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# Ion spectroscopy of heterogeneous mixtures: IRMPD and DFT analysis of anomers and conformers of monosaccharides.

Loic Barnes<sup>1</sup>, Abdul-Rahman Allouche<sup>1</sup>, Stephane Chambert<sup>2</sup>, Baptiste Schindler<sup>1</sup> and Isabelle Compagnon<sup>1\*</sup>

(\* Corresponding author: [isabelle.compagnon@univ-lyon1.fr](mailto:isabelle.compagnon@univ-lyon1.fr)

1. UnivLyon, Université Claude Bernard Lyon1, CNRS, Institut Lumière Matière, F-69622Villeurbanne, France
2. UnivLyon, Université Claude Bernard Lyon1, CNRS, Laboratoire de Chimie Organique et Bioorganique, INSA Lyon, F-69622Villeurbanne, France

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## Abstract

The gas phase IR spectra of three protonated hexosamines are measured in the 3  $\mu\text{m}$  range using IRMPD spectroscopy and compared with DFT simulation of the IR spectra to identify the ring conformations and the contributions of the  $\alpha$  and  $\beta$  anomers to the experimental spectra. We propose an original approach for the deconvolution and quantification of heterogeneous IR spectra based on linear combination of the DFT spectra. The method is applied to the analysis of a two-component anomeric mixture and validated using NMR analysis. Finally, we further estimate the relative populations of a three-component mixture: the anomers and conformers of glucosamine.

## Introduction

Ion spectroscopy has long been used for conformational analysis of small biomolecules.<sup>1</sup> A few years ago, its potential for analytical chemistry has emerged, in particular in the context of “omics” field where Mass Spectrometry (MS) alone fails to resolve isomeric compounds. The remarkable structural resolution of gas phase IR spectroscopy is now widely accepted as a powerful strategy for enabling “Post-isomeric barrier Mass Spectrometry”, with promising applications in glycomics and metabolomics. Early demonstrations of the resolving power of IRMPD spectroscopy (Infrared Multiple Photon Dissociation) for isobaric<sup>2</sup> and isomeric monosaccharides (stereoisomers and anomers)<sup>3–7</sup> and metabolites<sup>8,9</sup> have extended to more complex systems (oligosaccharides)<sup>10–13</sup> and more sophisticated spectroscopic schemes.<sup>14–16</sup>

Once restricted to synthetic standards or pure compounds, IR ion spectroscopy is now taking full advantage of the performance of MS in terms of mass separation, and is moving towards to the analysis of biological samples.<sup>10,17</sup> Overlapping  $m/z$  compounds often coexist in biological samples however. If they are not separated prior to spectroscopic analysis (for instance by Ion Mobility or chromatographic techniques), this results in heterogeneous IR fingerprints, which are difficult to interpret.

In this context, several strategies have been proposed to reduce the structural heterogeneity of overlapping compounds using upfront isomer-sensitive separative methods. Liquid Chromatography (LC) allows temporal separation of isomers prior to ionization and was first used in combination with IRMPD spectroscopy as a semi-separative method: fractions corresponding to isomers were separated offline and spectroscopic analysis was performed on the individual compounds.<sup>10,17</sup> Recent improvements in the acquisition speed to IRMPD spectra have allowed online LC-MS-IRMPD coupling.<sup>18</sup> Alternatively, Ion Mobility technologies offers temporal or spatial separation of ions. And has been coupled to IRMPD<sup>19</sup> and cryogenic IR spectroscopic schemes<sup>20-23</sup> for the analysis of mixtures of conformers or isomers.

Should some level of heterogeneity remain, it is essential to devise further strategies for the deconvolution and interpretation of the resulting heterogeneous spectroscopic signatures in order to identify - and ideally to quantify - the coexisting species. When the coexisting ions feature at least one resolved diagnostic band, the deconvolution is efficiently performed by experimental means. One population can then be eliminated from the mixture for quantification purpose.<sup>24,25</sup> Using a two-IR wavelengths scheme, Johnson and coworkers demonstrated that individual spectroscopic fingerprints can be fully reconstructed.<sup>26</sup> Alternatively, linear combinations of band patterns obtained by ab initio simulations can be constructed and compared to the experimental spectra. Arbitrary ratios maybe be chosen to achieve a visual match with the experimental data. Kinetic<sup>27</sup> and energetic<sup>28</sup> criteria have also been proposed for a less empirical assessment of the relative populations, but remain a matter of debate: the calculated relative energies of the coexisting structures could be used to derive relative populations at the temperature of the experiment in case of a Boltzmann distribution, but this approach is strongly biased by the existence of kinetic trapping. Besides, energetic criteria do not readily apply to the assessment of populations of isomers, which is governed by multiple factors such as steric constraints, chemical reactivity or even enzymatic expression in the case of biological samples.

