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Role of the excited electronic states in the ionization of ambient air by a nanosecond discharge

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In this paper, the mechanism of air ionization by a single nanosecond discharge under atmospheric conditions is studied by numerical simulations. The plasma kinetics is solved with ZDPlasKin and the electron energy distribution function is calculated with BOLSIG+. The originality of the model is to consider not only the excited electronic states of N₂, but also the excited electronic states of O and N. These states are shown to have a primary importance in the ionization of the plasma for $n_e > 10^{17}$ cm⁻³. It is shown that a non-equilibrium plasma ($T_e > T_{gas}$) at $n_e = 10^{17}$ cm⁻³ can reach full ionization and thermalization ($T_e = T_{gas} \approx 3$ eV, $n_e \approx 10^{19}$ cm⁻³) in less than half a nanosecond under a field usually encountered in nanosecond discharges.

I. Nomenclature

A_i	=	generic species in the state i
BEB	=	Binary-Encounter-Bethe
BSR	=	B-spline R-matrix
δ	=	deviation of the EEDF from the Maxwellian distribution
Ε	=	electric field
E_{θ}	=	maximal applied electric field
$(E/N)_0$	=	reduced electric field at ambient conditions
E_{up}	=	energy of the excited state
ε	=	electron energy
f	=	Electron Energy Distribution Function (EEDF)
f_M	=	Maxwellian EEDF
h	=	Planck constant
I_A	=	ionization energy of the generic species A
k_b	=	Boltzmann constant
k_f	=	forward reaction rate coefficient
<i>k</i> _r	=	backward reaction rate coefficient
m_e	=	electron mass
N	=	heavy particles density, equal to the neutral and ion density
n _e	=	electron number density
T_e	=	electron temperature
T_{gas}	=	heavy particle temperature
Ζ	=	partition function

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II. Introduction

Nanosecond Repetitively Pulsed (NRP) discharges are currently studied for their potential application such as plasma assisted combustion [1–4], control of thermo-acoustic instabilities [5] and ignition [6,7]. The knowledge of plasma properties prior to their introduction in industrial devices is important to predict their performances. NRP discharges have been known for being weakly ionized and out of equilibrium in preheated air [8–11]. The different states of non-equilibrium NRP plasmas (corona, glow and spark) have been described in [9]. Recently, the study of these discharges at ambient conditions has shown that NRP discharges can also be fully ionized. In [12,13], Parkevitch et al. showed by laser interferometry that approximately one nanosecond after a 25-kV voltage rise, a fully-ionized plasma is formed near the cathode surface in a pin-to-plane configuration. Moreover, they observed the formation of "clots" of dense plasma with electron number densities greater than 10²⁰ cm⁻³. The typical dimension of these clots was around 1 μ m. It was supposed that these clots arise from the explosion of the electrode material. In Ref. [14], Orriere et al. studied the formation of a plasma during a 2.5 kV pulse of 10 ns in ambient air. The distance between the electrodes was 200 µm, and the maximum reduced field E/N was 600 Td (1 Td being equal to a field $E = 2.5 \times 10^{25}$ V/m at P = 1 atm and T = 300 K). The authors measured the electron number density by Stark broadening of H, O and N atomic lines and measured a maximum density of 10¹⁹ cm⁻³. They also showed that due to the extremely high temperature ($T_e > 30,000$ K) of the plasma after the discharge, the electrons recombine with O^+ and N^+ (instead of N_2^+ or NO^+ as is the case in non-equilibrium plasmas [15]). Stark broadening was also used for electron number density measurement in several works in pin-to-pin or pinto-plane configuration [16–19] and all authors reported electron number densities higher than 10^{18} cm⁻³. Such a high electron number density was also reported in SDBD discharges [20-22]. The authors demonstrated that the increase of pressure can be responsible for the transition from a weakly ionized plasma (called "streamer") to a fully-ionized plasma (called "filament").

Simulations of partially ionized plasmas have already been performed [23] with the kinetic mechanism of Kossyi *et al.* [24] or Capitelli *et al.* [25] which are well suited for simulations of non-equilibrium plasmas. However, there is yet no chemical model describing the mechanism of full ionization by nanosecond discharges in air.



