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# Simulating the Occurrence of the Last Sapropel Event (S1): Mediterranean Basin Ocean Dynamics Simulations Using Nd Isotopic Composition Modeling

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1            **Simulating the Occurrence of the Last Sapropel Event (S1):**  
2            **Mediterranean Basin Ocean Dynamics Simulations Using Nd Isotopic**  
3            **Composition Modeling**

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9  
10          **Key Points:**

- 11  
12          ● High-resolution numerical modeling of environment of deposition of Sapropel S1  
13          ● Nile river outflow enhancement triggers important changes in oceanic circulation  
14          ● Boundary exchanges  $\epsilon_{Nd}$  modeling depicts reduced circulation, and records for S1 show a  
15          similar anomaly

16  
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19

20 **Abstract**

21 Sapropels are sediments rich in black, pelagic organic matter which occur mainly in the Eastern  
22 Mediterranean, documenting anoxic environments and high biological productivity. The  
23 quasiperiodicity of deposition of sapropels -over millions of years- relates to the Earth's  
24 precession cycle, which directly enhances the African monsoon, ultimately increasing  
25 freshwater input from the Nile. The last sapropel event, S1, occurred about 10,000 years ago  
26 (Early Holocene), when the Mediterranean region was warmer and wetter than today. Several  
27 modeling studies reflect the impact of this climate and a stronger Nile influx on Mediterranean  
28 oceanic circulation, but the regional models used lacked the spatial resolution necessary to  
29 simulate winter intermediate and deep convection. Here, we investigate recently occurring  
30 changes in the convective areas in the Eastern Mediterranean, using a regional ocean–  
31 atmosphere coupled climate model of high spatial resolution, essential to the simulation of a  
32 realistic Mediterranean circulation for present-day conditions. We focused on the thermohaline  
33 circulation and the simulation of neodymium isotopic composition to compare our modeling  
34 results to modern data and paleo-proxies. A sensitivity experiment shows a radical response of  
35 the Mediterranean to enhanced Nile discharge, creating the appropriate conditions for sapropel  
36 formation. We thus demonstrate that increased discharge of the Nile River can trigger the  
37 shutdown of Eastern Mediterranean convection and create conditions favorable to the  
38 development of anoxic events.

39

## 40 **1 Introduction**

41 Since the closure of the East Tethys seaway ~14 million years ago (*Hamon et al.* [2013]), the  
42 only connection between the Mediterranean and the global ocean is the Strait of Gibraltar. This  
43 enclosed configuration has created favorable conditions for the development of sapropel events,  
44 i.e. anoxic conditions. The properties of sapropel events (strength, extension, duration) vary  
45 over time (see *Rohling et al.* [2015] for a review). The last event (S1) took place 10,000 years  
46 ago, is the best documented, and subject of a large body of research (both observations and  
47 modeling). *Rosignol-Strick et al.* [1982], in their pioneering study, found that the occurrence  
48 of sapropels was correlated with orbital forcing. They hypothesized that orbital parameters can  
49 strongly modulate the African monsoon, and therefore drastically influence the freshwater input  
50 in the eastern part of the Mediterranean Sea. However, how physical mechanisms at orbital  
51 frequencies affect the ocean is not clearly understood. The changes in ocean dynamics (e.g.,  
52 seawater convection in winter) and in biogeochemistry (e.g., consumption of oxygen) induced  
53 by changes in freshwater inputs are major issues. To better understand these issues, we used a  
54 high-resolution coupled ocean-atmosphere model to accurately represent convection in the  
55 Mediterranean Sea. Nd is a rare earth element commonly used as a tracer of water masses, and  
56 Nd data have been used in recent reconstructions to gain information on changes in circulation  
57 during S1 sapropel deposition (*Dubois-Dauphin et al.* [2016], *Cornuault et al.* [2018]). We  
58 simulated the neodymium (Nd) isotopic composition in Mediterranean Sea waters (*Ayache et*  
59 *al.* [2016]) to evaluate our modeling studies.

### 60 **1.1 The sapropels**

61 Sapropel events have been described and investigated since they were first identified in the  
62 middle of the 20<sup>th</sup> century (*Kullenberg* [1952]). These events, occurring quasi-periodically with  
63 a frequency of about 21,000 years, are specific to the Mediterranean Sea. They have been  
64 recorded over the last 13.5 million years, i.e., since the closure of the East-Tethys Sea (*Hamon*  
65 *et al.* [2013]), with an interruption during the Messinian Salinity Crisis (*Suc* [1984]). For the  
66 last 5 million years, these events can be clearly identified in marine sediments. They are  
67 characterized by an organic-rich layer of sedimentation, and found mainly in the eastern basin.  
68 Sapropel sedimentation occurred in an anoxic environment allowing organic matter to be  
69 preserved, due to the shutdown of thermohaline ventilation which allows oxygenation (*Sachs*  
70 *and Repeta* [1999], *Möbius et al.* [2010]) and/or enhanced biological productivity leading to  
71 increased oxygen consumption (*Calvert et al.* [1992], *Martinez-Ruiz et al.* [2000]).

72 One of the major mechanisms invoked as a trigger of sapropel events is the intensification of  
73 the African monsoon, which would explain the correlation between the occurrence of sapropels  
74 and insolation variation due to precession (*Emeis et al.* [2003], *Larrasoana et al.* [2013]). Since  
75 the pioneering study of *Rosignol-Strick et al.* [1982] found a relationship between sapropel  
76 occurrences and precession cycles, many studies have tried to quantify this causal link. The  
77 large amount of geological evidence (*Calvert et al.* [1992], *Kallel et al.* [1997], *Kallel et al.*  
78 [2000], *Krom et al.* [1999], *Deménil et al.* [2000], *Martinez-Ruiz et al.* [2000], *Emeis et al.*  
79 [2003], *Kuhnt et al.* [2007], *Siani et al.* [2013], *Revel et al.* [2014], *Hennekam et al.* [2014],  
80 *Tachikawa et al.* [2015], *Grant et al.* [2016]) provided constraints and a useful basis to build  
81 quantified scenarios to explore the causes of sapropel deposition (*Rohling and Hilgen* [1991],  
82 *Bethoux* [1993], *Rohling* [1994], *Cramp and O'Sullivan* [1999], *Casford et al.* [2003], *De Lange*  
83 *et al.* [2008], *Schmiedl et al.* [2010], *Rohling et al.* [2015]). Numerical modeling proved to be  
84 efficient in the reconstruction of dynamic and biological conditions compatible with sapropel  
85 formation (*Myers et al.* [1998]), *Stratford et al.* [2000], *Myers and Rohling* [2000], *Myers*  
86 [2002], *Bianchi et al.* [2006], *Meijer and Tuenter* [2007], *Meijer and Dijkstra* [2009]).

87 Recent modeling studies (*Adloff et al.* [2011], *Grimm* [2012], *Grimm et al.* [2015]) aimed to  
88 simulate the S1 event from its onset. Using an oceanic model with a carbon cycle at a spatial  
89 resolution of  $\frac{1}{4}^\circ$ , they found that enhanced Nile runoff could not trigger the necessary anoxic  
90 conditions to form sapropel. A more specific scenario, involving preconditioning of the cold  
91 and low salinity water coming from the last Heinrich event, was suggested to be needed to  
92 explain S1 formation.

93 The aim of this paper is to revisit the issue of the sensitivity of an AORCM (Atmospheric-  
94 Ocean Regional Circulation Model) to an increase in Nile freshwater through hosing  
95 experiments, at a higher spatial resolution of the regional Mediterranean Sea model ( $\frac{1}{8}^\circ$ ) than  
96 previous investigations. Changes in ocean dynamics caused by inputs of freshwater are crucial  
97 to trigger sapropel formation, and the increased resolution allows better simulation of the  
98 formation of intermediate and deep waters in winter (*Somot et al.* [2006], *Beuvier et al.* [2010],  
99 *Adloff et al.* [2015]). With Nd isotopic composition incorporated in our modeling system, our  
100 main goal is to assess the abrupt shutdown of Mediterranean Thermohaline Circulation (MTC)  
101 in comparison to recently retrieved data in the Mediterranean Sea. We also want to show the  
102 potential of Nd as a tracer to reveal changes of the oceanic dynamics.

## 103 **1.2 New constraints on Mediterranean Ocean dynamics**

104 Nd is a rare earth element with seven isotopes. In oceanic waters, its main source is from  
105 continental margin sediments and their exchange with adjacent seawater, known as boundary  
106 exchange (BE) (*Tachikawa et al.* [2004], *Arsouze et al.* [2007]). Other minor sources for ocean  
107 waters are discharges from rivers and Aeolian dust deposition. The Neodymium Isotopic  
108 Composition (NIC) is expressed as  $\epsilon\text{Nd}$ ,  $\epsilon\text{Nd} = ((\text{Nd}^{143}/\text{Nd}^{144})_{\text{sample}} / (\text{Nd}^{143}/\text{Nd}^{144})_{\text{CHUR}} - 1) \times$   
109 10000, where CHUR is the CHondritic Uniform Reservoir, related to the present day average  
110 value for the Earth surface,  $(\text{Nd}^{143}/\text{Nd}^{144})_{\text{CHUR}} = 0.512638$ ; *Jacobsen and Wasserburg* [1980].  
111  $\epsilon\text{Nd}$  behaves quasi-conservatively in open ocean, when far from lithogenic sources. This  
112 characteristic allows for the tagging of water masses, and  $\epsilon\text{Nd}$  is commonly used as a tracer of  
113 water masses for oceanic circulation in past and present (*Lacan and Jeandel* [2005], *Frank*  
114 [2002], *Piotrowski et al.* [2004], *Arsouze et al.* [2008]).

