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Microalgae starch-based bioplastics: Screening of ten strains and plasticization of unfractionated microalgae by extrusion

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ABSTRACT

Microalgae were considered in this work as a new resource for developing starch-based bioplastics. Ten green microalgae strains were screened at lab-scale for their ability to produce starch. A long run (800 h) two-stage accumulation strategy was designed with successive cultivation in sulfur-replete, then sulfur-depleted medium in autotrophic conditions. Starch content was assessed on cell lysate by enzymatic digestion of extracted starch into glucose. *Chlamydomonas reinhardtii* 11-32A strain was selected as it displayed a maximum starch-to-biomass ratio of 49%w/w, 460 h after being switched to a sulfur-deprived medium. Small-scale pilot production (30 L tubular photobioreactor) with *C. reinhardtii* 11-32A yielded sufficient biomass quantity to investigate its direct plasticization with glycerol in a twin-screw extruder. Microstructural characterization confirmed the ability for starch-enriched microalgae to be homogeneously plasticized, and hence the possibility to use microalgae as a new platform for the development of bioplastics.

Keywords:

Microalgae
Bioplastics
Starch
Plasticization
Extrusion

1. Introduction

Along with the growing climate awareness and environmental interest of consumers, and now that environmental responsibility has risen as a recurring argument in marketing strategies (Nyilasy, Gangadharbatla, & Paladino, 2014), companies become particularly keen on embracing new greener options for the production of chemicals and polymer materials. Indeed, it appears that durable and long-lasting plastics are not always appropriate for short-term applications (packaging, agriculture, hygiene, biomedical, leisure, etc.), for which biodegradation or bioassimilation would be key additional properties (Halley & Dorgan, 2011; Ren, 2003). In this regard, since the beginning of the 90 s, genuine bio-based and/or biodegradable plastic materials were developed such as biopolyesters (poly(lactic acid) PLA, poly(hydroxyalkanoates) PHAs, poly(butylene succinate) PBS, most notably) and thermoplastic starches (TPS).

Glucose-based polymers such as starch are the most widespread storage forms of carbon and energy in the biosphere (Blankenship, 1992). In that respect, starch associates the advantages of being cheap, abundant and biodegradable, which makes TPS an interesting alternative to petroleum-based plastics for the crafting of a whole range of

bioplastic materials (Swanson, Shogren, Fanta, & Imam, 1993; Ma & Yu, 2004). However, the environmental virtue of its production is increasingly questioned, as current starch production systems rely on the same crucial biomass that staple food markets (cereals, corn, potatoes,...) (Mülhaupt, 2013).

Microalgae are an extremely diverse type of unicellular organisms able to carry out the biological fixation of mineral carbon through photosynthesis; their energy storage is primarily ensured by the intracellular production of branched polysaccharides, under the form of starch granules in green algae (Ball, Dirick, Decq, Martiat, & Matagne, 1990; Jaiswal & Chibbar, 2017). These unicellular plants associate the advantages of very high growth and productivity rates both higher than most terrestrial plants. Furthermore, production technologies allow it to be grown on non-arable lands; thus limiting the pressure on feed and food production systems and global markets (Wijffels, Kruse, & Hellingwerf, 2013). Depending on strains, microalgae can be cultivated on saline, fresh or brackish water and could potentially be coupled with urban and agricultural wastewater treatment (Delrue, Álvarez-Díaz, Fon-Sing, Fleury, & Sassi, 2016). They are abundant in ecosystems: estimations range between 200,000 and several millions species, compared to 250,000 species for higher plants (Norton, Melkonian, &

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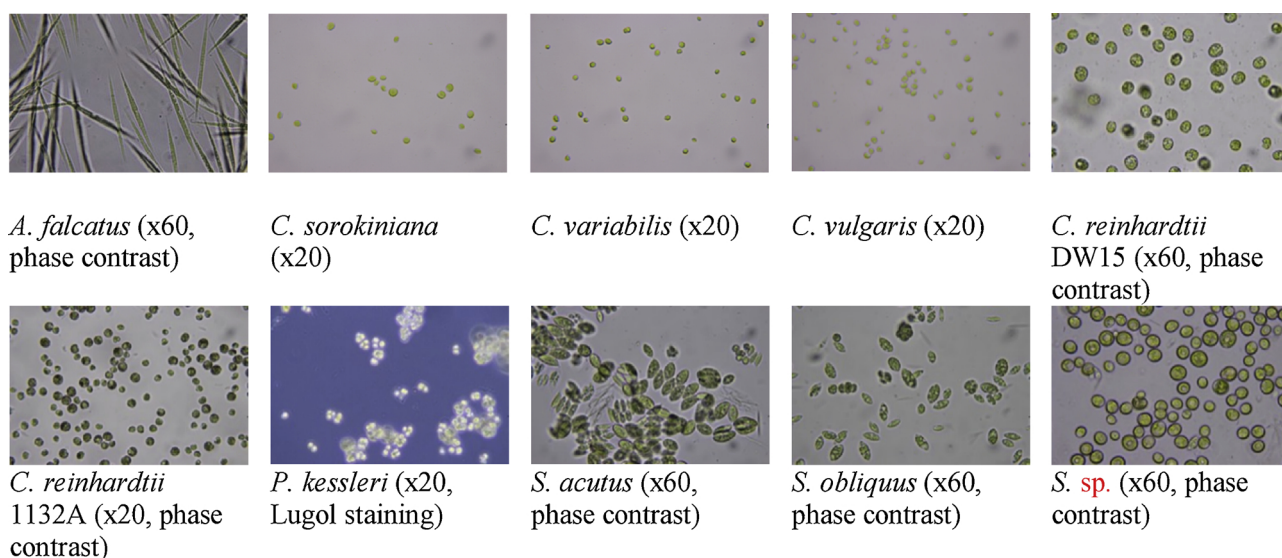


Fig. 1. Microphotographs of the ten microalgal strains.

