1 Early Levallois core technology between MIS 12 and 9 in Western Europe?

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29 Abstract

30 Early Levallois core technology is usually dated in Europe to the end of Marine Isotope Stage (MIS) 9, 31 and particularly from the beginning of MIS 8 to MIS 6. This technology is considered as one of the 32 markers of the transition from Lower to Middle Paleolithic or from Mode 2 to Mode 3. Recent 33 discoveries show that some lithic innovations actually appeared earlier in western Europe, from MIS 34 12 to MIS 9, contemporaneous with changes in subsistence strategies and the first appearance of 35 early Neanderthal anatomical features. Among these discoveries, there is the iconic Levallois core 36 technology. A selection of well-dated assemblages in the United Kingdom, France, and Italy dated 37 from MIS 12 to 9, which include both cores and flakes with Levallois features, has been described 38 and compared with the aim of characterizing this technology. The conclusion supports the 39 interpretation that several technical features may be attributed to a Levallois technology similar to 40 those observed in younger Middle Paleolithic sites, distinct from the main associated core 41 technologies in each level. Some features in the sample of sites suggest a gradual transformation of 42 existing core technologies. The small evidence of Levallois could indicate occasional local innovations 43 from different technological backgrounds and would explain the diversity of Levallois methods that is 44 observed from MIS 12. The technological roots of Levallois technology in the Middle Pleistocene 45 would suggest a multiregional origin and diffusion in Europe, and early evidence of regionalization of 46 local traditions through Europe from MIS 12 to 9. The relationships of Levallois technology with new 47 needs and behaviors are discussed, such as flake preference, functional reasons related to hunting 48 and hafting, an increase in the use of mental templates in European populations and changes in the 49 structure of hominin groups adapting to climatic and environmental changes. 50 Keywords: Neanderthals; Early Levallois; Western Europe; Technology

51

52 1. Introduction

53 Early Levallois core technology is usually dated in Europe to the end of MIS 9, and particularly from 54 the beginning of MIS 8 to MIS 6. This technology is considered as one marker of the transition from the Lower to Middle Paleolithic or from Mode 2 to Mode 3 (Clark, 1969), resulting in the general 55 56 adoption of more complex flaking strategies and a higher standardization of products (White and 57 Ashton, 2003; Monnier, 2006; Moncel et al., 2011, 2012; Scott, 2011; White et al., 2011; Fontana et 58 al., 2013; Adler et al., 2014; Wiśniewski, 2014; Villa et al., 2016; Picin, 2017). Recent discoveries show 59 that some lithic innovations actually appeared earlier in Western Europe, from MIS 12 to MIS 9, 60 contemporaneous with changes in subsistence strategies and the first appearance of early 61 Neanderthal anatomical features. Evidence of progressive or gradual developments in behaviour is 62 recorded from c. 400 ka with fire use (Roebroecks and Villa, 2011; Gowlett, 2016) and c. 300 ka through organized hunting strategies (e.g., at Schöningen in Germany; Thieme, 1997; Blasco et al., 2013; 63 64 Conard et al., 2015; Rodriguez-Hidalgo et al., 2017) and. Likewise, paleontological studies and recent 65 DNA analyses suggest the appearance of the earliest Neanderthal features across Western Europe in 66 Homo heidelbergensis populations between 600 and 450 ka (Krings et al., 1997; Hublin, 1998, 2009; 67 Hublin and Pääbo, 2005; Orlando et al., 2006; Bischoff et al., 2007; Rightmire, 2008, Endicott et al., 68 2010; Green et al., 2010; Stringer, 2012; Meyer et al., 2014, 2016).

69 Among the lithic innovations, the first evidence of Levallois technology can be reinvestigated, as 70 recent findings seem to attest to an earlier practice. A fresh look at old collections named proto-71 Levallois, pre-Levallois or Prepared core Technology (PCT) prior to MIS 9/8 has to be undertaken in the 72 context of these new discoveries. Levallois technology was first identified by Boucher de Perthes 73 (1857) with the recognition of three main criteria (preparation of the core surface, role of the 74 convexities and subsequent detachment of one flake). The definition varied over time, changing from 75 the production of one main end-product to various predetermined end-products (De Mortillet G., 1883; Commont, 1909; Bordes, 1950; Boëda, 1986). Experiments clarified the definition and 76 77 technological requirements, often (but not always) facetted platforms, angles of percussion and 78 management of the volume of the core (Breuil and Kelley, 1954; Boëda, 1995; Lenoir and Turq, 1995).

All the definitions recognized that this technology enabled control of the shape and standardization of the end-products, and required general preparation of the core volume and management of core convexities. Recognition of these technological features on cores and flakes allows identification of Levallois core technology.

83 We have selected well-dated assemblages from MIS 12 to 9 where in the past both cores and flakes 84 were described as Levallois, proto-Levallois or 'prepared cores', or have recently been found by new fieldwork. They are located from the northwest to the south of Europe in the UK (Purfleet and 85 86 consideration of other occurrences), France (Cagny-la-Garenne I-II, Orgnac 3), and Italy (Guado San 87 Nicola, Cave dall'Ollio; Fig. 1) and described as the earliest evidence for each country of Levallois core 88 technology. These assemblages are frequently discussed with the consideration that not all the 89 classical Levallois characteristics are found together (i.e., Malinsky-Buller, 2016b; Soriano and Villa, 90 2017). We aim to review the attribution of these cores and flakes in the light of the new data, to 91 characterize this technology (accidental or evidence of technical innovation), which coexisted for an 92 extended period with earlier technologies. These technologies will be discussed by region with ideas 93 on its origin, such as technological roots in the Middle Pleistocene, arrival of populations or diffusion 94 from multiple areas, the relationship with new needs and behaviours, and the evolution of European populations. In the light of the recent findings, the period of MIS 12 to 9 can be considered as a 95 96 threshold in cultural human evolution and testing of new technological behaviours, raising questions 97 on how we term this important period. Are we dealing with a phase of invention, deliberate or by 98 chance (Renfrew 1978), or innovation, namely the adoption of an invention by a large number of individuals? Determining the timing and mode of the onset of Levallois core technology in Europe is 99 100 crucial to understanding how these behavioural changes developed at the inception of the 101 Neanderthal (or Homo heidelbergensis) way of life.

102

103 2. Materials and methods

104 2.1. The corpus of sites

From the north to south of western Europe, there are well-dated archaeological sites that show isolated examples of core technologies that have been identified in the past by the originality of the preparation of the flaking surface and the control of the form of the end-products. A selection of these sites, dating from MIS 12 to the end of MIS 9 and from a range of environmental and geological contexts, are reviewed to describe the variation in this technology and to discuss the attribution (or not) to an early form of Levallois core technology. These assemblages are often, but not always, associated with bifaces.

112 While from MIS 8 the recognition of Levallois is not questioned and the definition of Levallois core 113 technology is largely agreed, the multitude of terms for earlier Levallois indicates that the recognition 114 of this core technology older than MIS 8 is more problematic. The terms used include proto-Levallois, 115 pre-Levallois, prepared cores, or simple prepared cores (Wymer, 1968; Roe, 1981; White and Ashton, 116 2003). Discovery of some sites in the earlier years of the subject, led to the use of the terms proto- or 117 pre-Levallois due to the unusual nature of the cores, which did not resemble the 'classic' Levallois cores 118 from sites such as Baker's Hole in Britain. In the UK, this led to the adoption of the term 'simple 119 prepared cores' in part to try and avoid the implication of an evolutionary progression that was 120 promoted by the terms proto- or pre-Levallois (White and Ashton, 2003). Despite the adoption of the 121 new term, it was still sometimes used to imply an early date (e.g., Bolton, 2015), even though such 122 cores are found in both pre-MIS 8 and post-MIS 8 contexts (see below).