Here we propose an original approach for the deconvolution and quantification of heterogeneous IR spectra. Our strategy relies on a traditional DFT simulation of the vibrational frequencies of candidate structures followed by a manual selection of the most pertinent forms based on the comparison with the experimental bands. Then, a linear combination of the DFT spectra is produced and a putative heterogeneous IR spectrum is constructed using a gaussian convolution of the linear combination. Finally, the experimental and simulated spectra are compared and the RMSD is calculated as a function of the ratio of populations. The method is applied to the analysis of two-compounds mixtures (alpha and beta anomer's of monosaccharides) and validated using NMR analysis. We further assess the relative populations of three-compounds mixtures (anomers and conformers of monosaccharides) for which NMR resolution is inadequate.

## **Material and methods**

### **Samples**

Glucosamine (GlcN), galactosamine (GalN) and mannosamine (ManN) were purchased from Sigma Aldrich. Samples were prepared for IRMPD spectroscopy in water:methanol solutions at a concentration of 50  $\mu$ M and let to rest to reach mutarotation equilibrium. Samples were prepared for NMR in deuterated water:methanol solutions and let to rest to reach mutarotation equilibrium. The following  $\alpha/\beta$  ratio were obtained by NMR analysis for validation of our IRMPD population analysis: GlcN 69/31; GalN 65/35.

Additionally, in the case of galactosamine, fresh samples were prepared and immediately submitted to IRMPD and NMR analysis before reaching mutarotation equilibrium. The  $\alpha/\beta$  ratio obtained by NMR was 7/93.

### **IRMPD spectroscopy**

IRMPD spectroscopy is performed in a modified commercial quadrupolar ion trap (ThermoFinnigan LCQ classic) equipped with an electrospray source. The trapping electrode was drilled and an IR-transparent window was installed to allow irradiation of the ion cloud by

the IR laser beam produced by a YAG-pumped OPO/OPA system (LaserVision) tunable in the 3  $\mu\text{m}$  region. Mass-selected ions were isolated and irradiated for 700 ms, resulting in wavelength-dependent photofragmentation. The photofragmentation yield is calculated from the resulting photofragmentation spectrum using the following variation of the Beer-Lambert formula:  $-\log \frac{I_p}{I_p + \sum I_f}$  where  $I_p$  is the intensity of the precursor ion and  $I_f$  is the intensity of the photofragments. The IRMPD spectrum of the precursor ion is retrieved by monitoring its photofragmentation yield as a function of the wavelength in the 2700-3700  $\text{cm}^{-1}$  spectral range. The data points shown thorough the manuscript are averaged 3 times and a trend line (5-points Fourier Transform rolling averaging) is added to guide the eye. Note that the photofragmentation yield is not normalized by the laser power. This choice is justified by the fact that the laser power is relatively constant around 12 mJ/pulse in the spectral range of interest (OH and NH vibrations) and only decreases to 8-10 mJ/pulse in the CH range.

## Simulations

Molecular dynamic was used to explore the potential energy surface of the protonated ions with the PM7<sup>29</sup> potential in OpenMopac<sup>30</sup> as described in a previous work.<sup>2</sup> One trajectory was calculated for each anomer. 1000 geometries were generated during each trajectory and optimized with PM7, yielding typically a few hundreds stable conformations after discarding the identical ones (based on atom positions). The geometries were further optimized with two steps of Density Functional Theory (DFT): firstly B3LYP<sup>31-33</sup>/6-31G\*, then CAM-B3LYP<sup>34</sup>/6-311++G(2df,2pd).<sup>35-37</sup> This results in the reduction of the number of stable geometries. The energies are further refined by single-point calculations with MP2<sup>38</sup>/6-311++G(3df,3pd). At this stage, the 20 lowest energy conformers were selected for computing harmonic frequencies with CAM-B3LYP/6-311++G(2df,2pd). An empirical scaling factor of 0.947 was used for comparison with the experimental IRMPD spectra. The mode analysis was performed using Gabedit,<sup>39</sup> Gaussian09<sup>40</sup> was used for DFT calculations and Orca<sup>41</sup> for MP2 single-point energies.