Andersen, 1996). They also present an extreme diversity of cell structures and physiologies (Singh & Saxena, 2015) which represents a generous matter with great screening potential for a long yet promising strain selection work. Microalgae are photoautotrophs (some species exclusively, and some mixotrophs can also grow heterotrophically on an organic carbon source). They use light as an energy source in the process of photosynthesis, which assimilates mineral carbon (CO₂) into glucose (Blankenship, 1992). This glucose can provide immediate energy for cellular maintenance (via glycolysis), or participate in the building of cell structural materials (cell growth) and storage compounds such as starch or triglycerides (Johnson & Alric, 2013; Radakovits, Jinkerson, Darzins, & Posewitz, 2010). Actually, thanks to their adaptation to an ever-changing environment, microalgae display an outstanding ability to perform spontaneous and significant physiological reorientations with changes in their cultivation conditions without any genetic engineering, in particular in their photosynthetic apparatus, carbon metabolism and intracellular architecture. Hence, when placed in stress conditions, various microalgae strains have been extensively reported to accumulate biopolymers such as polysaccharides including starch (Cheng, Labavitch, & VanderGheynst, 2014; Yao, Ai, Cao, Xue, & Zhang, 2012), lipids (Hu et al., 2008), proteins (Markou, Angelidaki, & Georgakakis, 2012), pigments (Kobayashi, Kurimura, & Tsuji, 1997) and other metabolites, yet with significant constraints on culture growth (Chen et al., 2011). In particular, macronutrients depletion in the culture medium (typically nitrogen, sulfur and phosphate) has been broadly reported as a major mean for the induction of biopolymer storage in microalgae (Ball et al., 1990; Jerez, Malapascua, Sergejevojá, Figueroa, & Masojídek, 2015; Li, Horsman, Wang, Wu, & Lan, 2008; Vítová, Bišová, Kawano, & Zachleder, 2015). Both the selection of suitable strains and the design of specific culture and stress induction protocols constitute crucial steps for the optimal biotechnological production of these molecules.

In this context, this study aims at defining the biotechnological and processing routes for the production of starch-based bioplastics from microalgae. By X-ray diffraction analysis of starch granules isolated from model green algae *C. reinhardtii*, Buléon et al. (1997) revealed their remarkable structural similarity with starch from cereal endosperms (both 'A' type, as opposed to 'B' and 'C' types in peas and tubers), with a variable 15–30% ratio of linear amylose chains over branched amylopectin chains.

With the objective of keeping a strong tie with preexisting starch references and their thermoplastic processing, this study focuses on the accumulation of starch in green algae species. Ten microalgal strains

were compared in their potential to produce starch. For that purpose, a long-run two-staged cultivation process, in sulfur-repleted, then sulfur-depleted conditions was performed (Brányiková et al., 2011). As evoked before, cell starvation through nitrogen limitation is often chosen as an efficient mean of increasing starch production (Dragone, Fernandes, Abreu, Vicente, & Teixeira, 2011). Yet, it presents the main drawback (shared with phosphorus limitation) of seriously diminishing cell viability on a relatively short time interval, given its general impact on cell metabolism, and gamete differentiation (Schmollinger et al., 2014). Because Brányiková et al. (2011) targeted the achievement of the longest interval between attainment of maximum starch content and cell death (due to nutrient lack) as a major stake for large-scale production; we selected sulfur limitation as an appropriate compromise. The most promising species (in terms of final intracellular starch content) was then used to upscale the process in a 30 L tubular photobioreactor (PBR) for the production of sufficient biomass quantity to carry out plasticization experiments. Both starch-'accumulated' and 'non-accumulated' microalgal biomasses underwent a melt processing in a lab twin-screw extruder, with glycerol as a plasticizing agent. The plasticization capacity and the microstructure of the plasticized microalgae were analyzed by optical microscopy and Scanning Electron Microscopy (SEM) observations and Differential Scanning Calorimetry (DSC) experiments.

2. Materials and methods

2.1. Microalgal strains and media

Ten microalgal strains were used: *Ankistrodesmus falcatus* (NIES-2195), *Chlamydomonas reinhardtii* (strains DW15 and 11-32A), *Chlorella sorokiniana* (NIES-2173), *Chlorella variabilis* (NC-64A), *Chlorella vulgaris* (NIES-227), *Parachlorella kessleri* (NIES-2152), *Scenedesmus acutus* (NIES-94), *Scenedesmus obliquus* (NIES-2280) and *Scenedesmus* sp. Fig. 1 shows microphotographs of the ten microalgal strains. The two *Chlamydomonas* strains were selected as most of the research available on microalgae starch has been done on *Chlamydomonas*. The other eight strains were chosen, as they are known to be robust and fast-growing microalgae.

They were maintained on agar plates (2%w/w agar) with Tris-acetate-phosphate (TAP) medium. TAP medium is composed of minimal medium (MM) with 0.1%v/v acetic acid. Minimal medium is composed of NH₄Cl, 7.5 mM ; CaCl₂, 0.39 mM ; MgSO₄, 0.41 mM ; K₂HPO₄, 0.61 mM ; KH₂PO₄, 0.39 mM; 3-(N-morpholino)-

propanesulfonic acid (MOPS), 19.4 mM (pH buffer) and trace elements as 1 mL/L of the Hutner solution (Hutner, Provasoli, Schatz, & Haskins, 1950). The pH was adjusted from 7.2 to 7.4 with 1 M KOH before being sterilized in an autoclave at 121 °C for 20 min.

Sulfur-depleted medium (MM-S) for starch accumulation was prepared substituting chemical species with osmotic equivalents, i.e. MgCl₂ was used instead of MgSO₄. A specific sulfate-free Hutner solution was used in which CuCl₂, FeCl₂ and ZnCl₂ respectively replace CuSO₄, FeSO₄ and ZnSO₄.

2.2. Growth conditions

Pre-cultures were grown in minimal medium (MM) from agar plates to adapt cells to autotrophy. Aliquots from the precultures were used as inocula (initial OD: 0.1) in 125 mL flasks in triplicate. Cells were grown in HT Multitron Pro incubators (Infors HT, Switzerland) at 25 °C, under an incident photosynthetic photon flux density (PPFD) of 125 μmol m⁻² s⁻¹, in photoperiod of 18 h light: 6 h dark cycles. Agitation was kept at 125 rpm and air was enriched with 1% CO₂. Medium switching (from sulfur-replete to sulfur-deplete media) and cell harvesting were performed by centrifugation for 7 min at 3000 rpm.

A 30 L tubular photobioreactor (PBR) designed by IGV-GmbH (Germany) has also been used as a small-scale pilot, in a single batch. A 6-liters centrifuge (model Avanti J-26SXP, Beckman-Coulter, USA) was used for medium switching (7 min, 3000 rpm) and biomass harvesting (7 min, 8000 rpm). The harvested biomass was immediately freeze-dried (COSMOS lyophilizer, Cryotec, France).

2.3. Culture parameters

Cell growth was determined by optical density measurements at 880 nm with a UV-vis Epoch2 spectrophotometer (BioTek Instruments, USA), in 96-well plates.

