer program and a dynamic programming formulation to respectively recognize a sequence graph and to 21 count the number of its distinct realizations. This allows us to show that this model assumption can induce an important number of sentences to have the same representations. We empirically compare the representations obtained with a recurrent neural networks for different realizations of sequence 24 graphs.

2012 ACM Subject Classification Mathematics of computing → Combinatoric problems; Mathematics of computing → Combinatorics on words; Mathematics of computing → Graph algorithms;
 Theory of computation → Complexity classes; Theory of computation → Problems, reductions and completeness

30 Keywords and phrases Graphs, Sequences, Combinatorics, Inverse problem, Complexity class

#### 1 Introduction

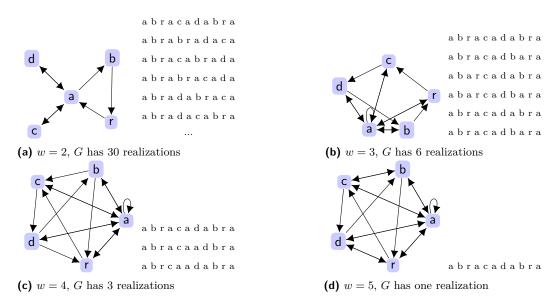
43

The automated treatment of familiar objects, either natural or artifacts, always relies on a translation into entities manageable by computer programs. However, the correspondence between the object to be treated and "its" representation is not necessarily one-to-one. The representations used for learning algorithms are no exception to this rule. In particular, natural language words and textual documents representations are essential for several tasks, including document classification [23], role labelling [19], and named entity recognition [16]. The traditional models based on pointwise mutual information, or graph-of-words (GOW), [9, 17, 20], supplement the content of bag-of-words (TF, TFIDF) with statistics of co-occurrences within a **window** of fixed size w, introduced to mitigate the degree of ambiguity. Several models [2, 14, 18, 21] also use the same type of information and constitute strong baselines for natural language processing.

While these representations are more precise than the traditional bag-of-words (e.g Parikh vectors), they still induce some level of ambiguity, i.e. a given graph can represent several sequences. Our study is thus motivated by a quantification of the level of ambiguity, seen



**Figure 1** Sequence graphs (or *graphs-of-words*) built for the sentence "Linux is not UNIX but Linux" using window sizes 3 (a) and 2 respectively (b). In the second case, the sequence graph is ambiguous, since any circular permutation of the words admits the same representation.



**Figure 2** Sequence graphs (or *graphs-of-words*) built for the sentence "a b r a c a d a b r a" using window sizes 2 (a), 3 (b), 4 (c) and 5 (d).

as an algorithmic problem, coupled with an empirical assessment of the consequences of ambiguity for the representations.

After introducing in Section 2 the formal definition of a sequence graph and the descriptions of our main problems, we establish in Section 3.1 complexity aspects of deciding the existence and counting sequences in GOWs associated with a window size w=2. Then we consider in Section 3.2 the general case  $w\geq 3$ , and propose a integer program and a dynamic programming algorithm to respectively recognize a sequence graph and count admissible sequences. Finally, we assess the prevalence of ambiguity within a synthetic dataset, and observe that sequences invariant with respect to the GOW representation do not lead to invariance with respect to recurrent neural networks such as Long Short Term Memory networks (LSTMs).

#### Related work

48

49

51

52

Sequence graphs encode the information of several co-occurences based models [2, 15, 18]. To the best of our knowledge, the ambiguity and realizability questions addressed in this work were never systematically addressed by prior work in computational linguistics. Furthermore, we believe the problems studied in this paper are interesting from an algorithmic point of view, and appear to be devoid of reduction to other well-known problems.

However, some similarities exist between our problem and others studied in the Distance Geometry (DG) literature. In distance geometry, the input consists of a set of pairwise distances between points, having unknown positions in a d-dimensional space. The problem then consists in determining (the existence of) a set of positions for the points, satisfying the distance constraints. Since a position is fully characterized from d+1 constraining neighbors, the problem can be solved by finding a sequential order for processing points, such that the assignment of a point is always by at least d+1 among its neighbors [13]. This statement shares some level of similarity with our problem since an admissible sequence for a window w=d+2 also represents a linear ordering of its nodes, in which w-1=d+1 of the neighbors have lower value with respect to the order.

The reasons for the insufficiency of linear ordering in DG to solve our realizability problem are threefold. First, each element of the sequence x associated to the protein backbone is associated a unique vertex. This is not the case we investigate here, since a symbol can be repeated several times, but only one vertex is created in the graph. This implies that the vertex associated to the  $i^{\text{th}}$  element  $(i \geq w)$  of x can have strictly less than w-1 distinct neighbors in its predecessors in x. Second, the absence of loops in distance geometry, because an element is at distance 0 from itself. Finally, the graphs are always undirected in distance geometry.

### 2 Definitions and problem statement

Let  $x = x_1, x_2, ..., x_p$  be a finite sequence of discrete elements among a finite vocabulary X. Without loss of generality, we can suppose that  $X = \{1, ..., n\}$ . In the following, let  $I_p = \{1, ..., p\}$ . This motivates the following definition:

Definition 1. G = (V, E) is the graph of the sequence x with window size  $w \in \mathbb{N}^*$  if and only if  $V = \{x_i \mid i \in I_p\}$ , and

$$(i,j) \in E \iff \exists (k,k') \in I_n^2, |k-k'| \le w - 1 \ x_k = i \text{ and } x_{k'} = j$$

For digraphs, Eq. (1) is replaced with

$$(i,j) \in E \iff \exists (k,k') \in I_n^2, \ k \le k' \le k+w-1, x_k = i \text{ and } x_{k'} = j.$$

Finally, a weighted sequence graph G is endowed with a matrix  $\Pi(G) = (\pi_{ij})$  such that

$$\pi_{ij} = \mathsf{Card} \ \{ (k, k') \in I_n^2 \mid k \le k' \le k + w - 1, \ x_k = i \ \mathsf{and} \ x_{k'} = j \}$$
 (3)

We say that x is a w-admissible sequence for G (or a realization of G), if G is the graph of sequence x with window size w.

The natural integers  $\pi_{ij}$  represent the number of co-occurrences of i and j in a window of size w. Hence, the graph of sequence is unique. An linear time algorithm to construct a weighted sequence digraph is presented in Sec. A of the appendix. Other cases are obtained similarly. The procedure in algorithm 1 defines a correspondence between the sequence set  $X^*$  into the graph set  $\mathcal{G}: \phi_w \colon X^* \to \mathcal{G}, x \mapsto G_w(x)$ . Based on these definitions, we consider the following problems:

```
► Problem 1 (Weighted-REALIZABLE (W-REALIZABLE)).

Input: Possibly directed graph G, matrix weights Π, window size w
```

Output: True if  $(G,\Pi)$  is the w-sequence graph of some sequence x, False otherwise.

```
► Problem 2 (Unweighted-REALIZABLE (U-REALIZABLE) ).
```

4 Input: Possibly directed graph G, window size w

**Output:** True if G is the w-sequence graph of some sequence x, False otherwise.

We denote D-Realizable (resp. G-) the restricted version of Realizable where the input graph G is directed (resp. undirected), and W-Realizable (resp. U-) the restricted version of Realizable where the input graph G is weighted (resp. unweighted), possibly in combination with the D- or G- variants. We write Realizable w for the case where w is a fixed (given) constant. We also consider the variants of W-Realizable, denoted WG-Realizable and WD-Realizable where the input graph is restricted to be respectively undirected and directed. We define UG-Realizable and UD-Realizable similarly. Finally, we write (WG-, WD-, ...)Realizable w for the case where w is a fixed strictly positive integer.

#### Problem 3 (Unweighted-NumRealizations (U-NumRealizations)). □

15 Input: Possibly directed graph G, window size w

Output: The number of realizations of G, i.e. preimages of G through  $\phi_w$  such that  $\{x \in X^* \mid \phi_w(x) = G\} \mid \text{if finite, or } +\infty \text{ otherwise.}$ 

▶ **Problem 4** (Weighted-NumRealizations (W-NumRealizations)).

Input: Possibly directed graph G, matrix weights  $\Pi$ , window size w

Output: The number of realizations of G in the weighted sense.

Similarly, we use the same prefix for the directed or undirected versions of (D-, G-, i.e. DU- for directed and unweighted). We also denote  $\operatorname{NumRealizations}_w$  for the case where w is a fixed strictly positive integer. Note that  $\operatorname{NumRealizations}$  strictly generalizes the previous one, as Realizable can be solved by testing the nullity of the number of suitable realization computed by  $\operatorname{NumRealizations}$ .

DW Directed weightedDU Directed unweightedGW Undirected weightedGU Undirected unweighted

#### 3 Theoretical results

118

123

125

130

In this section, we present our main theoretical results. Due to length limitations, some of the proofs are left in the appendix.