The heterogeneous IR spectra are constructed as a linear combination of individual theoretical spectra convoluted with a Gaussian:

$$I_{IR}(\nu) = \Lambda \sum_{j=1}^{j=M} \gamma_j I_j(\nu) \quad (1.1)$$

$$\text{with } I_j(\nu) = \sum_{i=1}^{Nm} I_i e^{-\log(2) \left(\frac{f_i - \nu}{\sigma}\right)^2} \quad (1.2)$$

$$\text{and } \sum_j \gamma_j = 1 \quad (1.3)$$

where  $\Lambda$  is a conversion factor between DFT intensities and IRMPD intensities;  $M$  is the number of overlapping forms contributing to the simulated IR spectrum (here anomers and conformers);  $\gamma_j$  is the ratio of the  $j^{\text{th}}$  form;  $I_j(\nu)$  is the simulated spectrum of the  $j^{\text{th}}$  form;  $Nm$  is the number of vibrational modes present in the frequency range of interest for comparison with the IRMPD spectrum;  $I_i$  is the DFT intensity of the  $i^{\text{th}}$  mode;  $f_i$  is the DFT frequency of the  $i^{\text{th}}$  mode (scaled down with a scaling factor of 0.947);  $\sigma$  is the full width at half maximum (FWHM), here the value is fixed to  $12 \text{ cm}^{-1}$  to best reproduce the experimental bandwidth.

The root mean square deviation (RMSD) between the simulated IR spectrum and the IRMPD spectrum is calculated as follow:

$$RMSD = \sqrt{\frac{\sum_{\nu \in \{v_{exp}\}} \Delta I(\nu)^2}{N}} \quad (2.1)$$

$$\text{with } \Delta I(\nu) = I_{IR}(\nu) - I_{IRMPD}(\nu) \quad (2.2)$$

where  $v_{exp}$  is the set of IRMPD frequencies;  $N$  is the number of datapoints collected between  $2700$  and  $3700 \text{ cm}^{-1}$ ;  $I_{IRMPD}$  is the IRMPD intensity.

Finally, the  $\gamma_j$  ratios are obtained by minimising RMSD as a function of  $M-1$  independent  $\gamma$  variables in a space of  $M-1$  dimensions.

## Results and discussion

### Conformational preferences

Molecular Dynamics exploration of the conformational landscape of  $\alpha\text{GlcN}$ ,  $\beta\text{GlcN}$ ,  $\alpha\text{GalN}$ ,  $\beta\text{GalN}$ ,  $\alpha\text{ManN}$  and  $\beta\text{ManN}$  yielded 79, 69, 87, 40, 108 and 114 structures, respectively. After geometry re-optimisation with DFT B3LYP/6-31G\*, the 20 lowest energy conformers

were selected for further geometry optimisation and computation of the IR spectra at the CAM-B3LYP/6-311++G(2df,2pd) level of theory. The 20 low energy structures of  $\alpha$ GlcN,  $\beta$ GlcN,  $\alpha$ GalN,  $\beta$ GalN,  $\alpha$ ManN and  $\beta$ ManN and their IR spectra are shown in the figures of the supporting information. For both  $\alpha$ GalN and  $\beta$ GalN, the lowest energy conformer is a  ${}^4C_1$  chair with hydrogen bonds between O3H and O4H and between O4H and O6H, in agreement with the structures reported in Frascchetti *et al.*<sup>25</sup> For  $\alpha$ GlcN, the lowest energy conformation is not a  ${}^4C_1$  chair but a  ${}^1C_4$  chair with a strong hydrogen bond between O3H and O6. The second lowest energy structure ( $\Delta E = 7.1$  kJ/mol) is a  ${}^4C_1$  chair with a network of hydrogen bonds between O3H, O4H and O6H. For  $\beta$ GlcN, the most stable conformer is a  ${}^4C_1$  chair featuring the same same network of hydrogen bonds. These three low energy structures, previously proposed in<sup>18</sup> were further confirmed by Scutelnic and Rizzo.<sup>42</sup> The case of ManN is more complex: a  ${}^2H_1$  half chair ( $\alpha$  anomer); a  ${}^3S_1$  skew ( $\beta$  anomer) and  ${}^4C_1$  chairs (both anomers) are present within less than 3 kJ/mol at the MP2 level of calculation. The IRMPD spectra of protonated GlcN, GalN and ManN were previously published in Schindler *et al.*<sup>7</sup> and are shown in Fig.1 (top panels) in the 3000-3700  $\text{cm}^{-1}$  spectral range, which features the OH and NH modes. For each monosaccharide, both  $\alpha$  and  $\beta$  anomers coexist in the electrosprayed solution, and hence in the ion trap. Therefore, their IRMPD signatures must be interpreted as a two-component mixture at minima (two anomers), or as more complex mixtures in case of further conformational heterogeneity (as suggested by the conformational analysis). A selection of forms contributing to the IRMPD spectra is shown in Figure 1 (middle and lower panel).

