

Effect of innovative microwave assisted freezing (MAF) on the quality attributes of apples and potatoes

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| 4 5 | Effect of innovative microwave assisted freezing (MAF) on the quality attributes of apples and potatoes |
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| 7 8 | Piyush Kumar Jha ^{a, b,} , Sylvie Chevallier ^{a, b} , Epameinondas Xanthakis ^c , Vanessa Jury ^{a, b} , Alain Le-Bail ^{a, b} |
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| 14 | Abstract |
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| 17 18 20 21 22 23 24 25 26 27 | This study considered the effect of low energy microwave assisted freezing (MAF) on freezing time and quality attributes (microstructure, texture, drip loss and colour) of apple and potato. MAF of apples and potatoes was performed by applying constant microwave (MW) power (167W/kg) and pulsed MW power (500 and 667W/kg with 10s pulse width and 20s pulse interval resulting in an average power of 167 and 222W/kg) during the freezing process. The temperature profile was monitored during the freezing process, and the microstructure was examined using X-ray micro-tomography and cryo-SEM. Other quality parameters such as texture, drip loss and colour were evaluated with thawed samples. It appeared that the freezing time was not affected by the MAF process. It is the first time that a MAF process is used for freezing plant-based products and showed that the application of microwaves during freezing process caused less freeze damage than the control condition. |
| 28 29 | KEYWORDS (8) |
| | |

30 freezing; microstructure; quality; microwave; SEM; drip loss; potato; apple

32 1. Introduction

Freeze damage in the cellular matrices is a major challenge for the food industries. Several 33 strategies from conventional to innovative methods have been used to minimize such 34 drawback. Conventional methods typically include the use of (i) improved freezing conditions 35 (i.e. use of higher freezing rate) and (ii) pre-freezing treatments (infusion of different 36 37 substances such as CaCl₂ sucrose, pectin, cryoprotectants, minerals, etc. in the tissue system). In addition, there is a third track (iii) which focuses on the optimization of the thawing rate. 38 39 With respect to the freezing of tissue systems, higher freezing rates are preferred over slow 40 freezing rates, because numerous fine ice crystals are being produced in both intracellular and 41 extracellular domains, and lead to less dislocation of water from the inner part of the cells to 42 the extracellular domains. The damage of the tissue system is reduced due to less dehydration and shrinkage of the cell. Besides, fast freezing rates also reduce the denaturation of the 43 44 proteins and other organized biopolymers that may occur due to the cryo-concentration effect. However, rapid freezing processes require high energy demands due to the need for very high 45 46 heat transfer coefficient. Moreover, the use of very fast freezing rates may also develop cracks in the samples and thus degrade the quality of the final product (Shi, Datta, & Mukherjee, 47 48 1999, 1998; Shi, Datta, & Throop, 1998).

49 In recent times, several novel freezing methods have been developed aiming to minimize freeze damage and to improve the quality of a frozen product. Those methods include freezing 50 assisted by high-pressure shift (Koch, Seyderhelm, Wille, Kalichevsky, & Knorr, 1996); 51 52 ultrasound waves (Sun & Li, 2003); electric fields (Xanthakis, Havet, Chevallier, Abadie, & Le-Bail, 2013); magnetic fields (Jha et al., 2017); and vacuum impregnation (Xie & Zhao 53 (2003). Experimental studies have shown that it is possible to obtain smaller ice crystals after 54 freezing assisted by electromagnetic waves. Hanyu, Ichikawa, & Matsumoto (1992) reported 55 that MW irradiation (for 50 ms) followed by freezing produced smaller ice crystals in the 56 57 frozen samples (squid retina, rat liver and heart muscle) with a good repeatability. Moreover, the zone of good freezing extended to a greater depth into the MW irradiated samples than the 58 59 control. The zone of better freezing can be referred as the area where there is no sign of detectable ice-crystal damage. According to their study, MW irradiated sample had a larger 60 ice-free (vitrified) region compared to the untreated samples. Jackson, Ungan, Critser, & Gao 61 (1997) reported that the continuous application of MW (2.45 GHz and 1000 W) during 62 vitrification of ethylene glycol solution (cryo-protectant) caused a significant reduction of ice 63 formation. Anese et al. (2012) carried out another study on the application of electromagnetic 64 65 radiation in the range of radio frequency (RF) waves during freezing of meat. Although no

data were presented on ice crystal size, the histological micrographs seemed to indicate that 66 the ice crystal size decreased under RF assisted freezing conditions. Xanthakis et al. (2014) 67 found smaller ice crystals and a decrease in the degree of supercooling under pulsed MW 68 (2.45 GHz) assisted freezing. Moreover, they reported that freezing rate decreased when the 69 power level of MW was increasing due to the heat generated by MWs. Their study provided 70 quantitative data regarding the ice crystal size and the impact of MW radiation during 71 72 freezing of meat. The improved microstructure highlighted the need for further investigation and the potential of this technology to be applied for the production of frozen food with 73 74 improved quality. Most recently, Hafezparast-Moadab et al. (2018) performed pulsed RF 75 (27.12 MHz) assisted air blast freezing of fish. The results revealed that the RF assisted frozen 76 fish had finer ice crystals (up to 75% smaller by surface), lesser damage to the structure, lower drip loss, and superior texture (close to fresh sample) than sample frozen in the absence 77 78 of RF.

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80 To the best of our knowledge, MAF process has never been used for freezing plant based products before. The objective of this work was to expand the application of MAF to food 81 82 commodities with more sensitive structures than meat such as fruits and vegetables. In order to explain the mechanism of action of MAF more conditions were investigated in depth by 83 means of a more advanced MAF equipment, and more quality attributes were evaluated. This 84 85 paper presents the results regarding freezing of fruits and vegetables under low power MW emission (2.45 GHz), the impact of MAF on the microstructure of the food matrices and their 86 87 freeze damage compared to conventional freezing.

