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## High efficiency mesoscopic solar cells using CsPbI<sub>3</sub> perovskite quantum dots enabled by chemical interface engineering

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## Abstract

All-inorganic  $\alpha$ -CsPbI<sub>3</sub> perovskite quantum dots (QDs) are attracting high interest as solar cell absorbers due to their appealing light harvesting properties and enhanced stability due to the absence of volatile organic constituents. Moreover, *ex situ* synthesized QDs significantly reduce the variability of the perovskite layer deposition process. However, it is highly challenging to incorporate  $\alpha$ -CsPbI<sub>3</sub> QDs into mesoporous TiO<sub>2</sub> (m-TiO<sub>2</sub>), which constitutes the best performing electron transport material in state-of-the-art perovskite solar cells. Herein, the m-TiO<sub>2</sub> surface is engineered using an electron-rich cesium-ion containing methyl acetate solution. As one effect of this treatment, the solid-liquid interfacial tension at the TiO<sub>2</sub> surface is reduced and the wettability is improved, facilitating the migration of the QDs into m-TiO<sub>2</sub>. As a second effect Cs<sup>+</sup> ions passivate the QD surface and promote the charge transfer at the m-TiO<sub>2</sub>/QD interface, leading to an enhancement of the electron injection rate by a factor of three. In combination with an ethanol-environment smoothing route significantly reducing the surface roughness of the m-TiO<sub>2</sub>/QD layer, optimized devices exhibit highly reproducible power conversion efficiencies exceeding 13%. The best cell with an efficiency of 14.32% (reverse scan) reaches a short-circuit current density of 17.77 mA cm<sup>-2</sup>, which is an outstanding value for QD-based perovskite solar cells.

**Keywords:**  $\alpha$ -CsPbI<sub>3</sub> quantum dots; all-inorganic perovskite solar cells; carrier separation and transport; high efficiency; surface treatment; interface engineering

## Introduction

Colloidal quantum dots (QDs) have received tremendous attention owing to their size-dependent optical, electronic, and surface chemistry properties, which offer exciting additional possibilities with respect to bulk materials.<sup>1-4</sup> These unique features promote their widespread applications in optoelectronic devices. QD-based solar cells are regarded as a representative candidate for obtaining power conversion efficiencies (PCEs) beyond the Shockley-Queisser limit due to their high potential for multiple exciton generation.<sup>5-9</sup> Moreover, the *ex situ* preparation of the active material bears undeniable advantages in terms of device fabrication and reproducibility over the *in situ* formation and crystallization of thin films during the deposition process. This is particularly true in the case of lead halide perovskite solar cells, as the formation of the perovskite thin film during the spin-coating process is extremely sensitive to even subtle changes in the experimental conditions.

Cubic  $\alpha$ -CsPbI<sub>3</sub>, an all-inorganic lead halide perovskite with comparable optoelectronic properties but strongly enhanced stability, is considered to be a very appealing alternative to organic-inorganic hybrid perovskites and a promising light-harvesting material for use in solar cells.<sup>10-17</sup> Importantly, in form of colloidal QDs the structure could effectively retain the black cubic phase of CsPbI<sub>3</sub> (direct band gap of 1.73 eV), which otherwise easily transforms to the thermodynamically stable orthorhombic  $\delta$ -CsPbI<sub>3</sub> phase (indirect band gap of 2.82 eV) in thin film structure.<sup>10, 18</sup> Subsequently, the successful application of this material in planar perovskite solar cells (PSCs) has been demonstrated, reaching very recently PCEs of up to 14.1%, which constitutes the record value reported for QD-based solar cells.<sup>12, 19-22</sup>

To achieve high performances, both the optimization of the photoactive material (the perovskite layer) and of the different interfaces (such as the electron transport material (ETM)/perovskite interface, hole transport material (HTM)/perovskite interface) are important. However, the reported CsPbI<sub>3</sub> QD-based PSCs are focusing on the surface passivation of CsPbI<sub>3</sub> QD films using Pb<sup>2+</sup> or Cs<sup>+</sup>,<sup>12, 19-22</sup> while the potential of chemical interface engineering has

been largely overlooked. By consequence, low short-circuit current densities ( $J_{SC}$ ,  $<15 \text{ mA cm}^{-2}$ ) as compared to hybrid perovskite thin film solar cells have been obtained, restricting the performance of CsPbI<sub>3</sub> QD-based PSCs. It is generally assumed that inefficient carrier separation and transport are the principal reasons that limit  $J_{SC}$ . Especially, extracting electrons is less efficient than extracting holes from perovskites.<sup>23-25</sup> Therefore, the optimization of the ETM/QDs interface is of paramount importance to promote the electron transfer from the perovskite to the ETM and enhance the  $J_{SC}$ . So far, the use of mesoscopic TiO<sub>2</sub> (m-TiO<sub>2</sub>) as an ETM has led to the best performing thin-film PSCs due to the very large developed contact interface with the perovskite, which is conducive to facilitate the carrier separation and transport.<sup>26-29</sup> This is confirmed by recent studies showing that the electron injection efficiency from CsPbI<sub>3</sub> QDs into m-TiO<sub>2</sub> can reach 99% with an injection rate of up to  $2.1 \times 10^{10} \text{ s}^{-1}$ .<sup>30</sup> However, all-inorganic lead halide QD-based solar cells generally use compact TiO<sub>2</sub> (c-TiO<sub>2</sub>) rather than m-TiO<sub>2</sub> as an ETM as it turns out to be highly challenging to homogeneously incorporate QDs into the mesoporous structure during the short time of the film formation process.<sup>12, 19, 31-32</sup> Moreover, the surface roughness of m-TiO<sub>2</sub> films is usually much larger than that of c-TiO<sub>2</sub> films, which leads to the formation of a QD capping layer with irregular structure that negatively impacts the TiO<sub>2</sub>/QD interface properties. Therefore, engineering the ETM layer and the ETM/QDs interface are the key requirements to enhance the carrier separation and photovoltaic properties in  $\alpha$ -CsPbI<sub>3</sub> QD-based PSCs.

