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1 Enantioseparation of binaphthol and its mono derivatives by cyclodextrin-modified

- 2 capillary zone electrophoresis¹
- 3

4 N. Mofaddel¹, H. Krajian¹, D. Villemin² and P.L. Desbène^{1*}

⁵ ¹L.A.S.O.C. – IRCOF et IFRMP, Université de Rouen, 55 rue Saint Germain, 27000 Evreux,

6 France

7 ² Laboratoire de Chimie Moléculaire – Thioorganique, UMR 6507, ENSICAEN, Université

8 de Caen, bd du Maréchal Juin, 14050 Caen, France

9

10 Abstract

11 Chiral binaphthols belong to the group of most effective ligands for asymmetrical catalysis. In 12 this context, various binaphthols presenting original substituents have been synthesized. Their 13 study through capillary electrophoresis is the object of this work. The literature dedicated to 14 the separation of atropisomers by capillary electrophoresis, corresponding only to binaphthol, 15 reveals that its enantioseparation is always delicate because of the influence of many factors 16 and the resolutions obtained are weak. Therefore, for a structured optimization, we first successfully evaluated the acidity constants of different binaphthols by means of capillary 17 18 electrophoresis. With these known physicochemical characteristics, we could successfully 19 carry out enantiomeric separations of the different binaphthols at pH=11.5, practically in 20 completely ionized form, in phosphate medium, and in the presence of cyclodextrin (CD), 21 with analysis times lower than 8 minutes. The nature of CDs (α -CD, β -CD, γ -CD, hvdroxypropyl-α-cyclodextrin (HP-α-CD), HP-β-CD, HP-γ-CD and trimethyl-β-CD (TM-β-22 23 CD)) and other factors in relation to enantiomeric resolution (applied voltage, nature and 24 concentration of the electrolyte, and concentration of cyclodextrin) were optimized. These 25 studies allowed us to determine the optimal conditions of separation (concentration and nature 26 of CD) for each of the studied binaphthols. It is necessary to mention that, for the 1,1'-27 binaphthyl-2,2'-diol (Binol) at pH=11.5, the S atropisomer always migrated first, regardless of 28 the nature and concentration of the cyclodextrin used. Moreover, an inversion in elution order 29 of the two atropisomers as a function of pH was observed with γ -CD (pH range: 10-11.5). The 30 R atropisomer migrated first at pH=10. At pH=10.8 the migration order of the two

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^{*} corresponding author : paul-louis.desbene@univ-rouen.fr

- 31 atropisomers of Binol was reversed as a function of γ -CD concentration. Finally, the addition
- 32 of chiral ionic liquids (R(-)-1-hydroxy-N,N,N-trimethylbutan-2-aminium
- 33 bis(trifluoromethylsulfonyl)imide and S(+)-tetrabutylammonium camphorsulfonate) was
- 34 conducted. In the case of S(+)-tetrabutylammonium camphor-sulfonate, a weak antagonistic
- 35 effect was observed with modeling the evolution of enantiomeric resolution by means of the
- 36 experimental design, while in the case of R(-)-1-hydroxy-N,N,N-trimethylbutan-2-aminium
- 37 bis(trifluoromethylsulfonyl)imide the effect was neutral.
- 38

39 Key words: binaphthol derivatives, capillary electrophoresis, enantioseparation,

- 40 atropisomers, cyclodextrins, chiral ionic liquid
- 41

42 **1. Introduction**

Over the last two decades, research in the area of asymmetric synthesis has expanded. The 43 44 final aim of enantioselective synthesis or catalysis is to obtain enantiomeric pure chiral 45 compounds from achiral substrates in the presence of chiral reactants. The role of the latter is 46 to induce the selective formation of the desired enantiomer. In reality, the situation is more 47 complex. Indeed, both the substrate and the reactant can exist in the form of a mixture of 48 conformational isomers, in non-negligible proportions and in different states of solvation, 49 imposing distinct reactivities for each species present. The result is a weighted average, which 50 generally leads to weak stereoselectivity according to the distribution and the reactivity of the 51 implied species. A reasoned approach of stereoselectivity is based on the use of molecules 52 that only have pure rotation elements like, for example, chiral atropisomers and, particularly, 53 binaphthol systems [1,2]. Structurally, the binaphthols or Binols, according to the authors, are 54 composed of two naphthyl groups carrying a hydroxyl function in position 2 and linked by a 55 simple C-C liaison in positions 1 and 1'. As a result of the restricted gene at the level of the 56 rotation around this C-C liaison, two conformations (S- and R-Binol) exist, hence the 57 appellation atropisomer. Used since 1990, the atropisomers of the 1,1'-binaphthyl-2,2'-diol (or 58 Binol) are among the most widely used ligands for asymmetric catalytic reactions. They can 59 also be used as chiral hosts in the enantiomeric separation of racemic mixtures of "guest" 60 compounds or even as chiral reagents during the optical purity study of enantiomers by ¹H 61 NMR [3]. A recent paper [4] identifies all the preparation methods for Binol synthesis, as well 62 as its uses as a reactant or ligand in various reactions.