Biomass production measurements involved filtering culture aliquots (5 or 10 mL) on pre-weighted GF/C glass fiber filters (pores diameter of 1 μm). After careful rinsing with distilled water, the filters were placed overnight at 105 °C in a dry oven. The dry cell weight (DCW), illustrating the biomass concentration in g/L, is given by the ratio between the weight difference between empty and dried filter, and the volume filtered. Biomass productivity was calculated using Eq. (1) with X_t the biomass concentration in g/L at a given growth time t .

$$\text{Biomass productivity (from } t_1 \text{ to } t_2) = \frac{X_{t_2} - X_{t_1}}{t_2 - t_1} \quad (1)$$

2.4. Starch content analysis

A starch-specific enzymatic protocol modified from Chochois et al. (2009) was used to precisely measure microalgae starch content. It was preferred to the Dubois method (Dubois, Gilles, Hamilton, Rebers, & Smith, 1956) and to the sulfuric acid-anthrone method (Somani, Khanade, & Sinha, 1987) as these methods are not specific and a broad range of carbohydrates can be hydrolyzed by the sulfuric acid.

The enzymatic protocol was initially designed for *Chlamydomonas reinhardtii*, a specific sonication step was added. Briefly, 2 mL daily samples were directly stored in tubes with screw caps at -18 °C. They were thawed before undergoing 2 cycles of 3 × 7 s sonication pulses in a lab-size sonicator VibraCell VCX 500, equipped with a 3-mm microprobe (Biorblock Scientific). Tubes were stored on ice in between sonication cycles to avoid polymers solubilization with rising temperature. They were then centrifuged 10 min at 10 000 rpm, and the supernatant discarded. The pellet was resuspended in 1 mL methanol for lipids and pigments extraction, in order to maximize the access of the enzyme to cell residues. After a second centrifugation, the organic phase was discarded, and the pellet resolubilized in 400 μL of de-ionized water, then autoclaved (dry-cycle: 20 min at 121 °C) to solubilize

the polymers. Samples were then incubated overnight at 55 °C with an amyloglucosidase 10 U / mL (Roche, Mannheim, Germany) to break down amylose and amylopectin polymers into glucose units. The glucose content in the hydrolysate was finally titrated with a Biochemistry Analyser 2950D (YSI Life Sciences, USA): a glucose oxidase catalyzes the oxidation of glucose residues into D-gluconolactone + H₂O₂. The spontaneous dismutation of H₂O₂ on a platinum electrode generates a microcurrent (60 nA max) proportional to the glucose concentration released by the amyloglucosidase.

Starch being actually made of anhydroglucose units (AGU) (starch polymerisation eliminates one water molecule per glucose unit added), a reduction coefficient of 0.9 (equal to the ratio MW_{AGU}/MW_{Glu}, where MW_{AGU} = 162.14 g mol⁻¹ and MW_{Glu} = 180.15 g mol⁻¹) is applied to obtain the starch content equivalent in the extract.

2.5. Osidic composition

The osidic composition was performed by the CERMAV (Centre de Recherche sur les Macromolécules Végétales, Grenoble, France) according to the Kamerling et al. method (Kamerling, Gerwig, Vliegthart, & Clamp, 1975) modified by Montreuil et al. (1986). Briefly, samples underwent a methanol/hydrochloric acid saccharification at 110 °C for 4 h, releasing monosaccharides then converted to trimethylsilylated-methylglycosides. Three samples from the mix were then analyzed in a GC-FID system (GC-6850 Agilent, column type HP-5MS) with hydrogen as carrier gas. Peaks from the chromatogram are automatically interpreted as mass ratio of individual monosaccharides on total biomass.

2.6. Microalgae plasticization protocols

Plasticization of microalgae in glycerol under static conditions (i.e. no shearing applied) was studied with an optical microscope in transmitted light (Laborlux 11 POL S, Leitz, Germany) equipped with a microscope heating stage (LTS420, Linkam, UK). Videos were recorded with a digital camera (Leica DFC 420, 5 megapixel CCD) piloted by the software Replay® (Microvision Instruments, France). Samples of 'accumulated' microalgae were placed under two glass plates in presence of large excess of glycerol. A heating cycle at 5 °C/min was applied to the mixture up to 140 °C. Maize starch (Prolabo, France) was used as reference and analyzed in the same conditions.

Plasticization of microalgae in glycerol under shearing conditions was performed as follows: the 'non-accumulated' and 'accumulated' lyophilized biomass samples were left for soaking with 30%w/w glycerol at 4 °C the night before the plasticization assays. The mixture was evenly split in 15 g batches, sufficient to fill the mixing chamber of a twin-screw extruder (microcompounder model MC5, Xplore, Netherlands). Twin-screw extrusion is a classical thermoplastic-processing tool for mixing and/or plasticizing polymers and obtaining homogeneous blends. Microalgae/glycerol batches underwent direct plasticization at different temperatures (100 °C, 120 °C and 150 °C) with a screw speed of 100 rpm and a mixing time of 2 min. The resulting extrudates were collected for each processing conditions.

2.7. Microstructural and thermal characterization of plasticized microalgae

Extrudates were optically characterized as such, and at the level of a fracture in their cross-section, using a binocular magnifier (WILD M8, Germany) interfaced with a computer. Scanning Electron Microscopy (SEM) observations of the extrudates cross-section were performed with a Quanta 200 FEG (FEI, Netherlands). Cryo-fractured samples in liquid nitrogen were sputter coated with carbon using a Carbon Evaporator Device CED030 (Balzers), and observed in the SEM chamber at an acceleration voltage of 3 KeV.

Glass transition temperatures (T_g) of plasticized microalgae were determined by Differential Scanning Calorimetry (DSC) using a Pyris

Diamond DSC thermal analysis system (Perkin Elmer) equipped with an Intracooler II. Samples of roughly 25 mg were placed into aluminum pans. An empty aluminum pan was used as reference. Successive heating and cooling scans were performed using nitrogen as purging gas from -50°C to 150°C at a rate of $10^{\circ}\text{C}/\text{min}$, then from 150°C to -50°C at a rate of $20^{\circ}\text{C}/\text{min}$ and finally from -50°C to 150°C at a rate of $10^{\circ}\text{C}/\text{min}$. Tg was defined as the temperature at the half-height of the heat capacity variation on the heating scans. Measurements were repeated in triplicate for each sample.

Thermogravimetric Analysis (TGA) was used to determine the water content in microalgae/glycerol systems after the extrusion process. Experiments were conducted under nitrogen atmosphere ($100\text{ ml}/\text{min}$). 20 mg of each sample was heated from 30 to 900°C at $10^{\circ}\text{C}/\text{min}$. The moisture content was determined based on the mass loss between 30°C and 130°C . Measurements were repeated in duplicate for each sample.