Herein, we demonstrate a novel approach using a solution of cesium acetate (CsOAc) in methyl acetate (MeOAc) for the surface modification of m-TiO<sub>2</sub>, which is then applied as an ETM in  $\alpha$ -CsPbI<sub>3</sub> QD-based PSCs. The Cs-treatment results in significantly improved interface properties enabling effective QD incorporation into the mesoporous TiO<sub>2</sub> structure. It is found that the electron injection rate of the Cs-treated m-TiO<sub>2</sub>/QD interface is about two times higher than that of a c-TiO<sub>2</sub>/QD interface and three times higher than that of an untreated m-TiO<sub>2</sub>/QD interface. This promotes efficient carrier separation and results in the increase of the  $J_{SC}$  and

PCE values. In combination with an ethanol-environment smoothing route to flatten the m-TiO<sub>2</sub>/QDs capping layer at the interface with the HTM, the as-fabricated devices deliver reproducibly efficiencies exceeding 13%, with the best PCE value reaching 14.32% (reverse scan) combined with an unprecedented high  $J_{SC}$  of 17.77 mA cm<sup>-2</sup>.

## Results and Discussion

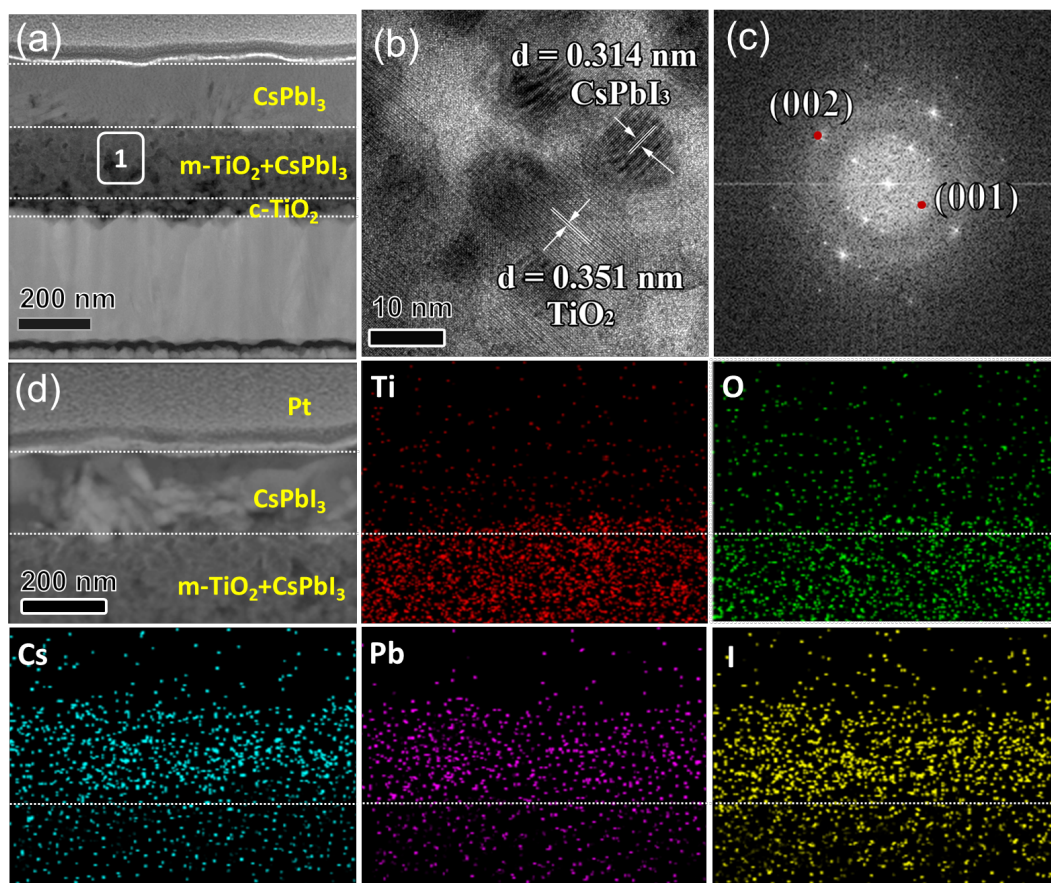
CsPbI<sub>3</sub> QDs with a diameter of ~9.1 nm were prepared using a synthesis process similar to that reported by Protesescu et al. (Figure S1b);<sup>10</sup> synthetic details are given in the Experimental Section. We stress that the purification process is of crucial importance for fabricating high quality QD films. A single washing cycle (methyl acetate/octane) leaves excess ligands on the surface of the QDs, resulting in poor charge transfer and transport properties of the QD film. Moreover, excess ligands might also contribute to the redispersion of existing QD layers during their sequential deposition via spin-coating. Three washing cycles, in turn, lead to an insufficient ligand density on the QD surface and poor colloidal stability. The surface ligand density was calculated according to the TEM and nuclear magnetic resonance (NMR) results, as shown in Figure S1. Therefore, two purifying cycles were used to optimize the surface ligand density of the CsPbI<sub>3</sub> QDs.<sup>33</sup> Concerning the HTM, spiro-OMeTAD dissolved in chlorobenzene (CBZ) (named as CBZ-spiro) is usually used in PSCs.<sup>34</sup> However, CsPbI<sub>3</sub> QDs can be redispersed in CBZ due to their organic ligand capping, which may induce their solubilization during the HTM deposition. Therefore, methyl acetate (MeOAc) was chosen for the preparation of the spiro HTM<sup>35</sup> (as shown in Figure S2, MeOAc-spiro was used as the HTM in all the PSCs except for the device shown in Figure S2a).

Figures S2b and S3 show the  $J$ - $V$  characteristics of the CsPbI<sub>3</sub> QD-based PSCs using c-TiO<sub>2</sub> (~40 nm) and m-TiO<sub>2</sub> (~200 nm) as the ETM, respectively. It is clear that the  $J_{SC}$  and PCE values of the c-TiO<sub>2</sub>-based PSCs (c-PSC, 14.44 mA·cm<sup>-2</sup>, 8.77%) are significantly higher than those of the m-TiO<sub>2</sub>-based PSCs (m-PSC, 10.07 mA cm<sup>-2</sup>, 5.01%), which indicates enhanced

carrier separation and transport in case of the planar structure. We hypothesize that the low performance of the m-PSCs is related to the inefficient QD loading and poorly controlled interface between the m-TiO<sub>2</sub> ETM and CsPbI<sub>3</sub> QDs. Figure S4 shows STEM-EDS elemental mapping of the cross-section of the m-TiO<sub>2</sub>/CsPbI<sub>3</sub> film. Unsurprisingly, Cs, Pb, and I were not detected within the m-TiO<sub>2</sub> layer, *i.e.*, CsPbI<sub>3</sub> could not incorporate efficiently into the mesoporous TiO<sub>2</sub> structure. As a result, all potential advantages of the mesoporous morphology with respect to compact TiO<sub>2</sub> are lost.