The case of GalN is straightforward: the lowest energy conformation ( ${}^4C_1$ ) features a distinctive pattern of OH modes between 3400 and 3700  $\text{cm}^{-1}$  in excellent agreement with the experimental bands (Fig. 1, center panel). The NH pattern between 3100 and 3400  $\text{cm}^{-1}$  also matches the experimental spectra and provides additional anomeric diagnostic: the low frequency asymmetric  $\text{NH}_3$  stretching mode of  $\alpha$ GalN reproduces the band at 3261  $\text{cm}^{-1}$  while the one for  $\beta$  GalN reproduces the low intensity band at 3292  $\text{cm}^{-1}$ . The case of ManN



is particularly difficult because several close-lying low energy conformations feature similar vibrational patterns and remain ambiguous at our spectroscopic resolution. The lowest energy structures (shown in Fig. S21) adopt a  ${}^2H_1$  conformation characterized by four free OH bands around  $3650\text{ cm}^{-1}$  and two NH bands at  $3240$  and  $3330\text{ cm}^{-1}$ , which accounts for the majority of the experimental features. Additionally, the weak experimental feature at  $3548\text{ cm}^{-1}$  matches an intense, diagnostic mode of a  ${}^4C_1$  conformer (shown in Fig. 1, right panel) which suggests its presence in small amount. Note that the frequency of the symmetric  $\text{NH}_3^+$  mode is in principle a good anomer diagnostic for this conformer ( $\alpha$ :  $3140\text{ cm}^{-1}$ ;  $\beta$ :  $3210\text{ cm}^{-1}$ ). Unfortunately, this mode is relatively weak and is not observed in the experimental spectrum. For GlcN, the  ${}^1C_4$  and  ${}^4C_1$  conformations are easily identified: the  ${}^4C_1$  form features a mildly H-bonded OH mode at  $3550\text{ cm}^{-1}$  and a compact NH pattern  $3180$  and  $3340\text{ cm}^{-1}$ , whereas the  ${}^1C_4$  form features a strongly H-bonded OH mode at  $3420\text{ cm}^{-1}$  and a spread out NH pattern between  $3050$  and  $3350\text{ cm}^{-1}$ . The matching OH bands observed at  $3545$  and  $3425\text{ cm}^{-1}$  in the experimental spectrum are a clear evidence of the presence of both  ${}^4C_1$  and  ${}^1C_4$  conformers. Further inspection of the complex experimental NH pattern reveals the presence of both anomers of the  ${}^4C_1$  conformer. In particular, the low frequency asymmetric  $\text{NH}_3$  stretching modes predicted at  $3258\text{ cm}^{-1}$  ( $\alpha$  anomer) and  $3294\text{ cm}^{-1}$  ( $\beta$  anomer) match experimental features.

Finally, the IRMPD spectrum of GalN can be interpreted as a simple contribution of  $\alpha$  and  $\beta$  anomers in the  ${}^4C_1$  conformation. Unsurprisingly, ManN - which has revealed the most complex potential energy surface - shows the presence of the  ${}^4C_1$  conformer but remains difficult to resolve in further detail. GlcN is particularly interesting: its IRMPD signature unambiguously shows the presence of three distinctive forms, namely  $\alpha\text{ }{}^1C_4$ ,  $\alpha\text{ }{}^4C_1$  and  $\beta\text{ }{}^4C_1$ .