3. Results

3.1. Microalgae strain comparison

The ten microalgae strains were compared on a two-stage culture. During the first stage, the microalgae showed a steady growth (Fig. 2). *C. vulgaris*, *P. kessleri*, *C. sorokiniana* and *S. acutus* showed the best average biomass productivities during the 360 h of the first stage with 0.492, 0.475, 0.453 and $0.434\text{ g}/\text{L}/\text{d}$ respectively. Both *C. reinhardtii* strains (DW15 and 11-32A) were the slowest-growing microalgae with average biomass productivity for this first stage of 0.190 and $0.218\text{ g}/\text{L}/\text{d}$, respectively.

Cells were harvested after 367 h of growth when exhibiting symptoms of a late exponential-like phase. The first 48 h after the switch to a fresh sulfur-deprived medium (MM-S) saw a significant OD take off in all strains. It is imputable to both the providing of nutrients (notably ammonium) that went limiting over the course of the 2-week primary growth phase, and the cell swelling in stress-conditions (illustrating reserve build-up), artificially increasing OD despite limited changes in cell numbers.

The ten strains displayed variable affections in their growth after the medium switch. On one hand, *C. reinhardtii* DW15, *C. sorokiniana* and *C. variabilis* seem to be particularly affected in their growth ability, basically stopping most cellular division within 48 h. On the other hand, the lack of sulfur had minor effects on the growth of *P. kessleri*, *C. vulgaris* and *Scenedesmus* sp. with average biomass productivity for this

second step of 0.189, 0.237 and $0.258\text{ g}/\text{L}/\text{d}$. All other strains underwent a growth impairment of variable intensity. Interestingly, in spite of a nearly 20-days sulfur deprivation, no strains showed signs of culture perishing, thanks to an intense cell metabolism oriented towards sulfur scavenging and recycling, already highlighted by González-Ballester et al. (2010).

Relative starch content of the biomass during the accumulation phase is reported on Fig. 3. The two *C. reinhardtii* strains stand out. *C. reinhardtii* 11-32A reveals an interesting steady increase pattern of long-term starch accumulation, up to 49% after 20 days of sulfur-deprivation, representing a concentration of 5.07 g of starch per liter of algae culture. *C. reinhardtii* DW15 displays a limited yet stable relative starch content around 15% about 5 days after the medium switch, shortly after reaching a peak at 25% directly upon medium switch.

Apart from both *C. reinhardtii* strains, most strains seem to respond rather poorly to sulfur depletion in terms of starch accumulation. These starch content numbers are significantly lower than those reported in literature, obtained with other cell disrupting techniques and starch determination methods. With the example of *C. vulgaris*, Brányiková et al. (2011) reported values reaching up to 60% of relative starch content in similar growth conditions (and sulfur-depletion). In the present study, the cell lysis method is probably not able to break the most robust cell walls like the one from the *Chlorella* genre. Indeed, the protocol hereby chosen was originally designed for *C. reinhardtii*, whose ratio cell volume/cell wall thickness (a key feature for ultrasonication efficiency) is larger, thus explaining the reported accumulation performance for these cell types. The poor response of smaller cell types as *Chlorella* strains are partly due to their thicker cell walls. Adding a sonication step to the protocol, with the idea of generalizing it to other cell types, did not sufficiently improve the lysis efficiency.

3.2. 30 L pilot-scale culture

Based on both growth performances and starch accumulation potential, *C. reinhardtii* 11-32A appeared as a good compromise in the preliminary strain selection process. This strain was then chosen to inoculate a 30 L PBR for biomass production, and upscale the starch accumulation induction technique through sulfur depletion (Fig. 4). A maximum of biomass productivity of $0.312\text{ g}/\text{L}/\text{d}$ was observed after 200 h of operation, partly attributable to the apparition of a contamination for the ‘accumulated’ biomass. Indeed, observations under optical microscope of the ‘accumulated’ biomass saw the gradual

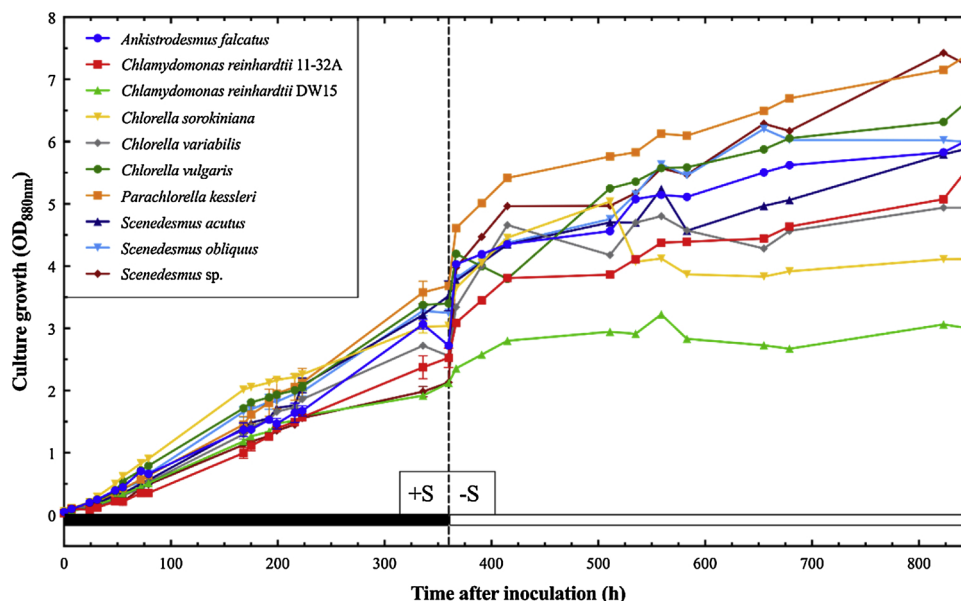


Fig. 2. Biomass growth, as determined by optical density, in sulfur-replete (dark bar), then sulfur depleted (white bar) medium for the ten microalgae strains.