115 In the context of sapropel events,  $\epsilon\text{Nd}$  is an appropriate tracer of circulation in the  
116 Mediterranean, and  $\epsilon\text{Nd}$  in marine sediments and cold-water corals allows the identification of  
117 the freshwater supply in the sediment material, as suggested by *Freydier et al.* [2001], *Scriver*  
118 *et al.* [2004] and *Revel et al.* [2014] for the Nile River. The continental margin in the  
119 Mediterranean region is characterized by a strong west-east NIC gradient (Figure 1), which is  
120 observed in its modern distribution (*Tachikawa et al.* [2004], *Vance et al.* [2004], *Henry et al.*  
121 [1994]). Coral data from the Sardinia Channel suggest a reduction of the Levantine Intermediate  
122 Water (LIW) circulation (*Dubois-Dauphin et al.* [2016]) during the formation of the S1 sapropel.  
123 *Cornuault et al.* [2018] find distinctly different pattern in the WMS (Western Mediterranean  
124 Sea) and the EMS (Eastern Mediterranean Sea) in their observations at the Sicily-Tunisian  
125 Strait and in the Levantine basin.

### 126 **1.3 Outline of the paper**

127 In this paper, we re-investigate the hypothesis that increased influx from the Nile River could  
128 have caused sapropel formation, using a higher resolution model (1/8\_) of the Mediterranean  
129 Sea than previous studies, which allows us to better simulate winter intermediate and deep  
130 convection. We used a regional ocean-atmosphere coupled model, including a simulation of  
131  $\epsilon\text{Nd}$  distribution, which provides a new constraint on and insight into oceanic circulation. The  
132 development of an appropriate tool coupling ocean and atmosphere at high-resolution and  
133 including tracers (such as  $\epsilon\text{Nd}$ ) allows us to correctly depict the present day circulation, and to  
134 quantify the causal link between changes in insolation and stratification in the eastern basin.  
135 However, our high spatial resolution simulations with a complex platform coupling ocean,

136 atmosphere and biogeochemical models only analyses the triggering of S1, for hundreds of  
137 years. At this stage, we are not able to conduct coupled simulations of long duration, but we  
138 demonstrate that the response in ocean dynamics creates favorable conditions for the occurrence  
139 of anoxic events. We do not aim to simulate sapropel S1 from its onset to the end of its deposit,  
140 because our model setup does not take into account major forcing needed to develop anoxic  
141 environments leading to the S1 deposit (e.g., sea level rise).

## 142 **2 Materials and Methods**

143 We used a coupled ocean-atmosphere regional modeling platform to investigate the impact of  
144 a freshwater perturbation on the dynamics and the associated impact on the eNd distribution  
145 in the Mediterranean.

### 146 **2.1 The regional ocean-atmosphere coupled model**

147 The regional model LMDz4-NEMOMED8 was used by *L'Hévéder et al.* [2013] in their  
148 investigation of the interannual variability of deep convection in the Northwestern  
149 Mediterranean Sea. We selected this coupled modeling platform for the present study because  
150 it can capture the formation of deep water masses in the Mediterranean Sea. The ocean model  
151 NEMOMED8 (*Beuvier et al.* [2010]) is the regional configuration of the NEMO global ocean  
152 modeling platform (*Madec* [2008]) for the Mediterranean basin. It includes a buffer zone in the  
153 Atlantic Ocean from the Strait of Gibraltar to 11°W, which serves as a boundary for the  
154 Mediterranean Sea. Both temperature and salinity are relaxed to observed climatology in the  
155 Atlantic Ocean. The horizontal resolution is 1/8° in longitude and 1/8°cos $\varphi$  ( $\varphi$  is the latitude),  
156 corresponding to a range of 9 km to 12 km from the north to the south. The grid is stretched  
157 and tilted at the Gibraltar Strait to properly represent the NW-SE axis of the strait, so that the  
158 local resolution is increased to 6 km. The model has 43 vertical layers of inhomogeneous  
159 thickness (from 6 m at the surface to 200 m at the bottom). The model explicitly represents 33  
160 river mouths. The Black Sea, with its net hydrological balance, is considered as a river flowing  
161 into the Aegean basin. NEMOMED8 has been used to assess mechanisms controlling the  
162 interannual variability in the Mediterranean Sea, such as the Eastern Mediterranean Transient  
163 event ("EMT") when the formation of deep water in the eastern basin moved from the Adriatic  
164 basin to the Aegean basin (*Beuvier et al.* [2010], *Béranger et al.* [2010]). LMDz4-regional (*Li*  
165 *et al.* [2012]) is a regional configuration of the global atmospheric general circulation model  
166 LMDz4 (*Hourdin et al.* [2006]). Its spatial resolution is about 35 km over the Mediterranean,  
167 and IT becomes rapidly coarser outside this region. It has 19 vertical layers. As outlined in

168 *L'Hévéder et al.* [2013], the behavior of the LMDZ4-regional is free to evolve in the  
 169 Mediterranean domain but is restored to ERA-Interim reanalysis beyond the region. The  
 170 oceanic and atmospheric components are interactively coupled to each other through the  
 171 OASIS3 coupler, with a daily coupling frequency.

## 172 **2.2 The Neodymium component**

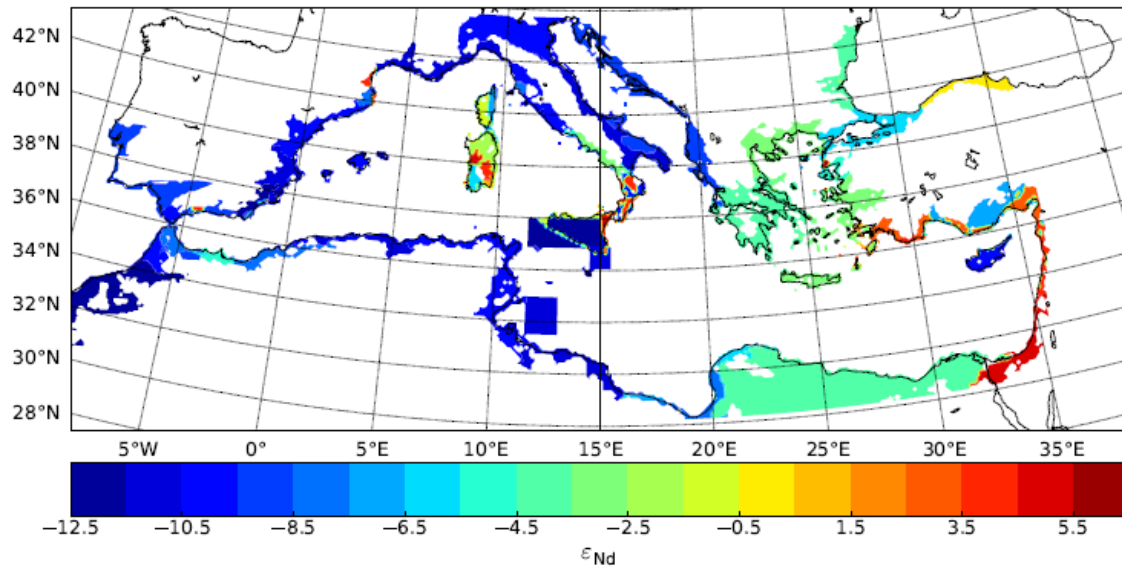
173 The neodymium tracer is implemented in the NEMO model following the approach proposed  
 174 by *Ayache et al.* [2016]. It takes into consideration only the main source of Nd in the ocean, i.e.,  
 175 the boundary exchange (BE) with the continental margins representing the major source of Nd  
 176 on the global scale (*Arsouze* [2007], *Rempfer et al.* [2011]). About 90% of the total input comes  
 177 from boundary exchange, and the contribution from dust and rivers is marginal in the upper  
 178 ocean (1500m), (*Arsouze et al.* [2009]). This simplified approach allows the general  
 179 characteristics of the global  $\epsilon\text{Nd}$  distribution to be represented in the Mediterranean basin  
 180 [*Ayache et al.* , 2016], even if the reconstructed isotopic distribution is too radiogenic due to  
 181 the consideration of the boundary exchange only. The  $\epsilon\text{Nd}$  modeling can be used to provide  
 182 information on the response of a transient event such as the EMT event. Although including  
 183 dust deposition slightly improves the  $\epsilon\text{Nd}$  seawater simulation, the exclusion of dust from the  
 184 current version of our modeling platform is justified by the fact that data and model cannot  
 185 constraint the Mediterranean Sea at high resolution for the dust, and also because the wetter  
 186 conditions in northern Africa, during the Early Holocene, probably changed the dust input from  
 187 the Sahara. This simplified modeling approach has also been used in paleoclimatic studies to  
 188 investigate global oceanic circulation during the Last Glacial Maximum (LGM) (*Arsouze et al.*  
 189 [2008]), as well as the shoaling of the American Seaway during the Miocene (*Sepulchre et al.*  
 190 [2014]). In the model,  $\epsilon\text{Nd}$  is implemented as a passive tracer which does not affect ocean  
 191 circulation. Transport is by advection and diffusion, since BE is the only source considered. BE  
 192 is parameterized by a relaxation equation of the isotopic composition of the continental margin.

