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► To cite this version:

Hélène Bolvin, Jochen Autschbach. Relativistic Methods for Calculating Electron Paramagnetic Resonance (EPR) Parameters. Springer. Handbook of Relativistic Quantum Chemistry, Springer Berlin Heidelberg, pp.1-39, 2015, 10.1007/978-3-642-41611-8_12-1 . hal-02483183

HAL Id: hal-02483183

<https://hal.science/hal-02483183>

Submitted on 18 Feb 2020

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Chapter 0

Relativistic methods for calculating Electron Paramagnetic Resonance (EPR) parameters

Hélène Bolvin and Jochen Autschbach

Abstract Basic concepts for calculating electronic paramagnetic resonance are discussed, with a focus on methods that are suitable for molecules containing heavy elements. Inclusion of relativistic effects is essential in such calculations. Selected examples are presented to illustrate practical applications of these theoretical methods

Preliminaries: Units, Notation, Acronyms

The reader is assumed to be familiar with basic concepts of quantum mechanics – including relativistic methods covered in other chapters – and basic concepts of computational chemistry. SI units are employed. Nuclear motion is not considered; the focus is on electronic structure and the resulting magnetic properties. The symbols \cdot and \times indicate inner and outer products, respectively, for vectors and matrices or tensors. Bold-italic notation such as \mathbf{r} , $\hat{\mathbf{S}}$, $\boldsymbol{\mu}$ is used for vectors and vector operators, while upright-bold such as \mathbf{a} , \mathbf{G} , $\boldsymbol{\mu}$ is used for matrices and rank-2 tensors.

The following acronyms are used occasionally in the text:

AO atomic orbital (basis function or actual AO)
CAS complete active space
DFT Density Functional Theory (usually KS, ‘pure’ and generalized KS variants)
EM electro-magnetic
GIAO gauge-including atomic orbital
HF Hartree-Fock
HFC hyperfine coupling

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KS	Kohn-Sham
MO	molecular orbital
NR	non-relativistic (calculation excluding any relativistic effects)
PV	principal value (of a tensor)
PAS	principal axis system (of a tensor)
QM	quantum mechanical (e.g. in reference to Dirac, Schr��dinger Eqs.)
SO	spin-orbit (usually means calculation also includes SR effects)
SOS	sum over states
SR	scalar relativistic (relativistic calculation without SO effects)
WFT	wave-function theory
ZFS	zero-field splitting

0.1 Introduction and Background: EPR parameters and Spin Hamiltonians

Many of the chemical species encountered in the laboratory and in everyday life have non-degenerate closed shell ground states. But there are also many exceptions, such as open shell metal complexes, stable radicals, and most atoms. In the absence of external electromagnetic (EM) fields, such species may afford a degenerate electronic ground state and degenerate excited states. Species with closed-shell ground states may also afford degenerate excited states such as excited spin triplets. The term *degeneracy* means that an electronic state λ with energy E_λ may have d_λ state components $|\lambda, a\rangle$, with $a = 1 \dots d_\lambda$, such that each $|\lambda, a\rangle$ and any linear combination thereof is a solution to the field-free quantum mechanical (QM) equation describing the system (e.g. Schr  dinger equation, Dirac equation, approximate two-component relativistic QM methods, as discussed elsewhere in this Handbook) with the same energy E_λ . The index λ may simply be a number counting the energy levels of the system, or it may be a spectroscopic symbol characterizing a state of interest, or a symmetry label, or a combination thereof. The discussion excludes cases of accidental degeneracy.

Electron paramagnetic resonance (EPR) [1–4] is a primary tool for studying degenerate and nearly degenerate electronic states experimentally. An external magnetic field \mathbf{B} splits the degeneracy (*Zeeman effect*) to yield a new set of states. EM radiation of a suitable frequency may then induce transitions among them and allow to measure the energy splittings spectroscopically. The parameters extracted from the spectra (vide infra) contain a wealth of information about the electronic structure and molecular structure.

To illustrate the effect utilized in EPR spectroscopy, consider a single unpaired electron and – first – neglect spin-orbit (SO) coupling. This situation represents a spin doublet (a two-fold degenerate state) with spin quantum number $S = 1/2$. If there is no external magnetic field, the two possible orientations of the spin projection onto a quantization axis ($M_S = \pm 1/2$) have the same energy. Associated with the spin angular momentum vector \mathbf{S} is a magnetic dipole moment $\boldsymbol{\mu}_e = -g_e\beta_e\mathbf{S}$,

with $\beta_e = e\hbar/(2m_e)$ being the Bohr magneton and g_e the free electron g -value or g -factor with a current experimental value [5] of 2.002 319 304 361 53 (53). The Dirac equation predicts $g_e = 2$ exactly. Therefore, $g_e = 2$ is used occasionally in the following. The small differences in the values of g_e are due to quantum electrodynamic corrections.

For $S = 1/2$ the magnetic moment associated with the spin has two projections onto a quantization axis. If a static external magnetic field is applied, the direction of \mathbf{B} defines the quantization axis. With the field present, the quantized projections of $\boldsymbol{\mu}_e$ do not have the same energy. In classical physics, the energy of a magnetic dipole $\boldsymbol{\mu}$ in a magnetic field \mathbf{B} is

$$E = -\boldsymbol{\mu} \cdot \mathbf{B} \quad (0.1)$$

The lowest energy is for $\boldsymbol{\mu}$ and \mathbf{B} being anti-parallel, and the highest energy is for $\boldsymbol{\mu}$ and \mathbf{B} being parallel. This is the physical mechanism that keeps a compass needle pointing toward the magnetic north pole. Quantum mechanically, a semi-classical Zeeman Hamiltonian that describes such an effect for a quantized spin magnetic moment is

$$\hat{\mathcal{H}}^Z = g_e \beta_e \hat{\mathbf{S}} \cdot \mathbf{B} \quad (0.2)$$

with $\hat{\mathbf{S}}$ being the spin vector operator. The negative sign in (0.1) is canceled by the negative sign relating $\boldsymbol{\mu}_e$ to the spin. One may choose a coordinate system such that \mathbf{B} is oriented along the z axis, with amplitude B_0 . The Hamiltonian (0.2) then reads

$$\hat{\mathcal{H}}^Z = g_e \beta_e B_0 \hat{S}_z \quad (0.3)$$

The eigenvalues are those of \hat{S}_z times $g_e \beta_e B_0$, i.e. $\pm(1/2)g_e \beta_e B_0$. The magnetic field lifts the degeneracy of the spin doublet. A transition from the lower energy level (\mathbf{B} and \mathbf{S} ‘anti-parallel’) to the higher one (\mathbf{B} and \mathbf{S} ‘parallel’) requires an energy of

$$\Delta E = h\nu = g_e \beta_e B_0 \quad (0.4)$$

In the equation above, ν is the frequency of EM radiation used to induce the transition. The frequency is approximately 28 GHz / T. In a typical EPR spectrometer, B_0 is 0.34 T (3,400 Gauss), which translates to a free electron spin-1/2 resonance frequency of about 9.5 GHz. This is radiation in the X-band microwave region of the EM spectrum. For general spin values S , the degeneracy of the projection is $2S + 1$. A magnetic field splits these into $2S + 1$ individual states. Absorption of a photon of EM radiation entails conservation of angular momentum. The photon has an angular momentum of \hbar , corresponding to one atomic unit (au), and therefore the selection rule is that transitions with $\Delta M_S = 1$ are allowed.

One may repeat the calculation for the spin \mathbf{I}_K of some nucleus no. K , by substituting the electron spin magnetic dipole moment by the nuclear spin magnetic dipole moment $\boldsymbol{\mu}_K = g_K \beta_N \mathbf{I}_K$. Here, g_K is the g -factor for a given nuclear isotope, and $\beta_N = e\hbar/(2M_P)$ is the nuclear magneton, with M_P being the proton mass. The latter is approximately 2000 times greater than the electron mass, and therefore the associated transition energies for nuclear spins are in the radio-frequency range

There is another type of fine structure that can be observed in EPR experiments for systems with $S \geq 1$. For a given magnetic field strength B_0 , the $2S + 1$ spin projections with different M_S would be expected to be equally spaced energetically, meaning that any of the possible $2S$ allowed transitions with $\Delta M_S = 1$ give resonances at the same frequency. However, at lower field strengths the spectra may exhibit $2S$ distinct features at lower field strengths [1], which indicates unequal energetic separations of the M_S components in the presence of the field. This fine structure can be traced back to a removal of the degeneracy of the spin multiplet already at zero field. The effect is therefore called *zero field splitting* (ZFS). A spin $S \geq 1$ implies that there are two or more unpaired electrons. The physical origin of ZFS is a magnetic interaction between pairs of electrons, either directly (a dipolar spin-spin interaction arising from relativistic corrections to the electron-electron interaction) or mediated via SO coupling (which has one- and two-electron contributions). The ZFS can therefore be associated with relativistic effects. For systems with heavy elements, as well as for many lighter systems, the SO contribution to ZFS is the dominant one.

$$\Delta E = h\nu = g_J \beta_e B_0 \quad (0.5)$$

with $g_J = 4/3$ for the fluorine $^2P_{3/2}$ state, instead of 2. Here, g_J is the Landé g -factor; the experimentally observed g -factor obtained from matching the measured resonance frequency with Equation (0.5) for known field strength B_0 is very close to this number. The large difference of g_J from the free-electron $g_e = 2$ arises because the state reflects not only a spin doublet but also an orbital triplet.

In the absence of orbital degeneracy, an orbital magnetic moment and deviations of observed g -factors from the free electron value may arise because of SO coupling. For organic doublet radicals with only light elements, $S = 1/2$, $M_S = \pm 1/2$ are basically good quantum numbers. However, even in this situation the observed g -factors may differ from 2 (typically ranging from 1.9 to 2.1) because of SO coupling. Because of the small deviations, it is sometimes preferred to report g -shifts $\Delta g = g - g_e$ (often in units of parts per thousand (ppt)), in analogy to NMR chemical shifts. Since SO coupling is a relativistic effect, the presence of g -shifts for orbitally non-degenerate states directly indicates relativistic effects. If SO coupling is strong, S and M_S may not be good quantum numbers at all. In this case, g -shifts can become very large even in the absence of orbital degeneracy (meaning an absence of orbital angular momentum in the corresponding scalar relativistic (SR) state). A case in point is the doublet ground state of NpF_6 for which the observed [6] g -factor is -0.6 .