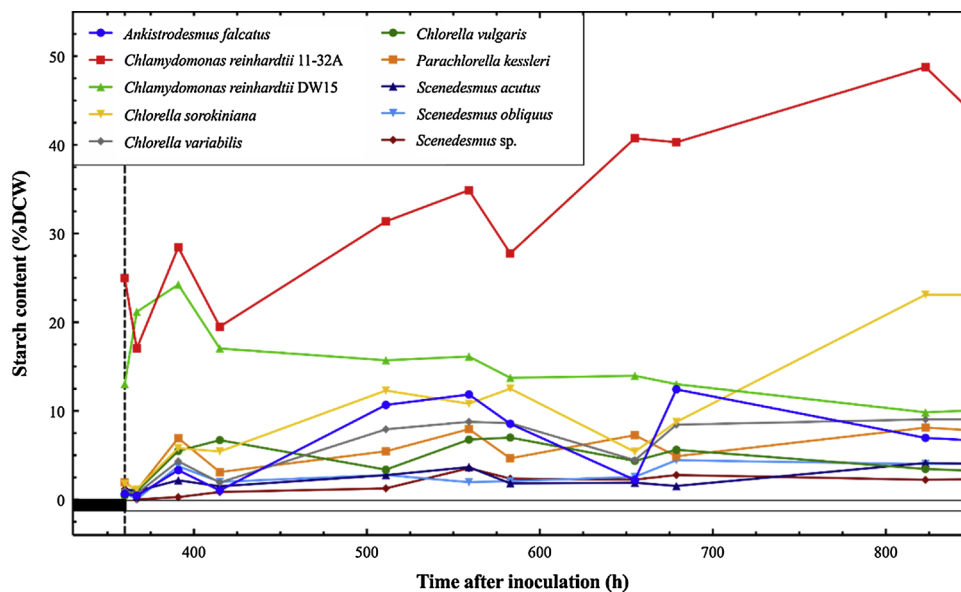


Fig. 3. Relative starch content, as percentage of dry cell weight during the starch accumulation phase (S-depleted medium) for the ten microalgae strains. The closed black bar marks a sulfur-replete medium, the white bar marks a sulfur-depleted medium.

decrease of *C. reinhardtii* 11-32A in favour of another homogenous *Chlorella*-like cell type. This faster-growing strain took place despite chemical sterilization (of both the reactor and the culture medium) prior inoculation and meticulous monitoring of the culture, highlighting the difficulty of upscaling microalgae cultures. Such contamination has not been observed for the ‘non-accumulated’ biomass.

The switch to a sulfur-less medium was performed at the 10th day (258 h). Cells were harvested after 480 h of culture, at a 3.715 ± 0.010 g/L biomass concentration ($OD_{880} = 4.39$). *C. reinhardtii* 11-32A then ended up representing roughly 30% of total cells, as determined by optical microscopy observations. This harvested biomass (named ‘accumulated’ biomass) was sent for an osidic analysis, as well as the one of a previous batch of *C. reinhardtii* 11-32A which did not undergo any nutrient stress and was harvested at middle-exponential phase of a fed-batch strategy (named ‘non-accumulated’ biomass). Osidic compositions of the two batches are reported in Table 1.

The composition of the ‘non-accumulated’ batch illustrates the very basal starch level in the species *C. reinhardtii* 11-32A. Being harvested at mid-exponential phase (dynamic equilibrium reached in a fed-batch

system), the cells were at their full growth capacity, with a metabolism hardly storing any reserves. The ‘accumulated’ batch comprises about a third of *C. reinhardtii* 11-32A whose starch accumulation ability under sulfur depletion has already been proven by the screening experiments. Relative starch level in the harvested ‘accumulated’ biomass is however somewhat disappointing and most probably imputable to the contamination with *Chlorella*-like cells which did not respond as well to sulfur deprivation. If we consider that only *C. reinhardtii* 11-32A (representing about a third of the harvested biomass) responded to sulfur deprivation, this starch content within this cell-type reached about 54% w/w, which is consistent with previous results from the screening phase (49% w/w of starch after 20 days of sulfur-deprivation).

3.3. Plasticization of microalgae

Few works have shown that raw or waste biomass of microalgae could be plasticized and eventually blended with thermoplastic matrices. Protein-rich microalgae, i.e. *Spirulina* and *Chlorella*, were plasticized by thermocompression at 150 °C and 24 bars with glycerol as plasticizer (Zeller, Hunt, Jones, & Sharma, 2013). The authors found

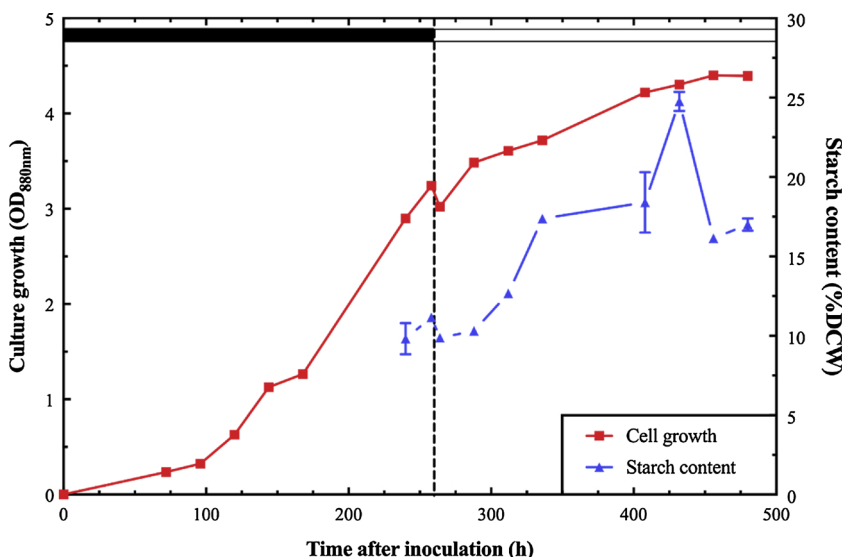


Fig. 4. Biomass growth, as determined by optical density (squares), and evolution of intracellular starch content (triangles) in sulfur-replete (dark bar), then sulfur depleted (white bar) medium for *C. reinhardtii* 11-32A in the 30 L PBR. 3 technical replicates were taken from the photobioreactor for each starch content determination.

Table 1

Osidic compositions of ‘accumulated’ and ‘non-accumulated’ batches of *C. reinhardtii* 11-32A cell cultures determined by GC-FID analysis. The relative starch content is calculated from the glucose mass ratio, converted to anhydroglucose (multiplied by 0.9) Ara: arabinose, Gal: galactose, Xyl: xylose, Man: mannose, Rha: rhamnose, Glc: glucose.