Since 1993, the enantiomeric separation of Binol has been the subject of several studies in 63 capillary electrophoresis (CE), the method of choice for enantioseparation. Indeed, in addition 64 to the advantages of high efficiency and short analysis time, only very small quantities of 65 66 samples and separation electrolytes are required. Under these conditions, the study of chiral 67 compounds, which are generally extremely expensive in their pure enantiomeric form, and 68 their use as electrolytes becomes less problematic. Finally, the potential presence of 69 impurities within the chiral compound does not handicap their separation since they have 70 different electrophoretic mobilities and therefore do not interfere upon separation. The 71 majority of the possible modes in CE are applicable to the separation of enantiomers, but 72 micellar electrokinetic chromatography (MEKC) is by far the most used. More particularly, 73 concerning the enantioseparation of Binols and their derivatives in CE, many studies have 74 been undertaken in capillary electrokinetic chromatography (EKC), generally by using native 75 or derivative cyclodextrins (CD-CZE) [5-11] or even with surfactants such as sodium N-(4-n-76 dodecyloxybenzoyl)-L-Valinate (MEKC) [12]. These studies showed the complexity of Binol 77 enantioseparation. Indeed these separations require the control of many parameters, on the 78 one hand the background electrolyte (electrolyte nature, pH, ionic strength, presence or not of 79 cosolvent) and on the other hand the chiral selector (cyclodextrin (CD) type, substitution, 80 concentration, degree of substitution and use of charged CD or dual CD system). All studies 81 and optimization of various factors were not always carried out systematically. The aim of 82 this work is first to determine the acidity constants of Binol and its mono derivatives. We will 83 also study the effect of pH on the enantioseparation of Binol in order to determine the most 84 favorable pH of this enantioseparation. To our knowledge, such a study has never been 85 reported. Finally, after the determination of this principal parameter, we seek to establish 86 optimal conditions for the enantioseparation of each of the binaphthol derivatives studied using CD. 87

88

89 **2. Experimental**

90 2.1. Instrumentation

91 Capillary electrophoretic experiments were performed using a P/ACE MDQ capillary

92 electrophoresis system (Beckman Coulter, Fullerton, CA, USA) fitted with a diode array

93 detector from 190-600 nm. An uncoated fused silica capillary (Thermo Electron SA,

94 Courtaboeuf, France), 31.2 cm long (21 cm to the detector), 50 μm i.d. (internal diameter),

- 95 was used for the pK_a determinations and one 40.2 cm long (30 cm to the detector), 50 μ m i.d.,
- 96 was used for the enantioseparations. The capillaries were thermostated at 20.0 ± 0.1 °C. The
- 97 samples were pressure-injected by 0.5 psi (34 mbar) for 3 s at the inlet side of the capillary
- 98 (anode). Electrophoretic runs were performed with a 10 kV potential. The UV detection was
- 99 cathodic (λ =214 nm). The System 32 Karat software (Version 4.0, Beckman Coulter) piloted
- 100 the electrophoretic system and was used for controlling the data. The pH of the running
- 101 solutions was measured before each experiment by using a Model IQ240 pH meter (IQ
- 102 Scientific Instruments Inc., San Diego, CA, USA). A Branson 2510 sonication apparatus
- 103 (Branson, Danbury, USA) was used for degassing all solutions.
- 104 The data examination of the experimental design was performed with JMP software, version
- 105 5.1 (SAS institute, Cary, NC, USA).

106 2.2. Chemicals and reagents

- 107 The racemate and R enantiomer of Binol (1,1'-binaphthalen-2,2'-diol or 1,1'-bi-2-naphthol)
- 108 were provided by Sigma–Aldrich (Sigma–Aldrich France, Saint Quentin Fallavier, France).
- 109 Native α -CD was purchased from Sigma–Aldrich, β -CD (98%) from Interchim (Interchim,
- 110 Montluçon, France) and γ-CD (98%) from Acros Organics (Acros Organics France, Noisy-le-
- 111 Grand, France). The compounds 2-hydroxypropyl- α , β and γ -CDs (HP-x-CDs) (d.s. \approx 0.6)
- 112 were obtained from Fluka Chemie (Sigma–Aldrich–Fluka France, L'Isle d'Abeau, Chesne,
- 113 France). Heptakis-2,3,6-tri-O-methyl-β-cyclodextrin (TM-β-CD) was purchased from Sigma-
- 114 Aldrich. Sodium dihydrogenphosphate (NaH₂PO₄), disodium hydrogenphosphate (Na₂HPO₄),
- 115 trisodium phosphate (Na₃PO₄), sodium hydroxide (+98% purity), and absolute ethanol
- 116 (98.8%) were obtained from Sigma–Aldrich.
- 117 The Binol derivatives studied in this work were synthesized in house; the syntheses of 6-
- 118 bromo-1-(2-hydroxynaphthalen-1-yl)naphthalen-2-ol (BN2) [13], 1-(2-(allyloxy)naphthalen-
- 119 1-yl)naphthalen-2-ol (BN3) [14], 1-(2-(benzyloxy)naphthalen-1-yl)naphthalen-2-ol (BN4)
- 120 [14] and 1-(2-metoxynaphthalen-1-yl)naphthalen-2-ol (BN5) [14] have already been reported
- in the literature. Their structures are shown in Table 1.
- 122
- 123 Table 1
- 124
- 125 For chiral ionic liquids, the syntheses of (R)(–)-2-hydroxy-N,N,N-trimethyl-1-phenyl-
- 126 ethanaminium bis(trifluoromethylsulfonyl)imide (R-Phenylcholine NTf₂) and (R)(-)-1-

- 127 hydroxy-N,N,N-trimethylbutan-2-aminium bis(trifluoromethylsulfonyl)imide (R-Etcholine
- 128 NTf₂) were reported previously [32]. (S)(+)-tetrabutylammonium camphorsulfonate (S-tb-
- 129 camphor) was prepared by neutralization of (S)(+)-camphorsulfonic acid with
- 130 tetrabutylammonium hydroxide.

131 2.3. Running electrolyte and sample preparation

- 132 All running electrolytes were prepared, without any prerequisite purification, using ultrapure
- 133 water produced by means of a Milli-Q-System water purification apparatus (Millipore France,
- 134 Montigny-le-Brotonneux, France). The solutions were sonicated just before use for 10 min.
- 135 For the pKa determinations, the running electrolytes contained phosphate salts (according to
- 136 the target pH value (6<pH<12)) with an ionic strength equal to 40 mM. For the
- 137 enantioseparations, a phosphate electrolyte (Na₂HPO₄-Na₃PO₄) with an ionic strength equal to
- 138 80 mM, and cyclodextrin concentrations ranging from 0 mM to 20 or 25 mM were used. All
- 139 samples were prepared in ethanol at a concentration equal to 10^{-4} M. New uncoated capillaries
- 140 were activated by performing the following washing process: water for 2 min, NaOH (1 M)
- 141 for 30 min and water for 10 min. The capillary was conditioned for 15 min with the
- 142 electrolyte before running and for 2 min between each run.