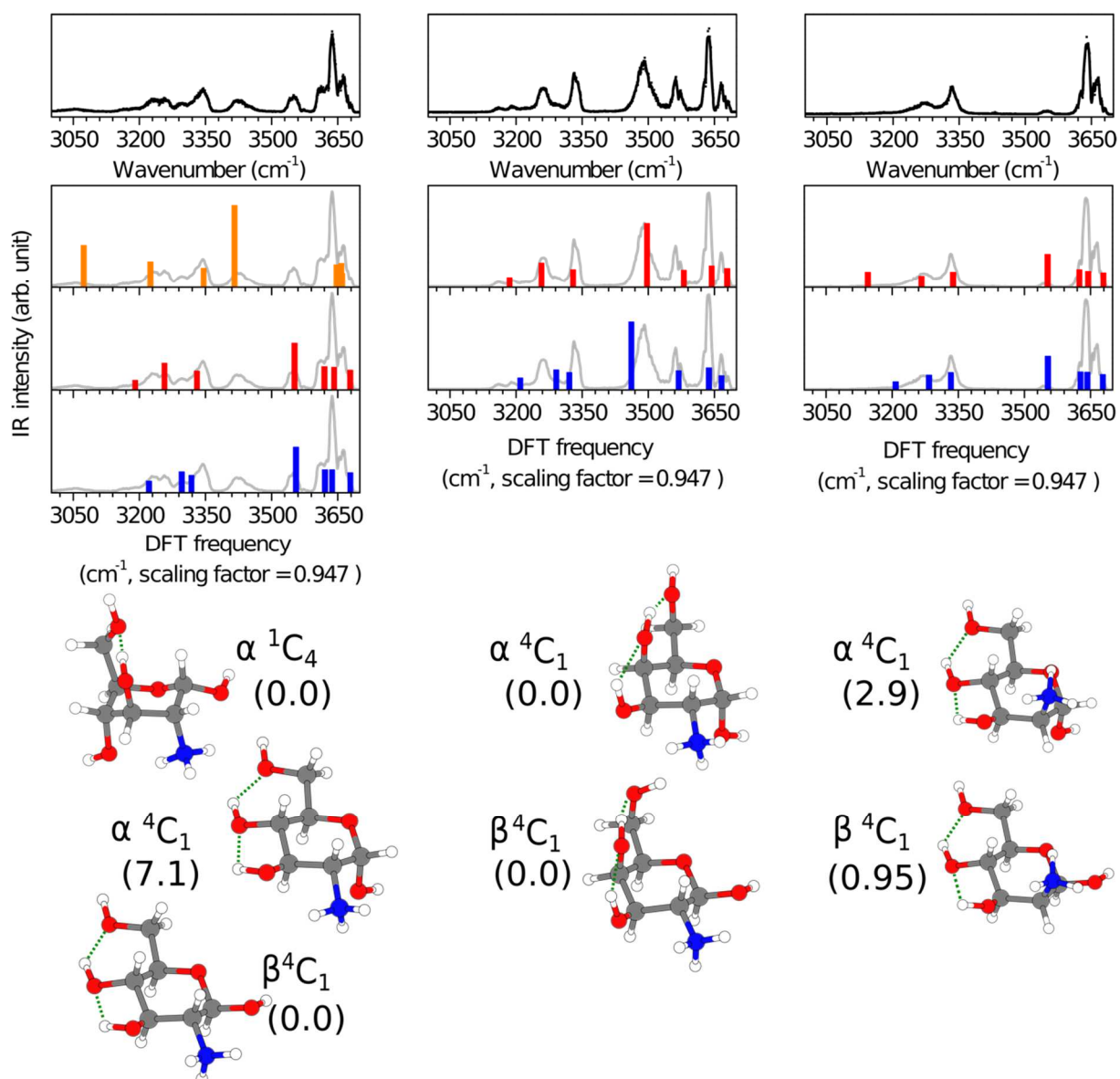


Fig. 1: IRMPD spectroscopy of GlcN (left panels), GalN (center panels) and ManN (right panels). Top: IRMPD spectra from ref 7. Center and bottom: vibrational frequencies and 3D representation of the low energy forms contributing to the experimental spectra; blue bars:  $\beta$  anomer; red and orange bars:  $\alpha$  anomers; grey line: experimental trace. The energy of each form, relative to the lowest lying conformer of the corresponding molecule, is given in bracket (kJ/mol).