88

89 2. Materials and Methods

90 **2.1. Sample Preparations**

The apples (Royal Gala) were purchased from the local supermarket (E. Leclerc, Nantes, France) and stored at 2 °C until use (maximum 4 weeks). Cylindrical pieces of apple $(0.47 \pm 0.03 \text{ g})$ with a diameter (\emptyset) of 8 mm and height (H) of 10 mm were cut using a sharp cylindrical punch in tangential orientation from the middle parenchyma of the fruit. At least 7 cylinders were cut from each fruit in order to have a large number of samples aiming to reduce the variability caused by the inhomogeneity of fruit's structure. The samples were then placed in zip-lock bags and stored at 4 ± 1 °C in order to avoid any moisture loss and to achieve a uniform temperature prior to the freezing tests. The moisture content and freezable water amount apples used for this study were $87.32 \pm 0.48\%$ (wet basis) and $75.92\pm0.67\%$.

100

A batch of potatoes (Solanum tuberosum L. cv. Innovator) which was used for the 101 experiments of the entire study was provided by McCain Foods. Potato samples with 102 dimensions $(1.3 \times 1.3 \times 1 \text{ cm}^3 - \text{length} \times \text{height} \times \text{width})$ and weight $(1.95 \pm 0.5 \text{ g})$ were cut 103 104 and blanched prior to the freezing tests. The blanching of potato was performed by immersing around 500 g of samples in hot water (85 ± 1 °C) for 1 min 50 s. This blanching time fulfilled 105 the criteria of adequate blanching for the specific cultivar, which requires the sample core 106 temperature to be at 75 °C for at least 1 min (McCain Foods). After blanching, the samples 107 were cooled down to the room temperature by dipping in cold water at ambient temperature 108 $(20 \pm 1 \text{ °C})$ for 9 min. The surface moisture of the samples was removed gently with 109 absorbent paper. Finally, the samples were placed in a zip-lock bag and kept at 4 ± 1 °C to 110 achieve a uniform temperature prior to the freezing tests. The average moisture content and 111 freezable water content of potato were $75.70 \pm 1.40\%$ and $67.5 \pm 2.1\%$. 112

113

114 2.2. Microwave assisted freezer prototypes and different MAF freezing strategies

115 Two experimental systems have been used in terms of refrigeration means. The MW cavity 116 which was installed in a blast freezer (RS 10/RL, ACFRI, France) was used for MAF of 117 apples (see Supplementary Data for a schematic representation of the equipment). The air 118 inlet was the side entry and the outlet was the passage connected at the back wall of the 119 cavity. In this experimental unit the airflow rate was fixed at 1.1 m/s.

120

In order to incorporate and investigate the impact of one extra parameter (higher heat transfer 121 122 coefficient) in the latter experiments of potatoes, the experimental equipment was modified. In this experimental set-up the, MW cavity was installed in a large freezing cabinet (ca 1 m³-123 124 MATAL, France). An air blower was connected to the air passage located at the back wall of the cavity offering the ability to adjust the airflow rate for this configuration in the range of 1 125 to 3 m/s (a schematic representation of the equipment is provided in the Supplementary Data). 126 The difference between air entry on side passage or back wall passage did not impact the 127 freezing conditions since the crossed directions of the lateral and back wall passages resulted 128 in a turbulent air flow within the cavity. 129

For both systems, the same domestic MW cavity was connected to a solid state MW emitter. The MWs of 2.45 GHz required for the freezing tests were generated using a solid-state generator with adjustable power up to 200 W (Sairem, Lyon, France). A pulse generator (fabricated in our lab) connected to the MW producing source helped to achieve selected MW emission with different length of pulses.

- Prior to each freezing test, the freezing chamber was precooled at -30 °C with 1.1 m/s (for 136 apple) and 2.30-2.50 m/s (for potato) cold air flow. MAF of apples and potatoes was 137 performed by placing the samples (30 g) in the freezing chamber and simultaneously applying 138 MWs of various power levels. Four freezing conditions were studied, comprising one 139 condition without MW exposure (control) and three under MW exposure. The three MW 140 conditions included one microwave freezing condition under constant radiation (CMAF: 5 W 141 or 167 W/kg applied continuously) and two microwave freezing conditions under pulsed 142 143 radiation (P1MAF: 15 W or 500 W/kg with 10 s pulse width and 20 s pulse interval (average power = 167 W/kg) and P2MAF: 20 W or 667 W/kg with 10 s pulse width and 20 s pulse 144 145 interval (average power = 222 W/kg)).
- The time-temperature profile during the freezing tests was studied by inserting an optical fibre at the geometric centre of the product. The optical fibre was calibrated against a reference platinum probe (Comptoir Lyon Allemand – Lyon-France). During measurements temperature changes of the sample were recorded every 5 s by a data logger with accuracy of ± 0.1 °C. The freezing tests were terminated once the geometric centre of the product reached -18 °C.
- 152 In this study, characteristic freezing time and overall freezing time were determined for each freezing condition. The characteristic freezing time has been defined as the time needed for a 153 154 temperature change from the initial freezing point to a temperature at which 80% of the water has been converted to ice at a particular point. The time elapsed for a temperature change 155 156 from -1 to -7 °C was considered as the characteristic freezing time, for apples and potatoes, based on literature (Delgado et al. 2009; Li & Sun, 2002). The overall freezing time in our 157 study was defined as the time required for lowering the temperature of the geometrical centre 158 of the product from the initial value of 5 °C to the target temperature of -18 °C. The samples 159 aimed for quality analyses followed exactly the same freezing procedures but without the 160 161 insertion of the optical fibre. All the quality evaluation tests except cryo-SEM analysis were performed directly after the freezing process. The samples meant for cryo-SEM analysis were 162 kept for 1 day at - 80 °C prior to imaging. Each experiment was performed at least in 163 triplicates. 164

165

166 **2.3. Microstructure Analysis**

167 2.3.1. X-rays micro-tomography

This method has been extensively used to observe and visualize the structure of frozen 168 products (in 2-D and 3-D) at ambient temperature after freeze-drying the samples (Mousavi, 169 Miri, Cox, & Fryer, 2007; Ullah, Takhar, & Sablani, 2014; Zhao & Takhar, 2017). For X-ray 170 micro-tomography analysis, the entire frozen samples were first dehydrated using a freeze-171 dryer for 24h (condenser temperature of -85 °C and vacuum pressure of 5.33 Pa). The heat 172 flux to the sample was restricted by wrapping the sample in aluminum foil; this strategy 173 permitted to minimize any shrinkage of the sample during the freeze-drying process. The 174 175 removal of the moisture inside the material by sublimation led to a network of solid fibers and pores. A residual moisture content of $4 \pm 1\%$ (wet basis) measured in the samples after 176 freeze-drying. 177