Fig. 1 Recombination rate coefficients from Ref. [26–29]. The rate coefficient reported by Capitelli *et al.* is for any ion, whereas the others are specific to N⁺. In these references, N⁺(³P) recombines into the atomic ground state N(⁴S). The solid lines indicate the validity range of the rate coefficients. The dashed lines correspond to extrapolations.

III. General view of the chemical mechanism

Numerical simulations of the plasma kinetics under a given reduced field are performed using ZDPlasKin [30]. The kinetic model is based on a ready-to-use mechanism published by Flitti and Pancheshnyi [31] based on the work of Capitelli *et al.* [25]. This mechanism is intended to simulate nanosecond discharges out of equilibrium (i.e. $T_{gas} \ll T_e$). The Electron Energy Distribution Function (EEDF) is calculated by the Boltzmann equation solver BOLSIG+ [32]. The cross-sections of electron reactions are used to calculate the corresponding reaction rates and are taken mostly from the LXCat database [33–36]. Because excited states of molecular nitrogen can play a role in the ionization process [21], the ionization cross-sections of N₂^{*} are added, based on the work of Bacri and Medani [37]. The aim of this work being to simulate a fully ionized plasma, the ionization of atomic species and their reverse process must be included.

$$A_i + e \rightleftharpoons A_i + e$$
 Reac. 1

For electronic transitions between the states i and j of species A, Reac. 1, the BOLSIG+ solver calculates forward and backward rate coefficients given the excitation cross-section and the ratio of the state degeneracies. This calculation is based on the detailed balance principle. In the case of ionization, Reac. 2, the backward rate coefficient is obtained by Eq. 1.

$$A + e \rightleftharpoons A^+ + e + e$$
 Reac. 2

$$\frac{k_f}{k_b} = \frac{Z_A + Z_e}{Z_A V} \exp\left(-\frac{I_A}{k_b T_e}\right)$$
Eq. 1

According to the law of mass action, the ratio of the forward and backward reaction rate coefficients of Reac. 2 is equal to the ratio of the partition functions divided by the volume, Eq. 1. It is assumed that the EEDF is Maxwellian (use of T_e) and that excited states of species A follow a Boltzmann distribution. Three-body recombination rates computed in various conditions are available in Ref. [26–29]. A recombination rate coefficient valid for any ion is calculated by Bibermann *et al.* in Ref. [38]. This coefficient is proportional to $T_e^{-4.5}$ and said to be valid if T_e is smaller than the energy of the first excited state of the considered atom. This rate coefficient is later reported by Capitelli *et al.* and its validity is limited to 2000 K [25]. Its evolution is plotted in Fig. 1. Bibermann *et al.* also calculated the N⁺ recombination rate coefficient begins at $T_e \sim 2000 K$ which justifies the more restrictive limit of Capitelli *et al.* A review of other N⁺ three-body recombination rates can be found in [29].

The use of these recombination rates implies that N^+ always recombines into the ground state of N. However, recombination into excited electronic states should be considered. It is also shown in Fig. 1 that recombination rates of different sources can differ by two orders of magnitude. Therefore, the model will be electronic state-specific, and the recombination rates will be computed by detailed balance using state-specific ionization cross-section or using state-specific reaction rate coefficients (detailed in next section).

In all simulations performed in this work, the initial gas temperature is equal to 300 K and the initial electron temperature to 1000 K. The initial total gas density (79% of N₂ and 21% of O₂) is equal to the Loschmidt number at 300 K, $n_{Los} = 2.45 \times 10^{19} \text{ cm}^{-3}$. The initial electron density is equal to $n_{e0} = 10^{10} \text{ cm}^{-3}$. The integration step is below 10 ps. The nanosecond pulse is modeled with two phases:

- 1. For t = 0 1 ns, the applied field increases linearly with time up to its maximum value.
- 2. For t = 1 15 ns, the applied field is constant.
- 3. At t = 15 ns, the simulation is stopped.

