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# Instabilities of convection patterns in a shear-thinning fluid between plates of finite conductivity

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## Abstract

Rayleigh-Bénard convection in a horizontal layer of a non-Newtonian fluid between slabs of arbitrary thickness and finite thermal conductivity is considered. The first part of the paper deals with the primary bifurcation and the relative stability of convective patterns at threshold. Weakly non-linear analysis combined with Stuart-Landau equation is used. The competition between squares and rolls, as a function of the shear-thinning degree of the fluid, the slabs' thickness and the ratio of the thermal conductivity of the slabs to that of the fluid, is investigated. Computations of heat transfer coefficients are in agreement with the maximum heat transfer principle. The second part of the paper concerns the stability of the convective patterns towards spatial perturbations and the determination of the band width of the stable wavenumber in the neighborhood of the critical Rayleigh number. The approach used is based on the Ginzburg-Landau equations. The study of rolls stability shows that: (i) for low shear-thinning effects, the band of stable wavenumbers is bounded by zigzag instability and cross-roll instability. Furthermore, the marginal cross-roll stability boundary enlarges with increasing shear-thinning properties; (ii) for high shear-thinning effects, Eckhaus instability becomes more dangerous than cross-roll instability. For square patterns, the wavenumber selection is always restricted by zigzag instability and by 'rectangular Eckhaus' instability. In addition, the width of the stable wavenumber decreases with increasing shear-thinning effects. Numerical simulations of the planform evolution are also presented to illustrate the different instabilities considered in the paper.

Keywords: Rayleigh-Bénard convection, shear-thinning fluid, secondary instability

## I. INTRODUCTION

Studies on patterns formation and their stability in Rayleigh-Bénard convection for Newtonian fluids have been considered in several papers. A review can be found in books of Getling [1] and Koschmieder [2] and more recently in Bodenschatz *et al.* [3] where the most significant progress in the field is identified. Comparatively to the Newtonian case, only a limited number of studies were devoted to non-Newtonian fluids and still fewer to nonlinear developments. Yet, non-Newtonian fluids intervene in a very broad range of industrial processes such as polymer and foodstuffs processing and in complex physical phenomena such as the convective movements in the earth's mantle. Here, we focus on the shear-thinning behavior, i.e. non linear decrease of the effective viscosity with the shear-rate, which is the most common property of non-Newtonian fluids. In recent articles ([4],[5] and [6]), the nature and the stability of patterns which emerge in Rayleigh-Bénard convection for shear-thinning fluids have been studied using a weakly non linear analysis. Boussinesq approximations have been adopted and the slabs have been considered as perfectly conducting. Using Carreau model to describe the shear-thinning behavior of the fluid, it has been shown in [6] that: (i) rolls are the only stable convective patterns at threshold and (ii) there is a critical value of the shear-thinning degree  $\alpha$  defined by Eq.(12) above which the bifurcation becomes subcritical.

Most analyzes consider ideal situations where the bounding horizontal surfaces are perfect conductors of heat. However in many laboratory experiments and in engineering and geophysical problems, the slabs have a finite conductivity and they are not better conductor than the fluid itself. In this case, the temperature disturbances do not vanish on the boundaries. The thermal boundary conditions that have to be satisfied are the continuity of temperature and heat flux. According to Cerisier *et al.* [7], the temperature fluctuation occurring in the liquid close to a nearly insulating slab distorts the temperature distribution. This temperature distortion can lead to an instability of the fluid layer. As a consequence, at threshold, the temperature gradient is small and the fluid organizes in a pattern with a small wavenumber. Furthermore, theoretical and experimental studies show that squares may be the convection patterns at the onset instead of rolls. Experimental evidence of square patterns was reported by Legal Pocheau and Croquette [8] and Legal and

Croquette [9]. The competition between roll and square patterns for a Newtonian fluid has been examined in weakly supercritical Rayleigh-Bénard convection by Busse and Riahi [10], Proctor [11] and Jenkins and Proctor [12]. The results are presented in terms of the Prandtl number  $Pr$ , and the ratio  $\chi$  of the thermal conductivities of slabs and fluid. It has been shown for instance that for  $Pr \geq 10$  and for slabs with thickness equal to the width of the fluid layer, that the convective pattern at threshold is in form of squares when  $\chi < \chi_c = 1$ . These studies were extended recently to shear-thinning fluids by Bouteraa and Nouar [13]. It has been found that the critical value  $\chi_c$ , below which squares are stable, decreases with increasing shear-thinning effects. Recently, experiments were done by Kebiche [14], using *carboxymethylcellulose* (CMC) solutions as shear-thinning fluid. In the Rayleigh-Bénard setup, the slabs are made of polycarbonate with a ratio of thermal conductivities  $\chi \approx 0.25$ . PIV measurements done in one vertical section do not allow the determination of convection pattern type.

These studies are valid only in the immediate vicinity of the threshold with perfectly periodic pattern. However, as the Rayleigh number  $Ra$  is increased above the onset  $Ra_c$ , the growth-rate of the perturbation is positive for any wavenumber within a band  $\sqrt{\epsilon}$  with  $\epsilon = (Ra - Ra_c)/Ra_c$ , around the critical wavenumber  $k_c$ . A wavepacket centered on  $k_c$  can be constructed with the unstable modes. The corresponding convective pattern is modulated spatially on a scale of  $\mathcal{O}(1/\sqrt{\epsilon})$ . The stability analysis of these convective patterns with respect to long wavelength perturbations is of great interest. It allows in particular, the determination of the range of stable wavenumbers. Typical instability mechanisms are Eckhaus (E), zigzag (ZZ) and cross-roll (CR) instabilities [15]. Eckhaus instability is a phase instability which acts on the roll phase to change the wavelength, compressing or dilating the pattern. Zigzag is also a phase instability which arises from perturbations parallel to the roll axes: it creates undulations along the roll axes when the wavelength is too large. Cross-roll instability is an amplitude instability which consists of a set of rolls growing perpendicularly to the original pattern. For a Newtonian fluid with perfectly heat conductive slabs, the instability mechanisms which tend to limit the stability region of rolls depend on the Prandtl number and on the boundary conditions, rigid or stress-free boundary conditions, as shown by Busse [15] and Bolton and Busse [16]. At large Prandtl number, say  $Pr > 10$ , with no-slip conditions the region of stable convection rolls is bounded



by the zigzag instability and the cross-roll instability, which is followed by the bimodal convection when  $Ra$  is increased. At low Prandtl number, say  $Pr < 1$ , Eckhaus instability becomes more dangerous than cross-roll instability and the domain of stable zigzag enlarges as  $Pr$  decreases. Furthermore, other specific secondary instabilities like “skewed-varicose instability” and oscillatory instability [17] participate in bounding the stability domain of rolls. Generally, non-Newtonian fluids are highly viscous and so the corresponding Prandtl number is large. Therefore, only universal secondary instabilities, Eckhaus, zigzag and cross-roll, are considered in this paper.

Square patterns are also subject to long wavelength instabilities. In the case of poorly conducting slabs and for Newtonian fluids, Hoyle [18] has shown that the range of stable wavenumbers is restricted by zigzag instability and by Eckhaus rectangular instability. According to Hoyle [18], this latter instability has a three-dimensional character since the system responds differently in each of the two horizontal directions. It behaves like, one of two rolls, that constitute square pattern, grows locally at the expense of the other. This is why, Holmedal *et al.* [19] called this instability “*Long wavelength cross-roll instability*”. This study was extended by Holmedal [19] to the general case of slabs with different finite conductivities and thicknesses of the slabs.

The objective of this paper is to investigate the influence of shear-thinning effects on the stability of the convective patterns and the width of the stable band of wavenumbers in Rayleigh-Bénard convection with slabs of finite conductivity and arbitrary thickness. The rheological law introduces additional nonlinearity and coupling between flow variables. This additional nonlinearity will induce stronger interactions between the two sets of rolls that constitute square patterns, than in the Newtonian case. Therefore, shear-thinning effects will modify not only the range of stable wavenumber but also the more restrictive instability mechanism. A weakly nonlinear analysis based on the amplitude equations formalism ([18], [20]) is adopted as a first approach to examine nonlinear effects arising from the rheology.

To our knowledge, the present study is the first one which considers the influence of the rheology on secondary instabilities. The structure of the paper is as follows. In §2 the problem is formulated. Linear stability analysis is briefly considered in §3. The nature of the primary bifurcation and pattern selection are discussed in §4. It is observed that

shear-thinning effects favor formation of rolls. The stability of the convective patterns with respect to inhomogeneous spatial perturbations is investigated in §5, using the amplitude equations formalism. Influence of shear-thinning effects is highlighted. In §6, time evolution of the convective pattern is illustrated from the numerical simulation of amplitude equations. The paper ends with a conclusion where the relevant results are summarized.

## II. PROBLEM FORMULATION

We consider a shear-thinning fluid layer of infinite horizontal extent which is heated from below and cooled from above. We assume that the rigid slabs which enclose the fluid have arbitrary conductivities and thicknesses. The thermal conductivity and diffusivity are noted  $\hat{K}$  and  $\hat{\kappa}$  for the fluid and  $\hat{K}_p$  and  $\hat{\kappa}_p$  for the slabs. We define  $\chi$  as the ratio of  $\hat{K}_p$  and  $\hat{K}$  and we assume as in [11] and [21] that  $\chi = \frac{\hat{K}_p}{\hat{K}} = \frac{\hat{\kappa}_p}{\hat{\kappa}}$ . This assumption is reasonable for several couples (fluid, slab) where the ratio of the thermal capacities of the fluid and the slabs  $r = \frac{(\hat{\rho}\hat{C}_p)_{fluid}}{(\hat{\rho}\hat{C}_p)_{slabs}}$  remains of order 1: for instance,  $r(\text{water, copper}) = 1.22$  and  $r(\text{glycerin, glass}) = 1.67$ .

Dimensional quantities are denoted with the symbol hat ( $\hat{\quad}$ ). In the following, we note  $\hat{d}$  the depth of the fluid layer,  $\Delta\hat{T} = \hat{T}_1 - \hat{T}_2$ , the temperature difference between the outer surfaces of the upper and lower slabs. Because of the thermal expansion, the temperature difference between the two plates induces a vertical density stratification. Heavy cold fluid is above a warm light fluid. For small  $\Delta\hat{T}$ , the fluid remains motionless and the heat is transferred by conduction with a linear temperature profile across the fluid layer. In the fluid,  $-\hat{d}/2 < \hat{z} < \hat{d}/2$ , the hydrostatic solution and the temperature profile are:

$$\frac{d\hat{P}}{d\hat{z}} = -\hat{\rho}_0\hat{g} \left[ 1 - \hat{\beta} \left( \hat{T} - \hat{T}_0 \right) \right] \quad \text{and} \quad \hat{T}_{cond} = \hat{T}_0 - \frac{\Delta\hat{T}}{1 + 2\Lambda/\chi} \frac{\hat{z}}{\hat{d}}, \quad (1)$$

where  $\hat{g}$  is the acceleration due to gravity and  $\Lambda$  the dimensionless thickness of slabs. The  $z$ -axis is directed upwards with the origin located at the middle of the fluid layer. The reference temperature  $\hat{T}_0$  is the temperature at the middle of the fluid layer,  $\hat{\rho}_0$  the fluid density at  $\hat{T}_0$  and  $\hat{\mu}_0$  is the zero-shear rate viscosity at  $\hat{T}_0$ . The temperature difference between the top and the bottom of the fluid layer is  $\Delta\hat{T}_f = \Delta\hat{T} [1 + 2\Lambda/\chi]$ . The temperature

profiles in top and bottom plates are

$$\hat{T}_{cond} = \hat{T}_0 + \frac{\Delta\hat{T}}{2\Lambda + \chi} \left[ \frac{1}{2} - \frac{1}{2}\chi - \frac{\hat{z}}{\hat{d}} \right] \quad ; \quad \frac{\hat{d}}{2} \leq \hat{z} \leq \left( \frac{1}{2} + \Lambda \right) \hat{d} \quad (2)$$

and

$$\hat{T}_{cond} = \hat{T}_0 + \frac{\Delta\hat{T}}{2\Lambda + \chi} \left[ \frac{1}{2}\chi - \frac{1}{2} - \frac{\hat{z}}{\hat{d}} \right] \quad ; \quad - \left( \Lambda + \frac{1}{2} \right) \hat{d} \leq \hat{z} \leq -\frac{\hat{d}}{2}. \quad (3)$$

When the top and bottom plates are poor thermal conductors, a large part of  $\Delta\hat{T}$  occurs across the plates, and remains only a small part  $\Delta\hat{T}_f$  of  $\Delta\hat{T}$ , acting as a driving force for the convection. When  $\Delta\hat{T}_f$  exceeds a critical value, the convection sets in and convective patterns emerge. The stability of the hydrostatic solution is considered by introducing temperature and pressure perturbations as well as a fluid motion. The fluid is incompressible and Boussinesq approximations are adopted. We use  $\hat{d}$ ,  $\hat{\mu}_0$ ,  $\frac{\hat{d}^2}{\hat{\kappa}}$ ,  $\frac{\hat{\kappa}}{\hat{d}}$ ,  $\frac{\hat{\rho}_0\hat{\kappa}^2}{\hat{d}^2}$  and  $\frac{\Delta\hat{T}}{\text{Ra}}$  as characteristic scales of length, viscosity, time, velocity, pressure and temperature. Using these scales, the perturbation equations read

$$\nabla \cdot \mathbf{v} = 0, \quad (4)$$

$$\frac{1}{\text{Pr}} \left( \frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla) \mathbf{v} \right) = -\nabla p + \theta \mathbf{e}_z + \nabla \cdot \boldsymbol{\tau}, \quad (5)$$

$$\frac{\partial \theta}{\partial t} + (\mathbf{v} \cdot \nabla) \theta = \text{Ra} w + \Delta \theta, \quad (6)$$

$$\frac{\partial \theta_p}{\partial t} = \chi \Delta \theta_p, \quad (7)$$

where  $\mathbf{v} = u\mathbf{e}_x + v\mathbf{e}_y + w\mathbf{e}_z$ ,  $p$  are respectively the velocity and pressure perturbations,  $\theta$  and  $\theta_p$  are temperature perturbations in the fluid and the slabs respectively and  $\boldsymbol{\tau}$  the deviatoric of the stress tensor. The Prandtl Pr and Rayleigh Ra numbers are defined by:

$$\text{Pr} = \frac{\hat{\mu}_0}{\hat{\rho}_0 \hat{\kappa}} \quad \text{Ra} = \frac{\hat{\rho}_0 \hat{g} \hat{\beta} \Delta \hat{T} \hat{d}^3}{\hat{\kappa} \hat{\mu}_0}.$$

We consider a purely viscous shear-thinning fluid,

$$\boldsymbol{\tau} = \mu(\Gamma) \dot{\boldsymbol{\gamma}}, \quad (8)$$

where  $\Gamma$  is the second invariant of the strain-rate tensor:

$$\Gamma = \frac{1}{2} \dot{\gamma}_{ij} \dot{\gamma}_{ij} \quad ; \quad \dot{\gamma}_{ij} = \frac{\partial v_i}{\partial x_j} + \frac{\partial v_j}{\partial x_i} \quad (9)$$

where  $v_i$  are the components of the velocity and  $x_i$  are the spatial coordinates.

Concerning the nonlinear rheological law  $\mu(\Gamma)$ , we have used a Carreau model [22]. In dimensional form, it is given by:

$$\frac{\hat{\mu} - \hat{\mu}_\infty}{\hat{\mu}_0 - \hat{\mu}_\infty} = (1 + \hat{\lambda}^2 \hat{\Gamma})^{\frac{n_c - 1}{2}}, \quad (10)$$

where  $\hat{\mu}_\infty$  is the infinite-shear viscosity,  $\hat{\mu}_0$  the zero-shear viscosity,  $\hat{\lambda}$  a characteristic time of the fluid,  $n_c$  the shear-thinning index. For several polymer solutions,  $\hat{\mu}_\infty \ll \hat{\mu}_0$  [23]. Hence neglecting  $\hat{\mu}_\infty$  with respect to  $\hat{\mu}_0$ , we have in dimensionless form:

$$\mu = (1 + \lambda^2 \Gamma)^{\frac{n_c - 1}{2}}, \quad (11)$$

A Taylor series expansion of  $\mu$  around the base state (where the fluid is at rest) allows to define the degree of shear-thinning of the fluid as:

$$\alpha = \left. \frac{d\mu}{d\Gamma} \right|_{\Gamma=0} = \frac{1 - n_c}{2} \lambda^2. \quad (12)$$

No-slip and non penetration boundary conditions as well as continuity of temperature and heat flux at the interface slabs-fluid read:

$$\mathbf{v} \left( z = \pm \frac{1}{2} \right) = \mathbf{0}, \quad (13)$$

$$\theta \left( z = \pm \frac{1}{2} \right) = \theta_p \left( z = \pm \frac{1}{2} \right), \quad (14)$$

$$\frac{\partial \theta}{\partial z} \left( z = \pm \frac{1}{2} \right) = \chi \frac{\partial \theta_p}{\partial z} \left( z = \pm \frac{1}{2} \right). \quad (15)$$

Temperatures of the outer surfaces of the upper and lower slabs are fixed, thus:

$$\theta_p \left( z = \frac{1}{2} + \Lambda \right) = \theta_p \left( z = -\frac{1}{2} - \Lambda \right) = 0 \quad (16)$$

In the momentum equations, the pressure field can be eliminated using the **curl** of Eq. (5). We then take the **curl** of Eq. (5) one more time. Using the continuity equation, and projecting onto  $\mathbf{e}_z$ , we get the following evolution equations for the vertical vorticity  $\zeta$  and

the vertical velocity  $w$ :

$$\frac{1}{\text{Pr}} \left[ \frac{\partial \zeta}{\partial t} + \mathbf{e}_z \cdot \nabla \times [(\mathbf{v} \cdot \nabla) \mathbf{v}] \right] = \Delta \zeta + \mathbf{e}_z \cdot \nabla \times [\nabla \cdot (\mu - 1) \dot{\gamma}], \quad (17)$$

$$\frac{1}{\text{Pr}} \left[ \frac{\partial \nabla^2 w}{\partial t} - \mathbf{e}_z \cdot [\nabla \times \nabla \times [(\mathbf{v} \cdot \nabla) \mathbf{v}]] \right] = \Delta^2 w + \nabla_H^2 \theta - [\nabla \times \nabla \times [\nabla \cdot (\mu - 1) \dot{\gamma}]] \cdot \mathbf{e}_z, \quad (18)$$

$$\frac{\partial \theta}{\partial t} + (\mathbf{v} \cdot \nabla) \theta = \text{Ra} w + \nabla^2 \theta, \quad (19)$$

$$\frac{\partial \theta_p}{\partial t} = \chi \nabla^2 \theta_p, \quad (20)$$

where

$$\zeta = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y} \quad \text{and} \quad \nabla_H^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}.$$

From the continuity equation and the vertical vorticity definition, one can deduce the horizontal velocity components  $(u, v)$ :

$$\nabla_H^2 u = -\frac{\partial^2 w}{\partial x \partial z} - \frac{\partial \zeta}{\partial y}; \quad \nabla_H^2 v = -\frac{\partial^2 w}{\partial y \partial z} + \frac{\partial \zeta}{\partial x}. \quad (21)$$

The boundary conditions for  $w$  are:

$$w = Dw = 0 \quad \text{at} \quad z = \pm 1/2, \quad (22)$$

For the temperature, the boundary conditions are :

$$\theta_p = 0 \quad \text{at} \quad z = \pm (1/2 + \Lambda), \quad (23)$$

$$\theta = \theta_p \quad \text{at} \quad z = \pm 1/2, \quad (24)$$

$$D\theta = \chi D\theta_p \quad \text{at} \quad z = \pm 1/2. \quad (25)$$

Five dimensionless parameters appear in the governing equations: the Rayleigh number  $\text{Ra}$ , the Prandtl number  $\text{Pr}$ , the thermal conductivities ratio  $\chi$ , the dimensionless thickness of the slab  $\Lambda$ , and the shear-thinning degree  $\alpha$ . In the present study,  $\text{Pr} = 10$ . Actually, our results do not vary significantly with  $\text{Pr}$  when  $\text{Pr} \geq 10$ .