Afterwards, the freeze-dried samples were scanned by an X-ray micro-computed tomography 178 scanner (SkyScan 1174v2, Bruker microCT, Kontich, Belgium) at a 50 kV voltage and 800 179 μ A current. A CCD (charge-coupled device) camera with 1,304 × 1,024 pixels resolution was 180 used to record the projection images. The acquisition was performed at a voxel size of 9 µm 181 182 (for apple) and 13.5 µm (for potato). The exposure time was 1,600 ms, and the samples were 183 rotated from 0° to 180° with a step angle of 0.2°. The average of three images per angular position was taken. A total of 923 images was acquired at the end of the process for each 184 185 sample. Flat field correction was carried out to remove the artefacts that arose due to the variations in the pixel-to-pixel sensitivity of the detector and/or by distortions in the optical 186 187 path.

Image processing comprised two steps, i.e. reconstruction and image analysis, in order to 188 acquire the distribution characteristics of pores. 2-D images were reconstructed, using 189 NRecon reconstruction software, from the series of images which were obtained during the 190 image acquisition process, (Bruker microCT, Kontich, Belgium). The images comprising the 191 entire sample were considered for the reconstruction process. Then, the alignment of the 192 193 images with each other was corrected automatically. In the next step, the intensity range of the image was adjusted by its histogram, the lower limit of histogram was fixed at 0 and the upper 194 195 limit was fixed as 0.068 (which was almost 20% higher than the maximum in the histogram for each case). The output image format was fixed as TIFF (16-bits), and upon reconstruction 196 process, a series of images having a resolution of $1,304 \times 1,304$ pixels was obtained. 197

The image analysis was performed using CTAN 1.16.4.1 image analysis software (Bruker 198 microCT, Kontich, Belgium). The image on which 3-D analysis would be performed was 199 initially selected and a stack of 750-800 slices images was selected for further processing. For 200 201 all conditions, between 75 and 85 slices from the top and bottom were systematically removed 202 due to their low quality and the region of interest (ROI) was defined. The ROI used for this study covered the sample entirely while excluding the information from the extreme periphery 203 of the sample. The ROI represented roughly more than 80% of the raw image. The ROI was 204 then thresholded (using automatic Otsu thresholding method) to separate the pixels into 2 205 206 categories: the brightest (corresponding to the cellular matrix), and the darkest (corresponding to the pores). The resulting image, had only black and white pixels. Subsequently, 207 208 despeckling was performed in order to remove from the image the floating pixels (generally 209 due to noise) which are not attached to the main solid matrix. The pore distribution was 210 measured directly in 3-D using the distance transform method described by Remy and Thiel (2002). The medial axes of all pores were determined using a "skeletonisation" process. The 211 212 local thickness measurement was done for all voxels lying along this axis to obtain the pore size (or matrix size). The local thickness for a point in pores corresponded to the diameter of 213 214 the largest sphere fulfilling two conditions:

(i) the sphere should encompass the point (the point should not be necessarily the centreof the sphere)

217 (ii) the sphere should be circumscribed by the pore boundary (or matrix boundary).

218

After the calculation of the pore size distribution and the mean pore size, the D-Values (D10, D50 and D90, the intercepts for 10%, 50% and 90% of the cumulative pore size distributions) were also calculated (Chevallier et al., 2014). For each freezing condition, at least three repetitions were done.

223

224 2.3.2. Cryo-SEM analysis

A very thin specimen (e.g. slab) was cut from the middle of the frozen apple samples using a sharp scalpel for cryo-SEM analysis. The specimen was fixed into the groove notched in a copper sample holder using an OCT (optimal cutting temperature) compound (Tissue-Tek, Sakura, Finetek, USA). The cutting operation was performed in cold condition (on a glass petri dish placed in a box filled with dry CO₂) in order to avoid thawing of the sample. The sample remained for 2 min in a box filled with dry CO₂ to harden the OCT compound.

Afterwards, the sample was loaded onto a precooled copper specimen stub and was quickly 231 transferred in the cryo-preparation chamber of cryo-SEM (LS10, ZEISS EVO, Germany) 232 maintained under vacuum at - 80 °C, where it was cryo-fractured using a precooled sharp 233 knife mounted inside the chamber. The fractured sample was etched in the preparation 234 chamber for about 10 min to expose the subsurface information. The sample was finally 235 coated with a thin conducting layer of gold (5 nm) in the cryo-preparation chamber and then 236 was transferred to the cold stage in the cryo-SEM (maintained at - 80 °C) where 237 microstructural observation was performed. The fractured surface of apples was examined 238 with an accelerating voltage of 11 kV. 239

240

241 **2.4.Texture analysis**

The frozen samples were thawed at room temperature $(20 \pm 1 \text{ °C})$ for 2 h in a zip-lock bag ("static air thawing" method) before performing colour, drip loss and texture measurements.

In our study, in order to determine the texture, the frozen apple and potato samples were 244 thawed and compressed in a texture analyser (AMETEK, Lloyd Instruments, France) beyond 245 the failure point which was marked by a significant drop in the force reading. A similar 246 procedure was used by Khan & Vincent (1993, 1996) for determining the failure stress, 247 248 Young's modulus, and failure strain of apple and potato. Based on our preliminary trials and results from Khan & Vincent (1993) and Alvarez, et al. (2002), compression of the sample to 249 250 50% strain was found more than sufficient to cause the failure of the sample. The maximum force exerted during the compression test was recorded as the firmness/hardness (N) of the 251 252 samples. For apple firmness measurement, the other test parameters like the load cell, the operating test speed and the diameter of the circular compression plate were 50 N, 100 253 254 mm/min and 21 mm, respectively. These parameters in the case of potatoes were 1000 N, 50 255 mm/min and 50 mm. The stress vs. strain curves during compression tests were further 256 examined and Young's modulus (E) (the slope of the loading curve at the point of its highest gradient) was acquired for potato (Chassagne-Berces et al., 2009). The Young's modulus 257 measurements were only performed for potatoes. The test parameters for Young's modulus 258 and firmness determination of potato were the same. All measurements were performed at 259 21 ± 2 °C. At least 12 samples were analyzed for each freezing condition. 260

261

262 **2.5. Drip loss**

The drip loss was determined on the basis of weight difference between frozen sample (W1), and thawed sample (W2). The drip loss (%) was calculated using Eq. (1). To prevent condensation of moisture, the sample was maintained in a sealed pouch until completethawing.