To summarize: A degenerate paramagnetic electronic state gives rise to a more or less complicated pattern of EPR resonances. Given the potential influence of SO coupling, orbital angular momenta, and ZFS, the spectrum is usually interpreted and quantified by invoking the concept of a *pseudo-spin* \mathcal{S} rather than the actual electron spin S . The value of \mathcal{S} defines the degeneracy, $2\mathcal{S} + 1$, of the state that is split by the magnetic field into components with different $\mathcal{M}_{\mathcal{S}}$. The various effects discussed above can be included in a phenomenological pseudo-spin Hamiltonian, which, in lowest order, reads

$$\hat{\mathcal{H}}_S = \beta_e \mathbf{B} \cdot \mathbf{g} \cdot \hat{\mathcal{S}} + \mathbf{I}_K \cdot \mathbf{a}_K \cdot \hat{\mathcal{S}} + \hat{\mathcal{S}} \cdot \mathbf{d} \cdot \hat{\mathcal{S}} \quad (0.6)$$

The parameters in the Hamiltonian are determined by requiring that the transitions with $\Delta \mathcal{M}_{\mathcal{S}} = 1$ between its eigenstates reproduce the observed spectrum. The spin Hamiltonian is designed such that its elements within the set of fictitious spin eigenstates are the same as the matrix elements of the true Hamiltonian within the set of true eigenstates. It supposes a correspondence between pseudo-spin and true eigenstates, up to a phase factor common to all the vectors. While this assignment can be rather arbitrary, the basic requirement is that the spin Hamiltonian in the fictitious space transforms in coherence as the real Hamiltonian does in the real space, either by time inversion or by the spatial symmetries of the molecule. [7]

On the right-hand side of Equation (0.6) are, from left to right, the pseudo-spin operators for the Zeeman interaction, the HFC interaction, and the ZFS. Only the pseudo-spin related to the electronic state is treated quantum mechanically. The nuclear spin and external magnetic field are parameters. In Equation (0.6), \mathbf{g} , \mathbf{a}_K , and \mathbf{d} are 3×3 matrices parametrizing the various interactions. The fact that they are written in matrix form reflects the possibility that the observed interactions may be *anisotropic*. For example, observed g -factors for a molecule with axial symmetry may be very different if the magnetic field is oriented along the axial direction or perpendicular to it. Higher order terms requiring additional sets of parameters in Equation (0.6) may be required to reflect the full complexity of an EPR spectrum, as discussed in Section 0.3.

The matrices \mathbf{g} and \mathbf{a}_K are often referred to as the g -‘tensor’ and the HFC ‘tensor’. It was pointed out in the book by Abragam & Bleaney [4] that they are in fact not proper rank-2 tensors. Following a suggestion by Atherton [1], one may refer to them as the Zeeman coupling matrix (\mathbf{g}) and the HFC matrix (\mathbf{a}_K) instead. The g -factor observed for a magnetic field in the direction of a unit vector \mathbf{u} in the laboratory coordinate system is given by

$$g_{\mathbf{u}} = \pm(\mathbf{u} \cdot \mathbf{g} \mathbf{g}^T \cdot \mathbf{u})^{1/2} \quad (0.7)$$

(superscript T indicating a matrix transpose). One may define a hyperfine coupling associated with a particular quantization direction chosen for the pseudo-spin in a similar way. The corresponding objects $\mathbf{G} = \mathbf{g} \mathbf{g}^T$ and $\mathbf{A}_K = \mathbf{a}_K \mathbf{a}_K^T$ are rank-2 tensors whose eigenvalues and eigenvectors define the squares of the principal values (PVs) of \mathbf{g} and \mathbf{a}_K and a principal axis system (PAS) of each of the interactions. For example, the principal g -factors correspond to the g -factors that are observed when the direction of the magnetic field \mathbf{B} coincides with one of the principal axes. Section 0.4 addresses the question of the signs of the PVs of the Zeeman and HFC interaction in more detail.

Section 0.2 sketches different computational relativistic methods by which to obtain the EPR parameters in the spin Hamiltonian of Equation (0.6) from first principles. As already mentioned, SO coupling plays an important role. HFC can also be strongly impacted by SR and SO relativistic effects. Selected illustrative examples are presented in Section 0.5.

0.2 Computational methods for EPR parameter calculations

0.2.1 Representation of the pseudo-spin Hamiltonian in an *ab-initio* framework

Equation (0.6) and generalizations thereof presents some conceptual challenges when addressing the problem by relativistic or non-relativistic (NR) molecular quantum mechanics. The reason is that the pseudo-spin operator $\hat{\mathcal{S}}$ may have little in common with the electron spin operator \hat{S} if SO coupling or ZFS are strong, or if the orbital angular momenta are not quenched. What can be done instead follows roughly the following sequence [3], if a calculation starts out with a pure spin-multiplet (i.e. from a NR or SR reference without orbital degeneracy) and if effects from SO coupling can be dealt with as a perturbation:

- Define a Hamiltonian $\hat{\mathcal{H}}_0$ for the system in the absence of external EM fields and find its eigenstates with energies E_λ , spin degeneracies d_λ , and corresponding orthonormal QM eigenfunctions $|\lambda, a\rangle$.
- Consider a perturbation: a homogeneous external field \mathbf{B} for the Zeeman interaction, the hyperfine magnetic field from a nuclear spin magnetic moment $\boldsymbol{\mu}_K$, or

spin-dependent perturbations. Define a corresponding perturbation Hamiltonian $\hat{\mathcal{H}}'$. The effects from SO coupling may also be absorbed into $\hat{\mathcal{H}}'$. The perturbations are assumed to be weak enough such that one can identify the eigenstates of $\hat{\mathcal{H}}_0 + \hat{\mathcal{H}}'$ corresponding to a multiplet λ of $\hat{\mathcal{H}}_0$ of interest. Diagonalization of the matrix $\mathbf{H}_0 + \mathbf{H}'$ representing the Hamiltonian in the complete set (or a large subset) of eigenstates of $\hat{\mathcal{H}}_0$, or finding a selected number of eigenfunctions and eigenvalues with techniques such as the Davidson or Lanczos algorithms, would give the eigenfunctions and energies for the perturbed system. Typically, there would be a mixing among eigenvectors belonging to a multiplet λ as well as some admixture from components of other multiplets.

- Instead, select a multiplet λ with degeneracy d_λ of interest corresponding to $\hat{\mathcal{H}}_0$, and seek a $d_\lambda \times d_\lambda$ matrix representation \mathbf{H}_{eff} of an *effective Hamiltonian* $\hat{\mathcal{H}}_{\text{eff}}$ with the following properties: (i) the eigenvalues of \mathbf{H}_{eff} are the same as those of $\mathbf{H}_0 + \mathbf{H}'$ for the perturbed multiplet λ . (ii) The eigenvectors of \mathbf{H}_{eff} describe how the components of the unperturbed multiplet mix under the perturbation. There are various ways by which \mathbf{H}_{eff} can be calculated. A well-known approach is by perturbation theory as an approximation to second order, which gives for a matrix element related to the multiplet λ :

$$\langle \lambda a | \hat{\mathcal{H}}_{\text{eff}} | \lambda a' \rangle = \delta_{aa'} E_\lambda + \langle \lambda a | \hat{\mathcal{H}}^{(a)} | \lambda a' \rangle - \sum_{\mu \neq \lambda} \sum_{b=1}^{d_\mu} \frac{\langle \lambda a | \hat{\mathcal{H}}^{(b)} | \mu b \rangle \langle \mu b | \hat{\mathcal{H}}^{(c)} | \lambda a' \rangle}{E_\lambda - E_\mu} \quad (0.8)$$

Here, $\hat{\mathcal{H}}^{(a)}$, $\hat{\mathcal{H}}^{(b)}$, and $\hat{\mathcal{H}}^{(c)}$ are parts of $\hat{\mathcal{H}}'$ such that the overall matrix element (minus the $\delta_{aa'} E_\lambda$ part) affords terms that are linear in \mathbf{B} or \mathbf{I}_K , or bi-linear in electron spin operators.

- Apart from a constant shift on the diagonal, the matrix representation of the pseudo-spin Hamiltonian, Equation (0.6), written in terms of $|\mathcal{S}, \mathcal{M}_{\mathcal{S}}\rangle$ pseudo-spin projects is then supposed to have the same elements as those of \mathbf{H}_{eff} . For the Zeeman interaction, the contribution to \mathbf{H}_{eff} should be linear in \mathbf{B} . Then, $\hat{\mathcal{H}}^{(a)}$ and $\hat{\mathcal{H}}^{(b)}$ are the Zeeman operator (in a suitable relativistic form) and $\hat{\mathcal{H}}^{(c)}$ additionally considers SO effects. If $\hat{\mathcal{H}}^{(a)}$ and $\hat{\mathcal{H}}^{(b)}$ are instead QM operators linear in \mathbf{I}_K describing the nuclear hyperfine field, a mapping onto the HFC part of the pseudo-spin Hamiltonian can be made. Finally, if $\hat{\mathcal{H}}^{(a)}$ is the dipolar spin-spin interaction operator, and $\hat{\mathcal{H}}^{(b)}$ and $\hat{\mathcal{H}}^{(c)}$ represent SO interactions, one obtains an effective Hamiltonian quadratic in the electron spin which represents ZFS.

In the previous approach, the matching between real and fictitious states is made according to $|\lambda, M\rangle \equiv |\mathcal{S}, \mathcal{M}_{\mathcal{S}}\rangle$ since the model space is a spin multiplet; it supposes a similarity between the real spin and the pseudo-spin. This is only valid in the weak SO limit but the procedure permits the calculation of the spin Hamiltonian parameters for all values of the pseudo-spin \mathcal{S} [8]. Equation (0.8) misses quadratic contributions which may be important [9].

The value of the pseudo-spin \mathcal{S} defines the size of the model space. If the state of interest, usually the ground state, is d -fold degenerate or nearly degenerate, \mathcal{S} is chosen such that $d = 2\mathcal{S} + 1$. In the case of weak SO coupling and a spatially

An *ab-initio* calculation provides the $2\mathcal{S} + 1$ quasi-degenerate wave functions $|\lambda, a\rangle_{a=1, 2\mathcal{S}+1}$ in the absence of external magnetic field, defining the model space, and the corresponding energies E_a . Let

$$\hat{\mathcal{H}}^Z = -\beta_e \hat{\boldsymbol{\mu}} \cdot \boldsymbol{B} \quad (0.9)$$

be the Zeeman operator, with $\hat{\mu}$ being a corresponding dimensionless time-odd QM magnetic moment operator. The Zeeman interaction is characterized by the three matrices of the magnetization operator $(\mu^u)_{a,b} = \langle \lambda, a | \hat{\mu}_u | \lambda, b \rangle$ with $a, b \in [1, 2\mathcal{S} + 1]$ and $u = x, y, z$ being defined in the physical space. Hyperfine matrices

can be defined analogously. These matrices are further discussed in Sections 0.3 and 0.4.

The approach is outlined in this section for the Zeeman interaction and a doublet of Kramers states ($\mathcal{S} = 1/2$). In this case, there is no ZFS and the spin Hamiltonian reduces to the Zeeman term. In the basis of the pseudo-spin projection eigenfunctions $|\mathcal{M}_{\mathcal{S}}\rangle$, the operator $\hat{\mathcal{S}}_k$ ($k = x, y, z$) is represented by a matrix $\mathbf{S}_k = (1/2)\boldsymbol{\sigma}_k$, with $\boldsymbol{\sigma}_k$ being one of the 2×2 Pauli spin matrices. The magnetic field vector is expressed in terms of its components as $\mathbf{B} = (B_1, B_2, B_3)$. The matrix representation of the spin Hamiltonian for the Zeeman interaction then reads

$$\mathbf{H} = \sum_k h_k \mathbf{S}_k \quad \text{with} \quad h_k = \beta_e \sum_l B_l g_{lk} \quad (0.10)$$

The eigenvalues are easily obtained as \pm the square roots of the eigenvalues of \mathbf{H}^2 , which is already diagonal because of $\mathbf{S}_k \mathbf{S}_l + \mathbf{S}_l \mathbf{S}_k = (\delta_{kl}/2) \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$:

$$\mathbf{H}^2 = \frac{1}{4} \begin{pmatrix} \sum_k h_k^2 & 0 \\ 0 & \sum_k h_k^2 \end{pmatrix} \quad (0.11)$$

Therefore, the energy difference ΔE for the two spin projections is $2[(1/4) \sum_k h_k^2]^{1/2} = [\sum_k h_k^2]^{1/2}$, i.e.

$$\Delta E = \beta_e \left[\sum_{k,l} B_k B_l \sum_m g_{km} g_{lm} \right]^{1/2} = \beta_e \left[\sum_{k,l} B_k B_l G_{kl} \right]^{1/2} \quad (0.12)$$

In the previous equation, G_{kl} is an element of the tensor \mathbf{G} introduced below Equation (0.7).

