

# Model averaging for mapping topsoil organic carbon in France

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- 1 Title: Model averaging for mapping topsoil organic carbon in France
- 2

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## 23 Abstract:

24 The soil organic carbon (SOC) pool is the largest terrestrial carbon (C) pool and is 25 two to three times larger than the C stored in vegetation and the atmosphere. SOC is 26 a crucial component within the C cycle, and an accurate baseline of SOC is required, 27 especially for biogeochemical and earth system modelling. This baseline will allow 28 better monitoring of SOC dynamics due to land use change and climate change. 29 However, current estimates of SOC stock and its spatial distribution have large 30 uncertainties. In this study, we test whether we can improve the accuracy of the three 31 existing SOC maps of France obtained at national (IGCS), continental (LUCAS), and 32 global (SoilGrids) scales using statistical model averaging approaches. Soil data from 33 the French Soil Monitoring Network (RMQS) were used to calibrate and evaluate five 34 model averaging approaches, i.e., Granger-Ramanathan, Bias-corrected Variance 35 Weighted (BC-VW), Bayesian Modelling Averaging, Cubist and Residual-based 36 Cubist. Cross-validation showed that with a calibration size larger than 100 37 observations, the five model averaging approaches performed better than individual 38 SOC maps. The BC-VW approach performed best and is recommended for model 39 averaging. Our results show that 200 calibration observations were an acceptable 40 calibration strategy for model averaging in France, showing that a fairly small number 41 of spatially stratified observations (sampling density of 1 sample per 2.500 km<sup>2</sup>) 42 provides sufficient calibration data. We also tested the use of model averaging in 43 data-poor situations by reproducing national SOC maps using various sized subsets 44 of the IGCS dataset for model calibration. The results show that model averaging 45 always performs better than the national SOC map. However, the Modelling 46 Efficiency dropped substantially when the national SOC map was excluded in model 47 averaging. This indicates the necessity of including a national SOC map for model

- 48 averaging, even if produced with a small dataset (i.e. 200 samples). This study
- 49 provides a reference for data-poor countries to improve national SOC maps using
- 50 existing continental and global SOC maps.
- 51
- 52 Keywords: Soil organic carbon; Digital soil mapping; Bias-corrected Variance
- 53 Weighted; Sample size requirement; Data-poor countries.

#### 54 **1. Introduction**

55 Soils are crucial for maintaining ecosystem services such as food production, 56 water regulation, erosion control, biodiversity, and climate regulation (Sanchez et al., 57 2009: Koch et al., 2013: Adhikari and Hartemink, 2016: Rumpel et al., 2018). To meet 58 the increasing demand for up-to-date and fine-resolution soil information, Digital Soil 59 Mapping (DSM, McBratney et al., 2003) has been widely adopted and is being rapidly 60 developed across different spatial scales since the past decade (e.g., Grunwald et 61 al., 2011; Poggio and Gimona. 2014; Viscarra Rossel et al., 2014; Hengl et al., 2015; 62 Ballabio et al., 2016; Padarian et al., 2017; Sanderman et al., 2018; Chen et al., 63 2019; ). At the global scale, different initiatives aim to deliver fine-resolution gridded 64 soil information. The main examples are the recent Global Soil Parnership GSOC map (http://54.229.242.119/GSOCmap/), the GlobalSoilMap initiative (Sanchez et al., 65 2009; Arrouays et al., 2014a), and SoilGrids products (Hengl et al., 2017). SoilGrids 66 67 adopts a "top-down" approach and produces soil property maps for the entire globe, 68 which are freely distributed and available online (https://soilgrids.org/). GlobalSoilMap 69 uses a "bottom-up approach" where each country produces soil property maps using 70 its own national soil data and defined specifications (e.g., 3 arc second resolution, six 71 standard depth intervals, guantified prediction uncertainty, Arrouays et al., 2014b). 72 Then, these country-level soil maps are merged into a global map. There are also 73 several initiatives producing soil property maps at the continental scale, such as 74 LUCAS (Tóth et al., 2013) for Europe and AfSIS (Hengl et al., 2015) for Africa. As a 75 result, there are often multiple maps available for a given soil property in a given area 76 produced using various soil databases, environmental covariates, and DSM methods. 77 Users may have multiple maps of the same property with different predictions and 78 different map accuracy which may lead to confusion regarding which map should be

79 used or whether the maps could or should be combined. It is possible to select the 80 most suitable soil property map for a specific region, when the map accuracy can be 81 evaluated using an independent validation dataset. When deciding to combine maps, 82 the hypothesis is that the information provided by the maps is complementary and 83 that a more accurate map may be obtained by merging the input maps using model averaging approaches (Caubet et al., 2019). The model averaging option needs an 84 85 independent validation dataset and independent calibration data to train the model 86 averaging algorithm. Previous studies showed the potential of model averaging in 87 improving the accuracy of soil property maps of pH, soil texture, and available water 88 capacity (Malone et al., 2014; Padarian et al., 2014; Clifford and Guo, 2015; Román 89 Dobarco et al., 2017; Caubet et al., 2019).

The choice between selecting a single map and combining multiple maps is not trivial, and many countries need to make this choice because of the increasing number of different prediction maps of the same soil property. It is particularly relevant to data-poor countries that may have very few or even no data to derive reliable country-based maps, and that could benefit from collecting a limited number of calibration samples to merge the national map with other existing products using model averaging.