Drip Loss (%) =
$$\frac{W_1 - W_2}{W_1} \times 100$$
 (1)

267 **2.6.** Colour analysis

The colour of the potatoes was measured using a portable and handheld chroma meter CR-400 (Konica Minolta, Inc. Japan). Using this equipment, the L^{*}, a^{*}, b^{*} values of the samples were obtained with high accuracy (precision of L^{*}, a^{*}, b^{*} values were $\pm 0.02\%$, $\pm 0.21\%$ and $\pm 0.15\%$, respectively). The maximum value for L^{*} is 100 (represents a perfectly white surface or a perfectly reflecting diffuser) and its minimum value is 0 (represents perfectly black surface). The positive and negative a^{*} correspond to red and green colour. Similarly, positive and negative b^{*} represent yellow and blue colour, respectively.

A single value for the colour difference was achieved by calculating the overall colour difference (ΔE) value; it takes into account the differences between L^{*}, a^{*}, b^{*} of the specimen (e.g. frozen-thawed sample) and reference (fresh sample), and is obtained using Eq. (2) (Anon, 2018). The frozen samples were thawed prior to the colour measurements. At least eight measurements were recorded for each freezing protocol.

$$\Delta E = \sqrt{\Delta L^{*2} + \Delta a^{*2} + \Delta b^{*2}}$$
(2)

280 2.7. Estimation of freezable water and total water content

The latent heat corresponding to the ice-water transition in the matrices was determined using 281 282 a µDSC 7 EVO DSC (Setaram, Caluire, France) device connected to a cooling bath. The initial temperature in the cell was -35 °C and a scan at 1 °C/min was programmed up to a 283 final temperature of 20 °C. 10 min dwell isotherms were programmed at the initial and the 284 285 final temperatures. The reference cell was an empty cell similar to the one containing the apple and potatoes samples. The samples of the matrices were weighed (80-150 mg) in the 286 287 sample's DSC cell. The programming and the elaboration of the spectra were performed with Data Acquisition (version 4.1D — TA Instruments) and Calisto Processing (version 1.065 — 288 Setaram, Caluire, France) software packages. The measurement of the matrices dry matter 289 was carried out with the dehydration of pre-weighed samples for 24 h in an oven tuned at 104 290 291 °C. The results were acquired as an average of 10 repetitions from different apples and potatoes of each batch. The percentage of freezable water (FW (%)) was calculated using the 292 area of the integrated endothermic peak at the range of 0 °C. The endothermic peak arises 293

294 from the phase transition of ice into water. Eq. (3) was used in order for the freezable water of 295 the matrices to be estimated.

$$FW(\%) = \frac{Q \cdot 100}{H_f \cdot m_s}$$
(3)

296

FW (%) is the percentage of freezable water, Q is the enthalpy (J), H_f represents the heat of fusion ice–water equal to 333.50 J·g⁻¹ of ice/water and m_s is the mass of the sample (g).

299

300 **2.8. Statistical Analysis**

301 One-way ANOVA (Analysis of variance) was used to determine any significant differences 302 among the trials associated with the analyzed parameters. Duncan's multiple range test was 303 performed to determine differences of means (p < 0.05).

304

305 **3. Results**

306 3.1. MAF of apples

Further than the conventional freezing (in the absence of MW), freezing of apples under pulsed power MAF conditions such as P1MAF (10 s /500 W/kg – 20 s /0 W/kg) and P2MAF (10 s /667 W/kg – 20 s /0 W/kg), constant power MAF condition (CMAF = 167 W/kg) was performed. In this section, the results (in terms of freezing and quality parameters) obtained for freezing of apples under the aforementioned freezing conditions will be presented and discussed.

313

314 3.1.1. Effect of MAF on freezing process of apple

The pattern of the freezing curves obtained during conventional freezing and MAF of apples 315 were very similar to each other (Figure 1). The temperature measurements showed no 316 significant differences between the freezing point temperature among the different conditions. 317 The freezing point temperatures for control, CMAF, P1MAF and P2MAF conditions were 318 -2.78 ± 0.43 °C, -2.70 ± 0.10 °C, -2.60 ± 0.51 °C and -2.57 ± 0.37 °C, respectively. 319 Slight temperature fluctuation was observed during the phase transition period for pulsed 320 MAF conditions, while no such fluctuations were observed for control and CMAF conditions. 321 322 Differences (in terms of temperature fluctuation) between CMAF and PMAF conditions may be due to the power level and the emitted pulse shape used during the respective processes. 323 Xanthakis et al. (2014) reported similar temperature fluctuations during their PMAF 324

conditions, however, the magnitude of temperature fluctuations in the present case was lower 325 (ca. 0.1-0.2°C amplitude) due to lower power level. Although the observed oscillations were 326 quite small, they were matching with the duty ratio in terms of timing, which confirms that 327 those temperature oscillations were related with instant heating due to the emitted MW power. 328 Another difference between the two studies was the used mode of freezing. Xanthakis et al. 329 (2014) used unidirectional freezing, while in this study multidirectional freezing occurred 330 through turbulent cold air which generated greater heat flux. The temperature fluctuation 331 intensity during MAF was also dependent on the temperature drop during each step of MW 332 emission tranquility between two sequential pulses. In this study, the heat generated during 333 334 MW exposure was rapidly removed due to higher the heat flux than in the case of Xanthakis 335 et al. (2014), thus leading to less intense temperature oscillation peaks. The representative time-temperature profiles demonstrated that the application of MWs during the freezing 336 337 process had no significant impact on the overall freezing time. The characteristic freezing times for all the tested conditions were not significantly different from each other (Table 1). 338 339 The current findings are in accordance with Hafezparast-Moadab et al. (2018) who found that the application of RF during the freezing of fish matrices did not affect the shape of the 340 341 freezing curve and the total freezing time. Xanthakis et al. (2014) observed lower freezing rate and thus longer freezing time during MAF freezing of pork meat under different MW 342 power levels. It is worth mentioning that the freezing time during MAF of food further than 343 the power of MWs also depends on the surface to volume ratio (S/V) of the food product as 344 well as on the mode of freezing (unidirectional or multidirectional). The greater S/V along 345 with the existence of no thermal gradient might have aided in quicker dissipation of heat 346 generated during MW exposure which in turn, may have prevented an increase in the 347 characteristic freezing time. These results indicated that together with the heat flux, S/V of the 348 product may affect the characteristic freezing time during MAF of food. 349