Biomass	Ara (%w/w)	Gal (%w/w)	Xyl (%w/w)	Man (%w/w)	Rha (%w/w)	Glc (%w/w)	Relative starch content (%w/w)
<i>C. reinhardtii</i> 11-32A							
‘Non-accumulated’	1.04 ± 0.37	1.82 ± 0.40	0.31 ± 0.2	0.52 ± 0.11	0.39 ± 0.05	1.41 ± 0.22	1.27%
‘Accumulated’	0.4 ± 0.03	4.85 ± 0.77	1.04 ± 0.06	0.41 ± 0.02	4.26 ± 0.41	19.87 ± 0.22	17.82%

that a microalgae/glycerol ratio of 4:1 was the best compromise for an efficient plasticization and good thermomechanical properties of the molded specimens. However, no shearing was applied for mixing and plasticizing microalgae with glycerol. Failure surface observations of the specimens revealed heterogeneous microstructure with rough surfaces, suggesting an inefficient plasticization. [Torres et al. \(2015\)](#) investigated the plasticization of *Nannochloropsis gaditana* that was submitted to a prior transesterification process to produce biodiesel. The transesterification process resulted in a residual microalgae biomass (RMB) rich in protein that was further plasticized in an extruder at 100 °C with various amounts of glycerol, water and urea. Plasticized RMB was further mixed with poly(butylene adipate-co-terephthalate) PBAT and the authors found that the best PBAT/RMB composites in terms of RMB dispersion and mechanical properties were obtained for plasticization of RMB with 30% glycerol, 10 phr of water and 7.5 phr of urea. Based on these studies, the control of the plasticization process appears as a key issue for the use of microalgae in bioplastic formulations.

In this study, plasticization capacity of ‘accumulated’ *C. reinhardtii* 11-32A biomass was firstly investigated under static conditions, i.e. no shearing applied. A few cells were placed in large excess of glycerol and heated up to 140 °C with a heating stage while observing it by optical microscopy. Same experimental protocol was followed with maize starch granules as reference. As seen in Video 1 (Supplementary material), maize starch granules start to swell at roughly 80 °C and undergo large swelling above 120 °C to reach a swelling ratio (ratio of final to initial diameter) of about 220%, highlighting the well-known good plasticization capacity of glycerol towards pure starch granules. In contrast, with the ‘accumulated’ biomass, nearly no swelling of the small microalgae cells (individual cell or agglomerates) was observed even at 140 °C (Video 2 in Supplementary material). This illustrates very well the requirement for the disruption of microalgae cell walls prior any further biorefining process that seeks the access to intracellular components. As reviewed by [Günerken et al. \(2015\)](#), microalgae cell disruption could be envisaged by bead milling, high pressure homogenization, high speed homogenization, ultrasonication, microwave treatment, pulsed electric field treatment or non-mechanical methods and emerging biotechnologies such as enzymatic treatments. Yet, although it is highly expected for an efficient biorefinery process, the challenge of reliably breaking-up of microalgae cells holds. Besides, even if pure starch granules can swell substantially upon heating in the presence of an appropriate plasticizer (glycerol, sorbitol...), the specific mechanical energy brought by shearing forces in thermoplastic-processing tools such as extruders, was shown to be essential for the defragmentation and homogenous plasticization of pure starch granules ([Barron, Bouchet, Della Valle, Gallant, & Planchot, 2001](#); [Barron, Della Valle, Colonna, & Vergnes, 2002](#)).

Based on these observations, the possibility to break-up and directly plasticize microalgae cells with glycerol was evaluated by continuous mechanical mixing in a lab-scale twin-screw extruder. Three batches of ‘non-accumulated’ *C. reinhardtii* 11-32A biomass were extruded with 30%w/w glycerol at extrusion temperatures of 100, 120 and 150 °C, respectively, and observed using a binocular magnifier ([Fig. 5A–C](#)). At 150 °C, the extrudates are visibly darkened, suggesting that the biomass was degraded during the extrusion process ([Fig. 5C](#)). Cross-sectional

profiles display a coarse structure with unplasticized material and visible cell wall fragments. The best processing temperature, limiting degradation and promoting regular flowing of the extrudate, was found at 120 °C and selected for the plasticization of the ‘accumulated’ *C. reinhardtii* 11-32A biomass with 30%w/w glycerol. As seen in [Fig. 5D](#), a homogeneous plasticized macrostructure with no visible cell fragments was obtained, highlighting the positive effect of starch accumulation on the plasticization capacity of microalgae.

Microstructure of extruded ‘non-accumulated’ and ‘accumulated’ *C. reinhardtii* 11-32A was further investigated at higher magnification by SEM on cryo-fractured surfaces of extrudates. As seen in [Fig. 6](#), a much more homogeneous microstructure was obtained with the ‘accumulated’ biomass with a smoother surface as compared to the irregular and fractured surface obtained with the ‘non-accumulated’ biomass ([Fig. 6a](#) and [d](#), respectively). Zooming within the extrudates microstructure shows agglomerated cells fragments in the case of the ‘non-accumulated’ biomass ([Fig. 6c](#)) whereas a continuous phase with some dispersed-round shape particles of about 3–5 µm is observed with the ‘accumulated’ biomass ([Fig. 6e](#) and [f](#)). This clearly evidences that starch accumulation in microalgae allows the partial plasticization of cells with glycerol provided that sufficient shearing, brought by the extrusion process, is applied. We assume that the round-shape particles observed in the ‘accumulated’ biomass are unbroken cells related to the aforementioned contamination with *Chlorella*-like cells (typical cell size of 2–10 µm according to [Havlik et al., 2013](#) and [VanderGheynst, Guo, Cheng, & Scher, 2013](#)). These *Chlorella*-like cells with both a low amount of starch and more robust cell walls undergone limited disruption and plasticization during extrusion.

Based on our observations, both the capacity of intracellular biopolymers accumulation and the structural features of microalgae cells appear as two key criteria in the selection of relevant microalgae strains for an efficient plasticization for making bioplastics.

Thermal analysis by DSC was conducted to determine the glass transition temperature (T_g) of the resulting plasticized microalgae. Two successive heating scans (from –50 °C to 150 °C) were applied to study the potential effect of drying on the glass transition. No water crystallization or fusion peaks were detected on the thermograms for both ‘non-accumulated’ and ‘accumulated’ biomass. Interestingly, only the ‘accumulated’ biomass showed a heat capacity variation related to glass transition of starch, confirming that starch plasticization with glycerol occurred during extrusion for this biomass. Values of T_g and heat capacity (C_p) variation are reported in [Table 2](#). Pure starch/glycerol systems conditioned at equilibrium at 50% relative humidity (RH) usually contain high amount of water due to their hydrophilicity, and exhibit two T_g , e.g. –21 °C for maize starch with 20% water/25% glycerol and 80 °C when dried ([Sankri et al., 2010](#)). For the ‘accumulated’ and plasticized microalgae, T_g is roughly 1.8 °C, higher than pure starch/glycerol systems probably due to the low amount of water within the system, i.e. 2.34% ([Table 2](#)). However, no significant shift of the T_g (1.6 °C) was observed on the second heating run. The glass transition of plasticized microalgae thus appears to be fully reversible without any effect of drying after one heating cycle at 150 °C.