Next, consider a quantum mechanical framework with a doublet state with two wavefunction components, ψ_1, ψ_2 , assumed to be orthonormal for convenience. Further, for the time being it is assumed that the doublet components ψ_1 and ψ_2 have the time reversal properties of a Kramers pair. In the basis $\{\psi_1, \psi_2\}$, the QM Zeeman operator can also be expressed with the help of the spin-1/2 matrices, as

$$\mathbf{H}' = \sum_k h'_k \mathbf{S}_k \quad \text{with} \quad \begin{aligned} h'_1 &= -2\beta_e \operatorname{Re}\langle\psi_2|\hat{\boldsymbol{\mu}}|\psi_1\rangle \cdot \mathbf{B} \\ h'_2 &= -2\beta_e \operatorname{Im}\langle\psi_2|\hat{\boldsymbol{\mu}}|\psi_1\rangle \cdot \mathbf{B} \\ h'_3 &= -2\beta_e \langle\psi_1|\hat{\boldsymbol{\mu}}|\psi_1\rangle \cdot \mathbf{B} \end{aligned} \quad (0.13)$$

Note that $\langle\psi_1|\hat{\boldsymbol{\mu}}|\psi_1\rangle \cdot \mathbf{B} = -\langle\psi_2|\hat{\boldsymbol{\mu}}|\psi_2\rangle \cdot \mathbf{B}$ because of the time reversal symmetry. As with the pseudo-spin Hamiltonian, one can calculate twice the square root of the eigenvalues of \mathbf{H}'^2 to obtain the energy splitting in the presence of a magnetic field. The result can be rearranged as follows:

$$\Delta E = \left[\sum_k h_k'^2 \right]^{1/2} = \beta_e \left[2 \sum_{k,l} B_k B_l \sum_{a=1}^2 \sum_{b=1}^2 \langle\psi_a|\hat{\boldsymbol{\mu}}_k|\psi_b\rangle \langle\psi_b|\hat{\boldsymbol{\mu}}_l|\psi_a\rangle \right]^{1/2} \quad (0.14)$$

A factor 1/2 enters inside the square root when the double sum is introduced, to avoid double counting of contributions. By comparison of Equations (0.14) and (0.12), one finds for the elements of the tensor \mathbf{G} :

$$G_{kl} = 2 \sum_{a=1}^2 \sum_{b=1}^2 \langle \psi_a | \hat{\mu}_k | \psi_b \rangle \langle \psi_b | \hat{\mu}_l | \psi_a \rangle \quad (0.15)$$

At this point, the assumption that ψ_1 and ψ_2 transform as a Kramers pair can be dropped, because any linear combination obtained from $\{\psi_1, \psi_2\}$ by unitary transformation gives the same tensor \mathbf{G} from Equation (0.15). Therefore, a computation of \mathbf{G} can utilize a pair of doublet wavefunction components without imposing time reversal symmetry explicitly. The reader is reminded that the definition of the magnetic moment operator components in Equation (0.15) excludes pre-factors of β_e . As written, Equation (0.15) assumes a complete one-particle basis set to represent ψ_1 and ψ_2 , such that there is no dependence of the results on the gauge origin chosen for the external magnetic field. In calculations with finite basis sets, an origin dependence can be avoided by adopting a distributed gauge origin such as gauge-including atomic orbitals (GIAOs). When distributed origin methods are not available, calculations of magnetic properties of complexes with one paramagnetic metal center often place the metal center at the gauge origin.

The eigenvectors of \mathbf{G} represent the molecule-fixed PAS of the Zeeman interaction, sometimes referred to as the ‘magnetic axes’ of the system under consideration [10]. The square roots of the eigenvalues are absolute values of the principal g -factors. The signs of the g -factors are not obtained directly. For further discussion, see Section 0.4.

The tensor \mathbf{A} plays an analogous role for HFC as \mathbf{G} plays for the Zeeman interaction. Therefore, after a QM operator $\hat{\mathbf{F}}_K$ has been defined for the hyperfine interaction as follows:

$$\hat{\mathcal{H}}^{\text{HFC}} = \hat{\mathbf{F}}_K \cdot \boldsymbol{\mu}_K = g_K \beta_N \hat{\mathbf{F}}_K \cdot \mathbf{I}_K \quad (0.16)$$

the HFC tensor for a Kramers doublet can be calculated via

$$A_{kl} = 2(g_K \beta_N)^2 \sum_{a=1}^2 \sum_{b=1}^2 \langle \psi_a | \hat{\mathbf{F}}_{Kk} | \psi_b \rangle \langle \psi_b | \hat{\mathbf{F}}_{Kl} | \psi_a \rangle \quad (0.17)$$

For hyperfine coupling, a natural choice for the gauge origin of the hyperfine field is the nucleus for which the HFC tensor is calculated.

A different route has been proposed [11] for calculating \mathbf{G} for arbitrary values of \mathcal{S} , which is briefly discussed in Section 0.3. The expression given in Equation (0.45) is the same as (0.15) for $\mathcal{S} = 1/2$. As for the $\mathcal{S} = 1/2$ case, the expression for \mathbf{G} of Equation (0.45) should be adaptable for calculations of HFC.

0.2.2 *Wavefunction based methods for EPR calculations*

Popular starting points for wavefunction-based computations of EPR parameters are complete active space self consistent field (CASSCF) calculations and related restricted and generalized active space approaches [12], often followed by perturbation-theory (PT) based treatments of dynamic correlation on top of CASSCF. For the latter, second-order perturbation theory (CASPT2) [13] and n -electron valence state perturbation theory (NEVPT) [14] are in relatively widespread use. Limitations arise from an insufficient description of *spin polarization* with the size of active spaces commonly achievable in these types of calculations, which is detrimental for HFC calculations. g -factors, ZFS parameters, and magnetic susceptibilities, on the other hand, can be obtained with good accuracy. Recently developed combinations of active-space methods with density matrix renormalization group (DMRG) techniques allow for larger active spaces, which is beneficial for treating electron correlation as well as spin polarization. ‘Proof of concept’ calculations of HFC appear promising [15]. Linear response methods have also been developed for multi-configurational SCF wavefunctions in order to generate spin polarization suitable for HFC calculations without the need of very large active spaces [16]. In principle, multi-reference coupled-cluster (MRCC) methods should be suitable for EPR parameter calculations. To the authors’ knowledge, relativistic MRCC calculations have not been used to predict EPR parameters at the time of writing this article.

Relativistic effects have been / can be included in wavefunction-based EPR calculations in a variety of ways, for instance: (i) by using all-electron SR Hamiltonians or SR effective core potentials (ECPs) to generate wavefunctions for a range of electronic states, followed by treatment of SO coupling via state-interaction (SI), [17] (ii) by including SR and SO effects either via an all-electron Hamiltonian [18] or with ECPs from the outset, (iii) by calculating SR components of a spin-multiplet and treatment of SO coupling as a perturbation in the EPR step [3]. In case (ii), SO effects are treated fully variationally whereas in case (i) a SO Hamiltonian matrix is calculated in a limited basis of active-space wavefunctions and subsequently diagonalized. Approach (iii) is applicable in the weak SO coupling limit. Note that without application of specialized techniques the use of a relativistic ECP for a given atom prevents calculations of the HFC for the same atom because the inner core nodal structure of the valence orbitals is needed. An order-by-order treatment of SO coupling via perturbation theory is also viable, for instance based on four-component relativistic perturbation theory [19] after separation of SR and SO components of the QM operators.

0.2.3 *Hartree-Fock and Kohn-Sham methods for EPR calculations*

The approaches to obtaining EPR parameters outlined above assume that the wavefunction components of a degenerate state of interest are available explicitly from

a calculation. With single-reference methods such as Hartree-Fock (HF) theory and Kohn-Sham (KS) Density Functional Theory (DFT) and generalized KS methods, the usual approach in the absence of strong SO coupling is to start from a spin-unrestricted SR calculation. For brevity, HF theory is considered as a special case of a generalized KS hybrid functional from here on. The use of a spin-unrestricted single-determinant reference typically leads to spin contamination: while the spin-unrestricted KS reference can be designed as an eigenfunction of \hat{S}_z it is not necessarily an eigenfunction of \hat{S}^2 . Regarding DFT, Perdew et al. have pointed out that some degree of spin contamination is good because the KS reference is not the true wavefunction [20]. Spin polarization is generated straightforwardly in spin-unrestricted calculations but can be severely over- or under-estimated. Single-reference KS methods with *approximate* functionals are often not suited to represent degenerate states. The calculation then results in breaking of spin or spatial symmetry of the wavefunction, or both. Projection techniques can be used to restore lost symmetries.

In the absence of orbital degeneracy, the components of a pseudo-spin doublet can often be treated reasonably well by standard spin-unrestricted KS methods for the purpose of calculating EPR spin Hamiltonian parameters. In a SR or NR framework, the g -factors then simply become equal to g_e , while the isotropic average of the HFC matrix, the isotropic HFC constant, is calculated from averaging $g_K \beta_N \langle \psi | \hat{F}_{Kk} | \psi \rangle$ over k , with $|\psi\rangle$ being the $\mathcal{M}_{\mathcal{S}} = +1/2$ component of the doublet. There is also extensive literature on utilizing the same expectation value approach within single-reference correlated wavefunction methods. Extensions to treat cases with $S > (1/2)$, and ways for additional inclusion of SO coupling via first-order perturbation theory, have been devised. The reader can find details in References 21–27 and citations to original literature provided therein.

Some of the KS methods that are currently in use for relativistic EPR parameter calculations with SO coupling being included variationally [28–30] employ two different approaches. The first utilizes a variant of Equation (0.13), but within a single-electron framework where the many-electron wavefunctions are replaced by one-electron orbitals. In the second approach, three separate SCF cycles are typically performed, with different quantization axes of spin, magnetic moment, or total angular momentum, and the quantization axis is identified with the directional index ‘ k ’ of Equations (0.10) and (0.13).

The first approach [28, 30] as it was devised and implemented in a two-component relativistic form is quasi spin-restricted and limited to Kramers doublets. An SCF calculation is performed with the unpaired electron distributed over two degenerate frontier orbitals, with occupations of 1/2 each. In the absence of SO coupling, these would be an α and β spin pair of orbitals with identical spatial components. The method has some resemblance to restricted open-shell HF (ROHF) but is not the same. After the SCF step, one of these orbitals, say φ , is chosen to represent the component ϕ_1 of the Kramers pair of orbitals. Its conjugate ϕ_2 is then constructed from ϕ_1 . Written explicitly in terms of real (R) and imaginary (I) parts of the two spin components of the SCF orbital φ , the Kramers pair is

$$\phi_1 = \begin{pmatrix} \varphi_\alpha^R \\ \varphi_\beta^R \end{pmatrix} + i \begin{pmatrix} \varphi_\alpha^I \\ \varphi_\beta^I \end{pmatrix} \quad (0.18a)$$

$$\phi_2 = \begin{pmatrix} -\varphi_\beta^R \\ \varphi_\alpha^R \end{pmatrix} + i \begin{pmatrix} \varphi_\beta^I \\ -\varphi_\alpha^I \end{pmatrix} \quad (0.18b)$$

For calculations of g -factors, the matrix elements of the Zeeman operator are then calculated as in Equation (0.13), but with the orbital pair $\{\phi_1, \phi_2\}$, and then processed similar to Equations (0.14), (0.15) to yield the g -factor. Alternatively, the h'_k of Equation (0.13) are directly identified with the h_k terms of Equation (0.10), and the g -matrix elements can be extracted from the calculation results without detour via \mathbf{G} . HFC matrix elements can be calculated in an analogous way. However, due to the lack of spin polarization the performance of the quasi-restricted approach is unsatisfactory for the latter. The performance for g -factors has frequently been satisfactory.