## Population analysis

As seen above, GalN only shows anomeric heterogeneity, and its IRMPD spectrum can be interpreted as a simple two-component mixture. Moreover, the NH pattern (3100 and 3400  $\text{cm}^{-1}$  spectral range) is distinctive of both anomers. Therefore, GalN was used to

validate our population analysis approach. The commercial dry GaIN powder is conveniently sold in the  $\beta$  form, which allowed us to validate our analysis for two different anomeric ratios. Firstly, samples were prepared in solution and immediately submitted to NMR analysis and IRMPD spectroscopy. The  $\alpha/\beta$  ratio measured by NMR was 7/93. The IRMPD spectrum obtained in these conditions is reported in Fig. 2a (green trace,  $T_0$ ). Then, the solution was let to rest for 3 days to reach mutarotation equilibrium. In these conditions, the  $\alpha/\beta$  ratio measured by NMR was 65/35. The IRMPD spectrum is shown in Fig. 2a (black trace,  $T_{eq}$ ). As a reminder, the theoretical spectra of both anomers are reported below the experimental trace, and the frequencies previously identified as anomer-diagnostic are highlighted in red ( $\alpha$  anomer:  $3261\text{ cm}^{-1}$ ) and blue ( $\beta$  anomer:  $3292\text{ cm}^{-1}$ ). The  $\alpha$  band is weak in the IRMPD spectrum recorded at  $T_0$  and becomes very intense at  $T_{eq}$ , while the  $\beta$  band is dominant at  $T_0$  and is weak at  $T_{eq}$ . This observation is consistent with an increase of the population of  $\alpha$  anomer in solution as mutarotation occurs. Heterogeneous, two-component theoretical spectra are constructed using the DFT frequencies of the  $\alpha$  and  $\beta$  anomers, as described in the methods section. The deviation (RMSD) between the IRMPD spectra and the two-component IR spectra is plotted in Fig. 2b as a function of the relative population of  $\beta$  anomer. The minimal value of RMSD (best match between experiment and theory) is found for an  $\alpha/\beta$  ratio of 22/78 and 58/42 at  $T_0$  and  $T_{eq}$ , respectively. Finally, the constructed two-component spectra corresponding to these optimal ratios are shown in Fig. 2c in the diagnostic spectral range (NH modes) and compared to the IRMPD spectra for visual inspection. This representation confirms the excellent match between the experimental data and the constructed two-component spectra. At  $T_0$ , the  $\alpha$  population (22%) is higher than the NMR value (7%). This can be explained by the different acquisition time for both methods: the " $T_0$ " NMR value corresponds to an acquisition time of 5 minutes, while the " $T_0$ " IRMPD value corresponds to an acquisition time of 34 minutes. The higher  $\alpha$  population measured by IRMPD is thus consistent with the dynamics of mutarotation occurring during the experiment. At equilibrium, the populations derived from the NMR and IRMPD measurements are in good qualitative agreement.