### III. LINEAR STABILITY ANALYSIS

#### A. Critical conditions

For infinitesimal perturbations, the Boussinesq equations (17)-(20) are linearized, and one obtains

$$\frac{1}{\text{Pr}} \frac{\partial \zeta}{\partial t} = \Delta \zeta, \quad (26)$$

$$\frac{1}{\text{Pr}} \frac{\partial \Delta w}{\partial t} = \Delta^2 w + \text{Ra} \Delta_H \theta, \quad (27)$$

$$\frac{\partial \theta}{\partial t} = w + \Delta \theta, \quad (28)$$

$$\frac{\partial \theta_p}{\partial t} = \chi \nabla^2 \theta_p. \quad (29)$$

At the linear level, the rheology of the fluid does not play any role. Furthermore, the vertical vorticity decouples and obeys a diffusion equation (26) and thus can be neglected in the linear theory. Assuming a spatial periodicity in the horizontal plane, we seek a normal mode solution under the form

$$\begin{bmatrix} w(x, y, z, t) \\ \theta(x, y, z, t) \\ \theta_p(x, y, z, t) \end{bmatrix} = \begin{bmatrix} F_{11}(z) \\ G_{11}(z) \\ G_{p11}(z) \end{bmatrix} \exp(i \mathbf{k} \cdot \mathbf{r} + st), \quad (30)$$

where  $\mathbf{r} = (x, y)$  is the vector position in the horizontal plane, and  $\mathbf{k}$  is the wave-vector. Substituting (30) into (27)-(29) leads to the differential equations

$$s \text{Pr}^{-1} (D^2 - k^2) F_{11} = -k^2 \text{Ra} G_{11} + (D^2 - k^2)^2 F_{11}, \quad (31)$$

$$s G_{11} = F_{11} + (D^2 - k^2) G_{11}, \quad (32)$$

$$s G_{p11} = \chi (D^2 - k^2) G_{p11}, \quad (33)$$

with  $k = |\mathbf{k}|$ . The boundary conditions are:

$$F_{11} = DF_{11} = 0 \quad \text{at} \quad z = 0, 1 \quad (34)$$

$$G_{p11} = 0 \quad \text{at} \quad z = -\Lambda, 1 + \Lambda, \quad (35)$$

$$G_{11} = G_{p11} \quad \text{at} \quad z = 0, 1 \quad (36)$$

$$DG_{11} = \chi DG_{p11} \quad \text{at} \quad z = 0, 1. \quad (37)$$

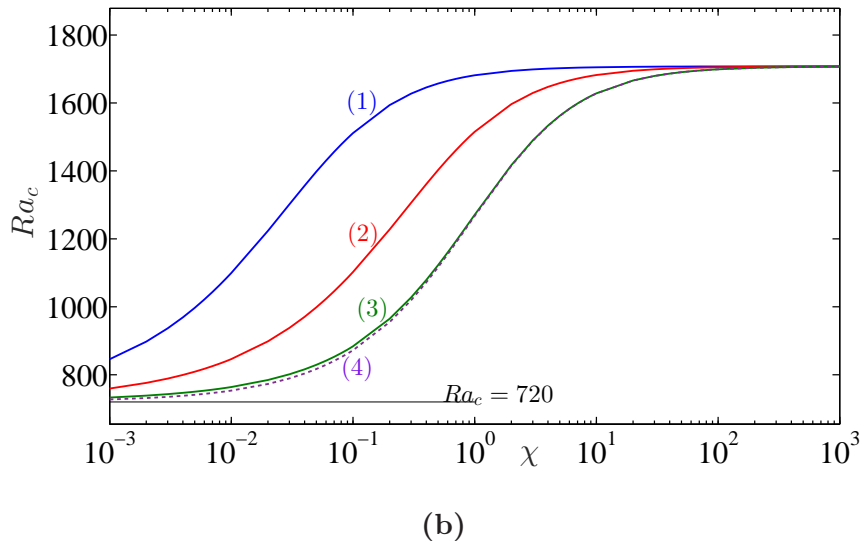
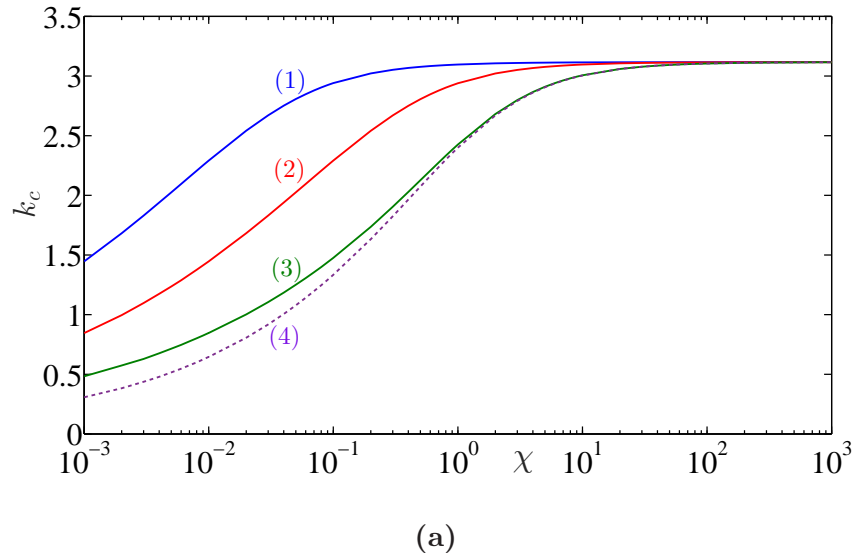


FIG. 1. Evolution of the critical wave number  $k_c$  (a) and the critical Rayleigh number  $Ra_c$  (b) as a function of ratio of the thermal conductivities  $\chi$  for different values of the slab thickness  $\Lambda$ : (1)  $\Lambda = 0.01$ , (2)  $\Lambda = 0.1$ , (3)  $\Lambda = 1$ , (4)  $\Lambda = 10$ .

The eigenvalue problem (31)-(33) with the boundary conditions (34)-(37) is solved using a Chebyshev collocation method. The marginal stability curve  $Ra(k)$  is determined by the condition  $s = 0$ . The minimum of the marginal stability curve gives the critical Rayleigh number  $Ra_c$  and critical wavenumber  $k_c$ . We recover the results of [13] for  $\Lambda = 1$  and we extend them to other thicknesses  $\Lambda$  on Fig.1. We observe that  $k_c$  and  $Ra_c$  decrease with decreasing the ratio  $\chi$  of conductivities. Actually,  $k_c$  and  $Ra_c$  vary from respectively, 3.11 and 1708 to 0 and 720 ([24], [11]). An explanation of this evolution can be found in [25]

in the limit of perfectly insulating slabs. From a physical point of view, such configuration means that the temperature field is fixed in the solid (or evolves on a very long time scale compared to that of the fluid). As a consequence, the temperature gradient, and therefore the energy flux, is fixed in the solid. Hence, the temperature fluctuations at the interface do not propagate inside the solid and primary bifurcation needs less energy to occur which explains the decrease of  $\text{Ra}_c$  with decreasing  $\chi$ .

### Remark

Linear stability analysis gives the critical Rayleigh number  $\text{Ra}_c$  for instability onset and determines the modulus  $k_c$  of the critical wave-vector  $\mathbf{k}$  of the unstable modes. The direction of  $\mathbf{k}$  is arbitrary. This orientation degeneracy is related to the isotropy of the horizontal plane [26]. There is also a pattern degeneracy that results from the linear theory itself; indeed, any superposition of normal modes

$$[w(\mathbf{r}, z), \theta(\mathbf{r}, z), \theta_p(\mathbf{r}, z)] = \sum_{\ell} c_{\ell} \exp(i\mathbf{k}_{\ell} \cdot \mathbf{r}) [F_{11}(z), G_{11}(z), G_{11p}(z)] \quad (38)$$

with  $|\mathbf{k}_{\ell}| = k_c$  and where the  $c_{\ell}$ 's are constant coefficients, is also a solution of the linear problem with a zero growth rate at criticality.

### B. Characteristic time of the instability

Near the onset of convection, the growth rate  $s$  of the perturbation may be approximated using Taylor expansion

$$s = \frac{\epsilon}{\tau_0} + O(\epsilon^2) \quad \text{with} \quad \epsilon = \frac{\text{Ra} - \text{Ra}_c}{\text{Ra}_c}, \quad (39)$$

where  $\tau_0$  is the characteristic time for the instability to grow. It is given by  $\tau_0 = \text{Ra}_c \left( \frac{\partial s}{\partial \text{Ra}} \right)_{k_c, \text{Ra}_c}$ . It is obtained from the curve, temporal amplification rate  $s$  versus Rayleigh number  $\text{Ra}$ , at the critical conditions. Its evolution is represented in Fig.2. The increase of  $\tau_0$  with decreasing  $\chi$  is related to the increase of the thermal diffusion time in the slab as  $\hat{k}_p$  diminishes. For  $\chi > 10$ , we recover the value corresponding to a perfect heat conductor,  $\tau_0 = 0.053$ . Note that  $\tau_0$  does not depend on the rheological parameters.



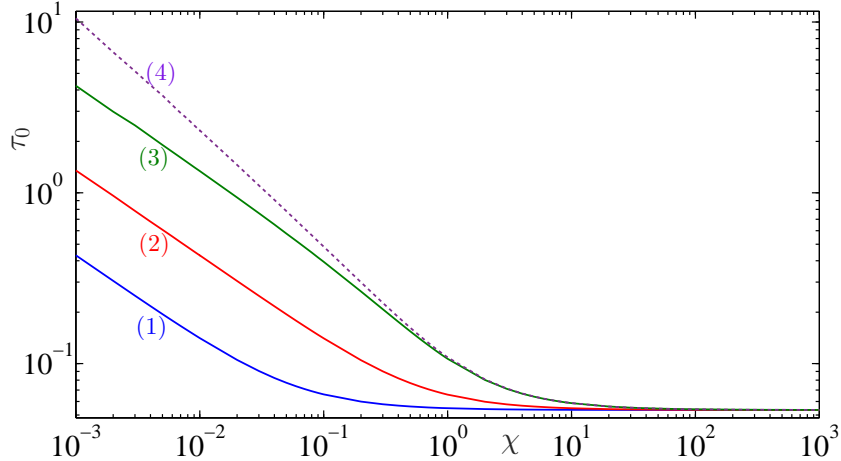


FIG. 2. Evolution of  $\tau_0$  versus  $\chi$  for  $\text{Pr} = 10$  and different values of  $\Lambda$ . (1)  $\Lambda = 0.01$ , (2)  $\Lambda = 0.1$ , (3)  $\Lambda = 1$ , (4)  $\Lambda = 10$ .

#### IV. PATTERN SELECTION AT THE ONSET OF CONVECTION

The selection of the convective pattern is determined by the non linearities of the problem, i.e. nonlinear inertial and nonlinear viscous terms. A weakly nonlinear analysis based on amplitude expansion method similar to that considered in [27], [28], [29], [30], [6] is used as a first approach to investigate nonlinear effects on the competition between convective patterns. Actually, the pattern that emerges near the onset of convection are either rolls or squares. Further calculations show that hexagons are unstable ([6], [12]).

##### A. Principles of the amplitude expansion method: case of square

For a square pattern, the fundamental solution in the linear regime is  $(A e^{i\mathbf{k}_1 \cdot \mathbf{r}} + B e^{i\mathbf{k}_2 \cdot \mathbf{r}}) \psi_{11}$ , with  $\mathbf{k}_2$  orthogonal to  $\mathbf{k}_1$  (the two vectors  $\mathbf{k}_1$  and  $\mathbf{k}_2$  have the same modulus),  $A$  and  $B$  are the complex amplitudes of the perturbation along the two wavevectors and  $\psi_{11}(z)$  stands for  $F_{11}(z), G_{11}(z)$  or  $G_{p11}(z)$ . The interaction of the fundamental solution with itself, through the quadratic nonlinear inertial terms produces the first harmonic  $(A^2 e^{2i\mathbf{k}_1 \cdot \mathbf{r}} + B^2 e^{2i\mathbf{k}_2 \cdot \mathbf{r}}) \psi_{22}$  and a coupling between modes  $\mathbf{k}_1$  and  $\mathbf{k}_2$ ,  $AB e^{i(\mathbf{k}_1 + \mathbf{k}_2) \cdot \mathbf{r}} \psi_{AB}$ . The interaction of the fundamental with its complex conjugate leads to an other coupling between modes  $\mathbf{k}_1$  and  $\mathbf{k}_2$ ,  $AB^* e^{i(\mathbf{k}_1 - \mathbf{k}_2) \cdot \mathbf{r}} \psi_{AB^*}$ , where  $(\cdot)^*$  denotes the complex conjugate and a correction of the base state,  $(|A^2| + |B^2|) \psi_{02}$ . The feedback at the cubic order on the fundamental solu-

tion through nonlinear inertial and viscous terms is  $(|A^2| + |B^2|)(Ae^{i\mathbf{k}_1 \cdot \mathbf{r}} + Be^{i\mathbf{k}_2 \cdot \mathbf{r}})\psi_{13}$ . From this cascade of nonlinear interactions, the nonlinear solution can be written as

$$\begin{aligned} \psi(\mathbf{r}, z, t) = & (A(t)e^{i\mathbf{k}_1 \cdot \mathbf{r}} + B(t)e^{i\mathbf{k}_2 \cdot \mathbf{r}})\psi_{11}(z) + c.c. + \\ & (A^2(t)e^{2i\mathbf{k}_1 \cdot \mathbf{r}} + B^2(t)e^{2i\mathbf{k}_2 \cdot \mathbf{r}})\psi_{22}(z) + A(t)B(t)e^{i(\mathbf{k}_1 + \mathbf{k}_2) \cdot \mathbf{r}}\psi_{AB}(z) + c.c. + \\ & (|A^2(t)| + |B^2(t)|)\psi_{02}(z) + A(t)B^*(t)e^{i(\mathbf{k}_1 - \mathbf{k}_2) \cdot \mathbf{r}}\psi_{AB^*}(z) + c.c. + \\ & (|A^2(t)| + |B^2(t)|)(A(t)e^{i\mathbf{k}_1 \cdot \mathbf{r}} + B(t)e^{i\mathbf{k}_2 \cdot \mathbf{r}})\psi_{13}(z) + c.c. + \dots \end{aligned} \quad (40)$$

In Eq. (40),  $\psi(\mathbf{r}, z, t)$  stands for the vertical velocity perturbation,  $w$ , or the temperature perturbation  $\theta$  or  $\theta_p$ . For the vertical velocity perturbation,  $\psi_{ij}$  is denoted  $F_{ij}$ , and for the temperature perturbation,  $\psi_{ij}$  is denoted  $G_{ij}$ .

In the square lattice, time evolution of the amplitude perturbations is governed by Stuart-Landau amplitude equations,

$$\frac{dA}{dt} = \frac{\epsilon}{\tau_0}A - (g_1|A|^2 + \beta|B|^2)A, \quad (41)$$

$$\frac{dB}{dt} = \frac{\epsilon}{\tau_0}B - (g_1|B|^2 + \beta|A|^2)B, \quad (42)$$

where  $g_1$  and  $\beta$  are respectively self-saturation and crossed-saturation coefficients. The form of the amplitude equations (41) and (42) is completely determined by the rules of invariance via symmetry by rotation of an angle  $\pi/2$  and by translation [31], [32]. Substituting (40)-(42) into (18)-(20) yields after some algebra to a set of differential equations for each mode that are solved sequentially. To avoid secular terms at the cubic order, compatibility conditions have to be enforced using the Fredholm alternative. The latter states that the resonating forcing terms have to be orthogonal to the kernel of the adjoint of the linear operator. This allows the determination of Landau saturation coefficients  $g_1$  and  $\beta$ .

## B. Nature of the primary bifurcation

As shown in [6], the self-saturation  $g_1$  and crossed saturation  $\beta$  coefficients can be written as the sum of Newtonian (N superscript) and non-Newtonian contributions (nN superscript):

$$g_1 = g_1^N - \alpha g_1^{nN}, \quad (43)$$

$$\beta = \beta^N - \alpha \beta^{nN}. \quad (44)$$

It is therefore possible to define a critical value  $\alpha_c$  of the shear-thinning degree above which the bifurcation becomes subcritical.

In the case of rolls,  $\beta = 0$  and

$$\alpha_c = \frac{g_1^N}{g_1^{nN}}. \quad (45)$$

In the case of squares,  $\beta \neq 0$  and

$$\alpha_c = \frac{g_1^N + \beta^N}{g_1^{nN} + \beta^{nN}}. \quad (46)$$

Variations of  $\alpha_c$  with  $\chi$  for different  $\Lambda$  are depicted in Fig.3 **(a)** and **(b)** for rolls and squares respectively. For large  $\chi$ , the asymptotic limit of  $\alpha$  is  $\alpha_c = 2.15 \times 10^{-4}$  in agreement with [6] and [4]. With decreasing  $\hat{K}_p$  (decreasing  $\chi$ ), the intensity of convection decreases, therefore, it is not surprising that stronger shear-thinning effects are needed to obtain a subcritical bifurcation.