123 The background of the selected sites for this review are briefly described below in chronological 124 order. The site of Cagny-la-Garenne is located in fluvial deposits of the Middle Terrace of the Somme Valley (North France). Human occupations took place between the alluvial plain and the limestone 125 126 slope. The gravels have been attributed to MIS 12 based on the strong regional geological framework 127 of the Somme (i.e. Antoine et al., 2007, 2016). The terrace system of the Somme is particularly well 128 represented in the middle part of the valley, between Amiens and Abbeville, where a set of stepped alluvial formations is preserved by a covering of well developed loess-and palaeosols. In this area, 10 129 130 stepped alluvial formations have been recognized between + 5/6 m and + 55 m relative height above

the maximum incision of the present day valley. The summary of the data (sedimentology, bioindicators and geochronology) shows that each alluvial formation corresponds to the morphosedimentary budget of a single glacial-interglacial cycle. The glacial stages are characterized by a braided river system and mainly sand and gravel deposition while interglacial stages correspond to a meandering river system, with overbank silt deposition and marshy soil formation at the top. Interglacial.

The Electro Spin Resonance (ESR) date of the formation at the site of Cagny-la-Garenne I is of 400 \pm 101 ka, while other dates on the same alluvial formation (n°V Garenne Formation + 27-29 m) have given ages of 448 \pm 68 ka, 443 \pm 53 ka and 403 \pm 73 ka (Antoine et al., 2003, 2007, 2016). The dates in combination with the evidence of deposition in a cold environment suggest an MIS 12 age for the formation.

142 At Cagny-la-Garenne I, the six artifact assemblages (Level CA to CXB) were made from the locally 143 available flint and consist of bifaces, core and flake manufacture with notches and denticulates 144 (Tuffreau, 1987; Lamotte, 1994, 2012). Assemblages CXCA and CA are in primary context close to the 145 Chalk slope, LJ and LG come from fluvial silts, CXB from limestone gravels and at the top CXV comes 146 from coarse, periglacial gravels (Tuffreau and Lamotte 2010). At Cagny-la-Garenne II, 100 m from 147 Cagny-la-Garenne I, four archaeological levels (I, J, K and L) were recovered, while at the top, five 148 archaeological levels (I0-I4 and J) came from gravels (Tuffreau and Lamotte, 2001). Once again, the 149 fluvial sequence is banked up against the Chalk slope. Raw material was available on site in the form 150 of large flint nodules. All stages of core working and biface manufacture are present.

The site of Guado San Nicola is located in south central Italy (Molise Region). It is an open-air site systematically excavated from 2008 to 2015 over an area of 98 m² (Peretto et al., 2016). A 20 m stratigraphic core in the immediate vicinity of the excavation, and a series of stratigraphic sections investigated in the area, have confirmed the sequence of the excavation. From bottom to top, the sequence is composed of eight stratigraphic units (S.U.) The 2 m thick-sequence is of polygenic gravelly silty and clayey deposits and contains interstratified tephra layers. It has been dated on the basis of

morphostratigraphic considerations and radio-isotopic dating of volcanic deposits. The ⁴⁰Ar/³⁹Ar and 157 158 ESR/U-series dates clearly place the archaeological occupation at the transition between MIS 11 and 10 (400 and 345 ka). Unit S.U.C., rich in lithic and faunal remains, is dated to 400 +- 9 ka by 40 Ar/ 39 Ar 159 (Pereira et al., 2016). The faunal assemblage can be attributed to the typical Galerian and to the 160 161 Fontana Ranuccio Faunal Unit. The faunal assemblage is mainly composed of the remains of Cervus 162 elaphus acoronatus, Cervidae, Equus ferus ssp., followed by Palaeoloxodon sp., Bos primigenius and 163 Stephanorhinus kirchbergensis, Ursus sp. and Dama sp. The sedimentary succession consists of four 164 archaeological levels (C, B*C, B, A*B) with lithic assemblages composed of reduction sequences of both 165 debitage and shaping. The raw material, (mainly flint of good quality with a high degree of silicification and, more rarely, limestone) was collected from a secondary context in the form of cobbles or slabs. 166 167 The main flaking methods are an opportunistic exploitation (c.f. alternate platform), followed by 168 discoidal and centripetal debitage. The reduction sequences for bifaces are not complete and lack 169 preparation phases (Muttillo et al., 2014). They were made by direct percussion with a hard hummer 170 and final retouch by soft hammer.

171 The site of Orgnac 3 in southeast France, first developed as a cave and then became an open doline. 172 The archaeological sequence of 10 levels is dated through biostratigraphy and ESR, U/Th dating from 173 MIS 9, while for levels 2 and 1 at the top of the sequence, dated by volcanic mineralogy to the beginning 174 of MIS 8 (Combier, 1967; Debard and Pastre, 1988; Falguères et al., 1988; Masaoudi, 1995; Moncel et 175 al., 2011, 2012). ESR and Uranium/Thorium (U/Th) give ages of 288 – 45/+ 82 ka, 309 ± 34 ka and 374 176 -94/+165 ka for the bottom of the archaeological sequence (levels 5b and 6) (Falguères et al., 1988; 177 Laurent, 1989; Masaoudi, 1995), attributed to the MIS 9. Four pure calcite samples of the levels 5b-6-178 7 (bottom of the sequence) have been dated by U/Th by MC-ICPMS (High-precision Mass Spectrometry 179 and Environment Change Laboratory, HISPEC, Taiwan). Dates vary between 255 and 319 ka (Michel et al., 2011). Level 2 contains volcanic minerals from an eruption of the Mont-Dore volcano, eruption 180 181 dated to the beginning of the MIS 8 (298 ± 55 ka) (Debard and Pastre, 1988). Direct dating by ⁴⁰Ar/³⁹Ar 182 on sanidine grains (cineritic material) has been applied to level 2 (Org-C1). The 12 dates are between 183 276 and 326 ka with an average age of 308.2 ± 6.8 ka. The result is in agreement with the age of 298±55 184 ka by Fission track dating (FT) on zircons (Khatib, 1994). The biostratigraphy associating large 185 mammals, micromammals and pollen date the bottom of the sequence (levels 7 to 3) to an interglacial 186 of the Middle Pleistocene (Mourer-Chauviré, 1975; Tillier, 1991; Jeannet, 1981 ; Guerin, 1980; 187 Gauthier, 1992 ; El Hazzazi, 1998 ; Aouraghe, 1999 ; Sam, 2009). Level 1 is indirectly attributed to the 188 MIS 8 by *Hemitragus bonali* and *Ursus deningeri*. Levels 2 and 1 attest of open landscape with the 189 replacement *Equus mosbachensis* by *Equus steinheimensis* (Forsten and Moigne, 1988).

Pre-Neanderthal human remains were discovered in the lowest layers (Lumley de, 1981). The lithic assemblages record a mosaic of changes over time, towards Early Middle Paleolithic strategies (Moncel et al., 2012; Moigne et al., 2016). Debitage activity is dominant and bifaces have variable ratios through the sequence with less than 1% in the top levels. Thin slabs of flint were the main blanks collected locally.