$$193 \quad S(\epsilon\text{Nd}) = \frac{1}{\tau} (\epsilon\text{Nd}_{\text{margin}} - \epsilon\text{Nd}) \cdot \text{mask}_{\text{margin}} \quad (\text{Arsouze et al., 2007})$$

194 The relaxing time in the Mediterranean Sea is 3 months (*Ayache et al.* [2016]). The Nd  
 195 composition of the continental margin,  $\epsilon\text{Nd}_{\text{margin}}$ , is prescribed from a high resolution map  
 196 [*Ayache et al.* , 2016] and is upscaled to the NEMOMED8 resolution (Figure1). The  $\text{mask}_{\text{margin}}$   
 197 is the percentage of continental margin in the grid box.

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**Figure 1.** Map of the  $\epsilon_{Nd}$  margin used in the model as used in *Ayache et al.* [2016].

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### 2.3 Experimental design and external forcing

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We performed two major experiments with the AORCM. One simulated the pre-industrial state of the Mediterranean (CTRL) whereas the second focused on the impact of a freshwater perturbation from the Nile (5NILE). The Nile water discharge in the control experiment is set at  $2930 \text{ m}^3 \cdot \text{s}^{-1}$ , the average amount estimated before the construction of the Aswan dam, according to the RivDIS database (*Vorosmarty et al.* [1998]). For the hosing experiment, a supplementary runoff of  $12000 \text{ m}^3 \cdot \text{s}^{-1}$  was added to the pre-dam value, giving a rough total of  $15000 \text{ m}^3 \cdot \text{s}^{-1}$  (5NILE). This estimate has been derived from the PMIP2 (*Braconnot et al.* [2007]) global simulation during the early Holocene, showing an enhanced African monsoon and an increase in the Nile outflow. This estimate of the Nile discharge is close to that used in *Adloff* [2011] and *Grimm et al.* [2015]. The outputs from other Mediterranean rivers are based on modern values from *Ludwig et al.* [2009]; the Black Sea is treated as a river flowing into the Aegean Sea. The Atlantic buffer zone is relaxed to the climatological observed water temperature and salinity from *Levitus* [1983]. The atmospheric model is driven by ERA-interim reanalysis. The relaxing time scale is 10 days inside the Mediterranean domain, and half an hour outside. The nudged variables include meridional wind, zonal wind, temperature and humidity at 6 hours intervals. In order to avoid introducing the current anthropogenic climate change trend into the simulations, we first formed a set of atmospheric forcing for randomly selected years between 1979 and 1989. The same sequence was used in both AORCM simulations. There is no special treatment when moving from December of one year to January of the following year. The duration of both the CTRL and 5NILE simulations is 90 years. The

222 neodymium configuration used the exchange with the continental margin as the boundary  
223 condition and the main source of Nd (Figure 1). In the Atlantic buffer zone,  $\epsilon\text{Nd}$  values are  
224 defined from observations using the NE Atl. MED-15 vertical profile from *Spivack and*  
225 *Wasserburg* [1988]. The 90 years of  $\epsilon\text{Nd}$  simulations, based on the CTRL and 5NILE ocean  
226 dynamics, seem long enough for the model to reach an equilibrium state (see Supporting  
227 Information, Figure 1).

## 228 **3 Results**

### 229 **3.1 Present day circulation and convection in Mediterranean Sea**

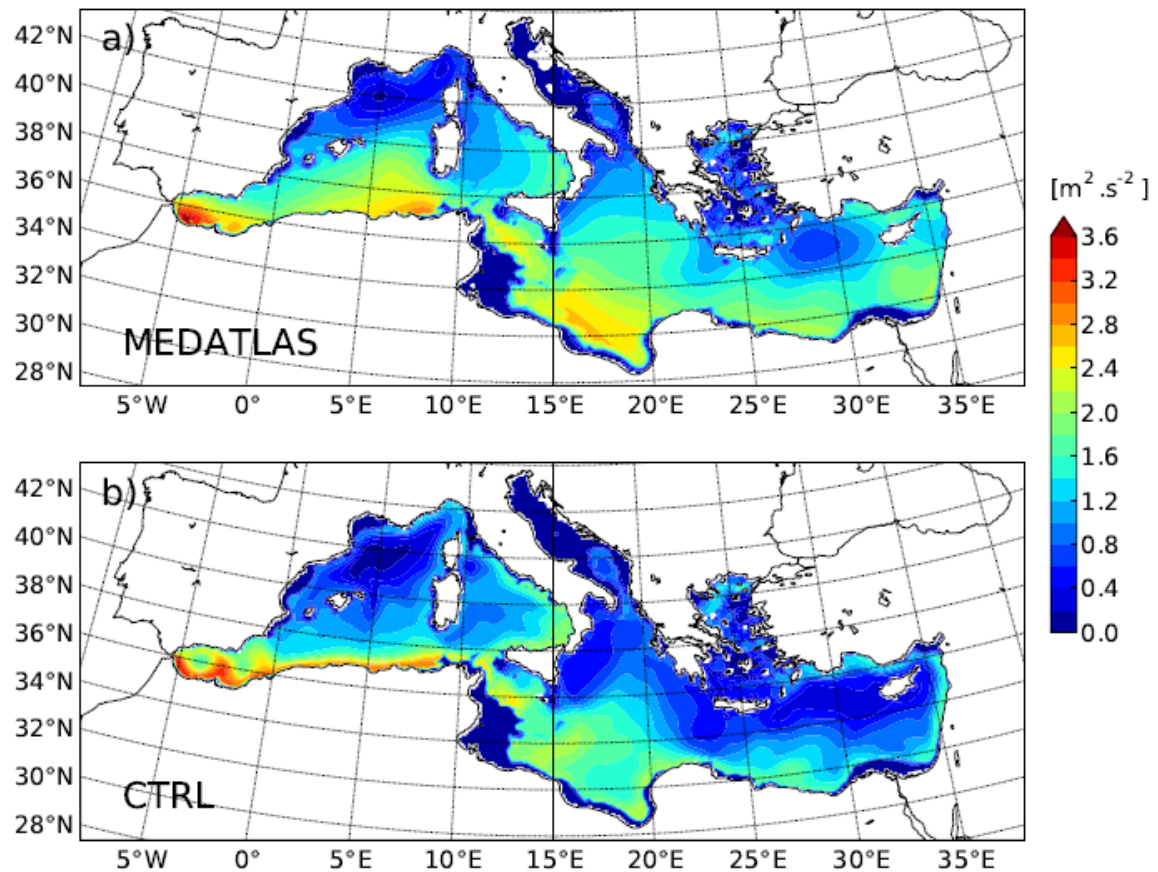
#### 230 **3.1.1 Mean Characteristics**

231 We first examine the vertical convection of the water column using the stratification index,  
232 corresponding to the vertical integration of the Brunt-Väisälä frequency. It varies in response  
233 to the temporal integration of the surface buoyancy flux (*Somot et al.* [2006], *Beuvier et al.*  
234 [2010]), *Adloff et al.* [2011], *Adloff et al.* [2015]. IS (index of stratification) (in  $\text{m}^2\cdot\text{s}^{-2}$ ) can be  
235 formally calculated at each model grid (x,y), for a given depth h as follows:

$$236 \quad IS(x, y, h) = \int_0^h N^2(x, y) z dz$$

237 This index is a proxy for convection inhibition: when it is low, convection is more likely to  
238 occur. In this study, as in *Adloff et al.* [2015], the maximum depth, h, is set as the bottom, or as  
239 1000 m when the depth is greater than 1000 m. Figure 2 shows the IS from MEDATLAS II for  
240 JFM (January-February-March) and the counterpart from CTRL. The model produces a realistic  
241 IS pattern compared to MEDATLAS, showing the same regions of intermediate and deep  
242 convection, located in the Levantine basin, Aegean Sea, Adriatic Sea and the Gulf of Lion of  
243 the IS calculation). However, simulated convective regions are more extensive than in  
244 observations.