### C. Convective patterns at threshold

A linear stability analysis of stationary roll and square solutions of Eqs. (41) and (42) allows to show that squares are stable when  $\beta < g_1$ , i.e. when the coupling between the two orthogonal modes that describe the square pattern is weak enough. By contrast, when  $\beta > g_1$ , the coupling is too strong, the squares lose their stability and rolls are the stable nonlinear state. A similar phenomenological description can be found in [26]. Figure 4 shows that with increasing  $\alpha$ ,  $\frac{\beta}{g_1}$  increases and thus the interaction between the two orthogonal modes  $\mathbf{k}_1$  and  $\mathbf{k}_2$  becomes stronger. A possible interpretation may be related to the reduction of viscosity with increasing shear-thinning effects, which leads to an increase of the convection intensity. Nonlinearities and coupling between modes become stronger which favor roll patterns.

Using shear-thinning decomposition of  $g_1$  and  $\beta$  (Eqs. (43) and (44)), it is found that rolls are stable when  $\alpha > \alpha_{S-R}$ , with :

$$\alpha_{S-R} = \frac{\beta^N - g_1^N}{\beta^{nN} - g_1^{nN}}. \quad (47)$$

Stability domains of squares and rolls are represented in the plane  $(\chi, \alpha)$  for different  $\Lambda$  in Fig.5. The curves represent the boundaries between squares and rolls: below the boundary, squares are stable, and above the boundary, rolls are the stable convective patterns. For the limit of Newtonian fluids i.e.  $\alpha = 0$ , we recover the results of the literature [12]: for

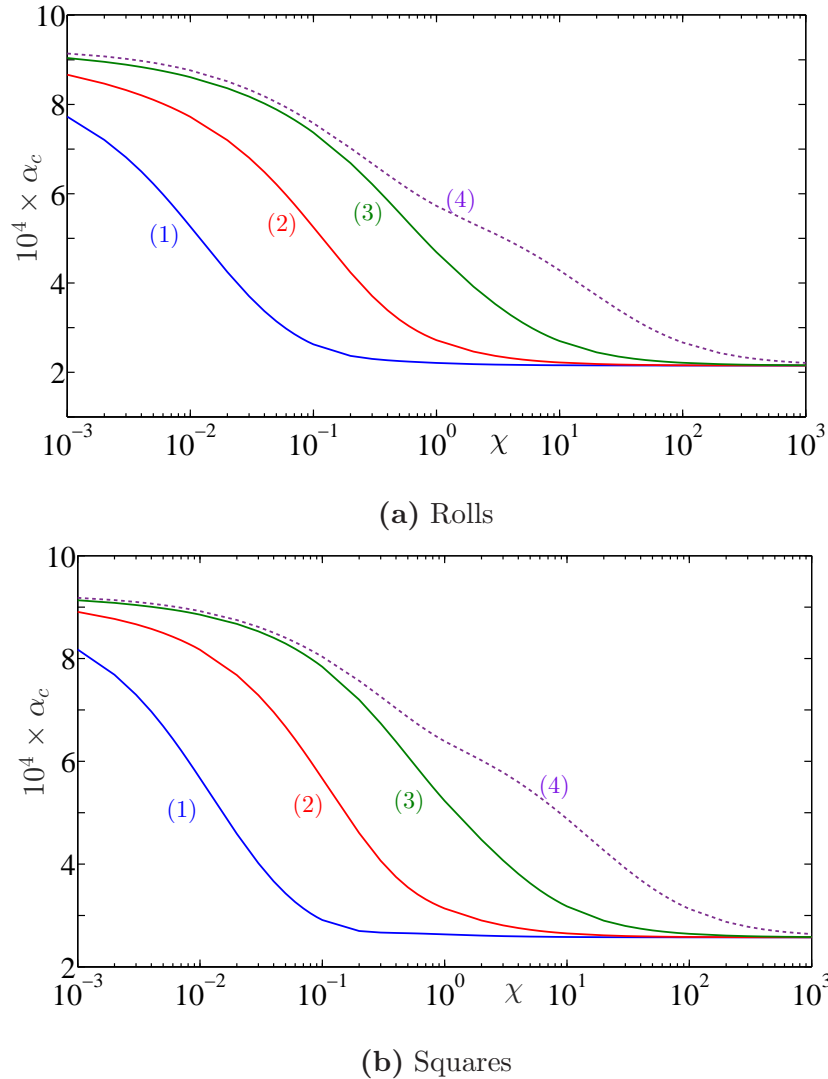


FIG. 3. Critical degree of shear-thinning, above which the primary bifurcation becomes subcritical, versus  $\chi$  for rolls **(a)** and squares **(b)**. (1)  $\Lambda = 0.01$ , (2)  $\Lambda = 0.1$ , (3)  $\Lambda = 1$ , (4)  $\Lambda = 10$ .

$Pr \geq 10$  and  $\Lambda = 1$ , rolls are stable patterns provided that  $\chi > \chi_c = 1$ . We notice that the domain of stability of squares shrinks when  $\Lambda$  decreases which is understandable. Indeed, the thinner the slabs are, the weaker the thermal resistance is. Then the problem is closer to the case of perfectly conducting slabs where rolls are the preferred patterns. For  $\Lambda > 1$ , the dependence of  $\alpha_{S-R}$  with respect to  $\Lambda$  is weak.

Finally, we observe that  $\alpha_{S-R}$  increases as  $\chi$  decreases. Poorly conducting slabs favor square patterns as shown in [10], [11] and [12], so stronger shear-thinning effects are necessary so that rolls become the preferred planform. This last result is in agreement with [13].

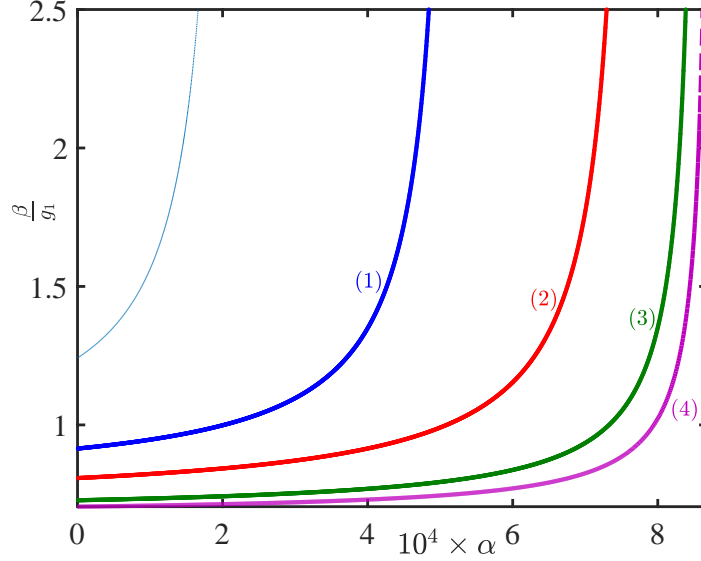


FIG. 4. The ratio  $\frac{\beta}{g_1}$  as a function of  $\alpha$  for  $\chi = 10^{-2}$  and different values of  $\Lambda$ : (1)  $\Lambda = 0.01$ , (2)  $\Lambda = 0.1$ , (3)  $\Lambda = 1$ , (4)  $\Lambda = 10$ . The thin curve corresponds to the case of perfect heat conductor plates. It is represented as a reference curve.

#### D. Flow structure, viscosity and temperature fields

In this section, features of the flow, temperature distribution and shear-thinning effects on the viscosity field in a roll and a square solutions are studied for highly and poorly conducting slabs.

##### 1. Case of highly conducting walls: $\chi = 100, \Lambda = 1$

The flow structure and the viscosity field for a roll solution are illustrated by Fig.6. The interior of the roll is practically isoviscous with  $\mu \approx 1$ . The viscosity is minimal at the walls where the shear-rate  $\dot{\gamma}_{xz}$  is maximal. It is also weakly reduced at the four corners for a roll because of the elongational rate  $\dot{\gamma}_{zz} = -\dot{\gamma}_{xx}$ .

The distribution of the temperature perturbation over a roll with hot ascending flow and cold descending flow is illustrated by Fig. 7. It vanishes at the walls, because of the high value of the thermal conductivities ratio,  $\chi$ .

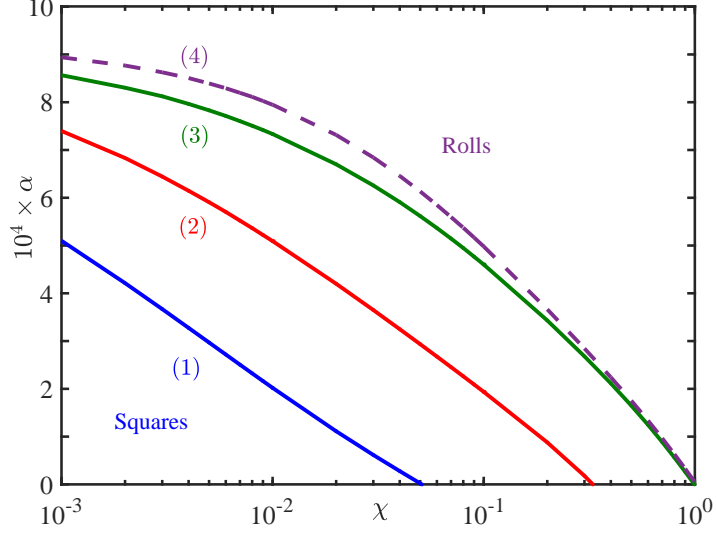


FIG. 5. Stability domains of rolls and squares as a function of  $\chi$  and  $\alpha$  for different values of  $\Lambda$ : (1)  $\Lambda = 0.01$ , (2)  $\Lambda = 0.1$ , (3)  $\Lambda = 1$ , (4)  $\Lambda = 10$ .

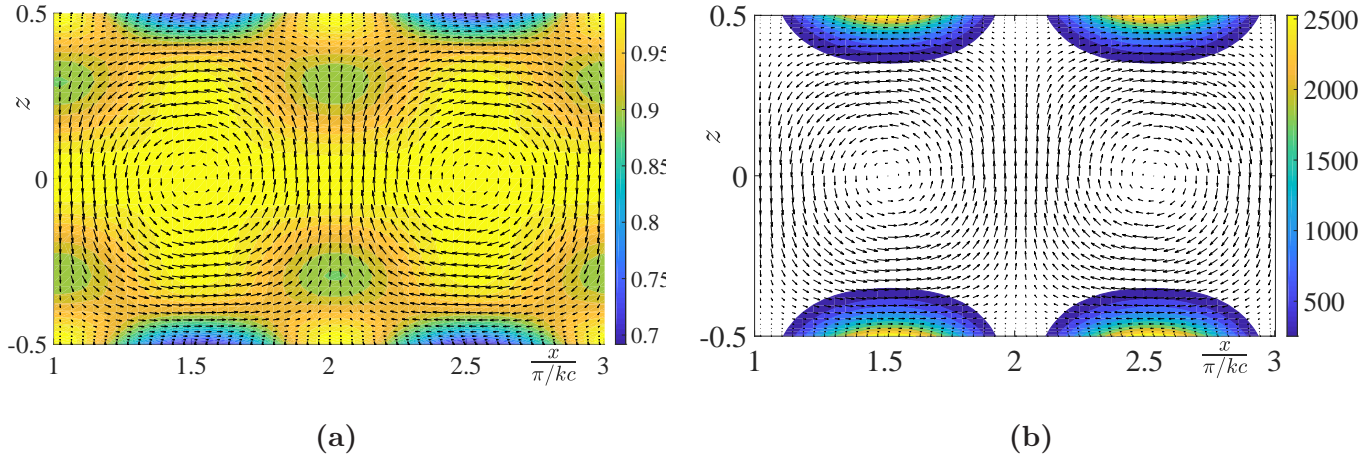


FIG. 6. Rolls. (a) Velocity vectors and viscosity field over a roll for a Carreau fluid with  $\alpha = 10^{-4}$  at  $\epsilon = 0.1$ . (b) Distribution of  $\dot{\gamma}_{xz}^2$ . In the white zone,  $\dot{\gamma}_{xz}^2 < 200$ .

## 2. Case of poorly conducting walls: $\chi = 0.01, \Lambda = 1$

Because of the symmetries of the square solution, no fluid passes through the vertical diagonal planes and the vertical cell boundaries. The sides of the square have a length equal to  $2\pi/k_c$ . The viscosity distribution and the velocity field in a horizontal plane close to the upper wall ( $z = 0.49$ ) and in a vertical diagonal planes are illustrated by Fig. 8 for Carreau fluid with  $\alpha = 10^{-4}$  at  $\epsilon = 0.1$ . The viscosity is minimal at location where the shear rate  $\dot{\gamma}_{xz}$

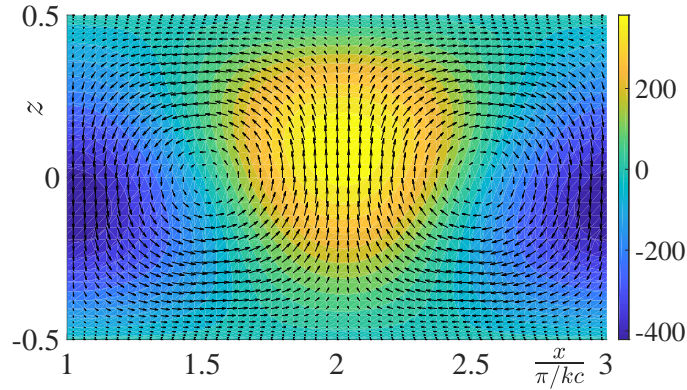


FIG. 7. Rolls. Contours of temperature perturbation over a roll with hot ascending flow and cold descending flow. Case of Carreau fluid with  $\alpha = 10^{-4}$  at  $\epsilon = 0.1$ .

and  $\dot{\gamma}_{yz}$  (dark regions in Fig. 8(a)). Contours of the temperature perturbation in a diagonal square cell section and in a lateral section which delimits the square cell are shown in Fig. 9. It is worthy to note that the temperature perturbation does not vanish at the walls and the vertical thermal gradient is weak.

#### E. Comparison between roll and square solutions for fixed $\chi$ and $\lambda$

For fixed values  $\chi$  and  $\lambda$ , velocity and viscosity fields are determined for roll and square solutions. It is observed that the maximum of shear rate and therefore the minimum of viscosity occurs for the stable pattern.

#### F. Heat transfer

The heat transfer through the horizontal fluid layer is described by the Nusselt number, Nu, the ratio of the total heat to the purely conductive heat flux, i.e. when the fluid is at rest.

$$\text{Nu} = 1 - \left( \frac{\partial \bar{\theta}}{\partial z} \right)_{z=-1/2} = 1 - (|A|^2 + |B|^2) (DG_{02})_{z=-1/2}. \quad (48)$$

where  $(|A|^2 + |B|^2) G_{02}$  is the modification at the second order of the conductive temperature profile due to the interaction of the fundamental mode with its complex conjugate. The overbar denotes the average over one wavelength. Using the stationary solutions of the



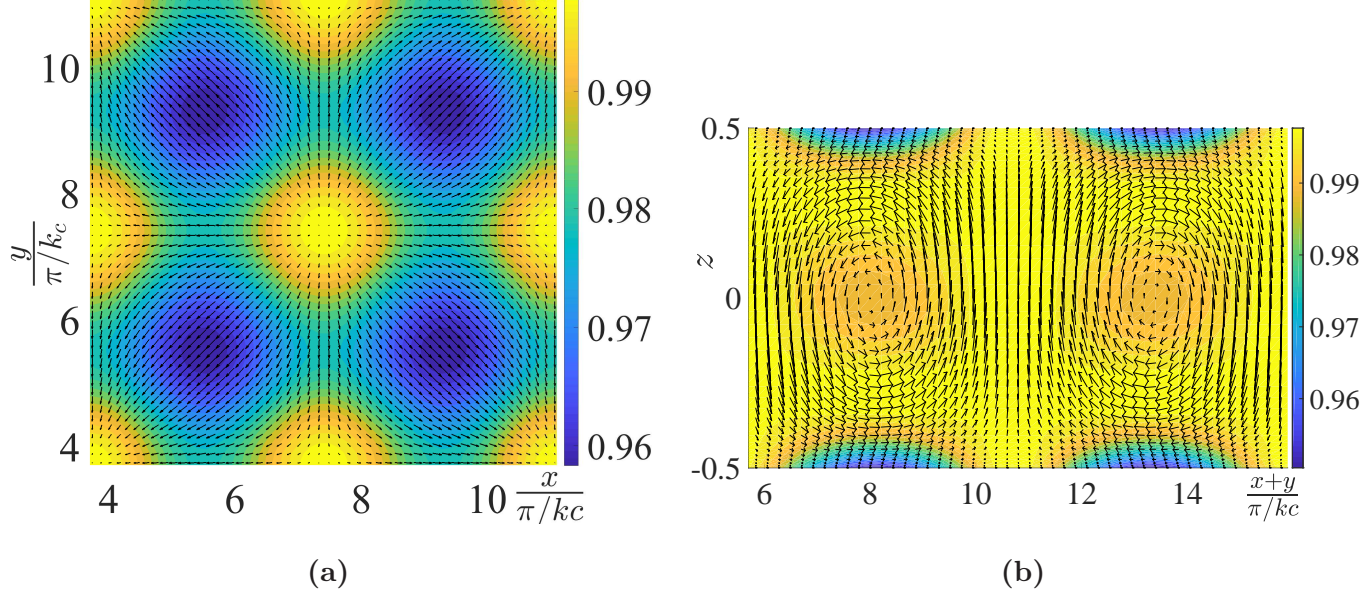


FIG. 8. Squares,  $\chi = 0.01, \Lambda = 1$ . Velocity vectors and viscosity field in **(a)** a horizontal plane close to the upper wall and **(b)** in a vertical diagonal plane. Case of Carreau fluid with  $\alpha = 10^{-4}$  at  $\epsilon = 0.1$ .