#### 3.1 A complete characterization of 2-sequence graphs

A graph has a sequential realization with w=2 when there exists a path visiting every vertex and covering all of its edges (at least once for the unweighted case and exactly  $\pi_e$  for the edge e in the weighted case). This characterization enables relatively simple characterization and algorithmic treatment, leading to the results summarized in Table 2.

**Table 1** Complexity for various instances of our problems (w=2)

	NumRealizations <sub>2</sub>		${ m Realizable_2}$	
Data Instance	Complexity	#Sequences	Complexity	Characterization
Unweighted graph	Р	$\{0,+\infty\}$	Р	G connected
Weighted graph	#P-hard	$\{0,1\} \cup 2\mathbb{N}^*$	P	$\psi(G)$ (semi) Eulerian
Unweighted digraph	P	$\{0,1,+\infty\}$	P	Theorem 14
Weighted digraph	P	$\mathbb{N}$ (BEST Theorem)	Р	$\psi(G)$ (semi) Eulerian

147

148

149

150

151

152

153

154

155

156

157

160 161

#### 3.2 General sequence graphs and REALIZABLE $_{w>3}$

The characterization of more general sequence graphs, such as 3-graphs is not the same for 2-graphs, as shows the counter-example in Fig 3a: the depicted graph has no self-edge so there must at least one clique of size 3. Similarly, Fig. 3b depicts a counter example for directed graphs: G does not have loops, so if it had a 3-admissible sequence, such sequence must be of the form  $\{1231..., 1321..., 2312..., 3213..., 2132...\}$  but then (2,1) would form an edge.





(a) G is connected but not a 3-sequence graph

**(b)** G is strongly connected but not a 3-sequence graph

**Figure 3** Counter examples for w = 3

#### 3.3 A polynomial time algorithm for GU-REALIZABLE $_w$

Similarly to the procedure in Sec. B, we will use an auxiliary graph built on G. Let  $H(G) = (E, H_E)$  be the new graph obtained with the following procedure. Two edges  $e = (v_1, v_2), f = (v_3, v_4)$  of E are connected in H(G) if and only if:

$$v_2 = v_3 \text{ and } (v_1, v_4) \in E$$
 (4)

This defines an injective function  $\tilde{h}: E_H \to V^3$ : an edge of H(G) can be seen as an unique triplet  $v_1, v_2, v_3$  where  $(v_1, v_2), (v_1, v_3)$  and  $(v_2, v_3) \in E$ . Therefore, by definition, a walk P in H(G) is always of the form:

$$P = (t_1, t_2), ..., (t_{p-1}, t_p) \text{ s.t } \forall i \in \{1, ..., p-1\}, (t_i, t_{i+1}) \in E$$
 (5)

It is clear that if H(G) is a 2-graph, then G is a 3-graph since there is a walk going through all edges of H(G) (so visiting every non isolated node and creating all edges of G). However, the converse is not true as depicted in Fig. 4. In order to determine if G = (V, E) has an admissible sequence in the general case, a procedure is to recursively merge pairs of vertices, maintaining constraints depending on E. These constraints are similar to Eq. 4. We adopt the following notations,  $u_{i,j} = (u_i, u_j)$  and  $u_{1:k} = (u_1, ..., u_k)$ . The iterative procedure for  $w \geq 3$  is summed up in the following equation. Namely,  $\forall k \in \{2, ..., w-2\}$ , one has

$$E^{(k)} = \{ u_{1:k+1} \in V^{k+1} \mid u_{1:k} \in E^{(k-1)}, u_{2:k+1} \in E^{(k-1)} \land (u_1, u_{k+1}) \in E \}$$
 (6)

Let  $H^{(k)} = (E^{(k)}, E^{(k+1)})$ , it can be defined recursively through:

$$H^{(0)} = G$$
  $\forall k \in \mathbb{N}^*, \ H^{(k)} = f(H^{(k-1)})$  (7)

where f transforms edges into vertices and creates edges between new vertices that verify Eq. 6.

▶ **Definition 2.** Let u be a vertex of  $H^{(k)}$  for  $k \in \mathbb{N}$ ,  $u = (u_1, ..., u_k, u_{k+1})$ . The sequence  $u_1, ..., u_{k+1}$  is the **authentic** sequence of u. We also call an authentic sequence of a walk on  $H^{(k)}$ :  $P = (x_1, ..., x_{k+1}), (x_2, ..., x_{k+2}), ..., (x_v, ..., x_{v+k})$  the sequence  $x_1, x_2, ..., x_{v+k}$ .

169

177

178

180

181

189

190

195

In order to obtain admissible sequences of length p, the computation of  $H^{(p)}$  requires p iterations, and the number of vertices and edges of  $H^{(k)}$  can increase during iterations (the complete graph is an example for which theses numbers increase exponentially).

Proposition 3. Let  $x=x_1,...,x_p$  be a w-admissible sequence of a graph (or digraph) G=(V,E). If  $w \leq p$ , x, then x is an authentic sequence of a walk of length p-w+1 on  $H^{(w-2)}$ .

**Proof.** Due to length limitation, we provide a proof sketch, full proof is left in the appendix. The following property by induction on k:

$$\forall k \in \{w,...,p\}, \exists \text{ walk } P \text{ on } H^{(w-2)} \text{ such that :}$$

$$x_{1:k} = P[1]_1, P[2]_1, ..., P[k-w]_1, P[k-(w-1)]_{1:(w-1)}$$

- Initialisation: k = 1. By construction of  $H^{(w-2)}$ ,  $x_1$  is the first element of the "static walk":  $x_{1:w-1} \in H^{(w-2)}$ .
- Induction: Verification that if  $x_{1:k}$  is a walk of length k w + 1, one can find a walk of length (k + 1) w + 1 to generate  $x_{1:(k+1)}$ .
- ▶ Theorem 4. Let  $w \in \mathbb{N}^*$ . GU-REALIZABLE<sub>w</sub> is in P.

**Proof.** The case for w=1 is trivial, and w=2 has been treated. For  $w\geq 3$ , an algorithm is obtained by going through all the connected components of  $H^{(w-2)}$ . Let  $C_1,...,C_m$  the connected components of  $H^{(w-2)}$ . On the one hand, it is possible to compute them in polynomial time. On the other hand, it is possible to construct walks covering all of their respective edges in polynomial time (for instance iteratively using shortest paths). Let  $W_1,...,W_m$  such walks and  $X_1,...,X_m$  their respective admissible sequences.

Using Prop. 3, G is a w-sequence graph if and only if there exists a walk  $\tilde{W}_{i_0}$  on some  $C_{i_0}$  creating exactly the edges of G. However,  $W_{i_0}$  creates more edges than any walk on  $C_{i_0}$  by construction.

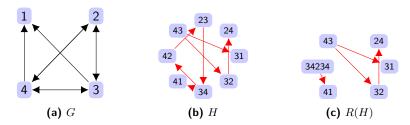
In conclusion, the assertion:

$$\exists i \in \{1, ..., m\}, \ \phi_w(X_i) = G$$

is a characterization that G is a w-sequence. This assertion is decidable in polynomial time since for all i,  $\phi_w(X_i)$  is computable in polynomial time (cf. Algorithm 1).

For digraphs, the analogue of the aforementioned procedure would consist in enumerating all paths in the DAG  $R(H^{(w-2)})$ . However, the number of paths can be exponential, even for a sequence graph. In the next subsection, we will prove that DU-REALIZABLE<sub>w</sub> is actually NP-hard. Finally, if  $x_1, ..., x_c$  are vertices of a strongly component of  $H^{(w-2)}$ , which order should be considered to form a new vertex attribute  $x_C$ ? The following lemma shows that this order is not important, as long as it represents a walk in the component. Moreover, it is possible to reconstruct all admissible sequences from walks on  $R(H^{w-2})$ . With the same notations:

▶ **Lemma 5.** Let x a walk on  $H^{(w-2)}$  whose authentic sequence is w-admissible for G. If x goes through a strongly component C of  $H^{(w-2)}$ , adding any supplementary path included in C is stable for w-admissibility. Any graph generated by a walk on  $H^{(w-2)}$  can be generated by a walk on  $R(H^{(w-2)})$ .



**Figure 4** Procedure to find a 3-admissible sequence. 34234, 41: is 3-admissible, with authentic sequence 342341

Proof. We present a proof sketch. The first statement concerning stability requires a straightforward verification using the definition of  $H^{(w-2)}$ . Second, a procedure to generate G from a walk on  $R(H^{(w-2)})$  using a walk  $x_{1:p}$  on  $H^{(w-2)}$ ) is to consider an iterative scheme, and discuss three cases:

= (i)  $x_i$  and  $x_{i+1}$  are not in a strongly connected component (SCC)

 $_{206}$  (ii)  $x_i$  is not in a SCC and  $x_{i+1}$  is in a SCC

= (iii)  $x_i$  and  $x_{i+1}$  are both in SCCs

For case (i), we just keep  $x_i$  and  $x_{i+1}$ . For cases (ii) and (iii), we use the first part result of the Lemma and add covering walks over the strongly connected components.