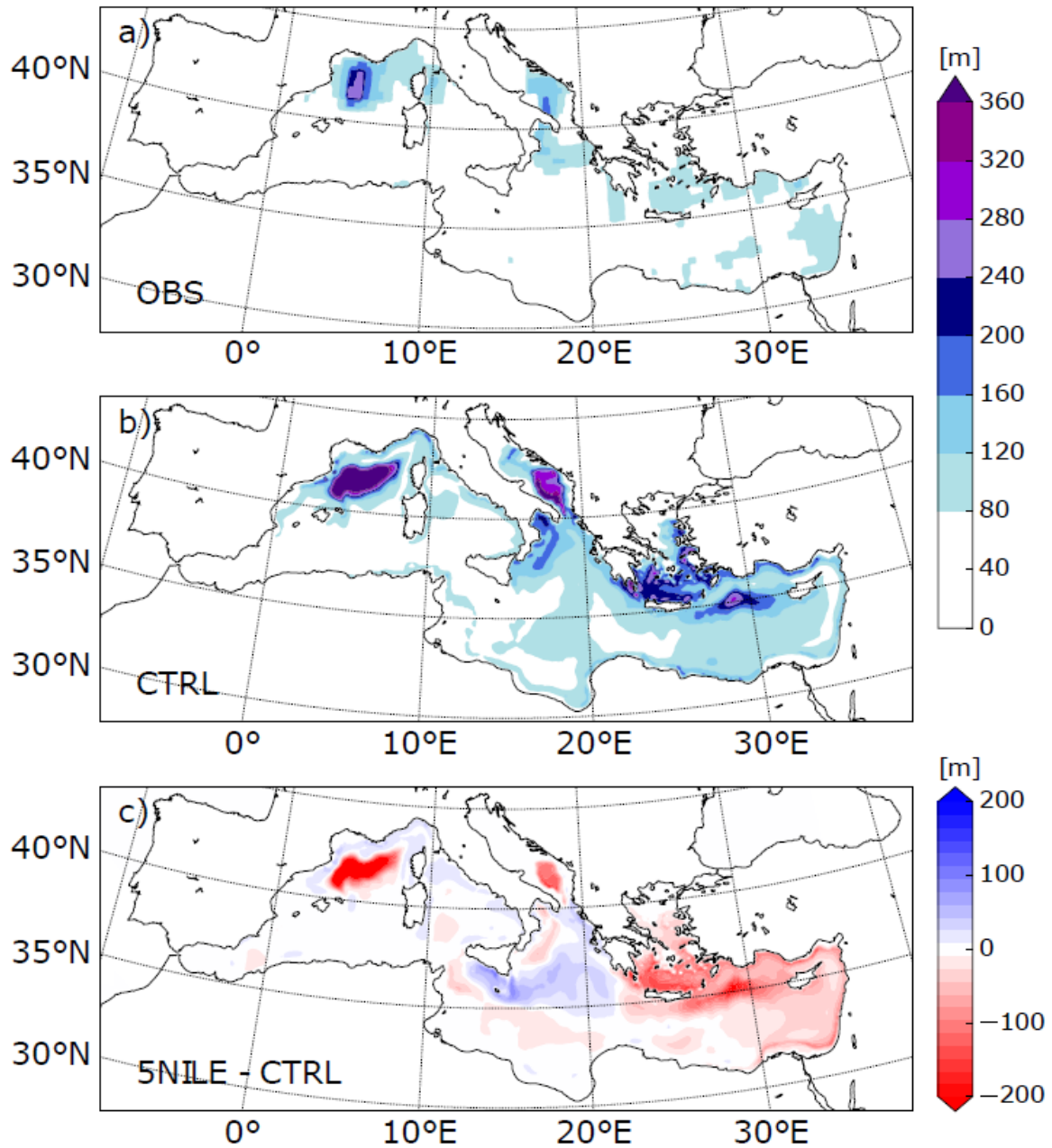
245



246

247 **Figure 2.** Index of stratification (IS,  $\text{m}^2 \cdot \text{s}^{-2}$ ) during winter represented for a) MEDATLAS-II  
 248 climatology and b) CTRL (averaged over the entire simulation).

249 The model is also able to simulate a realistic distribution of the mixed layer depth (MLD, Figure  
 250 3). Intermediate and deep convection areas simulated in the Gulf of Lion and in the Adriatic  
 251 Sea correspond to the those observed in the MLD climatology of *Houpert et al.* [2015].  
 252 However, in the eastern basin, the convection generated by the model is overestimated in the  
 253 Cretan Sea and in the North Levantine basin. Furthermore, the model **tends to overestimate** –  
 254 the overall depth of convection. The simulated average depth in the Gulf of Lion is larger than  
 255 400m versus an average observed depth of about 300m (*Houpert et al.* [2015]), with simulated  
 256 and observed depths of 350m and 200m, respectively in the Adriatic Sea and of 240m and 150m,  
 257 respectively in the Cretan Sea.



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259 **Figure 3.** JFM mixed layer depth (MLD, in m), a) observation from *Houpert et al.* [2015], b) CTRL,  
 260 c) 5NILE minus CTRL (averaged over the entire simulation).

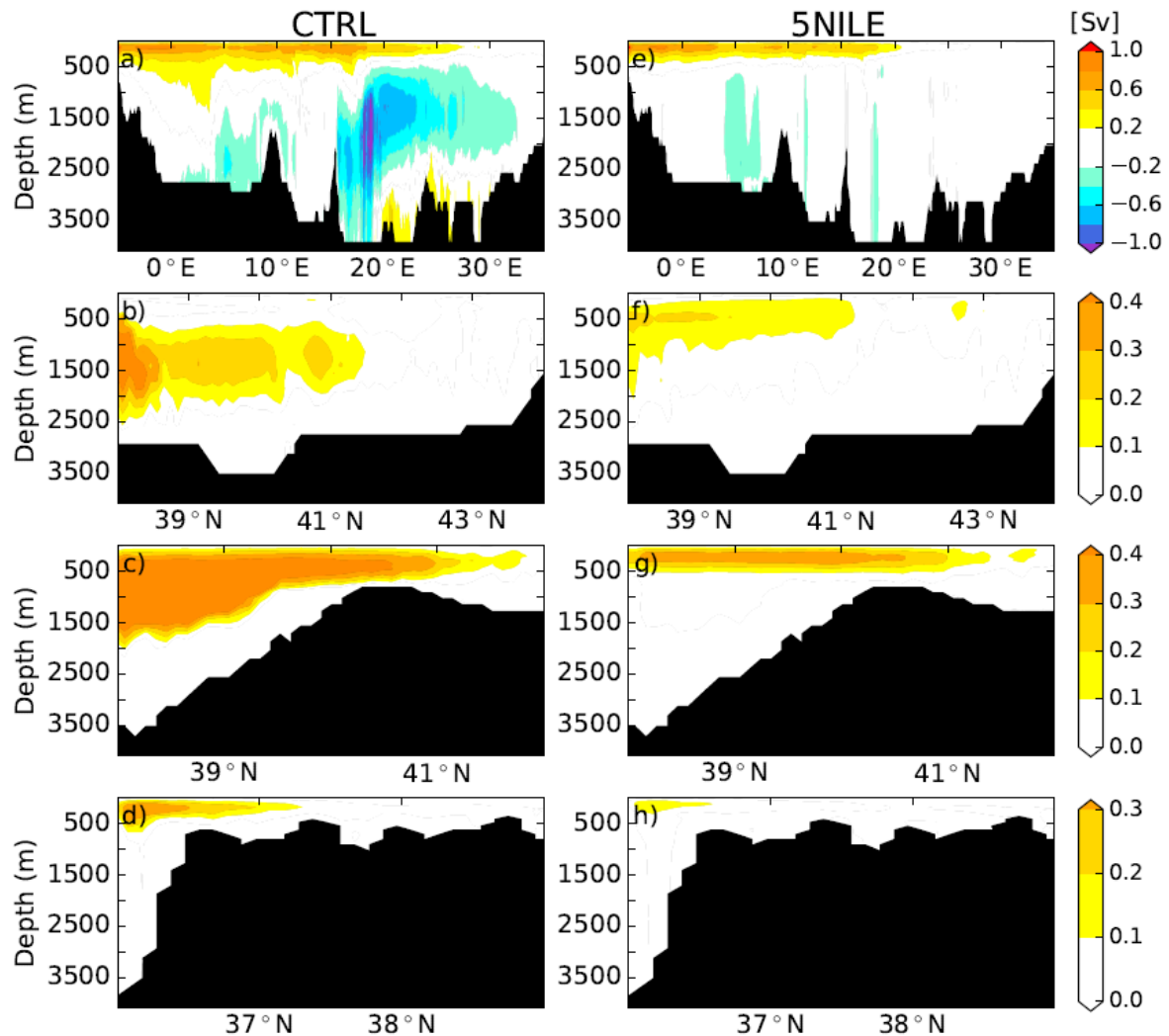
261 The zonal and meridional stream functions (ZOF and MOF) are useful diagnostics to analyze  
 262 the Mediterranean Thermohaline Circulation (MTC) for the present day (*Somot et al.* [2006]),  
 263 for future scenarios (*Somot et al.* [2006], *Adloff et al.* [2015]) and for paleoclimate studies  
 264 (*Meijer and Tuenter* [2007]), *Meijer and Dijkstra* [2009], *Adloff et al.* [2011]). We use the usual  
 265 definition of stream functions in meteorology and oceanography and a standard method to  
 266 calculate them:

$$267 \quad ZOF(x, z) = \int_h^z \int_{y_s}^{y_n} u(x, y, z) dy dz$$

$$268 \quad MOF(y, z) = \int_h^z \int_{x_e}^{x_w} v(x, y, z) dx dz$$

269 , with ZOF and MOF being the zonal and meridional overturning stream functions, respectively.  
 270 In Cartesian coordinates,  $u$  and  $v$  are the zonal and meridional currents, respectively,  $h$  is the  
 271 depth of the bottom, and  $y_n$ ,  $y_s$ ,  $x_w$ ,  $x_e$  are respectively, the North, South, West, East coordinates.  
 272 The ZOF for CTRL (averaged over the entire simulation) exhibits the main features of the MTC  
 273 (Figure 4). The first cell is the surface and subsurface cell (in warm colors, clockwise) created  
 274 by strong evaporation in the eastern basin (*Bryden and Kinder* [1991], *Sanchez-Gomez et al.*  
 275 [2011]). The water deficit is compensated by flow through the Gibraltar Strait. The Atlantic  
 276 water moves eastward along the African coast, gaining in salinity and density, so that by the  
 277 time it enters the Levantine basin it sinks to intermediate depth (200-600 m) and returns  
 278 westward into the Atlantic. The model reproduces this cyclonic surface circulation well (*Millot*  
 279 *and Taupier-Letage* [2005]), with an inflow at Gibraltar of about 1Sv, consistent with  
 280 observations (*Bryden and Stommel* [1984], *Bormans and Garret* [1989], *Baringer and Price*  
 281 [1997], *Garret* [1996]). The second branch (in cold colors, counterclockwise) represents the  
 282 intermediate and deep circulation. The combined effect of winter cold temperatures and strong  
 283 winds leads to the formation of dense waters that sink to intermediate and deep levels *Marshall*  
 284 *and Schott* [1999]. This process occurs preferentially at high surface salinity, i.e., in the Eastern  
 285 Basin, to create the Levantine Intermediate Water (LIW, Figure 4a), mainly formed near Cyprus  
 286 (Figure 3b). Flowing westward, LIW contributes to the formation of the Cretan Intermediate  
 287 Water and Adriatic Deep Water. In CTRL, these waters initiate the convection patterns (Figures  
 288 4c and 4d). The LIW reaches the Western basin, becoming the EIW (Eastern Intermediate  
 289 Water, since its properties are quite different from those of the initial LIW, *Millot* [2013]) and  
 290 participates, in the Gulf of Lion, in the formation of the Western Mediterranean Deep Water  
 291 (WMDW, Figure 4b) (*Millot and Taupier-Letage* [2005]). The circulation patterns simulated  
 292 in CTRL are consistent with those in *Somot et al.* [2006] and *Adloff et al.* [2015], These latter  
 293 using the same oceanic model (NEMOMED8), but a different atmospheric model.

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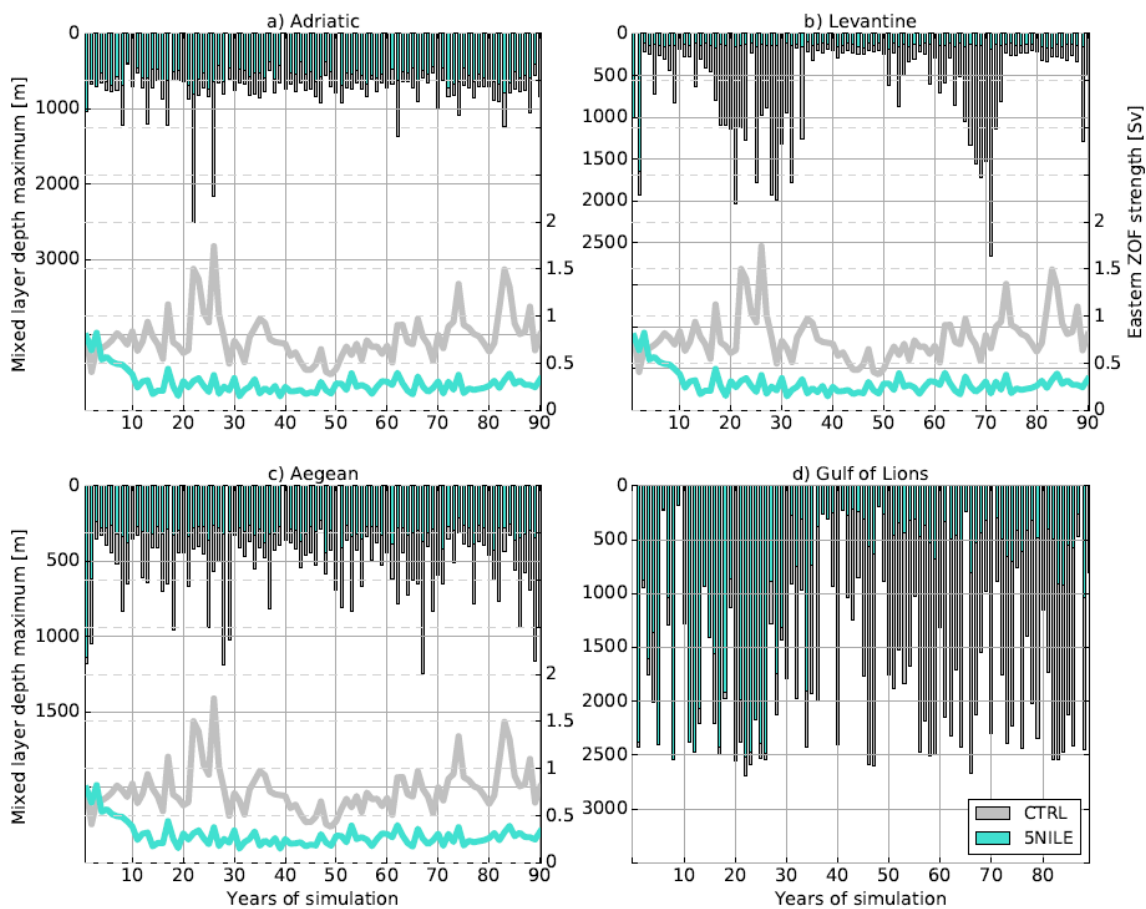
296 **Figure 4.** Overturning stream functions from CTRL (left column, a-d) and 5NILE (right column, e-h):  
 297 a and e) Zonal Overturning stream Function (ZOF) in the section depth-longitude; b and f) Meridional  
 298 Overturning stream Function (MOF) in the section depth-latitude for the Gulf of Lions; c and g) MOF  
 299 for the Adriatic Sea; d and h) MOF for the Aegean Sea. All averaged over the entire simulation.

300 **3.1.2 Interannual variability of the convection**

301 Figure 5 shows the interannual variation of the MLD in the Gulf of Lions, the Adriatic Sea, the  
 302 Aegean Sea and the Levantine basin, compared to the ZOF for the major eastern cell. In the  
 303 CTRL experiment, MLD variability is pronounced in the Gulf of Lions, less intense in the  
 304 Aegean, the Levantine and the Adriatic Seas. The ZOF variability is related to the formation of  
 305 deep waters in the Levantine (for years 20- 30 and around year 70), in some cases in the Adriatic  
 306 Sea and Aegean Sea. A maximum of MLD is never reached simultaneously in the Adriatic and  
 307 the Aegean Sea, showing that the model can reproduce an Eastern Mediterranean Transient  
 308 Event -like mechanism, even with random forcing.