195 The open air site of Cave dall'Olio is located in an alluvial context along the Northern Apennine edge 196 near Bologna, northern Italy. The lithic assemblage was recovered in the 1970s along a stratigraphic 197 profile brought to light by quarry activities at the top of a fersiallitic palaeosol within the gravels of the 198 River Idice at a depth of about 20 m below the present surface. The fersiallitic palaeosol has been 199 referred to the Molino Unit of the Apennine-Po Plain Quaternary stratigraphic framework dated to MIS 200 9, indicating a terminus ante quem for the chronology of this assemblage (Farabegoli and Onorevoli, 201 1996, 2000; Fontana et al., 2010). The dating of the gravels and the soil containing the lithic industry 202 of Cave dall'Olio, as well as their paleoenvironmental interpretation, are based on the integration of 203 data derived from the study of the profile of S. Mamante (Faenza); 22 shallow marine to terrestrial 204 Quaternary units were produced by the long-term activity of a right transcurrent fault with various 205 outcrop segments distributed across a sector of the Emilia-Romagna Apennines edge for a total length 206 of more than 150 km (Farabegoli and Onorevoli, 1992, 1996). Within the reconstructed scheme, the 207 first continental units are dated to the Upper Matuyama chron reverse period and to the Bruhnes 208 direct palaeomagnetic chron. The latter contains the earliest evidence of human occupation in this

209 area (on a stratigraphic basis Bel Poggio and Romanina Bianca are considered to be of the same age as 210 Ca' Belvedere di Montepoggiolo with mode 1 assemblages). The sediments of the continental units 211 correspond in most cases to the glacial-interglacial transition periods and are intercalated with 8 212 fersiallitic soils typical of warm interglacial phases. Correlations have been established between the 213 different portions of the outcropping terraced deposits, which are recognizable upstream along the 214 valley flanks. These have allowed the fersiallitic paleosoil identified at Cave dall'Olio to be referred to 215 as the Molino Unit of the Apennine-Po plain Quaternary stratigraphic framework, dated to MIS 9. Initial 216 studies led to classification of this lithic industry, which is dominated by debitage with evidence of 217 manufacturing of bifaces as ancient Clactonian and proto-Levallois (Bisi et alii 1982; Lenzi and Biagioli, 218 1996) after the original definition by Palma di Cesnola (1967).

219 In the UK, at Purfleet, Essex, Paleolithic artifacts have been recovered from sediments exposed in 220 four chalk guarries, in the Lower Thames Valley. From east to west, these are the Bluelands, 221 Greenlands, Esso and Botany Pits. The pits reveal terrace deposits occupying an abandoned meander 222 loop of the Thames as part of the Lynch Hill/Corbets Tey terrace (Bridgland, 1994), banked up against 223 the north facing chalk slope of the Purfleet anticline. The sequence comprises gravel (Little Thurrock 224 Member) overlain by interglacial deposits rich in faunal material (Purfleet Member) fining upwards to 225 a silty clay and surmounted by gravel (Botany Member). An assemblage of artifacts excavated and 226 collected by Andrew Snelling from the Botany Gravel at Botany Pit was initially described as 'Proto-227 Levallois' (Wymer 1968), and 'reduced' Levallois with simplified preparatory stages (Roe, 1981). This 228 gravel reflects a return to cold climate gravel deposition following a fully temperate episode, 229 suggesting an MIS 9/8 date, an attribution which is supported by an OSL date of 324 ka (MIS 9) from 230 an equivalent position at Greenlands Pit (E. Rhodes, quoted in White and Ashton 2003).

231

232 *2.2. Methods*

For this paper we use the terminology of Boëda (1986, 1993, 1995) for the overall concepts of
Levallois: a volumetric concept with six technological criteria: (1) core maintenance (lateral and distal

235 convexities), (2) predetermination of end-products, (3) normalization of end-products, (4) potential for 236 resharpening, (5) ramification, and (6) productivity. The lower surface of the core is devoted to the 237 striking platform and the upper surface to Levallois flake production. To distinguish between cores, 238 such as those from Purfleet, we use the term 'simple prepared core', without implication for an early 239 date. As defined by White and Ashton (2003) they are cores where the striking platform has been 240 selected, minimally prepared and orientated in relation to the pre-existing lateral and distal convexities 241 of one flaking surface. The flakes removed from this surface tend to be larger than any of the 242 preparatory flakes (by which the platform was created), and to flake along the surface at an angle close 243 to 90° to the platform, rather than biting excessively into the core volume. For cores, such as those 244 from Baker's Hole where there is a preferential, single removal, we use the term 'classic Levallois', as 245 for the other sites of our corpus, Cagny-la-Garenne I-II, Orgnac 3, Cave dall'Olio and Guado San Nicola.

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247 3. The technological review of lithic material described as Levallois

248 3.1. Cagny-la-Garenne I and II (North France)

249 At Cagny-la-Garenne I, from the base of the sequence (level CA) toward the top (level CXV), the 250 appearance of flakes and cores, described in the past as proto-Levallois, increases, but always in low 251 quantity. In the sandy levels of the middle of the sequence (levels Lj, Lg), this kind of production is 252 rare or absent. At Cagny-la-Garenne II, three levels yielded one or two cores (levels I3, I4, J; Table 1). 253 For each layer, the main core technology is unipolar and unifacial with few scars and a 254 prepared/cortical platform. On a small quantity of cores, various methods (lineal, unipolar, bipolar and centripetal) are employed for the extraction of the end-products with evidence on the cores of 255 256 management of the distal and lateral convexities and plain or facetted platforms (Figs. 2-4). The 257 removals extend over at least half of the main length of the core surface and their morphology is due 258 to the organization of the convexities. The preparation of the convexities tends to change from 259 unipolar towards centripetal at the top of the sequence for Cagny-la-Garenne I. Most core sizes vary 260 between 50 and 110 mm in maximum dimension. Among the flakes, we can identify some core edge

flakes (débordant flakes) with many scars, which are probably from the preparation of the core convexities. In assemblage CXB at Cagny-la-Garenne I, there are several biface-cores with invasive removals interpreted as attempts at Levallois.

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265 3.2. Guado San Nicola (south central Italy)

266 The Levallois assemblage is fresh and the main raw material is aphanitic and microbrecciated flint, 267 or occasionally macrobrecciated flint and silicified limestone. It is of better quality than that used for 268 the bifaces. The supports were ovoid cobbles and quadrangular slabs, or occasionally large flakes. 269 Different stages of the reduction process can be identified and reveal careful preparation, 270 management and maintenance of flaking platforms (angles ranging from 55 to 85°) and convexities 271 (mainly centripetal), indicating the ability to prepare and reprepare cores for predetermined flakes 272 (Fig. 5). Various methods were used in equal quantity (single preferential flake, recurrent centripetal, 273 unipolar and bipolar) and there is evidence of facetted platforms for lineal and recurrent unipolar 274 debitage (Table 4; Fig. 5, 6). The Levallois cores are exhausted and some were made on flakes.

Overshot flakes managed the lateral and distal convexities, except for the lineal debitage with centripetal removals. Levallois flakes (*n* = 55) mostly result from 'plein debitage' or repreparation of the convexities, produced by unipolar and centripetal recurrent method (Fig. 6). The striking platforms are dihedral or flat, rarely facetted. Levallois points and blades, as well as retouched Levallois flakes, are extremely rare.

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281 3.3. Orgnac 3 (south east France)

In the lowest levels (5b and 5a), less than 10% of the cores and less than 3% of the flakes can be classified as Levallois (Table 2; Figs. 7 and 8). The cores are unipolar/bipolar or centripetal recurrent. The knapping surface indicates the utilization of the core edges, one or two partially prepared striking platforms, and maintenance of lateral and distal convexities. The 'Levallois' cores are very different to the frequent unexhausted unifacial and bifacial centripetal cores on slabs or thick flakes, where there

is no sign of management of the convexities. Centripetal and Levallois cores are associated with some
prismatic, polyhedral and orthogonal cores. Some 20% of the rare Levallois flakes are débordant flakes,
while 30% of platforms are facetted and 10% dihedral.

290 In levels 4b and 4a, around 40% of the cores and 2–8 % of flakes can be defined as Levallois (Figs. 291 8–10). The Levallois cores are associated again with centripetal cores. The cores on flakes again 292 indicate evidence of predetermined flaking, preparation of distal and lateral convexities, use of hard 293 hammer and distinctions between striking platform and flaking surface. The methods applied are again 294 unipolar and bipolar recurrent, but the preferential flake method, not used in the lower levels, 295 becomes the most common. In contrast to the underlying levels, the predetermined removals never 296 cover the flaking surface. As for levels 5b-5a, the quantity of Levallois flakes is very low suggesting 297 export of flakes. Débordant flakes total between 20 and 50% of the assemblage. The removals are 298 mainly centripetal and the ratio of flakes with an invasive scar on the upper face increases. The size of 299 flakes is more variable than the cores.