Finally, it should be pointed out that not only starch but also others polysaccharides and macromolecules (as proteins) present in the microalgae cells could be involved in the plasticization process and have

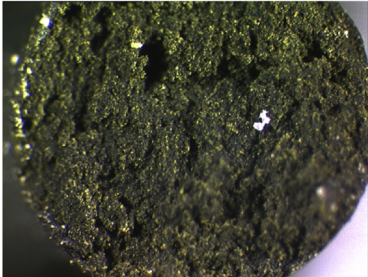
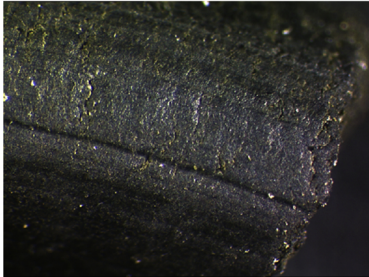
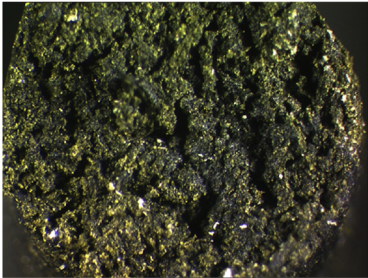
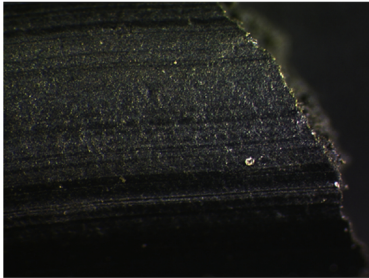
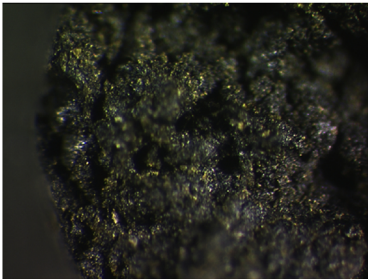
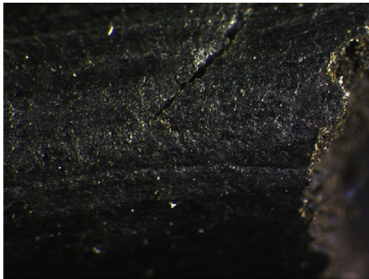
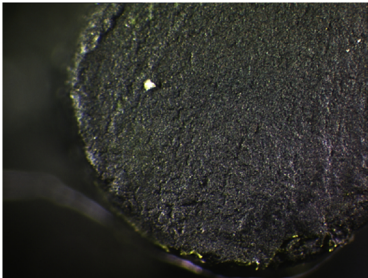
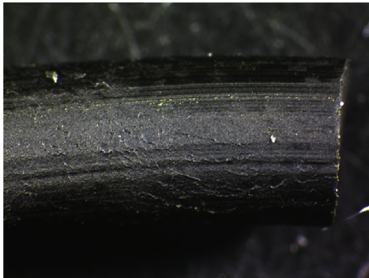
Biomass	Extrudates (cross-section) (magnification ×25)	Extrudates (side) (magnification ×25)
<i>C. reinhardtii</i> 11-32 A		
A. ‘Non-accumulated’ 100°C		
B. ‘Non-accumulated’ 120°C		
C. ‘Non-accumulated’ 150°C		
D. ‘Accumulated’, 120°C		

Fig. 5. Macroscopic structure of extrudates of extruded *C. reinhardtii* 11-32A: ‘non-accumulated’ (A–C) and ‘accumulated’ (D) biomasses observed under binocular microscope with PC acquisition. Both biomasses were extruded using 30%w/w glycerol as a plasticizer and mixed at 100 rpm during 2 min glycerol at extrusion temperatures of 100, 120 and 150 °C.

an influence on the microstructure and thermal behavior of the plasticized microalgae. In this regard, future works will be focused on a better understanding of the effect of the overall composition of different strains, in particular variations in protein/starch ratio.

4. Discussion and perspectives

This study is a first step for the development of starch-based bioplastics from microalgae, intended at giving the definition of suitable

biotechnological and processing routes. However, many opportunities for improvement can be considered for future developments.

Improving the production of starch by microalgae can be made by the selection of highly starch-producing microalgae strains and by optimizing the culture conditions for starch production. This screening methodology could be inspired by already existing protocol dedicated to lipid producing strains such as the one developed by [Taleb et al. \(2016\)](#). Considering non-food applicative prospects for microalgae based materials, one could see the use of genetically modified strains as

