

Additional risk in extreme precipitation in China from 1.5 °C to 2.0 °C global warming levels

Wei Li, Zhihong Jiang, Xuebin Zhang, Laurent Li, Ying Sun

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1	Additional risk in extreme precipitation in China from 1.5°C to 2.0°C						
2	global warming levels						
3							
4	Wei Li						
5	Key Laboratory of Meteorological Disaster of Ministry of Education, Collaborative Innovation						
6	Center on Forecast and Evaluation of Meteorological Disaster, Nanjing University of						
7	Information Science and Technology, Nanjing, China						
8							
9	Zhihong Jiang [*]						
10	Joint International Research Laboratory of Climate and Environment Change, Collaborative						
11	Innovation Center on Forecast and Evaluation of Meteorological Disaster, Nanjing University						
12	of Information Science and Technology, Nanjing, China						
13							
14	Xuebin Zhang						
15	Climate Research Division, Environment and Climate Change Canada, Toronto, Ontario M3H						
16	5T4, Canada						
17							
18	Laurent Li						
19	Laboratoire de Météorologie Dynamique, CNRS, Sorbonne Universités,						
20	UPMC Université Paris 06, Paris, France						
21							
22	Ying Sun						
23	National Climate Center, Laboratory for Climate Studies, China Meteorological						
24	Administration, Beijing 100812, China						
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^{*} Corresponding Author: Zhihong Jiang, Key Laboratory of Meteorological Disaster of Ministry of Education · Collaborative Innovation Center on Forecast and Evaluation of Meteorological Disasters, Nanjing University of Information Science & Technology, Nanjing, 210044, China E-mail: zhjiang@nuist.edu.cn

40 Abstracts

41 To avoid dangerous climate change impact, the Paris Agreement sets out two ambitious goals: to limit the global warming to be well below 2 °C and to pursue effort for the 42 global warming to be below 1.5 °C above the pre-industrial level. As climate change 43 risks may be region-dependent, changes in magnitude and probability of extreme 44 precipitation over China are investigated under those two global warming levels based 45 46 on simulations from the Coupled Model Inter-Comparison Projects Phase 5. The focus is on the added changes due to the additional half a degree warming from 1.5 °C to 2 °C. 47 Results show that regional average changes in the magnitude do not depend on the 48 return periods with a relative increase around 7% and 11% at the 1.5 °C and 2 °C global 49 warming levels, respectively. The additional half a degree global warming adds an 50 additional increase in the magnitude by nearly 4%. The regional average changes in 51 term of occurrence probabilities show dependence on the return periods, with rarer 52 events (longer return periods) having larger increase of risk. For the 100-year historical 53 54 event, the probability is projected to increase by a factor of 1.6 and 2.4 at the 1.5 °C and 2 °C global warming levels, respectively. The projected changes in extreme 55 precipitation are independent of the RCP scenarios. 56

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58	Key words: 1.5	°C and 2°C global	warming, Extreme	precipitation,	China
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74 **1. Introduction**

A global-scale warming has been dominating the Earth climate since the 75 beginning of the industrial era. But to different magnitudes of temperature increase, the 76 corresponding climate changes would exert different impacts on the global natural 77 ecosystems and human societies. The question that what level of global warming is 78 regarded as a dangerous warming threshold has been widely debated. The current 79 80 international agreement about avoiding dangerous climate change impact was made under the United Nations Framework Convention on Climate Change (UNFCCC) at 81 the 21st Conference of Parties (COP 21) in Paris. The agreement including two global 82 warming targets: "holding the increase in the global average temperature to be well 83 below 2 °C above pre-industrial and to pursue effort to limit the temperature increase to 84 1.5 °C." It is based on the hypothesis that maintaining the global warming below 1.5 °C 85 would reduce the risks caused by climate change [1]. Meanwhile, UNFCCC invited the 86 87 IPCC to elaborate a special report on the issue, which should be a comprehensive 88 synthesis of the relevant scientific literature [2], [3], [4], [5], [6], [7]. The global mean warming is just an emblematic indicator, and vulnerability to global warming may vary 89 90 from region to region and exhibit notable spatial inhomogeneity [3]. Therefore a differentiation of risks caused by climate change between 1.5 °C and 2 °C global 91 warming levels is particularly important for highly sensitive regions [6]. China, a 92 93 country with fragile ecological environment due to the fact that it has a prominent monsoon climate and has complex topography, is identified as a vulnerable region to 94 global warming [8]. Floods after extreme precipitation cause considerable economic 95 losses and serious damage to property. Therefore, it is necessary to rigorously assess 96 97 physical and statistical characteristics of regional extreme precipitations when the global temperature increases by 1.5 °C and 2.0 °C relative to pre-industrial. 98