#### 3.4 Main complexity results

211

214

216

In this subsection we present the remaining complexity results, which are summarized in Table 2. In the previous subsection, we proved that  $\mathrm{GU}\text{-REALIZABLE}_w \in P, \ \forall w \geq 3$ . Besides, for  $\mathrm{GU}$ , the number of realizations of a graph G is either 0 (not realizable),  $+\infty$  (realizable and there exists a cycle in a component of H generating G), or 1 (realizable but no cycle in any component of H generating G). These three cases can be tested in polynomial time using our algorithm, showing that  $\mathrm{GU}\text{-NumRealizations}_w \in P, \ \forall w \geq 3$ . In the remaining of this section, we present the reductions we used for the other instances.

**Table 2** Complexity for various instances of our problems  $(w \ge 3)$ . We remind that a para-NP-hard problem does not admit any XP algorithm unless P=NP.

	Constant $w, w \geq 3$		Parameter w	
	$NumRealizations_w$	$\text{Realizable}_w$	Numrealizations	Realizable
Variation	Complexity	Complexity	Complexity	Complexity
GU	P	P	W[1]-hard; XP	W[1]-hard; XP
GW	NP-hard	NP-hard	para-NP-hard	para-NP-hard
DU	NP-hard	NP-hard	para-NP-hard	para-NP-hard
DW	NP-hard	NP-hard	para-NP-hard	para-NP-hard

▶ **Proposition 6.** Clique admits a polynomial time parameterized reduction into GU-REALIZABLE.

**Proof.** Let G = (V, E) be a simple graph. Let G' be a graph constructed from G adding two nodes a and b with loops, such that a and b are connected to each vertex of G. Let k be a strictly positive integer and w = k + 1. We will show that G has a k-clique if and only if G' is w-realizable.

First, let us suppose that G has a k-clique. Let C be an arbitrary sequence of the vertices of one of its k-clique. Let  $v_1, \ldots, v_{|V|}$  be the vertices of G and  $(u_1, u'_1), \ldots, (u_{|E|}, u'_{|E|})$  be its edges. In the following  $x^w$  represents the w-repetition of x. Then, the following sequence is a w-realization of G':

```
a^w \ u_1 \ u_1' \ a^w \ u_2 \ u_2' \ a^w \ \dots \ a^w \ u_{|E|} \ u_{|E|}' \ a^w \ C \ b^w \ v_1 \ b^w \ v_2 \ b^k \ \dots b^w v_{|V|}
```

Now let us suppose that G' is w-realizable and let  $x = x_1, \ldots, x_p$  be a w-realization of G'. 224 Without loss of generality, let us suppose a appears before b in x. Let  $i_b$  be the index of 225 the first appearance of b and let  $i_a$  be the largest index of the appearance of a before  $i_b$ . Then  $i_b - i_a \ge w$ , otherwise there would be an edge between a and b. Furthermore, since G is simple, there cannot be two repetitions of a vertex in the sequence  $x_{i_a+1}, \ldots, x_{i_a+w-1}$ . Due to the definition of a sequence graph, all vertices  $\{x_{i_a+1}, \dots, x_{i_a+w-1}\}$  are connected, forming a clique in G of size w - 1 = k, which ends the proof.

▶ Corollary 7. GU-REALIZABLE is W[1]-hard for parameter w.

#### DU-Realizable is NP-hard for $w \geq 3$

Consider the following intermediate problem: 233

OptionalRealizable<sub>w</sub> Given a directed unweighted graph D = (V, A), a subset  $A' \subseteq A$  of compulsory arcs, two distinguished vertices  $s, s' \in V$ . Is there a sequence S such that the graph of S contains only arcs in A and (at least) all arcs in A'.

We first prove that this problem is NP-hard, then show how it reduces to DU-Realizable.

#### OptionalRealizable<sub>w</sub>, $w \geq 3$ is NP-hard

```
Given G = (V, A) and a start vertex s, build a directed weighted graph G' = (V', A') as
240
```

- Vertex set:  $V = \bigcup_{v \in V} \{v_0 \mid v_1\} \cup \{x_p^i, 1 \le p \le 2n + 1, 1 \le i \le w 2\}$
- 242

231

234

235

236

237

249

- $\bullet$  optional arcs  $(x_{2p-1}^i, v_0), (v_0, x_{2p}^i), (x_{2p}^i, v_1), (v_1, x_{2p+1}^i)$  for each  $v \in V$ ,  $1 \le p \le n$ , 243  $1 \leq i \leq w-2.$ 
  - optional arcs  $(u_1, v_0)$  for each (u, v) in A
  - $\blacksquare$  compulsory arcs  $(v_0, v_1)$  for each  $v \in V$
- optional arcs  $(x_p^i, x_p^j)$  for i < j and  $(x_p^i, x_{p+1}^j)$  for  $j \le i$ 247
- Start vertices are  $(x_0^1, \ldots, x_0^{k-2}, s)$ .
  - G' is a yes-instance  $\Leftrightarrow G$  admits a hamiltonian path
- $\Leftarrow$  Let  $v^p$  be the pth vertex of V in the hamiltonian path. Let  $X^p$  be the sequence 250  $x_{2p-1}^0 \dots x_{2p-1}^{w-2} v_0^p x_{2p}^0 \dots x_{2p}^{w-2} v_1^p$ . Let  $X^{n+1} = x_{2n}^0 \dots x_{2n}^{w-2}$ , and S be the concatenation  $X^1 \dots X^{n+1}$ . It can be checked that S contains only arcs of A and all compulsory arcs. 252
- $\Rightarrow$  Consider a sequence S, an occurrence of  $x_p^i$  in S for some  $1 \le i \le w-2, 1 \le p \le n$ 253 (note that  $p \neq n+1$ ), and let S' be the subsequence of S containing the w-1 characters following  $x_{2p+1}^i$ . Let  $T=x_p^{i+1}\dots x_p^{w-2}$  and  $T=x_{p+1}^1\dots x_{p+1}^i$  (note that T is possibly empty). T and U are seen both as strings and as sets of vertices. The out-neighborhood 256 of  $x_p^i$  contains all vertices of  $T \cup U$ , as well as all vertices  $v_q$  for  $v \in V$ , where q = 0 if p is odd and q=1 if p is even. Since there are k-2 vertices in  $T\cup U$ , and no vertex has a self-loop, then by the pigeon-hole principle string S' must contain at least one vertex  $v^q$ ,  $v \in V$ . Since there are no arc  $(v^q, v'^q)$  for  $v, v' \in V$ , S' contains exactly one vertex  $v^q$ , thus

it also contains all vertices of  $T \cup U$ . Based on the direction of the arcs in  $T \cup U \cup \{v^q\}$ , it follows that  $S' = T \cdot v^q \cdot U$ .

Let  $X_p$  be the string  $x_p^1 ldots x_p^{w-2}$ . From the arguments above, and the fact that S starts with  $X_1$ , there exist indices  $i_1, j_1, \ldots, i_n, j_n$  such that

$$S = X_1 v_{i_1}^0 X_2 v_{i_1}^1 X_3 v_{i_2}^0 X_4 v_{i_3}^1 X_5 \dots X_{2n+1}$$

From the window size w, there must exist an arc  $(v_{i_p}^0, v_{j_p}^1)$  for each p, so by construction  $i_p = j_p$ . Furthermore, these arcs are compulsory for each vertex  $v^0$ , so  $(i_1, \ldots, i_n)$  is a permutation of  $\{1, \ldots, n\}$ . Finally, there also exist an arc  $(v_{j_p}^1, v_{i_{p+1}}^0)$  in G', so there exists an arc  $(v_{i_p}, v_{i_{p+1}})$  in G. Thus,  $(v_{i_1}, \ldots, v_{i_n})$  is a hamiltonian path in G.

#### DU-Realizable<sub>w</sub> is NP-hard

267

272

273

275

276

279

281

282

283

284

289

By reduction from OptionalRealizable<sub>w</sub>. Given a directed unweighted graph G = (V, A), a subset  $A' \subseteq A$  of compulsory arcs (let  $A'' = A \setminus A'$  be the set of optional arcs), an integer w, and w-1 distinguished vertices  $s_1 \dots s_{w-1} \in V$ .

Let m = |A''|, write  $A'' = \{(u_1, v_1), \dots, (u_m, v_m)\}$ . Create G' by adding w(m + 1) separator vertices  $y_p^i$ ,  $1 \le p \le m + 1$  and  $1 \le i \le w$  and m vertices  $z_p$ . Build the strings

$$Z = \left(\prod_{p=1}^{m} (y_{p}^{1} \dots y_{p}^{w} u_{p} z_{p} v_{p})\right) y_{m+1}^{1} \dots y_{m+1}^{w}$$

$$Z' = Zs_1 \dots s_{w-1}$$

. Add all arcs realized by Z' involving  $y_p^i$  and/or  $z_p$  to G'.