309



310

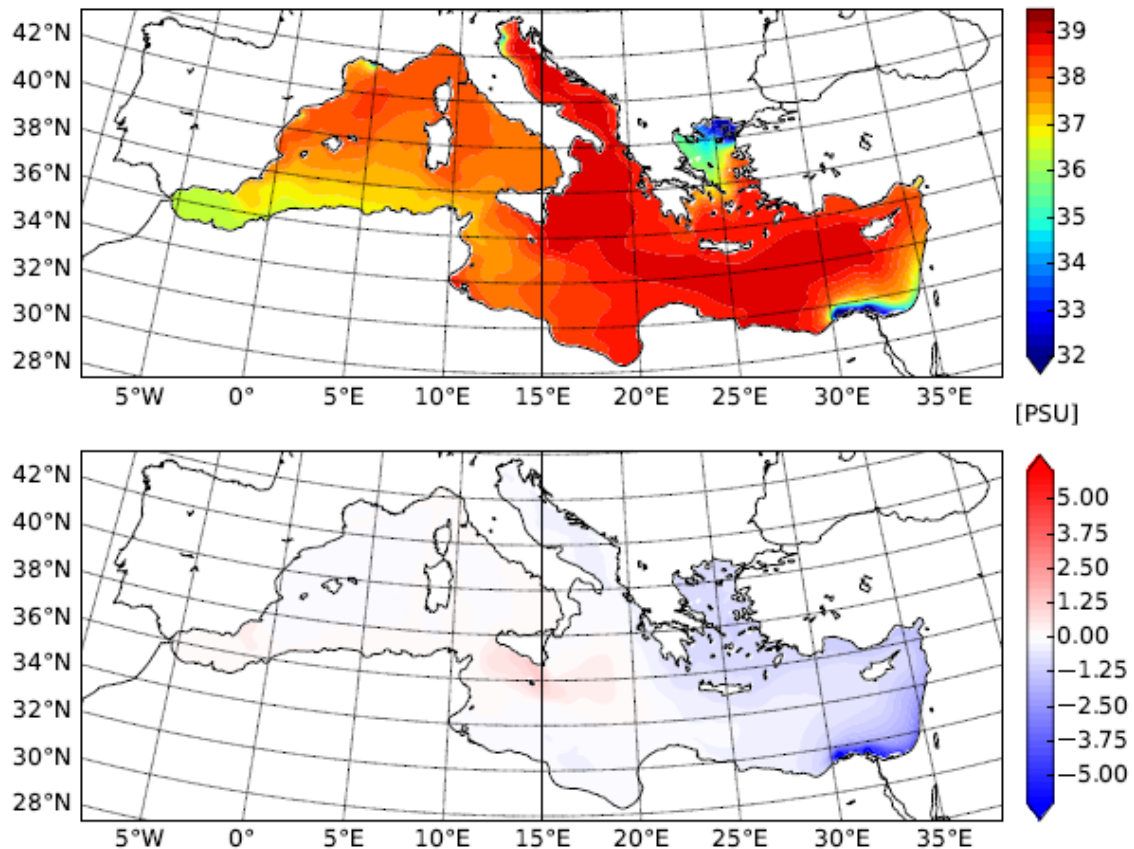
311 **Figure 5.** Interannual evolution of Mixed Layer Depth (bars, left vertical axis) for a) Adriatic Sea, b)  
 312 Levantine basin, c) Aegean Sea, and d) Gulf of Lions. Superimposed curves in panels a, b and c show  
 313 the strength of the zonal overturning stream function (ZOF) which characterizes the intensity of the  
 314 Atlantic incoming water flow.

### 315 3.2 Impact of enhanced Nile input on the MTC

#### 316 3.2.1 A reduced circulation

317 The most remarkable feature in the 5NILE experiment is the decrease in sea surface salinity  
 318 (SSS) in the Levantine basin (Figure 6b), due to the enhanced freshwater input from the Nile.  
 319 5NILE shows a reduction of MLD over all convective areas of the Mediterranean Sea. MLD  
 320 drops to a depth of 240m (from 400m in CTRL) in the Gulf of Lion, to 200-280m (from 350m)  
 321 in the Adriatic Sea and to 80m in the Cretan Sea (from 240m, Figure 3c). Circulation intensity  
 322 is also reduced, as shown from the MOF and ZOF (Figure 4): the Nile perturbation affects both  
 323 the eastern and western basins, with the upper cell shifting westward and becoming shallower  
 324 while the intermediate and deep cells are strongly reduced. The reduction of MOF for the  
 325 Adriatic, Aegean and Gulf of Lion is coherent with the overall reduction of MLD in 5NILE. In

326 5NILE, the convection cell becomes shallower and more sluggish in the Adriatic, and  
 327 completely disappears in the Aegean. The Gulf of Lion is also affected, with a reduced  
 328 circulation cell shifted to shallower depth. The 5NILE experiment strongly reduces the MLD  
 329 (and the ZOF) variability in the Gulf of Lion, where the two experiments CTRL and 5NILE  
 330 behave similarly until year 35.  
 331



332  
 333 **Figure 6.** a) Sea Surface Salinity (SSS) for CTRL, b) SSS anomalies between 5NILE and CTRL  
 334 (averaged over the entire simulation).

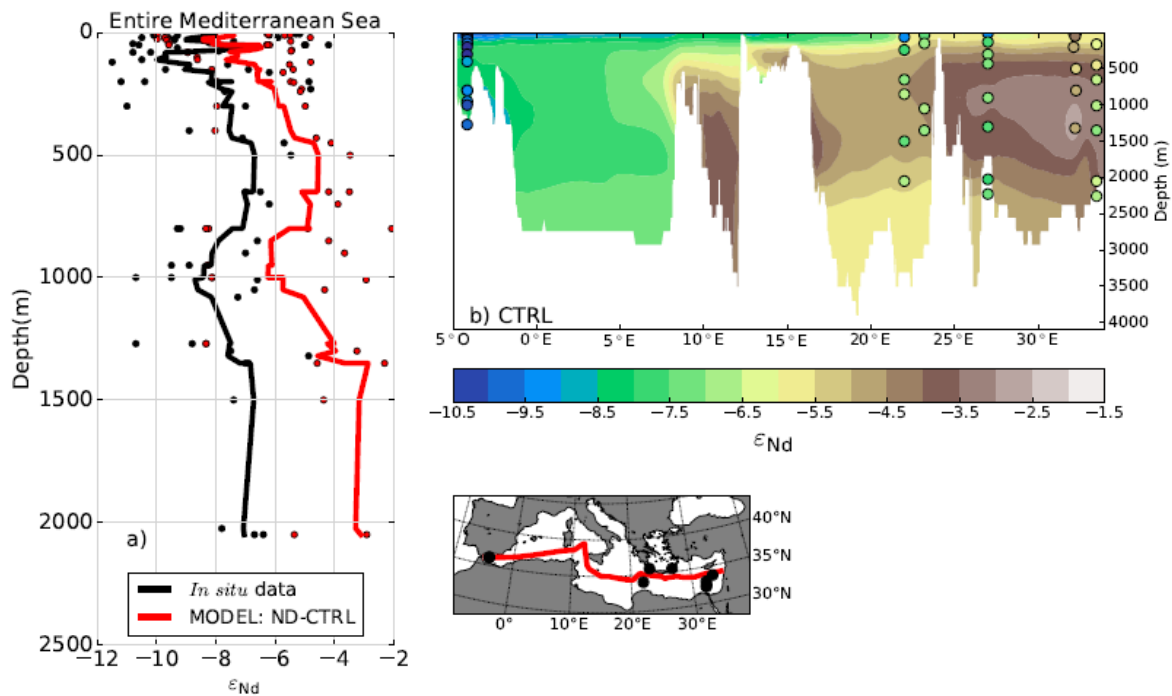
335 **3.3 Evaluation of the change in circulation with  $\epsilon$ Nd tracer**

336 **3.3.1 Present-day Mediterranean  $\epsilon$ Nd distribution: simulation vs. observations**

337 Figure 8a shows the simulated distribution of  $\epsilon$ Nd and a comparison with observations for a  
 338 vertical profile averaged over the whole Mediterranean. Figure 8b shows vertical variations  
 339 along a zonal transect. The model generally captures the observed east-west gradient in the  
 340 surface layers from the Alboran Sea (-9.5) to the Levantine basin (-6). Water masses are more  
 341 radiogenic at depth, and reach up to -3 in the Levantine and in the Libyan Sea at around 1500  
 342 m. The comparison with observations reveals that the model overall generates water that is too



343 radiogenic, similar to *Ayache et al.* [2016]. Despite the excessive radiogenic behavior, the  
 344 model reproduces a vertical pattern similar to that in the observations, especially the more  
 345 radiogenic signature at intermediate depths (500-800m), associated with LIW. We conclude  
 346 that the incorporation of the neodymium tracer in the model introduces an interesting tool to  
 347 investigate circulation anomalies in the Mediterranean (*Ayache et al.* [2016]).  
 348