This is summarized in Eq. 2 where E_0 is the applied field.

$$E [V. cm^{-1}] = \begin{cases} E_0 \times \frac{t}{1 \text{ ns}}, & t \le 1 \text{ ns} \\ E_0, & 1 \text{ ns} \le t \le 15 \text{ ns} \end{cases}$$
Eq. 2

$$E/N$$
 [Td] = $\frac{E[V. cm^{-1}]}{N[cm^{-3}]} \times 10^{17}$ Eq. 3

If not specified, $E_0 = 56$ kV.cm⁻¹, corresponding to $(E/N)_0 = 230$ Td in ambient conditions. This generic pulse is representative of a 6-kV pulse applied across a 1-mm gap. Because BOLSIG+ is adapted for partially ionized plasma, the reduced field E/N is usually equal to be the ratio of the electric field to the neutral particle density. In this work, the neutral particle density can drop to negligible values and the reduced field tends toward infinity. Therefore, we set N as the heavy particle density, i.e. equal to the density of neutral and ions. At $n_e < 10^{18}$ cm⁻³, this assumption induces negligible variations in the results. At $n_e > 10^{18}$ cm⁻³, the simulations are marked by a dotted line (instead of a full line) to indicate these results are subject to the previous assumption. Because this simulation is 0-D, field screening effects such as the electrode fall are not considered.

Table 1 Excited levels of N and O included in the present work. The excitation rate coefficients between states is computed with BOLSIG+ based on the BSR cross-sections calculated in Ref. [39,40]. When available, the ionization BSR cross-sections are used, otherwise, the reaction rates computed in Ref. [41] on the basis of BEB cross-sections are used. All reverse rates are calculated by detailed balancing.

	Configuration	Term	g	E_{up} (eV)	Electronic transitions rate	Ionization rate
N	2s ² 2p ³	${}^{4}S^{0}$	4	0	Integral of BSR cross- section [39]	Integral of BSR cross-section [39]
	$2s^22p^3$	$^{2}D^{0}$	10	2.38		
	$2s^22p^3$	${}^{2}P^{0}$	6	3.575		
	2s ² 2p ² (³ P)3s	⁴ P	12	10.33		
	2s ² 2p ² (³ P)3s	^{2}P	6	10.68		Arrhenius rate [41]
	$2s^22p^4$	⁴ P	12	10.92		
	2s ² 2p ² (³ P)3p	${}^{2}S^{0}$	2	11.60		
	2s ² 2p ² (³ P)3p	$^{4}D^{0}$	20	11.75		
0	$2s^22p^4$	³ P	9	0		
	$2s^{2}2p^{4}$	¹ D	5	1.97	Integral of BSR cross-section [40]	
	$2s^22p^4$	^{1}S	1	4.19		

IV. Determination of ionization and recombination rates

In this section, the procedure to determine the recombination rate coefficients is detailed. If each electronic level of the species is considered, only the EEDF has to be Maxwellian to apply detailed balancing. The partition function of each level is simply its degeneracy multiplied by the exponential factor. In this work, we only consider the ground state of the ions, $N^+(^{3}P)$ and $O^+(^{4}S)$.

$$A_i + e \rightleftharpoons A^+ + e + e$$
 Reac. 3

$$\frac{d[A_i]}{dt} = -\frac{d[A^+]}{dt} = k_{r,i}[A^+]n_e^2$$
 Eq. 4

$$\frac{d[A^+]}{dt} = -\frac{d[A_i]}{dt} = k_{f,i}[A_i]n_e$$
 Eq. 5

The electronic state-specific forward and backward rate coefficients of Reac. 3 are defined in Eq. 4 and Eq. 5. The forward rate coefficients are calculated in BOLSIG+ based on the cross-sections of the reactions. According to the detailed balance principle, it is possible to express $k_{r,i}$ in Eq. 6.

$$k_{r,i} = \frac{1}{2} \left(\frac{2\pi m_e k_b T_e}{h^2}\right)^{\frac{-3}{2}} \frac{g_{A_i}}{g_{A^+}} \exp\left(\frac{I_A - E_{A_i}}{k_b T_e}\right) k_{f,i}$$
 Eq. 6

This procedure has been applied to the first 8 electronic levels of atomic nitrogen and first 3 electronic levels of atomic oxygen. These levels are listed in Table 1 and are all included in the chemical mechanism if not specified. The excitation cross-sections by electron impact between states of atomic oxygen and nitrogen are taken from Refs. [39] and [40]. The ionization cross-sections by electron impact of the first three levels of oxygen and nitrogen are also calculated in Refs. [39] and [40]. The ionization rates of nitrogen levels above 10 eV are calculated using the reaction rate coefficients calculated from BEB cross-sections in Ref. [41] for T_e up to 50,000 K. These rate coefficients are function of T_e and the EEDF is therefore assumed to be Maxwellian when these rates are dominant.