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Global climate models are primary tools for investigating possible future change
in climate extremes [9], [10], [11]. The Coupled Model Intercomparison Project Phase
5 (CMIP5) of the World Climate Research Programme incorporates more physical

processes and higher resolution models than its previous phases [12]. Many results 103 indicated that CMIP5 models can well reproduce the observed extreme precipitation at 104 continental scale [13], [14] and regional scale [15], [16]. Climate responses to different 105 global warming levels over China have been investigated in several recent studies using 106 CMIP5. They mainly focus on the 2 °C warming target, including the timing of 107 occurrence and the factors responsible for the timing uncertainties among models [17], 108 [18]. This needs to investigate whether the expected changes exceed the natural internal 109 variability [19], [20]. There are also researches investigating the extreme climate 110 change with different warming targets (e.g. 2 °C, 3 °C, 4 °C) [21], [22], [23]. Recently, 111 a few studies are reported on the climate change under 1.5 °C warming and the 112 difference from 2 °C warming [24], [25]. However, limited attention has been paid to 113 investigating extreme precipitation changes in term of magnitude and probability under 114 1.5 °C and 2 °C warming levels. 115

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This study uses statistical method to investigate two questions: How extreme precipitation may change under 1.5 °C and 2 °C global warming levels over China and how much is the influence of the extra half degree? Such questions are critical ones and should be addressed in an appropriate way. They are not only relevant to risk assessment and adaptation measures, but also useful to rationalize future international negotiations on climate change issues.

123 **2. Data and Methods**

124 2.1 Data

We use the daily precipitation datasets extracted from CMIP5 models in their historical experiments (1850–2005) with natural and anthropogenic forcing, and future simulations (2006–2100) with the Representative Concentration Pathway (RCP) scenarios [26]. In this study, we make relevant diagnostics on extreme precipitations under RCP4.5 and RCP8.5 scenarios. The reason for selecting those two scenarios is that RCP8.5 is mostly close to the observed emissions pathway, whereas RCP4.5 represents a consideration of mitigation. The first realization from each model is selected in order to treat all models equally. We select 1986–2005 as the referenceperiod for assessment of future changes under the two warming targets.

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Monthly surface air temperatures in both historical experiment and RCP 135 scenarios are used to study the timing for the global mean temperature to reach the 136 two warming targets for each model. Given that the observed global temperature in 137 the reference period is 0.6 °C warmer than the pre-industrial level [27], the 1.5 °C and 138 139 2 °C warming targets relative to pre-industrial imply warming of 0.9 °C and 1.4 °C relative to the reference period, respectively. We first calculate the average global 140 temperature anomalies from 2006 to 2100 relative to the reference period by using the 141 area-weighted scheme which takes into account the variation of grid box areas with 142 latitude for individual models. The anomaly time series are then divided into a few 143 20-year time slices to find the right period when the 0.9 °C and 1.4 °C warming 144 thresholds occur for each model separately. The CMIP5 models used in this article 145 and their timing to reach the two warming targets under RCPs scenarios are shown in 146 147 Table 1.