143 **3. Results and discussion**

144 3.1. Determination of the acidity constant

- Although Binol is a well-known compound and has been used for many years, it is necessary
- 146 to mention that, to date, the determination of its acidity constants has never, to our
- 147 knowledge, been reported in the literature. Nevertheless, the knowledge of these dissociation
- 148 constants is a key parameter, especially in transport phenomena and more particularly for
- 149 electrophoretic mobility. For these reasons, it is essential to first determine these constants for
- 150 Binol and its derivatives before undertaking the enantioseparation.
- 151 During the past several years, capillary electrophoresis has been demonstrated to be a
- 152 convenient and precise method for the determination of acidity constants (K_a) in aqueous
- 153 [15,16] and non-aqueous mediums [17,18]. Several experimental approaches, linear or non-
- 154 linear, are applicable. In order to determine the acidity constants we only need to follow the
- evolution of the effective electrophoretic mobility (μ_{eff}) of the target sample as a function of
- 156 the pH of the running electrolyte, the ionic strength of which is kept constant. The

- 157 experimental K_a value is then determined from the slope of the graph obtained; the inflection
- 158 point of the graph $\mu_{eff} = f(pH)$ is equal to the pK_a. The pK_a is then deduced
- 159 thermodynamically by using the equation (1):

160
$$\mathbf{pK}_{a}^{\text{thermo}} = \mathbf{pK}_{a}^{\exp} - \frac{\mathbf{0.5085}\sqrt{I}}{\mathbf{1 + 0.328 \times r_{i} \times \sqrt{I}}} (1)$$

where I is the ionic strength of the running electrolyte and r_i is the hydrated radius of the species studied. A value of 0.5 nm is generally recommended [19].

163 Despite the fact that Binol and its derivatives have high pK_a values because they are very

164 weak acids, the use of CE allowed us to determine their pK_a values without difficulties, as the

165 pH value of the running electrolytes can reach about 12. The results obtained for the different

166 Binols studied are grouped in Table 2.

167

168 Table 2

169 As was expected, Binol and its derivatives have high acidity constants: Binols with two

170 hydroxyl functions have values of values 9/9.4 for the first acidity and 10.9 for the second,

and Binols with only one hydroxyl function have a value of approximately 10.2, Table 2.

172 Before starting the optimization of the enantioseparation of binaphthol and its four derivatives

in the presence of cyclodextrins as chiral selectors, in the case of Binol, we studied the

evaluation of the enantioseparation as a function of the pH by using a native cyclodextrin as

175 the chiral selector.

176 *3.2. Study of the enantioseparation of Binol*

177 The enantioseparation depends directly on the affinity of the two enantiomers to the 178 cyclodextrin (CD) used. Therefore, the use of different cyclodextrins (native or derivative, 179 neutral or charged) strongly affects the results. For the enantioseparation of Binol racemate 180 and its derivatives, generally denoted as BN in the remainder of this text, the study was 181 limited to the case of neutral cyclodextrin. To our knowledge, the enantioseparation of Binol 182 and its derivatives has never been carried out in a genuinely satisfactory way (electrophoretic 183 enantioselectivity $\alpha_{exp}>1$), with the exception of the use of trimethyl- β -CD (TM- β -CD, 184 $\alpha_{exp}=1.22$ [5]), hydroxypropyl- α -cyclodextrin (HP- α -CD) and TM- β -CD, (HP- α -CD, R_s=1.2

and TM- β -CD, R_s=1.2 [6]). With other neutral CDs, either no enantioseparation was

- 186 systematically observed (in the case of α -CD and HP- γ -CD) or, according to the authors, no
- 187 enantioseparation was obtained at the pH used [5,6]. On the contrary, specific
- 188 enantioselectivity was noted (in the case of β -CD, γ -CD and HP- β -CD at pH 10.5) [10].
- 189 Although enantioselectivities are weaker than those reported above, K. Kano et al. [5] and H.
- 190 Nishi [6] did not observe a chiral resolution of the Binol racemate at pH 10.5, while at this
- 191 same pH, O. Zerbinati et al. obtained certain enantioselectivity [10].
- 192 All of the CDs studied are naturally exempt from all charge, so it proved imperative to charge
- 193 the analytes in order to confer a charge to the BN/CD complexes, and thus ensure different
- velocities of the experimental electrophoretic migration for the S and R enantiomers. Taking
- 195 into account the obtained pKa values of Binol and its derivatives (the range of the pKa
- 196 corresponding to the first acidity is between 9 and 10.2, see Table 2), a significant negative
- 197 charge for the Binol racemate can only be obtained at $pH \ge 10.5$. A weak acid presents a
- 198 charge equal to -1 when the pH of the electrolyte used is higher or equal to $pK_a + 2$.
- 199 Consequently, we decided to examine a range of pH between 9.5 and 12. However, it should
- 200 be noted that the experiments conducted at pH=12 showed to be delicate due to problems
- 201 encountered concerning the repeatability as well as the stability of the electrophoretic current.
- 202 Therefore, we were forced to limit the range of the studied pH between 9.5 and 11.5. Using
- 203 the Binol racemate as a sample test, we studied the influence of the ionic strength and the pH
- 204 of the electrolyte on the enantioseparation.
- 205