Chemical interface engineering is a potent way to improve the quality of the boundary between the ETM and the perovskite layer as has been demonstrated in the case of polycrystalline PSCs. There, mainly bifunctional molecules containing carboxylate groups for binding to the TiO<sub>2</sub> surface and amine/ammonium groups for hydrogen bonding with the iodine ions of hybrid perovskites have been utilized, resulting in enhanced charge extraction and eventually in improved morphology as well as stability.<sup>36-38</sup> Here, a novel Cs-treatment procedure is introduced to optimize the interface between m-TiO<sub>2</sub> and CsPbI<sub>3</sub> QDs. Briefly, a saturated MeOAc solution of CsOAc was spin-coated on the m-TiO<sub>2</sub> film before depositing the CsPbI<sub>3</sub> QDs. Figure 1a shows the cross-sectional image of the FTO/Cs-m-TiO<sub>2</sub>/CsPbI<sub>3</sub> film visualized using high-resolution high-angle annular dark-field (HAADF) scanning transmission electron microscopy (STEM). One can clearly identify the interface between the CsPbI<sub>3</sub> QDs (capping layer) and the mesoporous TiO<sub>2</sub> film. Moreover, the outline of the TiO<sub>2</sub> nanocrystals forming the mesoporous structure and the voids between them disappeared when compared with the pristine TiO<sub>2</sub> film (cf. Fig. S4). The high-resolution TEM (HRTEM) image of area 1 in Figure 1a indicates that the TiO<sub>2</sub> film is filled with CsPbI<sub>3</sub> QDs (Figure 1b) and the Fourier transformation pattern of the CsPbI<sub>3</sub> QDs (Figure 1c) further confirms their crystalline structure. The spots can be indexed as (001) and (002) crystal plane reflections from  $\alpha$ -CsPbI<sub>3</sub>. STEM-EDS mapping was used to determine the elemental distribution in the Cs-m-TiO<sub>2</sub>/CsPbI<sub>3</sub> film, as shown in Figure 1d. It is clearly visible that, in contrast to the case of

untreated m-TiO<sub>2</sub> (Figure S4, atom ratio of Cs:Pb:I:Ti:O is 1:1.10:2.94:4.32:11.27), Cs, Pb, and I are present in significant amounts within the full depth of the TiO<sub>2</sub> layer (atom ratio of Cs:Pb:I:Ti:O is 1:1.04:2.85:3.11:9.42). Therefore, we can conclude that CsPbI<sub>3</sub> QDs are successfully incorporated into the mesoporous TiO<sub>2</sub> structure after Cs-treatment.

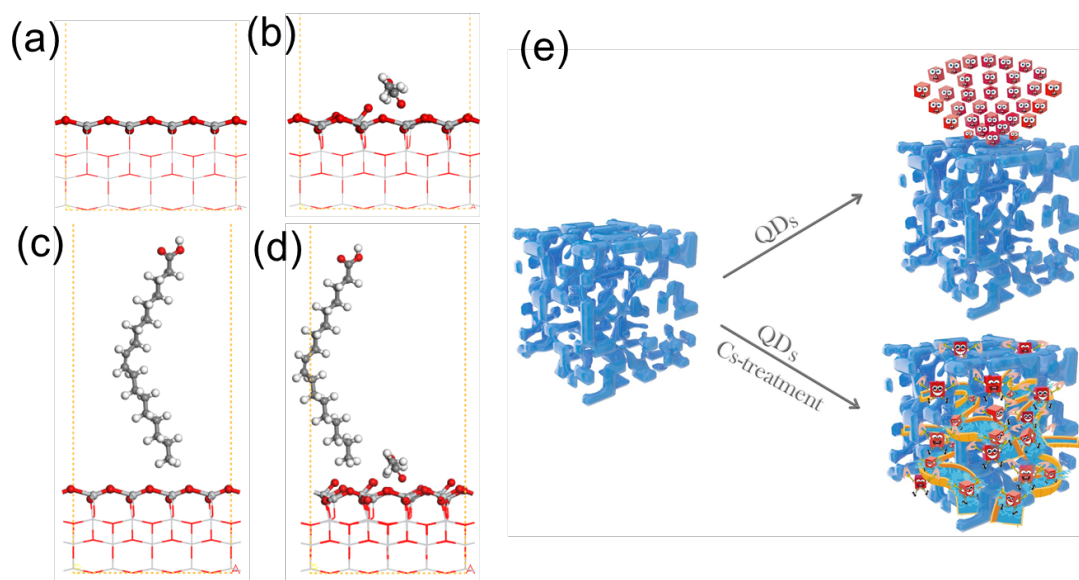


**Figure 1.** (a) HAADF STEM cross-sectional image of a FTO/Cs-m-TiO<sub>2</sub>/CsPbI<sub>3</sub> QDs film. (b) HRTEM image from area **1** in (a) demonstrating the presence of CsPbI<sub>3</sub> QDs within the mesoporous structure. (c) Fourier transform image of the CsPbI<sub>3</sub> QDs shown in (b). (d) STEM-EDS elemental mapping from the cross-section of a Cs-m-TiO<sub>2</sub>/CsPbI<sub>3</sub> film.