There is a large difference among models when 1.5 °C and 2 °C warming targets 148 are reached. For RCP4.5, the 20-year period of 1.5°C warming ranges from [2013, 149 2032] for BNU-ESM to [2046, 2065] for GFDL-ESM2G. For the 2°C warming level, 150 the 20-year period ranges from [2029, 2048] (HadGEM2-ES, MIROC-ESM and 151 MIROC-EMS-CHEM) to [2064, 2083] (MRI-CGCM3). When the multi-model 152 ensemble is examined, time reaching the two warming targets under RCP8.5 is earlier 153 than under RCP4.5. Global warming reaches 1.5°C under RCP4.5 and RCP8.5 at 154 [2021, 2040] and [2016, 2035] respectively. The 2°C warming is projected to be 155 reached at [2041, 2060] for RCP4.5 and [2031, 2050] for RCP8.5. These results are 156 consistent with former researches [6, 7, 18]. 157

158 2.2 Methodology

Return value of annual daily precipitation is a widely used metric to measure the magnitude of extreme events [28], [29]. Also, return value is commonly used for a wide

range of applications on engineering planning, for instance, in the decision of 161 hydrologic design, water management structure, dams, and bridges. The return value 162 for a particular return period τ is the threshold likely to be exceeded in a year with 163 probability $1/\tau$. Higher τ means more intense and rarer extreme events. To estimate the 164 return value, annual maximum (AM) approach is used to select sample sequences from 165 daily data at every grid point to be fitted by generalized extreme value (GEV) 166 distribution. GEV distribution has been found suitable as a fit to the tails of the 167 distribution for precipitation. GEV distribution is also a generally reasonable 168 approximation for the distribution of annual extremes in most CMIP5 models [14]. 169

170 The cumulative distribution function, G(z), is given by (Coles, 2001) [30]:

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$$G(z) = \exp\left\{-\left[\xi\left(\frac{z-\mu}{\sigma}\right)\right]^{-1/\xi}\right\}, 1+\xi\left(\frac{z-\mu}{\sigma}\right) > 0$$

where μ , σ and ξ are location, scale and shape parameters, respectively. These three parameters are estimated by the method of "L-moments", which is more efficient and generates less uncertainty compared to other methods to estimate parameters over a small sample size [31]. Having estimated the parameters, return value, z_p , corresponding to the return period $\tau = 1/p$, can be determined after inverting the GEV cumulative distribution function.

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$$z_p = \mu - \frac{\sigma}{\xi} \left[1 - \{ -\log(1-p) \}^{-\xi} \right], \xi \neq 0$$

where p is an exceedance probability. In addition, we can examine the probability change of extreme precipitation by using probability ratio (PR), a metric characterizing the factor by which probability of an event has changed [32, 33], defined as $PR=P_1/P_0$, where P_0 denotes the probability of τ_0 -year return value during the reference period and P_1 during 1.5°C or 2°C warming climate, expressed as a future return period $\tau_1 = 1/P_1$, $P_1/P_0 = \tau_0/\tau_1$. If PR>1, probability of reference period events would increase at 1.5°C or 2°C warming environment.

Three different return periods events (20-, 50-, and 100-year) of annual maxima precipitation for the reference period (1986-2005) and the 20-year slices when global warming reaches the two warming levels for individual models are derived from the fitted GEV. PR of historical (for three return periods) events at the two levels of warming climate can also be determined. Those two statistical values from different models are interpolated onto a common $1^{\circ} \times 1^{\circ}$ latitude-longitude grid with a bilinear interpolation scheme.