206 *3.2.1. Ionic strength optimization*

207 For the pH range studied, the charge of the two enantiomers of Binol was maximal at pH 208 11.5, with a charge slightly higher than -1.5. The influence of ionic strength on the 209 enantioseparation was therefore studied at this pH. Indeed, the electroosmotic flow is cathodic 210 and the greatest resolution with neutral CDs should be achieved under these conditions. In 211 fact, at weak ionic strength, the electroosmotic flow is very significant and consequently the 212 electrophoretic peaks corresponding to the two enantiomers of Binol were hardly 213 differentiated from that of the electroosmotic flow due to their electrophoretic migration 214 direction, which is diametrically opposed to that of the electroosmotic flow. Despite the 215 possibility of operating under very intense electric fields, consequently obtaining very high 216 efficiencies, i.e. the joule effect was perfectly dissipated, we did not obtain a satisfactory 217 resolution regardless of the size of the cyclodextrin used (α -CD, β -CD or γ -CD). 218 Progressively reducing the electroosmotic flow by increasing the ionic strength of the

- 219 electrolyte, at a constant pH equal to 11.5, we noticed a simultaneous increase in the
- 220 enantioresolution. Thus, we obtained a resolution superior to 1.5 for an ionic strength equal to
- 221 80 mM, while, in such conditions, the applied voltage could not exceed
- 222 10 kV. Consequently, for the remainder of the study, the ionic strength was maintained at
- 223 80 mM and the applied voltage was fixed at 10 kV.
- 224
- 225 *3.2.2.* Choice of the pH of the medium
- 226 The electrophoretic enantioselectivity (α_{exp} : the ratio of the electrophoretic mobilities of the
- 227 two enantiomers) proved to be slightly greater with γ -CD (α_{exp} =1.05) than with the other two
- 228 native CDs (α_{exp} =1.04 with α -CD as well as with β -CD). Therefore, we studied the influence
- 229 of the pH on the enantioseparation of the Binol racemate with γ -CD. The ionic strength and
- applied voltage were fixed at 80 mM and 10 kV, respectively. In fact, the study of the
- 231 evolution of the resolution was simultaneously conducted as a function of the pH and γ -CD
- 232 concentration, in the pH range between 9.5 and 11.5 and γ -CD concentrations between 0 and
- 233 20 mM, in order to determine the best experimental conditions.
- Figure 1 reports the electropherograms obtained at the end of the analysis of Binol racemate spiked with pure R enantiomer at pH 10 (the upper line) and pH 11.5.
- 236 A comparison of the two electropherograms showed that the migration order of the two
- enantiomers of Binol was inversed at pH 11.5 compared with that obtained at pH 10. The R
- enantiomer of Binol migrated initially at pH 10 and eluted a second time at pH 11.5.
- 239
- Each Figure 1
- 241
- 242 Such an observation could originate in the progressive ionization of γ -CD as the pH is
- increased. In fact, in the pH range between 10.2 and 10.5, we observed the coelution of the
- 244 two enantiomers. If we refer to the literature, we find that the pK_a of γ -CD is equal to 12.08
- 245 [20].
- 246 At pH 10, γ-CD is neutral and therefore is carried by the electroosmotic flow, which is
- 247 cathodic. Consequently, the enantiomer with more affinity towards the hydrophobic torus of
- 248 CD migrates first, since the two non-complexed enantiomers migrate towards the anode at
- 249 this pH (pK_{a1} Binol=9.04 and pK_{a2} Binol=10.90, see Table 2). Thus, in light of the
- 250 electropherograms reported in Figure 1, we conclude that the R enantiomer of Binol has the

- 251 greatest affinity for γ -CD. On other hand, at pH 11.5, γ -CD is partially ionized (charge << -
- 252 1/2) and thus, under such conditions, it presents an electrophoretic mobility that is
- 253 diametrically opposed to that of the electroosmotic flow, and, consequently, it slows down the
- 254 migration of the enantiomer that has the greatest affinity with it, that is to say the R
- enantiomer.
- 256 Figure 2 summarizes the evolution of the resolution of the Binol racemate as a function of the
- 257 pH in the range explored. As this graph highlights, the resolution value at pH 11.5 ($R_s=2.4$) is
- 258 greater than at pH 10 ($R_s=0.9$), that is to say, before the inversion of the enantiomer migration
- order. Such an observation was made not only in the case of γ -CD as the chiral selector, but
- 260 was systematically noticed in this study regardless of the cyclodextrin used.
- 261

262 Figure 2

263

264 Furthermore, it should be noted that when the pH of the electrolyte is lower than 10, the 265 resolution drops rapidly (R_s is about 0.6 when the pH of the electrolyte reaches 9.5). Indeed, 266 the two enantiomers of Binol present small charges, while γ -CD is neutral. Thus, their 267 migration becomes differentiated from that of the electroosmotic flow and, consequently, the 268 difference in their migration velocity is enough only to be displayed as the beginning of the 269 separation. Secondly, it appears that the optimal concentration of γ -CD is approximately the 270 same at the two pH values considered. In fact, at pH 10, the optimal concentration of γ -CD is 271 equal to 10 mM, where the enantiomeric resolution reaches its maximum value, while, at pH

11.5, this concentration is equal to 6 mM.