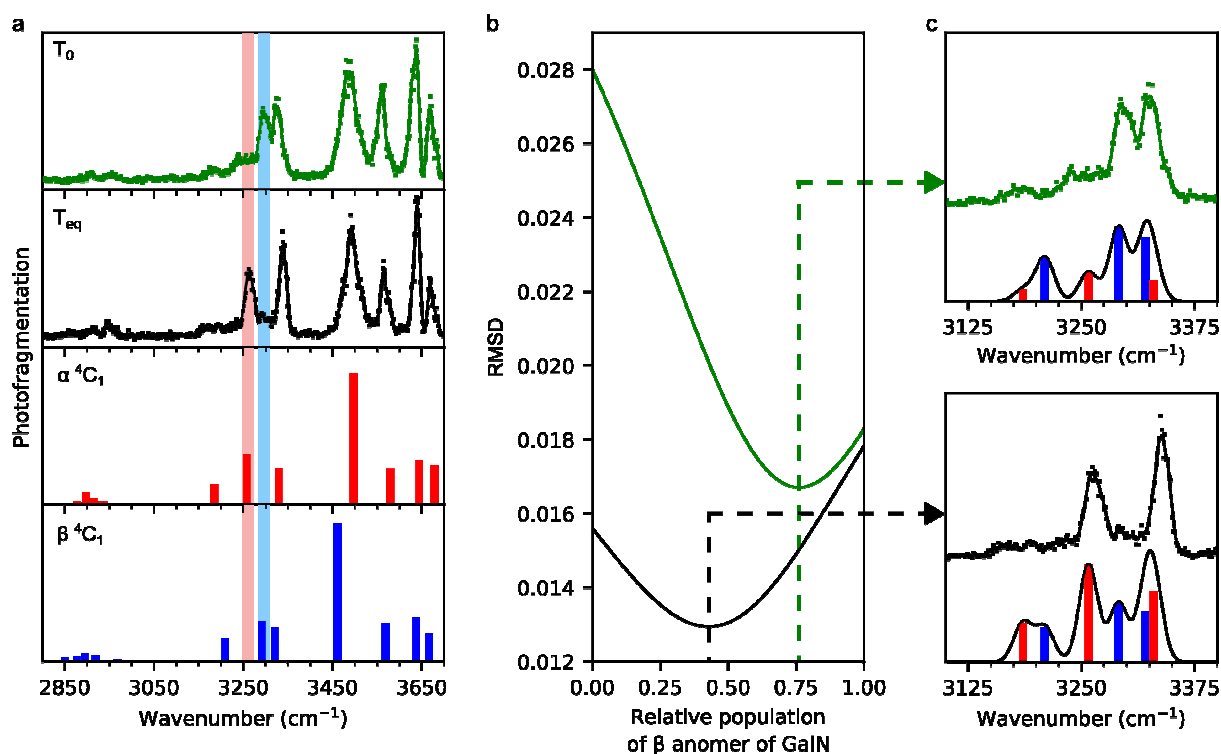


Fig. 2: analysis of the anomers population of GalN. (a) IRMPD spectra of GalN before reaching mutarotation equilibrium (green trace) and after reaching mutarotation equilibrium (black trace), compared with the simulated spectra of the  $\alpha$  anomer (red bars) and the  $\beta$  anomer (blue bars). The main diagnostic modes for  $\alpha$  and  $\beta$  are highlighted in red and blue, respectively. (b) graphic representation of the match between the IRMPD spectra and the simulated two-component spectrum as a function of the  $\beta/\alpha$  ratio. (c) comparison of the IRMPD spectra and the simulated heterogeneous spectra at minimal RMSD (black lines).  $\alpha$  frequencies: red bars,  $\beta$  frequencies: blue bars.

GlcN shows both anomeric and conformational heterogeneity and three forms were found to contribute to the IRMPD spectrum, namely  $\alpha$   $^1C_4$ ,  $\alpha$   $^4C_1$  and  $\beta$   $^4C_1$ . The  $\alpha/\beta$  ratio measured by NMR is 69/31, the ratio of conformers is not readily accessible by this technique however. As for GalN, the NH pattern (3100-3400  $\text{cm}^{-1}$  region) offers the best spectral range for the population analysis because it is specific of both anomers and conformers. In this case, the heterogeneous spectrum is a three-component construct and a 2D RMSD surface is plotted in Fig. 3a as a function of two independent variables: the ratio of  $\beta$  anomer, and the ratio of

${}^1C_4$  conformer. The minimum of the 2D RMSD surface is obtained for  $\alpha/\beta=72/28$  and  ${}^1C_4/{}^4C_1=31/69$ , which correspond to the following populations:  $\alpha$   ${}^1C_4$  31%;  $\alpha$   ${}^4C_1$  41%;  $\beta$   ${}^4C_1$  28%. The three-component theoretical spectrum is plotted in Fig. 3b for comparison with the IRMPD spectrum. The features of the complex experimental NH pattern are remarkably well reproduced by the theoretical construct. Besides, the  $\alpha/\beta$  ratio at equilibrium is in excellent agreement with the NMR value.