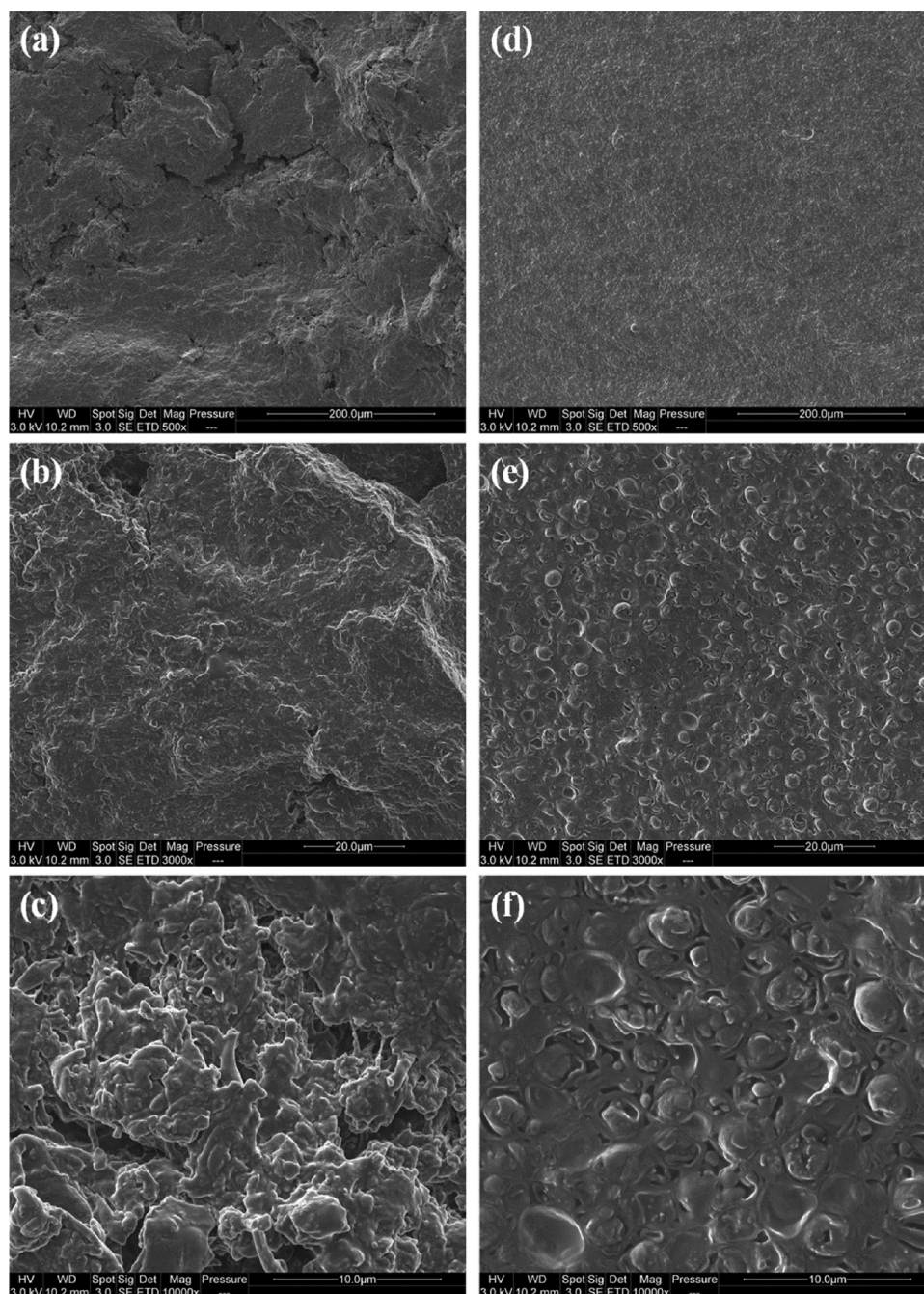


Fig. 6. SEM observations of cryo-fractured extrudates of extruded *C. reinhardtii* 11-32A: 'non-accumulated' (a–c) and 'accumulated' (e–g). Both biomasses were extruded using 30%w/w glycerol as a plasticizer and mixed at 120 °C, 100 rpm during 2 min.

a promising perspective for future studies. Multiple *C. reinhardtii* mutants altered in their carbohydrate metabolism have been characterized, with two objectives: increase the overall intracellular starch content (Smith, 2008), and tailor its structure and quality, through the control of the amylose/amylopectine ratio (Delrue et al., 1992;

Zabawinski et al., 2001). Starch production is also influenced by many parameters such as CO₂ concentration (Izumo et al., 2007), temperature (Nakamura & Miyachi, 1982), light intensity (Brányiková et al., 2011). Medium composition, culture conditions and strategy (batch/fed-batch/continuous) should be optimized in order to maximize starch

Table 2

Glass transition temperatures (T_g) determined by DSC as the onset of heat capacity variation for the two heating scans, and water content determined by TGA for 'non-accumulated' and 'accumulated' biomass.

Biomass <i>C. reinhardtii</i> 11-32A	T_g (°C) first heating run	T_g (°C) second heating run	C_p (J/g·°C) first heating run	C_p (J/g·°C) second heating run	Water content (wt%)
'non-accumulated'	–	–	–	–	1.75 ± 0.1
'accumulated'	1.79 ± 0.47	1.59 ± 0.55	0.206 ± 0.02	0.202 ± 0.02	2.34 ± 0.2

productivity.

Limiting both the energy input and the number of steps required in these bioprocesses has long been identified as a bottleneck for their economic relevance. Different crop strategies than the strict two-stage cultivation should be envisaged. The need for a complete biomass harvest to proceed to the medium switch is difficult to transfer to the large-scale and eventually hinders the cost-efficiency of the process, when considering the additional energy input required. An in-depth understanding and modelling of nutrient uptake dynamics would allow leaving the cells with a precise (limited) initial amount of nutrients, so that the auto-consumption of a particular macronutrient, along with culture growth, would suffice to auto-induce the targeted stress condition, at a precise moment.

Furthermore, easing the access of the plasticizers and its mixing with the polysaccharides and other biopolymers appear as a cornerstone for a homogeneous plasticization. Melt processing of starch-enriched microalgal biomass can be improved by the screening of pre-treatments methods to disrupt cell walls (Günerken et al., 2015) before extrusion. The pre-treatment technique will have to be specific to the chosen cell-type and non-degrading for the biopolymer chains. Our study notably shown that cells with a high cell volume / cell wall thickness ratio are easily lysed through sonication and autoclaving (starch titration protocol), but cells residues can remain after the plasticization if the biomass is not pre-fractionated. This supports the need of a pre-treatment step, regardless the cells robustness. Other plasticizers, polyols but also ionic liquids (Sankri et al., 2010) and blending with other biopolymers (e.g. biopolyesters) could also be considered to improve the plasticization process and the resulting functional properties of microalgae based bioplastics.

Finally, apart from its interests in the crafting of novel bioplastic materials, the stakes of starch production in microalgae also include the production of bioethanol by fermentation of this microalgal starch (Ho et al., 2013). In the objective of bioethanol production, a few studies have already proved the potential to take advantage of the metabolic flexibility of microalgae in designing simple bioprocesses comprising successively both starch storage and its fermentation into ethanol (Hirano et al., 1997). In this regard, developing starch production strategy from microalgae could benefit to both the bioplastic and bioethanol industries.

5. Conclusion

This study successfully provided a proof-of-concept for the production of starch-based bioplastics from microalgae. *C. reinhardtii* 11-32A was found to be a satisfactory starch producing microalgae strain with up to 49%w/w of starch and a maximum biomass productivity of 5.07 g/L obtained in flasks. Starch enriched *C. reinhardtii* 11-32A biomass was successfully produced in a 30 L PBR highlighting the feasibility of up-scaling microalgae starch to industrial production. This starch-rich microalgal biomass was then found to show interesting plasticization capacity in a twin-screw extruder operated at 120°C, especially when compared to a non-accumulated biomass. These results open perspectives for the development of starch-based bioplastics from microalgae as a new platform and tailored biomass resource.

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Appendix A. Supplementary data

Supplementary material related to this article can be found, in the online version, at doi:<https://doi.org/10.1016/j.carbpol.2018.12.057>.

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