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301 3.4. Cave dall'Olio and other assemblages of the Northern Apennine margin (northern Italy)

The assemblage totals 494 lithic artifacts, with 71 cores, 403 retouched and unretouched blanks, 5 pebble tools and 15 bifaces (Table 3; Fontana et al., 2013). Most of the assemblage was obtained from a dark colored silicified siltstone that is very abundant locally and available in large-sized nodules and pebbles (10–40 cm). Bifaces were mostly obtained from large flakes and were always worked with a small number of deep removals.

Cores are dominated by unidirectional recurrent schemes with either parallel or convergent removals and represent around 30% of the assemblage. Recurrent crossed methods predominate, while lineal, recurrent bipolar and centripetal flaking methods are rarer (Fig. 11). The flaking surfaces were prepared by either débordants or orthogonal removals and core platforms were variably prepared. Levallois cores are associated with a few prismatic types resulting from the application of a laminar reduction process sensu lato—also reported as 'direct non-Levallois reduction sequence'

313 (Révillon, 1995)—and with a small group of cores featuring mixed characteristics between Levallois 314 and laminar reduction. Other methods include Kombewa, opportunistic and possibly discoid reduction. 315 Levallois end-products vary in shape and elongation according to the method applied. There are elongated blanks with parallel edges and frequently characterized by a backed edge from 316 317 unidirectional parallel and bidirectional methods (with some items possibly obtained from a laminar 318 reduction). There are also flakes with convergent and frequently déjétés lateral margins derived from 319 application of the recurrent unidirectional convergent scheme, small-middle sized oval-shaped flakes 320 from the lineal method and small oval and subtriangular flakes extracted with centripetal debitage. 321 Most products measure between 40 and 90 mm in length. Platforms are generally flat while facetted 322 types are rare (7%, including one 'chapeau de gendarme'). Although in several cases the condition of 323 the artifact surfaces was altered by fluvial deposition, around 30 retouched blanks were identified, 324 especially scrapers and denticulates, including some on Levallois flakes.

Several other assemblages recovered during field surveys from the river terraces of the Northern Apennine edge, which are dated to the same age as Cave dall'Olio, are characterized by similar technological features. The general features of such assemblages show that this area was intensively occupied by human groups that were able to apply different predetermined debitage reduction sequences prior to MIS 9/8 (Lenzi and Nenzioni, 1996).

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331 3.5. Purfleet (UK) and other UK sites with simple prepared cores

The assemblage from Purfleet consists of over 4000 artifacts, including 30 bifaces, but the vast majority are flakes (White and Ashton, 2003; Scott, 2011; Bolton, 2015). Distinguishing end-products is problematic and only rare examples of potential Levallois flakes can be identified. A sample of more than 300 cores has been examined in more detail (Scott, 2011). They consist of 170 migrating platform cores, 28 discoidal cores, 80 simple prepared cores and 25 cores that are considered as Levallois. The simple prepared cores conform to the description above, while the few Levallois cores have mainly lineal exploitation (84% with one invasive removal) with a few examples showing unipolar, bipolar and

339 recurrent techniques (with 2 to 3 removals on the flaking surface). The mean length of Levallois cores 340 is 87 mm. The preparation method is mainly centripetal (96%) and there are also cores with proximal, 341 lateral and/or distal preparation of the convexities (Fig. 12). The striking platform is mainly partial. 342 There are also four core débordant flakes and overshot distal flakes. A total of 26 flakes are considered 343 as Levallois. They are large and elongated (mean length of 115 mm) and result from lineal exploitation 344 with a centripetal preparation. None has facetted platforms. As the assemblage was recovered from fluvial gravel, it is not certain whether all the elements of the assemblage are contemporary. The 345 346 condition of the bifaces is broadly similar to most of the cores, although some of the Levallois cores 347 are fresher in condition. Purfleet remains the best dated and described British site showing application 348 of the principles of Levallois flaking prior to MIS 8.

One of the problems with using the term simple prepared core is that this approach to core working is not rigidly defined or necessarily early, but rather reflects variation in the application of the volumetric principles of Levallois flaking. Apart from Purfleet, there are several UK sites within which simple prepared cores, or cores previously described as proto- or reduced Levallois, have been noted. Some of these are of MIS 7 age, such as Ebbsfleet in the Lower Thames Valley, where simple prepared cores are merely the application of the Levallois flaking system to small pebbles alongside full Levallois applied to larger material (Scott et al., 2010).

356 There are occasional sites that are pre-MIS 8 in age with individual pieces being reported as simple 357 prepared cores. These include a piece described as coming from the 'upper brickearth' at Rickson's Pit, 358 Swanscombe (Burchell, 1931; Roe, 1981), where the Thames terrace deposits date to MIS 11, and Baker's Farm in the Middle Thames from terrace deposits assigned to MIS 10-8 (Wymer, 1999). 359 360 Although the cores are illustrated, it is not clear in which museum they are curated and cannot be 361 physically located. They may (as with much of the Levallois material from the Middle Thames) actually 362 come from colluvial sediments overlying and sealing the terrace (Ashton et al., 2003; Scott, 2011). Alternatively, the cores only make up a very small component of the whole assemblage, and may 363 364 reflect the fortuitous end result of exploiting a nodule which favoured the application of this strategy. There are other sites which contain simple prepared cores, but which are difficult to date. These include assemblages from the middle terraces of rivers, such as at Biddenham, Cuxton, Dunbridge and Woodston. The age of these sites could range anywhere between MIS 11 to possibly as late as MIS 7. The Cottages Site is one of several dolines at Caddington and is also undated, but contains simple prepared cores alongside classic Levallois material, including refitting sequences (Sampson, 1978; Roe, 1981).

371 Finally, one intriguing site is Frindsbury in the Medway Valley, but again unfortunately undated. 372 The assemblage originally consisted of thousands of artifacts recovered from within a shallow hollow 373 in the chalk (Cook and Killick, 1924). Only 500 artifacts now survive, but include refitting groups of 374 flakes. White and Ashton (2003) described this material as similar to that from Purfleet, with 14 of the 375 16 cores from the site as simple prepared. They suggested that a sequence of large refitting flakes 376 might provide insight into the flake production at Purfleet (Fig. 12). More recent analysis of the 377 material suggests that five of the simple prepared cores actually result from unipolar recurrent 378 Levallois flaking, as does the refitting sequence of five Levallois flakes. There are also three classic 379 Levallois flakes in the assemblage. Further dating is required to understand this potentially important 380 site.

381

382 4. Discussion

383 4.1. Characterization of these early technologies: Levallois or Levallois-type?

The hypothesis of a controlled but not fully standardized technology has sometimes been suggested for these early lithic assemblages (i.e., White and Ashton, 2003; Malinsky-Buller, 2016; Soriano and Villa, 2017). The production of classic Levallois flakes could have been accidental because these flakes did not share all the characters. Several technical features are however common to most of the assemblages:

(a) Flaking is already organized around a plane of intersection with asymmetrical faces hierarchicallyrelated.

(b) Flaking surfaces on cores show maintenance of peripheral convexities with short or more invasive
removals (distal and lateral) respecting the plane of intersection. This phase precedes the removal
of the predetermined product(s). One face of the core is devoted to the debitage and the opposite
face is for preparing the suitable striking platform, which is made by oblique removals and is often
a partial function of the form of the support and the type of management of the flaking surface.
The location of the removals for the convexities determines the shape of the predetermined

397 products. There is a hierarchy in the management of the two faces of the core.

398 (c) Flake platforms are usually plain, but occasionally dihedral and facetted.

399 (d) Selection of raw material is of good quality and with a specific morphology to reduce the shaping400 phase.

401 (e) Unipolar and bipolar schemes, sometimes crossed, dominate over centripetal and lineal ones.

402 (f) Some cores are on flakes with evidence of a fragmentation of the reduction sequences. Some cores
403 show a final retouch or series of small removals on a short section of the periphery of the flaking
404 surface, perhaps recycling as a tool or for future debitage.