Regarding the ‘three SCF cycles’ techniques, van Wüllen and co-workers have provided a justification for their use in KS calculations [31]. The approach is illustrated for HFC [30]: The expectation value of the HFC part of the EPR spin Hamiltonian taken with a Kohn-Sham determinant calculated with a spin-quantization axis \mathbf{u}

$$E(\mathbf{u}) = \sum_i n_i \langle \varphi_i^{\mathbf{u}} | \mathbf{I}_K \cdot \mathbf{a} \cdot \hat{\mathcal{S}} | \varphi_i^{\mathbf{u}} \rangle = \sum_{k,l} a_{kl} I_{Kk} \sum_i n_i \langle \varphi_i^{\mathbf{u}} | \hat{\mathcal{S}}_l | \varphi_i^{\mathbf{u}} \rangle \quad (0.19)$$

with $k, l \in \{x, y, z\}$. The $\varphi_i^{\mathbf{u}}$ are assumed to be orbitals obtained from a ‘generalized collinear’ KS calculation with selected spin-quantization axis \mathbf{u} , and the n_i are the occupation numbers. Assume next that \mathbf{u} is along the Cartesian direction k , that the orbitals are \hat{S}_k eigenfunctions, that the electron spin S is the same as the pseudo-spin \mathcal{S} , and that the KS determinant is a solution corresponding to $\langle S_k \rangle = M_S = S = \mathcal{S}$. One then finds $\sum_i n_i \langle \varphi_i^k | \hat{S}_l | \varphi_i^k \rangle = \mathcal{S} \delta_{kl}$, such that

$$E(k) = \mathcal{S} \sum_l a_{kl} I_{Kl} \quad (0.20)$$

Instead, calculate an analogous expectation value, but this time with the QM hyperfine operator $g_K \beta_N \hat{\mathbf{F}} \cdot \mathbf{I}_K$ and with the actual relativistic generalized-collinear two-component KS orbitals,

$$E(k) = g_K \beta_N \sum_l \sum_i n_i \langle \varphi_i^k | \hat{F}_{Kl} | \varphi_i^k \rangle I_{Kl} \quad (0.21)$$

One can now map the result (0.20) for the pseudo-spin onto the result (0.21) calculated by KS, which gives

$$a_{kl} = \frac{g_K \beta_N}{\mathcal{S}} \sum_i n_i \langle \varphi_i^k | \hat{F}_{Kl} | \varphi_i^k \rangle \quad (0.22)$$

An analogous approach is possible for calculations of g -factors, which gives with the QM Zeeman operator $-\beta_e \hat{\boldsymbol{\mu}} \cdot \mathbf{B}$

$$g_{kl} = -\frac{1}{\mathcal{S}} \sum_i n_i \langle \varphi_i^k | \hat{\mu}_l | \varphi_i^k \rangle \quad (0.23)$$

Both for the Zeeman and HFC matrices, one can form the rank-2 tensors \mathbf{A}_K and \mathbf{G} afterwards and diagonalize them in order to obtain the PAS. As with variational wavefunction methods, GIAO basis sets are sometimes employed in order to generate Zeeman coupling matrices that are strictly origin invariant.

Within the generalized-collinear KS framework, it is also possible to obtain elements of the ZFS tensor \mathbf{d} , from the magnetic anisotropy of the KS energy with respect to the spin quantization axis \mathbf{u} . With the pseudo-spin Hamiltonian and a $\mathcal{M}_{\mathcal{S}} = \mathcal{S}$ pseudo-spin eigenfunction, one obtains

$$E^{\text{ZFS}}(\mathbf{u}) = \mathcal{S}(\mathcal{S} - \frac{1}{2}) \mathbf{u} \cdot \mathbf{d} \cdot \mathbf{u} \quad (0.24)$$

As for the other parts of the EPR spin Hamiltonian, the result of a QM calculation of $E(\mathbf{u})$ for different directions of \mathbf{u} can then be mapped onto Equation (0.24). The approach was first introduced in References 31, 32, where the reader can find comments regarding some subtleties leading to the $\mathcal{S}(\mathcal{S} - 1/2)$ factor instead of \mathcal{S}^2 . For weak SO coupling, $E(\mathbf{u})$ can also be calculated by perturbation theory. In this case, the ‘sum over states’ (SOS) - like equation (0.8) can be interpreted as the result of a double perturbation of the energy by SO coupling and the dipolar ZFS interaction, and a KS coupled-perturbed analog can be devised instead. For details, see Reference 31. In cases where SO coupling dominates the ZFS, and for spin triplets, there is another KS route: Starting with a closed-shell reference state, one calculates energy differences between the reference and a triplet state of interest by time-dependent linear response (‘time-dependent DFT’) within a framework that includes SO coupling variationally or as a perturbation.

0.2.4 Operators for the Zeeman and HFC interactions

In principle, the Zeeman and hyperfine operators that are used in QM calculations of EPR parameters should match the Hamiltonian used for calculating the wavefunctions or KS orbitals in order to avoid picture-change errors. For further details, the reader is referred to the chapters in this Handbook that are concerned with details of relativistic calculations of NMR parameters within various relativistic frameworks, because derivatives of the Zeeman and hyperfine operators with respect to the external field components and the nuclear spin magnetic moment components, respectively, are needed for those calculations. In order to render this chapter somewhat self-contained, for illustration, the Zeeman and hyperfine one-electron operators are provided here for the NR case, for the two-component zeroth-order regular approx-

imation (ZORA), and for the four-component case in its standard notation where diamagnetism is not explicit. For brevity, field-dependent two-electron operators are not listed.

Assuming point nuclei for the hyperfine terms, the gauge origin for the external field coinciding with the coordinate origin, and Coulomb gauge for the nuclear and external vector potential, the nonrelativistic Zeeman (Z) and HFC one-electron operators read

$$\begin{aligned} \text{NR : } \hat{h}^Z &= \frac{\beta_e}{\hbar} [(\mathbf{r} \times \hat{\mathbf{p}}) + \hbar \boldsymbol{\sigma}] \cdot \mathbf{B} \\ &= \beta_e [\hat{\mathbf{L}} + 2\hat{\mathbf{S}}] \cdot \mathbf{B} \end{aligned} \quad (0.25a)$$

$$\hat{h}_K^{\text{HFC}} = \frac{2\beta_e}{\hbar} \frac{\mu_0}{4\pi} \left[\frac{\mathbf{r}_K}{r_K^3} \times \hat{\mathbf{p}} \right] \cdot \boldsymbol{\mu}_K \quad (0.25b)$$

$$+ \beta_e \frac{\mu_0}{4\pi} [\boldsymbol{\sigma} \cdot \{\boldsymbol{\mu}_K (\boldsymbol{\nabla} \cdot \frac{\mathbf{r}_K}{r_K^3}) - (\boldsymbol{\mu}_K \cdot \boldsymbol{\nabla}) \frac{\mathbf{r}_K}{r_K^3}\}] \quad (0.25c)$$

Curly brackets, $\{\dots\}$, in the operator expressions indicate that derivatives are only taken inside the operator, not of functions to its right hand side. As elsewhere in this chapter, $\boldsymbol{\mu}_K = g_K \beta_N \mathbf{I}_K$. Further, \mathbf{r}_K is the electron-nucleus distance vector and r_K its length. The Zeeman operator is a sum of contributions from orbital and spin angular momentum. Likewise, in the hyperfine operator there is the ‘Paramagnetic nuclear Spin – electron Orbital’ (PSO) term in Equation (0.25b) which is independent of the electron spin, and there is the electron spin dependent sum of the Fermi contact (FC) and spin dipole (SD) operators in Equation (0.25c). The usual expressions for the FC and SD operators are obtained by taking the derivatives of \mathbf{r}_K/r_K^3 , which gives

$$\text{NR : } \hat{h}_K^{\text{FC}} = \beta_e \frac{\mu_0}{4\pi} \frac{8\pi}{3} \delta(\mathbf{r}_K) \boldsymbol{\sigma} \cdot \boldsymbol{\mu}_K \quad (0.26a)$$

$$\hat{h}_K^{\text{SD}} = \beta_e \frac{\mu_0}{4\pi} \frac{3(\boldsymbol{\sigma} \cdot \mathbf{r}_K)(\boldsymbol{\mu}_K \cdot \mathbf{r}_K)}{r_K^5} \quad (0.26b)$$

The ‘contact’ part of the name of the FC operator refers to the presence of the Dirac δ -distribution.

Due to the fact that code for calculating matrix elements of these operators with Gaussian-type atomic orbital (AO) basis functions is rather widely available, nonrelativistic operators are sometimes used in relativistic calculations of EPR parameters. For the Zeeman operator, the relativistic corrections from the operator are likely small because it samples the valence and outer regions of light and heavy atoms. The hyperfine operators are to be used in relativistic calculations only with caution, because of the singular behavior evident from Equations (0.26a, 0.26b). Due to their local nature it is possible to use them for light nuclei in a system that also contains heavy elements, because then the relativistic effects are not generated around the nucleus for which the HFC is calculated. It may also be possible to generate estimates of a heavy-element HFC if the relevant orbitals have high angular momentum

When adopting the two-component ZORA framework, the operators relevant for the Zeeman and HFC interactions read

$$+ \frac{\beta_e}{2} \boldsymbol{\sigma} \cdot \{B(\nabla \cdot \mathcal{K} \mathbf{r}) - (B \cdot \nabla) \mathcal{K} \mathbf{r}\} \quad (0.27b)$$

$$+ \frac{\beta_e \mu_0}{4\pi} [\boldsymbol{\sigma} \cdot \{ \boldsymbol{\mu}_K (\boldsymbol{\nabla} \cdot \frac{\mathcal{K} \mathbf{r}_K}{r_K^3}) - (\boldsymbol{\mu}_K \cdot \boldsymbol{\nabla}) \frac{\mathcal{K} \mathbf{r}_K}{r_K^3} \}] \quad (0.27d)$$

The one-electron Zeeman and hyperfine operators in the four-component (Dirac) framework involve the 4×4 Dirac α matrices:

$$\hat{h}_K^{\text{HFC}} = \frac{ce\mu_0}{4\pi} \frac{\mathbf{r}_K}{r_K^3} \times \boldsymbol{\alpha} \cdot \boldsymbol{\mu}_K \quad (0.29)$$

For HFC that is nominated by s orbitals (heavy alkali metals and Hg in particular), finite nucleus effects can be large. There are different ways to treat finite nuclear volume effects [34]. Due to the ubiquity of Gaussian-type basis functions in quantum chemical calculations, the spherical Gaussian nuclear model is in widespread

use. Here, the charge distribution ρ_K of nucleus A is ‘smeared out’ by a Gaussian function as

$$\rho_K(\mathbf{R}) = Z_K \left(\frac{\zeta_K}{\pi} \right)^{3/2} e^{-\zeta_K |\mathbf{R} - \mathbf{R}_K|^2} \quad (0.30)$$

The exponent ζ_K is inversely proportional to the mean square radius $\langle R_K^2 \rangle$ of the nucleus:

$$\zeta_K = \frac{3}{2\langle R_K^2 \rangle} \quad (0.31)$$

The root mean square nuclear radius is, in turn, related to the nuclear mass M_K (in amu) as follows:

$$\langle R_K^2 \rangle^{1/2} = (0.863 M_K^{1/3} + 0.570) \text{ fm} \quad (0.32)$$