350

351 **3.1.2.** X-ray tomography of frozen apple

The pore size distributions for each freezing condition obtained by using X-ray microtomography are presented in Figure 2a. It can be mentioned that MAF conditions led to improved microstructure (smaller pores and more homogeneous structure) than the control condition. The mean pore sizes obtained are presented in Table 1. The samples frozen in the absence of MW exposure had the largest mean pore size $(151.76 \pm 7.45 \,\mu\text{m})$, while, the P2MAF condition showed the smallest mean pore size in the case of apples $(127.63 \pm 4.63 \,\mu\text{m})$. The order of the mean pore size obtained under the tested conditions was control freezing > CMAF > P1MAF > P2MAF. The statistical analysis of mean pore size depicted that PMAF conditions significantly reduced the pore size in apple compared to the control condition. Meanwhile, mean pore size under CMAF condition were not significantly different compared with the control and PMAF conditions. The MW emission form and power level were found to govern the pore size distribution in the apple sample, since the mean pore size decreased significantly (p < 0.05) with the introduction of MW pulsed conditions and with the increase in MW power compared with the control.

- 366 For a better understanding of pore size distribution, the cumulative pore size distribution (Figure 2b) and the D-Values (D10, D50 and D90 values, the intercepts for 10%, 50% and 367 90% of the cumulative pore size distributions) were also calculated (Table 1). The cumulative 368 369 pore size distribution indicates that the control condition resulted in relatively larger pore throughout the distribution range than the MAF conditions (Figure 2b). For instance, the 370 371 control had the largest D10, D50 and D90 values i.e. 62.53 ± 3.27 , 137.59 ± 5.86 and $227.21 \pm 13.85 \,\mu$ m, while these values were relatively lower in apple frozen under MAF 372 conditions (Table 1). The D-Values (D10, D50 and D90 values) for P2MAF condition were 373 significantly smaller than those of the control freezing conditions. The D50 and D90 value for 374 P1MAF was significantly smaller than the control, while, D10 value for P1MAF and control 375 freezing conditions were not significantly different from each other. Among MAF conditions, 376 no significant difference in D-Values were observed. According to these results, it can be 377 378 mentioned that upon increasing the power level of MW, the amount of large size pores decreased and at the same time amount of the smaller pores increased. 379
- 380

381 **3.1.3** Cryo-SEM analysis of frozen apple

Cryo-SEM was also performed for apple samples frozen under control and different MAF 382 conditions (Figure 3). The bright regions in the micrographs (in Figure 3b-e) are the solidified 383 solutes of the cell sap, the cytoplasmic membrane and the cell walls. The darker regions in the 384 micrographs are ice (majorly inside the cell-"intracellular ice"); some dark regions (indicated 385 by letter A in the Figure 3b-e are the air space between the cells). Upon analyzing the 386 microstructure morphology, it was found that all of the freezing conditions maintained cell 387 structure when compared to the structure of the fresh sample (Figure 3a). However, the 388 freezing conditions had an effect on the inner morphology of the cell since the size of ice 389 crystals was influenced. The control freezing condition had the most detrimental effect on the 390 inner morphology of the cell as relatively bigger ice crystals were formed compared to the 391 392 MW assisted frozen samples. Moreover, control freezing led to a non-homogenous inner

structure compared to the MAF conditions. Furthermore, the control sample had a few larger 393 394 ice crystals, while no such large crystals were observed under the different MAF conditions. Concerning the different MAF conditions, CMAF led to bigger ice crystals compared to 395 PMAF conditions, while, P2MAF resulted in the smallest formed ice crystals among all 396 conditions. Unfortunately, calculations for ice crystals size were not performed as it was 397 398 difficult to locate the boundary of the ice crystals. The ice crystals had a 3D structure and even though the calculations were made, we could get only 2D information. Ultimately, this 399 would have led to an inaccurate estimation of the size of the ice crystals. Although 400 401 quantitative analysis of the ice crystals size could not be performed, the micrographs obtained 402 (using cryo-SEM) showed indicative differences between the different processing conditions.

403 These results are in accordance with Xanthakis et al. (2014) and Sadot et al. (2018) who reported that the application of MWs (2.45 GHz) during freezing yielded a better 404 405 microstructure (in terms of reduced ice crystals size) in both real and model food systems 406 (pork tenderloin and methylcellulose gel) than the control condition. Furthermore, Xanthakis 407 et al. (2014) found that the mean ice crystal size in the pork meat decreased with the increasing power level of applied MW. A similar trend was found in our study, since the 408 409 increase in MW power level decreased the mean size of ice crystals in apple. These results 410 showed similarities with those obtained from studies on freezing of meat and fish matrices under the influence of RF waves, (Anese et al., 2012; Hafezparast-Moadab et al., 2018) which 411 proposed that RF exposure during freezing reduced the size of ice crystals in the product. 412

413 Two concepts can be proposed to explain the observed ice crystal size reduction in MAF frozen systems. The "NITOM" concept (Nucleation Induced by Temperature Oscillation 414 caused by MWs) which is based on the fact that under specific duty ratio, the temperature of 415 the sample undergoing freezing will oscillate (Xanthakis et al., 2014). The fluctuating 416 temperature is expected to favour secondary nucleation which in turn suppresses the crystal 417 growth. The second concept is called "NIMIW" (Nucleation Induced by constant or pulsed 418 MIcroWave power) and it is based on constant or pulsed (short time) emission. NIMIW can 419 420 be explained by the impact of MW radiation on the hydrogen bonds between water molecules, which may affect the water cluster structures during freezing. It is expected that MWs would 421 exert a torque and displace the water molecules from their equilibrium relationships in the ice 422 cluster resulting in fragmentation of existing ice crystals when ice crystals are in the form of 423 nuclei. The fragmented ice crystals nuclei may act as new nucleation sites and promote the 424 secondary nucleation, thus, causing ice crystal size reduction (Hanyu et al., 1992; Jackson et 425 al., 1997; Anese et al., 2012; Xanthakis et al., 2014; Dalvi-Isfahan et al., 2016; Cheng et al., 426