(g) Few flakes can be related to this technology in the lithic assemblages due perhaps to a higher
 mobility than other flakes. Alternatively, they are just more difficult to recognize than classic
 Levallois flakes, especially when resulting from shaping reduction sequences. *Débordant* flakes
 and maintenance flakes exist, although in low quantity, in the assemblages.

These features indicate a control of the core flaking surface for some pieces and of the form of the end-products with a recurrent management of the cores and a plane of intersection. If we refer to the definition (Boëda, 1986, 1993, 1995; Boëda et al., 1990), these features may be attributed to a Levallois technology similar to those observed in younger Middle Paleolithic sites.

However, two options exist: (1) these pieces are evidence of a mastery of Levallois technology, with occasional evidence prior to MIS 9 and certainlyMIS 8, (2) they are the result of accidental technological events within the main core technology; due to the low number of pieces we have to consider whether these cores and flakes result by chance without application of a predetermined concept. Our corpus

of sites can be divided in two groups: one dated confidently before MIS 9 (Cagny-la-Garenne and
Guado San Nicola) and one with sites dated to MIS 9 (Orgnac 3, Cave dall'Olio and some UK sites),
which is usually considered as a period where the Levallois core technology is well mastered in several
European regions.

In support of the first hypothesis (Levallois core technology), these cores do not seem to be subgroups of the main core technologies of the assemblages, where there is no sign of management of the convexities. The associated core technologies can be summarized for each site:

424 (a) At Cagny-la-Garenne I-II, cores were on flint nodules. The surfaces of these nodules were mainly
425 flaked by a few unipolar and unipolar convergent removals using the natural, flat cortical convexity
426 of the nodules. The cores are abandoned after some removals when the flaking surface becomes
427 too flat.

- (b) At Guado san Nicola, the numerous discoidal cores were managed on slabs with typical features
 of a discoidal debitage (unifacial or bifacial pyramidal cores). A few cores are centripetal unifacial
 with a plane surface and there is no evidence of a hierarchy in the debitage and the preparation
 of the striking platform and the convexities.
- (c) At Orgnac 3, the lower levels mainly used flat flint slabs. Cores are thus asymmetrical with
 centripetal removals covering one or two surfaces, using the natural shape of the slab and the
 plane cortical surfaces. There is no hierarchy of the two faces and the debitage stopped when the
 surface became too flat, as at Cagny-la-Garenne.
- 436 (d) At Cave dall'Ollio, cores with centripetal removals on cortical surfaces existed in the assemblages
 437 taking advantages of the natural convexities with no evidence of preparation of convexities.
- 438

In support of the second hypothesis (accidental debitage), the cores attributed to Levallois share common technological features with the main production of the assemblage. These cores could be the result of accidents supported by the small number of pieces. So, how do we interpret the innovative behaviour, which are removals and thus the management of convexities controlling the forms of the

443 end-products all over the flaking and maintaining the plane debitage surface? The presence and the 444 specific location of removals of convexities helped the flaking to become independent of the geometry of the stone and of the natural convexities. The flaking could continue even if the natural convexities 445 did not exist anymore and increased the productivity. In most of the Lower Palaeolithic core 446 447 technologies, the debitage is mainly related to the stone geometry and when it was overcome, the 448 debitage is above all discoid-type (pyramidal flaking surfaces) or polyhedral (Moncel et al., 2013, 2015). 449 When the surface remains flat (centripetal debitage on flakes for instance), the number of removals is 450 in general low and the debitage is uncontrolled. That raises two questions: (1) why do we observe 451 these removals and the possible management of convexities only on some cores?, (2) are these 452 removals accidental, used by convenience to continue the flaking for longer?

A second feature that characterizes these cores is the location of the striking platform in close relationship to the location of the assumed controlled predeterminated or "Levallois" removals on the flaking surface. The peripherical striking platform (sometimes partial) was made before the management of the flaking surface, a feature often considered as a Levallois criterion by the angle and the location of the striking platform required to maintain the flaking surface.

If we analyse these two hypothesis in regard to our two groups of sites and the general technological features of all the cores, the small number of pieces suggest some innovations among the core technology without doubt for the MIS 11-9 assemblages, but could be accidental in the MIS 12 sites.

462

463 4.2. Local innovations from existing technologies?

To explain the early occasional presence of Levallois technology in some assemblages, if non accidental, two hypotheses can be investigated: introduction of external inventions (coming from one place), or local innovations (punctual experimentation of new ideas due to internal or external pressures) possibly with earlier roots, in this case mainly Acheulean-type or at least Lower Paleolithictype technologies.

469 Some features in the sample of sites suggest a gradual transformation of existing core technologies 470 with the elaboration of reduction processes, such as the use of flakes for the debitage, and, as already 471 suggested, a fusion of elements of both faconnage (bifaces) or/and debitage (discoidal and centripetal 472 cores; 'hierarchical cores'; Dibble and Bar-Yosef, 1995; White and Ashton, 2003; Malinsky-Buller, 473 2016). The main core technologies at each site share some common technological features. These 474 common characteristics between the main core technologies and the limited evidence of Levallois or 475 Levallois-like core technology could indicate occasional local innovation from different technological 476 backgrounds, with possible connections between groups, and would explain the diversity of Levallois 477 methods that is observed starting with MIS 12 (Fig. 13). Evidence of removals to manage convexities 478 with a plane of intersection on cores, and Levallois and débordant flakes tend to distinguish the few 479 cores and flakes from the rest of the assemblages. This may be evidence of technological innovation 480 from a wider pool of knowledge. Levallois technology in Europe is sometimes suggested to be a 481 progressive phenomenon preceded by a preparation phase, i.e., a proto-stage. This proto-stage could 482 be observed with use of the hierarchical method, which could be described as intermediate, with a 483 limited preparation of the striking platform and lateral-distal convexities (Picin, 2017). This comes 484 under the umbrella of 'prepared core technology' in the UK. Technological data from the selected sites 485 suggests that this interpretation cannot be applied to all sites (from MIS 12–10) as in some cases, such 486 as Guado San Nicola, Levallois technology seems to have been mastered from an early stage.

487 The emergence of this technology could also have been associated with bifacial artifacts. An *in situ* 488 evolution from handaxe technology has been suggested for Cagny-la-Garenne, where biface convexities were used as core faces (Tuffreau, 1987; Mellars, 1996; DeBono and Goren-Inbar, 2001; 489 490 Villa, 2009; Adler et al., 2014; White and Pettitt, 2016; Tuffreau et al., 2017). In fact, the recycling of 491 bifaces as Levallois cores is a common feature of Somme Valley sites from MIS 12 to 7 (Tuffreau et al., 492 2007; Lamotte and Tuffreau, 2016). However, other sites in our sample do not provide any evidence of a technical relationship of bifaces and the emergence of Levallois flaking (Fig. 14). It could be 493 494 considered as a local innovative circumstance to either reduce the thickness of a biface, or produce an

495 expedient flake. Similar behaviour is observed in Spanish and Levantine Lower Paleolithic sites with
496 evidence of recycling (Baena et al., 2018).

497

498 4.3. Early evidence of regionalization of local traditions across Europe?