Fig. 2 Variation of the electron number density during the 15-ns pulse (black line). The decrease of the reduced electric field (red line) is due to the increase of heavy particles' density during dissociation. The region of fast ionization is delimited by the gray lines between 10.75 and 11.25 ns.



Fig. 3 Evolution of the electron and gas temperatures (black and red, respectively). The fast increase of gas temperature occurs between the gray lines at 10.75 and 11.25 ns.



Fig. 4 Net rates of ionization (backward rates subtracted). For the sake of clarity, the net ionization rates of the two metastable states of N at 2.38 and 3.57 eV, red curve, are grouped. The next 5 levels are gathered in two groups: blue curve (10.33, 10.68, 10.92 eV) and green curve (11.60 and 11.75 eV).

t (ns)	E/N (Td)	$T_{e}\left(\mathrm{K} ight)$	$T_{gas}\left(\mathrm{K} ight)$	N_2 (cm ⁻³)	N (cm ⁻³)	$n_e (\mathrm{cm}^{-3})$
10.75	207.0	39600	1400	1.6×10 ¹⁹	4.7×10 ¹⁸	1.6×10 ¹⁷
11.25	116.9	41300	38000	7.8×10 ¹⁵	7.4×10 ¹⁸	3.3×10 ¹⁹

Table 2 Plasma parameters before (t = 10.75 ns) and after (t = 11.25 ns) the fast ionization phase. At t = 11.25 ns, 36% of atomic nitrogen is in the ground state (2.7×10^{18} cm⁻³).

V. Ionization mechanism

The reduced field, Eq. 3, is expected to follow the variation of E. However, at the onset of N₂ and O₂ dissociation, the total gas density increases, implying a decrease of E/N. This effect is shown in Fig. 2 where a generic field of 237 Td is applied. At t = 12 ns, the reduced field begins to decay due to molecular dissociation. Shortly after, the atoms are ionized, and E/N is constant.

In the chemical mechanism, no heating is associated with the exothermic (or endothermic) reactions. However, the heat exchange due to electron-neutral and electron-ion elastic collisions is computed by BOLSIG+. In non-equilibrium discharges, the electron number density is lower ($n_e = 10^{15} - 10^{16} \text{ cm}^{-3}$) and the temperature increases ($\Delta T \approx 1000 \text{ K}$) mainly due to the ultrafast heating mechanism [11]. Models to determine the temperature evolution of non-equilibrium discharges are already available in the literature [42]. In the case of a thermal spark i.e. a fully ionized nanosecond spark, the heating induced by electron collisions is dominant. The temperature evolution is demonstrated in Fig. 3. While the plasma is partially ionized, the electron temperature is constant around $T_e = 45,000 \text{ K}$. The gas (heavy) temperature remains equal to $T_{gas} = 300 \text{ K}$. The heavy particles and the electron start their thermalization at t = 10.5 ns, when the electron number density starts to increase. The decrease of the reduced field (due to molecules dissociation) induces a decrease of T_e from 40,000 K to 35,000 K. At t = 11.25 ns, T_{gas} being close to T_e , less energy is transferred from the electron to the heavy particles and T_e increases by 7000 K. Soon after, at t = 12 ns, the electrons finally thermalize with the heavy particles at $T_{gas} = T_e = 42,000 \text{ K}$.