G has a realization with optional arcs  $\Leftrightarrow$  G' has a realization

 $\Rightarrow$  Build a realization for G' by concatenating Z with the realization for G starting with  $s_1 \ldots s_{w-1}$ . All optional arcs of G' are realized in Z, all compulsory arcs of G' are realized in the suffix (the realization of G'), and all arcs involving a separator are realized in Z'. No forbidden arc is realized.

 $\Leftarrow$  Let S be a realization of G'. The set of in-neighbors of any separator has size at most w-1 and induce a tournament in G' (this is clear for all arcs involving separators, it is also true for a potential pair of vertices  $(u_i, v_i)$  of G since G has no length-2 cycle. So the w-1 characters before any separator are ordered as in Z. Furthermore each separator (except  $y_1^1$ ) contains at least one other separator in each in-neighborhood, so any occurrence of a separator is actually the last character of a substring of S equal to a prefix of S. Since  $y_1^1$  has in-degree 0, it may only appear as the first character of S, and any prefix of S is also a prefix of S. Moreover since  $y_{m+1}^w$  must appear in S, we have S = ZS' with no separator appearing in S'. Thus S' realizes only arcs from G. From the out-neighborhood of  $y_{m+1}^1, \ldots, y_{m+1}^w$ , we have that S starts with  $s_1, \ldots, s_{m-1}$ . Moreover no compulsory arc of S is realized in S, nor with one vertex in S and one in S' (since such arcs start with a separator), so all compulsory arcs are realized in S'. Overall, S' is a yes-instance of OptionalRealizable S'0 with sequence S'1.

#### GW-Realizable<sub>w</sub>, DW-Realizable<sub>w</sub> are NP-hard for all $w \geq 3$

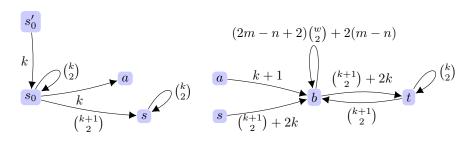
By reduction from a variant of hamiltonian path:

Input: Undirected graph G with two degree-1 vertices.

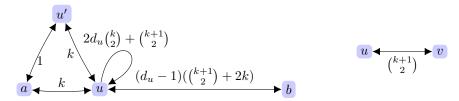
Question: Does G have a hamiltonian path?



Queue Gadget:



 Edge Gadget (for each  $\{u, v\}$ ):



**Figure 5** Subgraphs used in the reduction from Hamiltonian Path to DW-Realizable<sub>3</sub>. Weights on double arcs apply to both directions. Note that some arcs appear in different gadgets, in which case the weights should be summed (in particular, so loops on s and t have total weight  $2d_u\binom{k}{2}+\binom{k+1}{2}+\binom{k}{2}$ )

Note that this variant of HP is easily shown to be NP-hard from Hamiltonian cycle via the following reduction: given a graph G on which we need to find a hamiltonian cycle, pick any vertex v, duplicate it into  $v_1, v_2$  (each edge  $\{u, v\}$  becomes two edges  $\{u, v_1\}$  and  $\{u, v_2\}$ ), and add pending vertices s and t connected to  $v_1$  and  $v_2$  respectively.

#### Reduction for DW-Realizable

Given G = (V, E) with degree-1 vertices s and t, build a directed weighted graph G' = (V', A) as follows:

Vertex set. For each  $u \in V$ , create a vertex denoted u'. Create two additional dummy vertices a and b. Let  $V' := \{a, b, s_0, s'_0\} \cup \bigcup_{u \in V} \{u, u'\}$ . The arcs are given in Figure 5, as the union of the start gadget, the queue gadget, and the vertex and edge gadgets respectively for each vertex and edge of G.

#### Reduction for GW-Realizable

Build the directed graph G' as above, and let  $G'_u$  be the undirected version of G': remove arc orientations, for  $u \neq v$  the weight of  $\{u, v\}$  is the sum of the weight of (u, v) and (v, u) in G' (the weight of loops is unchanged).

#### Main claims

294

296

297

299

300

301

302

303

305

306

307

308

309

310

We prove the following three claims:

- (i) G hamiltonian  $\Rightarrow$  G' has a realization
- (ii) G' has a realization  $\Rightarrow G'_u$  has a realization
- 313 (iii)  $G'_u$  has a realization  $\Rightarrow G$  hamiltonian

All together, they show the correctness of the reductions for both GW-Realizable and DW-Realizable since they yield:

- G hamiltonian  $\Leftrightarrow G'$  has a realization
- G hamiltonian  $\Leftrightarrow G'_u$  has a realization

332

334

336

338

339

342

344

345

352

**Proof of Claim (i).** G has a hamiltonian path, let  $(u_1 = s, u_2, \dots, u_n = t)$  be its hamiltonian 319 path and  $(v_1, w_1), \ldots (v_{m'}, w_{m'})$  be the pairs of connected verices except pairs  $(u_i, u_{i+1})$  (i.e. the set  $\bigcup_{\{u,v\}\in E} \{(u,v),(v,u)\} \setminus \{(u_i,u_{i+1}) \mid 1 \leq i < n\}$ . Note that m' = 2m - (n-1). Define sequence S as follows.

Note that a sequence of the form  $x^k a y^k$  yields  $\binom{k}{2}$  loops for x,  $\binom{k}{2}$  loops for y, as well as  $\binom{k+1}{2}$  arcs (x,y) (indeed, there are  $1+2+\ldots+w-2=\binom{k+1}{2}$  such arcs). A sequence of the form  $b x^k b^w$  yields in particular an arc (b,x) of weight k and arc (x,b) of weight  $\binom{k+1}{2} + k$ .

**Proof of Claim (ii).** Clear, any realization for G' is a realization for  $G'_n$ .

**Proof of Claim (iii).** Pick a realization S of  $G'_u$ . Define the weight of a vertex in  $G_u$  as the 328 sum of the weights of its incident edges (counting loops twice). From the construction, we 329 obtain the following weights for a selection of vertices: 330

- $s_0'$  has weight w-1u' has weight 2(w-1) for  $u \in V$
- a has weight 2(n+1)(w-1)333

From the weight of  $s'_0$ , it follows that this vertex must be an endpoint of S (wlog, S starts with  $s'_0$ ). It follows that for any other vertex v with weight 2i(w-1), v must have exactly i occurrences in S (in general it can be either i or i+1, but if v has i+1 occurrences it must be both the first and last character of S, i.e.  $v = s'_0$ : a contradiction). Thus each u'occurs once and a occurs n+1 times in S.

Each u' occurs once, so order vertices of V according to their occurrence in S (i.e.  $V = \{u_1, \ldots, u_n\}$  with  $u'_1$  appearing before  $u'_2$ , etc.). For each i, the neighborhood of  $u'_i$  in S contains a twice, one a on each side (since there is no (a,a) loop). Other neighbors of  $u'_i$  may only be occurrences of  $u_i$ , so each  $u'_i$  belongs to a factor, denoted  $X_i$ , of the form  $au_i^*u_i'u_i^*a$ . Two consecutive factors  $X_i, X_{i+1}$  may overlap by at most one character (a), and if they do, then there exists an edge  $\{u_i, u_{i+1}\}$  (since  $w \geq 3$ ) in G. There are n such factors  $X_{u_i}$ , and only n+1 occurrences of a, so all as except extreme ones belong to the overlap of two consecutive  $X_i$ s, and there exists an edge  $\{u_i, u_{i+1}\}$  for each i. Thus  $(u_1, \ldots, u_n)$  is a hamiltonian path of G.

#### 4 Effective general algorithms

#### 4.1 $Realizable_w$ Linear integer programming formulation

Let G = (V, E) be a graph with integer weights  $\pi_{e \in E}$ . In this model, we represent a sequence x over the alphabet  $\{1,...n\}$ , as a (0-1) matrix  $X \in \mathbb{M}_{n,p}(\{0,1\})$  encoding the sequence x:

$$X_{i,j} = \begin{cases} 1 & \text{if } x_j = i \\ 0 & \text{otherwise} \end{cases}$$

It should be noted that the set sequence of sequences over the alphabet  $\{1,...n\}$  is exactly represented by the (0-1) matrices such that

$$\forall j \in \{1, ..., p\}$$
  $\sum_{i=1}^{n} X_{i,j} = 1$ 

Given a window size w, a unit of  $\pi_{e=(v_1,v_2)}$  corresponds to the appearance of two elements  $v_1, v_2$  at a distance  $i \in \{1, ..., w-1\}$  in the sequence. Now, let us consider a fixed distance i, and a starting index  $j \in \{1, ..., p-i\}$ , we use a intermediary slack variable  $y_j^e(i) \in \{0, 1\}$  to model the presence of such appearance using the constraint:

$$X_{v1,j}X_{v_2,j+i} = y_i^e(i) (8)$$

Then, the Boolean variable  $y_j^e(i)$  is equal to 1 when  $v_1$  is located at position j and  $v_2$  at position j + i. We linearise Eq. 8 as:

$$-X_{v_1,j} + y_j^e(i) \le 0$$

$$-X_{v_2,j+i} + y_j^e(i) \le 0$$

$$X_{v_1,1} + X_{v_2,j+i} - y_j^e(i) \le 1$$
(9)

Each slack variable  $y_k^e(i)$  is attributed to an edge e, a relative distance  $i \in \{1, ..., w-1\}$  and a starting position  $k \in \{1, ..., p-i\}$ . Given our constraint formulation, every slack variable is attributed 3 constraints. For a digraph, the number of possible pair positions for a unit of  $\pi_{e=(v_1,v_2)}$  is given by:

$$C = \sum_{i=1}^{w-1} (p-i) = p(w-1) - \frac{w(w-1)}{2} = (w-1)(p - \frac{w}{2})$$

Therefore, in our model, C corresponds to the number of slack variables attributed to constraints for an edge of the graph.