Additionally, GEV distribution allows the incorporation of covariates in parameters to estimate the dependence of extreme precipitation change on global mean temperature anomaly, which can provide more information about extreme precipitation change with further global warming. Here, the location and scale parameters are assumed to be function of global mean temperature anomaly and the shape parameter is kept constant. Because the scale parameter must be positive everywhere, it is often modeled using a log link function, such that:

200 $\mu(t) = \mu_0 + \mu_1 y(t)$

201
$$\ln\sigma(t) = \sigma_0 + \sigma_1 y(t)$$

where y(t) is the global mean temperature anomaly from 1961 to 2100 relative to the pre-industrial in year t. $\mu_0, \mu_1, \sigma_0, \sigma_1$ are regression parameters to be estimated. The cumulative distribution function, G(z_t) can be expressed as

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$$G(z_t) = \exp\left\{-\left[\xi\left(\frac{z_t - \mu(t)}{\sigma(t)}\right)\right]^{-1/\xi}\right\}, 1 + \xi\left(\frac{z_t - \mu(t)}{\sigma(t)}\right) > 0$$

206 Return value Z_{p_t} is defined as

207
$$Z_{p_t} = \mu_t - \frac{\sigma_t}{\xi} \left[1 - \{ -\log(1-p) \}^{-\xi} \right], \xi \neq 0$$

There are five parameters $(\mu_0, \mu_1, \sigma_0, \sigma_1, \zeta)$ in nonstationary GEV distribution. Those 208 five parameters are estimated by the method of maximum likelihood estimation (MLE) 209 210 [34]. An advantage of MLE is that it is efficient when the sample size is large and it is particularly preferred in estimating parameters for nonstationary data. Here, 211 nonstationary GEV is fitted to time series of annual maxima precipitation for the 212 period 1961-2100 for individual models. The magnitude and probability change 213 214 relative to historical at given global warming target can be derived from fitted nonstationary GEV. 215

216 **3. Results**

217 3.1 Changes in the magnitude of extreme precipitation

Changes in magnitude of extreme precipitation relative to the reference period at 218 219 the two warming levels under RCP4.5 and RCP8.5 were analyzed with the annual precipitation maxima from individual CMIP5 models fitted to stationary GEV (Fig. 1). 220 Regionally averaged, the relative changes of return periods in the multi-model 221 ensemble mean (MME) (black point) under RCP4.5 are close to RCP8.5 scenario. 222 223 This indicates that changes in magnitude of extreme precipitation are not very much 224 independent on emission scenarios when the global warming level reaches a given warming target. 225

t can be seen that there is little difference among return periods under same 226 warming conditions. The amplitude is projected to increase by about 7% and nearly 227 11% when global warming reaches to 1.5 °C and 2 °C, respectively. Extra half a 228 degree warming makes the intensity to increase by nearly 4%. If we use the dispersion 229 of models to represent the projection uncertainties, we can see that the projection 230 uncertainties vary among return periods, with larger uncertainty at higher return 231 232 period. Meanwhile, uncertainties at higher warming level are larger than that at lower warming level. 233

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We examine now the spatial distribution of intensity difference between the 235 1.5 °C and 2 °C worlds for RCP4.5 and RCP8.5 scenarios (Fig. 1S). As expected, the 236 changes in 20-, 50-, and 100-year return value show a very similar spatial distribution. 237 Compared to 1.5 °C warming, the magnitude of extreme precipitation is projected to 238 increase under 2 °C warming across most of the region for both scenarios. In many 239 240 regions where the magnitude increases, especially for regions with large increase, the 241 consistency among models is high. Areas where large increases are projected to occur show a dependence on scenarios. Areas with an increase larger than 5% are 242 concentrated in most of Western China and Southeastern China for RCP4.5, while for 243 RCP8.5, such areas of increase are mainly in Western China and North China, but not 244 in Southeastern China. These results are generally in agreement with previous studies 245 showing that a larger magnitude of extreme precipitation can be found in those 246

regions under the context of global warming [35], [11], [36]. The difference in intensity change between RCP4.5 and RCP8.5 also indicates that the finer change of extreme precipitation at regional scale from global models still have large uncertainties.