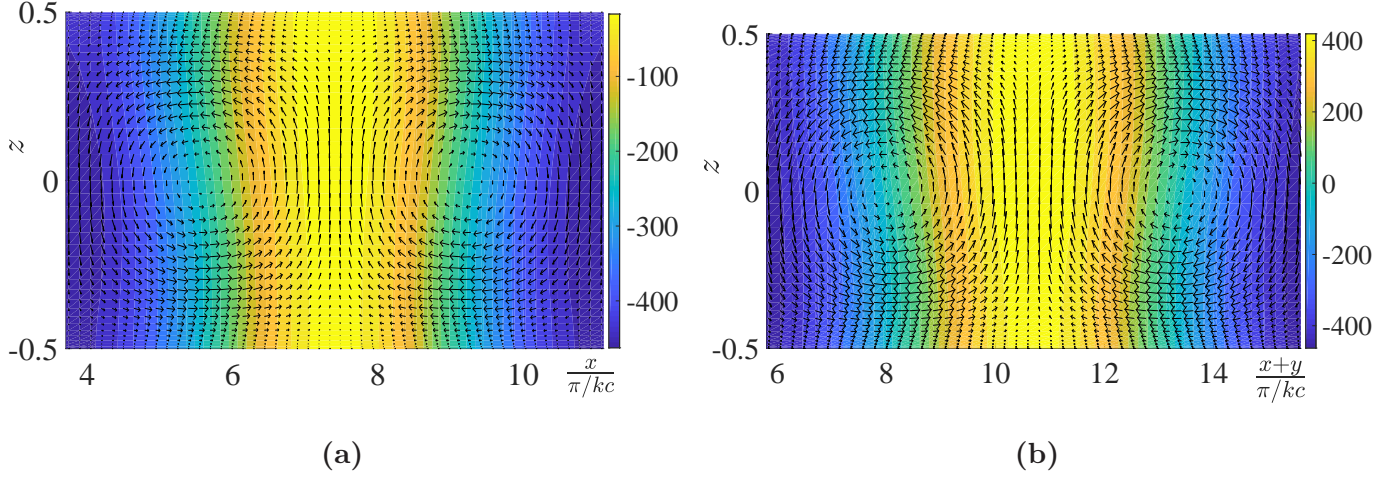


FIG. 9. Squares,  $\chi = 0.01, \Lambda = 1$ . Temperature distribution and velocity vectors in **(a)** a lateral section which delimits the square cell,  $y = \pi/k_c$  and **(b)** in a vertical diagonal section. Case of Carreau fluid with  $\alpha = 10^{-4}$  at  $\epsilon = 0.1$ .

amplitude equations, one obtains:

$$\text{Nu}_r = 1 - \frac{\epsilon}{\tau_0 g_1} (DG_{02})_{z=-1/2} \quad \text{for rolls,} \quad (49)$$

$$\text{Nu}_s = 1 - \frac{2\epsilon}{\tau_0 (g_1 + \beta)} (DG_{02})_{z=-1/2} \quad \text{for squares.} \quad (50)$$



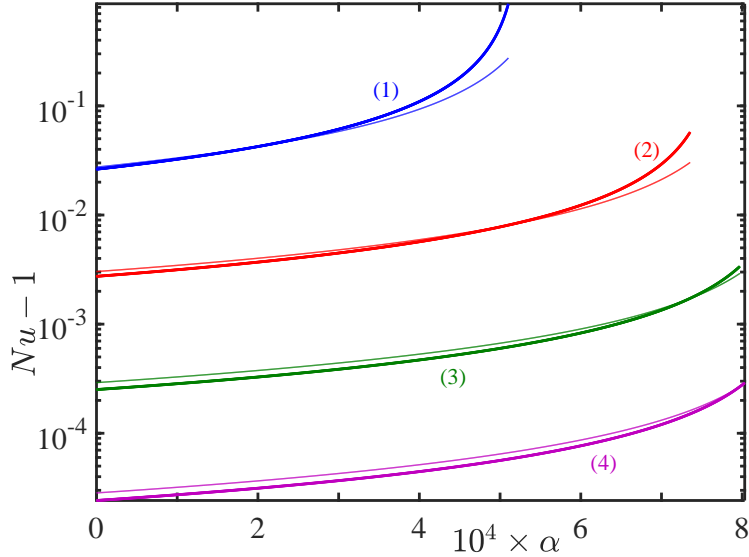


FIG. 10. The Nusselt number as a function of the shear-thinning degree  $\alpha$  at  $\epsilon = 0.1$ ,  $\chi = 0.01$ ,  $\text{Pr} = 10$  and different values of  $\Lambda$ : (1)  $\Lambda = 0.01$ , (2)  $\Lambda = 0.1$ , (3)  $\Lambda = 1$  and (4)  $\Lambda = 10$ . (thick lines) Rolls, (thin lines) Squares.

The Figure 10 shows the variation of the Nusselt number as a function of the shear-thinning degree at  $\epsilon = 0.1$ ,  $\chi = 0.01$  and four different values of  $\Lambda$ . The Nusselt number increases with increasing shear-thinning effects in agreement with [33], [34], [6]. This is a consequence of the increase of the rolls amplitude. As expected, the Nusselt number decreases significantly with increasing the slab thickness. The difference between  $\text{Nu}$  rolls and  $\text{Nu}$  squares is small. Nevertheless,  $\text{Nu}$  is larger for the stable convective pattern in agreement with the maximum heat transfer principle: “the only stable solution is the one of maximum heat transport [35], [36]”. This is also illustrated by Fig. 11 where  $\text{Nu}$  is represented as a function of  $\epsilon$  for given  $\alpha = 4 \times 10^{-4}$  and  $\chi = 10^{-2}$ . At  $\Lambda = 1$  and  $\Lambda = 0.1$  squares are stable and rolls are unstable, whereas at  $\Lambda = 0.01$ , rolls are stable. Other principles can be considered to predict the stable pattern such the maximum entropy production or the maximum viscous dissipation [37], [38]. Indeed, it can be shown that for a steady solution,  $\text{Ra}(\text{Nu} - 1) = \int_{\Omega} \tau_{ij} \hat{\gamma}_{ij} d\Omega$  [39], where  $\Omega$  is a domain delimited by the top and bottom walls and one wavelength in the  $x$ - and  $y$ - directions. For shear-thinning fluids one can consider the principle of maximum viscosity reduction, as indicated in the previous section.

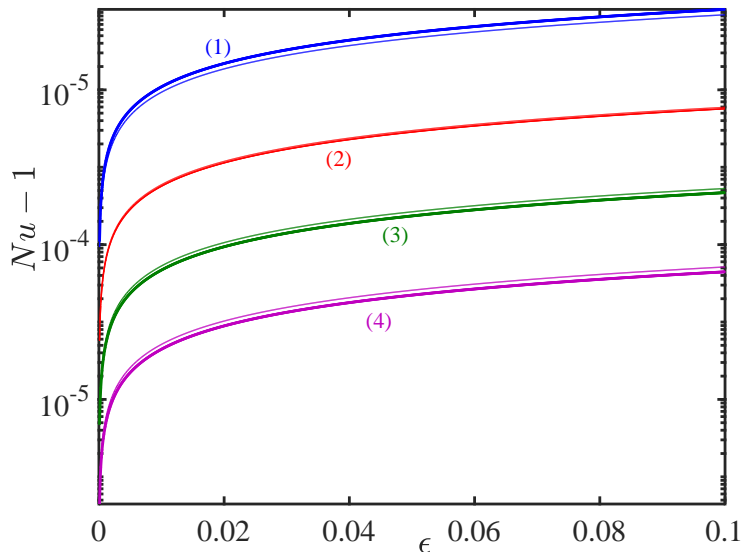


FIG. 11. Nusselt number vs  $\epsilon$  at  $\alpha = 4 \times 10^{-4}$ ,  $\chi = 10^{-2}$  and four different values of  $\Lambda$ . (thick lines) Rolls, (thin lines) Squares.

## V. SECONDARY INSTABILITIES

Departing from the critical conditions, a band of wavenumbers of width  $\mathcal{O}(\sqrt{\epsilon})$ , centered on  $k = k_c$  will now have a positive growth-rate. The wavepacket centered on the most unstable wavenumber can be considered as a monochromatic wave, with complex amplitude modulated in space and time.

In a square lattice, and up to third order in the perturbations, the spatio-temporal evolution of the amplitudes is described by a set of two coupled Ginzburg-Landau equations derived by Newell & Whitehead [20] and Segel [40]:

$$\frac{\partial A}{\partial t} = \frac{\epsilon}{\tau_0} A + \frac{\xi_0^2}{\tau_0} \left( \frac{\partial}{\partial x} - \frac{i}{2k_c} \frac{\partial^2}{\partial y^2} \right)^2 A - (g_1 |A|^2 + \beta |B|^2) A, \quad (51)$$

$$\frac{\partial B}{\partial t} = \frac{\epsilon}{\tau_0} B + \frac{\xi_0^2}{\tau_0} \left( \frac{\partial}{\partial y} - \frac{i}{2k_c} \frac{\partial^2}{\partial x^2} \right)^2 B - (g_1 |B|^2 + \beta |A|^2) B, \quad (52)$$

where the coherence length  $\xi_0$  is defined by  $\xi_0^2 = \frac{1}{2\text{Ra}_c} \left( \frac{\partial^2 \text{Ra}}{\partial k^2} \right)_{\text{Ra}_c, k_c}$ . It does not depend on rheological properties and can be calculated from the curve of the growth-rate  $\sigma$  versus  $(k - k_c)$ . For  $\chi \leq 10$ , the coherence length  $\xi_0$  varies between 0.375 and 0.415. For  $\chi > 10$ ,

we obtain  $\xi_0 = 0.386$  which is in agreement with the literature [41].

### A. Stability of a roll modulated solution

In the following, we consider first the case where rolls emerge at primary bifurcation ( $\alpha > \alpha_{S-R}$ ). We look for a stationary solution of the system (51)-(52), of the form:

$$A_0 = R_0 \exp(iqx) \text{ where } q = k - k_c, \quad (53)$$

$$B_0 = 0. \quad (54)$$

Substituting the above expressions into (51) leads to:

$$R_0 = \sqrt{\frac{\epsilon - \xi_0^2 q^2}{g_1 \tau_0}}. \quad (55)$$

Thereafter, we examine the stability of the stationary solution (53), (54) with respect to infinitesimal perturbations, in terms of amplitudes  $r_A$  and  $r_B$  and phases  $\Phi_A$  and  $\Phi_B$ . The perturbed solution can be written as:

$$A(x, y, t) = (R_0 + r_A(x, y, t)) \exp(i(\Phi_A(x, y, t) + qx)) \quad (56)$$

$$B(x, y, t) = r_B(x, y, t) \exp(i\Phi_B(x, y, t)) \quad (57)$$

Substituting expressions (56) and (57) into (51) and (52), we obtain after linearization and separating the real and imaginary parts of the equations:

$$\frac{\partial r_A}{\partial t} = -2g_1 R_0^2 r_A + \frac{\xi_0^2}{\tau_0} \left( \frac{\partial^2 r_A}{\partial x^2} - 2qR_0 \frac{\partial \Phi_A}{\partial x} + \frac{q}{k_c} \frac{\partial^2 r_A}{\partial y^2} + \frac{R_0}{k_c} \frac{\partial^3 \Phi_A}{\partial x \partial y^2} - \frac{1}{4k_c^2} \frac{\partial^4 r_A}{\partial y^4} \right), \quad (58)$$

$$\frac{\partial \Phi_A}{\partial t} = \frac{\xi_0^2}{\tau_0} \left( \frac{\partial^2 \Phi_A}{\partial x^2} + \frac{2q}{R_0} \frac{\partial r_A}{\partial x} + \frac{q}{k_c} \frac{\partial^2 \Phi_A}{\partial y^2} - \frac{1}{k_c R_0} \frac{\partial^3 r_A}{\partial x \partial y^2} - \frac{1}{4k_c^2} \frac{\partial^4 \Phi_A}{\partial y^4} \right), \quad (59)$$

$$\frac{\partial r_B}{\partial t} = (g_1 - \beta) R_0^2 r_B + q^2 \frac{\xi_0^2}{\tau_0} r_B + \frac{\xi_0^2}{\tau_0} \left( \frac{\partial^2 r_B}{\partial y^2} - \frac{1}{4k_c^2} \frac{\partial^4 r_B}{\partial x^4} \right). \quad (60)$$

Equation associated with  $\partial \Phi_B / \partial t$  does not contain linear terms so  $\Phi_B$  does not intervene at the first order. Using normal mode decomposition i.e.  $\Psi(x, y, t) = \tilde{\Psi} \exp(\sigma t + i(Q_1 x + Q_2 y))$  where  $\Psi$  stands for  $r_A$ ,  $\Phi_A$  and  $r_B$ , an eigenvalue problem is derived:

$$\mathbf{LX} = \sigma \mathbf{X} \quad (61)$$

where  $\mathbf{X} = (\widetilde{r}_A, \widetilde{\Phi}_A, \widetilde{r}_B)^T$  is the eigenvector,  $\sigma$  the eigenvalue and  $\mathbf{L}$  a  $3 \times 3$  square matrix arising from equations (58), (59) and (60). Note that the eigenvalue problem corresponding to (60) can be solved independently from the whole system.

We consider the long wavelength limit where  $Q_1 \rightarrow 0$  and  $Q_2 \rightarrow 0$ . In that case, the relevant eigenvalues of the former system are given by:

$$\sigma_1 = -2g_1 R_0^2 + \mathcal{O}(Q_1), \quad (62)$$

$$\sigma_2 = -\frac{\xi_0^2}{\tau_0} Q_1^2 \left[ 1 - \frac{2\xi_0^2}{\tau_0} \frac{q^2}{g_1 R_0^2} \right] - \frac{\xi_0^2}{\tau_0} \frac{q Q_2^2}{k_c} + \mathcal{O}(Q_1^2 Q_2^2), \quad (63)$$

$$\sigma_3 = \frac{\xi_0^2}{\tau_0} q^2 + (g_1 - \beta) R_0^2 + \mathcal{O}(Q_1^4, Q_2^2). \quad (64)$$

The eigenvector  $(\widetilde{r}_A, \widetilde{\Phi}_A)$  associated with the first eigenvalue  $\sigma_1 = -2g_1 R_0^2 < 0$  is  $(\mathcal{O}(1/Q_1), 1)$ . Therefore,  $\sigma_1$  describes the relatively rapid relaxation of the amplitude perturbation  $r_A$  to its equilibrium value. The eigenvector  $(\widetilde{r}_A, \widetilde{\Phi}_A)$  associated with  $\sigma_2$  is  $(\mathcal{O}(Q_1), 1)$ . The second eigenvalue describes the evolution of the phase perturbation  $\Phi_A$ . The third root  $\sigma_3$  describes the evolution of rolls growing perpendicularly to the original ones.

The eigenvalue  $\sigma_2$  can also be derived using the phase approximation. This approach described in [32] and [42] relies on the fact that the amplitude  $r_A$  relaxes quickly with time, it can be considered to be adiabatically slaved to the phase  $\Phi_A$ . This comes down to writing  $\partial r_A / \partial t = 0$ . Furthermore, in the long wavelength limit, spatial derivatives are very small compared to the variables themselves. Therefore, the amplitude  $r_A$  is approximately given by its adiabatic value

$$r_A = -\frac{q \xi_0^2}{g_1 R_0 \tau_0} \frac{\partial \Phi_A}{\partial x}. \quad (65)$$

This expression is substituted in (59) to determine the evolution of  $\phi_A$ . A phase-diffusion equation is then derived:

$$\frac{\partial \Phi_A}{\partial t} = D_{\parallel} \frac{\partial^2 \Phi_A}{\partial x^2} + D_{\perp} \frac{\partial^2 \Phi_A}{\partial y^2}. \quad (66)$$

The longitudinal  $D_{\parallel}$  and transverse  $D_{\perp}$  phase diffusion coefficients are given by

$$D_{\parallel} = \frac{\xi_0^2}{\tau_0} \left( 1 - \frac{2q^2}{g_1 R_0^2} \frac{\xi_0^2}{\tau_0} \right) \quad \text{and} \quad D_{\perp} = \frac{\xi_0^2}{\tau_0} \frac{q}{k_c}. \quad (67)$$

Equation (66) shows that a perturbation of the wavenumber leads to a readjustment of the system through a phase diffusion process. The eigenvalue which stems from (66) is the same as  $\sigma_2$ .

### 1. Eckhaus instability

For perturbations that vary only in the  $x$ -direction ( $Q_2 = 0$ ), the eigenvalue  $\sigma_2$  (63) reduces to

$$\sigma_2 = -Q_1^2 D_{\parallel} + \mathcal{O}(Q_1^4). \quad (68)$$

For positive longitudinal phase-diffusion coefficient  $D_{\parallel}$ ,  $\sigma_2$  is negative, the perturbation is damped and the roll solution (53) is stable. Using (55), the stability is satisfied if

$$\epsilon > \epsilon_E = 3q^2 \xi_0^2, \quad (69)$$

where the subscript E means ‘‘Eckhaus’’. Note that, this instability does not depend on the rheological parameters.

### 2. Zigzag instability

For perturbations that vary only in the  $y$ -direction ( $Q_1 = 0$ ), the eigenvalue  $\sigma_2$  (63) reduces to

$$\sigma_2 = -Q_2^2 D_{\perp} + \mathcal{O}(Q_2^4) \quad (70)$$

For negative  $D_{\perp}$ , i.e. when  $q$  is negative ( $k < k_c$ ), rolls at wavelength greater than the critical one, the eigenvalue  $\sigma_2$  is positive, the perturbation is amplified and the roll solution (53) is unstable. In this case, the rolls will saturate into bends that decrease the wavelength.

### 3. Cross-Roll instability

The eigenvalue  $\sigma_3$  (64) corresponds to the cross-roll (CR) instability. The system is CR stable if  $\sigma_3 < 0$ . Using (55), the system is CR stable if

$$\epsilon > \epsilon_{CR} = \frac{\beta}{\beta - g_1} q^2 \xi_0^2, \quad (71)$$

where the subscript CR means "cross-roll". When  $\epsilon < \epsilon_{CR}$ , the stationary roll solution (53) becomes unstable: new rolls expand perpendicularly. It can be shown straightforwardly that the cross-roll is a more restrictive instability than the Eckhaus instability, when

$$\beta < \frac{3}{2}g_1. \quad (72)$$

- For a Newtonian fluid at  $Pr = 10$  and in the case of perfectly conducting walls,  $\beta/g_1 = 1.242$ . Decreasing the conductivity of the wall,  $\chi$ , will decrease  $(\beta/g_1)$  and will give a narrow band of stable rolls. The width of this band vanishes as the singularity  $\beta/g_1 \rightarrow 1^+$  is approached.