273 We tried to find if such an inversion could be observed as a function of pH with the other two

274 native cyclodextrins (α -CD and β -CD), as well as with different neutral cyclodextrins, either

- 275 hydroxypropylated (HP- α -CD, HP- β -CD and HP- γ -CD) or trimethylated (TM- β -CD). In fact,
- such an inversion in the migration order of the Binol enantiomers was observed with
- β -CD as well as with two out of three hydroxypropylated cyclodextrins (HP- β -CD and HP- γ -
- 278 CD). On the other hand, no inversion was observed in the case of α -CD, HP- α -CD or TM- β -
- 279 CD. However, we noticed that in the case of α -CD, a coelution could be observed as a
- 280 function of the pH, unlike in the case of HP- α -CD and TM- β -CD.
- 281 The migration order observed at a pH greater or equal to 10.6 corresponds to the migration
- order observed by O. Zerbinati and F. Trotta [10] at pH 10.5, during a partial resolution of the

- 283 Binol racemate in the presence of γ -CD. In that study, the authors determined the association
- 284 constants of the two Binol enantiomers with γ -CD and acceded in that way to the
- 285 thermodynamic enantioselectivity for the Binol/γ-CD couple. The treatment used is based on
- 286 non-charged-CDs. In fact, such a hypothesis seems reasonable, as, at this pH, the charge of γ-
- 287 CD is very weak when taking into account its pK_a (12.08). Such an observation is also applied
- 288 in the present study, and so the origin of the migration order reversal of the Binol enantiomers
- as a function of pH must found elsewhere, approximating the charge of γ -CD to zero. In
- reality, in a very interesting study, G. Vigh et al. [21-23] modeled the enantiomeric separation
- 291 of chiral weak acids and bases in CE. They showed that according to the magnitude orders of
- 292 (1) the electrophoretic mobilities of (dissociated analytes / CD) complexes (μ_{RCD} and μ_{SCD}),
- and (2) the complex formation constants of the analytes in their dissociated and non-
- 294 dissociated forms with CD (on the one hand K_{RCD} , K_{SCD} and on the other hand K_{HRCD} , K_{HSCD}),
- three kinds of separation could be envisaged as a function of the pH and CD concentration,
- while the latter is systematically neutral. The three kinds of separation are desionoselective
- separation or Type I ($\mu_{RCD} = \mu_{SCD}$, $K_{RCD} = K_{SCD}$ and $K_{HRCD} \neq K_{HSCD}$), ionoselective separation or
- 298 Type II ($\mu_{RCD} \neq \mu_{SCD}$, $K_{RCD} \neq K_{SCD}$ and $K_{HRCD} = K_{HSCD}$), and duoselective separation or Type III
- 299 $(\mu_{\text{RCD}^-} \neq \mu_{\text{SCD}^-}, K_{\text{RCD}^-} \neq K_{\text{SCD}^-} \text{ and } K_{\text{HRCD}} \neq K_{\text{HSCD}}).$
- 300 The two latter types allow for, if so desired, the reversal of the migration order of the
- 301 enantiomers as a function of the electrolyte composition (pH, CD concentration).
- 302 Thereby the origin of the reversal migration order of Binol enantiomers as a function of pH in
- 303 the presence of γ -CD is more likely be found in the behavior of Type II or III, concerning the
- 304 enantioseparation, than in the progressive ionization of γ -CD. In fact, the latter method 305 remains modest even at pH 11.5.
- 306 *A fortiori* in the present case, the reversal of the migration order of the enantiomers as a
- 307 function of the pH probably results from the behavior reflected either in an ionoselective
- 308 separation (Type II) or a duoselective separation (Type III). In fact, if we observe the pK_a
- 309 values of the previously studied cyclodextrins, they prove to be even greater than that of
- 310 γ -CD. They increase from γ -CD (pK_a=12.08) to α -CD (pK_a=12.33). The pK_a of β -CD is
- 311 intermediary and is equal to 12.20 [20]. On the other hand, the most acidic sites must be the
- 312 most reactive and we can therefore suppose that the pK_a values of the derivative cyclodextrins
- 313 are appreciably higher than the corresponding native CDs. Consequently, there is a likelihood
- that, in the considered pH range, the charge of the cyclodextrin is very weak, even null
- 315 concerning HP- β -CD and HP- γ -CD, and that the second hypothesis for the explication of the

- 316 reversal of the migration order of the enantiomers as a function of pH is more plausible than
- 317 the first one. Therefore, we consider that the possible charge of CD is negligible in the pH
- 318 range considered.
- 319 Finally it should be noted that when no inversion of the migration order was observed as a
- 320 function of the pH (HP- α -CD and TM- β -CD), we systematically remarked that the
- 321 enantioselective resolution of Binol racemate increased regularly with the pH of the
- 322 electrolyte and that it was much higher at pH 11.5 than at other any pH. Consequently, this
- 323 pH value (11.5) was used for the remainder of the study.
- 324
- 325 *3.2.3. Optimization of cyclodextrin concentration as a function of its nature*
- 326 The optimal conditions were thus defined in the case of the (R,S Binol/ γ -CD) couple. We then
- 327 determined the optimal concentrations of the other six neutral CDs studied, namely the two
- 328 natives (α -CD and β -CD), the hydroxypropylated (HP- α -CD, HP- β -CD and HP- γ -CD) and
- 329 the trimethylated (TM- β -CD). The pH and the ionic strength were fixed at 11.5 and 80 mM,
- 330 respectively. Table 3 reports (1) the optimal concentration of cyclodextrin (where the
- 331 electrophoretic enantioselectivity, also consigned in this table, is maximal), (2) the literature
- data concerning the enantioseparation of the Binol racemate when the same CD is used as the
- 333 chiral selector, and (3) the pH for each of the CD used in this study.
- 334
- 335 Table 3
- 336
- 337 In fact the optimal concentrations of CDs were experimentally deduced from $\Delta \mu^{\text{eff}} = f([\text{CD}])$ 338 curves ($\Delta \mu^{\text{eff}} = \mu_2 - \mu_1$ the difference in electrophoretic mobilities of the two atropisomers, μ_1 339 and μ_2).
- 340 It appears that, at pH 11.5 and contrary to what has been observed in the literature at pH 9 341 [24], 10.5 [5,6] and 11 [25], we can obtain a resolution of the Binol racemate with all of the 342 cyclodextrins studied. In fact, the enantioseparation of the Binol racemate has only been 343 reported with TM- β -CD, either at pH 10.5 [5,6] or at pH 11 [5,25], with HP- α -CD at pH 10.5
- 344 [6], with HP- β -CD at pH 10.5 [10], with γ -CD either at pH 9 [24] or at pH 10.5 [10], and with
- 345 β-CD at pH 9 [24] and 10.5 [10].
- 346 Such an observation clearly demonstrates the definite advantages of working in a more basic
- 347 medium and thus at pH 11.5.