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The magnitude change of extreme precipitation with future global warming can 252 also be seen if the nonstationary GEV scheme is applied to the transient simulations. 253 254 Fig. 2 displays the regional average changes (which is relative to reference period) of the three return periods as a function of global mean temperature anomaly (which is 255 relative to pre-industrial) simulated by CMIP5 models for RCP4.5 and RCP8.5 256 scenarios. It is noted that the global warming in the multi model ensemble mean do 257 not exceed 3 °C relative to pre-industrial for RCP4.5 and 5 °C for RCP8.5 scenario, so 258 we only show the relationship within the period where global warming may reach. 259 Results of non-stationary calculation show very similar results as in the stationary 260 calculation. This is particularly true for the change of magnitude in MME and 261 262 uncertainties among models when warming reaches 1.5 °C and 2 °C. The nonstationary results show that the magnitude increases in MME nonlinearly with 263 future warming. For example, the magnitude is expected to increase by nearly 8% 264 when global mean temperature warms from 1 °C to 2 °C, while the increase is about 5% 265 when the global mean temperature increases from 3 °C to 4 °C under RCP8.5. 266

267 3.2 Change in the probability of extreme precipitation

268 The probability change of historical extreme events at two warming levels is analyzed in this section. The regional average PR of historical events for the three 269 270 return periods is illustrated in Fig. 3 for the two warming levels. As expected, the MME results show little distinction between RCP4.5 and RCP8.5 scenarios. 271 Generally speaking, the probabilities of historical extreme events are projected to 272 increase in response to the global warming. PR increases most strongly for the longest 273 return periods, especially at higher warming level, which implies that the most intense 274 and rarest extreme precipitation events have largest increase in the risk. Taken 2 °C 275

warming as an example, the probability of historical 20-yr events increases by a factor
of 1.9, however, for historical 100-year events, the probability increases by a factor of
2.4.

Probability of historical 100-year events increases by about a factor of 1.6 under 1.5 °C warming and 2.4 under 2 °C warming climate. This implies that regional average event expected once every 100 years in historical period is expected to occur about every 62 and 42 years under 1.5 °C and 2 °C warming climate, respectively. The 100-year event of 1.5 °C warming is almost 1.4 times more likely to occur at 2 °C warming.

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Similar to the relative changes of magnitude in extreme precipitation, the 286 inter-model variation of PR is significant across the three return periods for a given 287 warming level, with larger inter-model spreading at higher return periods. For 288 example, PR of a historical 20-year event ranges from 1.4 to 2.8 under 2 °C warming 289 level, but for 100-year events, PR ranges from 1.6 to 4.2. Furthermore, the 290 291 inter-model spreading of PR under higher warming climate is also larger. PR of a historical 100-year event at 1.5 °C and 2 °C warming levels ranges from 1.0 to 2.6 and 292 1.6 to 4.2, respectively. 293

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295 The spatial distribution of PR variation between 1.5 °C warming and 2 °C warming is also researched (Fig. 2S). We show the cases for 20-, 50-, and 100-year 296 events and for the two scenarios. The risk of extreme events at 1.5 °C warming level 297 is projected to increase almost everywhere at 2 °C warming over China for both RCP 298 299 scenarios. PR of 20-, 50-, and 100-year events shows a very similar spatial 300 distribution, although it varies depending on the return periods with higher return periods associated to larger PR. Areas where PR is larger than 2.0 show a future 301 strengthening and extension with increasing of return periods. Those regions also 302 depend on scenarios. The largest PR for RCP4.5 is projected to occur over large zones 303 in western China, especially around the Tibetan Plateau. For RCP8.5, the largest PR 304 305 occurs over western China and the Yangtze River. Events that would be attained once every 50 and 100 years in 1.5 °C warming climate will be 2 times more likely to occur
at 2 °C warming climate in those regions. Meanwhile, regions with large increase of
probability also exhibit a relative high models consistency.