- For a shear-thinning fluid,  $\beta/g_1$  increases with increasing shear-thinning effects. Combining (72) with (43) and (44), we can define a shear-thinning degree  $\alpha_{CR-E}$ , below which cross-roll is the more restrictive instability:

$$\alpha_{CR-E} = \frac{3g_1^N - 2\beta^N}{3g_1^{nN} - 2\beta^{nN}}. \quad (73)$$

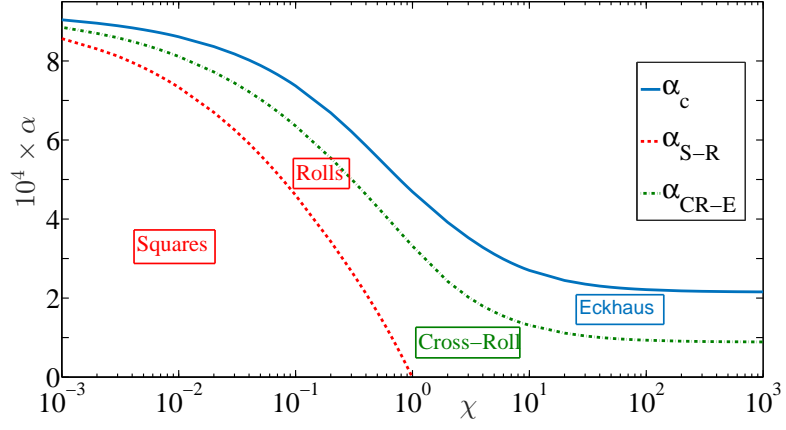
For  $\alpha > \alpha_{CR-E}$ , the Eckhaus instability takes over as the most restrictive of the two. The Figure 12 shows the variation of  $\alpha_{CR-E}$  as a function of the conductivity of the walls and for different values of the thickness  $\Lambda$ . We have also represented the boundaries  $\alpha_{S-R}$  and  $\alpha_c$  (limit of subcritical bifurcation). As expected,  $\alpha_{CR-E}$  increasing with decreasing  $\chi$ . In the Figure 13, we have represented in the plane  $(k, Ra)$  the curves which delimit the stability domain of rolls with respect to (i) cross-roll instability for different  $\alpha$  and (ii) Eckhaus instability (which is independent of  $\alpha$ ). With increasing shear-thinning effects ( $\beta/g_1$  increases), the CR stability boundary enlarges and becomes less restrictive than Eckhaus instability for  $\alpha > \alpha_{CR-E}$ .

## B. Stability of a square modulated solution

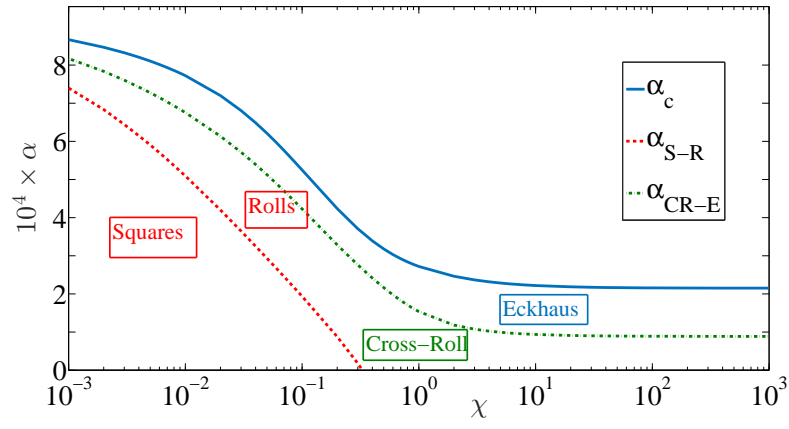
In the case where the convection starts with perfect square patterns, a stationary solution is given by:

$$A_0(x) = R_0 \exp(ikx) \quad \text{where} \quad q = k - k_c, \quad (74)$$

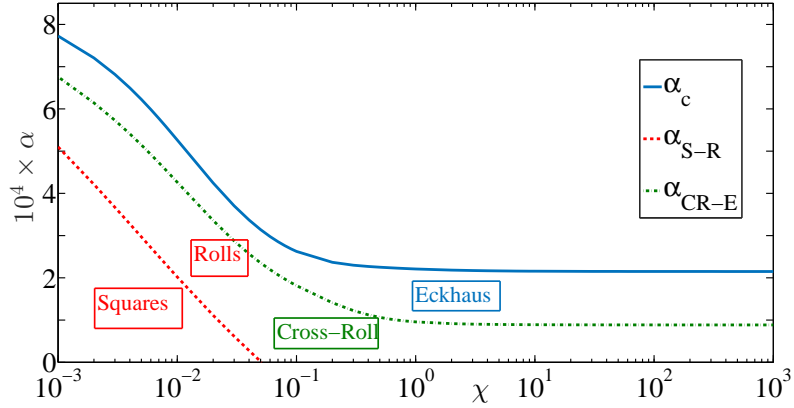
$$B_0(y) = R_0 \exp(iqy). \quad (75)$$



(a)  $\Lambda = 1$



(b)  $\Lambda = 0.1$



(c)  $\Lambda = 0.01$

FIG. 12. Boundary between Eckhaus and cross-roll instabilities for different slabs' thicknesses  $\Lambda$ : (a)  $\Lambda = 1$  and (b)  $\Lambda = 0.1$ , (c)  $\Lambda = 0.01$

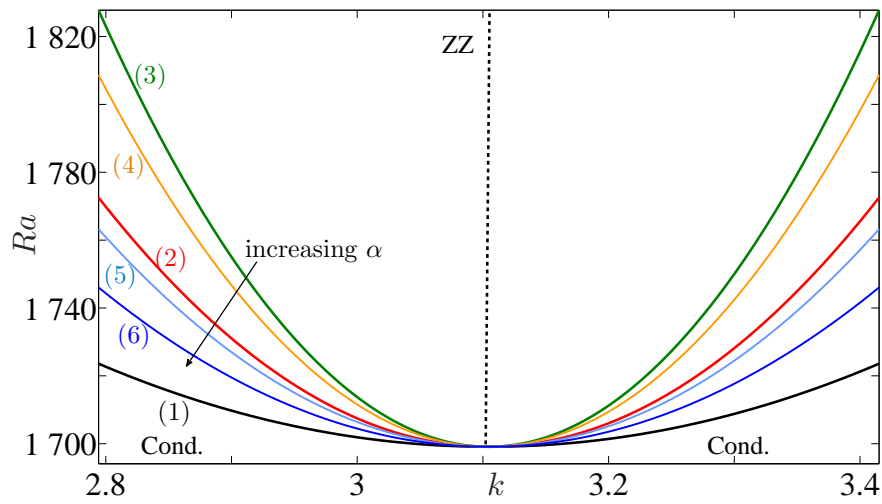


FIG. 13. Influence of shear thinning effects on stability boundaries for convection rolls as a function of the Rayleigh number  $Ra$  and the wavenumber  $k$  for  $\Lambda = 1$ ,  $Pr = 10$  and  $\chi = 100$ . (1) Marginal stability curve, (2) Eckhaus boundary, (3) CR boundary for a Newtonian fluid, (4) CR boundary for a shear-thinning fluid with  $\alpha = 0.2 \times \alpha_c = 4.3 \cdot 10^{-5}$ , (5) CR boundary for  $\alpha = 0.7 \times \alpha_c = 1.5 \cdot 10^{-4}$ , (6) CR boundary for  $\alpha = 0.9 \times \alpha_c = 1.93 \cdot 10^{-4}$ . The vertical dotted line is the zigzag (ZZ) boundary. The conductive state labeled Cond., is stable below curve (1).

Replacing these expressions in (51) and (52) leads to :

$$R_0 = \sqrt{\frac{\epsilon - \xi_0^2 q^2}{(g_1 + \beta)\tau_0}}. \quad (76)$$

As in the case of rolls, we carry out a linear stability analysis of the stationary square solution (74)-(76). A perturbation of the form

$$A(x, y, t) = (R_0 + r_A(x, y, t)) \exp(i(\Phi_A(x, y, t) + qx)), \quad (77)$$

$$B(x, y, t) = (R_0 + r_B(x, y, t)) \exp(i(\Phi_B(x, y, t) + qy)), \quad (78)$$



is introduced. Substituting, the amplitudes  $A$  and  $B$  by their expressions (77), (78) into (51) and (52) leads after linearization:

$$\begin{aligned} \frac{\partial r_A}{\partial t} = & -2g_1 R_0^2 r_A - 2\beta R_0^2 r_B + \\ & \frac{\xi_0^2}{\tau_0} \left( \frac{\partial^2 r_A}{\partial x^2} - 2qR_0 \frac{\partial \Phi_A}{\partial x} + \frac{q}{k_c} \frac{\partial^2 r_A}{\partial y^2} + \frac{R_0}{k_c} \frac{\partial^3 \Phi_A}{\partial x \partial y^2} - \frac{1}{4k_c^2} \frac{\partial^4 r_A}{\partial y^4} \right), \end{aligned} \quad (79)$$

$$\frac{\partial \Phi_A}{\partial t} = \frac{\xi_0^2}{\tau_0} \left( \frac{\partial^2 \Phi_A}{\partial x^2} + \frac{2q}{R_0} \frac{\partial r_A}{\partial x} - \frac{1}{k_c R_0} \frac{\partial^3 r_A}{\partial x \partial y^2} + \frac{q}{k_c} \frac{\partial^2 \Phi_A}{\partial y^2} - \frac{1}{4k_c^2} \frac{\partial^4 \Phi_A}{\partial y^4} \right), \quad (80)$$

$$\begin{aligned} \frac{\partial r_B}{\partial t} = & -2g_1 R_0^2 r_B - 2\beta R_0^2 r_A + \\ & \frac{\xi_0^2}{\tau_0} \left( \frac{\partial^2 r_B}{\partial y^2} - 2qR_0 \frac{\partial \Phi_B}{\partial y} + \frac{q}{k_c} \frac{\partial^2 r_B}{\partial x^2} + \frac{R_0}{k_c} \frac{\partial^3 \Phi_B}{\partial x^2 \partial y} - \frac{1}{4k_c^2} \frac{\partial^4 r_B}{\partial x^4} \right), \end{aligned} \quad (81)$$

$$\frac{\partial \Phi_B}{\partial t} = \frac{\xi_0^2}{\tau_0} \left( \frac{\partial^2 \Phi_B}{\partial y^2} + \frac{2q}{R_0} \frac{\partial r_B}{\partial y} - \frac{1}{k_c R_0} \frac{\partial^3 r_B}{\partial x^2 \partial y} + \frac{q}{k_c} \frac{\partial^2 \Phi_B}{\partial x^2} - \frac{1}{4k_c^2} \frac{\partial^4 \Phi_B}{\partial x^4} \right). \quad (82)$$

Using a normal modes decomposition, i.e.  $\Psi(x, y, t) = \tilde{\Psi} \exp(\sigma t + i(Q_1 x + Q_2 y))$ , where  $\Psi$  stands for  $r_A, \Phi_A, r_B, \Phi_B$ , the following eigenvalue problem is derived:

$$\mathbf{M}\mathbf{X} = \sigma\mathbf{X}. \quad (83)$$

In Equation (83),  $\mathbf{X} = (\tilde{r}_A, \tilde{\Phi}_A, \tilde{r}_B, \tilde{\Phi}_B)^T$  is the eigenvector,  $\sigma$  the eigenvalue and  $\mathbf{M}$  the  $4 \times 4$  square matrix arising from equations (79)-(82). The eigenvalues and eigenvectors can be determined numerically using Matlab. Examples of results are shown in Appendix A.

Actually, we are particularly interested by the long wavelength limit approach, i.e.  $Q_1 \rightarrow 0$  and  $Q_2 \rightarrow 0$ . In this approach, the eigenvalues  $\sigma_1$  and  $\sigma_2$  associated with the amplitudes  $r_A$  and  $r_B$  respectively, are given by

$$\sigma_1 = -2R_0^2 (g_1 + \beta) + \mathcal{O}(Q_1^2, Q_2^2) \quad ; \quad \sigma_2 = -2R_0^2 (g_1 - \beta) + \mathcal{O}(Q_1^2, Q_2^2) \quad (84)$$

Since, in this case  $\beta$  and  $g_1$  are positive and  $\beta < g_1$ , the amplitude modes  $r_A$  and  $r_B$  decrease quickly with time and can be considered adiabatically slaved to the phase modes  $\Phi_A$  and  $\Phi_B$ . They can be approximated by their adiabatic values:

$$r_A = \frac{q}{R_0(g_1^2 - \beta^2)} \frac{\xi_0^2}{\tau_0} \left( \beta \frac{\partial \Phi_B}{\partial y} - g_1 \frac{\partial \Phi_A}{\partial x} \right), \quad (85)$$

$$r_B = \frac{q}{R_0(g_1^2 - \beta^2)} \frac{\xi_0^2}{\tau_0} \left( \beta \frac{\partial \Phi_A}{\partial x} - g_1 \frac{\partial \Phi_B}{\partial y} \right). \quad (86)$$

Substituting these expressions in (80) and in (82) leads to the following diffusion equations of

phases  $\Phi_A$  and  $\Phi_B$ :

$$\frac{\partial \Phi_A}{\partial t} = D_{\parallel} \frac{\partial^2 \Phi_A}{\partial x^2} + D_{\perp} \frac{\partial^2 \Phi_A}{\partial y^2} + D_{xy} \frac{\partial^2 \Phi_B}{\partial x \partial y}, \quad (87)$$

$$\frac{\partial \Phi_B}{\partial t} = D_{\parallel} \frac{\partial^2 \Phi_B}{\partial y^2} + D_{\perp} \frac{\partial^2 \Phi_B}{\partial x^2} + D_{xy} \frac{\partial^2 \Phi_A}{\partial y \partial x}, \quad (88)$$

where the coefficients phase-diffusion have the following expressions

$$D_{\parallel} = \frac{\xi_0^2}{\tau_0} \left( 1 - \frac{2q^2 g_1}{R_0^2 (g_1^2 - \beta^2)} \frac{\xi_0^2}{\tau_0} \right); \quad D_{\perp} = \frac{\xi_0^2}{\tau_0} \frac{q}{k_c}; \quad D_{xy} = \frac{2q^2 \beta}{R_0^2 (g_1^2 - \beta^2)} \left( \frac{\xi_0^2}{\tau_0} \right)^2. \quad (89)$$

Using normal mode decomposition, an eigenvalue problem is derived. The eigenvalues are

$$\sigma_3 = -(Q_1^2 D_{\parallel} + Q_2^2 D_{\perp}) - Q_1 Q_2 D_{xy} \quad ; \quad \sigma_4 = -(Q_1^2 D_{\parallel} + Q_2^2 D_{\perp}) + Q_1 Q_2 D_{xy} \quad (90)$$

### 1. Phase instabilities: case where $Q_1 = Q_2$

Considering the case where  $Q_1 = Q_2 = Q$  and a long wavelength limit, i.e.  $Q \rightarrow 0$ , the eigenvalues (90) reduce to

$$\sigma_3 = -Q^2 [D_{\parallel} + D_{\perp} + D_{xy}] = \frac{\xi_0^2}{\tau_0} Q^2 \left[ 2 \frac{\xi_0^2}{\tau_0} \frac{q^2}{R_0^2 (g_1 + \beta)} - \frac{k_c + q}{k_c} \right], \quad (91)$$

$$\sigma_4 = -Q^2 [D_{\parallel} + D_{\perp} - D_{xy}] = \frac{\xi_0^2}{\tau_0} Q^2 \left[ 2 \frac{\xi_0^2}{\tau_0} \frac{q^2}{R_0^2 (g_1 - \beta)} - \frac{k_c + q}{k_c} \right]. \quad (92)$$

### Square Eckhaus instability

The eigenvalue  $\sigma_3$  (91) states that the system is stable provided that:

$$\epsilon > \epsilon_{SE} = \left( \frac{3k_c + q}{k_c + q} \right) \xi_0^2 q^2, \quad (93)$$

where  $\epsilon_{SE}$  is the boundary of the square Eckhaus instability. When  $q \ll k_c$ , the universal expression  $\epsilon = 3\xi_0^2 q^2$  is recovered. In the phase approximation, the eigenvector corresponding to  $\sigma_3$  is (1, 1). The wavenumbers in the  $x$ - and  $y$ - directions evolve in the same way. Note that like for two-dimensional rolls,  $\epsilon_{SE}$  does not depend on the rheological parameters.

### Rectangular Eckhaus instability

The eigenvalue  $\sigma_4$  (92) states that the system is stable provided that:

$$\epsilon > \epsilon_{RI} = \xi_0^2 q^2 \left[ 1 + 2 \frac{g_1 + \beta}{g_1 - \beta} \frac{k_c}{k_c + q} \right]. \quad (94)$$

When  $q \ll k_c$ , we recover the expression given by Holmedal [19] and Hoyle [18], i.e.  $\epsilon_{RI} = (3g_1 + \beta)/(g_1 - \beta)$ . An eigenvector associated with  $\sigma_4$  (92) is  $(1, -1)$ , therefore the wavenumbers in the  $x$ - and  $y$ - directions don't have the same time evolution. Hoyle [18] denoted  $\epsilon_{RI}$  (94) as rectangular Eckhaus instability. An other point of view was given by Holmedal [19]. According to this author, *since the eigenvector is  $(-1, 1)$  one of the two rolls will grow at the expense of the other at a particular horizontal location. The decreasing mode will be the growing one at another location.* This instability is denoted by Holmedal [19] as “long wavelength cross-roll instability”. Concerning the influence of the rheological parameters, it can be shown straightforwardly that  $\epsilon_{RI}$  boundary shrinks with increasing shear-thinning effects.

## 2. Phase instabilities: case where either $Q_1$ or $Q_2$ is zero

In the case where either  $Q_1$  or  $Q_2$  is zero, the phase equations (87) and (88) reduce to

$$\frac{\partial \Phi_A}{\partial t} = D_{\parallel} \frac{\partial^2 \Phi_A}{\partial x^2} \quad \text{and} \quad \frac{\partial \Phi_B}{\partial t} = D_{\perp} \frac{\partial^2 \Phi_B}{\partial x^2}. \quad (95)$$

The eigenvalues  $\sigma_3$  and  $\sigma_4$  of phase-diffusion equations (95) are then

$$\sigma_3 = -Q_1^2 D_{\parallel} \quad \text{and} \quad \sigma_4 = -Q_1^2 D_{\perp}. \quad (96)$$

$$(97)$$

### Zigzag instability

The eigenvalue  $\sigma_4$  (96) which is independent of the rheological parameters causes an instability of the squares if  $q < 0$ , i.e.  $k < k_c$ . This is similar to the condition for zigzag instability of the rolls.

### 2D Eckhaus instability

The eigenvalue  $\sigma_3$  (96) leads to another phase instability boundary given by

$$\epsilon_{2DE} = \xi_0^2 q^2 \left( \frac{3g_1 - \beta}{g_1 - \beta} \right), \quad (98)$$

which can be considered as a 2D Eckhaus instability [18].