The electron production is first dominated by the ionization of N₂ and O₂, see Fig. 4. In the appendix, the evolution of the density of N₂ excited states is plotted and the importance N₂(a') ionization is discussed. The ionization rates of N₂(A, B, C) are lower by an order of magnitude compared to the ground state. Fig. 4 distinguishes the net contribution to the production of electrons from the different states of N. For *t* in the range 10.75 – 11.25 ns, the electrons are mainly produced by electron-impact ionization of highly excited states of N. At *t* = 11.25 ns, the gas is ionized at ~83 %. The plasma parameters at the transition from a non-equilibrium to a thermalized plasma are summarized in Table 2. It is shown that the electron number density gains two orders of magnitude in less than 0.5 ns. At the end of the pulse the electron number density saturates to 5×10^{19} cm⁻³. The plasma recombination phase has not been studied and is part of future work.

VI. EEDF deviation from the Maxwellian distribution

The EEDF is computed by BOLSIG+ considering the total cross-section of the plasma mixture. Departure from a Maxwellian distribution is expected in non-equilibrium plasmas generated by nanosecond discharges. The transition between t = 10.75 ns and t = 11.25 ns marks the thermalization of the electron and heavy temperatures and a set of EEDF calculated in this interval are shown in Fig. 5. The deviation from the Maxwellian distribution is quantified in Eq. 7, where $f(\epsilon)$ is the EEDF and $f_M(\epsilon)$ is the corresponding Maxwellian distribution with the same averaged energy. Compared to the equivalent Maxwellian distribution, the EEDF is first overpopulated at approximately 10 eV. From t = 10.9 ns to t = 11.25 ns, the peak is shifted to higher energies and flattens. This shift can be due to the peak of atomic nitrogen effective cross-section at 10 eV. At t = 11.5 ns, the EEDF is Maxwellian ($\delta(\epsilon) = 1$ for $\epsilon < 20$ eV) due to the electron-electron collisions.

$$\delta(\epsilon) = \frac{f(\epsilon)}{f_M(\epsilon)}$$
 Eq. 7

The recombination rates are calculated by detailed balancing, assuming the EEDF to be Maxwellian. However, the recombination rates are not significant during the ionization phase. Therefore, the approximation of the latter assumption is sufficient for results below t < 10.25 ns. In Fig. 4, the net ionization rates of the nitrogen states are compared. The rates of N(⁴S), N(²D) and N(²P) ionization, computed by BOLSIG+, are accurate given the precision of the BSR cross-section. The recombination in these three states becomes significant at t = 11.25 ns, as the EEDF is getting close to a Maxwellian. It is therefore valid to use detailed balancing to calculate the recombination rates.

The rates of the higher excited states ionization are Arrhenius laws based on BEB cross-sections integrated assuming a Maxwellian EEDF [41]. This assumption could have an impact on the results if the EEDF strongly deviates from the Maxwellian. During the interval 10.75 - 11.25 ns, the ionization of N*($E_{up} > 10$ eV) is dominant, but the EEDF is quickly becoming Maxwellian. The approximation is therefore assumed to be valid on this time interval. More accurate calculations would require the direct integration of the BEB cross-section instead of the Arrhenius rates. This possibility is currently explored in our group for future work. After t = 11.5 ns, the EEDF is Maxwellian and the application of the Arrhenius rates is therefore accurate.



Fig. 5 Deviation of the EEDF (δ) from a Maxwellian EEDF of the same average energy



Fig. 6 Temporal evolution of the electron number density for simulations including one, three, four, five six, and eight states of atomic nitrogen (black, red, green, blue, cyan and purple respectively). Three states of atomic oxygen are included in the simulations except for the black curve (ground state only). The region of fast ionization (full model including 8 excited states of nitrogen) is delimited by the gray lines at 10.75 and 11.25 ns.



Fig. 7 Maximal electron number density after the generic pulse of 15 ns of (E/N)₀. Three states of atomic oxygen are included in the simulations except for the black curve (ground state only).