On the contrary, the absence of an edge  $e = (v_1, v_2)$ , corresponding to  $\pi_e = 0$ , can be modeled for a distance  $i \in \{1, ..., w - 1\}$  and a starting position  $j \in \{1, ..., p - i\}$  as:

$$X_{v1,j} + X_{v_2,j+i} \leq 1$$

Then, Realizable can be formulated as the following linear integer program:

$$\min_{X \in \{0,1\}^{p \times n}, y \in \{0,1\}^{|E| \times C}} \sum_{e \in E} \sum_{i \in \{1, \dots, w-1\}} y_1^e(i) + \dots + y_{p-i}^e(i)$$

under the constraints

353

354

355

356

357

360

363

364

365

366

$$\forall j \in \{1, ..., p\} \qquad \sum_{i=1}^{n} X_{i,j} = 1$$

$$\forall e = (v_1, v_2) \in E$$

$$\forall e' = (v'_1, v'_2) \notin E$$

$$\forall i \in \{1, ..., w-1\}$$

$$\begin{cases}
-X_{v_1, 1} + y_1^e(i) \leq 0 \\
-X_{v_2, 1+i} + y_1^e(i) \leq 0
\end{cases}$$

$$X_{v_1, 1} + X_{v_2, 1+i} - y_1^e(i) \leq 1$$

$$\vdots$$

$$-X_{v_1, p-i} + y_{p-i}^e(i) \leq 0$$

$$X_{v'_1, p-i} + X_{v'_2, p} \leq 1$$

$$X_{v'_1, p-i} + X_{v'_2, p} \leq 1$$

$$X_{v'_1, p-i} + X_{v'_2, p} \leq 1$$

$$\text{ and } \forall e \in E \qquad \sum_{i \in \{1, \dots, w-1\}} y_1^e(i) + \dots + y_{p-i}^e(i) \geq \pi_e$$

If the objective function reaches  $\sum_{e \in E} \pi_e$  at its minimum then the output of REALIZABLE<sub>w</sub>( $G, \Pi$ ) is True, and False otherwise.

### 4.2 NumRealizations $_w$ Dynamic programming formulation

We did not present a way to count admissible sequences in the general case. Although the tractability of our problems (NP-hardness of Realizable, #P-hardness of Numrealizations, currently remains open for some cases, we present in this subsection a method based on dynamic programming valid for all cases.

The recursion proceeds by extending a partial sequence, initially set to be empty, keeping track of for represented edges along the way. Namely, consider  $N_w[\Pi, p, \mathbf{u}]$  to be the number of w-admissible sequences of length p for the graph G = (V, E), respecting a weight matrix  $\Pi = (\pi_{ij})_{i,j \in V^2}$ , preceded by a sequence of nodes  $\mathbf{u} := (u_1, \dots, u_{|\mathbf{u}|}) \in V^*$ . It can be shown that, for all  $\forall p \geq 1$ ,  $\Pi \in \mathbb{N}^{|V^2|}$  and  $\mathbf{u} \in V^{\leq w}$ ,  $N_w[\Pi, p, \mathbf{u}]$  obeys the following formula, using the notations of Section 3.2:

$$N_{w} [\Pi, p, \mathbf{u}] = \sum_{v \in V} \begin{cases} N_{w} \left[ \Pi'_{(\mathbf{u}, v)}, p - 1, (u_{1}, ..., u_{|u|}, v) \right] & \text{if } |\mathbf{u}| < w - 1 \\ N_{w} \left[ \Pi'_{(\mathbf{u}, v)}, p - 1, (u_{2}, ..., u_{w-1}, v) \right] & \text{if } |\mathbf{u}| = w - 1 \end{cases}$$
(10)

with  $\Pi'_{(\mathbf{u},v)} := (\pi_{ij} - |\{k \in [1,|\mathbf{u}|] \mid (u_k,v) = (i,j)\}|)_{(i,j)\in V^2}$ . The base case of this recurrence corresponds to p = 0, and is defined as

$$\forall \Pi, \ N_w[\Pi, 0, \mathbf{u}] = \begin{cases} 1 & \text{if } \Pi = (0)_{(i,j) \in V^2} \\ 0 & \text{otherwise.} \end{cases}$$
 (11)

The total number of admissible sequences is then found in  $N_w[\Pi, p, \varepsilon]$ , *i.e.* setting **u** to the empty prefix  $\varepsilon$ , allowing the sequence to start from any node.

The recurrence can be computed in  $\mathcal{O}(|V|^w \times \prod_{i,j \in V^2} (\pi_{i,j} + 1))$  time using memoization, for p the sequence length. The complexity can be refined by noting that:

$$\sum_{i,j\in V^2} \pi_{i,j} \le w \times p$$

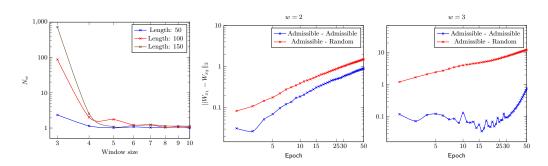
To investigate the worst case scenario, we can consider the optimisation problem:

$$\max_{\Pi} \prod_{i,j \in V^2} (\pi_{i,j} + 1) \text{ such that } \sum_{i,j} \pi_{i,j} = w p.$$
 (12)

This problem is equivalent to maximise a product under a budget constraint. When  $n^2 \ge w \times p$ , which is the case in practice, the maximum is reached for a Boolean matrix  $\Pi = (\pi_{i,j}) \in \{0,1\}^{|V|^2}$ , verifying the constraint. This property can be deduced from the inequality:

$$1 \le a < b - 1 \implies \log a + \log b < \log(a + 1) + \log(b - 1)$$
$$\implies ab < (a + 1)(b - 1)$$

It follows that, in the worst-case scenario,  $\prod_{i,j\in V^2}(\pi_{i,j}+1)\in \mathcal{O}(2^{w\,p})$ . Thus, despite the, apparently extreme complexity of our algorithm, it is still possible to compute  $N_w[\Pi,p,u_{1:w}]$  for "reasonable" values of p and w.



**Figure 6** Left plot: Average lower bound  $(N_w)$  on the average number of sequences. Two right plots:  $||W_{x_1} - W_{x_2}||_2 = f(\mathsf{Epoch})$  - LSTM,  $\log - \log$  scale

## 5 Application to sequential models

## 5.1 Number of equivalent sequences for weighted sequence digraphs

Since the dynamic programming method in Sec. 4.2 is exponential in the wort case, we provide results for relatively short sequences generated from text data (a dump of English Wikipedia, 2016) of 500 documents, each of them having a length  $p \in \{50, 100, 150\}$ . Each document contained a minimum of  $\frac{3}{4}p$  distinct words. For each  $w \in 3 \to 10$ , we estimate the number of admissible sequences yielding the same representations for a set of documents and different window size using the procedure described in Sec. 4.2 to compute  $N_w$ . Due to memory limitations, it should be noted that  $N_w$  is a lower bound of the number of total admissible sequences, since a starting pattern (first w tokens) is fixed.

Results are reported in the left plot of Fig. 6. For w=2, the number of sequences (obtained using Prop. 19) was significantly larger ( $>10^5$ ), so not reported in the figure for clarity. As expected, the number of distinct admissible sequences tends to 1 when the window size increases. This suggests that window sizes used in skip gram models should be usually larger or equal to 5. In natural language processing, a frequent configuration is w=10 [18, 21]. However, some examples with different realizations exist, even for w=10 and p=50.

#### 5.2 Comparison with a recurrent neural network

The second experiment we consider is to evaluate the difference of the parameters between a sequential model trained on two admissible sequences of a given graph. The sequential model we are considering are a class of recurrent dynamical recurrent models, referred to as long short term memory (LSTM) networks [12]. These models have attracted new interest due to experimental progress for time series prediction [11] and natural language processing [3, 6, 25]. Given a window size w, the task we consider is to predict the next element of the sequence given the w-1 previous ones. If the sequences were equivalent for the sequential model, the weights should numerically converge after training.

