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Research



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Collagen reorganization in cartilage under strain probed by polarization sensitive second harmonic generation microscopy

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Type II collagen fibril diameters in cartilage are beneath the diffraction limit of optical microscopy, which makes the assessment of collagen organization very challenging. In this work we use polarization sensitive second harmonic generation (P-SHG) imaging to map collagen organization in articular cartilage, addressing in particular its behaviour under strain and changes which occur in osteoarthritis. P-SHG yields two parameters, molecular order and orientation, which provide measures of the degree of organization both at the molecular scale (below the diffraction limit) and above a few hundred nanometres (at the image pixel size). P-SHG clearly demonstrates the zonal collagen architecture and reveals differences in the structure of the fibrils around chondrocytes. P-SHG also reveals sub-micron scale fibril re-organization in cartilage strips exposed to tensile loading, with an increase in local organization in the superficial zone which weakly correlates with tensile modulus. Finally, P-SHG is used to investigate osteoarthritic cartilage from total knee replacement surgery, and reveals widespread heterogeneity across samples both microscale fibril orientations and their sub-micron organization. By addressing collagen fibril structure on scales intermediate between conventional light and electron microscopy, this study provides new insights into collagen micromechanics and mechanisms of degradation.

1. Background

Articular cartilage covers the ends of the long bones in synovial joints where it acts as a low friction bearing and shock absorber which is essential to the smooth articulation of the joint [1]. Cartilage has a low cellular content, typically around 1–10%, and the extracellular matrix which fulfils the tissue's mechanical role is composed of approximately 20% type II collagen, 8% proteoglycans and 70% water. The collagen fibril arrangement changes with depth into the tissue as schematically depicted in figure 1, and can be divided into three zones. In the superficial zone the fibrils are arranged predominantly parallel to the articular surface, in the deep zone they are arranged perpendicular to the articular surface and the bone cartilage interface and between these two zones there is a transitional zone with less clearly defined collagen fibril orientation. The type II collagen fibrils are typically 30–200 nm in diameter [2] and therefore just below the diffraction limit for conventional light microscopy.

The mechanical properties of cartilage are highly dependent on the organization of the collagen fibrils. Under tensile load the cartilage is much stiffer in the superficial zone compared to the deep zone [3,4], additionally it is much stiffer in the direction parallel to the split lines [4,5] (an indication of the preferred

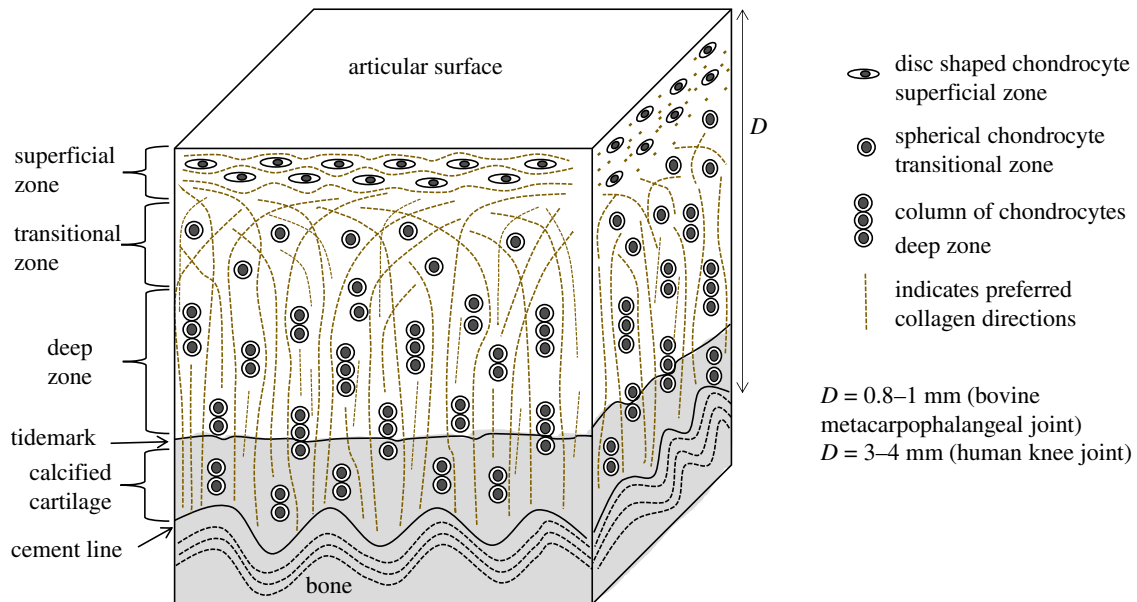


Figure 1. Collagen fibre arrangements in cartilage showing the zonal structure of articular cartilage. (Online version in colour.)