The electron-nucleus attraction term for nucleus A with charge Z_K in the Hamiltonian for point nuclei,

$$V_K^{\text{point}} = -\frac{1}{4\pi\epsilon_0} \frac{Z_K}{r_K} \quad (0.33a)$$

changes to

$$V_K^{\text{gauss.}} = -\frac{1}{4\pi\epsilon_0} \frac{Z_K}{r_K} P(1/2, \tilde{r}_K^2) \quad (0.33b)$$

with $\tilde{r}_K^2 = \zeta_K r_K^2$. Further,

$$P(a, x) = \frac{1}{\Gamma(a)} \int_0^x t^{a-1} e^{-t} \quad (0.34)$$

is the lower incomplete Gamma function ratio. Assuming as a first approximation that the magnetization density of the nucleus can also be described by a spherical Gaussian, the vector potential for a point nucleus,

$$\mathbf{A}_K^{\text{point}} = \frac{\mu_0}{4\pi} \frac{\boldsymbol{\mu}_K \times \mathbf{r}_K}{r_K^3} \quad (0.35a)$$

changes to

$$\mathbf{A}_K^{\text{gauss.}} = \frac{\mu_0}{4\pi} \frac{\boldsymbol{\mu}_K \times \mathbf{r}_K}{r_K^3} P(3/2, \tilde{r}_K^2) \quad (0.35b)$$

The presence of the incomplete Gamma function terms in the expressions serves to dampen the inverse powers of r_K such that the resulting potential and vector potential remain finite as $r_K \rightarrow 0$. In calculations, there are two effects: The first one is via the potential (0.33b) and affects the electron spin and orbital magnetizations around the nucleus. The second one is the modification of the hyperfine operators

by (0.35b). In combination, they tend to reduce the magnitude of hyperfine coupling constants.

0.3 Higher-order EPR parameters, and mapping of *ab-initio* to pseudo-spin functions

This section focuses on ZFS and the Zeeman interaction as examples. The HFC can be treated in an analogous fashion as the Zeeman interaction as far as higher order pseudo-spin Hamiltonian terms are concerned. For a unified formalism and examples see Reference 35.

The larger the pseudo-spin \mathcal{S} , the more degrees of freedom there are. Higher-order of spin operators are then added to the spin Hamiltonian to describe the supplementary degrees of freedom. Higher orders include terms with polynomials of order l , m and n in the components of $\hat{\mathcal{S}}$, \mathbf{B} and \mathbf{I} respectively, symbolically denoted here as a term of order $\mathcal{S}^l B^m I^n$ where l , m and n are non-negative integers and $l + m + n$ is even to preserve time even parity of the Hamiltonian. An exception concerns the description of non-Kramers doublets. This point is presented in Section 0.5. The expansion is limited to $l \leq 2\mathcal{S}$ since all matrix elements of the operators with $l > 2\mathcal{S}$ are zero. The ZFS term corresponds to $m = n = 0$

$$\hat{\mathcal{H}}_S^{ZFS} = \hat{\mathcal{H}}_{(2)}^{ZFS} + \hat{\mathcal{H}}_{(4)}^{ZFS} + \dots \quad (0.36)$$

where $\hat{\mathcal{H}}_{(l)}^{ZFS}$ is a term of order l even in \mathcal{S} . The term linear in the magnetic field, with $m = 1$ and $n = 0$ is the Zeeman term

$$\begin{aligned} \hat{\mathcal{H}}_S^Z &= \hat{\mathcal{H}}_{(1)}^Z + \hat{\mathcal{H}}_{(3)}^Z + \dots \\ &= \beta_e (\hat{\boldsymbol{\mu}}_{(1)} + \hat{\boldsymbol{\mu}}_{(3)} + \dots) \cdot \mathbf{B} \end{aligned} \quad (0.37)$$

where $\hat{\boldsymbol{\mu}}_{(l)}$ is a term of order l odd in \mathcal{S} . The next term with $m = 2$ describes the quadratic contribution in the magnetic field. This term is usually negligible due the smallness of the magnetic interaction. [36]

According to the irreducible tensor operator decomposition, the preceding terms can be written as

$$\hat{\mathcal{H}}_{(l)}^{ZFS} = \sum_{m=-l}^l a_{l,m} \mathcal{T}_{l,m}(\hat{\mathcal{S}}) \quad (0.38)$$

and

$$\hat{\mu}_{(l)}^u = \sum_{m=-l}^l b_{l,m}^u \mathcal{T}_{l,m}(\hat{\mathcal{S}}) \quad (0.39)$$

where $\hat{\mu}_{(l)}^u$ is the component of $\hat{\boldsymbol{\mu}}_l$ in direction \mathbf{u} and \mathcal{T}_{lm} are the tesseral combinations of the spherical-tensor operators $T_{l,m}$

$$\begin{aligned}\mathcal{T}_{l,m}(\hat{\mathcal{S}}) &= \frac{1}{\sqrt{2}}[(-1)^m T_{l,m}(\hat{\mathcal{S}}) + T_{l,-m}(\hat{\mathcal{S}})] \\ \mathcal{T}_{l,-m}(\hat{\mathcal{S}}) &= \frac{i}{\sqrt{2}}[(-1)^{m+1} T_{l,m}(\hat{\mathcal{S}}) + T_{l,-m}(\hat{\mathcal{S}})]\end{aligned}\quad (0.40)$$

with $0 \leq m \leq l$. Equation 0.39 becomes for $l = 1$

$$\hat{\mu}_{(1)}^u = b_{1,1}^u \hat{\mathcal{S}}_x + b_{1,-1}^u \hat{\mathcal{S}}_y + b_{1,0}^u \hat{\mathcal{S}}_z \quad (0.41)$$

with $u = x, y, z$. This defines nine parameters $b_{1,m}^u$ corresponding to the elements of the \mathbf{g} matrix. Equation 0.41 corresponds to the first term of Equation 0.6 and appears for all values of $\mathcal{S} \geq 1/2$. The third term of Equation 0.6 appears for $\mathcal{S} \geq 1$

$$\begin{aligned}\hat{\mathcal{H}}_{(2)}^{ZFS} &= a_{2,2} \frac{1}{\sqrt{2}}(\hat{\mathcal{S}}_x^2 - \hat{\mathcal{S}}_y^2) + a_{2,-2} \frac{1}{\sqrt{2}}(\hat{\mathcal{S}}_x \hat{\mathcal{S}}_y + \hat{\mathcal{S}}_y \hat{\mathcal{S}}_x) + a_{2,1} \frac{1}{\sqrt{2}}(\hat{\mathcal{S}}_x \hat{\mathcal{S}}_z + \hat{\mathcal{S}}_z \hat{\mathcal{S}}_x) \\ &\quad + a_{2,-1} \frac{1}{\sqrt{2}}(\hat{\mathcal{S}}_y \hat{\mathcal{S}}_z + \hat{\mathcal{S}}_z \hat{\mathcal{S}}_y) + a_{2,0} \frac{1}{\sqrt{6}}(2\hat{\mathcal{S}}_z^2 - \hat{\mathcal{S}}_x^2 - \hat{\mathcal{S}}_y^2)\end{aligned}\quad (0.42)$$

The five parameters $a_{2,m}$ defines the symmetric and traceless \mathbf{d} tensor. The cubic term in \mathcal{S} contributes to the Zeeman interaction for $\mathcal{S} \geq 3/2$,

$$\hat{\mu}_{(3)}^u = \sum_{m=-3}^3 b_{3,m}^u \mathcal{T}_{3,m}(\hat{\mathcal{S}}) \quad (0.43)$$

All $\mathcal{T}_{3,m}(\hat{\mathcal{S}})$ can be expressed as a product of 3 spin components $\hat{\mathcal{S}}_u$ defining a third-rank tensor \mathbf{g}' .

$$\hat{\mathcal{H}}_{(3)}^Z = \beta_e \mathbf{B} \cdot \mathbf{g}' \cdot \hat{\mathcal{S}}^3 \quad (0.44)$$

where \mathbf{g}' is a third-rank tensor.

In the case of weak SO coupling, the $|\lambda, a\rangle$ wave functions correspond closely to the SR components $|\lambda', a\rangle$. Without SO coupling, the $|\lambda', a\rangle$ functions are the $2S + 1$ spin components of the real spin and degenerate. These $|\lambda', M\rangle$ behave properly under all spin operations, time inversion and spatial symmetries of the molecule and can be assigned to the pseudo-spin states $|\lambda', M_S\rangle \equiv |\mathcal{S}, \mathcal{M}_S\rangle$. As it has been shown in Section 0.2.1, the spin Hamiltonian parameters can be calculated in this case by a perturbative approach using wave functions which do not include SO effects.

In the case where SO coupling is included in the QM calculation, the assignment of the $|\lambda, a\rangle$ functions to pseudo-spin functions becomes more difficult. There are currently two types of methods to calculate the spin Hamiltonian parameters from the E_a and the three matrices $\boldsymbol{\mu}^u$ of the electron magnetic moment represented in the basis of $|\lambda, a\rangle$ calculated by the *ab-initio* methods. i) either by projecting the Zeeman matrices using the Irreducible Tensor Operators algebra. [10] Since these latter operators form a basis of orthogonal and linearly independent matrices, each matrix has a unique expansion in this basis set. ii) or by mapping the matrix elements of the real and pseudo-spin matrices one by one once the correspondence between the real and pseudo-spin states is performed.

For the projection technique, one considers first that the term linear in \mathcal{S} is the dominant one in the $\hat{\mathcal{H}}_S^Z$ operator and the tensor $\mathbf{G} = \mathbf{g} \mathbf{g}^T$ can be calculated as [11]

where the tensor \mathcal{A} (not to be confused with \mathbf{A} of Equation (0.17)) is defined as

The diagonalization of \mathbf{G} provides the absolute values of the g factors $g_i = \pm\sqrt{G_i}$ with $i = X, Y, Z$. Eq. 0.45 is equivalent to Eq. 0.15 in the case $\mathcal{S} = 1/2$.

$$\mu^{l'} = \sum_k \mathbf{R}_{k,l'} \mu^k \quad \text{with} \quad k = x, y, z \quad \text{and} \quad l' = x', y', z' \quad (0.47)$$

ii) in the Hilbert space generated by $|\lambda, a\rangle$ ($a = 1, 2\mathcal{S} + 1$).

where \mathcal{R} is a rotation in the $(1 + 2\mathcal{S})^2$ Hilbert space

For the calculation of the ZFS parameters, one needs the assignment of the combination of the $|\lambda, a\rangle$ to the pseudo-spin states $|\mathcal{S}, \mathcal{M}\rangle$. One must find a rotation \mathcal{R} in the model space such the transformed wavefunctions fulfill the time inversion properties $\hat{\Theta}|\lambda, \mathcal{M}\rangle = (\pm 1)^{(\mathcal{S}-\mathcal{M})}|\lambda, -\mathcal{M}\rangle$. The real Hamiltonian, including the interactions attributed to the ZFS, is diagonal in the basis of the $|\lambda, a\rangle$ if SO coupling and the dipolar two-electron spin-spin interaction are included variationally in the ground state. In the new basis set, it becomes

where \mathbf{E} is the diagonal matrix with $\mathbf{E}_{a,b} = E_a \delta_{a,b}$. Then, these matrix elements match the matrix elements of the pseudo-spin matrix $\mathbf{H}_{\mathcal{M},\mathcal{M}'}^{\text{ZFS}} = \langle \mathcal{S}, \mathcal{M} | \hat{\mathcal{H}}_S^{\text{ZFS}} | \mathcal{S}, \mathcal{M} \rangle$

If SO coupling is weak, the $|\lambda, a\rangle$ derives mostly of a pure spin state $^{2S+1}\Gamma$ with components $|\lambda', M\rangle$ where M is the projection on the quantification axis of the real spin. This assignment is easily performed using the effective Hamiltonian

technique [37] briefly outlined in Sec. 0.2.1.

$$\mathcal{P}|\lambda, a\rangle = \sum_M C_{i,M} |\lambda', M\rangle \quad (0.50)$$

where \mathcal{P} is the projector on the pure spin space. The effective Hamiltonian in this target space is

$$\mathbf{H}_{\text{eff}}^{ZFS} = \mathbf{C}^{-1} \cdot \mathbf{E} \cdot \mathbf{C} \quad (0.51)$$

where $\mathbf{C}_{i,M} = C_{i,M}$. $\mathbf{C}_{i,M}$ is not an orthogonal matrix since it is a projector. The wave functions $|\lambda', M\rangle$ satisfy all the properties of transformations of spin with time inversion and spin operators since they are eigenfunctions for a real spin. Equations 0.49 and 0.51 are closely related. In the case of weak SO coupling, \mathbf{C} is close to being orthogonal and the effective Hamiltonian procedure is a convenient way to obtain the rotation \mathcal{R} , eventually using an orthogonalization procedure. This procedure is applicable for all values of \mathcal{S} while for large values of \mathcal{S} the determination of \mathcal{R} becomes more complicated due to the increase of the number of degrees of freedom.