97 The objectives of this study are to 1) evaluate the added value of applying 98 model averaging in a data-rich country (e.g. France); 2) determine the most suitable 99 model averaging approach for improving the topsoil (0-20 cm) SOC map of mainland 100 France using three different SOC maps; 3) evaluate how well the model averaging 101 approaches perform for different calibration sizes and optimize the calibration size 102 required in model averaging; and 4) explore the potential of applying model 103 averaging in data-poor situations. 104

# 105 **2. Data**

In this study, we used three SOC maps generated and harmonized from
national, continental, and global DSM products and two national soil datasets in
France.

109

110 2.1. French national soil organic carbon maps

111 Numerous maps have been generated for France following the *GlobalSoilMap* 112 specifications. The most recent product (Mulder et al., 2016a) used all available point 113 data for France, both from the French Soil Mapping and Inventory Program 114 (Inventaire, Gestion et Conservation des Sols, IGCS) and an systematic grid aiming 115 at monitoring French soil properties (RMQS). More details about these two datasets 116 can be found in the study of Mulder et al. (2016a). For this study, we used the same 117 GlobalSoilMap approach as Mulder et al. (2016a), but we set aside the RMQS grid to 118 be used as an independent dataset for calibrating the model averaging algorithms 119 and evaluating map accuracy (see Sections 2.3 and 2.4). A total of 30,381 soil 120 profiles from the IGCS dataset were used to generate SOC maps at the first three 121 GlobalSoilMap depth intervals (0-5, 5-15, 15-30 cm). The IGCS dataset is a 122 compilation of soil profiles from many programs that mostly focused on agricultural 123 soils. As a result, the soil profile density is high in some regions (Fig. 1), whereas it is 124 low in other regions; some land uses are over- or under-represented in the calibration 125 dataset. SOC contents at the *GlobalSoilMap* depth intervals were obtained by 126 applying equal area quadratic splines (Bishop et al., 1999; Malone et al., 2009) to soil 127 profile data, as outlined in Mulder et al. (2016b). Spatially exhaustive covariates, 128 including climate zones and meteorological data, vegetation, topography, geology,

soils, and land management, were resampled to 90 m resolution. Details about these
environmental covariates are given in Mulder et al. (2016a). In this study, the national
SOC map (named IGCS SOC map hereafter) for the topsoil (0-20 cm) was calculated
from SOC maps of 0-5, 5-15, and 15-30 cm by a weighted averaging approach,

133 where the weights are proportional to the layer thickness (Fig. 2a).

134

135 2.2. Continental and global scale soil organic carbon maps

136 In addition to the aforementioned national SOC map, we also obtained SOC maps for France from continental (LUCAS) and global (SoilGrids) soil map products. 137 138 The LUCAS SOC map (Fig. 2b) contains SOC predictions for the topsoil (0-20 139 cm) at 1 km resolution for Europe (Aksoy et al., 2016). A total of 23,835 soil samples 140 were used for model calibration. These soil samples were collected from LUCAS 141 (19,860 samples), BioSoil (3,379 plots from forest soil), and SoilTrEC (387 samples 142 from local soil data from six different critical zone observatories in Europe). From these datasets, about 3,500 sites were located in France. A regression kriging model 143 144 was fitted to generate a SOC map using observed SOC content and 15 145 environmental covariates.

146 The SoilGrids SOC map (https://soilgrids.org, v0.5.3, Fig. 2c) was extracted 147 from the study of Hengl et al. (2017), in which SOC was mapped at seven standard 148 depths (0, 5, 15, 30, 60, 100, and 200 cm) at a resolution of 250 m for the globe. 149 These SOC maps were based on about 150,000 soil profiles along with 158 remote 150 sensing-based soil covariates. Maps were produced by fitting an ensemble prediction 151 from random forest and gradient boosting trees. From the 150,000 soil profiles, 152 nearly 3,000 were located in mainland France, mainly originating from the LUCAS 153 database. For this work, the topsoil SOC map was calculated from SoilGrids SOC

maps at 0, 5, 15, and 30 cm depth using trapezoidal numerical integration (Hengl etal., 2017).

The LUCAS and SoilGrids SOC maps were resampled to 90 m using bilinear
interpolation and reprojected to the Lambert 93 coordinate system to match these
with the national SOC map.

159

160 2.3. Independent soil data for model averaging calibration and SOC map validation 161 To evaluate the accuracy of the input and merged maps, an independent 162 validation dataset and an independent dataset for calibration of the model averaging 163 algorithm were needed. These datasets were derived from the RMQS French 164 systematic grid, which covers different soil, climate, relief, and land cover conditions 165 (Fig. 1). The RMQS dataset is a 16 km × 16 km square grid where sampling sites are 166 at the centre of each grid cell, covering mainland France (Jolivet et al., 2006). For 167 each site, 25 individual core samples were collected by a hand auger and mixed into 168 a composite sample, both for 0-30 cm and 30-50 cm depth intervals. For more 169 detailed information about the soil sampling design and laboratory analyses, refer to 170 Martin et al. (2009). Because there were no SOC measurements for a depth of 0-20 171 cm for the RMQS sites, we calculated these values depending on land use: 1) for 172 most agricultural soils. SOC concentration decreases at a small rate with depth in the 173 topsoil because of ploughing; thus, SOC content at 0-20 cm is close to that of 0-30 174 cm (Arrouays et al., 2001). We therefore used SOC at 0-30 cm to represent the SOC 175 at 0-20 cm for RMQS sites under agricultural soils; 2) for natural soils (grassland and 176 forest), SOC usually decreases with depth in the topsoil. Therefore, we first 177 calculated SOC at 0-20 cm and at 0-30 cm by equal area guadratic splines using 178 5785 grassland and forest soil profiles from the IGCS dataset. We then fitted a linear