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In order to study how the probability changes with future global warming, we 310 examine the probability change of historical extreme events with increases of global 311 mean temperature by using the non-stationary GEV distribution. Fig. 4 displays the 312 regional average PR of historical extreme events at a given warming levels relative to 313 pre-industrial conditions in CMIP5 models for RCP4.5 and RCP8.5 scenarios. Also, 314 results of PR exhibit no difference between the stationary and nonstationary schemes 315 for MME and inter-model spread. However, with further warming, the PR of 316 historical events in MME increases nonlinearly, especially for the very rare events. 317 The probability of historical 100-year events increases 2 times when global warming 318 increases from 4 °C to 5 °C. However, when global warming increases from 1 °C to 319 2 °C, the probability of historical 100-year events only increases 1 time. It is also 320 321 noted that the uncertainties among models would be larger at higher warming. For instance, the range of models for PR of 100-year historical events at 4 °C warming is 322 nearly 13 times higher than that at 1 °C warming. 323

4. Summary

In this study, future changes in magnitude and probability of extreme precipitation are investigated at global warming levels of 1.5°C and 2°C above pre-industrial. The magnitude and probability of extreme precipitation are described by the return values corresponding to three return periods and probability risk, respectively. Major findings are summarized as follows.

(1) Changes of extreme precipitation from MME show less dependences on the
 emission scenarios of RCP4.5 and RCP8.5 when global warming reaches two
 warming levels.

333 (2) Relative changes in the magnitude exhibit little difference for different return334 periods under the same warming threshold. Magnitude is projected to increase by

- about 7% for 1.5°C warming and 11% for 2°C warming relative to reference
 period. The additional half a degree warming makes the extreme precipitation to
 increase by 4%.
- (3) Probabilities of a given change depend on the return periods. The rarest events
 (the longest return period) have largest increase in the risk for a given warming
 level. For historical 100-year events, their occurrence probability increases by 1.6
 times at 1.5°C warming, whereas at a 2°C warming, the increase is about 2.4 times.
 The 100-year event of 1.5°C is 1.4 times more likely to occur at 2°C warming
 condition.
- (4) Uncertainties among models for magnitude and probability of change increasewith global warming and higher return period events exhibits larger uncertainties.
- Our research is one of the first studies targeting two global warming levels at 1.5°C 346 and 2°C over China. For both of them, extreme precipitation shows stronger 347 magnitude and higher probability. Changes are generally larger under 2°C global 348 warming level compared to 1.5°C global warming. The additional half-degree 349 350 warming does matter for eventual mitigation measures against extreme precipitation events. We should remind that global climate models are generally of low spatial 351 resolution and they suffer imperfections for physical processes, which causes 352 differences and uncertainties among models in projecting regional-scale climate 353 changes. Some regional climate modelling efforts in East Asia show that a higher 354 resolution may give a more accurate regional climate [37-39]. Therefore, more 355 experiments with higher-resolution regional climate models are needed to address this 356 issue of regional climate change. 357
- 358
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- 368

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Fig.1 Boxplots of the regional average relative change (relative to 1986-2005 reference period, units: %) in the 20-, 50-, 100-year return values for 1.5°C (left panel) and 2°C (middle panel) warming level, as well as the difference between the two warming levels (right panel) among models under RCP4.5 and RCP8.5 scenario. The upper and lower limits of the box indicate the 75th and 25th percentile value among models; the horizontal line in the box indicates the multi-model ensemble median and the whiskers show the range of models. MME is represented by black points.



Fig.2 The relationship between relative change (%) in regional average 20- (green),
50- (blue), and 100-year (red) return values (relative to reference period) and global
mean temperature anomaly (relative to pre-industrial) in CMIP5 models for RCP4.5
(a) and RCP8.5 (b). Solid lines indicate the MME and the dotted lines are the
uncertainties range.