2017). Another possibility could be that the electrical disturbances caused by MW application 427 would alter the mass diffusion in the quasi-liquid layer surrounding the ice crystals under 428 formation (Fletcher, 1970). The delayed growth of ice crystals would, in turn, yield a higher 429 nucleation rate resulting in a refinement of the size of ice crystals in the product (Hanyu et al., 430 1992; Jackson et al., 1997; Anese et al., 2012; Dalvi-Isfahan et al., 2016, Hafezparast-Moadab 431 et al. 2018). The two described hypotheses, NITOM and NIMIW may be considered to 432 explain the mechanism of action of the observed reduction of ice crystals size in MAF frozen 433 434 apples.

435

436 **3.1.4 Texture of frozen apple**

The firmness values of apples under different freezing conditions are presented in Figure 3f. 437 The firmness of fresh apple was 13.23 ± 1.56 N, and it was significantly reduced (p < 0.05) 438 439 after freezing and thawing. The firmness value was slightly better retained under P2MAF $(5.59 \pm 0.91 \text{ N})$ and P1MAF $(5.11 \pm 0.89 \text{ N})$ conditions compared with those of the control 440 441 $(4.57 \pm 0.92 \text{ N})$ and CMAF $(4.64 \pm 0.87 \text{ N})$ conditions (Figure 3f). The firmness value was slightly higher when the applied MWs were in pulsed form and had a higher power level. The 442 firmness/hardness of frozen-thawed product is linked to the size of ice crystals formed in the 443 product. It is well known that the formation of small size ice crystals causes less damage to 444 the microstructure and hence less texture degradation compared to large ice crystals formed in 445 a food matrix (Chassagne-Berces et al., 2009; Chassagne-berces, Fonseca, Citeau, & Marin, 446 2010; Jha et al., 2018; Mazur, 1984). The results shown in Figure 2 and Figure 3b-e indicate 447 that MAF process reduced the average size of ice crystals in the product and this, in turn, 448 449 might have caused less damage (both mechanical and biochemical damage), resulting in improved texture preservation than the control sample. Hafezparast-Moadab et al. (2018) and 450 Anese et al., (2012) reported that RF assisted freezing process causes less texture damage 451 452 compared to conventional freezing.

453

454 **3.1.5 Drip loss of frozen apple**

Figure 3g shows the effect of MAF on drip loss. Compared to the control sample, the drip loss value decreased approximately 3, 23 and 42% under CMAF, P1MAF and P2MAF conditions, respectively. However, only P2MAF condition led to a significant reduction (p < 0.05) in drip loss. These results are coherent with microstructure and texture loss results mentioned above. The drip loss (especially from frozen fruits and vegetables) depends on the size and location of ice crystals in the food as well as on the thawing rate (Van Buggenhout et al., 2006;

Zaritzky, 2000). In our study, since the thawing rate was kept constant for all the trials, the 461 drip loss can be attributed to the ice crystal size and location. Higher drip loss in the case of 462 control sample can be related to the increased mean ice crystal size in the product. It has been 463 confirmed in several studies that the genesis of larger ice crystals lead to higher drip loss due 464 to (i) higher destruction of cellular structure resulted by mechanical, chemical and shrinkage 465 effects (Bevilacqua, Zaritzky, & Calvelo, 1979; Delgado & Sun, 2001; Sadot, Curet, Rouaud, 466 Le-bail, & Havet, 2017) and (ii) lower re-absorption of water during thawing; bigger ice 467 crystals have a smaller specific surface area leading to reduced water re-absorption during 468 469 thawing (Bevilacqua et al., 1979; Sadot et al., 2017). Freezing using electromagnetic waves in the RF frequency range (27.12 MHz) has also resulted in lower drip loss for meat and fish 470 471 matrices (Anese et al., 2012; Hafezparast-Moadab et al., 2018).

472

473 **3.2. MAF of potatoes**

Based on the results acquired from apple freezing under control and MAF conditions and also from previous studies (Xanthakis et al., 2014; Anese et al., 2012; Hafezparast-Moadab et al. 2018), it can be inferred that electromagnetic radiation in pulsed mode may have a greater potential for disturbing the crystallization of water contained in food products. In all these cases the ice crystal size was reduced resulting in frozen products with improved quality characteristics. Considering this fact, freezing trials of potatoes were performed only under PMAF conditions and were compared with the control conditions.

481

482 **3.2.1.** Effect of MAF on freezing process of potato

The time-temperature histories during control and MAF processes exhibited similar shape 483 484 irrespective of the used freezing conditions (Figure 4a). No supercooling was observed for any of the freezing conditions. The initial freezing point for control, P1MAF and P2MAF 485 conditions were recorded as -0.75 ± 0.23 °C, -0.70 ± 0.29 °C and -0.90 ± 0.14 °C, 486 respectively. These values indicated that the MAF processes did not have any effect on the 487 488 initial freezing point of the potatoes. Temperature fluctuation was observed during the phase transition period for pulsed MAF conditions, while no such fluctuations were observed for 489 control condition. The magnitude of temperature fluctuation was slightly larger than for apple. 490 The characteristic freezing time and overall freezing time increased slightly while the overall 491 freezing rate showed a decreasing trend upon the application of MWs as well as with the 492 increasing power level of MWs (Table 1). These differences were found to be insignificant. 493 No significant differences in the freezing curve characteristics between control and MAF 494

conditions can be related to blanching pretreatment and the freezing conditions which were 495 496 used for these tests. The blanching process might have caused partial breakdown of the 497 cellular structure, leading to an enhanced heat transfer during the freezing process. It has been reported that intact cellular structure impedes heat transfer, while any sort of alteration (like 498 poration or breakdown of cellular structure) can allow a better heat transfer (Li, Zhu, & Sun, 499 2018). Similarly, the higher airflow rate used for these experiments (i.e. 2.3-2.5 m/s air 500 velocity used for these tests instead of 1.1 m/s for the previous tests with apple) might have 501 improved the convective heat transfer coefficient around the sample and increase heat 502 503 transfer. The increase in heat transfer rate due to blanching treatment and the higher airflow 504 rate might have compensated for the heat that could have generated due to MW application, 505 resulting in no significant increase in the total freezing time.