The different behavior of Cs-treated TiO<sub>2</sub> is ascribed to the synergetic effect from MeOAc and the surface modification induced by CsOAc. Firstly, as shown in control experiments without CsOAc, MeOAc reduces the surface density of ligands on the QDs (Figure S5a and S5b), which may promote their migration within the mesoporous structure. In addition, water



contact angle measurements (Figures S5c and S5d) reveal that MeOAc also reduces the solid-liquid interfacial tension, which improves the wettability of the TiO<sub>2</sub> substrate with the hydrophobic QD colloidal solution. To get further insight into this aspect, density functional theory (DFT) calculations have been performed, as shown in Figure 2. Oxygen atoms on the (001) surface in TiO<sub>2</sub> are 2-fold coordinated and electron-deficient. They show a high tendency to adsorb QDs with electron-rich ligands (OA and OLA). The introduction of electron-rich MeOAc passivates the TiO<sub>2</sub> (001) surface, thus breaking Ti-O bonds and inducing surface reconstruction (Figures 2a and 2b). Moreover, the adsorption of OA or OLA will further promote the breaking of Ti-O bonds, as shown in Figures 2c, 2d, and S6. As a result, the adsorption energy ( $E_{ads}$ ) of OA on the surface of the TiO<sub>2</sub> film decreases from 2.29 eV (2.36 eV for OLA) to 1.02 eV (1.04 eV) after introducing the MeOAc solution. In other words, the surface treatment makes it much easier for QDs to enter into the mesoporous TiO<sub>2</sub> structure and to migrate within it.

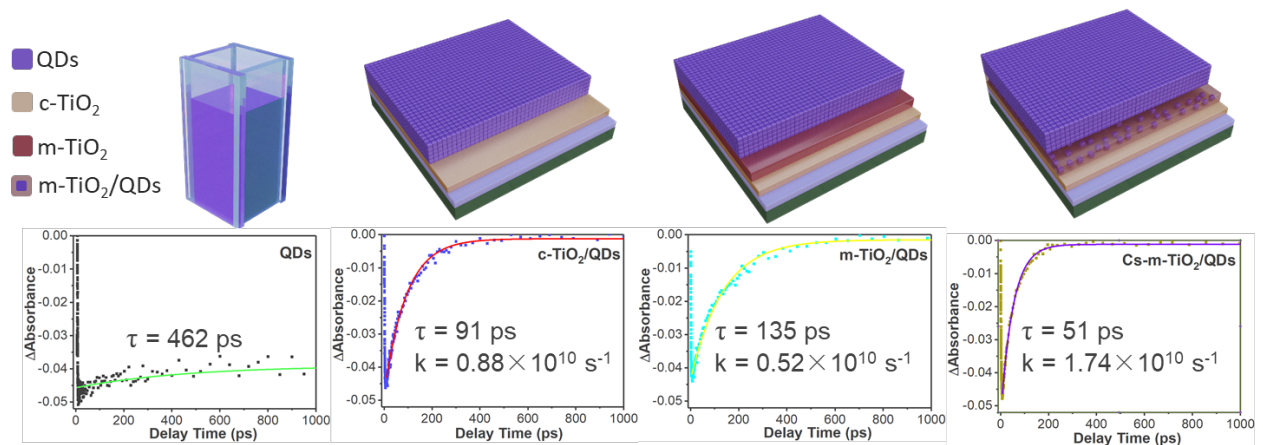


**Figure 2.** Side view of calculated TiO<sub>2</sub> (001) surfaces before (a) and after (b) the exposure to MeOAc, respectively. Chemical structures of OA on a TiO<sub>2</sub> (001) surface without (c) and with (d) MeOAc, respectively (atoms at free surface layers and adsorbed molecules are displayed as ball-and-stick models, while the others are displayed as line models). (e) Schematic of QD/TiO<sub>2</sub> mesoporous structure before and after Cs-treatment.

Secondly,  $\text{Cs}^+$  ions that entered the mesoporous structure with the MeOAc solution can improve the passivation of the QD surface and remove trap states from the QDs, which are known to possess a  $\text{PbI}_2$ -rich surface.<sup>10,39</sup> In addition,  $\text{Cs}^+$  ions can easily coordinate to the  $\text{TiO}_2$  surface. Therefore, we hypothesize that these ions also facilitate charge transfer from the QDs to  $\text{TiO}_2$ , which is indirectly demonstrated by comparing the  $J$ - $V$  characteristics of PSCs fabricated using MeOAc-modified m- $\text{TiO}_2$  with spin-coating of the QDs, the traditional immersion method (immersing the  $\text{TiO}_2$  photoanode into the QDs colloidal solution), and CsOAc/MeOAc-treated m- $\text{TiO}_2$  films with spin-coating (Figures S7 and 4b). Finally, annealing at  $100^\circ\text{C}$  promotes the evaporation of excess MeOAc, which improves the cohesion between  $\text{TiO}_2$  and QDs. In order to exclude possible influence of acetate, a saturated MeOAc solution of sodium acetate (NaOAc) was also employed to modify the m- $\text{TiO}_2$  films. The  $J$ - $V$  curve shown in Figure S8 reveals that acetate has negligible influence on the PCE compared with Figure S7, which further confirms the significance of  $\text{Cs}^+$  ions in the process. The scheme shown in Figure 2e illustrates the incorporation of QD into the mesoporous layer induced by the Cs-treatment: Without the Cs-treatment,  $\text{CsPbI}_3$  QDs can be easily adsorbed on the surface of m- $\text{TiO}_2$ , which would block the  $\text{TiO}_2$  mesoporous framework. As a result, QDs will accumulate on the surface of m- $\text{TiO}_2$ , and no QDs can migrate into the mesoporous layer. In contrast, the introducing of CsOAc/MeOAc solution will reduce the adsorption energy of QDs on the surface of  $\text{TiO}_2$  and facilitate the incorporation of QD into the mesoporous layer. Moreover, the  $\text{Cs}^+$  ions induced by the Cs-treatment can further passivate the surface of QDs and promote the charge transfer from the QDs to  $\text{TiO}_2$ .