The spin Hamiltonian is designed in order to reduce as much as possible the number of parameters to fit the EPR spectra. In the case of high \mathcal{S} , many of the spin Hamiltonian parameters are negligible. In the case of weak SO coupling, the Zeeman interaction is almost isotropic and the magnetic anisotropy arises from the ZFS term. The determination of the ZFS tensor is then the key step of the fitting.

In the case of $\mathcal{S} = 1$, without any spatial symmetry, the three $|\lambda, a\rangle$ are not degenerate and are not magnetic to first order $\langle \lambda, a | \hat{\mu}_u | \lambda, a \rangle = 0$. Magnetic properties arise from the off-diagonal matrix elements. The spin Hamiltonian expressed in the principal axis of the \mathbf{d} tensor with an isotropic Zeeman interaction writes

$$\begin{aligned} \hat{\mathcal{H}}_S &= \beta_e g \mathbf{B} \cdot \hat{\mathcal{S}} + d_X \hat{\mathcal{S}}_X^2 + d_Y \hat{\mathcal{S}}_Y^2 + d_Z \hat{\mathcal{S}}_Z^2 \\ &= \beta_e g \mathbf{B} \cdot \hat{\mathcal{S}} + D \left(\hat{\mathcal{S}}_Z^2 - \frac{1}{3} \mathcal{S}(\mathcal{S} + 1) \right) + E(\hat{\mathcal{S}}_X^2 - \hat{\mathcal{S}}_Y^2) \end{aligned} \quad (0.52)$$

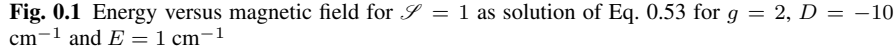
In the basis set

$$\left\{ |0_X\rangle = \frac{1}{\sqrt{2}}(-|1, 1\rangle + |1, -1\rangle), |0_Y\rangle = \frac{i}{\sqrt{2}}(|1, 1\rangle + |1, -1\rangle), |0_Z\rangle = |1, 0\rangle \right\}$$

where $|0_u\rangle$ is the spin state with $\mathcal{M} = 0$ in the direction u

$$\begin{array}{c|ccc} \hat{\mathcal{H}}_S & |0_X\rangle & |0_Y\rangle & |0_Z\rangle \\ \hline \langle 0_X | & \frac{1}{3}D - E & -i\beta_e g B_Z & i\beta_e g B_Y \\ \langle 0_Y | & i\beta_e g B_Z & \frac{1}{3}D + E & -i\beta_e g B_X \\ \langle 0_Z | & -i\beta_e g B_Y & i\beta_e g B_X & -\frac{2}{3}D \end{array} \quad (0.53)$$

Fig. 0.1 represents the variation of the energy of the three states as a function of B for the three directions X , Y and Z for $g = 2$, $D = 10 \text{ cm}^{-1}$ and $E = 1 \text{ cm}^{-1}$. The largest magnetization (slope of the $E = f(B)$ curve) is obtained for $|0_X\rangle$ and $|0_Y\rangle$ when the field is applied along Z since $|0_X\rangle$ and $|0_Y\rangle$ are the closest and the Zeeman interaction couples them in this direction. When $D < 0$, these two states are lower than $|0_Z\rangle$ and the ground state is magnetic along Z ; smaller is E ,



The spin Hamiltonian of Equation 0.52 is widely used for $\mathcal{S} = 3/2$ systems as well. It will be shown in Section 0.5 that the cubic term in \mathcal{S} is negligible in the case of weak SO coupling. In the basis of the $|\mathcal{S}, \mathcal{M}\rangle$, its matrix is

The energies from diagonalization of this spin Hamiltonian are plotted as functions of B for $g = 2$, $D = -10 \text{ cm}^{-1}$ and $E = 1 \text{ cm}^{-1}$ on Fig. 0.2. The two Kramers doublets $|\pm 1/2\rangle$ and $|\pm 3/2\rangle$ are split by an energy $2\sqrt{D^2 + 3E^2}$. With $D < 0$, the $|\pm 3/2\rangle$ doublet is the lowest. When $E = 0$, the $|\pm 3/2\rangle$ doublet is purely axial along Z with a magnetization of $3/2g$ while the $|\pm 1/2\rangle$ doublet has a magnetization $1/2g$ along Z and g along X and Y . The E parameter induces some $|\pm 1/2\rangle$ component in the ground state in zero field and couples the two doublets through the Zeeman interaction. The magnetization becomes less axial. When D is large, the second Kramers doublet may not be detected by EPR, even with HF-HF EPR. In this case, the ground Kramers doublet can be modeled with a restricted model space with $\mathcal{S} = 1/2$; the spin Hamiltonian is then pure Zeeman and the g matrix is purely axial $g_Z = 3g$ and $g_X = g_Y = 0$ for $D < 0$ and $g_Z = g$ and $g_X = g_Y = 2g$ for $D > 0$.

Most cases with large values of \mathcal{S} are in the weak SO coupling limit with \mathcal{S} close to the real spin S of the spin-free state. When there is no very low lying state, the orbit contribution arises through second-order coupling with the excited states, the Zeeman interaction is almost isotropic and the anisotropic behavior of the magnetization arises from the \mathbf{D} tensor. In this case, whatever the value of \mathcal{S} is, the effective Hamiltonian technique permits a simple assignment between the 'real' wave functions to the pseudo-spin components.

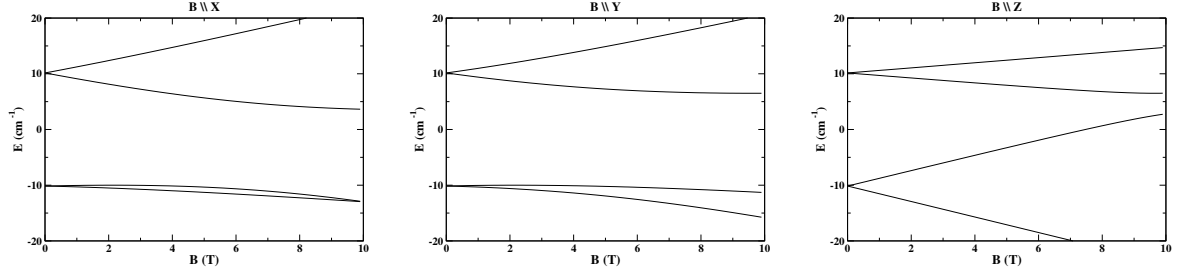


Fig. 0.2 Energy versus magnetic field for $\mathcal{S} = 3/2$ as solution of Eq. 0.54 for $g = 2$, $D = -10 \text{ cm}^{-1}$ and $E = 1 \text{ cm}^{-1}$

0.4 Signs of EPR g -factors and hyperfine couplings

In the Zeeman term of Eq. 0.6, the matrix \mathbf{g} links the pseudo-spin operator $\hat{\mathcal{S}}$, which acts in the spin space, with the magnetic field, which acts in the physical space. Rotations in each of the two spaces are *a-priori* disconnected and, consequently, the matrix \mathbf{g} is not a tensor. It is rather arbitrary since any rotation in the spin space affects the \mathbf{g} matrix but gives the same electronic magnetic moment $\boldsymbol{\mu}$, which is the physical observable coupling to the magnetic field. The g -factors are calculated as the square roots of the principal values of the \mathbf{G} tensor of Equation 0.15, which therefore determines the absolute values of the g -factors but does not provide any information about their sign. Experimentally, the g -factors are deduced from Equation 0.7. Conventional EPR does not provide the sign of the g -factors. In the weak SO limit, they are close to $g_e \simeq 2$ and they are positive.

Let X, Y, Z denote the magnetic axes of a system. Pryce has shown [38] that the sign of the product $g_X g_Y g_Z$ determines the direction of the precession of the magnetic moment around the magnetic field. Experimentally, this sign has been measured for octahedral compounds where the three factors are identical. Negative signs were deduced relative to the sign of the hyperfine coupling [39] for PaCl_6^{2-} as well as [40] for UF_6^- . The sign of $g_X g_Y g_Z$ was found to be negative as well for $[\text{NpO}_2(\text{NO}_3)_3]^-$ [41].

The sign of $g_X g_Y g_Z$ defines the sign of the Berry phase of a pseudo-spin applied in an applied magnetic field. [42] In the case of $\mathcal{S} = 1/2$,

$$\boldsymbol{\mu}^X \boldsymbol{\mu}^Y \boldsymbol{\mu}^Z = i g_X g_Y g_Z \mathbf{I}_2 \quad (0.55)$$

where $\boldsymbol{\mu}^u$ represents the electron magnetic moment $\hat{\mu}_u$ in the basis of the doublet state components, as defined in Section 0.2.1, and \mathbf{I}_2 is the 2×2 identity matrix. This product of matrices is invariant by rotation in the Hilbert spin space and therefore does not need any assignment between the two physical wave functions with the $|\mathcal{S}, \mathcal{M}\rangle$ pseudo-spin eigenfunctions. Eq. 0.55 is easily calculated *ab-initio* and gives access to the sign of the product of the three g -factors.

magnetic field, it appears that it is not possible in general to determine a specific sign of each individual g -factor, even in the case of molecules with high symmetry. Anyhow, the decomposition of Eq. 0.56 permits constructing a set of doublet components which behave as the components of a spin under the symmetry operations of a molecule.

0.5 Selected case studies

In this section, selected examples are presented where EPR parameters have been calculated with relativistic two-step complete active space (CAS) wavefunction methods (treating SO coupling by state interaction) and KS methods, drawing from the authors' research.