- 348 Secondly, it appears that, with TM- β -CD, the enantioselectivity is maximal ($\alpha_{exp}=1.27$ at
- 349 optimal concentration of TM- β -CD equal to 10^{-2} M). Otherwise, the three hydroxypropylated
- 350 cyclodextrins led approximately to the same enantioselectivity (α_{exp} about 1.13) with a slight
- advantage for HP- α -CD (α_{exp} =1.14). However, the optimal concentrations of the three
- 352 hydroxypropylated cyclodextrins are not identical, and the highest concentration is for HP-α-
- 353 CD ($C_{opt}=1.5.10^{-2}$ M) against only 8.10⁻³ M for HP- γ -CD and only 4.4.10⁻³ M for HP- β -CD,
- 354 which proved to be the most interesting among the three hydroxypropylated CDs when taking
- into account the concept of consummated quantity.
- 356 Finally, the three native cyclodextrins led to a weaker enantioselectivity than the other CDs
- 357 studied. Once again, with a first approximation, we can say that they led more or less to the
- 358 same enantioselectivity, but for concentrations of CD varying from single to triple. For γ -CD,
- 359 which presents the lowest optimal concentration of CD among the three native CDs
- 360 (C_{opt}=6.10⁻³ M), a slightly greater enantioselectivity is obtained (α_{exp} =1.05 against α_{exp} =1.04
- 361 with α -CD as well as with β -CD).
- 362 In the case of γ -CD, an inversion in the migration order of the two enantiomers of Binol was
- 363 observed at pH 10.8 as a function of cyclodextrin concentration; this case is reported below.
- 364 However, before discussing this important case and taking into account all of these positive
- 365 results, we evaluated the capacity of the seven CDs, implemented previously, towards the
- 366 resolution of the racemic mixtures of various Binol derivatives (structures given in Table 1) at
- 367 pH 11.5 with an ionic strength of 80 mM.

368 3.3. Enantioseparation of Binol derivatives

- 369 For the four Binol derivatives, we alternatively used each of the seven CDs studied as chiral
- 370 selectors. For each (racemate of Binol derivative/CD) couple we studied the evolution of the
- 371 electrophoretic enantioselectivity (α_{exp}) as a function of the CD concentration. The range of
- 372 the explored concentration was between 0 and $2.5.10^{-2}$ M taking into account the previously
- 373 obtained results for the Binol racemate. Such a study allowed us to determine the various
- 374 optimal concentrations of cyclodextrins for each of twenty-eight (Binol derivative/CD)
- 375 couples. Copt depended on the CD structures, where the enantioselectivity was maximal and
- thus the resolution was maximal. The results obtained are given in Table 4.
- 377
- 378 Table 4
- 379

- 380 Examination of Table 4 confirms the first conclusions of the experiments conducted with
- 381 Binol. α -CD proved to be the least favorable CD among the seven cyclodextrins studied. In
- 382 fact, it did not allow the enantioseparation of two out of four Binol derivative racemates, that

is to say BN2 and BN3.

- 384 On the other hand, the cyclodextrins that are constituted by seven or eight glucose units
- 385 (β -CD and γ -CD natives or derivatives) provided better results than their α homologue, which
- is constituted by six glucose units. In fact, taking into consideration all the CDs studied with
- 387 seven glucose units (native β -CD and its derivatives HP- β -CD and TM- β -CD), only one out
- 388 of four Binol derivative racemates, namely BN2, could not be separated with this CD family.
- 389 On the contrary, taking into consideration the studied cyclodextrins with eight glucose units
- 390 (native γ -CD and its derivative HP- γ -CD), all of the Binol derivative racemates could be
- 391 separated using this CD family as the chiral selector.
- 392 Finally, in general the cyclodextrin derivatives led to higher enantioseparations than that
- 393 observed with their homologous native CD. However, we note one exception to this rule:
- 394 HP- β -CD proved to be less satisfactory than β -CD towards the resolution of the BN2
- 395 racemate, since it did not lead to any enantioseparation, while we observed an
- 396 enantioselectivity (α_{exp} =1.05) with β -CD. Moreover, except for the case of BN5, in general
- 397 TM-β-CD led to the highest enantioselectivity observed during this study.

398 3.4. Reversal of enantiomer migration order as a function of γ -CD concentration

- 399 At pH 10.8, when the γ -CD concentration progressively increased from 2.10⁻³ M to 6.10⁻³ M,
- 400 the electrophoretic peak corresponding to the S enantiomer of Binol (enantiomer migrates
- 401 firstly) gradually approached the peak of the R enantiomer. We then observed the coelution of
- 402 the two enantiomers at γ -CD concentrations ranging from 7.10⁻³ M to 15.10⁻³ M. The
- 403 concentration range of the observed coelution appears to be relatively important. Finally when
- 404 the γ -CD concentration became higher than 1.5.10⁻² M, we once again observed a resolution
- 405 of the Binol racemate, but in this case the R enantiomer migrated first. Figure 3 shows three
- 406 electropherograms concerning the analysis of the Binol racemate effectuated at pH 10.8. In
- 407 the presence of a variable concentration of γ -CD, these three electropherograms show
- 408 enantioseparations in each of the three above-mentioned zones of γ -CD concentration.
- 409