Finally, it can be shown straightforwardly that  $\epsilon_{RI} > \epsilon_{2DE} > \epsilon_{SE}$  when  $\beta < g_1$ . Therefore, the stability boundaries of the square pattern are given by the condition  $k > k_c$  (zigzag instability) and (94), i.e. Eckhaus rectangular instability. Figure 14 depicts the domain of stability of the square stationary solution for different values of the shear thinning degree  $\alpha$ . The range of stable wavenumber for square patterns decreases with increasing shear-thinning effects, in contrast with the case of roll patterns.

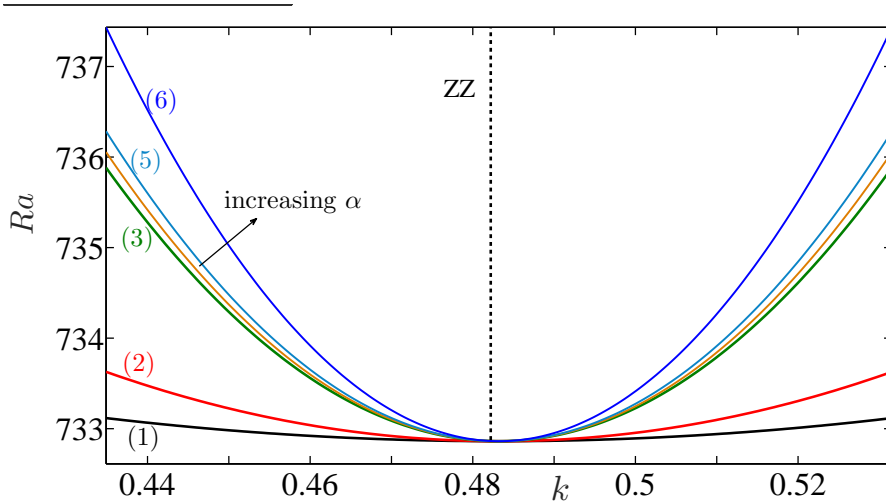


FIG. 14. Influence of shear thinning effects on stability boundaries of squares as a function of the Rayleigh number  $Ra$  and the wavenumber  $k$  for  $\Lambda = 1$ ,  $Pr = 10$  and  $\chi = 10^{-3}$ . (1) Marginal stability curve, (2) Square Eckhaus boundary, (3) RI boundary for a Newtonian fluid, (4) RI boundary for Carreau fluid with  $\alpha = 0.5 \times \alpha_{S-R} = 4.25 \times 10^{-4}$ , (5)  $\alpha = 0.75 \times \alpha_{S-R} = 6.37 \times 10^{-4}$  and (6)  $\alpha = 0.5 \times \alpha_{S-R} = 7.65 \times 10^{-4}$ . (ZZ) is the zigzag boundary.

## VI. NUMERICAL SOLUTIONS OF AMPLITUDE EQUATIONS

### A. Numerical simulation

The secondary instabilities described in the previous section are studied here by solving numerically the Ginzburg-Landau equations. For the numerical integration of Eqs. (51) and (52), we employed a Fourier pseudo-spectral method on a square mesh with periodic boundary conditions. The square domain  $[-L/2, L/2] \times [-L/2, L/2]$  is discretized into

$N \times N$  uniformly spaced grid points  $M_{\ell p} = (x_\ell, y_p)$  with  $x_\ell = -L/2 + \ell\Delta x$ , (similarly for  $y_p$ ),  $\Delta x = \Delta y = L/N$  and  $N$  even. Given  $A_{M_{\ell p}} = A_{\ell p}$ ,  $\ell, p = 1, 2, \dots, N$  (similarly for  $B_{\ell p}$ ), the 2D Discrete Fourier Transform (2DFT) is defined as

$$\hat{A}_{k_x k_y} = \Delta x \Delta y \sum_{\ell=1}^N \sum_{p=1}^N A_{\ell p} e^{-i(k_x x_\ell + k_y y_p)}, \quad k_x, k_y = \frac{2\pi}{L} \left( \frac{-N}{2}, \dots, \frac{N}{2} - 1 \right) \quad (99)$$

Leaving the time stepping in Fourier space, gives the following system of ODEs

$$\frac{d}{dt} \hat{A}_{k_x k_y} = \left[ \frac{\epsilon}{\tau_0} - \frac{\xi_0^2}{\tau_0} \left( k_x^2 + \frac{k_x k_y^2}{k_c} + \frac{k_y^4}{4k_c^2} \right) \right] \hat{A}_{k_x k_y} - \mathcal{N}_{1, k_x k_y} (A, B), \quad (100)$$

$$\frac{d}{dt} \hat{B}_{k_x k_y} = \left[ \frac{\epsilon}{\tau_0} - \frac{\xi_0^2}{\tau_0} \left( k_y^2 + \frac{k_y k_x^2}{k_c} + \frac{k_x^4}{4k_c^2} \right) \right] \hat{B}_{k_x k_y} - \mathcal{N}_{2, k_x k_y} (A, B), \quad (101)$$

with Fourier transformed initial conditions. The nonlinear terms  $\mathcal{N}_{1, k_x k_y}$  and  $\mathcal{N}_{2, k_x k_y}$  are evaluated in physical space and then transformed to Fourier space:

$$\mathcal{N}_{1, k_x k_y} (A, B) = -g_1 \mathcal{F} (|A(x, y, t)|^2 A(x, y, t)) - \beta \mathcal{F} (|B(x, y, t)|^2 A(x, y, t)), \quad (102)$$

and

$$\mathcal{N}_{2, k_x k_y} (A, B) = -g_1 \mathcal{F} (|B(x, y, t)|^2 B(x, y, t)) - \beta \mathcal{F} (|A(x, y, t)|^2 B(x, y, t)), \quad (103)$$

where  $\mathcal{F}$  designates the 2D discrete Fourier transform. For the temporal discretization, the time domain  $[0, t_{max}]$  is discretized with equal time step of width  $\Delta t$  as  $t_m = m\Delta t$ ,  $m = 0, 1, 2, \dots$ . Exponential Time Differencing method of second order (ETD2) proposed by Cox and Matthews [43] is used. Additional details can be found in [44]. The pseudo-spectral method is implemented in Matlab. Finally, to check the convergence, several simulations are carried out with increasing numbers of grid points and refining the time step. The stability properties of ETD2 are given in Appendix B.

## B. Numerical results

### 1. Instability of a roll solution

Integration of the amplitude equations (51) and (52) is performed at some representative points shown in Fig. 15 by the symbol (+), for two cases: (a) low or moderate shear-thinning effects and (b) high shear-thinning effects. The position of these points with respect to Eckhaus (E) and cross-roll (CR) boundaries is clearly indicated.

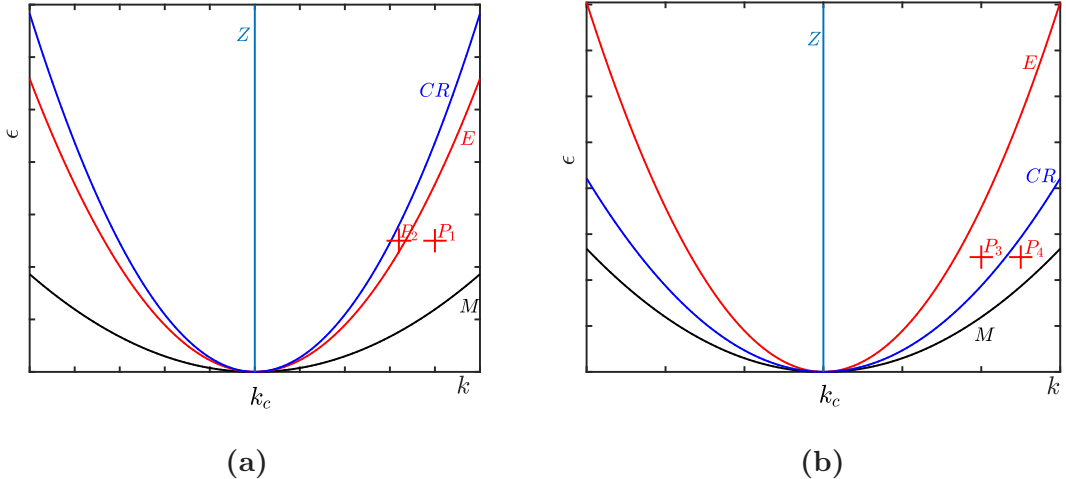


FIG. 15. **Rolls:** Points  $P_1, P_2, P_3, P_4$ , where numerical simulations were performed. **(a)** Low or moderate shear thinning effects, **(b)** strong shear thinning effects. (M) Marginal stability curve, (E) Eckhaus boundary, (CR) cross-roll boundary, (Z) zigzag boundary.

Cross-roll instability: For moderate shear-thinning effects, i.e.  $\alpha < \alpha_{CR-E}$  given by Eq. (73), the stability diagram (Fig. 13) indicates that the region of stable rolls is bounded by the CR instability. For a given Rayleigh number not too far above the critical value, roll solution with a wavenumber  $k$  outside the CR boundary is either CR unstable (point  $P_2$  in Fig. 15(a)) or Eckhaus and CR unstable (point  $P_1$  in Fig. 15(a)) if  $k$  is sufficiently large. At the point  $P_1$ , we have the following parameters:  $q = 0.4$ ,  $\epsilon = 0.05$ ,  $\alpha = 5 \times 10^{-5}$ ,  $\Lambda = 1$  and  $\chi = 100$ . The convective pattern is Eckhaus and CR unstable, but CR is dominant. This is indeed what happens as illustrated in Fig. 16, where the planform function,  $f(x, y, t) = A(x, y, t)e^{ik_c x} + B(x, y, t)e^{ik_c y} + c.c.$  is represented. Initially, we have a uniform set of rolls,  $A = R_0 \exp(iqx)$  and  $B = 0$ , with  $R_0$  given by Eq. (55). Small random perturbations have been added to this initial stationary solution. Due to the CR instability, perpendicular rolls grow and the initial rolls decay until the initial rolls with their too short wavelength are taken over by the perpendicular cross-roll with a wavenumber close to the critical value. Similar results are obtained at point  $P_2$  and therefore are not represented.

Eckhaus instability: For sufficiently strong shear-thinning effects, i.e.  $\alpha > \alpha_{CR-E}$ , a roll solution with a wavenumber outside Eckhaus boundary (curve 2, Fig. 13), can be either Eckhaus unstable and CR stable ( $P_3$  in Fig. 15(b)) or Eckhaus and CR unstable ( $P_4$  in Fig. 15(b)). For these two situations, Eckhaus instability mechanism is dominant. This is

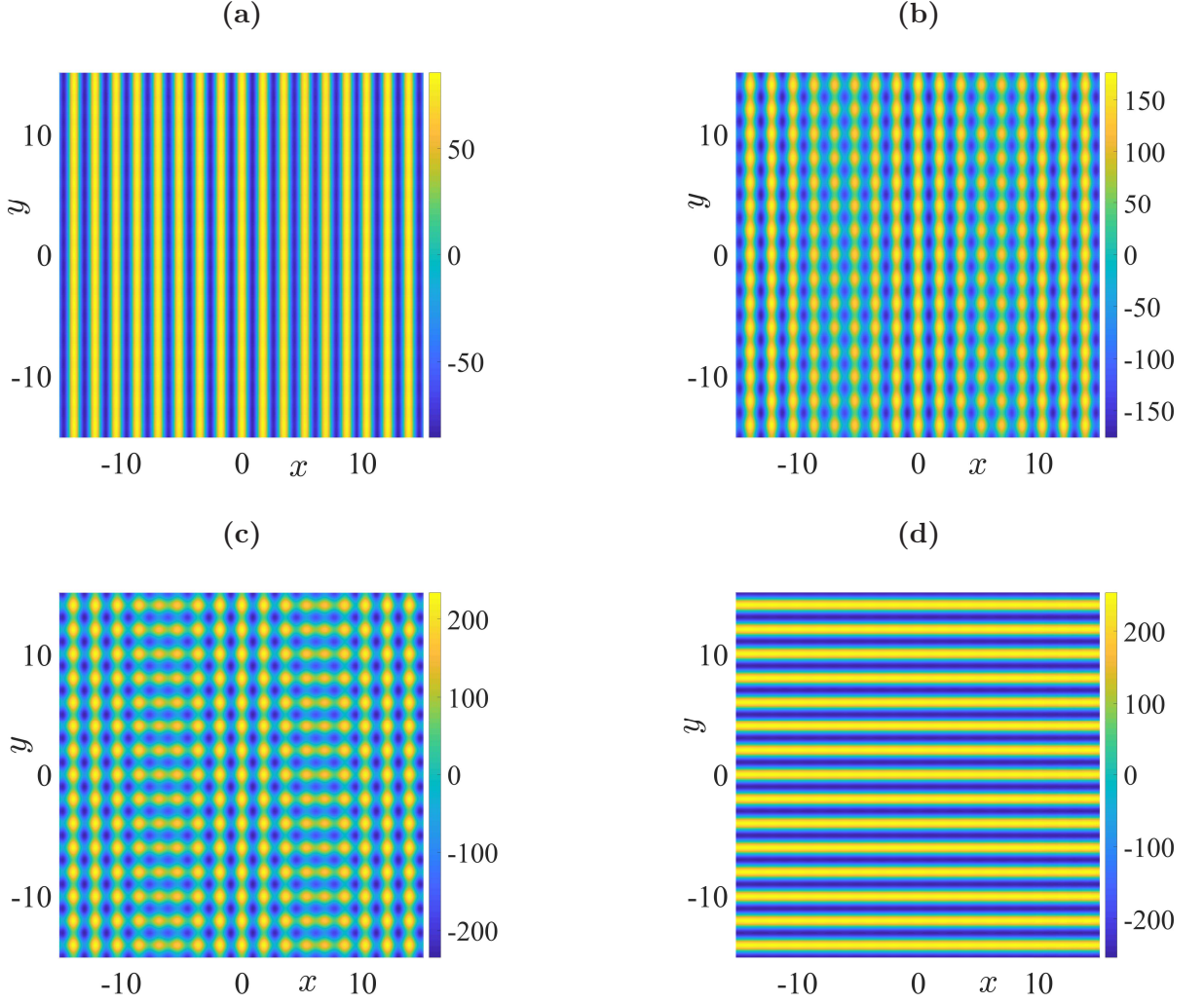


FIG. 16. Four subsequent stages in the simulation of the coupled amplitude equations (51) and (52), evolving from CR unstable roll to CR stable roll. (a)  $t = 0$ , (b)  $t = 4.5$ , (c)  $t = 6$ , (d)  $t = 21$ .

illustrated by Figs. 17 and 18 where the planform function  $f(x, y, t)$  is represented. At point  $P_3$ , the initial state is a uniform roll solution with  $q = k - k_c = 0.4$ ,  $\epsilon = 0.05$  and  $\alpha = 1.6 \cdot 10^{-4}$ . It is in CR-stable and in Eckhaus unstable region, where the pattern wavelength is too short. A small random perturbation is added in the  $x$ - and  $y$ -directions. Figure 17 shows the time evolution of the convective pattern. The system eliminates two pairs of rolls in order to augment its wavelength. After the local elimination of the wavelength, the system readjusts through a process of phase diffusion. The system reaches a wavenumber inside the Eckhaus stable region. Actually, the final wavenumber is close to  $k_c$ . Note that, unlike the one

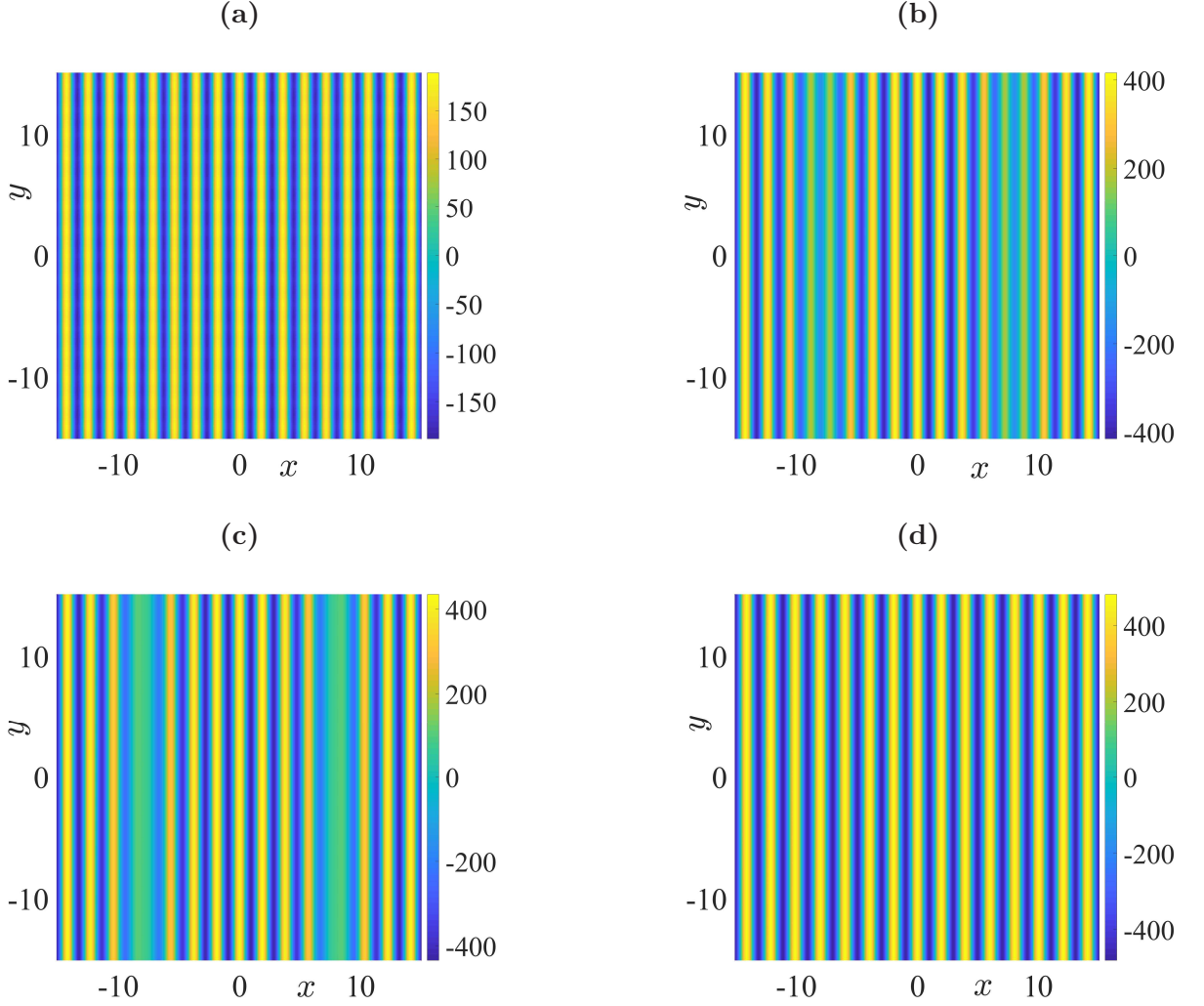


FIG. 17. Four subsequent stages in the simulation of the coupled amplitude equations (51) and (52), evolving from Eckhaus unstable roll to Eckhaus stable roll. (a)  $t = 0$ , (b)  $t = 27$ , (c)  $t = 29.25$ , (d)  $t = 33$ .

dimensional situation, where the defect exists only for an instant while a pair of rolls is created or eliminated, in two-dimensional situation, the defects persist for some time, as shown by Figs. 17(b) and (c). At point  $P_4$ , the initial state is a uniform roll with  $q = 0.5$ . In this case, the system is CR and Eckhaus unstable. Figure 18 shows the time evolution of the structure. In the first stage, a competition between CR and Eckhaus instability mechanisms is observed, before a phase diffusion process.