Steady-state photoluminescence (PL) spectra of  $\text{CsPbI}_3$  QDs dispersed in octane and of various  $\text{TiO}_2/\text{CsPbI}_3$  QD films are shown in Figure S9. A comparison of the solid-state samples shows a marked decrease of the PL intensity when going from untreated m- or c- $\text{TiO}_2$  to Cs-treated m- $\text{TiO}_2$ . This indicates that the injection of photo-generated electrons from the QDs into  $\text{TiO}_2$  is most efficient in the case of the Cs-m- $\text{TiO}_2/\text{CsPbI}_3$  QD film. Furthermore, transient

absorption (TA) spectroscopy was used to study the carrier kinetics within the TiO<sub>2</sub>/QD film. Figure 3 shows the TA kinetics of the CsPbI<sub>3</sub> QDs dispersed in octane and of various TiO<sub>2</sub>/CsPbI<sub>3</sub> QDs films, which were recorded 5 ps after band gap excitation at 692 nm. One should note that the pump light intensity was fixed at  $\sim 1 \mu\text{J}/\text{cm}^2$ , in order to achieve results with negligible influence of Auger recombination.<sup>30</sup> The TA kinetics can be well fitted to a single-exponential function ( $y(t) = A_0 \exp(-\frac{t}{\tau}) + y_0$ ), where the time constants for the QDs, m-TiO<sub>2</sub>/QDs, c-TiO<sub>2</sub>/QDs, and Cs-m-TiO<sub>2</sub>/QDs are found to be 462, 135, 91, and 51 ps, respectively. The TA decay in the TiO<sub>2</sub>/QDs films is faster than in QDs colloidal solution, *i.e.*, photo-induced electron-hole pairs in CsPbI<sub>3</sub> QDs are separated by the films, and the electrons are transferred to the TiO<sub>2</sub> ETM. Furthermore, the electron injection rate constants  $k_{(QDs / TiO_2)}$  can be calculated using  $k_{(QDs / TiO_2)} = \frac{1}{\tau_{(QDs + TiO_2)}} - \frac{1}{\tau_{QDs}}$ <sup>40</sup> and are found to be  $0.52 \times 10^{10} \text{ s}^{-1}$ ,  $0.88 \times 10^{10} \text{ s}^{-1}$ , and  $1.74 \times 10^{10} \text{ s}^{-1}$  for m-TiO<sub>2</sub>/QDs, c-TiO<sub>2</sub>/QDs, and Cs-m-TiO<sub>2</sub>/QDs films, respectively. Summarizing, the electron injection rate in the Cs-m-TiO<sub>2</sub>/QDs film is approximately a factor 2 higher than that in the c-TiO<sub>2</sub>/QDs film, and a factor 3 higher than that in the m-TiO<sub>2</sub>/QDs film.



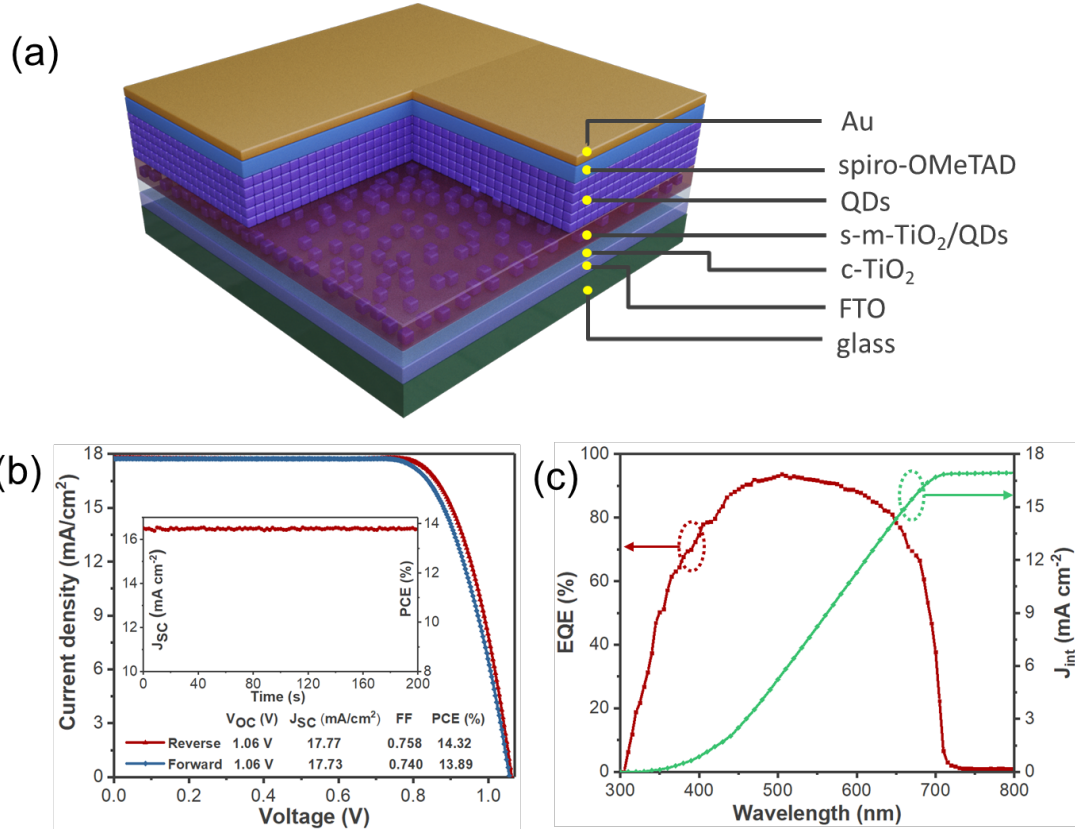
**Figure 3.** Schematic representation and TA kinetics of CsPbI<sub>3</sub> QDs dispersed in octane and

various  $\text{TiO}_2/\text{CsPbI}_3$  QD films.