410 Figure 3

411

412 This phenomenon of inversion in enantiomer migration order was widely interpreted by B. 413 Chankvetadze [26]. The author presented and discussed the different parameters responsible 414 for the origin of such an inversion, such as the pH, the inversion of the direction of 415 electroosmotic flow or even the change of the chiral selector nature. However, there are very 416 few examples of inversion reported in the literature where the experimental parameter of the 417 inversion origin is the cyclodextrin concentration directly [27-29]. For example, T. Schmitt 418 and H. Engelhardt [27] reported the case of dansylated phenylalanine racemate with HP-β-419 CD, where the migration order of the two enantiomers is reversed at low and high 420 concentrations of HP- β -CD. The authors explain this reversal migration order by the 421 modifications of the electrophoretic mobilities as a function of CD concentration: at low 422 concentrations, the electrophoretic mobilities reflect the differential interactions with HP-B-423 CD, while at high concentrations the migration order is directly controlled by the 424 electrophoretic mobility of the diastereomeric formed complexes. 425 The case described in this paper follows principally the same rationalization. Thus, we can 426 postulate that at low γ -CD concentrations, the two Binol enantiomers are separated according 427 to their affinity with γ -CD, while at high γ -CD concentrations the principle of the resolution 428 of Binol enantiomers is due to the electrophoretic mobilities of diastereomeric complexes 429 formed between each of the Binol enantiomers and γ -CD. However, such a hypothesis could 430 be validated by the evaluation of the complexation constants and the complex mobilities 431 according to B. Chankvetadze [30]. 432

433 Finally in very recent works, the utilization of chiral ionic liquids is reported for the first time

434 either as a single chiral selector [31] or by combination with cyclodextrins [32] for the

435 enantioseparation of alcohols, amine, acid, and amino acids, and 2-arylpropionic acids. We

436 decided to evaluate the effect of adding a chiral ionic liquid in the case of atropisomer

437 enantioseparation, a problem that has not yet been reported in the literature.

438 3.5 Simultaneous adding of chiral ionic liquids

439 P. Gareil et al. [32] showed weak synergistic effects concerning the enantioseparation of

440 racemic mixtures of 2-arylpropionic acids by simultaneously using cyclodextrins and

441 (R)(-)-2-hydroxy-N,N,N-trimethyl-1-phenylethanaminium bis(trifluoromethylsulfonyl)imide

442 (R-Phenylcholine NTf₂) or (R)(–)-1-hydroxy-N,N,N-trimethylbutan-2-aminium bis (trifluoro-

443 methylsulfonyl) imide (R-Etcholine NTf₂). Firstly, we envisaged the successive addition of 444 these chiral ionic liquids for the enantioseparation of binaphthol. Unfortunately, 445 R-Phenylcholine NTf₂ has a chromophore, which generates a strong absorbance at the 446 detection wavelength, and consequently it was impossible to carry out such experimentation. 447 On the other hand, R-Etcholine NTf₂ is transparent and well adapted for the test. In order to 448 evaluate the potential of R-Etcholine NTf₂ in combination with γ -CD at pH 11.5 for the 449 enantioseparation of binaphthol, we resorted to the experimental design methodology. The 450 aim of this study was to highlight the potential interactions between the operation parameters, 451 i.e. y-CD concentration and R-Etcholine NTf₂ concentration. In order to model the response surface (electrophoretic enantioselectivity α_{exp}) in the explored experimental domain (γ -CD 452 concentration between 0 and 3.10⁻² M and R-Etcholine NTf₂ concentration between 0 and 453 454 2.10^{-2} M) we resorted to a central composite design with uniform precision (CCD-UP). This 455 design consists of 13 runs and 2 factors with 2 levels. The factors and their levels are reported in Table 5, where α is equal to 1.41 in adequacy with the theory ($\alpha = (2^n)^{1/4}$ with n=2) [33]. 456 457 458 Table 5 459 460 The evolution of the electrophoretic enantios electivity (α_{exp}) of the two atropisomers of binaphthol as a function of γ -CD and R-Etcholine NTf₂ concentration is presented in Figure 461 462 4a. 463 464 Figure 4 465 466 As has been highlighted previously, if there is an effect during the addition of R-Etcholine 467 NTf₂ in the presence of γ -CD, then it is weak. In order to objectively analyze this potential 468 effect, we substituted lithium NTf2 in the second series of runs for R-Etcholine NTf2 and 469 studied the response surface (α_{exp}) in an identical experimental domain using CCD-UP. The 470 response surface (electrophoretic enantioselectivity α_{exp}) values after the end of the new series 471 of 13 runs is shown in Figure 4b. A comparison between Figures 4a and 4b clearly shows that 472 there is neither a synergistic nor an antagonistic effect from adding R-Etcholine NTf₂ to the 473 implemented electrophoretic system during the enantioseparation of atropisomers. 474 At this stage in the study, we tried to find an ionic liquid without chromophore among the 475 chiral ionic liquids already reported in the literature. If this material exists, its synthesis will

- 476 not be based on the choline derivative already imployed. With this reasoning, we next
- 477 evaluated the effect of the simultaneous addition of S(+)-tetrabutylammonium
- 478 camphorsulfonate (S-tb-camphor) to the experimental mixture.
- 479 Resorting again to the previously exployed experimental design (CCD-UP), we were able to
- 480 plot the response surface (α_{exp}) after 13 runs, Figure 4c.
- 481 As Figure 4c clearly demonstrates, the addition of S-tb-camphor is not neutral to the
- 482 resolution of the Binol racemate under the operation conditions established previously,
- 483 contrary to what we observed with the addition of R-Etcholine NTf₂. In fact, Figure 4c
- 484 highlights a weak antagonistic effect for S-tb-camphor. Thus the degree of the
- 485 enantioselectivity, obtained by using γ -CD at pH 11.5, is progressively decreased as the S-tb-
- 486 camphor concentration is increased, regardless of the γ -CD concentration.
- 487 Finally, it must be noted that no enantioseparation of the Binol racemate was observed with
- 488 R-Etcholine NTf₂ as the only chiral selector. Unfortunately, a similar situation was also
- 489 observed with the other chiral ionic liquids tested. In fact, no separation was found when only
- 490 S-tb-camphor was used as the electrophoretic medium in the range of explored concentrations

491 (0 - 3.10⁻² M).