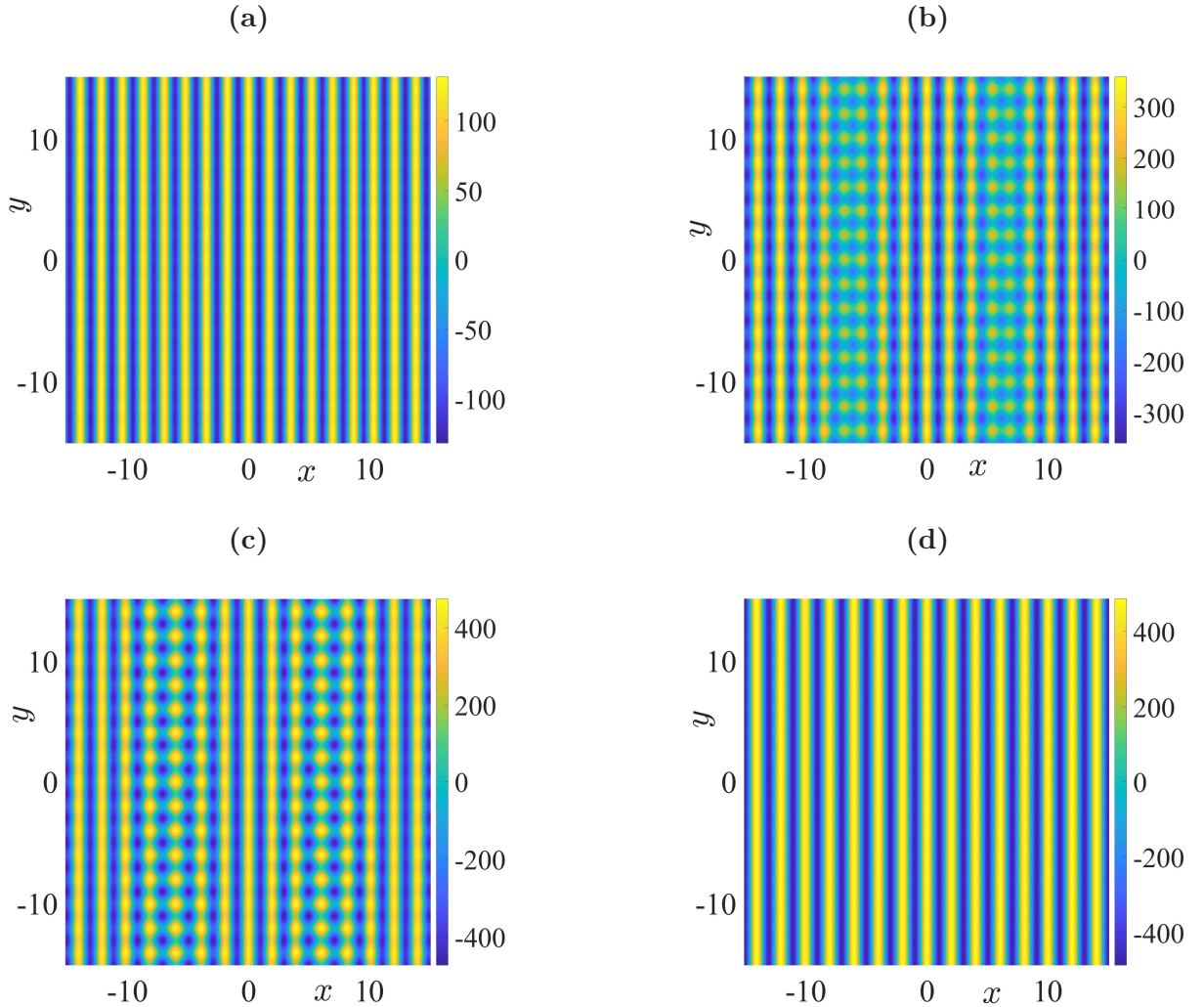


FIG. 18. Four subsequent stages in the simulation of the coupled amplitude equations (51) and (52), evolving from Eckhaus and CR unstable roll to Eckhaus stable roll. (a)  $t = 0$ , (b)  $t = 6$ , (c)  $t = 8.25$ , (d)  $t = 12$ .

## 2. Instability of a square solution

Figure 19 shows two representative points, denoted by the symbol (+), where the integration of amplitude equations (51) and (52) is performed.

At point  $P_5$ , we have the following parameters:  $q = 0.2$ ,  $\epsilon = 0.05$ ,  $\alpha = 5 \times 10^{-5}$ ,  $\Lambda = 0.01$  and  $\chi = 0.01$ . With these parameters, square solution is *RI* unstable and *SE* stable. In addition  $|D_{||}| < D_{xy}$ . The Figure 20 shows the evolution of the convective pattern with time. We have represented  $f(x, y, t) = A(x, y, t)e^{ik_c x} + B(x, y, t)e^{ik_c y} + c.c.$  at four different chosen

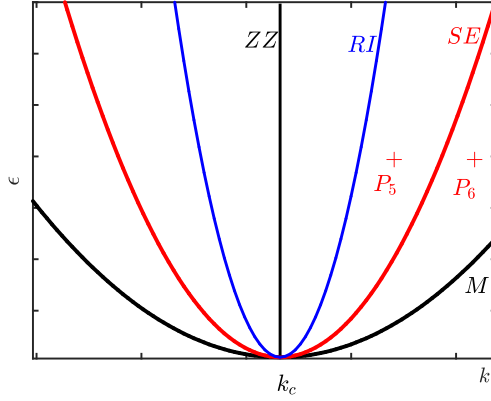


FIG. 19. **Squares:** Points  $P_5, P_6$ , where numerical simulations were performed. (M) Marginal stability curve, (SE) square Eckhaus boundary, (RI) rectangular instability boundary, (ZZ) zigzag boundary.

times. Initially, the convective pattern is a perfect square. A small random perturbation is added in the x- and y-directions. After elimination and adjustment of wavelengths, the structure reaches a stable state with a wavenumber very close to the critical value.

At point  $P_6$ , the parameters are  $q = 0.4$ ,  $\epsilon = 0.05$ ,  $\alpha = 5 \times 10^{-5}$ ,  $\Lambda = 0.01$  and  $\chi = 0.01$ . In this case, the square solution is RI and SE unstable, furthermore  $|D_{||}| > D_{xy}$ . The time evolution of the structure is shown in Fig. 21. It is not surprising that the dynamics is faster than in the previous case, since  $P_6$  is farther from the IR stability curve than  $P_5$ . The process of wavelength elimination is also quite different. This could be related to the fact that at  $P_5, |D_{||}| < D_{xy}$ , whereas at  $P_6, |D_{||}| > D_{xy}$ .

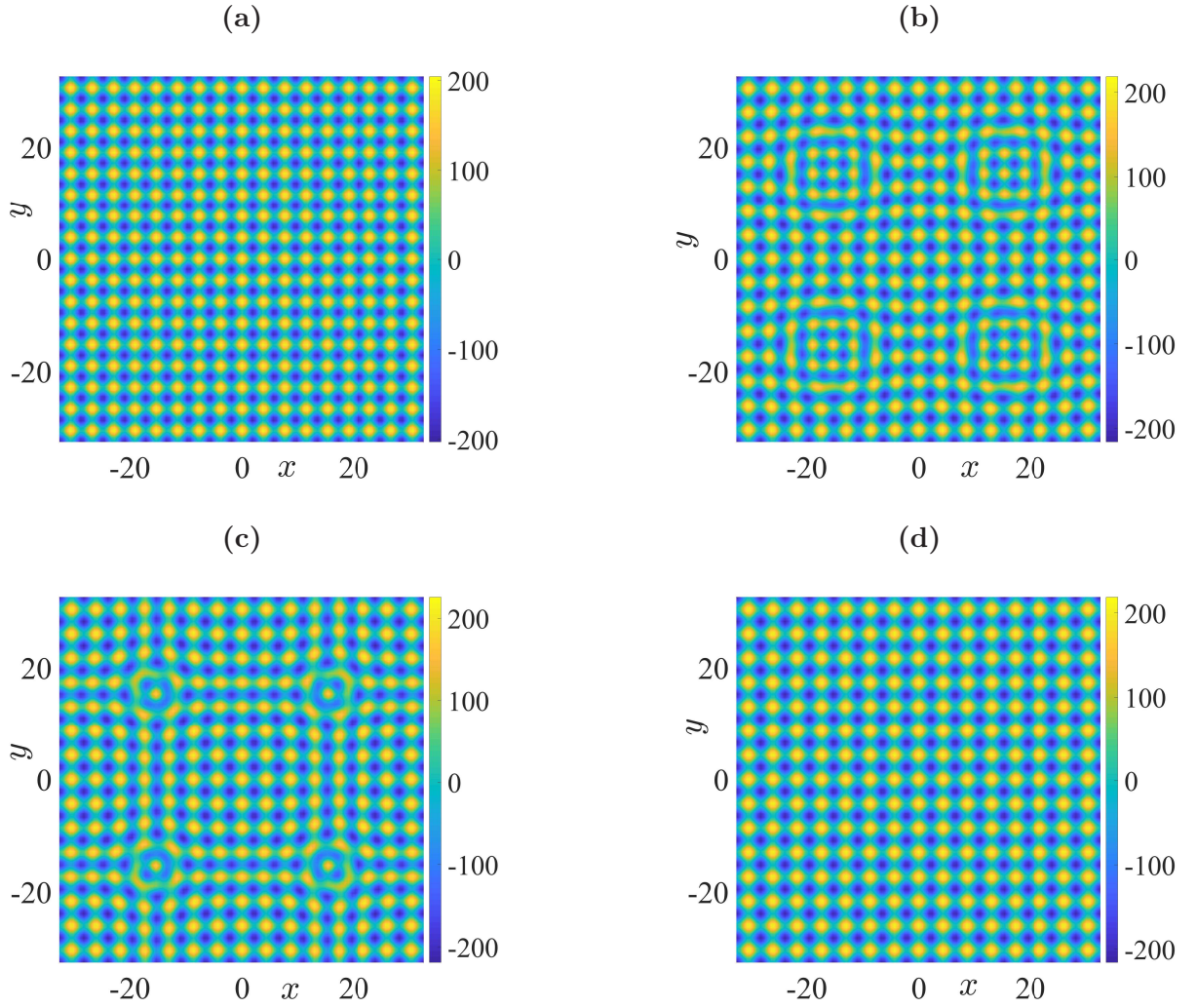


FIG. 20. Four subsequent stages in the simulation of the coupled amplitude equations (51) and (52), evolving from RI unstable and SE stable square to RI stable square. (a)  $t = 0$ , (b)  $t = 1900$ , (c)  $t = 2200$ , (d)  $t = 3000$ .

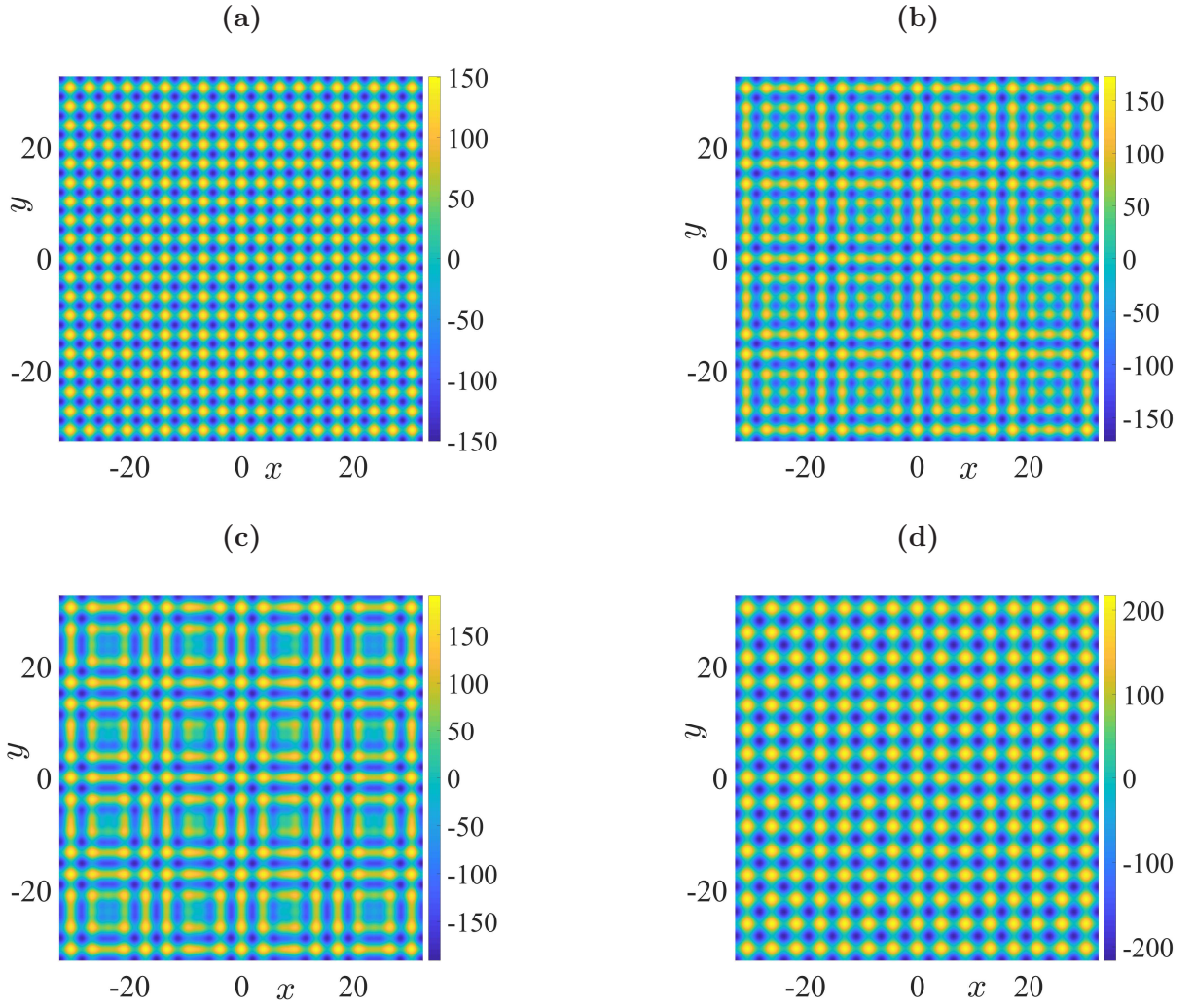


FIG. 21. Four subsequent stages in the simulation of the coupled amplitude equations (51) and (52), evolving from RI and SE unstable square to RI stable square. (a)  $t = 0$ , (b)  $t = 72$ , (c)  $t = 92$ , (d)  $t = 132$ .



## VII. CONCLUSION

In this paper, long wavelength instabilities of roll and square patterns which emerge in the Rayleigh-Bénard convection for shear-thinning fluids, in the situation where the slabs have finite conductivities and thicknesses, is studied. The influence of the shear-thinning behavior on the range of stable wavenumbers and the instability mechanisms that bound the stability diagram is clearly highlighted.

The rheological behavior of fluids considered is described by the Carreau model. For this model, the rheology does not play any role on the onset of convection. The nature of the primary bifurcation and the selection of the convective pattern at threshold are investigated as a function of the shear-thinning degree  $\alpha$ , the slabs thickness  $\Lambda$  and the ratio of thermal conductivities  $\chi$ . Comparison between the self-saturated and the cross-saturated coefficients in the Landau equation indicates that shear-thinning effects favor formation of rolls rather than squares. Indeed, with increasing shear-thinning effects, the intensity of convection increases due to a decrease of the viscosity. The nonlinearities and the coupling between the modes that constitute the square pattern become stronger, which may lead to a destabilization of the square solution. On the other hand, the intensity of convection for poorly conducting walls is lower than that for highly conducting walls, thus the critical shear-thinning degree,  $\alpha_{S-R}$ , below which squares are stable increases with decreasing  $\chi$ . The influence of the slabs thickness  $\Lambda$  on  $\alpha_{S-R}$  is weak when  $\Lambda > 1$ .

The Nusselt number is roughly the same for rolls and squares and the stable structure has the highest Nusselt number, in agreement with the maximum heat transfer principle.

Subsequently, the stability of modulated rolls and squares with respect to inhomogeneous spatial perturbation is analyzed. The influence of shear-thinning effects is clearly highlighted. In the case of modulated rolls, contrary to the Newtonian case where cross-roll instability is always dominant, except at low Prandtl number, it is shown that for a non-Newtonian shear-thinning fluid, this instability prevails only when  $\alpha$  is less than a critical value denoted  $\alpha_{CR-E}$ . Under this condition, the domain of stable rolls is bounded by zigzag instability for  $k < k_c$  and by cross-roll instability for  $k > k_c$ . Furthermore, the marginal cross-roll curve enlarges with increasing shear-thinning effects. For sufficiently strong shear-thinning effects, here  $\alpha > \alpha_{CR-E}$ , Eckhaus instability which is independent of the rheology becomes dominant. The stable rolls are bounded by zigzag and Eckhaus instabilities.

In the case of modulated squares, i.e.  $\alpha < \alpha_{S-R}$ , it is observed that the rectangular instability is dominant and the width of the stable wavenumbers band decreases as  $\alpha$  increases. The time evolution of the convective pattern initially in the unstable part of the stability-diagram is obtained from the numerical computation of the amplitude equations. The instability mechanisms are illustrated, and for all the cases considered, it is observed at the final state the structure reaches a wavenumber very close to the critical value.

In this study, the variation of the fluid properties and particularly the viscosity with temperature is not taken into account. Generally, non-Newtonian fluids are highly viscous and thermodependent. The thermodependency of the fluid properties leads to hexagonal patterns at the onset [45], [46], [47]. Analysis of the stability of this convective pattern is the next step of our work, dealing with the influence of the rheology on the pattern selection.