506

507 3.2.2. X-ray micro-tomography of frozen potato

The size distributions of the formed ice crystals during control and MAF conditions were 508 509 quantified using X-ray micro-tomography. The pores created within the matrix during the freeze-drying of frozen potatoes have been referred as the footprint of the ice crystals by 510 511 Ullah et al. (2014) Zhao & Takhar (2017). Figure 4b, c illustrates the pore size distribution under control and MAF conditions. It is evident from those results that P2MAF condition led 512 to smaller pores than the samples frozen under P1MAF and control conditions. Control 513 condition not only formed relatively larger pore size but also had a broader distribution range 514 than those of the MAF conditions. Since the pore distributions range under MAF conditions 515 were narrower, the formed pores were more uniform in size than those of the control samples. 516 The mean pore size obtained for each freezing condition is shown in Table 1. Compared to the 517 control samples, P1MAF and P2MAF conditions reduced the mean pore size by 22.20% and 518 18.91% respectively. The difference in mean pore size was significant (p < 0.05) between the 519 control and P2MAF conditions. The pore size formed under P1MAF condition was not 520 significantly different than those formed under P2MAF and control conditions. Furthermore, 521 522 the cumulative pore size distributions were plotted and the D-Values (D10, D50 and D90) were also calculated for in-depth understanding of pore size distribution in the product (Figure 523 4b and Table 1). The D10 value could only be calculated for the control condition, while it 524 was not possible to obtain D10 values for PMAF conditions due to resolution limitation (i.e. 525

voxel size of 13.5 μm). Using this voxel size the minimum pore size that could be detected was 27 μm. In the case of MAF conditions, it was observed that pore below 27 μm accounted more than 10% on an average basis. Since the calculation of D10 value required two points

one representing the value below 10% and other representing a value above 10%, the lack of a 529 value below 10% in the case of MAF conditions made it difficult to calculate the D10 value. 530 The median D50 values of pores under P1MAF and P2MAF conditions were 22.36% (p > 531 0.05) and almost 30% (p < 0.05) smaller than that obtained after freezing under control 532 condition. The D90 values of pores exhibited similar trend as mean and median pore size for 533 the studied conditions (Table 1). From these findings, it can be indicated that freezing under 534 MAF condition led to the formation of smaller ice crystals showing a narrow range of 535 distribution, in which in turn gave a product having more homogenous structure than the 536 537 control sample. These results showed similar trend with the results obtained during MAF of apples. Although the different tested matrices and the modified freezing equipment, MAF 538 539 frozen potatoes showed ice crystal size reduction, like apple samples, compared to the corresponded control samples. Both hypotheses, NITOM and NIMIW may also be 540 541 responsible for the reduction of ice crystals size mechanism in MAF of potatoes.

542

543 **3.2.3 Texture and drip loss of frozen potato**

Figure 5a and b represents the hardness and Young's modulus of the potatoes at different 544 545 processing steps. The hardness and Young's modulus values of the fresh potato were the highest averaging 189.94 ± 18.80 N and 5.46 ± 0.44 MPa. Blanching process reduced both 546 the hardness and Young's modulus values (approx. by 17%). The blanching process causes 547 thermal destruction of cell membranes and hence tissue loses its turgor pressure and rigidity 548 resulting in softening of tissues (Reid et al., 1986; Reis, 2017; Verlinden et al., 2000). The 549 blanched frozen-thawed potatoes were found to be softer than both the fresh and blanched 550 samples. The hardness of fresh potatoes, after blanching and subsequent freezing-thawing 551 degraded by approximately 88%, 89% and 90% under P2MAF, P1MAF and control freezing 552 conditions. Young's modulus value showed similar trend as it was decreased by 553 approximately 86%, 89% and 91% under P2MAF, P1MAF and control freezing conditions 554 555 respectively, compared to the fresh samples.

The drip loss data acquired for control and MAF samples are shown in Figure 5c. Freezing under control freezing condition resulted in higher drip loss $(2.67 \pm 1.12 \%)$ compared to all the tested MAF conditions (P1MAF: $2.03 \pm 0.88\%$ (p > 0.05) and P2MAF: $1.62 \pm 0.70\%$ (p < 0.05)). The reasons behind the better texture preservation and water holding capacity of potatoes under MAF conditions can be explained in similar manner as in the section 3.1.4 and 3.1.5 for the case of frozen apples.

563 **3.2.4** Colour evaluation of frozen potato

The colour of fresh, blanched, and blanched-frozen-thawed potato are represented in Figure 564 5d. The blanching process did not affect L^* (lightness) value but it decreased the b^* 565 (yellowness) value and imparted negative a^{*} (green) value compared to fresh samples. The 566 freezing-thawing process of blanched sample did not affect the colour characteristics. 567 Moreover, none of the freezing protocols caused any further significant effect to the colour 568 characteristics of the blanched potato. The colour change in unblanched potatoes upon 569 freezing-thawing is mainly associated with the browning that generally happens due to 570 571 enzymatic activity during the thawing process (Cano, 1996; Koch et al., 1996). In our study, the blanching process seems that inactivated efficiently the enzymes and prevented from any 572 573 colour change upon freezing and subsequent thawing.