The obtained Cs-m- $\text{TiO}_2$  films were employed as ETMs for the fabrication of  $\text{CsPbI}_3$  QD-based solar cells. A histogram of the PCE values obtained from 50 devices using Cs-m- $\text{TiO}_2$  films is shown in Figure S10. One can see that even though some of the devices exhibit comparably high performance ( $\sim 13\%$ ), the reproducibility of the PSCs is not very good and most cells are in the 11% range. Figure S11a shows a top-view SEM image of the m- $\text{TiO}_2$  film. It is clear that the mesoporous structure is irregular, and a significant undulance can be observed. AFM measurements (Figure S11b) demonstrate that the film morphology is governed by the macroscopic roughness of the m- $\text{TiO}_2$  structure and QD treatment is only visible as a finer texture on top of the  $\text{TiO}_2$  grains. The obtained value of surface roughness for the analyzed film is as high as 48.8 nm, which leads inevitably to an irregular QD-coated m- $\text{TiO}_2$  film (surface roughness: 38.4 nm, Figure S11c). These are clearly not ideal conditions for the subsequent deposition of the HTM layer and metal electrode and the high surface irregularity can thus be considered as an important factor at the origin of the low reproducibility of the PSCs. The high surface roughness of the pristine m- $\text{TiO}_2$  film is related to the spin-coating process used for its formation. We succeeded in flattening the m- $\text{TiO}_2$  film considerably by employing a post-deposition ethanol-environment smoothing procedure. Briefly, the freshly prepared m- $\text{TiO}_2$  films were kept for 3 min in a sealed box containing a few drops of ethanol at room temperature and subsequently heated at open air for 7 min at 125 °C. The ethanol atmosphere could reduce surface stress and relieve the surface irregularity of the m- $\text{TiO}_2$  films.<sup>41</sup> Figure S11d and S11e show SEM and AFM images of top-views of the smoothed m- $\text{TiO}_2$  films (s-m- $\text{TiO}_2$ ). Their surfaces are much more homogeneous and flat than those of the m- $\text{TiO}_2$  films (Figure S11a and S11b), resulting in a surface roughness of the s-m- $\text{TiO}_2$  film of 9.6 nm. As in the non-smoothed films, the planarity after QD deposition becomes even better, with a surface roughness of 6.2 nm.

Finally, the Cs-s-m- $\text{TiO}_2/\text{QD}$  films were used to fabricate PSCs, and the schematic device

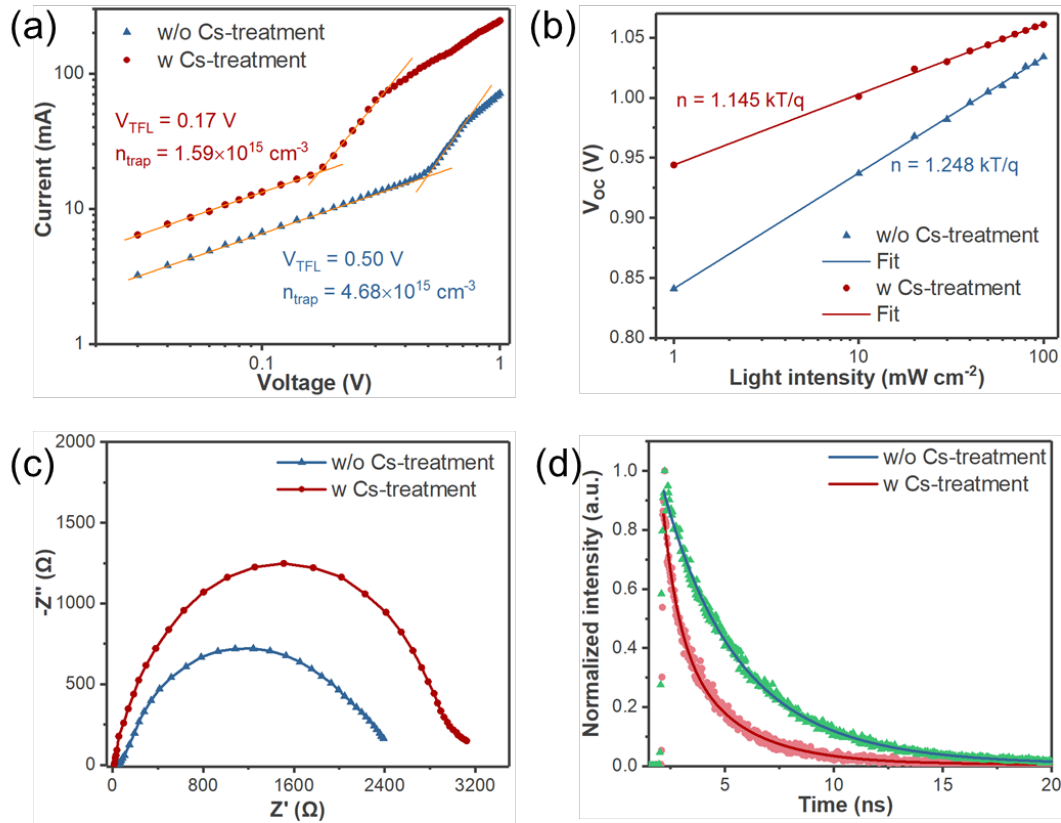
structure is shown in Figure 4a. Out of 50 devices all exhibited PCE values higher than 13%, which suggests that the ethanol-environment smoothing process could greatly enhance the performance of the PSCs (Figure S12). Importantly, the histograms of the solar cell characteristics also show that the reproducibility of PSC device fabrication is strongly improved. The characteristics of the best performing CsPbI<sub>3</sub> QDs-based solar cell are displayed in Figure 4b and 4c. It exhibited a PCE of 14.32% (reverse-scan), which represents one of the highest performances for all-inorganic lead halide QD-based PSCs and for QD-based solar cells in general. Notably, the  $J_{SC}$  value reaches up to 17.77 mA cm<sup>-2</sup>, which is the highest reported value for QD-based PSCs. Moreover, the device exhibits a stabilized power output (SPO, measured at 0.84 V) of 13.87%. This device also exhibits maximum external quantum efficiency (EQE) of ~92% ( $J_{int} = 17.09$  mA cm<sup>-2</sup>), as shown in Figure 4c. The band gap of the QD film calculated from the EQE spectrum is 1.75 eV, which is consistent with previous reports.<sup>12</sup> Finally, environmental stability studies showed that unencapsulated devices maintained 90% of the initial PCE after being kept for 100 h under ambient conditions (cf. Figure S13).



**Figure 4.** (a) Schematic view of the CsPbI<sub>3</sub> QD-based PSC with mesoporous structure. (b)  $J$ - $V$  curve, stable power output (measured at 0.84 V), and parameters of the champion device. (c) EQE spectrum (red, left ordinate) and integrated current density (green, right ordinate) of the device in panel (b).