VII. Influence of the number of atomic states in the model

The nitrogen excited electronic states have shown a real influence on the electron production. This was already seen when the excited states of molecular nitrogen were included in Ref. [21]. New simulations are performed changing the number of nitrogen and oxygen excited states included in the chemical mechanism. The applied reduced field is equal to $(E/N)_0 = 230$ Td in ambient conditions. The evolution of the electron number density is showed in Fig. 6, for t > 9 ns. The 8-levels (full) model will be the reference of comparison. If only six excited states of nitrogen (E_{up} < 11.61 eV in Table 1) are included, a similar ionization that described in previous sections is encountered. However, if only the three first levels of nitrogen are included. If only the ground states of nitrogen and oxygen are included in the simulation, the maximal (final) electron number density is decreased by two orders of magnitude compared to the reference. These results show again the dynamics of the thermal spark formation is strongly influence by the presence of atomic excited states in the simulation. The deviation between the models starts at t = 10 - 10.5 ns, corresponding to $n_e = 10^{16} - 10^{17}$ cm⁻³.

Following, the previous procedure, several simulations are performed for different (E/N)₀ varying the number of excited states included in the simulation. The maximal electron number density (reached at the end of the pulse) is shown in Fig. 7. As before, the two excited levels of atomic oxygen, O(¹D, ¹S), are not included if only the nitrogen ground state is used. For E/N < 213 Td, the deviation between all the models is relatively small. The 8-levels model shows full ionization is reached for (E/N)₀ > 216 Td. The deviation with the reference of the 6-model is small on the entire studied range. If only three excited states of nitrogen are included, the full ionization is reached for (E/N)₀ > 260 Td (not shown in Fig. 7). In term of electron number density, the deviation between the different models is marked at a value of $n_e \sim 10^{17}$ cm⁻³. The same value is encountered in Fig. 6 when the models do not agree with each other's at t = 10 - 10.5 ns. We therefore conclude that the correct description of the plasma dynamics must include at least the first six electronic states of atomic nitrogen, if $n_e > 10^{17}$ cm⁻³.

Conclusion

The mechanism of ionization in ambient air by a generic 15-ns pulse of 56 kV.cm⁻¹ is studied with 0-D simulations using ZDPlaskin and BOLSIG+. The electron production phase is first dominated, as usual, by electron-impact ionization of N₂ and O₂. Then, molecules dissociate, and the density of atomic species increases. Excited electronic states of atoms and molecules are populated by electron-impact excitation. We show that the ionization from N₂(a'), N and N* soon becomes more important than ionization from the ground states of N₂ and O₂. We also show that ionization from the excited N states with energy greater than 10 eV quickly dominates. The electron number density increases by two orders of magnitude (from 10^{17} to more than 10^{19} cm⁻³) in less than half a nanosecond. Simultaneously, the gas temperature increases from 300 to 42,000 K and equilibrates with the electron temperature. The EEDF is found to be Maxwellian by the time the plasma is fully ionized. This mechanism explains in our opinion the fast transition (< 1 ns) from a non-equilibrium to a thermal plasma as experimentally observed with nanosecond discharges in Refs. [17,21,43].

Appendix

We show in Fig. 8 the evolution of the main species involved in the ionization mechanism. The transition between a non-equilibrium to a thermal plasma is marked by two grey lines in Fig. 8 at 10.75 and 11.25 ns. During this transition, N₂ is completely dissociated. The excited states of N₂ are also completely dissociated. The evolution of N₂(a') is however surprisingly peaked compared to the other excited states. This is due to the differences in the dissociation cross-sections showed in Fig. 9. As the energy of the electrons increases, the dissociation of N₂ excited states is expected before their ionization. However, the dissociation cross-section of N₂(a') in Fig. 9 is low compared to the other excited states. N₂(a') is therefore less affected by electron-impact dissociation and is efficiently ionized compared to N₂ other states. This is shown in Fig. 10 where the ionization rates are plotted against time. For t > 10 ns, the ionization rate of N₂(a') is higher than the ionization rate N₂(X).



Fig. 8 Evolution of the species density during the generic 15-ns pulse of $E_0 = 56$ kV.cm⁻¹ according to the 8-levels model. The region of fast ionization is delimited by the gray lines between 10.75 and 11.25 ns.



Fig. 9 Ionization and dissociation cross-sections by electron impact computed by Bacri and Medani [37]. The dissociation cross-section of N₂(a') is low compared to other excited states, whereas its ionization cross-section is similar.



Fig. 10 Ionization rates of N₂(X, A, B, C, a'). The net ionization rates (i.e. with recombination rate subtracted) is shown in Fig. 4 (purple solid curve).

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