499 While Adler et al. (2014) and Akhilesh et al. (2018) suggested an arrival of the technology from the 500 Levant and Africa, the Levallois features of the sample of sites used in the current paper look similar 501 to other early European assemblages with a Levallois component, suggesting a multiregional origin 502 and diffusion of this technology. In addition there are other early occurrences, such as the sites of 503 Atapuerca TD10 (MIS 11–10) and Ambrona (Middle complex, MIS 10?, various Levallois methods) in 504 Spain, or Kesselt-Op-de Schans (MIS 11–8?, Levallois recurrent centripetal) and Petit-Spiennes (MIS 10) 505 in Belgium, the French sites of Aldene (TU IV, MIS 9, Levallois recurrent centripetal), Petit Bost (MIS 9, 506 level 2, various Levallois methods), and Etricourt (layer HUD, MIS 9, some Levallois flakes), and in the 507 Netherlands Maastrich-Belvedere (possibly MIS 9, Site C, subunit IV–B (Roebroeks, 1988); Bourguignon 508 et al., 2008; Brenet et al., 2008; Meijer and Cleveringa, 2009; Fontana et al., 2013; Lamotte and 509 Tuffreau, 2015; Peretto et al., 2015; Di Modica et al., 2016; Di Modica and Pirson, 2016; Hérisson et 510 al., 2016a, b; Ollé et al., 2016; Pereira et al., 2016; Rossoni-Notter et al., 2016; Baena et al., 2017; Van 511 Baelen, 2017; Santonja et al., 2018). Moreover, in Italy the assemblage from Torre in Pietra, layer m, 512 dated between 400 and 200 ka, indicates the application of discoid schemes associated with Levallois 513 reduction (Villa et al., 2016). Therefore from the end of the MIS 9 there is a large diffusion of 514 technological choices sharing common rules, but with diverse methods, rather than isolated attempts 515 to produce standardized end-products (Hérisson et al., 2016b; Malinsky-Buller, 2016; Soriano and Villa, 516 2017).

Levallois technology clearly becomes persistent in Europe between MIS 8 and 6 over a vast territory
extending from north-western Europe to the Near East, including central Europe (Tuffreau, 1987;
Rigaud, 1988; Lamotte and Tuffreau, 2001; White and Ashton, 2003; Brenet et al., 2008; Wiśniewski,
2014; Sánchez-Romero et al., 2016; Soriano and Villa, 2017). Some sites show Levallois schemes, often

accompanied by a trend towards the production of elongated blanks (Révillon, 1995; Moncel, 2003;
Kozlowski, 2014). Levallois was not the only means of standardizing debitage, with for example
unipolar and centripetal débitage at Cueva del Bolomor in MIS 10–-9 (Blasco and Peris, 2012), the
centripetal exploitation strategies seen in layer TD11 at Gran Dolina (Atapuerca) in MIS 10 (GarciaMedrano et al., 2015), or the laminar method at Cave dall'Olio (Fontana et al., 2013).

526 Among these sites, technological features show early trends towards regionalization of traditions 527 as early as MIS 8 (Picin et al., 2013; Wiśniewski, 2014; Picin, 2017), supporting the hypothesis of 528 multiregional development and local roots. For instance, in south-east France, Orgnac 3 shows from 529 MIS 9 and 8 an emphasis on centripetal Levallois debitage, while in south-west France uni-bipolar is 530 dominant (Moncel et al., 2011). However, in central-eastern Europe several complexes with Levallois 531 debitage are known from MIS 10 without any evidence of an Acheulean origin, such as Korolevo VI 532 (Koulakovska et al., 2010) and Bechov I (Wisniewski, 2014). Other central European sites are younger, 533 and considered to be evidence of arrivals of new populations during favourable climate with 534 availability of good quality raw materials, such as Rheindahlen, Markkleeberg and Becoc I and IV 535 (Wiśniewski, 2014; Picin, 2017). Similar hypotheses on the arrivals of new populations have been put 536 forward for the Levant (Malinsky-Buller, 2016a; Shimelmitz et al., 2016; Shimelmitz and Khün, 2017). 537 The onset of Levallois technology and all the standardized technologies can probably be explained 538 through multiple modes of origin, dependent on area and latitude.

539

540 4.4. Explaining Levallois core technology from MIS 12-9 in Western Europe?

Flake selection and preference: Levallois end-products vs. other end-products. If of local origins, the reasons for the onset of this new core technology remain enigmatic in terms of its selection over other technologies. Levallois core technology is often a minor component of the assemblages, associated with different methods of production, such as discoidal (Bolomor, Ambrona), Kombewa, laminar (Cave dall'Olio), centripetal flaking and expedient (unifacial cores with some removals, orthogonal cores with two flaking surfaces and multidirectional cores), which produce a large variety of flakes (Ashton, 1992;

547 Peris et al., 2008; Santonja et al., 2018; Vaquero and Romagnoli, 2018). When discoidal and centripetal 548 methods are used, the flaking surfaces are not hierarchically ordered and there is no evidence of 549 management of the convexities. The debitage uses the natural forms of the blank and the previous 550 removals for guiding the production. End-products are often thick and the form badly controlled.

551 Comparison of the size of Levallois-type cores and flakes to other end-products is not consistent. 552 At Guado San Nicola, despite differences in size between preferential and recurrent flakes, 553 Levalloisproducts are similar in size to other end-products. In contrast, at Orgnac 3, Levallois flakes are 554 among the largest end-products of the assemblages (two groups with lengths of 30–50 mm and 65–70 555 mm for Levallois flakes; Fig. 15). At Purfleet, Levallois cores are slightly smaller (87 mm) than discoidal 556 and simple prepared cores (93–97 mm). The angles and length of cutting edges on Levallois products 557 do not seem to differ between the assemblages.

558 The morphology of Levallois end-products also varies between sites and regions with different 559 quantities of flakes, elongated flakes or points. Points dominate some sites in north-west Europe 560 compared to both flakes and points in the south.

561 Compared to less elaborate core technologies, a better control of the form of Levallois products 562 and a higher productivity of Levallois cores through maintenance of convexities, where all products 563 could be used, seems to be the main focus. This is perhaps linked to an increase in the use of mental 564 templates by populations (Lycett et al., 2016). Villa et al. (2016) suggest that Levallois technology 565 provided thinner products compared to Lower Paleolithic-type methods. These products did not 566 require retouch, the form being predetermined, or the 'one tool, one task' of Douze and Delagnes (2016). The morphological regularity of flakes seems to have led to a reduction in retouched products 567 568 (Eren and Lycett, 2015). For instance at Orgnac 3, the ratio of flake-tools decreases with the increase 569 in frequency of Levallois core technology (ratio of flake tools of 6% in level 1), while the numerous 570 small flakes (10–15 mm long) produced at the end of the Levallois reduction process and from the cores on flakes, are never retouched (Moncel et al., 2011). At Guado San Nicola, retouched Levallois 571 572 flakes are extremely rare, with just a few scrapers (Peretto et al., 2015). This decrease in flake

573 modification could have been a cost-benefit in energy. The selection of good quality raw materials (for
574 instance at Orgnac 3) also suggests that attention was paid to this type of debitage.

575 Functional reasons: For hunting and hafting? In parallel to the increase of hunting in subsistence 576 strategies and some changes in land use patterns (e.g. Moncel et al., 2011), the onset of Levallois is 577 sometimes explained by the appearance of hafting of stone points and the use of points as projectiles 578 (Villa et al., 2009; Hardy et al., 2013; Rots, 2013; Iovita and Katsuhiro, 2016). Stone points are often 579 considered as light penetrative tools (Knecht, 1997), and more effective than wooden spears (see 580 Schöningen, MIS 9; Böhner et al., 2015). The early evidence of hafting at Kathu Pan (South Africa), 581 dated to 500 ka, shows points with modification near the base and damage from hafting (Wilkins et 582 al., 2012). The emergence of the Middle Stone Age tradition in East Africa is related to convergent tool 583 technology (Douze and Delagnes, 2016). Modification on small flakes at Gesher Benot Yakov (GBY) is 584 also argued to be evidence of hafting as early as 900 ka (Alperson-Afil and Goren-Inbar, 2016). 585 However, the development of Levallois technology is only associated with the more dominant 586 production of points in north-west Europe, rather than southern Europe. The lithic assemblages of 587 Cagny-La-Garenne I and II and the other sites during MIS 12 clearly do not indicate an emphasis on 588 triangular flake production, but far more oval and rectangular removals. Moreover, microwear 589 analyses indicate that Levallois products were not systematically single purpose tools and also show 590 that form does not necessarily indicate function. Despite little hafting evidence clearly recorded in the 591 European Levallois (Ben-Dor et al., 2011; Rots et al., 2011; Rots, 2013; Iovita and Katsuhiro, 2016; Villa 592 et al., 2016), some patterns show however that Neanderthals were able to haft stone tools and use 593 glues (Mazza et al. 2006; Kozowik et al., 2017) indicating common capabilities to modern humans 594 characterized by abstraction and planning ability (Villa et Roebroeks, 2014; Soressi, 2016).