- 179 model between SOC at 0-20 cm and SOC at 0-30 cm (SOC<sub>0-20 cm</sub> = 1.04×SOC<sub>0-30 cm</sub>
- +0.26, R<sup>2</sup>=0.986). We used this model to derive SOC at 0-20 cm from SOC at 0-

181 30 cm for all RMQS sites under natural soils.

182

183 **3. Methods** 

184 3.1. Generic framework for model averaging

Fig. 3 shows the generic framework for model averaging, which includes four 185 186 steps. We first explain the procedure used for selecting the calibration and validation 187 subsets from the RMQS dataset. To obtain spatially representative calibration and 188 validation datasets, equal-size clustering (iterative nearest neighbour approach, 189 Monlong, 2018) was applied to the RMQS sites (Step 1), which resulted in spatially 190 compact clusters. This was done for five cluster sample sizes (4, 10, 20, 50, and 191 100). Note that the cluster sample size is only approximately the same for all clusters 192 because the total number of observations (i.e., 1996) is not always a multiple of the 193 cluster sample size. Fig. 4 shows the spatial distribution of the clusters. In Step 2, a 194 k-fold cross-validation framework (k = 4, 10, 20, 50, 100) was used to separate a 195 calibration set by randomly allocating one observation per cluster to each fold. Thus, 196 the sample size of each fold was approximately 500, 200, 100, 40, and 20, for k=4, 197 10, 20, 50 and 100, respectively. In each of the k times, one of the folds was used to 198 calibrate the model averaging approaches (Step 3), whereas the remaining k-1 folds 199 were used for model validation (Step 4, as explained in Section 3.2). By performing 200 this analysis for different values of k, we could also evaluate the performance of the 201 model averaging approaches for different calibration sizes (i.e. 500, 200, 100, 40, 202 and 20). Note that the cross-validation procedure used here has some similarities 203 with spatial cross-validation (Roberts et al., 2017).

204

# 205 3.2. Model averaging approaches

Five model averaging approaches were compared in this study. They are
Granger-Ramanathan (Granger and Ramanathan, 1984), Variance Weighted (Bates
and Granger, 1969; Heuvelink and Bierkens, 1992), Bayesian model averaging
(Hoeting et al., 1999), Piecewise linear decision tree (Quinlan, 1992), and Residualbased piecewise linear decision tree.

211

#### 212 3.2.1. Granger-Ramanathan

The Granger-Ramanathan (GR) approach was proposed by Granger and Ramanathan (1984). It assumes that a combination of different model predictions can be approached using a traditional Ordinary Least Square (OLS) method. In our case, a linear regression model was fitted between the measured SOC contents of the

217 calibration set and the SOC predictions of the three SOC maps. The outcome SOC<sub>GR</sub>

218 from the GR approach can be calculated as

219  $SOC_{GR} = \sum_{i=1}^{p} (\alpha_i \cdot SOC_i) + \beta$  (1)

where  $\alpha_i$  and SOC<sub>*i*</sub> are the regression coefficient and SOC prediction of the *i*-th SOC map (*p*=3 in this study), and  $\beta$  is the intercept. The  $\alpha$  and  $\beta$  coefficients are solved by the OLS method, and the sum of the  $\alpha_i$  is not necessarily equal to 1.

223

## 224 3.2.2. Variance Weighted

We used the Bias-corrected Variance Weighted (BC-VW) approach from Ge et al. (2014), which is based on the error variance-covariance matrix that is estimated by comparing model predictions with observations. Thus, the outcome  $SOC_{BC-VW}$  is calculated as

229 
$$SOC_{BC-VW} = \sum_{i=1}^{p} \alpha_i \cdot (SOC_i - \beta_i)$$
 (2)

230 where  $\alpha_i$  and SOC<sub>i</sub> are the weight and SOC prediction of SOC map *i*, respectively,

and  $\beta_i$  is the bias correction coefficient for SOC map *i*. The latter is calculated as

232 
$$\beta_i = \frac{1}{m} \sum_{k=1}^{m} (SOC_{i,k} - SOC_{obs,k})$$
 (3)

- where *m* is the number of calibration observations, and  $SOC_{i,k}$  and  $SOC_{obs,k}$  are the SOC prediction of SOC map *i* and the SOC observation at the *k*-th calibration site, respectively.
- As described in Ge et al. (2014), the vector  $\alpha = [\alpha_1 \cdots \alpha_p]^T$  is calculated by minimizing the error variance of the model predictions:

238 
$$\alpha^T = (\mathbf{1}^T V^{-1} \mathbf{1})^{-1} \mathbf{1}^T V^{-1}$$
 (4)

where **1** is the *p*-dimensional identity matrix (recall that p=3 in this study), and **V** is the *p*-dimensional variance-covariance matrix of the prediction error. The elements of **V** are determined as

242 
$$\widehat{V}_{ij} = \frac{1}{m} \sum_{k=1}^{m} (SOC_{i,k} - SOC_{obs,k}) (SOC_{j,k} - SOC_{obs,k})$$
 (5)

243 where i,j = 1,...,n represent SOC maps, and *m* is the number of calibration

observations. Note that the correlations between SOC map errors are considered inthe BC-VW approach.