An other direction of the present study is the determination of the Busse Balloon for highly shear-thinning fluids. This is particularly interesting, since the lower part of this balloon is delimited by zigzag and Eckhaus boundaries.

### **Appendix A: Eigenvalues versus $Q_1$ and $Q_2$ arising from the full dispersion relation**

The dispersion relation arising from the system (79)-(82) is solved numerically for different values of the parameters  $(q, \alpha, \epsilon, \Lambda, \chi)$ . It is observed that the two first eigenvalues  $\sigma_1$  and  $\sigma_2$  are always negative. They are associated with the amplitudes which are damped. Figure 22 shows the four eigenvalues as a function of  $Q_1$  and  $Q_2$  for the case where  $(q + k_c, \epsilon)$  is square Eckhaus stable and Rectangular Eckhaus unstable. The eigenvalues  $\sigma_1$  and  $\sigma_2$  are negative as indicated above. The eigenvalue  $\sigma_3$  is negative because the point considered is square Eckhaus stable. The eigenvalue  $\sigma_4$  is positive and is associated with the Eckhaus rectangular instability. It is interesting to note that the maximum of  $\sigma_4$  is reached at  $|Q_1| = |Q_2|$ . Further calculations indicate that for a given a wavenumber  $q$ ,  $\sigma_4$  increases with increasing shear-thinning effects.

The case where  $(q + k_c, \epsilon)$ , is zigzag unstable ( $q < 0$ ) and Eckhaus stable is illustrated by

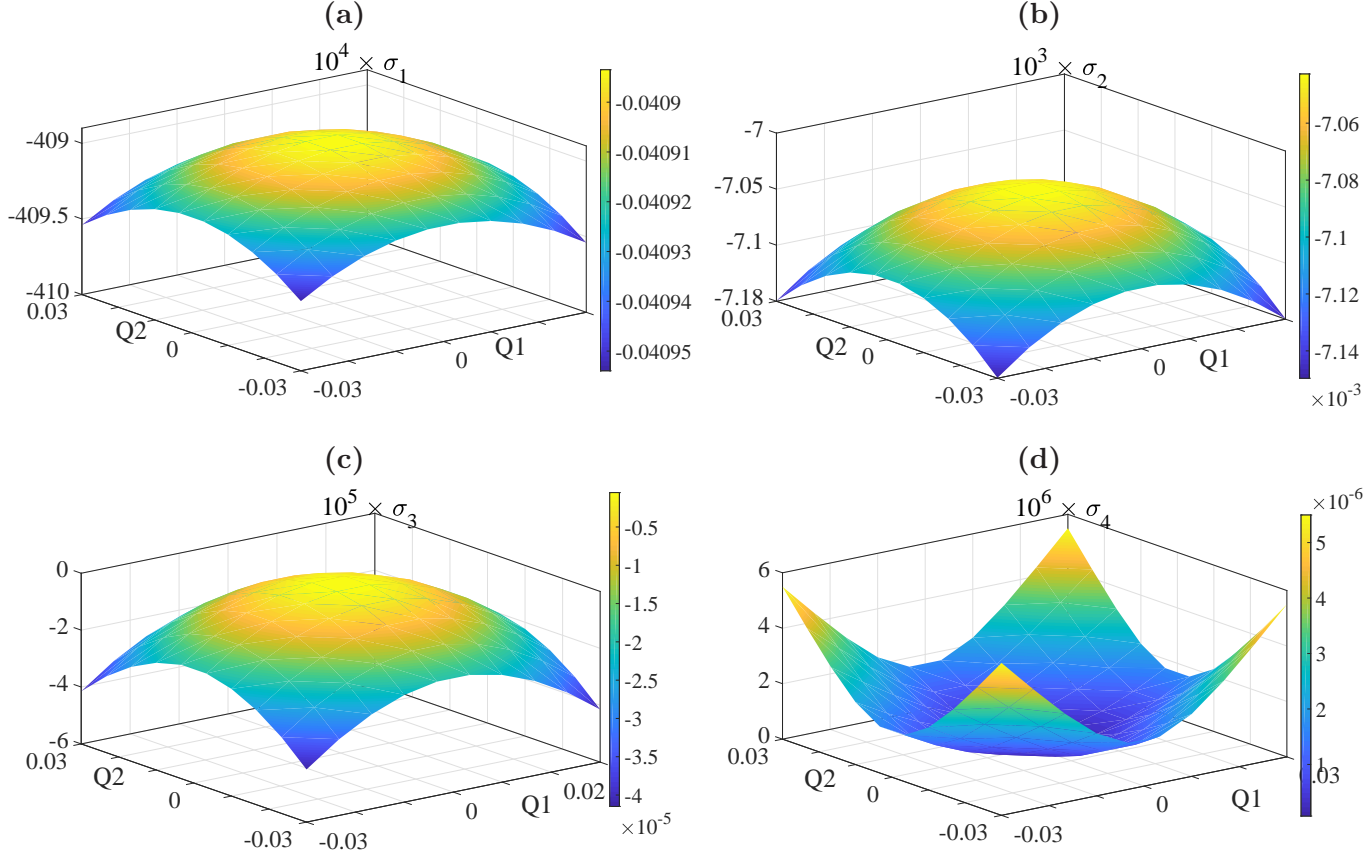


FIG. 22. Variations on  $Q_1$  and  $Q_2$  of the four eigenvalues in the situation where the square pattern is RI unstable only. Here  $\epsilon = 0.1$ ,  $q = 0.3$ ,  $\alpha = 0.5 \times \alpha_c$ ,  $\Lambda = 1$ ,  $\chi = 10^{-3}$ . (a)  $\sigma_1$ , (b)  $\sigma_2$ , (c)  $\sigma_3$ , (d)  $\sigma_4$

Fig. 23. The eigenvalues  $\sigma_1$  and  $\sigma_2$  are negative and their variations on  $Q_1$  and  $Q_2$  are similar to those in Fig. 22 and are therefore not represented. The eigenvalue  $\sigma_3$  is negative and may be associated with the Eckhaus stability, whereas  $\sigma_4$  is positive and is associated with the zigzag instability which is maximum along the axis.

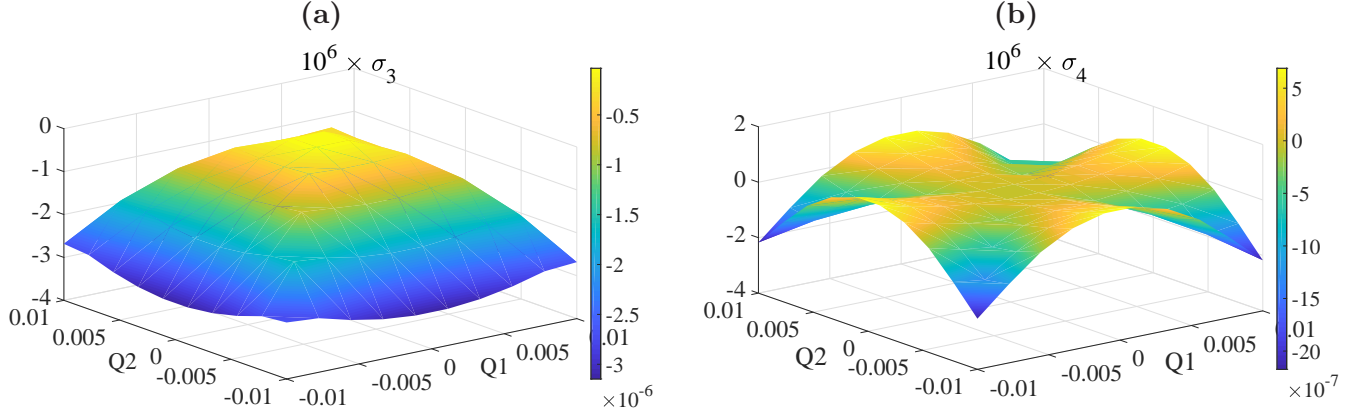


FIG. 23. Variations on  $Q_1$  and  $Q_2$  of the eigenvalues  $\sigma_3$  (a) and  $\sigma_4$  (b) in the situation where the square pattern is only zigzag unstable. Here  $\epsilon = 0.1$ ,  $q = -0.1$ ,  $\alpha = 0.5 \times \alpha_c$ ,  $\Lambda = 1$ ,  $\chi = 10^{-3}$ .

## Appendix B: Stability of the ETD2 scheme: Extension to two coupled Ginzburg-Landau equations

### 1. Case of a single ODE

We consider first a single ordinary differential equation (ODE) of the form:

$$\frac{du(t)}{dt} = cu(t) + F(u(t)) \quad (\text{B1})$$

Using the second order exponential time differencing scheme for the time discretization leads to:

$$u_{n+1} = u_n e^{c\Delta t} + F_n \frac{(1 + c\Delta t)e^{c\Delta t} - 1 - 2c\Delta t}{c^2\Delta t} + F_{n-1} \frac{1 + c\Delta t - e^{c\Delta t}}{c^2\Delta t} \quad (\text{B2})$$

To evaluate the stability domain of this scheme, we have adopted the same approach as in [43] and in [48]. We suppose that there is a fixed point  $u_0$ , so that  $cu_0 + F(u_0) = 0$ . Linearizing about this fixed point leads to

$$\frac{du(t)}{dt} = cu(t) + \lambda u(t), \quad (\text{B3})$$

where  $u$  is now the perturbation to  $u_0$  and  $\lambda = F'(u_0)$ . The fixed point,  $u_0$ , is stable provided that  $Re(c + \lambda) < 0$ .

It can be shown straightforwardly that the fixed points of the ETD2 scheme are the same as those of the ODE (B1): consequently, the linear stability analysis of the ETD2 scheme can be



performed by replacing  $F_n$  by  $\lambda u_n$  in (B2). A recurrence relation involving  $u_{n+1}$ ,  $u_n$  and  $u_{n-1}$  is obtained:

$$u_{n+1} = u_n e^{c\Delta t} + \lambda u_n \frac{(1 + c\Delta t)e^{c\Delta t} - 1 - 2c\Delta t}{c^2 \Delta t} + \lambda u_{n-1} \frac{1 + c\Delta t - e^{c\Delta t}}{c^2 \Delta t} \quad (\text{B4})$$

Defining  $r = u_{n+1}/u_n$ ,  $x = \lambda\Delta t$ ,  $y = c\Delta t$ , the following quadratic equation for the factor  $r$  by which the solution is multiplied after each step is derived:

$$y^2 r^2 - r(y^2 e^y + x[(1 + y)e^y - 2y - 1]) + (e^y - 1 - y)x = 0 \quad (\text{B5})$$

$r$  is the factor by which the solution is multiplied after each step so ETD2 scheme is stable provided that  $|r| < 1$ . In general, both  $c$  and  $\lambda$  are complex and consequently, the stability domain of the ETD2 scheme is four dimensional. To simplify, we choose to determine the stability region in the real plane ( $Re(x)$ ,  $Re(\lambda)$ ). The boundaries of this domain are obtained for the values  $r=1$  and  $r=-1$  and correspond to the curves:

$$y = -x \quad \text{and} \quad x = \frac{-y^2(1 + e^y)}{(y + 2)e^y - 3y - 2}. \quad (\text{B6})$$

## 2. Extension to two coupled Ginzburg-Landau equations

In our case, we have the following set of ODE for each couple  $(k_x, k_y)$ :

$$\frac{d\hat{A}_{k_x, k_y}(t)}{dt} = \left( \frac{\epsilon}{\tau_0} - \frac{\xi_0^2}{\tau_0} \left[ k_x^2 + \frac{k_x k_y^2}{k_c} + \frac{k_y^4}{4k_c^2} \right] \right) \hat{A}_{k_x, k_y}(t) + \mathcal{N}_{1, k_x k_y} \quad (\text{B7})$$

$$\frac{d\hat{B}_{k_x, k_y}(t)}{dt} = \left( \frac{\epsilon}{\tau_0} - \frac{\xi_0^2}{\tau_0} \left[ k_y^2 + \frac{k_y k_x^2}{k_c} + \frac{k_x^4}{4k_c^2} \right] \right) \hat{B}_{k_x, k_y}(t) + \mathcal{N}_{2, k_x k_y} \quad (\text{B8})$$

where  $\hat{A}_{k_x, k_y}(t) = \mathcal{F}(A(x, y, t))$  and  $\hat{B}_{k_x, k_y}(t) = \mathcal{F}(B(x, y, t))$ .  $\mathcal{F}(\cdot)$  designates the 2D discrete Fourier transform. We add small perturbations  $a$  and  $b$  to the initial stationary solutions  $A_0$  and  $B_0$ . Replacing  $A = A_0 + a$  and  $B = B_0 + b$  in the former equations and after linearization, we get:

$$\begin{aligned} \frac{d\hat{a}_{k_x, k_y}(t)}{dt} &= \left( \frac{\epsilon}{\tau_0} - \frac{\xi_0^2}{\tau_0} \left[ k_x^2 + \frac{k_x k_y^2}{k_c} + \frac{k_y^4}{4k_c^2} \right] - 2g_1 |A_0|^2 - \beta |B_0|^2 \right) \hat{a}_{k_x, k_y}(t) \\ &\quad - g_1 \mathcal{F}(A_0^2 \bar{a})_{k_x, k_y} - \beta \mathcal{F}(A_0 \bar{B}_0 b)_{k_x, k_y} - \beta \mathcal{F}(A_0 B_0 \bar{b})_{k_x, k_y} \end{aligned} \quad (\text{B9})$$

$$\begin{aligned} \frac{d\hat{b}_{k_x, k_y}(t)}{dt} &= \left( \frac{\epsilon}{\tau_0} - \frac{\xi_0^2}{\tau_0} \left[ k_y^2 + \frac{k_y k_x^2}{k_c} + \frac{k_x^4}{4k_c^2} \right] - 2g_1 |B_0|^2 - \beta |A_0|^2 \right) \hat{b}_{k_x, k_y}(t) \\ &\quad - g_1 \mathcal{F}(B_0^2 \bar{b})_{k_x, k_y} - \beta \mathcal{F}(B_0 \bar{A}_0 a)_{k_x, k_y} - \beta \mathcal{F}(B_0 A_0 \bar{a})_{k_x, k_y} \end{aligned} \quad (\text{B10})$$

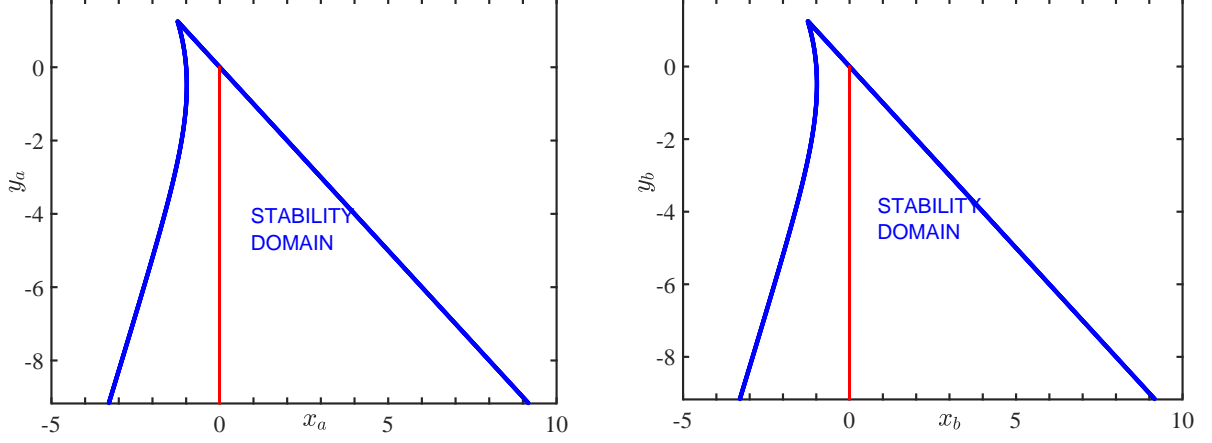


FIG. 24. The stability domain of ETD2 scheme and the repartition of the points  $(x_a, y_a)$  and  $(x_b, y_b)$  along the vertical line.

Since we consider infinitesimal perturbations, we assume that we can simplify the former equations according to:

$$\frac{d\hat{a}_{k_x, k_y}(t)}{dt} = \left( \frac{\epsilon}{\tau_0} - \frac{\xi_0^2}{\tau_0} \left[ k_x^2 + \frac{k_x k_y^2}{k_c} + \frac{k_y^4}{4k_c^2} \right] - 2g_1 |A_0|^2 - \beta |B_0|^2 \right) \hat{a}_{k_x, k_y}(t) \quad (\text{B11})$$

$$\frac{d\hat{b}_{k_x, k_y}(t)}{dt} = \left( \frac{\epsilon}{\tau_0} - \frac{\xi_0^2}{\tau_0} \left[ k_y^2 + \frac{k_y k_x^2}{k_c} + \frac{k_x^4}{4k_c^2} \right] - 2g_1 |B_0|^2 - \beta |A_0|^2 \right) \hat{b}_{k_x, k_y}(t) \quad (\text{B12})$$

We deduce that the values of  $c$  and  $\lambda$  in this approximation are given by :

$$c_a(k_x, k_y) = \frac{\epsilon}{\tau_0} - \frac{\xi_0^2}{\tau_0} \left[ k_x^2 + \frac{k_x k_y^2}{k_c} + \frac{k_y^4}{4k_c^2} \right] ; \quad \lambda_a(k_x, k_y) = -2g_1 |A_0|^2 - \beta |B_0|^2 \quad (\text{B13})$$

$$c_b(k_x, k_y) = \frac{\epsilon}{\tau_0} - \frac{\xi_0^2}{\tau_0} \left[ k_y^2 + \frac{k_y k_x^2}{k_c} + \frac{k_x^4}{4k_c^2} \right] ; \quad \lambda_b(k_x, k_y) = -2g_1 |B_0|^2 - \beta |A_0|^2. \quad (\text{B14})$$

We have checked that for our time step  $\Delta t$  and for each couple  $(k_x, k_y)$ , the points  $(x_a, y_a) = (c_a(k_x, k_y)\Delta t, \lambda_a(k_x, k_y)\Delta t)$  and  $(x_b, y_b) = (c_b(k_x, k_y)\Delta t, \lambda_b(k_x, k_y)\Delta t)$  lie in the stability domain whose boundaries are defined by (B6). To illustrate, we have represented below the stability domain of the ETD2 scheme and the repartition of the points  $(x_a, y_a)$  and  $(x_b, y_b)$  in the case of squares for  $\Lambda = 0.1$  and  $\chi = 10^{-2}$ :

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