The role of Levallois products consequently remains obscure in terms of form and awaits more microwear analyses to clarify the specific uses of these tools. At Guado san Nicola, among the 75% of the studied artifacts, only 2-4.5% show traces (n = 82). Some Levallois flakes carry microtraces with one or two different zones of use with the same activity. All show predominantly animal carcass

processing and occasionally plant use with mainly longitudinal actions from cutting (Berruti, 2017).
Flakes from Levallois, other core technologies and bifaces equally show occasional wood-plant use
(Peretto et al., 2015). At Orgnac 3, the development of the use of Levallois core technology is related
to changes in landscape use, with seasonal and specialized occupations focused on horse hunting, such
as in level 1 (Moncel et al. 2012).

604

605 *4.5. Increase in the mental templates over time?*

If control of the core knapping surface was a major initial feature, the innovation of Levallois could
have been in parallel with the long process of the acquisition of Neanderthal features (accretion model;
Hublin, 2009) and could be compared to similar isolated attempts that are observed in some sites older
than 400 ka in Africa (Pope et al., 2017). This process could explain why this technology became
dominant in many areas through the Middle Paleolithic and why it did not emerge earlier (e.g., Lycett
and Eren, 2013).

612 For instance, the Oldowan assemblage of Nyabusosi (Uganda) dated to 1.5 Ma shows the 613 hierarchical relationship of core surfaces (Texier, 1995). The Early Acheulean site of Peninj (1.6–1.2 614 Ma, Tanzania) shows some evidence of the preparation of core convexities, as at Wonderwerk (800-615 500 ka, South Africa), GBY with giant Kombewa and 'Levallois' flakes (900-800 ka, Israel), or la Noira (700 ka, France; Texier and Roche, 1995; de la Torre et al., 2003 ; Tyron et al., 2006; Moncel et al., 616 617 2013; Chazan, 2015; Leader et al., 2018). In the past, the different Victoria West methods have been 618 considered as para-, proto- or pre-Levallois evidence, with large, wide asymmetrical flakes removed 619 through planning from the core edge by radial or centripetal flaking (Bordes, 1950; McNabb, 2001; 620 Mourre, 2006; Sharon et al., 2009). Similarly, the Tabelbala-Tachenghit method or the Kombewa 621 technique used the bulb of the ventral face of a flake and were described as a 'preferential-flake 622 method' (Boëda, 1995). The onset of actual Levallois technology is also observed in East Africa by early 623 modern humans with embedded roots as early as 500 ka with local, gradual changes in the Middle Stone Age (Douze and Delagnes 2016; Deino et al., 2018; Potts et al., 2018). Meanwhile at Misliya cave
(Israël), at around 200 ka, there is full Levallois with modern human remains (Hershkovitz et al., 2018).

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627 4.6. Emergence of Neanderthals or adaptation of hominins to climatic and environmental changes?

If we consider the paleoanthropological record in Europe from MIS 13 to 9, data indicate complexity in the acquisition over time of Neanderthal characteristics among *H. heidelbergensis* and Middle Pleistocene populations (Hublin, 2009; Manzi et al., 2010). In western Europe, the anatomical diversity of fossils suggests pre-Neanderthal regional groups, perhaps persistent forms of *H. heidelbergensis* (Ceprano skull dated to 350 ka), as shown by the genetic variability (Rightmire, 2008; Fabre et al., 2009; Manzi et al., 2010; Walker et al., 2011; Stringer, 2012; Meyer et al., 2016; Rightmire, 2017).

634 Neanderthal characteristics were evolving in Europe as far back as MIS 11 and possibly earlier (Hublin, 2009; Stringer, 2012). An earlier divergence time (>430 ka) between Neanderthals and 635 636 Denisovans was inferred from the nuclear DNA sequence from Sima de los Huesos, whereas mtDNA 637 places these populations closer to one another (Meyer et al. 2014). During the time span of MIS 12 to 638 MIS 7 (ca. 460–250 ka), Neanderthal populations may have experienced a period of isolation, but 639 contact with African lineages postdating the divergence from the Denisovans is also suggested 640 (Arsuaga et al., 2014; Meyer et al., 2016; Hublin et al., 2017; Richter et al., 2017; Bermúdez de Castro et al., 2019). 641

642 At the moment, correlations between types of hominin and technological innovations are not 643 evident (see Levallois evidence in the Ceprano basin contemporaneous with the skull; Pereira et al., 644 2018). Technological convergence could exist in many places with a variety of hominins, such as H. 645 heidelbergensis, Homo neanderthalensis and Homo sp. (DeBono and Goren-Inbar, 2001; Tyron, 2006; 646 Adler et al., 2014). Neanderthal anatomical features developed in parallel, as with other hominins at 647 this time, with an increase in brain size, but also changes in life history, such as an extended childhood 648 and an adolescent phase (Kyriacou and Bruner, 2011). This allowed an increase in the capability to 649 transmit more complex technological behaviors through social learning (Nowell and White 2010;

White et al. 2011). Similar developments in East Africa could explain the onset of Levallois technology among modern human populations. If we consider the low number of 'Levallois' pieces or the eventuality of an event by chance in parallel to some innovations (hierarchical organization on some cores), the phenomenon would indicate (1) a progressive development of the use of mental templates and (2) a technological shift in some areas. This progressive development could have found its roots among *H. heidelbergensis* (and Middle Pleistocene populations), not just after the speciation to Neanderthals.

657 The numerous paleoclimatic archives show a transition from 1.25 Ma up to 0.7 Ma (Mid-Pleistocene 658 Revolution) with a change of the dominant periodicity of climate cycles from 41 ka to 100 ka. 659 Combining different archives over the last 800 ka, some particularly marked interglacials (MIS 19, 15, 660 11, 9 and 5) and glacial maxima (MIS 16, 12 and 2) have been identified (Jouzel et al., 2007). Some of 661 the earliest Levallois evidence is during MIS 11 (Schreve, 2001; Geyh and Müller, 2005; Nitychoruk et 662 al., 2006; Roe et al., 2009; Rohling et al., 2010; Blain et al., 2015; Limondin-Lozouet et al., 2015; Picin, 663 2017). This period of time (post MIS 12, MIS 11) is crucial, characterized by a large biodiversity, large-664 scale faunal dispersion associated with the regionalization of mammal communities and hominin 665 morphological variability (Stiner, 2002; Bar-Yosef and Belmaker, 2011; Dennell et al., 2011; Palombo, 666 2015). Such a long-lasting interglacial (MIS 11) after a harsh glacial (MIS 12) could have favored more 667 sustained vegetational systems and hence more stable hominin occupation and connections between 668 groups in Europe dependent on latitude (Guthrie, 2001; Ashton et al., 2017, 2018). However, climate 669 does not seem to play a great role in the earliest onset of Levallois from MIS 12-9. It appears during 670 both cold and temperate events in various areas. But climate change was certainly important for 671 diffusion and some breaks in occupation in some areas due to climatic constraints, and could explain 672 the introduction of this new technology such as the UK during periods of lowered sea levels.