574

575 **4.** Conclusions

MAF and conventional freezing of apples and potatoes were performed and evaluated with 576 577 respect to microstructural characteristics and quality attributes. MAF experiments were carried out by means of a custom-built MW freezer, which consisted of a specially modified 578 579 domestic MW cavity connected to a MW solid state emitter and installed in a blast freezer. To 580 the best of our knowledge, this is the first time that a MAF process is used for freezing plant based products such as apples and potatoes. In this study, the impact of MAF conditions on 581 freezing parameters and quality attributes (for e.g. microstructure, texture, drip loss, etc.) of 582 apples and potatoes were studied using several analytical techniques. 583

In the case of apple, the application of MW use during freezing did not affect the freezing 584 parameters. The shapes of the obtained freezing curves during conventional freezing and 585 MAF of apples were almost identical to each other. No supercooling was observed for any of 586 the freezing conditions. The characteristic freezing time, the overall freezing time and the 587 freezing rate values were found to be similar for all conditions. Concerning quality 588 parameters, the application of MWs during freezing process resulted in more homogenous 589 590 pore size distributions with larger populations of small pores than the control samples. Moreover, MAF of apple resulted in a lower drip loss, meanwhile, it also retained better the 591 firmness/hardness compared to the reference sample. The MW form (constant mode or pulsed 592 mode) and power level were found to be critical parameters for the quality attributes of final 593 frozen apple sample. Pulsed conditions with the higher tested MW power (P2MAF) gave the 594 best results in terms of microstructure and other quality attributes such as drip loss and 595 596 texture.

The application of pulsed MAF to potato samples showed similar trends with those for apple. 597 The freezing curve shapes and the freezing parameters (characteristic freezing time, the 598 overall freezing time and freezing rate) were unaffected by the introduction of the MW during 599 freezing of potato. The MAF samples exhibited microstructure with smaller pores and more 600 601 uniform size distributions compared to the control sample. The texture and the drip loss were also improved for MAF samples compared to the control sample. No significant impact of any 602 of the tested freezing conditions on the colour parameters was observed. The quality 603 preservation was greater when MW intensity was increased. Among all freezing conditions, 604 605 P2MAF condition yielded in improved microstructure and other quality attributes such as drip 606 loss and texture.

Two concepts namely "NITOM" and "NIMIW" have been proposed to explain the observed ice crystal size reduction in MAF samples. This study demonstrated that MW assisted freezing can improve the freezing of more sensitive cellular food matrices such as fruits and vegetables by reducing the ice crystal size and retain better the quality attributes compared to conventional freezing.

612

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734 **Captions for the Figures**

Figure 1: (a) Representative freezing curves obtained during control and MAF of apple. "AT" attached in the legend for each freezing condition relates to the ambient temperature maintained during the freezing processes. (b), (c), (d) and (e) Zoomed areas of timetemperature curves for the different freezing conditions.

Figure 2: (a) and (b) Frequency curves and cumulative pore size distributions of apple samples treated under various conditions. Control = no microwaves exposure, CMAF = constant microwave condition, and PMAF (includes both P1MAF and P2MAF) = Pulsed microwave conditions.

Figure 3: (a) Environmental SEM image of fresh apple; cryo-SEM images of apple under (b) control freezing condition, (c) CMAF condition, (d) P1MAF condition and (e) P2MAF condition. Abbreviations used in the above picture: A = air space, W = cell wall and membrane structure, IC = ice crystals. (f) and (g) Texture (firmness) and drip loss of apples after freezing under different freezing conditions. Values with different superscripts within the same graph differ significantly (p < 0.05).

Figure 4: (a) Representative freezing curves obtained during control and MAF of potato. (b)
Frequency curve and (c) cumulative pore size distribution of potatoes after freezing under
control and MAF conditions. X-axis has a logarithmic scale.

Figure 5: Texture parameters (a) Hardness and (b) Young's modulus of fresh, blanched and frozen-thawed potatoes. (c) Drip loss (%) from potato samples after freezing under control and MAF conditions. (d) Colour attributes of potatoes at different processing stages. Values with different superscripts within the same graph differ significantly (p < 0.05).

757 **Captions for tables**

Table 1. Freezing parameters and distribution characteristics of pores obtained during MAF of apple and potato samples. Values with different superscripts within the same column differ significantly (p < 0.05).

762 Figure 1.











-





Figure 4









- 802 Table 1.

| Product | Freezing | Characteristic | Overall | Overall | Mean pore size (um) | D-Values | | |
|---------|-----------|------------------------|----------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|---------------------------|
| | condition | freezing time (min) | time (min) | freezing rate (°C/min) | F (f) | D10 (µm) | D50 (µm) | D90 (µm) |
| | Control | 6.58 ± 0.50^{a} | 12.28 ± 0.79^{a} | $1.88 \pm 0.08^{\mathrm{a}}$ | 151.76 ± 7.45^{a} | 62.53 ± 3.27^{a} | 137.59 ± 5.86^{a} | 227.21 ± 13.85^{a} |
| 4 | CMAF | 7.14 ± 0.55^{a} | 12.36 ± 1.21^{a} | 1.85 ± 0.17^{a} | $140.46 \pm 7.53^{a, b}$ | $60.44 \pm 1.70^{a, b}$ | 128.77 ± 6.78 ^{a, b} | $205.21 \pm 16.52^{a, b}$ |
| Apple | P1MAF | 6.75 ± 1.54^{a} | 11.92 ± 1.88^{a} | 1.99 ± 0.30^{a} | 129.64 ± 13.88 ^b | $58.32 \pm 4.40^{a, b}$ | 119.41 ± 14.31 ^b | 186.51 ± 21.08^{b} |
| | P2MAF | 6.25 ± 0.52^{a} | 11.89 ± 1.40^{a} | 1.96 ± 0.20^{a} | 127.63 ± 4.63^{b} | 53.93 ± 4.16 ^{b, c} | 116.32 ± 3.57^{b} | 189.16 ± 7.17^{b} |
| | | | | | | | | |
| Potato | Control | 7.24 ± 0.40^{a} | 11.71 ± 1.27^{a} | 1.98 ± 0.20^{a} | 105.52 ± 4.66^{a} | 33.42 ± 4.94 | 85.59 ± 5.16^{a} | 162.93 ± 20.54^{a} |
| | P1MAF | 7.58 ± 1.04^{a} | 12.47 ± 1.47^{a} | 1.83 ± 0.18^{a} | 85.86 ± 17.68 ^{a, b} | - | 66.45 ± 19.29 ^{a, b} | $127.92 \pm 27.59^{a, b}$ |
| | P2MAF | 7.69 ± 0.21^{a} | 12.81 ± 0.55^{a} | 1.79 ± 0.08^{a} | 82.10 ± 6.31^{b} | - | 59.95 ± 7.58 ^b | 115.86 ± 9.98^{b} |