collagen fibril direction in the superficial zone). The tensile modulus also varies between high and low weight bearing regions [6] and decreases with osteoarthritic degeneration and age [7].

The mechanical properties of cartilage on a much smaller scale are central to the processes of mechanotransduction to the embedded chondrocytes that maintain matrix homeostasis and are strongly implicated in the development of osteoarthritis (OA). However, recent work has revealed that on the microscale the mechanical response of articular cartilage to tensile loads is more heterogeneous than previously appreciated and the relationship to collagen architecture is extremely complex [8,9]. On a still smaller scale it has been shown that the intrafibrillar organization of cartilage collagen varies between zones and, importantly, changes under mechanical loads. Electron microscopy has provided vital information on the sub-micron organization of cartilage collagen and has revealed structural changes associated with the development of OA [10–13]. It has also shown differences in structure between mechanically loaded and unloaded cartilage [11], but it cannot be used to follow the dynamics of mechanical loading as required to understand the mechanical implications of degenerative changes. The aim of the present work was to bridge the gap between classical light microscopy and electron microscopy, using an extension of multiphoton microscopy that exploits the polarization-sensitivity of the signal to provide resolution beyond the diffraction limit and enables us to explore cartilage collagen morphology and organization in intact tissues on a sub-micron scale.

The birefringence of collagen has long been recognized and was the basis of Bennighoff's classical studies on cartilage structure. However, although polarized light microscopy reveals fibril organization with a sub-micron lateral resolution it relies on optical measurements through sections of tissues in which the information is averaged over the whole thickness, which makes it insensitive to volumetric organization and unsuited to quantitative analysis of responses to mechanical loads [14,15]. Polarized optical coherence tomography (OCT) allows the analysis of specific image planes but it suffers from a poor axial resolution of a few tens of microns [16,17].

In contrast, second harmonic generation (SHG) which exploits the non-centrosymmetric, tightly packed arrangement of amino acids in collagen to provide label-free imaging has sub-micrometre 3D sectioning capability and in-depth penetration in tissues up to a few hundreds of micrometres using infrared excitation and has become a gold standard technique for imaging collagen in tissues [18,19]. SHG imaging can also reveal collagen orientation, by the analysis of 3D volumetric images to extract directional information using gradient, FFT or variance analysis. This approach however requires high contrast images over small length scales and depends strongly on the choice of the analysis parameters [20–22], which makes it challenging to apply to type II collagen tissues such as cartilage [23]. An alternative solution to image fine type II collagen fibrils has been proposed to be analysis of the ratio between forward scattered and backward emitted SHG which is sensitive to collagen fibrils size and density, however the measurements are only qualitatively related to organization and therefore also unsuited to our present purpose [23,24].

In this work, we exploit the polarization sensitivity of SHG at scales below the optical diffraction limit (typically 350 nm lateral and 1 μm axial) to assess collagen organization in cartilage. The intensity of SHG from a collagen fibre is dependent on the polarization of the laser source with respect to the axis of the fibre [25–27]. Measuring SHG intensity as a function of the incident laser polarization angle yields a modulated response where the phase determines the principal direction of collagen fibrils averaged within the focal volume, and the amplitude is related to the degree of alignment of the collagen fibrils within this focal volume. This modulation permits quantification of collagen angular disorder at the molecular scale [28–34], which cannot be determined by pure morphological observations, which only report orientation at a scale above the diffraction limit size. Polarization sensitive SHG (P-SHG) has previously been used to quantify collagen order in tendons [27,35] and other connective tissues [32,36] has revealed variations of collagen order during ageing [37], and in pathologies including cancer [18,38,39]. Very few studies, however, address changes

in P-SHG from collagen under strain [40], or in cartilage [41,42]. Importantly, P-SHG provides information on collagen fibril organization on a scale intermediate between those of conventional light and electron microscopy, which is particularly relevant in cartilage where collagen II forms fibrils of small scales that result in poorly defined structures in an optical image. By adding P-SHG as an additional modality to SHG imaging, we show that it is possible to decipher molecular organization in collagen networks even though the structures are not well defined from the pure SHG image.