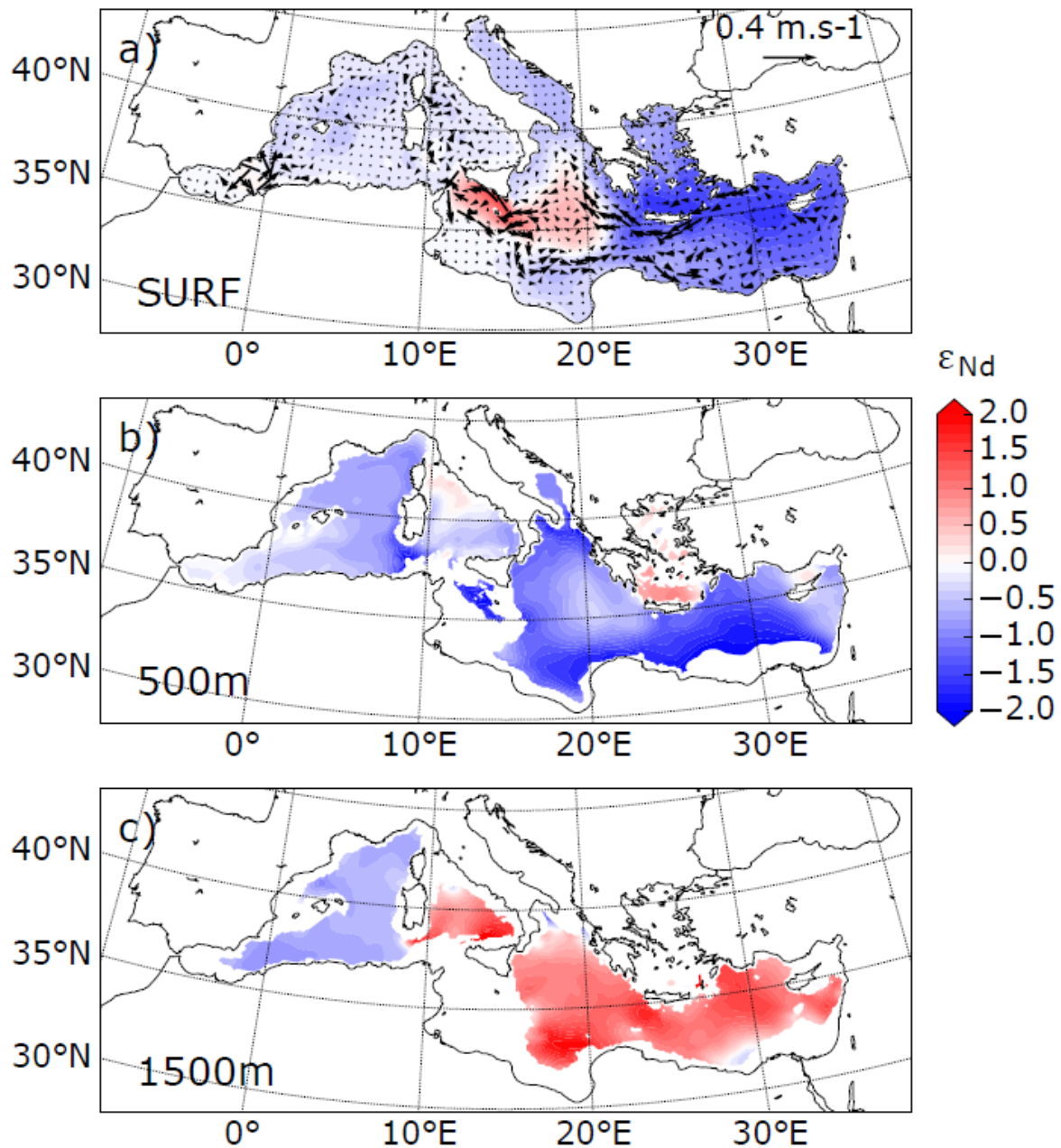
349  
 350 **Figure 7.** a) Entire Mediterranean εNd vertical distribution for CTRL and in situ data (*Tachikawa et*  
 351 *al.* [2004], *Vance et al.* [2004], b), εNd vertical transect for CTRL, dots represent *in situ* data  
 352 (*Tachikawa et al.* [2004], *Vance et al.* [2004]).

### 353 3.3.2 Impact of a weaker circulation on the εNd distribution

354 Variations in εNd distribution between CTRL and 5NILE at different levels of the  
 355 Mediterranean, i.e., at the surface, at 500m and at 1500m (Figure 8), as well as in a transect  
 356 from west to east (Figure 9). The hosing experiment generally reproduces less radiogenic water  
 357 masses in the western basin, as a result of reduced exchange with the eastern basin. In the  
 358 eastern basin, however, we observe less radiogenic waters at the surface in the Levantine basin,  
 359 but more radiogenic ones in the Ionian basin. These variations in εNd at the surface are  
 360 consistent with changes in surface currents. The surplus freshwater input from the Nile has two  
 361 major effects: first, the current in the Levantine basin is intensified, especially south of Crete  
 362 and along the eastern Libyan coast, and second, the inflow from the west is shifted southward

363 into the Gulf of Gabès instead of entering the Ionian Sea (Figure 8a)?. Consequently, the current  
364 structure of the eastern basin surface in 5NILE shows conditions favorable to the propagation  
365 of low-radiogenic western water into the Levantine basin and its mixing with the freshwater  
366 from the Nile. The increased  $\epsilon\text{Nd}$  signal simulated in the Ionian Sea is caused by enhanced  
367 surface currents bringing more radiogenic waters from the north Levantine basin. At  
368 intermediate depth (500m), near the LIW, the Mediterranean becomes less radiogenic overall,  
369 with the exception of the Cretan Sea (Figure 8b). This signal, also clearly detectable along the  
370 transect (Figure 9), documents the less vigorous circulation and reduced LIW. Both reduce the  
371 exchange with high-radiogenic material from the east, and affect the overall connection  
372 between the eastern and western basins. At greater depth (1500m; Figure 8c), anomalies are  
373 more radiogenic in the eastern basin and the Tyrrhenian Sea, and less negative in the west in  
374 the Alboran Sea and in the Ligurian sub-basin. This is the consequence of the sluggish  
375 circulation simulated in 5NILE, which reproduces stagnation in deep waters that generates a  
376  $\epsilon\text{Nd}$  signature closer to the value of the surrounding margins.

377

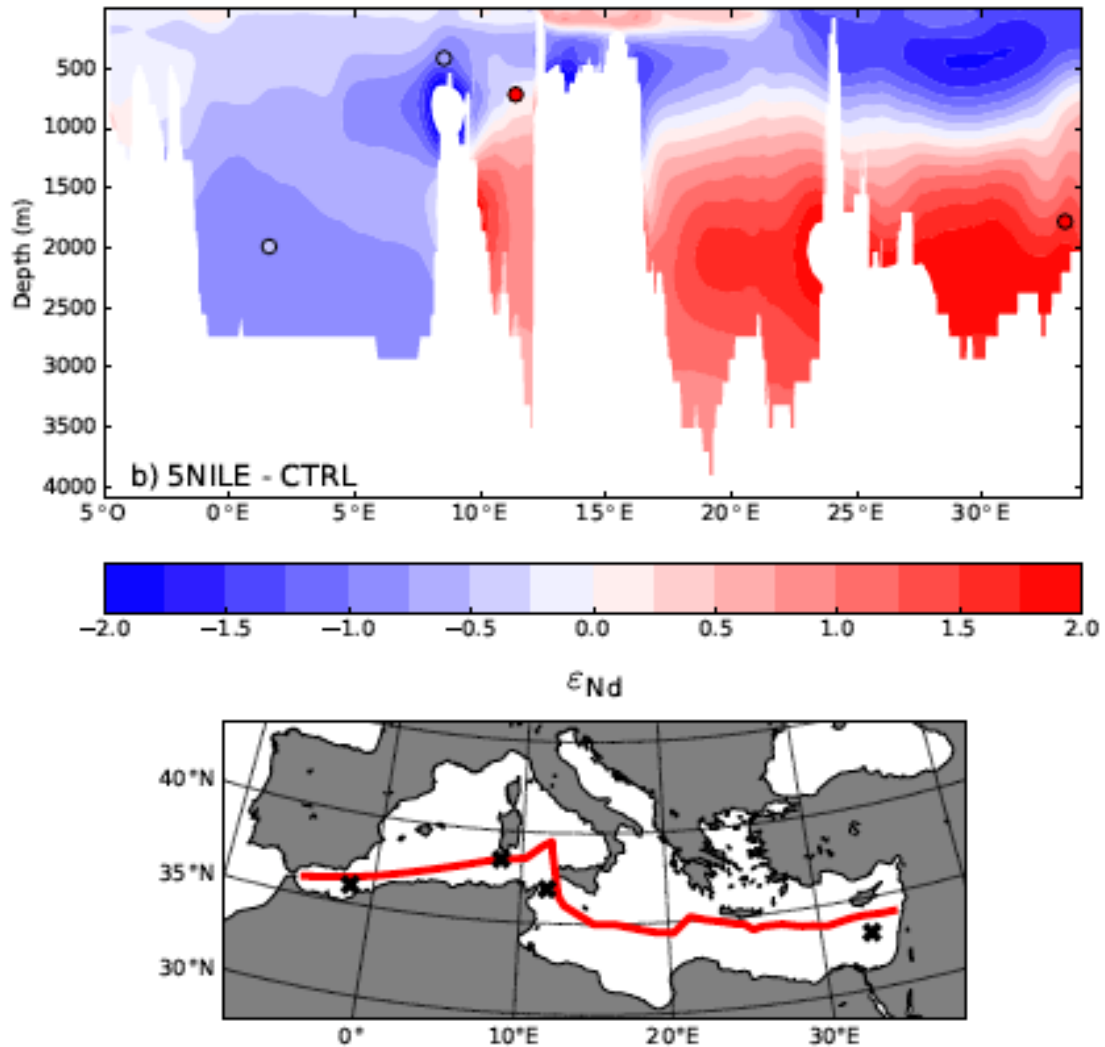


378  
 379 **Figure 8.**  $\epsilon$ Nd anomalies between 5NILE and CTRL. a) Sea surface regarding sea surface velocities  
 380 anomalies (5NILE vs CTRL), b) 500m, c) 1500m.