673

674 5. Conclusions

Between MIS 12 and 9, elements of Levallois technology, some probably intentional, are found 675 676 intermittently over a vast area in both northern and southern Europe, and are sometimes 677 accompanied by a trend towards the production of elongated blanks. The lithics seem to be evidence 678 of a technological shift in some areas rather than production by chance. Levallois core technology 679 before the end of MIS 9 to the beginning of MIS 8 remains rare (Fig. 1). From MIS 8, it was diffused all 680 over Europe and appears to have been a phase of diversification rather than the initial stage. A 681 discontinuity between the earliest and youngest phases during MIS 7-6 is open to question, as in East 682 Africa with the isolated early appearance of laminar debitage at 500 ka (Roure Johnson and McBrearty, 683 2010). Is there a progressive phenomenon preceded by a preparation phase, i.e., a protostage 684 ('prepared core technology' and invasive removals on bifaces)? Due to the small number of sites and 685 their distribution over a large area, we do not know if there is clear evidence of a transition. It is not 686 known whether the isolated evidence of Levallois is due only to innovation (in situ), with multiple 687 convergence or roots in the Acheulean in a variety of environments and geographic situations, or also 688 to invention from outside Europe that by its diffusion may have certainly been enhanced by contacts 689 and exchanges of experiences between different groups (Foley and Mirazón Lahr, 1997; Hublin, 2009; 690 Stringer, 2012).

691 The only certainty is the apparent parallel development with the earliest appearance of Levallois 692 core technology and some behavioral changes affecting subsistence, land use and mobility of 693 populations during and after MIS 12 in Europe as shown by the longer distances for raw material 694 procurement and more specialized hunting. Cultural and technical expertise of these populations 695 allowed integration of strategies for making, using, transporting and discarding tools, and the materials 696 needed for their manufacture and maintenance. However, the development of the Early Middle 697 Paleolithic through Europe is not only related to the innovation of Levallois, which appeared later in 698 some regions, indicating a diversity of trajectories.

To conclude, it is important to note that these isolated onsets of Levallois are associated with an
 increase of archaeological data and human activity all over Eurasia after the Anglian or Elsterian

701 glaciation (MIS 12), which is considered as a major turning point. The increase in the quantity of sites 702 raises the question of whether this is due to better preservation or reflects larger populations, while 703 genetic data indicates a decrease of the population size after 500 ka (Meyer et al., 2014). Cultural 704 complexity in the form of Levallois technology does not necessarily reflect demographic expansion 705 (Vaesen and Collard 2016), just as an increase in population does not always lead to diffusion of 706 behavioural changes if populations are poorly connected (Premo and Hublin, 2009;Roger, 2017). Data 707 on the Early Middle Paleolithic (MIS 8–6) indicate both a large diversity of technical expertise among 708 groups and some trends of regionalization before a more pronounced regionalization of traditions 709 during the Late Middle Paleolithic (MIS 5-3; Baena et al., 2017; Carmignani et al., 2017). Middle 710 Paleolithic features emerged as a mosaic as early as MIS 12, including a more complex management 711 of local resources and the use of long-distance lithic raw materials in short-term recurrent occupations. 712 This suggests local innovations. The degree of mobility of human groups and connections between 713 different groups is difficult to estimate, depending on topography and climate, and estimating the type 714 and size of the European population is open to discussion, ranging from a well-connected 715 metapopulation or to more isolated networks of sites with small populations (Bocquet-Appel and 716 Degioanni, 2013; Collard et al., 2013; Derex and Boyd, 2016; Fogarty and Creanza, 2017; Grove, 2016, 717 2017; Ríos et al., 2019).

718 Finally, we have to keep in mind that from at least 500 ka, two technological worlds existed in 719 Eurasia with western Europe standing in contrast to a large area from central Europe to central Asia. 720 In western Europe, the Acheulean and other Lower Paleolithic behaviors are commonly referred to H. 721 heidelbergensis and early Neanderthals from 700 ka, while in central Europe, the traditions are 722 considered as 'Micro and Pre-Mousterian' without bifacial technology (Kozlowski, 2014; Moncel et al., 723 2015; Golovanova and Doronichev, 2017). Regional differences persisted in Middle Paleolithic 724 traditions from MIS 6 between these two areas (for instance Micoquian in Central Europe) and perhaps 725 between populations (human colonization from Asia from the Middle Pleistocene?) despite a common 726 technical background in core technologies. This feature is unexplained so far and may be due to at

127 least some late exchanges between populations. The pre-existing Lower Paleolithic certainly had a 128 structuring effect on the different adaptive options selected by hominin groups over Europe. The key 129 question now is why Levallois technology became the dominant technological strategy even if other 130 technologies were also used during the Early Middle Paleolithic.

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1282	
1283	Figure captions
1284	
1285	Figure 1. Location of the sites with some early evidence of Levallois core technology (black rounds).
1286	The gray surface indicates the Levallois extension at the end of the MIS 9. The dark gray surface
1287	indicates the extension from the MIS 8.
1288	
1289	Figure 2. Cagny-la-Garenne I. Level I3 (Middle of the alluvial sequence): preferential Levallois cores.
1290	The numbers indicate the order of the removals. Drawings and pictures: A. Lamotte.
1291	
1292	Figure 3. Cagny-La Garenne I – Level I4 (Middle of the alluvial sequence): preferential Levallois cores.
1293	The numbers indicate the order of the removals. Drawings and pictures: A. Lamotte.
1294	
1295	Figure 4. 1) Cagny-La-Garenne II – Level J (beginning of the alluvial sequence). 2) Cagny-la-Garenne I
1296	– Level CXV (final alluvial sequence). Both preferential Levallois cores. Drawings and pictures: A.
1297	Lamotte.
1298	
1299	Figure 5. Guado San Nicola: n°1-3 recurrent Levallois core on flint. Arrows indicate the direction of
1300	the removals (thick arrows for the "Levallois" removals and thin arrows for the striking platform).
1301	Drawings B. Muttillo, modified.
1302	
1303	Figure 6. Guado San Nicola: 1) Levallois flake on silicified limestone; 2–10) recurrent Levallois flakes
1304	and points on flint. Drawings B. Muttillo, modified.
1305	
1306	Figure 7. Orgnac 3: flakes described as Levallois (level 5b). n° 1 flake with centripetal removals and
1307	convexity scars, curved cross-section; n° 2 flake with convexity scars; n°3 backed flake with

1308	centripetal removals and convexity scars; n°4 flake with centripetal removals, curved cross-section
1309	Drawings: M.H.M., modified from Moncel (1999).
1310	
1311	Figure 8. Orgnac 3: n° 1, 2, level 4b, n° 3 level 5a; n° 1 core with an invasive removal; n° 2 flake
1312	described as Levallois; n° 3 core with bipolar invasive removals. Arrows indicate the direction of the
1313	removals (thick arrows for the "Levallois" removals and thin arrows for the convexities scars).
1314	Drawings: M.H.M., modified from Moncel (1999).
1315	
1316	Figure 9. Orgnac 3 - Level 4b: n° 1, 3, 4, 5, 6 flakes described as Levallois; n° 2 backed flake. Arrows
1317	indicate the direction of the removals. Drawings: M.H.M., modified from Moncel (1999).
1318	
1319	Figure 10. Orgnac 3: Level 4a: n° 1 core with centripetal removals; n° 2, 3, 4 Flakes described as
1320	Levallois. Arrows indicate the direction of the removals (thick arrows for the "Levallois" removals and
1321	thin arrows for the striking platform/convexities scars). Drawings: M.H.M., modified from Moncel
1322	(1999).
1323	
1324	Figure 10. Cave dall'Olio, Levallois cores and blanks: 1) bidirectional core; 2) unidirectional
1325	convergent core; 3) lineal core; 4–6 Levallois blanks. Arrows indicate the direction of the removals
1326	(thick arrows for the "Levallois" removals and thin arrows for the striking platform/convexities scars).
1327	Drawings and pictures: F. Fontana.
1328	
1329	Figure 12. British sites: n°1–4 simple prepared flint cores at Purfleet Botany Pit (Essex); n°5 group of
1330	refitting flakes from Frindsbury (Kent). Pictures: N. Ashton.
1331	
1332	Figure 13. Local innovations of an Early Levallois core technology over time in Europe.
1333	

Figure 14. Cagny-la-Garenne I - level CXB: invasive removal on a biface. Photo A. Lamotte

- 1336 Figure 15. Comparison of length of Levallois flakes and the other flakes for the levels 4a to 5b at
- 1337 Orgnac 3.