To generate pairs of admissible sequences encoding for non trivial graphs (i.e not the complete graph), we used algorithm based on Lemma 5. We generate w-admissible sequence (thousand tokens long), for  $w \in \{2,3\}$ , but could not provide other pairs for w > 3 due to computational time. We compare the pairs of admissible sequences with a pair of one of the sequence, and a sequence generated randomly uniformly on the same vocabulary. We implemented the LSTM network using the Python library Keras [7], a high-level API

running over TensorFlow [1]. In order to remove randomness from the training algorithm, we froze the seed generating initial weights (the optimization directions being fixed by the data). We chose tanh as main activation function, sigmoid for the recurrent activations, with 2 units. The number of units is chosen relatively low in order to obtain a reasonable number of weights (in this case 16).

Two right plots of Fig. 6 reports the results for  $w \in \{2,3\}$ ,  $W_x$  represents all the weights of the network for a sequence x. For w=2, there the difference of the weights for 2 admissible sequences is lower than with one of the sequence and a random one, but this proximity does not appear to be significant compared with a random sequence. For w=3, the recurrent network has relatively close weights for two admissible sequences when compared with a random one.

#### 6 Conclusion

435

436

438

439

441

442

443

444

445

450

451

467

In this study, we revisited a series of problems related to the ambiguity of sequence graphs 446 representations, which are popular in the context of text mining and natural language processing. We derived theoretical properties and practical algorithms for the family of 448 sequence graphs. 449

This study can be of use used for several sequential models, such as continuous bag of words (CBOW), skip-grams ([10, 14, 24]), pointwise mutual information models [2, 18, 21].

#### Acknowledgments 452

The authors wish to express their gratitude to Guillaume Fertin and an anonymous reviewer of an earlier version of this manuscript, for their valuable suggestions and constructive 454 criticisms.

#### References

- 1 Martin Abadi, Ashish Agarwal, Paul Barham, Eugene Brevdo, Zhifeng Chen, Craig 457 Citro, Greg S Corrado, Andy Davis, Jeffrey Dean, Matthieu Devin, et al. Tensorflow: 458 Large-scale machine learning on heterogeneous systems, 2015. Software available from 459 tensorflow. org, 1(2), 2015. 460
- 2 Sanjeev Arora, Yuanzhi Li, Yingyu Liang, Tengyu Ma, and Andrej Risteski. A latent 461 variable model approach to pmi-based word embeddings. Transactions of the Association 462 for Computational Linguistics, 4:385–399, 2016. 463
- 3 Sergey Bartunov, Dmitry Kondrashkin, Anton Osokin, and Dmitry Vetrov. Breaking 464 sticks and ambiguities with adaptive skip-gram. In artificial intelligence and statistics, 465 pages 130–138, 2016. 466
- 4 Graham R Brightwell and Peter Winkler. Counting eulerian circuits is# p-complete. In ALENEX/ANALCO, pages 259–262. Citeseer, 2005. 468
- 5 Seth Chaiken. A combinatorial proof of the all minors matrix tree theorem. SIAM 469 Journal on Algebraic Discrete Methods, 3(3):319–329, 1982. 470
- 6 Qian Chen, Xiaodan Zhu, Zhenhua Ling, Si Wei, Hui Jiang, and Diana Inkpen. Enhanced lstm for natural language inference. arXiv preprint arXiv:1609.06038, 2016. 472
- 7 François Chollet et al. Keras. https://keras.io, 2015. 473
- 8 Nicolaas Govert de Bruijn and Tanja van Aardenne-Ehrenfest. Circuits and trees in 474 oriented linear graphs. Simon Stevin, 28:203–217, 1951. 475

- Jaume Gibert, Ernest Valveny, and Horst Bunke. Dimensionality reduction for graph of
   words embedding. In *International Workshop on Graph-Based Representations in Pattern* Recognition, pages 22–31. Springer, 2011.
- <sup>479</sup> 10 Yoav Goldberg and Omer Levy. word2vec explained: deriving mikolov et al.'s negative-<sup>480</sup> sampling word-embedding method. arXiv preprint arXiv:1402.3722, 2014.
- Klaus Greff, Rupesh K Srivastava, Jan Koutník, Bas R Steunebrink, and Jürgen Schmidhuber. Lstm: A search space odyssey. *IEEE transactions on neural networks and learning systems*, 28(10):2222–2232, 2016.
- Sepp Hochreiter and Jürgen Schmidhuber. Long short-term memory. Neural computation,
   9(8):1735–1780, 1997.
- Leo Liberti, Carlile Lavor, Nelson Maculan, and Antonio Mucherino. Euclidean distance
   geometry and applications. Siam Review, 56(1):3–69, 2014.
- Tomas Mikolov, Kai Chen, Greg Corrado, and Jeffrey Dean. Efficient estimation of word representations in vector space. arXiv preprint arXiv:1301.3781, 2013.
- Tomáš Mikolov, Wen-tau Yih, and Geoffrey Zweig. Linguistic regularities in continuous space word representations. In Proceedings of the 2013 conference of the north american chapter of the association for computational linguistics: Human language technologies, pages 746–751, 2013.
- 16 David Nadeau and Satoshi Sekine. A survey of named entity recognition and classification.
   Linguisticae Investigationes, 30(1):3–26, 2007.
- Hao Peng, Jianxin Li, Yu He, Yaopeng Liu, Mengjiao Bao, Lihong Wang, Yangqiu Song,
   and Qiang Yang. Large-scale hierarchical text classification with recursively regularized
   deep graph-cnn. In *Proceedings of the 2018 World Wide Web Conference*, pages 1063–1072,
   2018.
- 500 18 Jeffrey Pennington, Richard Socher, and Christopher Manning. Glove: Global vectors 501 for word representation. In *Proceedings of the 2014 conference on empirical methods in* 502 natural language processing (EMNLP), pages 1532–1543, 2014.
- Michael Roth and Kristian Woodsend. Composition of word representations improves
   semantic role labelling. In Proceedings of the 2014 Conference on Empirical Methods in
   Natural Language Processing (EMNLP), pages 407–413, 2014.
- François Rousseau, Emmanouil Kiagias, and Michalis Vazirgiannis. Text categorization as a graph classification problem. In *Proceedings of the 53rd Annual Meeting of the Association for Computational Linguistics and the 7th International Joint Conference on Natural Language Processing (Volume 1: Long Papers)*, pages 1702–1712, 2015.
- 21 Arora Sanjeev, Liang Yingyu, and Ma Tengyu. A simple but tough-to-beat baseline for sentence embeddings. *Proceedings of ICLR*, 2017.
- Micha Sharir. A strong-connectivity algorithm and its applications in data flow analysis.
   Computers & Mathematics with Applications, 7(1):67–72, 1981.
- Konstantinos Skianis, Fragkiskos Malliaros, and Michalis Vazirgiannis. Fusing document,
   collection and label graph-based representations with word embeddings for text classification. In Proceedings of the Twelfth Workshop on Graph-Based Methods for Natural
   Language Processing (TextGraphs-12), pages 49–58, 2018.
- Yan Song, Shuming Shi, Jing Li, and Haisong Zhang. Directional skip-gram: Explicitly distinguishing left and right context for word embeddings. In Proceedings of the
   2018 Conference of the North American Chapter of the Association for Computational Linguistics: Human Language Technologies, Volume 2 (Short Papers), pages 175–180,
   2018.

Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N
 Gomez, Łukasz Kaiser, and Illia Polosukhin. Attention is all you need. In Advances in neural information processing systems, pages 5998–6008, 2017.

## Additional figures

#### Algorithm 1 Construction of a sequence digraph

**Input**: Sequence x of length p, window size  $w, p \ge w \ge 2$ 

Parameter: Optional list of parameters

Output:  $(G_w(x), \Pi)$ 

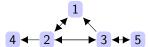
- 1:  $V \leftarrow \emptyset$
- 2: Initiate  $\Pi = (\pi_{i,j})$  to  $d \times d$  matrix of zeros
- 3: for  $i=1 \rightarrow p-1$  do
- 4:  $V \leftarrow V \cup \{x_i, x_{i+1}\}$
- 5: **for**  $j = i + 1 \to \min(i + w 1, p)$  **do**
- 6:  $\pi_{x_i,x_j} \leftarrow \pi_{x_i,x_j} + 1$
- 7: end for
- 8: end for
- 9: return solution

# $1 \rightarrow 2 \rightarrow 3$

(a)  $1\,2\,3$  is a 2-admissible sequence but is G is not strongly connected



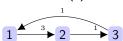
(c) G is not a 2-sequence graph...



(b) 3531212324 is a 2-admissible sequence but the graph is not Eulerian nor semi-Eulerian



(d) ... whereas R(G) is.