381 **3.3.3 Comparison with  $\epsilon$ Nd reconstructions for the S1 period**

382 Few existing  $\epsilon$ Nd reconstructions provide data for the last sapropel period in the Alboran Sea  
 383 (*Jiménez-Espejo et al.* [2015]), Sardinia Channel (*Dubois-Dauphin et al.* [2016]), eastern  
 384 Levantine and the Sicily-Tunisian Strait (*Cornuault et al.* [2018]). Figure 9 summarizes the  $\epsilon$ Nd  
 385 anomalies in 5NILE along a transect from west to east in the Mediterranean, with available  
 386 observations superimposed. At the Sardinia Channel, observations of *Dubois-Dauphin et al.*  
 387 [2016] display an anomaly of about -0.73  $\epsilon$ Nd between sapropel S1 and the modern (ventilated)

388 period. It is thought that this anomaly is the result of a weaker circulation and reduced LIW/EIW  
389 during the Early and Late Holocene. Before and after the S1 Sardinian Cold-Water Corals  
390 (CWC) were under the influence of the Cretan intermediate water which was highly radiogenic.  
391 During S1, Sardinian CWC were not in contact with the LIW/EIW and, instead, were  
392 potentially in contact with the less radiogenic Western Intermediate Water (WIW) (*Dubois-*  
393 *Dauphin et al.* [2016]). The model can simulate less radiogenic values in the water of the  
394 Sardinia Channel with a reasonably accurate amplitude (-0.78  $\epsilon\text{Nd}$  in the model, -0.73  $\epsilon\text{Nd}$  in  
395 the observations). The core in the Levantine Basin (1781m, *Cornuault et al.* [2018]) depicts the  
396 changes of deep circulation in the Eastern basin (and does not reflect the LIW). It shows more  
397 radiogenic waters during sapropel events than during modern times (+2.7  $\epsilon\text{Nd}$ , Figure 10)  
398 (*Cornuault et al.* [2018]). This can be attributed to the combined effect of a reduced circulation  
399 that transports less low-radiogenic water from the western basin, and an enhanced flux of  
400 radiogenic materials from the Nile river. It is more difficult to compare the core in the Levantine  
401 basin with our simulation because of the influence of the riverine  $\epsilon\text{Nd}$ . The model reproduces  
402 a signal similar to observations, but with a weaker amplitude (+0.9  $\epsilon\text{Nd}$  from 5NILE - CTRL,  
403 Figure 9). This result suggests that at 1781m, the depth of the core, observations are greatly  
404 affected by the change in deep circulation. It is also suggested that other mechanisms such as  
405 the input of radiogenic particles have to be taken into account in order to simulate the correct  
406 amplitude of the observed signal. The situation is similar at the Sicily-Tunisian Strait (771m),  
407 where observations also depict more radiogenic waters during the sapropel deposition than the  
408 modern seawater signature (+ 2.5  $\epsilon\text{Nd}$ ). The model reproduces the positive signal, but at an  
409 order of magnitude lower (+ 0.2  $\epsilon\text{Nd}$ , Figure 9). The simulation suggests that this observation  
410 is located in a region where strong gradients in the  $\epsilon\text{Nd}$  anomaly are produced, so that this signal  
411 is difficult to analyse. The records at shallower depth, more characteristic of LIW, may also be  
412 significantly influenced by the signal generated by the enhanced radiogenic flux from Nile river  
413 input, or from dust deposition, rather than by the the change in circulation. In the core retrieved  
414 in the Alboran Sea, a  $\epsilon\text{Nd}$  signature of -9.75 was sampled at the end of the S1 (6970 years BP)  
415 (*Jiménez-Espejo et al.* [2015]). This gives a difference of -0.45  $\epsilon\text{Nd}$  with the modern Alboran  
416 seawater signature, -9.3 (Tachikawa et al., 2004). The imprint from the hosing experiment  
417 reproduces a close signal (-0.5  $\epsilon\text{Nd}$ ), even if the  $\epsilon\text{Nd}$  boundary condition imposed for both  
418 experiments in the Atlantic buffer-zone is the same (-11  $\epsilon\text{Nd}$ ), and it is likely that the Atlantic  
419 signature was different during the Early Holocene.



421

422 **Figure 9.**  $\epsilon_{Nd}$  anomalies (5NILE vs CTRL) in vertical transect, dots represents the  $\epsilon_{Nd}$  signal  
 423 recorded in Alboran Sea (*Jiménez-Espejo et al. [2015]*), Sardinia Chanel, *Dubois-Dauphin et al.*  
 424 [*2016*], Sicily Strait and Levantine basin (*Cornuault et al. [2018]* for both). The data are shown in  
 425 comparison to modern  $\epsilon_{Nd}$  values.

426 **4 Conclusion and perspective**

427 **4.1 Overview of the results and limits of the modeling**

428 **4.1.1 Changes in Ocean Dynamics**

429 Our results show that a shutdown of convection in the Eastern Mediterranean basin may be  
 430 triggered by the direct action of the precession cycle on the monsoon precipitation and on the  
 431 consequent freshwater input from the Nile. The enhanced Nile freshwater input, which is  
 432 estimated as 5 times its pre-industrial amount in the Early Holocene, strongly affects the

433 dynamics of the whole Mediterranean Sea, and especially the convection in different key areas,  
434 with shoaling of the MLD over convection spots and reduction of deep and intermediate water  
435 circulation. The Nile perturbation also affects the Western Mediterranean Sea by reducing  
436 convection in the Gulf of Lion. The reduced salinity of the surface waters in the Western basin  
437 (Figure 6) impacts convection as well as the LIW/EIW transport from the Eastern basin.

#### 438 **4.1.2 Oceanic circulation changes revealed with epsilon Neodymium**

439 We further explored the evolution of circulation changes through the simulation of  $\epsilon\text{Nd}$  in our  
440 model. Equilibrium of major oceanic circulations was achieved after 90 years. Some biases of  
441 epsilon Nd were identified in our control simulation, with two possible sources. First, in the  
442 current version of our model, only the exchange of Nd with the continental margin was  
443 considered, with dust deposition and neodymium input from rivers neglected. This simplified  
444 approach generates too-high radiogenic  $\epsilon\text{Nd}$  values (Ayache *et al.* [2016]). Preliminary studies  
445 indicate that including dust deposition can improve the simulation of  $\epsilon\text{Nd}$  (Ayache *et al.* [2016]).  
446 Second, the excessively strong convection in the Eastern basin reproduced in the model  
447 potentially increases the exchange with the Eastern margin and may lead to radiogenic values  
448 that are too high in this area at depth. Despite these biases, the simulated  $\epsilon\text{Nd}$  is very useful to  
449 assess oceanic circulation changes during the sapropel deposition. As in Ayache *et al.* [2016]  
450 dealing with the EMT, our experiment for S1 revealed consistent changes in the  $\epsilon\text{Nd}$   
451 distribution. Our results show that the change in circulation generates  $\epsilon\text{Nd}$  anomalies that  
452 explain a large part of the signal observed in paleo-archives. The lower radiogenic  $\epsilon\text{Nd}$  signal  
453 recorded in the Sardinia Channel during the sapropel deposition is associated with a reduction  
454 of LIW transporting normally highly radiogenic water masses from the Eastern basin. Our study  
455 also suggests that the signal recorded in the Levantine basin is largely affected by the reduction  
456 in circulation.

#### 457 **4.2 Perspectives for future studies**

458 This paper is our first attempt to study the effect of the Nile freshwater perturbation on the  
459 Mediterranean circulation using a high-resolution regional ocean-atmosphere fully coupled  
460 model. We would like to examine the hypothesis that the increase in the Nile river discharge  
461 during the last African humid period was the trigger of the last sapropel deposition in the Early  
462 Holocene. The present experimental design and model setup do not allow an anoxic  
463 environment to be reached or the stability of the perturbation over the entire S1 time scale to be  
464 studied. Instead, we focused on the change in oceanic dynamics using the  $\epsilon\text{Nd}$  simulation (faster  
465 than the biogeochemistry). Nd is an interesting tracer, suitable to study past oceanic circulation.

466 Our next step is to implement a complete Nd scheme in our model, by including other sources  
467 of Nd, such as dust deposition and river discharges. A full understanding of the S1 event needs  
468 a good representation of the whole hydrological cycle of Early Holocene climate, because of  
469 the contrasting seasonality of this period compared to nowadays. It is necessary to include the  
470 evaporation and precipitation fluxes over the Mediterranean Sea and the river runoff changes,  
471 not only from the Nile but also from the North West African Margin and the East European  
472 Region, caused by changes in continental precipitation. Finally, from a practical point of view,  
473 our full coupling between the atmosphere and ocean is very time consuming and does not allow  
474 us to perform very long simulations with limited resources. We are thus developing a sequential  
475 way to perform simulations similar to that used in *Adloff* [2011] and *Adloff et al.* [2011], while  
476 maintaining a high resolution in the regional atmosphere (LMDz-regional, 30 km horizontal  
477 resolution). We expect to find a balance between a good simulation of the specificity of the  
478 Mediterranean region and the need to run long-duration oceanic simulations.

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