A series of additional characterizations were performed to achieve a more detailed insight into the physical effects induced by the Cs-treatment. First, the trap densities of TiO<sub>2</sub>/perovskite layers with or without Cs-treatment were measured using the space-charge limited current (SCLC) method.<sup>42-43</sup> Electron-only devices with a structure of ITO/TiO<sub>2</sub>/CsPbI<sub>3</sub> QDs/PCBM/Ag were fabricated, and the corresponding dark current-voltage characteristics are shown in Figure 5a. The trap densities ( $N_{trap}$ ) were calculated according to the equation  $N_{trap} = 2\epsilon_r\epsilon_0V_{TFL}/eL^2$  where  $\epsilon_r$  and  $\epsilon_0$  are the relative dielectric constant and vacuum permittivity, respectively, and  $e$  represents the elementary charge. The obtained values are  $1.59 \times 10^{15} \text{ cm}^{-3}$  (with Cs-treatment) and  $4.68 \times 10^{15} \text{ cm}^{-3}$  (without Cs-treatment) suggesting an effective passivation of trap states induced by the Cs-treatment. Second, the recombination kinetics

within the device was further characterized by investigating the light-intensity dependent  $J-V$  properties, as shown in Figure 5b. A slope, expressed by  $nKT/q$  (where  $n$ ,  $K$ ,  $T$ , and  $q$  represent the ideality factor, Boltzmann constant, absolute temperature, and elementary charge, respectively) larger than 1 reveals the occurrence of trap-assisted recombination. Devices with lower trap state density possess smaller slopes,<sup>20</sup> which is the case for a typical Cs-treated device (1.145  $KT/q$ ) in comparison with an untreated device (1.248  $KT/q$ ), further confirming the reduction of trap-assisted recombination induced by the Cs-treatment. Third, the interfacial charge transfer and recombination was also investigated, as shown in Figure 5c. The Nyquist plots reveal that the Cs-treated device exhibits a smaller transfer resistance ( $R_{tr}$ , 15.99  $\Omega$ ) and larger recombination resistance ( $R_{rec}$ , 3139  $\Omega$ ) than that of the untreated device ( $R_{tr} = 41.96 \Omega$ ,  $R_{rec} = 2508 \Omega$ ), indicative of enhanced charge transfer and reduced charge recombination processes in the Cs-treated device. Finally, time-resolved photoluminescence (TRPL) is applied to further evaluate the charge transfer processes in the different devices. The carrier lifetime of Cs-treated devices (1.37 ns, Table S1) is much shorter than that of untreated devices (3.79 ns), which further suggests the more efficient charge transfer in the former. Therefore, we can conclude that the Cs-treatment is an effective strategy to passivate trap states and enhance the charge transfer in CsPbI<sub>3</sub> QDs-based solar cells.



**Figure 5.** Device characteristics of the CsPbI<sub>3</sub> QD films with or without Cs-treatment: (a) space-charge limited current (SCLC) versus voltage; (b) light intensity versus open circuit voltage; (c) Nyquist plots; (d) TRPL plots.

## Conclusion

In summary, for the first time highly efficient CsPbI<sub>3</sub> QD-based PSCs using mesoscopic TiO<sub>2</sub> as the ETM were demonstrated. Interface engineering with MeOAc/CsOAc not only favors the incorporation of CsPbI<sub>3</sub> QDs into the mesoporous TiO<sub>2</sub> structure, but also results in a well-defined contact between the m-TiO<sub>2</sub> ETM and the QD layer, which greatly enhances charge separation and electron injection rates. We have also shown that treatment with MeOAc/MAI solution can lead to similar improvements as with MeOAc/CsOAc, demonstrating the versatility of the approach. Additionally, an ethanol-environment smoothing route was developed to drastically reduce the surface roughness of mesoscopic TiO<sub>2</sub> films and produce a planar CsPbI<sub>3</sub> QD capping layer. The obtained devices exhibit PCE values exceeding 13% with a very good reproducibility. The best device reached a PCE of 14.32% (reverse scan)



with a  $J_{SC}$  of  $17.77 \text{ mA cm}^{-2}$ , which is the highest value reported for QD-based PSCs and for QD-based solar cells in general. The strategy of combining different approaches to engineer the interface from the atomic to the nanometer scale can be generalized to improve the performances not only of all-inorganic lead halide PSCs, but also of solar cells sensitized with other types of QDs, in particular Pb-free perovskites, which are under intense current research.<sup>44</sup> With a band gap of 1.75 eV, the CsPbI<sub>3</sub> QD absorber is also ideally suited for integration into tandem cells with silicon.

## Experimental Section

Preparation and isolation of CsPbI<sub>3</sub> QDs: All chemicals were purchased from Aladdin and used as received. 2 mmol of PbI<sub>2</sub> (0.922 g, 99.9%) and 20 mL of 1-octadecene (ODE, technical grade, 90%) were mixed in a three-neck flask and heated to 120°C in Ar. Thereafter, 2.5 mL of oleylamine (OLA, 80-90%) and 2.5 mL of oleic acid (OA, AR) were added to the above solution. The mixture was held at this temperature for 30 min to dissolve PbI<sub>2</sub> and remove H<sub>2</sub>O and O<sub>2</sub>. Subsequently, 0.8 mL of a 0.5 M Cs-OA solution (5 mmol cesium acetate (CsOAc, 99.9% metals basis) dissolved in 10 mL OA at atmosphere) was swiftly injected into the reaction mixture at 180°C. The reaction was ended by cooling down the mixture to room temperature after 5 s. The CsPbI<sub>3</sub> QDs were purified by adding 3 mL of methyl acetate (MeOAc, anhydrous 99.5%) into 1 mL of the crude solution and centrifuging at 9000 rpm for 3 min. Then 1 mL of octane (>99%) was added to re-disperse the precipitate after the supernatant was discarded. The QD-octane colloidal solution was centrifuged at 9000 rpm for 3 min a second time to remove any insoluble aggregates. The above isolation process was repeated between one and three times to yield CsPbI<sub>3</sub> QDs with the desired surface ligand density (cf. Supporting Information).