(e) G is strongly connected but is not a 2-sequence graph

#### **Figure 7** Counter examples for w=2

# **B** Results and proofs Sec. 3.1 (w = 2)

In this section, we present the results for digraphs and w=2. Obviously, the simplest case concerns undirected graphs as stated in:

Proposition 8. If G = (V, E) is unweighted and undirected, with |V| > 1, the following are equivalent:

- (i) G is connected
- G (ii) G has a 2-admissible sequence
- 34 (iii) G admits an infinite number of 2-admissible sequences
- In these conditions, a 2-admissible sequence can start and end at any vertex.

Proof. Let us suppose G has an admissible sequence u. Let a,b two distinct vertices of G.

Then using the definition, a and b must appear at least once in the sequence u, i.e  $u_{i_a} = a$ and  $u_{i_b} = b$ . If  $i_a < i_b$ , then the sequence  $s = (u_i \mid i_a \le i \le i_b)$  defines a path from a to bsince  $\forall i$ ,  $e_{s_i s_{i+1}} \in E$ . The case  $i_b > i_a$  is dealt similarly.

540

542

558

559

560

561

563

564

565

566

The previous characterization is wrong for digraphs, even with strongly connectivity. A counter example is depicted in Fig. 7a. However, strong connectivity remains a sufficient condition:

- Proposition 9. Let G = (V, E) a unweighted digraph. If G is strongly connected then  $G \in \text{Im } \phi_2$ . A 2-admissible sequence can start or end at any given vertex of G.
- Proof. This can be proved similarly to (i) ⇒ (ii) for proposition 9 by replacing connectivity with strong connectivity.
- Proposition 10. Let G=(V,E) an unweighted digraph. If G is Eulerian or semi-Eulerian, then  $G \in \operatorname{Im} \phi_2$ .
- Proof. If G is Eulerian or semi-Eulerian, there exists a walk going through all edges, this walk defines a 2-admissible sequence.

Again the converse of Prop. 10 does not hold as depicted in Fig. 7b. The characterization of sequence digraph is more subtle. As a start, it is natural to consider directed acyclic graphs (DAGs):

- Proposition 11. Let G = (V, E) a DAG. G is a 2-sequence graph if and only if it is a directed path, i.e G is a directed tree where each node has at most one child and at most one parent. In this case, G has a unique 2-admissible sequence.
  - **Proof.** If G is directed path, since G is finite, it admits a source node. Therefore a 2-admissible sequence is obtained by simply going through all vertices from the source node. This is obviously the only one.

Conversely, let us suppose G is a DAG and a 2-sequence graph. If G is not a directed path, there are two cases: either there exists a vertex having two children, or two parents. Let s be a vertex having 2 distinct children  $c_1$  and  $c_2$ . This is not possible since there cannot be a walk going through  $(s, c_1)$  and  $(s, c_2)$ : G would have a cycle otherwise. Finally a vertex v cannot have two parents  $p_1$  and  $p_2$ : if a 2-admissible sequence existed, it would have to go through  $(p_1, v)$  and  $(p_2, v)$ , creating a cycle, hence the contradiction.

Every directed graph G is a DAG of its strongly connected components. In the following, let R(G) be the DAG obtained by contracting the strongly connected components of G.

- Proposition 12. Let G = (V, E) a digraph. If G is a 2-sequence graph then R(G) is a 2-sequence graph.
- Proof. Let G be a 2-sequence graph, and let us suppose that R(G) is not a 2-sequence graph. Since R(G) is a (weakly) connected DAG, then using Prop. 11, it cannot be a directed path, so R(G) has either a node having two children or two parents. Let S be a node of R(G) having at least 2 distinct children  $C_1$  and  $C_2$ . This means that there exist three distinct corresponding nodes in V, s,  $v_1$  and  $v_2$  such that  $(s, v_1) \in E$  and  $(s, v_2) \in E$ . Since G is a 2-sequence graph, there exists a walk covering  $(s, v_1)$  and  $(s, v_2)$ , such walk would make S,  $C_1$  and  $C_2$  the same node in H(G), hence the contradiction. The case for which a vertex has two parents is dealt with similarly.

The converse of Prop. 12 does not hold as depicted in Fig. 7c, 2. However, let us add a weight compatibility in R(G) as follows:

- ▶ **Definition 13.** Let G be a digraph, and  $R^+(G)$  be the weighted DAG obtained from R(G), such that the weight of an edge is attributed the number of distinct arcs from two strongly connected components in G.
- ▶ **Theorem 14.** Let G = (V, E) be an unweighted digraph.

G is a 2-sequence graph if and only if  $R^+(G)$  is a directed path and its weights are all equal to 1.

**Proof.** If G is a 2-sequence graph, R(G) is a 2-sequence graph using Prop. 12. Also Prop. 11 implies that R(G) and  $R^+(G)$  are directed paths. Moreover, if  $R^+(G)$  had a weight strictly greater that 1, then there would be strictly more than one edge between two connected components  $C_1$  and  $C_2$ . All these edges go in the same direction otherwise  $C_1 \cup C_2$  would form a strongly connected component. This is a contradiction since any 2-admissible sequence would have to go from  $C_1$  to  $C_2$  and then come back to  $C_1$  (or conversely) which would would make  $C_1 \cup C_2$  a strongly connected component.

Conversely, let us suppose  $R^+(G)$  is a a directed path and its weights are equal to one. First, there exists a walk  $x_1, ..., x_p$  covering all edges of  $R^+(G)$  verifying: (i)  $\forall i, x_i \in V$  or  $x_i$  represents a strongly connected component of G, (ii) there is only one edge in G between from  $x_i$  to  $x_{i+1}$  and (iii) x has no repetition, i.e there is no common vertex in G between  $x_i$  and  $x_{i+1}$ . We construct a 2-admissible sequence y for G by means of the following procedure.

Initialisation: If  $x_1 \in V$ , we simply set  $y \leftarrow x_1$ . Otherwise,  $x_1$  corresponds to a strongly connected component  $C_1$  of G and we add to y any 2-admissible sequence of  $C_1$ .

For  $i \in \{1, .., p-1\}$ :

- If  $(x_i, x_{i+1}) \in E$ : we add  $x_{i+1}$  to the sequence y.
- If  $x_i \in V$  and  $x_{i+1}$  is a strongly connected component  $C_i$  of G: By assumption, there exists only one edge of G from  $x_i$  to a vertex of  $C_i$ , say  $c_0^i$ . Since  $C_i$  is strongly connected, using Prop. 9,  $C_i$  has a walk going through all of its edges and starting in  $c_0^i$ , say  $c_0^i$ , ...,  $c_p^i$ . We add  $c_0^i$ , ...,  $c_p^i$  to y.
- If  $x_i$  corresponds to a strongly connected component  $C_i$  and  $x_{i+1} \in V$ : we perform similar operations by stopping on the single node of  $C_i$  that has a edge to  $x_{i+1}$  (this is possible thanks to Prop. 9).
- $x_i$  and  $x_{i+1}$  both correspond to strongly connected components  $C_i$  and  $C_{i+1}$ , there exists only one edge between in E between  $C_i$  and  $C_{i+1}$ , say  $e_i = (v_i, v_{i+1})$ . We can complete y by a walk from the last vertex visited which belong to  $C_i$  and  $v_i$ , and then by a 2-admissible sequence through  $C_{i+1}$  starting in  $v_i$  and ending in  $v_{i+1}$ .

End For

The process stops when i = p - 1, and all edges are covered by the sequence y.

Therefore, an algorithm to decide if a digraph is a 2-sequence is obtained by extract its connected components (there exist linear time algorithms e.g [22]), and to count the number of distinct edges between these.

Corollary 15. Let G an unweighted digraph. The possible numbers of 2-admissible sequences for G is exactly  $\{0,1,+\infty\}$ . Moreover, G admits a unique 2-admissible sequence if and only if G is a directed path.

Proof. Let G a 2-sequence graph and let us show that G has either a unique or an infinite number of 2-admissible sequence. G verifies characterization of Theorem 14. If R(G) has

a vertex representing a strongly connected component of G (or a vertex with a self loop), then by adding an arbitrary number of cycles to y, the obtained walk is still admissible. Otherwise, if every vertex of R(G) is in V without self-loops in E, then G is a DAG. Using Prop. 11, y is the unique 2-admissible sequence.

#### Weighted 2-sequence graphs

627

656

657

658

659

661

The weighted case cannot be treated similarly due to the weight constraints implying that a weighted graph has a finite number of admissible sequences. A counter example is depicted in Fig. 7e.

▶ **Definition 16.** Let  $\psi(G)$  be the multigraph with the same vertices as G = (V, E) and with  $\pi_{ij}$  edges between  $(i, j) \in V^2$ .

Due to the previous study, the characterization of weighted 2-sequence graphs using  $\psi(G)$  is immediate.

Theorem 17. If G is a weighted graph (directed or not), with  $\Pi(G) \in \mathcal{M}_d(\mathbb{N})$ , then:  $G \in \operatorname{Im} \phi_2 \iff \psi(G)$  is connected and semi-Eulerian.