Fig.3 Boxplots of PR for the historical 20-, 50-, 100-year return values in 1.5°C (left panel) and 2°C (middle panel) warming conditions among models, as well as PR of 1.5°C warming events in 2°C warming conditions (right panel) under RCP4.5 and RCP8.5 scenarios. The upper and lower limits of the box indicate the 75th and 25th percentile value among models; the horizontal line in the box indicates the multi-model ensemble median and the whiskers show the range of models. MME is represented by black points.



Fig. 4 The relationship between PR of historical 20- (green), 50- (blue), and 100-year
(red) return values and global mean temperature anomaly (relative to pre-industrial)
for RCP4.5 and RCP8.5. Solid lines indicate the MME and the two dotted lines
represent the uncertainties range.

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Table 1 The CMIP5 models used in this article, together with their atmospheric
model's resolution and the 20-year time slices when global warming reaches 1.5°C
and 2°C relative to pre-industrial period under RCP4.5 and RCP8.5 scenarios.

Model name	Atmospheric	RCP4.5		RCP8.5	
	resolution	1.5°C	2 °C	1.5°C	2 °C
ACCESS1.0	~1.9°×1.25°, L38	[2018,2037]	[2041,2060]	[2016,2035]	[2029,2048]
ACCESS1.3	~1.9°×1.25°, L38	[2020,2039]	[2039,2058]	[2015,2034]	[2029,2048]
BCC-CSM1.1	~2.8°×~2.8°, L26	[2026,2045]	[2062,2081]	[2022,2041]	[2036,2055]
BCC-CSM1.1(m)	~1.1°×~1.1°, L26	[2020,2039]	[2063,2082]	[2015,2034]	[2034,2053]
BNU-ESM	~2.8°×~2.8°, L26	[2013,2032]	[2032,2051]	[2012,2031]	[2024,2043]
CanESM2	~2.8°×~2.8°, L35	[2014,2033]	[2028,2047]	[2009,2028]	[2022,2041]
CCSM4	1.25°×~0.9°, L26	[2025,2044]	[2052,2071]	[2015,2034]	[2032,2051]
CMCC-CM	0.75°×∼0.75°, L31	[2024,2043]	[2041,2060]	[2028,2047]	[2040,2059]
CMCC-CMS	~1.9°×~1.9°, L95	[2022,2041]	[2039,2058]	[2017,2036]	[2029,2048]
CNRM-CM5	~1.4°×~1.4°, L31	[2029,2048]	[2051,2070]	[2022,2041]	[2036,2055]
HadGEM2-CC	~1.9°×1.25°, L40	[2017,2036]	[2033,2052]	[2008,2027]	[2022,2041]
IPSL-CM5A-LR	3.75°×~1.9°, L39	[2019,2038]	[2035,2054]	[2015,2034]	[2027,2046]
IPSL-CM5A-MR	2.5°×~1.25°, L39	[2015,2034]	[2036,2055]	[2014,2033]	[2027,2046]
MIROC5	~1.4°×~1.4°, L40	[2025,2044]	[2053,2072]	[2021,2040]	[2037,2056]
MIROC-ESM	~2.8°×~2.8°, L80	[2015,2034]	[2029,2048]	[2013,2032]	[2023,2042]
MIROC-ESM-CHEM	~2.8°×~2.8°, L80	[2014,2033]	[2029,2048]	[2010,2029]	[2022,2041]
MPI-ESM-LR	~1.9°×~1.9°, L47	[2024,2043]	[2055,2074]	[2019,2038]	[2034,2053]
MPI-ESM-MR	~1.9°×~1.9°, L95	[2027,2046]	[2048,2067]	[2022,2041]	[2036,2055]
MRI-CGCM3	~1.1°×~1.1°, L48	[2034,2053]	[2064,2083]	[2026,2045]	[2039,2058]
NorESM1-M	2.5°×~1.9°, L26	[2028,2047]	[2060,2079]	[2022,2041]	[2038,2057]