246

# 247 3.2.3. Bayesian Model Averaging

The Bayesian Model Averaging (BMA) approach assigns a conditional probability density function (PDF) to each model prediction (Hoeting et al., 1999). The BMA posterior distribution of the final output (*SOC*<sub>BMA</sub>) can be expressed as (Raftery et al., 2005):

252 
$$p(SOC_{BMA}|SOC_{obs}) = \sum_{i=1}^{p} p(SOC_{BMA}|SOC_{obs}, SOC_i) p(SOC_i|SOC_{obs})$$
(6)

where  $SOC_{obs}$  are the SOC observations, *p* is the number of SOC maps (in this study p=3), and  $SOC_i$  denote the values of SOC extracted from the SOC map *i* at the locations of observations. Therefore, the BMA posterior distribution of  $SOC_{BMA}$  is a weighted average of the posterior distributions of  $SOC_{BMA}$  under each of the SOC maps, weighted by their posterior model probabilities.

258 The posterior model probability of SOC<sub>i</sub> is expressed as (Raftery et al., 2005)

259 
$$p(SOC_i|SOC_{obs}) = \frac{p(SOC_{obs}|SOC_i)p(SOC_i)}{\sum_{l=1}^p p(SOC_{obs}|SOC_l)p(SOC_l)}$$
(7)

where  $p(SOC_{obs}|SOC_i)$  is the integrated likelihood of  $SOC_i$ , and it can be calculated by BIC approximation (more details can be found in Raffery et al., 2005).

262 We used the R package "BMA" (Raftery et al., 2005) to apply BMA in our case 263 study.

264

#### 265 3.2.4. Piecewise linear decision tree

266 The Piecewise linear decision tree approach (Cubist) is based on the M5 267 algorithm (Quinlan, 1992). It partitions the dataset into several subsets within which 268 inputs (independent variables) are similar. In a given subset, the standard deviation 269 of the target values is treated as a measure of error and is used as a node splitting 270 criterion. Every potential split is evaluated by the reduction in standard deviation. 271 After evaluating all possible splits, Cubist chooses the one split that maximizes the 272 reduction in error. Then, pruning and smoothing processes are performed to get the 273 final model. More details are given in Quinlan (1992). 274 In the final Cubist model, partitions are defined by a list of rules, which are 275 arranged in a hierarchy. Each rule has the following form:

276 **if** [condition] **then** [linear regression model]

else [apply next rule].

A rule indicates that whenever a case satisfies the condition of one rule, the corresponding linear regression model is used to predict the output. In this study, we

- used the R package "Cubist" (Kuhn et al., 2012).
- 281 3.2.5. Residual-based piecewise linear decision tree

282 The framework of Residual-based piecewise linear decision tree (Residual-283 based Cubist, revised from Tao et al., 2018) is as follows: 1) calculate the arithmetic 284 mean SOC value (SOC<sub>mean</sub>) extracted from IGCS (SOC<sub>IGCS</sub>), LUCAS (SOC<sub>LUCAS</sub>), 285 and SoilGrids (SOC<sub>SoilGrids</sub>) SOC maps at locations of soil observations; 2) calculate the residuals (RESIGCS, RESLUCAS, and RESSoilGrids) between SOCmean and 286 287 SOC<sub>IGCS</sub>/SOC<sub>LUCAS</sub>/SOC<sub>SoilGrids</sub>, which are used as predictors in the Cubist model; 3) 288 calculate the residuals (RES<sub>obs</sub>) between SOC<sub>mean</sub> and SOC observations (SOC<sub>obs</sub>), 289 which are used as the target variable in the Cubist model; and 4) once the Cubist 290 model is fitted, calculate the final SOC predictions of the Residual-based Cubist by 291 summing up the RES<sub>obs</sub> (derived from Cubist) and SOC<sub>mean</sub>. 292

293 3.3. Evaluation of three SOC maps and five model averaging approaches using294 different calibration sizes

The performance of three individual soil SOC maps was assessed using all RMQS data. Based on a *k*-fold cross-validation framework explained in Section 3.1, we evaluated the five model averaging approaches using different calibration sample sizes (from 20 to 500). Three indicators, the Modelling Efficiency (ME), the Root Mean Square Error (RMSE), and Bias, were used to evaluate prediction accuracy.

300 ME = 
$$1 - \frac{\sum_{i=1}^{n} (\hat{z}_i - z_i)^2}{\sum_{i=1}^{n} (z_i - \bar{z})^2}$$
 (8)

301 RMSE = 
$$\sqrt{\frac{1}{n} \sum_{i=i}^{n} (\hat{z}_i - z_i)^2}$$
 (9)

302 Bias 
$$= \frac{1}{n} \sum_{i=i}^{n} (\widehat{z}_i - z_i)$$
 (10)

303 where *n* is the size of the cross-validation dataset,  $z_i$  and  $\hat{z_i}$  are measured and 304 predicted values for the *i*-th observation in the cross-validation dataset, respectively, 305 and  $\bar{z}$  is the mean of the observations in the cross-validation datset. A negative ME 306 means that the model performs worse than using the average of the observations as 307 a prediction.

308

## 309 3.4. The effect of national SOC maps on model averaging

310 The IGCS map was generated using the entire IGCS dataset (about 30,000 311 soil profiles), which is very large and hence is an example of a case study in a data-312 rich country (1 profile per 18 km<sup>2</sup>). To assess the usefulness of model averaging in 313 data-poor situations, we applied model averaging to a case in which the national 314 SOC map (IGCS) was generated from a much smaller number of soil profiles. To do 315 so, we generated IGCS SOC maps by randomly selecting 10,000, 5,000, 1,000, 800, 316 600, 400, and 200 soil profiles from the whole IGCS dataset. To filter out random 317 sampling effects, we repeated this procedure 100 times for each sample size and 318 reported the average results. These IGCS SOC maps with LUCAS and SoilGrids 319 were finally merged only with the best model averaging approach and using the 320 minimum necessary number of calibration sites as previously estimated. Using the 321 same minimum necessary number of calibration sites, we tested the assumption of 322 SoilGrids and LUCAS providing additional information that is not captured in IGCS 323 SOC map by removing these two SOC maps in model averaging and only using GR

- 324 approach to calibrate the generated IGCS SOC maps (using 200 to 10,000 soil
- profiles). We also tested model averaging using only SoilGrids and LUCAS to test the

assumption that no national SOC map was available.

327

#### 328 **4. Results**

# 329 4.1. Summary of IGCS, RMQS, and LUCAS datasets

Table 1 summarises SOC statistics of the IGCS, RMQS, and LUCAS (located 330 331 in France) datasets. About 80% (24,596) of IGCS soil profiles were located in arable 332 soils, and 20% (5,785) were located in forest and permanent grassland soils. In the 333 IGCS soil database, grassland and forest soils (mean SOC of 24.88 g kg<sup>-1</sup>) had 334 higher SOC values than arable soils (mean SOC of 16.66 g kg<sup>-1</sup>). Nearly half (985) of 335 the RMQS sampling sites were located in permanent grasslands or forest soils, and 336 the remaining half (1011) were under arable soils. In the RMQS dataset, the mean SOC was 18.19 g kg<sup>-1</sup> for arable soils and 35.51 g kg<sup>-1</sup> for permanent grassland and 337 338 forest soils. LUCAS observations had a mean SOC of 26.20 g kg<sup>-1</sup> for permanent 339 grassland and arable soils.

340

4.2. Evaluation of SOC maps from IGCS, LUCAS, and SoilGrids datasets

342 The IGCS SOC map has the lowest RMSE (18.86 g kg<sup>-1</sup>) and highest ME

343 (0.25) among the three SOC maps (Fig. 5). The negative Bias (-6.17 g kg<sup>-1</sup>) indicates

that SOC is underestimated in the IGCS SOC map. When the performance of the

345 IGCS SOC map for arable and forest/grassland soils was separately evaluated,

- arable soils (ME of 0.19 and RMSE of 10.02 g kg<sup>-1</sup>) were found to have higher
- 347 accuracy than forest/grassland soils (ME of 0.09 and RMSE of 24.85 g kg<sup>-1</sup>). SOC
- maps of LUCAS and SoilGrids have a much higher RMSE of 30.62 and 32.75 g kg<sup>-1</sup>,

and a negative ME of -1.18 and -1.27, respectively. Positive Bias of LUCAS (6.73 g
kg<sup>-1</sup>) and SoilGrids (21.81 g kg<sup>-1</sup>) showed that these two maps overestimated SOC.
The overestimation was larger in SoilGrids than in the LUCAS SOC map.

352

353 4.3. Comparison of five model averaging approaches using different calibration sizes 354 The BC-VW approach performed best among the five model averaging 355 approaches across different calibration sizes, with the lowest RMSE (16.77-18.71 g 356 kg<sup>-1</sup>) and highest ME (0.23-0.38) (Fig. 6). The GR and BMA ranked second and third 357 when the calibration size was large (100, 200 or 500), with an ME between 0.33 and 358 0.38. The performance of GR substantially decreased when using a calibration 359 sample size of 40 and 20, whereas BMA was more stable (and ranked third) when 360 using a small calibration sample size. Cubist performed worst in the case of a large 361 calibration sample size (100, 200, or 500) but ranked second when the calibration 362 sample size was small (20 or 40). Residual-based Cubist did not perform well across 363 the different calibration sample sizes. It should be noted that BC-VW, GR, and BMA 364 had a Bias close to 0 under different calibration sample sizes, while Cubist and 365 Residual-based Cubist had a large negative ME.

366 All model averaging approaches showed better performance metrics than 367 using the individual LUCAS and SoilGrids SOC maps for all calibration sample sizes. 368 Improvement on the IGCS SOC map only occurred when the calibration sample size was large (100, 200, or 500), while the model averaging approaches performed 369 370 worse than the IGCS SOC map when the calibration sample size was 20 or 40. 371 In general, the model performance of the five model averaging approaches 372 declined when the calibration size decreased (Fig. 6). Being the best model 373 averaging approach, BC-VW had better performance than the IGCS SOC map when

374 calibration samples were 500, 200, and 100, and it was still slightly better when only 375 40 calibration samples were used. However, 20 calibration samples were not 376 sufficient to improve SOC maps using any of the five model averaging approaches. 377 GR and BMA could improve SOC predictions when calibration sample sizes were 378 500, 200, and 100. However, Cubist and Residual-based Cubist only performed 379 better than the IGCS SOC map when using a calibration sample size of 200 or more. 380 As shown in Fig. 6, only slight differences (ME of 0.37-0.38, and RMSE of 381 16.77-16.90 g kg<sup>-1</sup>) were observed between 500 and 200 calibration sample sizes 382 when using BC-VW, which was the best model averaging approach. Nevertheless, 383 the model performance of BC-VW showed a steady decline when the calibration 384 sample size decreased from 200 to 20.

385

386 4.4. SOC maps using five model averaging approaches

387 Fig. 7 shows SOC maps obtained from the five model averaging approaches 388 using all RMQS data for calibration. The general spatial patterns of these five SOC 389 maps were quite close, which is consistent with their similar model performance (in 390 the case of a 500 calibration sample size) in Fig. 6. In comparison with the IGCS 391 SOC map (Fig. 2a), these five SOC maps have higher SOC in mountainous regions 392 (e.g., the Alps, the Central Massif, the Pyrenees), forests, and grasslands (e.g., the 393 Landes of Gascony, western Brittany). As shown in Fig. 7f to Fig. 7o, SOC maps 394 derived from GR, BC-VW, and BMA had slightly higher SOC contents than Cubist 395 and Residual-based Cubist. This is particularly visible in Fig. 7k to Fig. 7o, which 396 zooms in on a square area in the Landes of Gascony forest.

398 4.5. Influence of national SOC maps on model averaging performance

399 The performance (ME and RMSE) of the IGCS SOC maps derived from 400 different sample sizes showed a slight decline when the number of soil profiles used 401 decreased from 10,000 to 800 (Fig. 8). A stronger decline in performance was 402 observed when the number of soil profiles decreased further from 800 to 200, with ME values dropping from 0.23 to 0.16 and RMSE increasing from 19.11g kg<sup>-1</sup> to 403 404 19.89 g kg<sup>-1</sup>. The performance of the BC-VW approach on the three SOC maps and 405 the GR approach only on IGCS SOC map showed similar declining trends as the 406 IGCS SOC maps. However, the BC-VW maps always performed better than the 407 IGCS maps ( $\Delta ME > 0.1$  and  $\Delta RMSE < -2$  g kg<sup>-1</sup>) and GR maps ( $\Delta ME > 0.04$  and 408  $\Delta$ RMSE < -1 g kg<sup>-1</sup>). When using only LUCAS and SoilGrids for model averaging, BC-VW performed much worse than all other SOC maps produced using IGCS, 409 410 LUCAS, and SoilGrids in model averaging, with a ME of -0.24 and a large RMSE of 411 23.65 g kg<sup>-1</sup>.

412

# 413 **5. Discussion**

414 5.1. Performance evaluation of SOC maps from IGCS, LUCAS, and SoilGrids 415 The IGCS SOC map had the best performance indicators among the three 416 source SOC products. However, it showed a slight overall underestimation and a 417 clear tendency to underestimate large SOC values. This may be because the 418 calibration data for generating the IGCS SOC map are dominated by cultivated soils 419 (80% of IGCS dataset), which typically have low SOC values because of 420 management practices (Table 1). As natural soils occupy 45% of the total area of 421 mainland France (Chen et al., 2018), high SOC values are under-represented in the 422 dataset for producing the IGCS SOC map. It consequently resulted in

423 underestimating the effect of some controlling factors driving high SOC values (e.g., 424 forest or grassland land uses, high elevations). Although the effects of land use and 425 elevation are still clearly visible (Fig. 2a), the spatial patterns of the resulting map are 426 too smooth, as was already described by Mulder et al. (2016a; 2016b). In the French 427 GlobalSoilMap product, Mulder et al. (2016a) produced national SOC maps at the 428 first three depth intervals (0-5, 5-15, and 15-30 cm) using both IGCS and RMQS 429 data. The ME evaluated using 10-fold cross-validation ranged from 0.26 to 0.36 for 430 the first three depth intervals. This shows that including RMQS data into national 431 SOC modelling improves model performance. Nevertheless, SOC was still slightly 432 underestimated because the IGCS dataset is almost 15 times larger than the RMQS 433 dataset and IGCS data generally have low SOC content (Table 1).

434 The predictive performance of the LUCAS map and SoilGrids map was much 435 worse than that of the IGCS map, as illustrated in Fig. 2. They both have a tendency 436 to overestimate SOC, either slightly (LUCAS) or largely (SoilGrids). The LUCAS map 437 also exhibited more contrasted and irregular patterns than the IGCS map. Moreover, 438 the LUCAS map showed some areas with artificially rounded boundaries (mainly in 439 southwest France), suggesting a bias linked to the environmental covariates, 440 predictive model, and/or interpolation method used. The SoilGrids map clearly 441 overestimated SOC for the large majority of situations (Fig. 5). It also clearly missed 442 the effect of some land use types on decreasing SOC (e.g., intensively cultivated plains in northern and southwestern parts of France, vineyards in southern France). 443 444 This suggests that the covariates used for global modelling could not capture these 445 effects; e.g., land use/land cover classes used as covariates for SoilGrids were 446 limited to cultivated land, forests, grasslands, shrublands, wetlands, tundra, artificial 447 surfaces, and bare land cover.

Homogenising data to a common depth of 0-20 cm may have induced some
additional uncertainty (Laborczi et al., 2018). We also acknowledge that resampling
SoilGrids and LUCAS to 90 m resolution may have added a source of discretionality
and potential uncertainty.

452

453 5.2. Potential and limitations of model averaging approaches

454 Our results demonstrate the ability of model averaging approaches to improve 455 national SOC maps (Fig. 5 and Fig. 6). The improvement strongly depends on the 456 calibration sample size used for model averaging. It is encouraging that 200 spatially 457 stratified samples (1 sample per 2,500 km<sup>2</sup>) were enough for producing a sufficiently 458 accurate national SOC map (ME of 0.37 for BC-VW approach) when applying model 459 averaging in France. Note also that the performance of this SOC map is comparable 460 to that of the *GlobalSoilMap* SOC map using IGCS and RMQS datasets (Mulder et 461 al., 2016a).

We note that we did not map the uncertainty of SOC predictions when applying model averaging. Prediction uncertainty should be considered in future studies because it is crucial for assessing model quality and robustness. It is also a strongly recommended product outcome, as indicated in the *GlobalSoilMap* specifications (e.g., Arrouays et al., 2014a; Heuvelink, 2014). We could use the method proposed by Ge et al. (2014) to estimate uncertainty when using BC-VW for merging multiple SOC maps.

In addition to deriving SOC predictions using model averaging, it would be
beneficial to also explicitly quantify the uncertainties associated with these
predictions. This can be done using uncertainty propagation techniques such as the
Taylor series method and Monte Carlo simulation (Heuvelink, 2018; Román Dobarco

et al., 2019) provided that the uncertainties of the input maps and their correlations
are quantified. This may be a useful extension of the work presented here. If it is
done, it would be useful to also evaluate the validity of the uncertainty maps by
computing statistics of the standardised squared prediction error (Lark, 2000) and
accuracy plots (Goovaerts, 2001; Wadoux et al., 2018).

478

479 5.3. Comparison with previous model averaging studies

480 Our results suggest that map performance improves when using model 481 averaging approaches and that the BC-VW method is the best approach for SOC 482 mapping in mainland France. Previous studies also showed that model averaging 483 improves map predictions, but different approaches tend to have similar performance 484 (e.g., Malone et al., 2014; Román Dobarco et al., 2017; Caubet et al., 2019). Caubet 485 et al. (2019) applied two model averaging approaches (GR and BC-VW) to improve 486 soil texture maps (clav and sand) and showed that both model averaging approaches 487 improved the accuracy and that GR outperformed BC-VW. Similar results were found 488 by Román Dobarco et al. (2017) for mapping soil texture, and Malone et al. (2014) on 489 pH mapping. Indeed, the best-performing algorithm for model averaging may vary 490 between study areas and for different soil properties, and thus optimization of model 491 averaging methods is case-specific.

492 Caubet et al. (2019) also mentioned the potential use of non-linear models for 493 improving model averaging. However, in our study, non-linear models like Cubist and 494 Residual-based Cubist did not perform better than a linear model like GR. Perhaps 495 this is because three SOC products are not sufficient for calibrating a regression tree 496 or machine learning approach, and that other additional covariates (e.g., elevation, 497 land use, and climatic variables) may be helpful to improve model performance. Especially, the example of the Landes of Gascony (see Fig. 7k to Fig. 7o) shows that the model does not capture the effect of forest land use well in many areas when using a rule-based model such as Cubist.

501 Caubet et al. (2019) found that around 200 to 300 calibration samples were 502 sufficient for model averaging of soil texture over mainland France. This result is 503 consistent with our finding that 200 calibration samples (1 sample per 2,500 km<sup>2</sup> for a 504 total area of 550,000 km<sup>2</sup> and a country having a high pedodiversity (Minasny et al., 505 2010)) selected from equal-size clustering are enough to improve existing SOC maps 506 using model averaging. In our case, it is promising that adding rather few samples 507 improves the SOC maps considerably. This suggests that adding some soil 508 observations uniformly spread over the geographic space helps to correct the bias of 509 the original maps.

510

511 5.4. Contribution of model averaging approaches to data-poor countries

512 We tested model averaging on a situation that may be considered "rich" 513 concerning the amount of available data (Arrouays et al., 2017). In this study, we 514 used 30,000 samples for national SOC mapping, which is 1 sample per 18 km<sup>2</sup>. 515 Although France has numerous point soil data, these data are rather clustered and 516 irregularly cover the territory. They also over-represent some agro-pedoclimatic 517 conditions (e.g., low elevations and intensively cultivated areas). These conditions (irregularity and non-representativeness of samples) are likely to be similar in most 518 519 data-rich countries that use legacy data for DSM.

520 The fact that the number of samples needed to calibrate the averaging model 521 is rather low is encouraging, i.e. 200 samples for mainland France. This is cost522 effective given the limited effort required to gather a fairly small number of soil523 samples to improve national soil maps.

The results shown in Fig. 8 indicate that model averaging always has a 524 525 substantial added value in terms of model performance compared to using the IGCS 526 SOC map alone. Surprisingly, the added value of model averaging does not depend 527 on the sample size (200 to 10,000 samples) used for producing the national map. 528 This might be due to the fact that our calibration sample size for model averaging (i.e. 529 200 spatially stratified observations) is large enough to capture the main variations of 530 SOC in mainland France. The results shown in Figure 8 also show that removing the 531 LUCAS and SoilGrids SOC maps (GR) decreases the map accuracy in model 532 averaging (BC-VW) which implies that these two SOC maps are complementary to 533 the IGCS SOC map for model averaging. Moreover, the added value of model 534 averaging is larger than that of only increasing the number of profiles used for 535 producing the IGCS SOC map. For example, using 200 samples for model averaging 536 calibration results in an ME increase of 0.12, whereas the ME only increases by 0.07 537 when the number of profiles used for producing the IGCS SOC map increases from 538 200 to 10,000. This indicates that adding a relatively small regular grid of soil 539 samples to merge several maps might be more efficient than expanding the database 540 with a large number of soil samples for which the sample locations are not controlled. 541 In many countries, soil mapping activities are frequently guided by local needs and 542 interests. This explains why national soil datasets are often clustered and why adding 543 more legacy data may sometimes lead to increasing sources of bias (e.g., Poggio et 544 al., 2019). Overall, our study advocates merging predictions in both data-rich and 545 data-poor situations and demonstrates that the added value of merging is relatively 546 higher in data-poor situations. However, notably, the performance of BC-VW drops

substantially when excluding the IGCS SOC map and when it only uses LUCAS and
SoilGrids for model averaging. This indicates the importance of a national SOC map
in model averaging, even if this SOC map is produced with a small dataset (i.e. 200
samples).

551

# 552 6. Conclusion

553 We tested the ability of five model averaging approaches for improving 554 existing SOC maps by merging national, continental, and global SOC products. All 555 five model averaging approaches could improve the national SOC map when more 556 than 100 soil samples were used for calibration of the model averaging approaches. 557 The BC-VW approach performed better than the other four approaches. Model 558 averaging approaches using a rather small calibration dataset (i.e. 200 observations 559 uniformly spread over mainland France) for calibration proved to be efficient. The 560 national SOC map was very important and drove performance when merging all SOC 561 maps, however SoilGrids and LUCAS SOC maps had added value by capturing 562 relevant patterns additional to the national SOC map. By reducing the number of 563 national soil samples in France for producing the national SOC map, we found that 564 merging maps using model averaging is also applicable to data-poor situations and 565 might thus be attractive to data-poor countries, provided sufficient soil data are 566 available for calibration of the model averaging approach.

567

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# 741 Figures

- Fig. 1 Study area (Mainland France) and soil sampling sites from IGCS and RMQS
- 743 datasets.





Fig. 2 SOC maps of mainland France from IGCS (a), LUCAS (b) and SoilGrids (c).

749 Fig. 3 Model averaging workflow.



- Fig. 4 Spatial cluster distribution of RMQS sites, using equal-size clustering. The
- 753 cluster sample sizes are 4 (a), 10 (b), 20 (c), 50 (d) and 100 (e).



754 755







Fig. 6 Model performance of the five model averaging approaches using different



759 calibration sample sizes.

- Fig. 7 SOC maps obtained from the Granger-Ramanathan (a), Bias-corrected
- 763 Variance Weighted (b), Bayesian Model averaging (c), Cubist (d) and Residual-
- based Cubist (e) model averaging approaches, using all RMQS data for calibration.
- Local comparisons in areas S1 (f, g, h, I and j) and S2 (k, I, m, n and o) are also
- shown for all five model averaging approaches.



- Fig. 8 Model performance of the Bias-corrected Variance Weighted (BC-VW) model
  averaging (using 200 calibration samples for three SOC maps) and GrangerRamanathan (GR) model (using the same 200 calibration samples for only calibrating
- IGCS SOC map) when using different calibration sample sizes (200 to 10,000) for
- generating IGCS SOC map. Using only the LUCAS and SoilGrids SOC maps for BC-
- 773 VW model averaging leads to an RMSE of 23.65 g kg<sup>-1</sup> and ME of -0.24 (points not
- shown). The x-axis is on log10 scale.



# 777 Tables

Table 1 Summary statistics of SOC content (g kg<sup>-1</sup>) in topsoil (0-20 cm) for IGCS,

| Dataset | Land use* | Ν      | Min. | Q1    | Median | Mean  | Q3    | Max.   | Sk.  | SD    |
|---------|-----------|--------|------|-------|--------|-------|-------|--------|------|-------|
| IGCS    | F & G     | 5,785  | 0.39 | 12.75 | 19.86  | 24.88 | 30.83 | 373.00 | 3.42 | 20.97 |
|         | А         | 24,596 | 0.09 | 9.70  | 13.68  | 16.66 | 19.75 | 354.05 | 4.92 | 12.88 |
| RMQS    | F & G     | 985    | 3.78 | 18.86 | 28.37  | 35.51 | 44.00 | 266.60 | 2.81 | 26.01 |
|         | А         | 1,011  | 2.58 | 11.10 | 15.40  | 18.19 | 22.30 | 133.00 | 3.01 | 11.16 |
| LUCAS   | A & G     | 2,950  | 1.00 | 13.20 | 19.99  | 26.20 | 31.30 | 472.10 | 6.11 | 23.93 |

780 N, dataset size ; Min., minimum; Q1, first quantile; Q3, third quantile; Max., maximum; Sk., skewness;

781 SD, standard deviation. \* F, forest; G, permanent grasslands; A, arable.