Proof.  $G \in \text{Im } \phi_2$  means that there is a trail going through each edge  $(i, j) \in E$  exactly  $\pi_{i, j}$  times. This trail corresponds to a semi-Eulerian path in  $\psi(G)$ .

▶ **Lemma 18.** Let G = (V, E) a weighted 2-sequence graph (possibly oriented). Let  $\mathcal{E}$  be the set of Eulerian paths of  $\psi(G)$  and  $\mathcal{S}$  be the set of 2-realizations of G. Then

$$\mathcal{E} = (\#\mathcal{S}) \prod_{e \in E} \pi_e! \tag{13}$$

**Proof.** We will first prove it for digraphs. If  $e = (v_1, v_2)$  is an edge of a digraph, we will represent the source and target vertex of e as e(s) and e(t). Let  $(e_1, e_2, ..., e_h)$  be a Eulerian path of  $\psi(G)$  defined as a sequence of its edges. Then  $\forall (i, j) \in \{1, ..., h\}^2$ ,  $e_i \neq e_j$  and  $\forall i \in \{1, ..., h-1\}$ ,  $e_i(t) = e_{i+1}(s)$ . Let us consider the transformation:

$$\mathcal{E} \longrightarrow \mathcal{S}$$

$$(e_1, e_2, \dots, e_h) \mapsto (e_1(s), e_2(s), \dots, e_{n-1}(s), e_h(t))$$

$$(14)$$

We have already shown this transformation is surjective: any 2-sequence of G can be obtained with a Eulerian path of  $\psi(G)$ . We will now consider the action of  $\mathfrak{S}_h$  on  $\mathcal{E}$ . For a Eulerian path, let us suppose that two edges of  $\psi(G)$  have been permuted, say  $e_1$  and  $e_{i_0}$  without loss of generality. If the two corresponding sequences are the same:  $(e_{i_0}(s), e_2(s), ..., e_h(t)) = (e_1(s), e_2(s), ..., e_{i_0}(s), ..., e_h(t))$ . Obviously,  $e_{i_0}(s) = e_1(s)$ . Also  $e_1(t) = e_2(s)$  implies  $e_{i_0}(t) = e_1(t)$ . This shows that  $e_{i_0}$  and  $e_1$  are associated to the same edge in E. Therefore, given a 2-sequence, the choice of a corresponding Eulerian path correspond to the choice of  $\sigma = (\tau_1, ..., \tau_{|E|})$  where  $\tau_e$  is a permutation of  $\{1, ..., \pi_e\}$ . Therefore  $\#\mathcal{E} = (\#\mathcal{S}) \prod_{e \in E} \pi_e!$ .

If G is undirected, the proof is still valid, but the operators  $e \mapsto e(s)$  and  $e \mapsto e(t)$  are now induced the natural direction of the considered Eulerian path.

Counting the number of Eulerian paths in a undirected graph has been proven to be a #P-complete problem [4]. Since  $G \mapsto \psi(G)$  is bijective, counting the number of 2-admissible sequences is also #P-complete. Finally, counting Eulerian trails of weighted digraphs has been well studied, hence the following proposition:

667

▶ Proposition 19. If G = (V, E) is a weighted digraph, with  $\Pi(G) \in \mathcal{M}_d(\mathbb{N})$ . Then, if deg(v) is the indegree of a vertex v, the number  $p_2$  of 2-admissible sequences is

$$p_2 = \frac{t(\psi(G))}{\prod_{e \in E} \pi_e!} \prod_{v \in V} (\deg_{\psi(G)}(\psi(v)) - 1)!$$
 (15)

where t(G) is the number of spanning trees of a graph G. If L is the Laplacian matrix of G, then t(G) is given by

$$t(G) = \prod_{\substack{\lambda_i \in Sp(L)\\ \lambda_i \neq 0}} \lambda_i \tag{16}$$

Proof. Direct consequence of BEST Theorem [8]) and Matrix tree theorem [5])

To use formula 15,  $\deg_{\psi(G)}(\psi(v))$  can be obtained using the following formula:  $\deg_{\psi(G)}(\psi(v)) = \sum_{n \in V} \pi_{nv} + \sum_{n \in V} \pi_{vn}$ .

## C Proofs section 3.2 ( $w \ge 3$ )

Proof of Proposition 3. Let  $x = x_1, ..., x_p$  be a w-admissible sequence of G. Let P be a walk on  $H^{(w-2)}$ , and P[i] be the i-th element of P,  $P[i] \in H^{(w-2)}$ :  $P[i] = (P[i]_1, ..., P[i]_{w-1})$ .

Let us suppose that  $w \leq p$  (which we can always do), and let us show the following property by induction on k:

$$\forall k \in \{w-1,...,p\}, \exists \text{ walk } P \text{ on } H^{(w-2)} \text{ such that } :$$

$$x_{1:k} = P[1]_1, P[2]_1, ..., P[k - (w - 1)]_1, P[k + 1 - (w - 1)]_{1:(w-1)}$$

- Initialisation: k = w 1. By construction of  $H^{(w-2)}$ ,  $x_{1:w-1}$  is the authentic sequence of "static walk":  $P = P[1] = x_{1:w-1} \in H^{(w-2)}$ .
- Induction: let us suppose the property is verified for  $k \in \{w-1,...,p-1\}$ , i.e there exists a walk P on  $H^{(w-2)}$  such that:

$$x_{1:k} = P[1]_1, P[2]_2, ..., P[k - (w - 1)]_1, P[k + 1 - (w - 1)]_{1:(w-1)}$$

Since x is w-admissible, then by definition:

$$\forall i \in \{k+1-(w-1),...,k\}, \ \forall j \in \{i+1,...,\min\{k+1,i+w-1\}\}: \ (x_i,x_j) \in E$$

Therefore, by definition of  $H^{(w-2)}$ ,  $\xi^{k+1} = x_{k+1-(w-1)}, ..., x_{k+1} \in H^{(w-2)}$ . Let  $P[k+2-(w-1)] \cong \xi^{k+1}$ , then

$$P[k+2-(w-1)]_{1:(w-1)} = x_{k+1-(w-1)}, ..., x_{k+1}$$

Besides, from the induction assumption:  $\forall i \in \{1,...,k-(w-1)\},\ P[i]_1 = x_i$ . This ensures that:

$$x_{1:(k+1)} = P[1]_1, P[2]_1, ..., P[k+1-(w-1)]_1, P[k+2-(w-1)]_{1:(w-1)}$$

which ends the induction and the proof.

Proof of Lemma 5. Let P = P[1], ..., P[r] a walk on  $H^{(w-2)}$  going through a strongly connected component C, with an arbitrary ordering of its vertices, i.e  $C = \{c_1, ..., c_m\}$ . This means  $\exists (m_0, i_0) \in \{1, ..., m\} \times \{1, ..., r-1\}$  s.t  $P[i_0] = c_{m_0}$  and  $(c_{m_0}, P[i_0+1]) \in E^{(w-2)}$ . Let  $P(c) = c_{m_0}, c_{j_1}, ..., c_{j_v}$  be a path in C with  $(c_{j_v}, P[i_0+1]) \in E^{(w-2)}$ . Let Q be the new

path:  $Q = P[1], ..., P[i_0], c_{j_1}, ..., c_{j_v}, P[i_0 + 1], ..., P[r]$ . By construction of  $H^{(w-2)}$ , the edges (between elements of V) created by any walk on  $H^{(w-2)}$  are in E, so Q is still admissible. 681 Let us label every node of  $R(H^{(w-2)})$  representing a strongly connected component of 682

 $H^{(w-2)}$  by any 2-admissible sequence (one exists thanks to Prop. 9). A walk on  $H^{(w-2)}$ :  $x_1,...,x_p$  can be met by a walk on  $R(H^{(w-2)})$  using the following procedure:

684

For  $i \in \{1, ..., p-1\}$ :

685

 $\blacksquare$  if  $x_i, x_{i+1} \in E^{(w-2)}$ , we keep  $x_i$  and  $x_{i+1}$ 

if  $x_i$  is a vertex of  $H^{(w-2)}$  and  $x_{i+1}$  is in a strongly connected component of  $H^{(w-2)}$  (but a node of  $R(H^{(w-2)})$ , represented by  $c_1,...,c_{C_i}$ , then a path from  $x_{i+1}$  to  $c_1$  exists since the component is strongly connected:  $x_{i+1}, p_1, ..., p_m, c_1$ . We keep

$$x_i, x_{i+1}, p_1, ..., p_m, c_1, ..., c_{C_i}$$

Using the aforementioned result, this does not perturb admissibility.

if  $x_{i+1}$  is a vertex of  $H^{(w-2)}$  and  $x_i$  is in a strongly connected component of  $H^{(w-2)}$ , we 688 proceed similarly  $(x_i \text{ and } x_{i+1} \text{ are swapped}).$ 689

if both  $x_{i+1}$  and  $x_i$  are strongly connected components of  $H^{(w-2)}$ , we add intermediary nodes to both components similarly. 691

692