In the CAS approaches, first, the wave functions are calculated in the absence of magnetic fields and, in a second step, EPR parameters are deduced from the wave functions. In two-step approaches, the quality of the wave function depends on the quality of the basis set, on the size of the active space, on the introduction of the dynamical correlation, and on the number of states included in the state interaction for the calculation of the SO coupling. In all cases, for a metal in $nd(f)^l$ configuration, minimal active space includes the l electrons in the $5(7) nd(f)$ orbitals. Such a minimal active space is often sufficient for the description of f elements as far as g -factors and ZFS is concerned. In order to get accurate HFC interactions, the active spaces must allow for spin polarization to take place. For transition metals, also for ZFS and g -factors the active spaces should be increased with some correlating orbitals, namely the double shell nd' orbitals and some orbitals of the ligands, namely the orbitals the most involved in the bonding with the metal ion. Except for lanthanides, the inclusion of the dynamical correlation with perturbation theory tends to improve the results. For the state interaction, all the states with the same spin as the ground term of the free ion arising from the $nd(f)^l$ configuration are usually included. The lowest states with $S \pm 1$ should often be included as well.

Once the wave functions are calculated, the model space must be chosen. In the case of Kramers doublets, the spin Hamiltonian comprises only the Zeeman term linear in \mathcal{S} and the g -factors are calculated according to Equation 0.15. It is illustrated below with the example of neptunyl NpO_2^{2+} . For non-Kramers doublets, a ZFS parameter must be added as shown below for the plutonyl PuO_2^{2+} . The case $S = 1$ is illustrated with a complex of Ni(II) where the pseudo-spin is very close to the real spin. Two examples are presented for $S = 3/2$: a complex of Co(II) with a ZFS splitting and a octahedral complex of Np(IV) without ZFS splitting but with a large third-order term in the pseudo-spin Hamiltonian. Finally, the $S = 2$ case is illustrated with a high-spin complex of Fe(VI) where there is a low lying SF state. These calculations are all based on the second-order DKH operator with SO coupling treated by an atomic mean-field integral procedure. This section concludes with selected examples for hyperfine coupling extracted from KS calculations, where the relativistic effects are treated with the help of ZORA.

moment arises from the coupling between the two states $\langle \lambda, a | \hat{\mu} | \lambda, b \rangle \neq 0$ if $a \neq b$. It follows that the spin Hamiltonian takes the following form

$$\hat{\mathcal{H}}_S = g_{\parallel} \beta_e \hat{\mathcal{S}}_z B_z + \Delta \hat{\mathcal{S}}_x \quad (0.57)$$

assigning the $|1/2, \pm 1/2\rangle \equiv \frac{1}{\sqrt{2}}(|\lambda, a\rangle \pm i|\lambda, b\rangle)$. The second term of Equation 0.57 is a ZFS term linear in \mathcal{S} and is not even with time reversal. In this equation, x and y do not refer to spatial directions and the Hamiltonian is not invariant under rotations. g_{\parallel} is a number. Although ill defined for symmetries, this Hamiltonian is used to fit EPR spectra of non Kramers systems and its parameters can be determined from *ab-initio* calculation.

The plutonyl ion PuO_2^{2+} is the analog of NpO_2^{2+} with a $5f^2$ configuration. The ground state is of 4_g symmetry, close to the $M_J = \pm 4$ of the ground free ion 3H_4 term. The calculated g -factor (see Table 0.1) is close to $2g_J J = 6.4$ of the free ion. The equatorial component is zero and the spin and orbital contributions to the axial component have opposite signs. The EPR spectrum of plutonyl has been measured diluted in a diamagnetic crystal, forming $[\text{PuO}_2(\text{NO}_3)_3]^-$ clusters. The equatorial moment remains zero, even with the lowering of the symmetry since there is an even number of electrons in the molecule. In this case, the splitting of the ϕ orbitals has less effect than in neptunyl since the configuration of the ground state remains mostly $\phi^1 \delta^1$.

In the complex $[\text{Ni}(\text{II})(\text{HIM}_2\text{-py})_2(\text{NO}_3)]^+$, the Ni(II) ion ($3d^8$ configuration) is in a pseudo-octahedral environment. Magnetization measurements, HF-HF-EPR studies, and frequency domain magnetic resonance spectroscopy (FDMRS) studies indicated the presence of a very large Ising-type anisotropy with an axial ZFS parameter $D = -10.1 \pm 0.1 \text{ cm}^{-1}$ and a rhombic ZFS parameter of $E = 0.3 \pm 0.1 \text{ cm}^{-1}$ [47]. The spectrum is fitted with an isotropic $g_{iso} = 2.17$. In an octahedral ligand field, the SR ground state is a ${}^3A_{2g}$ with a $t_{2g}^6 e_g^2$ configuration. This state is orbitally non-degenerate and triply degenerate for the spin. With SO coupling, it becomes a T_2 state and remains triply degenerate. A distortion of the octahedral environment removes this degeneracy, creating three non-degenerate states. SO coupling is dominated by coupling to a ${}^3T_{2g}$ state with configuration $t_{2g}^5 e_g^3$. The latter is triply degenerate in octahedral symmetry and also splits in three states when the symmetry is lowered. The model space consists in the three states arising from the ground ${}^3A_{2g}$ state and $\mathcal{S} = 1$.

According to SO-CASPT2 calculations, the ${}^3T_{2g}$ state splits in three components at 7750, 10088 and 10504 cm^{-1} above the energy of the ground state. This large splitting is at the origin of the large ZFS in this molecule. According to Equation 0.51, one gets $D = -11.53 \text{ cm}^{-1}$ and $E = 0.48 \text{ cm}^{-1}$. The directions of the principal axes of the \mathbf{d} tensor are depicted on Fig. 0.3. The norms of the projections of the model wave functions in the target space $\mathcal{P}|\lambda, a\rangle$ are 0.99 and their overlap about 10^{-4} . It follows that the matrix \mathbf{C} is close to being orthogonal, and close to the rotation matrix \mathcal{R} of Equation 0.49. Equations 0.49 and 0.51 provide similar results.

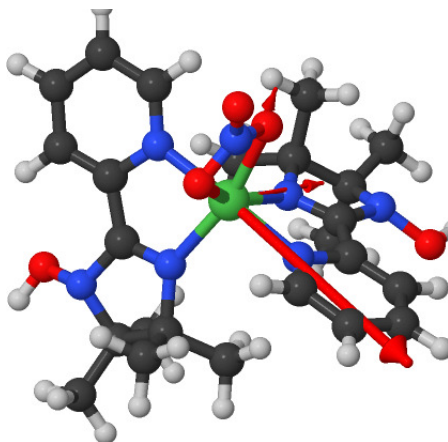


Fig. 0.3 The $[\text{Ni(II)(HIM}_2\text{-py)}_2(\text{NO}_3)]^+$ molecule. The thick arrows shows the direction of the axial magnetization and the thinner arrows the two other principal axes of the \mathbf{d} tensor.

The g -factors determined by the projection procedure, Equation (0.45), and the matching procedure are the same $g_X = 2.21$, $g_Y = 2.22$ and $g_Z = 2.30$. The principal axes of the \mathbf{g} tensor are very close to those of the \mathbf{d} tensor even if the molecule has no symmetry. The g -factors are almost equal and the Zeeman interaction is almost isotropic. This means that the magnetic behavior is dictated by the principal axes of the ZFS tensor \mathbf{d} . The spin contribution to the g -factors is $g_X^S = g_Y^S = 1.998$, $g_Z^S = 1.992$ and the orbital contributions are $g_X^L = 0.225$, $g_Y^L = 0.222$ and $g_Z^L = 0.306$. As expected for a weak SO case, the spin contribution is by far the largest. But it is isotropic and close to 2. The orbit contribution is smaller, but it brings the departure from the free electron and the anisotropy of the Zeeman interaction.

In $\text{HgCo}(\text{NCS})_4$, the Co(II) ion has a $3d^7$ configuration and is in a pseudo-tetrahedral environment. The $[\text{Co}(\text{NCS})_4]^{2-}$ molecule belongs to the S_4 point group. In T_d , the ground state would be a four-fold degenerate 4A_2 , but in the lower symmetry, this state splits in two Kramers doublets. The spin Hamiltonian parameters were deduced from susceptibility data measured with a SQUID in the range 1.7-300 K [48]; D lies between 10 and 11 cm^{-1} , $g_{\parallel} = 2.168$, and $g_{\perp} = 2.251$.

The splitting between the two Kramers doublets calculated with SO-CASPT2 is 16.2 cm^{-1} . Due to the symmetry of the molecule, all spin Hamiltonian tensors have the same principal axis and the spin Hamiltonian takes the following form

$$\begin{aligned}\hat{\mathcal{H}}_S = & \beta_e \left(g_{\perp B_X} \hat{\mathcal{J}}_X + g_{\perp B_Y} \hat{\mathcal{J}}_Y + g_{\parallel B_Z} \hat{\mathcal{J}}_Z \right) \\ & + \beta_e \left(g'_{\perp B_X} \hat{\mathcal{J}}_X^3 + g'_{\perp B_Y} \hat{\mathcal{J}}_Y^3 + g'_{\parallel B_Z} \hat{\mathcal{J}}_Z^3 \right) \\ & + D \left(\hat{\mathcal{J}}_Z^2 - \frac{5}{4} \right) + E \left(\hat{\mathcal{J}}_X^2 - \hat{\mathcal{J}}_Y^2 \right)\end{aligned}\quad (0.58)$$

The matrix \mathbf{g}' is reduced to its diagonal elements. The ZFS tensor \mathbf{d} is calculated by the effective Hamiltonian technique ; the Z axis lies along the C_2 axis, and $D = 8.1 \text{ cm}^{-1}$ and $E = 0$. The validity of the effective Hamiltonian procedure can be assessed by the projection of the $|\lambda, a\rangle$ on the $|\lambda', M\rangle$, more than 0.99 and the overlap between the projected vectors, less than 10^{-4} . The projection technique provides the tensor \mathcal{A} defined in Eq. 0.45 leading to $g_{\parallel} = 2.173$ and $g_{\perp} = 2.255$. The matching technique permits the determination of both linear and cubic terms : one gets $g_{\parallel} = 2.178$, $g'_{\parallel} = -0.002$, $g_{\perp} = 2.263$, $g'_{\perp} = -0.004$. The Zeeman interaction is mostly isotropic and the cubic term is negligible. The two techniques give close but not similar g -factors due to the neglect of the cubic term in the first one. These values are in very good agreement with the experimental ones. The spin (orbital) contributions are for g_{\perp} 1.992 (0.271), for g'_{\perp} -0.0002 (-0.004), for g_{\parallel} 1.989 (0.189) and for g'_{\parallel} -0.0002 (-0.002). The spin contribution is again the largest, isotropic and close to 2. The orbital one is more anisotropic and provides the only contribution to the cubic term. As it was the case for the Ni(II) complex, in the weak SO limit, the anisotropy of the magnetic property is determined by the ZFS interaction.

Another description is to consider the two Kramers doublets independently, each being described by a $\mathcal{S} = 1/2$. One gets for the first Kramers doublet $g_{1\parallel} = 2.18$ and $g_{1\perp} = 4.50$ and for the second one $g_{2\parallel} = 6.52$ and $g_{2\perp} = 0.1$. This description does not give information on the second order Zeeman interaction between the two Kramers doublets. But in the case of a large ZFS, the transition between the two Kramers doublets can not be induced even using HFHF EPR and one only determines the magnetic properties of the ground Kramers doublet. In this scheme, the magnetic anisotropy is described by the Zeeman interaction.