492 **4.** Conclusion

493 The aim of this work was to study the evolution of the enantioselectivity of various racemates

- 494 of Binol and four of its mono substituted derivatives in the presence of different cyclodextrins
- 495 (seven native or derivative cyclodextrins).
- 496 The result of this study is that the enantioselectivity of Binol atropisomers and its mono
- 497 substituted derivatives increases systematically with pH, regardless of the Binol/cyclodextrin
- 498 couple being considered. Consequently, it was interesting to carry out such separations at pH
- 499 11.5, where no difficulty was met in realizing the enantioseparations.
- 500 On the other hand, this study highlighted an inversion in the enantiomer migration order as a
- 501 function of the pH, in the case of the Binol and the γ -cyclodextrin. Thus, in this specific case,
- 502 there is a pH value where no resolution could be observed. Based on experimental results, this
- 503 pH value is situated between 10.3 and 10.5.
- 504 Having defined the pH, we studied the evolution of the enantioselectivity as a function of the
- 505 cyclodextrin concentration. Once again, we were able to highlight a particular behavior in the
- 506 case of the Binol/ γ -cyclodextrin couple by observing an inversion in the enantiomer migration
- 507 order as a function of the γ -cyclodextrin concentration at pH 10.8. The S enantiomer migrated

- 508 before the R enantiomer at low cyclodextrin concentration. Consequently, at concentrations
- 509 between 7.10⁻³ M and 15.10⁻³ M, no enantioselective separation could be observed in the case
- of Binol and γ -cyclodextrin. This double inversion in the enantiomer migration order, on the
- 511 one hand as a function of the pH and on the other hand as a function of the concentration of
- 512 chiral selector, is remarkable. It is an illustration of the Type II enantioseparation
- 513 (ionoselective) or the Type III enantioseparation (duoselective), according to the terminology
- 514 established by G. Vigh following his theoretical treatment of modeling the enantioseparation
- 515 of weak chiral acids.
- 516 Globally, α -CD proved to be the least favorable CD among the seven cyclodextrins studied.
- 517 On the other hand, the cyclodextrins that are constituted by seven or eight glucose units
- 518 (β -CD and γ -CD natives or derivatives) provided better results than their α homologue, which
- 519 is constituted by six glucose units. In fact, taking into consideration all the CDs studied with
- 520 seven glucose units (native β-CD and its derivatives HP-β-CD and TM-β-CD), only one out
- 521 of four Binol derivative racemates, namely BN2, could not be separated with this CD family.
- 522 On the contrary, taking into consideration the cyclodextrins studied with eight glucose units
- 523 (native γ -CD and its derivative HP- γ -CD), all of the Binol derivative racemates could be
- 524 separated using this CD family as chiral selector.
- 525 Finally, the addition of the chiral ionic liquid (R-Etcholine NTf₂ or S-tb-camphor) did not
- 526 perceptibly reinforce the enantioselectivity of the system considered.
- 527 We envisage, in the near future, studying the enantioseparation of other Binol derivatives in
- 528 order to discover if the observed inversion of the enantiomer migration order can be observed
- 529 in these systems as well.
- 530
- 531
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576	Figure Captions
577	
578	<u>Figure 1</u> : Enantioseparation of Binol racemate in presence of γ -CD.
579	<u>Experimental conditions</u> : uncoated silica capillary (40.2 (30) cm \times 50 µm ID); hydrodynamic
580	injection: 3s at 0.5 psi; $T=20^{\circ}C$; $\lambda=214$ nm; voltage: 10kV; electrolyte: Na ₂ HPO ₄ /Na ₃ PO ₄ ,
581	ionic strength: 8.10 ⁻² M; [γ -CD]=4.10 ⁻³ M; sample: Binol racemate spiked with the R
582	enantiomer; (A) at $pH=10$ and (B) at $pH=11.5$.
583 584 585	Figure 2: Resolution of Binol recempte as a function of the pH of the running electrolyte
586	<u>Figure 2</u> . Resolution of Billor facemate as a function of the pri of the funning electrolyte.
587	<i>Experimental conditions</i> . ancource strice capitally (40.2 (30) cm \times 30 µm (15), hydrodynamic injection: 3s at 0.5 psi; T=20°C; λ =214 nm; voltage: 10kV; electrolyte: phosphate with ionic
588	strength=8.10 ⁻² M; [γ -CD]=4.10 ⁻³ M; sample: Binol racemate spiked with the R enantiomer.
589 590 591	Figure 3: Electropherograms recorded during the enantiosenaration of Binol with variable
592	<u>require 5</u> . Electropholograms recorded during the chantoseparation of Dinor with variable concentrations of v_{-} CD
593	Experimental conditions: uncoated silica capillary (40.2 (30) cm \times 50 um ID): hydrodynamic
594	injection: 3s at 0.5 psi; $T=20^{\circ}C$; $\lambda=214$ nm; voltage: 10kV; electrolyte: Na ₂ HPO ₄ /Na ₃ PO ₄ ;
595	$pH=10.8$; ionic strength: 8.10 ⁻² M; [γ -CD]: variable; sample: Binol racemate spiked with the
596	R enantiomer.
597 598	
599	Figure 4: Response surface plots for the electrophoretic enantioselectivity of Binol racemate
600	(α_{exp}) as a function of γ -CD and ionic liquid concentrations a) R-Etcholine NTf ₂ , b) LiNTf ₂
601	and c) S-tb-camphor.
602	