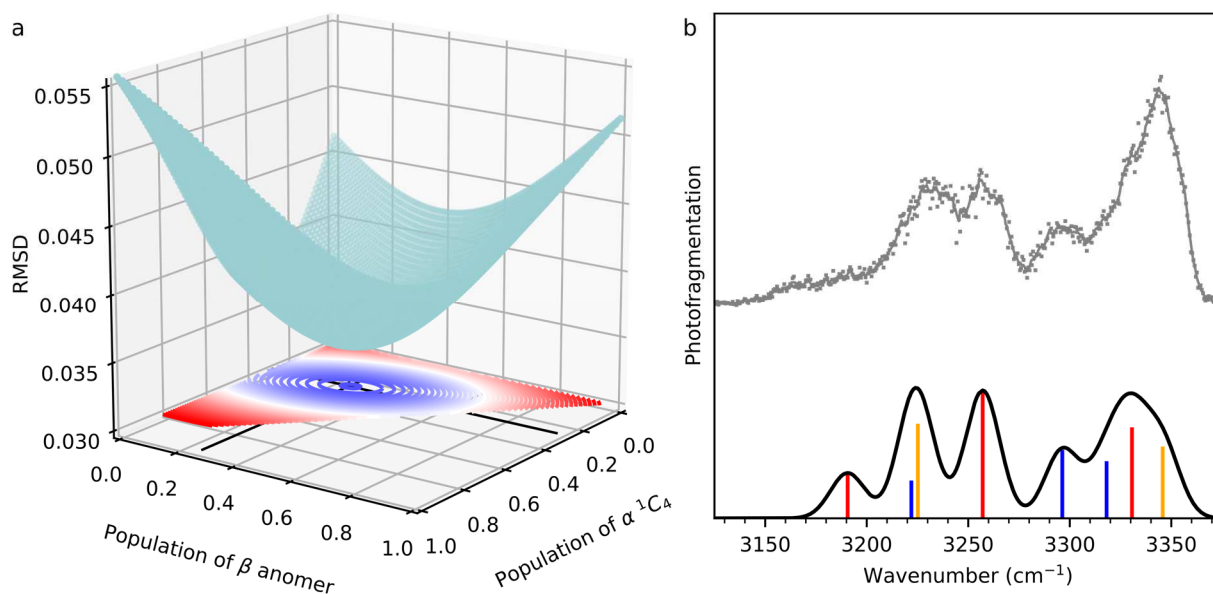


Fig. 3: analysis of the anomers and conformers population of GlcN. (a) graphic representation of the match between the IRMPD spectrum and the simulated three-component heterogeneous spectrum as a function of two independent variables. (b) comparison of the IRMPD spectra (grey trace) and the simulated three-component spectrum at minimal RMSD (black line).  ${}^1C_4$   $\alpha$  frequencies: orange bars,  ${}^4C_1$   $\alpha$  frequencies: red bars,  ${}^4C_1$   $\beta$  frequencies: blue bars.

This simple approach is purely empirical: it relies on the optimization of the match between the linear combination of computed IR spectra and the experimental IRMPD spectrum. When experimental features clearly originate from more than one form (as an example, we've seen here both  ${}^1C_4$  and  ${}^4C_1$  diagnostic bands in the spectrum of GlcN), it is tempting to combine the simulated spectra in arbitrary ratios, such as "50/50", to better account for the experimental data. Here we propose to add a quantitative dimension to this intuitive

approach: instead of introducing arbitrary ratios, the RMSD plots are used to identify optimal, empirical ratios.

In contrast with the evaluation of the populations based on their relative energy, our strategy does not rely on first principles, which might turn to an advantage if the experimental conditions are not properly described by a Boltzmann distribution. Yet, both approaches rely on the highly time-consuming exploration of the conformational space and production of equilibrium geometries in the first place. Therefore, no extra computational cost is associated to the empirical population analysis.

In the present examples, the population analysis was performed after two stages of pre-analysis. Firstly, the candidate structures contributing to the IRMPD spectra were manually selected based on the presence of diagnostic frequencies, as in traditional conformational analysis. Secondly, the fits were performed on a restricted spectral range, corresponding to the diagnostic modes (NH modes in the present examples). Modes that were common to several structures were not included in the fit (typically the free OH modes). However, we expect that these prerequisites should not limit the applicability of the approach. The pre-selection of candidate structures doesn't seem necessary, as the algorithm will readily discard any structure that does not contribute to the IRMPD spectrum. The choice of the frequency range appears more critical, especially in the case of H-bonded modes which are very intense in harmonic DFT simulations but weaker and broader, experimentally. The inclusion of H-bonded modes in the fitting procedure would thus result in a dramatic underestimation of the structures featuring such modes. This effect is due to the use of harmonic DFT frequencies however, rather than to the fitting approach itself. One can anticipate that this issue would be solved by using other theoretical approaches, such as the simulation of the IR profiles by molecular dynamics.<sup>2</sup>

## Conclusion

The structure of gas phase protonated hexosamines was investigated by a combination of IRMPD spectroscopy and DFT simulations, and an original approach for population analysis was proposed. Both anomers are observed in the experimental spectra of hexosamines and

several ring conformations are observed at low energy:  ${}^4C_1$  and  ${}^1C_4$  chairs but also skew or half-chair conformations for the complex case of mannosamine.

The interpretation of multi-component IRMPD spectra has been discussed in the past in cases of conformational heterogeneity. In the case of glycans, this point can never be disregarded, as they are present in the form of anomeric mixtures. The anomeric ratio calculated using a linear combination of theoretical IR spectra are in good agreement to the ratio obtained by NMR in the case of galactosamine and glucosamine. In the case of glucosamine, where both anomeric and conformational heterogeneity are present a  ${}^4C_1/{}^1C_4$  ratio for glucosamine has been proposed. This strategy does not rely on the use of a second IR laser or separative methods, making it an interesting complementary approach for population analysis.

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