Another example for $\mathcal{S} = 3/2$ is the NpCl_6^{2-} cluster diluted in Cs_2ZrCl_6 . The Np^{4+} ion has a $5f^3$ configuration and is in an octahedral environment. The ground state is a quartet $F_{3/2u}$ (using Mulliken's notation, or Γ_8 in Bethe's notation) [49]. In the principal axis system, the spin Hamiltonian takes the form

$$\hat{\mathcal{H}}_S = \beta_e g \hat{\mathcal{S}} \cdot \mathbf{B} + \beta_e g' \left(B_X \hat{\mathcal{S}}_X^3 + B_Y \hat{\mathcal{S}}_Y^3 + B_Z \hat{\mathcal{S}}_Z^3 \right) \quad (0.59)$$

Due to the cubic symmetry, $\hat{\mathcal{H}}_{(1)}^Z$ is isotropic, $\hat{\mathcal{H}}_{(3)}^Z$ is invariant by 'changing' the axis by permutation $X \longleftrightarrow Y \longleftrightarrow Z$ but is not isotropic. There are two degrees of freedom, the two scalar g and g' which were deduced from EPR measurements as $g = -0.516$ and $g' = 0.882$. These two numbers define the magnetization of the two pairs of Kramers doublets, $\langle \pm 3/2 | \mu^u | \pm 3/2 \rangle = \mp (\frac{3}{2}g + \frac{27}{8}g')$ and $\langle \pm 1/2 | \mu^u | \pm 1/2 \rangle = \mp (\frac{1}{2}g + \frac{1}{8}g')$. The matching technique permits to find the rotation \mathcal{R} in the model space which assign the $|\lambda, a\rangle$ to the $|\mathcal{S}, \mathcal{M}\rangle$. One can find two possible assignments as was already pointed out by Bleaney, corresponding to the permutation $\{\Psi_{3/2} \leftrightarrow \Psi_{-1/2}; \Psi_{-3/2} \leftrightarrow \Psi_{1/2}\}$. One of the solutions transforms under rotations as a spin $S = 3/2$ while the other one does not. The solution given above fitting the EPR spectrum does not. From calculations one gets $g = -0.406$ and $g' = 0.785$. The spin and orbital contributions are 0.027 and -0.460 for g and -0.250 and 1.285 for g' . The accordance with the experimental values is reasonable.

When the complex is distorted, one gets two Kramers doublets with different energies. The two g -tensors are not collinear. Two pseudo-spins models can be used: Either one considers two distinct $\mathcal{S} = 1/2$ each with its own g -tensor, and the two g -tensors are not collinear. The two spin spaces are coupled through 2nd order Zeeman interaction. It is usually the way one describes the low-energy spectra of lanthanide ions. Another way is to describe the model space as an $\mathcal{S} = 3/2$ case. The \mathbf{g} and \mathbf{g}' tensor are not collinear in general, and even if this space is more complete than the previous description, the parameters are less intuitive.

In the $[\text{FeLCl}]$ complex with $\text{L}=\beta$ -diketiminato, the Fe(II) is in $3d^6$ high-spin configuration with a $S = 2$ ground state. EPR measurements in the X band gives a quasidegenerate $M_S = \pm 2$ ground doublet with an axial $g = 10.9$ and a small splitting of $\Delta = 0.35 \text{ cm}^{-1}$. The next component of the spin quintet are estimated to be higher than 150 cm^{-1} [50]. In a pure spin quintet, the $M_S = \pm 2$ components have a g -factor of 6. The experimental value shows that there is a large orbital contribution due a partially quenching of the orbital moment and a low lying state. The CASPT2 calculation shows that without SO coupling the first excited state is another spin quintet lying 516 cm^{-1} above the ground state. With the SO coupling, the spin quintet becomes a ground doublet split by 0.34 cm^{-1} and the other components are 118, 132 and 180 cm^{-1} above. The g -factors of the ground doublet modelled with a $\mathcal{S} = 1/2$ are given in Table 0.2. The magnetization is along the Fe-Cl bond with $g = 10.9$ in perfect accordance with the experimental value. The spin contribution of 7.99 is the expected value for a spin quintet and one notices a large orbital contribution due to the low lying quintet state. The five components issued from the spin quintet may be modelled with a $\mathcal{S} = 2$ pseudo-spin. In the case where all the matrices are collinear, the spin Hamiltonian is the following

$$\begin{aligned}\hat{\mathcal{H}}_S = & \beta_e \left(g_X B_X \hat{\mathcal{J}}_X + g_Y B_Y \hat{\mathcal{J}}_Y + g_Z B_Z \hat{\mathcal{J}}_Z \right) \\ & + \beta_e \left(g'_X B_X \hat{\mathcal{J}}_X^3 + g'_Y B_Y \hat{\mathcal{J}}_Y^3 + g'_Z B_Z \hat{\mathcal{J}}_Z^3 \right) \\ & + D \left(\hat{\mathcal{J}}_Z^2 - 2 \right) + E \left(\hat{\mathcal{J}}_X^2 - \hat{\mathcal{J}}_Y^2 \right) + D' \left(\hat{\mathcal{J}}_Z^4 - \frac{34}{5} \right) + E' \left(\hat{\mathcal{J}}_X^4 - \hat{\mathcal{J}}_Y^4 \right)\end{aligned}\quad (0.60)$$

The matrices \mathbf{g}' and \mathbf{d}' are reduced to their diagonal elements. All the spin parameters have been determined. Z is along the Fe–Cl axis. The g -factors and their spin and orbital contributions are summarized in Table 0.2. For the ZFS tensors, one finds $D = -58.0 \text{ cm}^{-1}$, $E = 2.0 \text{ cm}^{-1}$, $D' = 3.3 \text{ cm}^{-1}$ and $E' = 0.1 \text{ cm}^{-1}$. The tensor of second order is largely dominant. While the rhombic term E models the splitting between the two $M_S = \pm 2$ components, the parameter D' of the term of fourth order is necessary to model the whole spectrum of energy of the five pseudo-spin

Table 0.2 g -factors of FeLCl calculated with two model spaces $\mathcal{S} = 1/2$ and $\mathcal{S} = 2$. g^S and g^L are the spin and orbital contributions.

$\mathcal{S} = 1/2$				$\mathcal{S} = 3/2$					
\mathbf{g}				\mathbf{g}			\mathbf{g}'		
	x	y	z	x	y	z	x	y	z
calc g	0.0	0.0	10.903	2.104	1.983	3.108	-0.0154	-0.013	-0.094
g^S	0.0	0.0	7.994	2.000	1.965	2.000	-0.016	-0.012	0.000
g^L	0.0	0.0	2.909	0.104	0.018	1.108	0.002	-0.001	-0.094
exp	0.0	0.0	10.9						

Table 0.3 Isotropic ^{199}Hg HFC constants, in units of MHz, calculated with different relativistic methods. ^a

	HgF	HgAg
NR PN	9 173	2 068
ZORA PN	21 958	3 404
ZORA FN	19 171	3 094
4-component PN	18 927	3 690
4-component FN	16 895	3 285
Expt.	22 163	2720

^a PN = point nucleus, FN = finite nucleus (spherical Gaussian model), ZORA = zeroth-order regular approximation 2-component method. For citations of the original data see Table 3 of Reference 51.

components. It should be noticed that, due to the large coupling with the excited spin quintet, the projection of the five wave function in the target space is reduced to 0.89 and 0.95. For the Zeeman interaction, as in $\text{Co}(\text{NCS})_4$, the linear term is largely dominant and the cubic one is negligible. The spin contribution is isotropic and close to 2 while the orbital contribution is very important in the Z direction, larger than 1, and negligible in the two other ones.

Electronic EPR parameters can be calculated in many different cases. In transition metal complexes, the pseudo-spin is usually the spin of the ground state. In the case of a large ZFS, the model space can be reduced to the lower components and effective values of g -factors are measured and calculated. When the pseudo-spin is equal to the spin, the spin contribution to the g -factor is isotropic and close to 2. The anisotropy of \mathbf{g} arises from orbital contributions. For large values of the pseudo-spin, the higher order terms, in \mathcal{S}^3 for the Zeeman interaction and in \mathcal{S}^4 the ZFS interaction are much smaller than the \mathcal{S} and \mathcal{S}^2 terms. Except in the case of a low lying excited state, the anisotropy of the magnetic properties arises from the ZFS tensor, the g -factors being almost isotropic.

In the case of complexes with heavy elements, the pseudo-spin is rarely larger than 2. Usually, the spin and orbital components are in opposite directions for less than filled open shells and in the same direction otherwise, as a reminiscence of the free ion. But in the case of the quenching of some orbitals due to the interaction with the ligands, this rule can be skewed for actinides.

gives the SR limit. The fact that the data exhibit significant curvatures show that SO effects beyond first order are important and reduce the HFC magnitude. Indeed, calculations where SO coupling is treated with a linear-response method (to produce the PSO mechanism) over-estimate the Np HFC by 15% or more, depending on the functional used for the calculations. Further details and citations of prior studies where such SO scaling has been used can be found in Reference 30.

For ^{19}F , CASSCF calculations recently indicated [52] that without SO coupling the HFC tensor anisotropy is not correctly predicted. With SO coupling, the calculations predict a_{\parallel} to be twice as large in magnitude as a_{\perp} , with the latter close to experiment. The magnitude of the calculated a_{\parallel} appears to be underestimated. However, the measurements were performed on a solid, with NpF_6 diluted in a UF_6 host crystal, while the calculations were for an isolated molecule. It is presently unknown precisely how solid state packing affects the fluorine HFC tensors.

The CAS calculations of g -tensors and ZFS discussed above were performed with the MOLCAS suite of programs. For the benefit of the reader, details of the computations are given here. **NpO_2^{2+}** , **$[\text{NpO}_2(\text{NO}_3)_3]^-$** , **$[\text{NpO}_2\text{Cl}_4]^{2-}$** , **$\text{PuO}_2^{2+}$** and **$[\text{PuO}_2(\text{NO}_3)_3]^-$** : Results are given with ano.rcc basis sets of TZP quality at the SO-CASPT2 level with CAS(7,10) comprising the 7 $5f$ orbitals and the two bonding σ and π orbitals. The environment is described by ECP and point charges. The state interaction for the calculation of the SO coupling is performed with 6 spin doublets. **$[\text{Ni(II)(HIM}_2\text{-py)}_2(\text{NO}_3)]^+$** : Results are given with ano.rcc basis sets of TZP quality on the Ni and DZP on the ligands at the SO-CASPT2 level with CAS(8,10) comprising the 8 $3d$ electrons within a double shell of d orbitals. The state interaction for the calculation of SO coupling is performed with 10 triplets and 15 singlets. Similar calculations are published in reference [53]. **HgCo(NCS)_4** : Calculations are performed with ano.rcc basis sets of TZP quality for the $[\text{Co(NCS)}_4]^{2-}$ complex. The complex is embedded in point charges describing the rest of the crystal. Hg^{2+} ions are described by ECPs and other ions by point charges within a radius of 10 Å. The cluster is described at the SO-CASPT2 level with 10 spin quartets and 40 spin doublets using a CAS(7,5) (7 electrons in the 5 $3d$ orbitals). **NpCl_6^{2-}** : Calculations are performed with ano.rcc basis sets of TZP quality for the $[\text{NpCl}_6]^{2-}$ complex. The complex is embedded in point charges and model potentials describing the rest of the crystal. Hg^{2+} ions are described by ECPs and other ions by point charges. The cluster is described at the SO-CASPT2 level with 35 quartets and 84 doublets using a CAS(3,7) (3 electrons in the 7 $5f$ orbitals). **$[\text{LFeCl}]$** : Results are given with ano.rcc basis sets of TZP quality on the Fe and DZP on the ligands at the SO-CASPT2 level with CAS(6,5) comprising the 6 $3d$ electrons within the 5 $3d$ orbitals. The state interaction for the calculation of SO coupling is performed with 5 quintets, 15 triplets and 10 singlets.

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