Device Fabrication: FTO substrates (12 Ω sq<sup>-1</sup>) were cleaned by sonicating in deionized water and ethanol for 15 min, and the substrates were subsequently dried with compressed air and treated with UV ozone. A compact-TiO<sub>2</sub> (c-TiO<sub>2</sub>) blocking layer of 30 nm thickness was deposited on the 1×2 cm<sup>2</sup> cleaned FTO substrates from a 0.5 M TiCl<sub>4</sub> (99.9%) deionized water solution at 70°C and annealed at 450°C for 60 min. Mesoporous TiO<sub>2</sub> (m-TiO<sub>2</sub>) pastes were prepared by following the procedure outlined in Ref. 29.<sup>35</sup> An m-TiO<sub>2</sub> layer of approximately 200 nm thickness was deposited by spin-coating at 3000 rpm for 20 s. An ethanol-environment smoothing route was used to flatten the m-TiO<sub>2</sub> film as follows: After spin-coating the m-TiO<sub>2</sub> film was placed in a clean, sealed box with several drops of ethanol for 3 min at room temperature, followed by drying in open air for 7 min at 125 °C (named s-m-TiO<sub>2</sub>). Finally, a

450°C heat treatment for 1h was used to sinter and to remove organics from the TiO<sub>2</sub> films. Two types of substrates with different morphologies (c-TiO<sub>2</sub> or m-TiO<sub>2</sub>) were used for Cs-treatment, which were named Cs-c-TiO<sub>2</sub> or Cs-m-TiO<sub>2</sub>, respectively. 100 μL saturated CsOAc/MeOAc solution was dropped on the TiO<sub>2</sub>-coated substrates for 10 s before spin-coating at 2000 rpm for 10 s. Subsequently, QDs (~70 mg/mL in octane) were dropped onto these substrates for 20s before spin-coating at 1000 rpm 10 s, followed by 2000 rpm 10 s. The QD spin-coating process was repeated 2-4 times to yield a QD film with 200 nm thickness. A 5 min heat treatment at 100°C was applied before spin-coating the HTM. The HTM was prepared by spin-coating a saturated MeOAc/spiro-OMeTAD solution onto the film at 3000 rpm for 30 s. All the spin-coating processes were performed under ambient conditions. Finally, Au electrodes of ~80 nm thickness were deposited by evaporation.

Characterization: A focused ion beam (FIB) system (FEI 3D Quanta Nanolab FIB/SEM) was used to fabricate the cross-sectional TEM lamellae. A Pt layer was pre-deposited in the target area to avoid destroying the PSCs before FIB cross-section preparation. The microstructures and chemical composition of the PSCs were examined with a transmission electron microscope (TEM) equipped with an energy dispersive spectroscopy (EDS) detector (FEI Tecnai G2 F20). SEM images were collected with a field emission scanning electron microscope (FESEM, Hitachi S-4800). An atomic force microscope (AFM) with ScanAsyst in air mode (Dimension Icon, Veeco Instruments/Bruker, Germany) was used to examine the surface structure of the TiO<sub>2</sub>/QDs films. TA data were gathered with a Clark MXR-2010 laser system. The  $J-V$  data was gathered with a Keithley 2400 SMU (Keithley Instruments, USA) under excitation with a 300 W xenon lamp solar simulator (Newport 91160, USA). A calibrated reference solar cell (Newport, USA) was used to confirm the intensity of the lamp ( $100 \text{ mW} \cdot \text{cm}^{-2}$ ). A forward bias to reverse bias with a scan rate of 200 mV/s (step size and delay time were set as 10 mV and 10 ms, respectively) was used to collect the  $J-V$  data. A metal aperture ( $0.09 \text{ cm}^2$ ) was used when the devices were illuminated. Stabilized power output was measured at a

constant voltage corresponding to the voltage at the maximum power point of the  $J$ - $V$  curve (0.82 V). The  $J$ - $V$  curves were collected in ambient lab air. A Xenon lamp (150 W, Oriel) equipped with a monochromator was used to collect the EQE spectra. A Nicolet Nexus-670 Fourier transform infrared (FTIR) spectrometer was used to gather FTIR spectra. The fluorescence spectroscopy was excited with a 365 nm laser (RF-5301PC, Shimadzu, Japan). TRPL spectroscopy was performed with a FLS 980 fluorescence spectrometer. EIS data were collected using an Autolab PGSTAT320 N electrochemical workstation.

*DFT Computation:* The model was built based on the  $\text{TiO}_2$  (001) surface.<sup>45-47</sup> Oxygen atoms are supposed to be terminal atoms when the surface is annealed at 500 °C. The used QDs are stabilized by OA and OLA binding with their carboxyl and amino groups to the surface, respectively, while the alkyl chains are free to interact with the  $\text{TiO}_2$  (001) surface. To simplify the interaction between m- $\text{TiO}_2$  and the QDs, we calculated the adsorption capacity of OA and OLA.

We performed periodic density functional calculations using the Dmol3 4.4 program.<sup>48-49</sup> A Perdew-Burke-Ernzerhof (PBE) general gradient approximation (GGA) was used to describe the exchange-correlation interactions.<sup>50</sup> The double numeric quality basis set with polarization functions (DNP) was used. The inner electrons on the Ti atoms were kept frozen and replaced with an effective core potential (ECP), while other atoms in this study were treated with an all-electron calculation. A supercell of the  $\text{TiO}_2$  (001) surface was  $15.27 \times 15.27 \times 58.08 \text{ \AA}^3$ , and Brillouin-zone integrations were performed using a  $2 \times 2 \times 1$  Monkhorst-Pack grid. Fermi smearing of 0.005 hartree was used to accelerate convergence, and the real space cutoff was set to 5.3  $\text{\AA}$  in order to improve the computational performance. The energy, force, and displacement convergence tolerance were  $1 \times 10^{-5}$  hartree,  $2 \times 10^{-3}$  hartree/ $\text{\AA}$ , and  $5 \times 10^{-3}$   $\text{\AA}$ , respectively. Several possible configurations of different adsorbates on  $\text{TiO}_2$  (001) were considered for optimization, and all of the optimized atomic structures presented in this paper were found to be the most stable configurations.

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## **Competing interests**

The authors declare no competing financial interests.

## **Supporting Information**

TEM images of the CsPbI<sub>3</sub> QDs, calculation of the surface ligand density, description of the NMR measurements, *J-V* curves of control devices, STEM-EDS images of an untreated m-TiO<sub>2</sub>/CsPbI<sub>3</sub> film, FTIR and water contact angle measurements, AFM images and device statistics.

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TOC graphic:

