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# Tethered Counterion-Directed Catalysis: Merging the Chiral Ion-pairing and Bifunctional Ligand Strategies in Enantioselective Gold(I) Catalysis

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## Supporting Information Placeholder

**ABSTRACT:** Tethering a metal complex to its phosphate counterion via a phosphine ligand enables a new strategy in Asymmetric Counterion-Directed Catalysis (ACDC). A straightforward, scalable synthetic route gives access to the gold(I) complex of a chiral phosphine displaying a phosphoric acid function. The complex generates a catalytically active species with an unprecedented intramolecular relationship between the cationic Au(I) center and the phosphate counterion. The benefits of tethering the two functions of the catalyst is demonstrated here in a tandem cycloisomerization/nucleophilic addition reaction, by attaining high enantioselectivity levels (up to 97% ee) at a remarkable low 0.2 mol% catalyst loading. Remarkably the method is also compatible with a silver-free protocol.

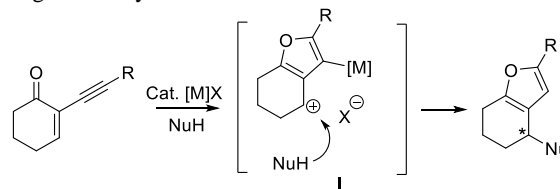
## INTRODUCTION

The challenge of enantioselective gold(I) catalysis clearly relates to the linear geometry of the active complexes as well as, in many instances, to the outer-sphere mechanisms of the enantiodetermining step. Nevertheless, high enantioselectivity could be achieved in recent years by means of either sterically congested ligands, which create deep chiral pockets embedding the distal active site, bifunctional phosphines or by dinuclear complexes possibly shaped through aurophilic interactions.<sup>1</sup> Alternatively, Toste<sup>2</sup> introduced the chiral counterion strategy, where notably BINOL-derived phosphates operate as chiral inducers in reactions involving cationic gold intermediates. Despite some uncertainties over the exact mechanisms and role of the phosphate anions, this strategy has shown prominent potential and has triggered significant advances in both gold<sup>3,4</sup> and other transition metal catalysis.<sup>5,6</sup> In gold(I) catalysis, the first disclosed intramolecular hydroalkoxylation, hydrocarboxylation and hydroamination reactions remain so far the main application domains of the counterion strategy, although the method should apply, in theory, to a much wider range of reactions. Notably all reactions involving tight ion pairs in the enantiodetermining step are potentially suitable, including those going through carbocationic intermediates with remote, neutral gold(I) units. This scenario is suitably typified by the tandem heterocyclization-nucleophilic addition reactions in Scheme 1.1.<sup>7</sup> In this case and others, the stereochemical control from the chiral counterion suffers however from the poorly defined and flexible spatial arrangement of the phosphate-carbocation pair. We suggest that this drawback might be overcome by tethering somehow the phosphate counterion to the cationic Au complex (Scheme 1.2b). A covalent tether connecting the phosphate unit to a gold ligand might afford sufficient geometrical constraints and molecular organization to the key intermediate to allow efficient stereochemical control. This approach, when properly implemented, might push the limits of the ‘ion-pairing strategy’ in enantioselective gold catalysis and, more broadly, in enantioselective transition metal catalysis. Previous transition metal complexes embedding intramolecularly an anion have been reported. However in these

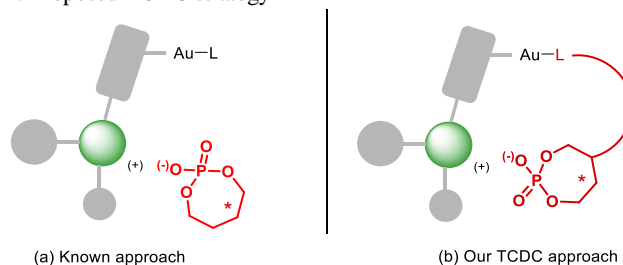
cases, the chiral ligand does not dissociate from the metal all throughout the catalytic process.<sup>8</sup>

### Scheme 1. Proposed Enhanced Strategy for Asymmetric Ion-pair Catalysis: Tethering a Chiral Phosphate Unit to the Metal Complex.

#### 1. Targeted catalytic reactions



#### 2. Proposed TCDC strategy



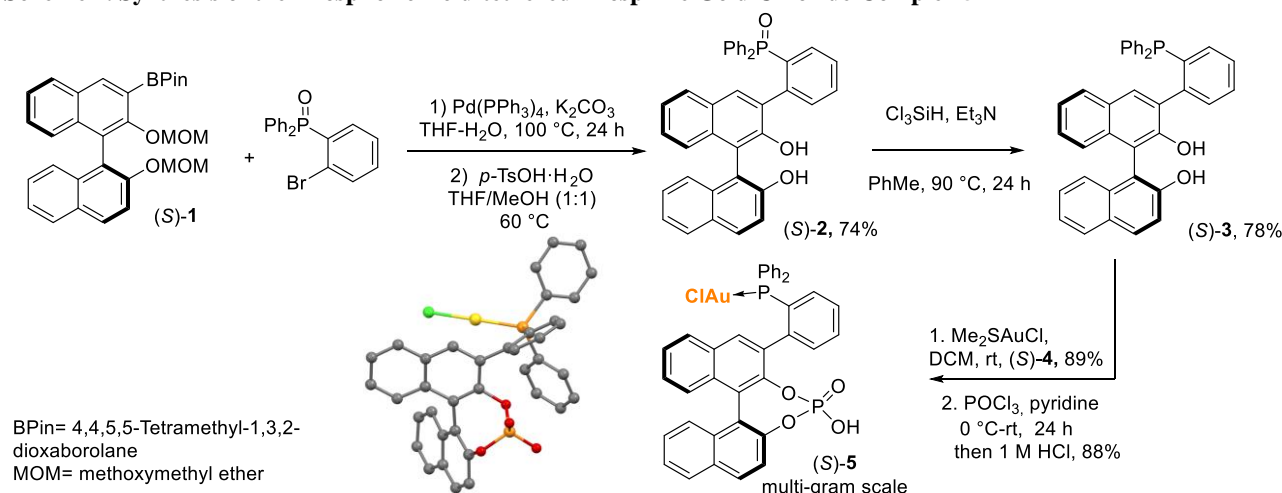
In this paper, we report on the specific design of a new bifunctional ligand<sup>9</sup> combining a mono-phosphine and a remote BINOL-derived phosphate function. As a proof of concept, we show that the corresponding Au(I) complex<sup>10</sup> gives so far unattained levels of enantioselectivity in the cycloisomerization/addition sequence depicted in Scheme 1.1, at very low catalytic loading. Theoretical studies enlighten and support mechanistic hypotheses on the role of the phosphate counterion in these reactions.

## RESULTS AND DISCUSSION

The targeted Au-precatalyst (*S*)-**5** contains an (*S*)-BINOL-derived phosphoric acid moiety, with an *ortho*-(diphenylphosphino)phenyl

substituent at its 3-position.<sup>11,8a</sup> The key steps for the synthesis of the LAuCl complex (S)-5, shown in Scheme 2, were inspired

### Scheme 2. Synthesis of the Phosphoric Acid-tethered Phosphine Gold Chloride Complex 5



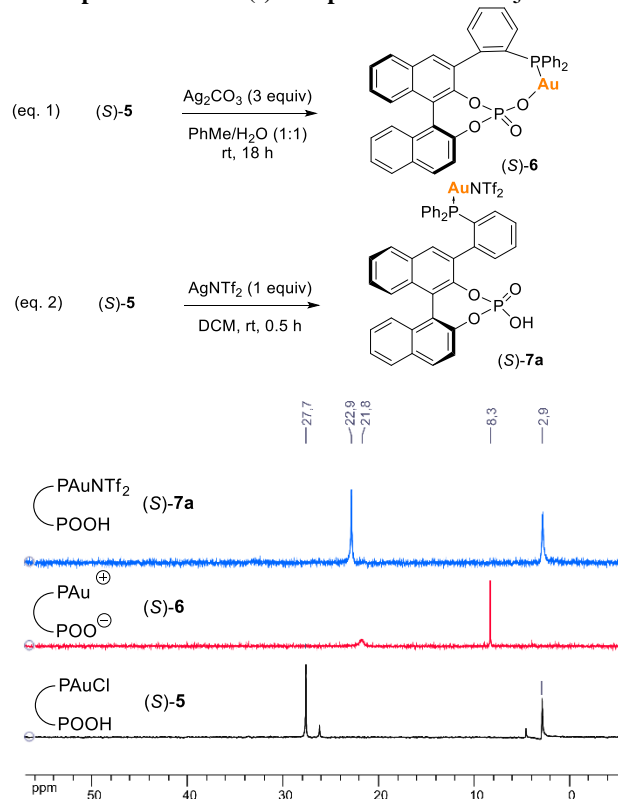
by the respective work of Iwa and Sawamura,<sup>8a</sup> and Sasai<sup>12</sup> who reported on the synthesis of BINOL-based bifunctional phosphines and studied their rhodium coordination or applications in organocatalysis, respectively.

The synthetic approach starts with the synthesis of the BINOL-substituted triarylphosphine oxide (S)-2<sup>12-13</sup> via the palladium coupling of boronate (S)-1<sup>14</sup> with (2-bromophenyl)diphenylphosphine oxide, followed by MOM group removal in acidic conditions. The phosphine oxide (S)-2 is obtained in an overall 74% yield. Reduction with chlorosilane gives then the trivalent phosphine (S)-3 that displays two sets of signals in both <sup>31</sup>P and <sup>1</sup>H NMR spectra, as reported previously (<sup>31</sup>P NMR  $\delta$  = -10.6 and -12.3 ppm). Complexation of (S)-3 to Au(I) was carried out successfully using  $\text{Me}_2\text{SAuCl}$  as the starting material. The final setup of the cyclic phosphoric acid unit was done via a classical procedure, by using  $\text{P}(\text{O})\text{Cl}_3$  as the phosphorylating agent followed by an acidic hydrolysis. It afforded the desired (phosphine)AuCl complex (S)-5 as a crystalline solid in 88% isolated yield.<sup>15</sup> The whole sequence could be scaled-up to several grams without notable difficulties (3.15 g of (S)-5 have been isolated, 3.7 mmol). The molecular structure of (S)-5 has been ascertained by X-ray crystallography (Scheme 2). The <sup>31</sup>P NMR spectrum of acid (S)-5 in  $\text{CDCl}_3$  shows a 4:1 mixture of two species (<sup>31</sup>P NMR in  $\text{CDCl}_3$ :  $\delta$  = 27.6 and 2.9 ppm for the major isomer;  $\delta$  = 26.2 and 4.6 ppm for the minor isomer, see Figure 1a), tentatively assigned as equilibrating rotamers. When the <sup>31</sup>P NMR of compound (S)-5 was recorded in deuterated pyridine, very clean spectra were obtained showing a single set of signals (<sup>31</sup>P NMR in  $d_5$ -Pyridine:  $\delta$  = 29.2 and 6.7 ppm. In  $d_6$ -DMSO at room temperature, compound (S)-5 also displays two sets of broad signals, which coalesce into a single set at 110 °C ( $\delta$  = 26.8 ppm and 1.1 ppm).

Chloride abstraction from the gold complex (S)-5 has been carried out then with the basic silver carbonate, so as to also deprotonate concomitantly the phosphoric acid function (Scheme 3, eq. 1). From this experiment, the putative Au(I) complex (S)-6 was obtained. Its <sup>31</sup>P NMR spectrum shows a broad signal at  $\delta$  = 21.8 ppm and a sharp one at 8.4 ppm. These data are in agreement with the postulated formation of the phosphine-phosphate complex, since both phosphorus signals are significantly shifted with respect to (S)-5. Structurally related gold(I) phosphates from the

literature also display <sup>31</sup>P NMR chemical shifts in the range 8-10 ppm, in  $\text{CD}_2\text{Cl}_2$ .<sup>17</sup> Interestingly, complex 6 do not show <sup>31</sup>P-<sup>31</sup>P coupling in <sup>31</sup>P NMR, indicating that the gold-oxygen interaction may be more ionic than covalent.<sup>18</sup>

### Scheme 3. Conversion of (S)-5 to cationic Au(I) species and <sup>31</sup>P NMR Spectra of the Au(I) Complexes 5-7 in $\text{CDCl}_3$



The molecular formula of (S)-6 has been ascertained by mass spectrometry (ESI  $m/z$  calculated for  $[\text{M}+\text{HCOO}]^-$  = 849.0781; found:  $m/z$  = 849.0876). For comparison purposes, complex (S)-5 was reacted also with  $\text{AgNTf}_2$ , to give a new complex (S)-7a. The <sup>31</sup>P NMR of (S)-7a was diagnostic for a Cl/NTf<sub>2</sub> exchange (high-field shift of the phosphine signal from 27.7 to 22.9 ppm), while

the signal of the phosphoric acid group remained unchanged at 2.9 ppm. Overall, although we could not obtain so far crystals of (*S*)-**6** suitable for X-ray diffraction studies, both mass spectrometry and NMR data tend to support the structural assignment, although they can't discriminate so far between monomeric species and more complex assemblies in solution. Assemblies would have however little incidence on catalytic processes, since coordination of the substrates will generate then the same active species.

We then turned straight to catalytic tests by investigating the tandem cycloisomerization/nucleophilic additions of 2-alkynyl-enones typified in Table 1. As pointed out above, the rationale behind this choice is that these reactions should involve carbocation-phosphate pairs as the key intermediates and therefore benefit from tethering gold to the chiral phosphate. The reaction, initially reported by Larock<sup>7</sup> by using AuCl<sub>3</sub> as the catalyst, leads to synthetically relevant, highly substituted furans. It applies to a number of 2-alkynyl-enones (cyclohexenones, chromones, acyclic enones) and tolerates a large set of nucleophilic partners: alcohols, water, 1,3-dicarbonyls, indoles, allenamides, anilines and carbamates. With imines,<sup>19</sup> allenamides,<sup>20</sup> 3-styrylindoles<sup>21</sup> and nitrones<sup>22</sup> as the nucleophiles, 3,4-fused bicyclic furans are obtained. Beside Au(III) and Au(I) catalysts,<sup>23</sup> also Pt(II),<sup>24</sup> Pd(II),<sup>25</sup> Ag(I),<sup>26</sup> Cu(I), Cu(II),<sup>27</sup> and In(III)<sup>28</sup> salts proved to be good catalysts. Despite the wide synthetic potential of these reactions, enantioselective variants have been implemented successfully for only a few substrate pairs. Beyond Toste's initial report on indole nucleophiles (Cu(TRIP)<sub>2</sub> catalysts)<sup>27b</sup> and our recent report with Ag<sup>+</sup> catalysts,<sup>26</sup> notable examples are the reactions carried out with nitrones,<sup>9a, 29</sup> 3-styrylindoles<sup>21</sup> and allenamides<sup>20</sup> using either bis-gold complexes of diphosphines or mono-gold complexes of sulfonamide-functionalized phosphines and phosphoramidites.

The gold complex (*S*)-**5** was evaluated initially in the reaction of 2-(phenylethynyl)-2-cyclohexenone **8a** with indole **9a**. Comparative experiments were also performed to support the postulated involvement of the phosphate function in the stereochemical control of this reaction. Selected experiments are reported in Table 1. Initial experiments showed that *in situ* activation of (*S*)-**5** with Ag<sub>2</sub>CO<sub>3</sub> in various solvents, enables a good catalytic activity, with up to 88% ee for reactions performed in toluene (Table 1, entries 2-4).<sup>30</sup> Most rewardingly, the precatalyst loading could be gradually decreased from 5 to 2, 0.2, 0.1 and 0.05 mol% leading to constantly good yields and enantioselectivities (91-96% ee, entries 4-9). In the context of enantioselective Au(I) catalysis, where a 3-5 mol% catalyst loading is usually employed, a 0.05 mol% catalyst loading is extremely low. This excellent catalytic activity (TON 1400) might suggest an exceptional stability of the resting state of the catalyst towards decay, possibly due to the tight intramolecular pairing between Au(I) and its phosphate counterion. Alternatively, the high reactivity might be assigned to the strained, non-linear coordination of gold in complex **6** (see calculated geometries hereafter), which would decrease the deformation energy required for the coordination of the substrate.<sup>31</sup> A few experiments have been carried out then, whose results overall confirm the role of the phosphate tether.

- Catalysts (*S*)-**7a,b**, generated from (*S*)-**5** with the non-basic silver salts AgNTf<sub>2</sub> and AgSbF<sub>6</sub>, gave significantly lower enantiomeric excesses (entries 10, 11).

- Catalysts (*S*)-**11a,b** which display the same molecular scaffold as (*S*)-**5** but a methyl phosphate function, instead of the phosphoric acid function, give very low enantiomeric excesses.<sup>32</sup>

- The (Ph<sub>3</sub>P)Au(TRIP) complex (*R*)-**12**, that may be viewed as a non-chelated structural analogue of **6**, gives a much lower enantiomeric excess (24% ee,<sup>26</sup> entry 14).

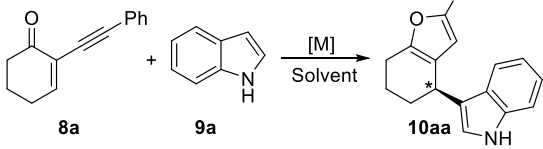
- Another non-chelated analogue has been prepared purposely: the (Ph<sub>3</sub>P)Au(phosphate) (*S*)-**13a** which contain the same tethered phosphine/phosphate of (*S*)-**5** but displays an oxidized phosphorus function. It gave a racemic product (entry 15).

Finally, from results in Table 1, it may be noted that Ag<sub>2</sub>CO<sub>3</sub> does not catalyzes, by itself, this reaction (entry 1) and the silver phosphate (*S*)-**13b** displays low catalytic activity and low enantioselectivity (entry 16). Therefore, silver catalysis should not compete significantly with the gold promoted catalytic process in entries 4-9.

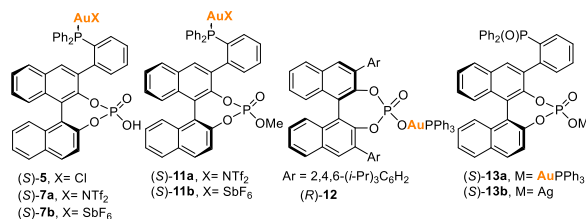
The absolute configuration of the final product **10aa** has been established, for the first time in this series, by X-ray crystallography (see Supporting Information). The (*S*)-configured catalyst **5** gives (*R*)-**10aa** as the major enantiomer.

Overall, the comparative experiments in Table 1 clearly highlight the positive effect of tethering the phosphine and phosphate functions and therefore substantiate our catalyst design and working hypothesis.

**Table 1. Enantioselective Cyclization/Indole Addition Reactions: Optimization with Precatalyst (*S*)-**5**<sup>a</sup>**



entry	cat. (mol%)	additive (mol%)	solvent	yield (%) <sup>b</sup>	ee <sup>c</sup> (%)
1	-	Ag <sub>2</sub> CO <sub>3</sub> (5)	PhMe	0	-
2	( <i>S</i> )- <b>5</b> (5)	Ag <sub>2</sub> CO <sub>3</sub> (2.5)	MeCN	76	12
3	( <i>S</i> )- <b>5</b> (5)	Ag <sub>2</sub> CO <sub>3</sub> (2.5)	THF	29	86
4	( <i>S</i> )- <b>5</b> (5)	Ag <sub>2</sub> CO <sub>3</sub> (2.5)	PhMe	69	88
5	( <i>S</i> )- <b>5</b> (2)	Ag <sub>2</sub> CO <sub>3</sub> (1)	PhMe	88	91
6	( <i>S</i> )- <b>5</b> (0.2)	Ag <sub>2</sub> CO <sub>3</sub> (0.1)	PhMe	75	96
7	( <i>S</i> )- <b>5</b> (0.2)	Ag <sub>2</sub> CO <sub>3</sub> (1)	PhMe	68	94
8	( <i>S</i> )- <b>5</b> (0.1)	Ag <sub>2</sub> CO <sub>3</sub> <sup>d</sup>	PhMe	73	93
9	( <i>S</i> )- <b>5</b> (0.05)	Ag <sub>2</sub> CO <sub>3</sub> <sup>d</sup>	PhMe	70	91
10	( <i>S</i> )- <b>7a</b> (0.2)	-	PhMe	85	29
11	( <i>S</i> )- <b>7b</b> (0.2)	-	PhMe	76	62
12	( <i>S</i> )- <b>11a</b> (0.2)	-	PhMe	85	15
13	( <i>S</i> )- <b>11b</b> (0.2)	-	PhMe	90	12
14	( <i>R</i> )- <b>12</b> (10)	-	PhMe	60	24 <sup>26</sup>
15	( <i>S</i> )- <b>13a</b> (0.2)	-	PhMe	70	0
16	( <i>S</i> )- <b>13b</b> (0.2)	-	PhMe	12	10



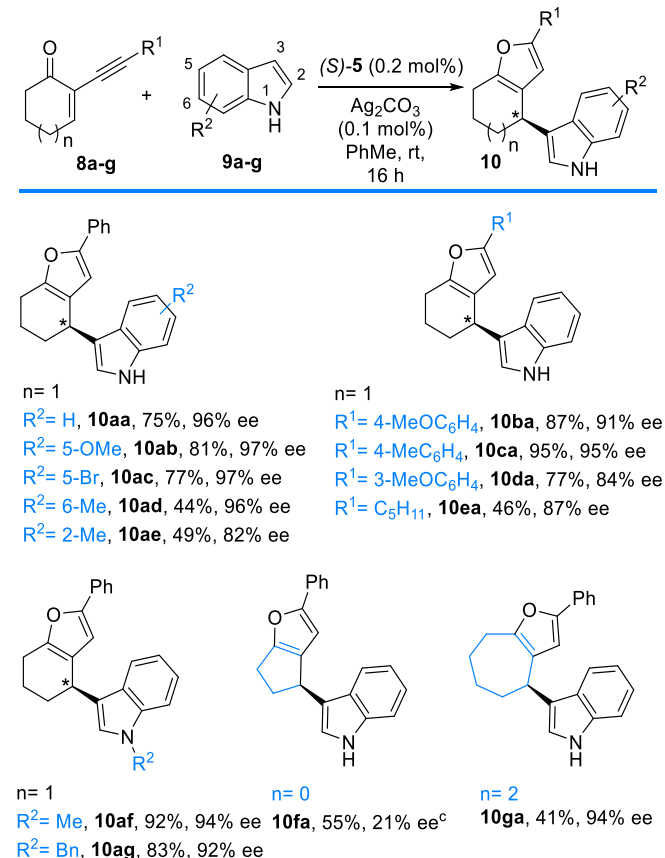
<sup>a</sup> Reactions were run with a 1:1 ratio of **8a** and **9a**, at rt for 18 h. Reactions in entries 1-5 were performed at a 0.1 mmol scale (0.1 M). In entries 6-10 (0.55 mmol scale), the concentration of the catalyst was 0.4 mM. <sup>b</sup> Isolated yields. <sup>c</sup> Determined by chiral HPLC. <sup>d</sup> At low catalytic loading, the silver carbonate was used in excess, with no impact on the enantioselectivity level.

The high catalytic performance of (*S*)-**5** in the reaction above, encouraged us then to investigate the substrate scope by considering the substituted indoles **9b-g** (Scheme 4). Substituents such as methoxy-, bromine, and methyl groups were tolerated on the C5 and C6 positions of indole delivering **10ab-ad** in high enantiomeric excesses. Interestingly, the C2-substituted 2-methylindole gave **10ae** in high ee and higher yield than the Cu(II) based method.<sup>27b</sup>

In a second series of experiments, the 2-alkynyl-cyclohexenones **8b-e**, displaying various R<sup>1</sup> groups, were reacted with indole **9a** (R<sup>2</sup>=H). For R<sup>1</sup> = *p*-anisyl, *p*-tolyl, and *m*-anisyl, the corresponding bicyclic furanes **10ba**, **10ca** and **10da** were obtained in high yields and enantioselectivities. Finally, an alkyl substituted substrate **8e** (R<sup>1</sup> = C<sub>5</sub>H<sub>11</sub>) was reacted with indole and led to the expected furane **10ea** with 87% ee.

Most notably, the reaction between *N*-Me-indole **9f** and **8a** delivered the addition product **10af** in high yield (92%) and enantiomeric excess (94% ee). A similar level of enantioselectivity was then obtained from *N*-benzyl indole **9g** (**10ag**, 92%). These two results are crucial to enlighten the mechanism of the stereochemical control. They indeed indicate that the NH function of indole is not essential and rule out the involvement of H-bonds between phosphate and indole in the stereodetermining step. They also demonstrate that our method enables the previously unsuccessful use of *N*-substituted indoles in these catalytic reaction.<sup>26</sup> Cyclopentenone **8f** and cycloheptanone **8g** were then used in the reaction leading to the corresponding bicyclic furanes **10fa** and **10ga** in 21% ee and 94% ee, respectively. These unprecedented reactions show that the enantioselective cyclization/nucleophilic addition sequence apply successfully, not only to six-membered but also to seven-membered enones.

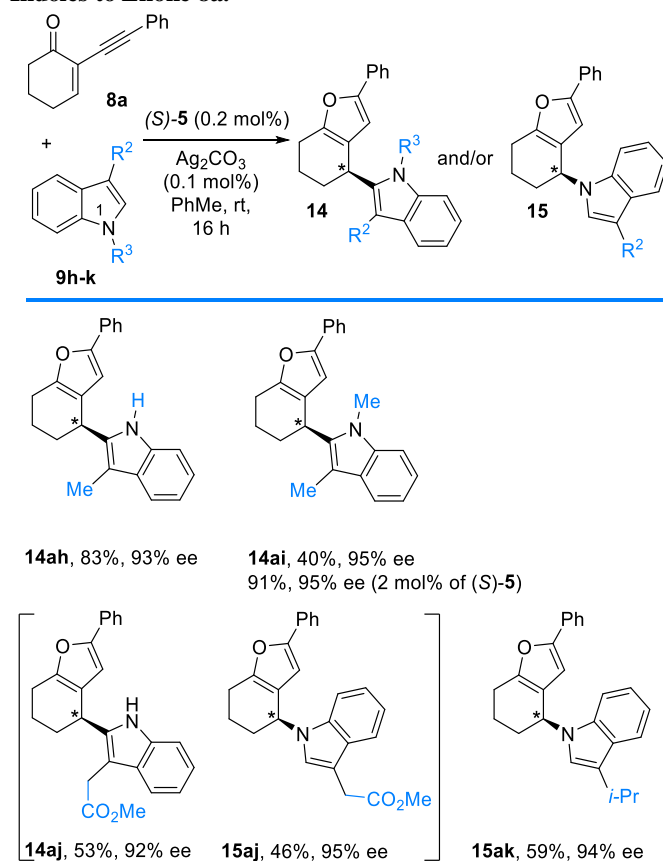
**Scheme 4. Scope of the Enantioselective Addition of Indoles 9 to Enones 8.**



<sup>a</sup> Isolated yields. <sup>b</sup> Enantiomeric excesses were determined by chiral HPLC. <sup>c</sup> Reaction performed with 2 mol% of the precatalyst **5**.

In another series of experiments, 3-substituted indoles were considered (Scheme 5). 3-Methylindole could be reacted: addition through its C2-position afforded compound **14ah** in high yield and enantioselectivity (93% ee). Extension of the process to 1,3-dimethylindole gave **14ai** in high 95% ee but moderate yield, that could however be increased to 91% using 2 mol% of the catalyst. When increasing the steric hindrance at the C3 position we observed, interestingly, that the reaction leads to mixtures of two products resulting from C2 and *N*-alkylations, respectively. While methyl-3-indolylacetate **9j** led to an essentially 50/50 mixture of **14aj** and **15aj** in excellent ee's, the 3-isopropylindole **9k** afforded mainly the *N*-alkylated product **15ak** in 94% ee. This unusual behavior offers the possibility to engage the three potentially nucleophilic positions of indoles in highly enantioselective cycloisomerization/addition of indoles processes.

**Scheme 5. Enantioselective Additions of C3-substituted Indoles to Enone 8a.**

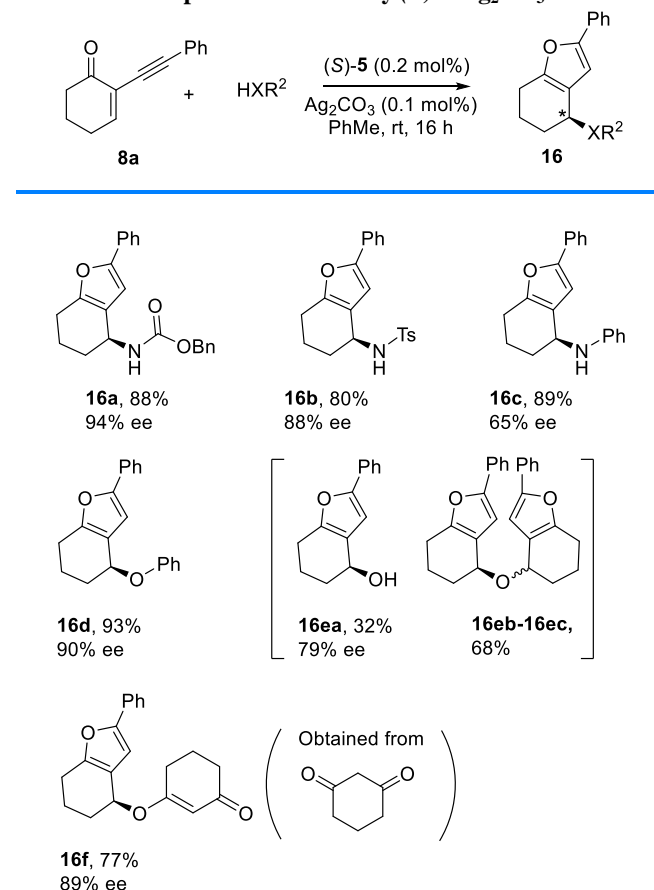


The *N*-additions observed here encouraged us to move then to totally different series of nucleophiles as reaction partners. We were pleased to find that unprecedented enantioselectivity levels can be attained with hetero-nucleophiles such as benzylcarbamate (94% ee), tosylamide (87% ee) and phenol (90% ee) (Scheme 6). Noteworthy, even the simple addition of water takes place with



high stereocontrol, leading to the alcohol **16ea** in 79% ee. The reaction also affords ethers **16eb** and **16ec** (homochiral **16eb** 50% yield, *meso* **16ec** 18% yield). Finally, the use of cyclohexanedione as nucleophile afforded **16f** in 77% yield and 89% ee, resulting from O-addition of the nucleophile to the carbocation, as previously observed by Larock.<sup>7b</sup> Overall these experiments demonstrate the high stereocontrol induced by the newly designed (*S*)-**5**/Ag<sub>2</sub>CO<sub>3</sub> catalytic system in reactions involving a variety of nucleophiles from substituted indoles to simple nitrogen and oxygen nucleophiles.

**Scheme 6. Enantioselective Tandem Cyclisation - Addition of Heteronucleophiles Promoted by (*S*)-**5**/Ag<sub>2</sub>CO<sub>3</sub>**



Finally, during these studies, we disclosed rewardingly that activation of precatalyst (*S*)-**5** by a silver salt may not be systematically required (Table 2). The reaction of ketones **8a** and **8g** with representative nucleophiles could be performed in the presence of (*S*)-**5** only and the corresponding products were obtained in moderate to good yields and very high enantiomeric excesses. The gold chloride (*S*)-**5** gives a slightly lower reaction rate, with respect to the Ag<sub>2</sub>CO<sub>3</sub> activated catalyst. Thus, we believe that the precatalyst reacts in situ with the weakly basic nucleophiles of the reaction mixture, resulting in the spontaneous, reversible formation of the active gold phosphate catalyst by HCl abstraction. The precatalyst **5** may thus be considered as a reservoir of stable Au(I) complex gradually delivering the active catalyst. This rare property of (*S*)-**5** may be extremely important in the general context of Au(I) catalysis, where the effects of silver salts are far from being benign.<sup>4b, 33</sup>

**Table 2. Use of Non-activated (*S*)-**5** in the Enantioselective Cyclization/Addition Reactions:**

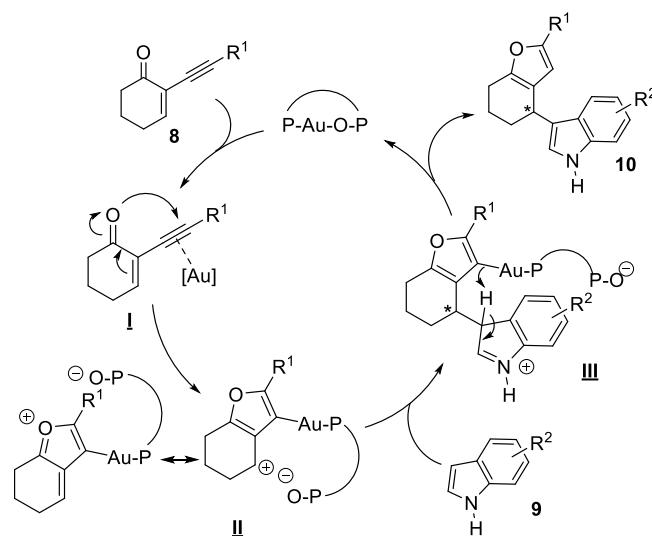
entry	<b>8</b>	nucleophile	product	yield (%) <sup>a</sup>	ee (%) <sup>b</sup>
1	<b>8a</b>	<b>9a</b>	<b>10aa</b>	80	92
2	<b>8a</b>	<b>9b</b>	<b>10ab</b>	73	94
3	<b>8a</b>	<b>9c</b>	<b>10ac</b>	30	75
4	<b>8g</b>	<b>9a</b>	<b>10ga</b>	55	95
5	<b>8a</b>	TsNH <sub>2</sub>	<b>16b</b>	41	88

<sup>a</sup> Isolated yields. <sup>b</sup> Determined by chiral HPLC.

The search for alternative silver-free gold catalysts is a long-lasting quest.<sup>34</sup> Most approaches rely on protic acid activation of LAuMe complexes,<sup>35</sup> Brønsted acid activation of LAu(OH),<sup>36</sup> activation of LAuCl with silylium<sup>37</sup> or Cu(OTf)<sub>2</sub>,<sup>38</sup> and addition of a Brønsted acid/Lewis acid to (PPh<sub>3</sub>)Au(Pht).<sup>33c</sup> As far as we know, a spontaneous dissociation of an IPrAuCl Au(I) complex has been claimed only in the gold-catalyzed hydration of alkynes.<sup>39</sup>

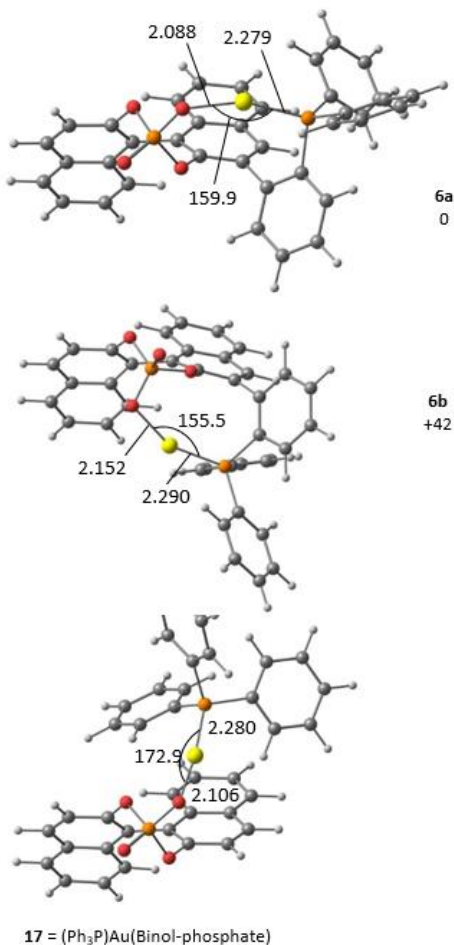
The mechanistic pathway currently postulated for these reactions is illustrated in Scheme 7, with enone **8** and indole **9** as the substrates. Activation of the alkyne unit by Au(I) (Int. **I**) triggers the cycloisomerization of enone **8** into the cationic intermediate **II** featuring a phosphate counterion. The nucleophilic addition of indole to **II** leads then to intermediate **III**. From **III**, the proto-deauration step proceeds through formal H-transfer, that might be mediated actually by the phosphate itself, or by trace amounts of other bases (e.g. HO<sup>+</sup>). According to the postulated mechanism below, high enantioselectivity levels should result from a tight ion pairing in intermediate **II** which will bring the chiral phosphate unit closer to the prochiral carbon in the enantiodetermining step. It can be assumed that the geometrical constraints enforced by tethering gold(I) to phosphate, create a more organized spatial arrangement in **II** and enable the excellent stereocontrol.

**Scheme 7. Postulated Mechanistic Pathway**



To gain better insight into the structure of the potential catalyst (**S**)-**6** and the postulated reaction intermediates **II** and **III**, we have carried out computational studies at the DFT level (see the SI for computational details). The lowest energy structure for monomeric catalyst (**S**)-**6** is displayed in Figure 1 (**6a**). It shows that coordination of the phosphate to gold involves preferentially the *pro-S* oxygen atom, which gives a *S*-configured phosphorus. Coordination of the other PO unit would lead to **6b** which is 42 kJ.mol<sup>-1</sup> higher in energy compared to **6a**, due to its more distorted and strained geometry (P-Au-O bond angle = 155.5° for **6b** vs 159.9° for **6a**; Au-P = 2.290 Å for **6b** vs 2.088 Å for **6a**).

**Figure 1. DFT Calculated Structures of Catalyst (**S**)-**6** and its Non-tethered Analogue **17**. Relative Gibbs Free Energy in kJ.mol<sup>-1</sup>.**

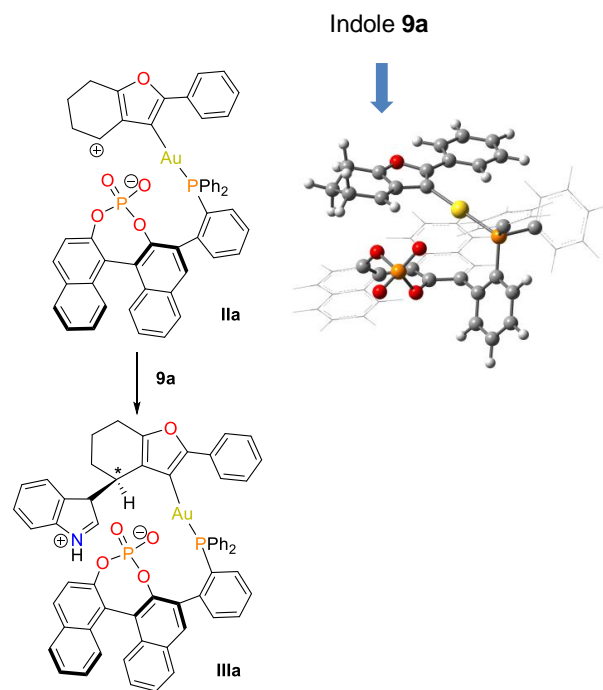


Examination of the metrical parameters of **6a** around the metal center reveals a significantly bent phosphine-gold-phosphate unit (P-Au-O bond angle = 159.9°), due to the geometrical constraints enforced by the molecular backbone of the ligand. For comparison, the geometry of the non-tethered (triphenylphosphine)gold(I) BINOL-phosphate complex **17** has been calculated as well (Figure 1). In **17**, the linearity at gold is almost restored (P-Au-O bond angle = 172.9°), in line with the previously reported X-ray structure (172.7°).<sup>17</sup> The deviation from linearity observed in **6** has only limited effect on the bond lengths: the Au-P (2.279 Å) and Au-O (2.088 Å) bond distances are similar to those observed

experimentally for a (triphenylphosphine)gold-phosphate (2.20 and 2.058 Å respectively)<sup>17</sup> and its computational model **17** (2.280 and 2.106 Å respectively). The bent geometry of the complex might have effects on the energy profile throughout the catalytic cycle.

The key carbocationic intermediate **IIa** features an electrostatic pairing between the carbocation and the tethered phosphate group leading to the preferred conformation shown in Figure 2. The stereochemistry control should result then from two combined effects: the preferred conformation of the zwitterionic intermediate **IIa** and the preferred addition of the nucleophilic indole that is likely to take place from the face opposite to the phosphate group. Importantly, the resulting configuration of stereogenic center in **IIIa** converges with that of the final product **10a** established by X-Ray crystallography (*R*-configuration). Overall, our preliminary calculations (See Supporting Information) highlight (a) the non-linear geometry of the P-Au-O moiety in catalyst **6** that might be responsible for its increased reactivity; (b) an easy cycloisomerization process triggered by gold(I) ( $\Delta G^\ddagger = 36.6$  kJ.mol<sup>-1</sup>); (c) the strong preference of the carbocationic intermediate **II** for ion-pairing with the phosphate anion; (d) an exoergonic nucleophilic addition step taking place from the less hindered face, opposite to the phosphate group.

**Figure 2. Optimized Geometry of Intermediate **IIa** and Postulated Direction of the Nucleophilic Addition (for clarity, a wireframe representation of some aryl groups is used).**



## CONCLUSION

In conclusion, this work affords clear evidence that tethering of a phosphine and a phosphoric acid functions, represents a promising new approach to catalysts design. By combining the chiral counterion strategy and the remote cooperative group strategy, this design has enabled unprecedented enantioselectivity levels to be attained in a highly synthetically useful reaction. Tethering of the phosphate counterion also produces a rare example of gold chlo-

ride complex that do not require activation by silver salts. While in gold catalysis the ACDC strategy was hitherto limited to intramolecular processes the counterion tethering approach allowed us to successfully develop an intermolecular reaction. Following to this proof of concept, the Tethered Counterion-Directed Catalysis (TCDC) should find applications not only in a range of gold(I) catalyzed reactions, but also in a number of processes promoted by other transition metals. The scope of these catalysts is being investigated in our group.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website. Details of the experimental procedures, NMR spectra, HPLC data and computational data (pdf). CIF files (.cif)

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### Notes

The authors declare no competing financial interests.

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- **Asymmetric  $Au(I)$  catalysis**