In this paper, we use P-SHG to investigate differences in submicron scale collagen fibril organization between the deep and superficial zones of articular cartilage. We moreover report variations of collagen organization in the matrix surrounding the chondrocytes both in healthy bovine cartilage and in human knee cartilage removed during arthroplasty. Finally, we show that tensile strain applied to the cartilage gives rise to changes in the submicron organization of the fibrils, particularly in the superficial zone.

2. Material and methods

2.1. Sample preparation

Bovine cartilage was taken from the metacarpophalangeal joint of nine bovine forelimbs collected from the local abattoir with an age range of 24–30 months. The cartilage was harvested immediately and stored at -20°C prior to use. Human cartilage samples were collected from patients undergoing total knee replacement surgery. Potential participants were initially identified during routine clinical practice, and subsequently recruited to the Royal Devon and Exeter Tissue Bank (RDETb). The RDETb is an ethically approved tissue bank (REC no.: 16//SC/0162) set up to collect ‘spare’ tissue at the time of routine clinical procedures. The total knee replacement tissue collection specific to this project was subject to review and approval by the RDETb Scientific Steering Committee as part of the overarching RDETb ethical approval. Recruitment and sample/data collection was carried out by the RDETb team. Anonymized samples and associated data are then provided to the research team via a standard material transfer agreement. The samples were assessed and graded for OA on the Outerbridge scale [43] by the surgeon and stored at -80°C prior to analysis. For both bovine and human samples enface slices of deep and superficial zone cartilage were removed from the bone using a scalpel blade and full depth sections were prepared using a purpose made cutter.

2.2. Multiphoton microscopy

SHG imaging was carried out using a modified confocal microscope (Olympus Fluoview 300 BX51). The SHG was excited with the 810 nm output of a 100 fs pulsed Ti:sapphire laser (Coherent MIRA 900). The light was focused on the sample using a long working distance 1.05 NA water immersion lens (Olympus XLPLN25XWMP), giving an expected minimum focal spot diameter of 350 nm. The laser power in the focal plane was 30 mW. SHG and TPF were collected from the samples in the backscattered direction. The signal was separated from the laser fundamental by a long pass dichroic filter (670dxcr Chroma technologies) and a blue green colour glass filter (CG-BG-39 CVI laser) and the SHG and TPF were directed onto two separate photomultiplier tubes (PMTs) (R3896 Hamamatsu Japan) by a long pass dichroic filter (Semrock Di02-R405). Additional filters,

(Semrock FF01-405/10) and (Semrock FF01-520/70) respectively, were placed in front of the SHG and TPF PMTs.

To perform polarization dependent SHG (P-SHG) imaging, a half wave plate (WPH05M-488, Thorlabs, Newton, NJ) was placed in a motorized rotating mount (PR50CC, Newport, Irvine, CA) before the entrance to the scan unit. The polarization of the incident laser beam was rotated from 0° to 162° in 18° steps and an SHG image was taken at each rotation angle. The image sizes were 512×512 pixels. When establishing the measurements the polarization of the laser beam was carefully checked in the position of the microscope objective, here we found that no noticeable ellipticity or diattenuation had been introduced by the microscope optics at any incident polarization angle.

2.3. Polarization sensitivity analysis

The SHG intensity depends on the fourth power of the incident field $E(\alpha)$, whose coordinates are $(\cos \alpha, \sin \alpha)$ in the (X, Y) sample plane, where α is the angle of the linear polarization with respect to the horizontal axis X . As a first approximation, the longitudinal polarization contribution of the focused beam is considered negligible since the fibrils lie principally in the sample plane and in-plane nonlinear coupling is predominant [30]. The nonlinear coupling of SHG leads to a fourth order dependence of the intensity $I(\alpha)$ on α , written as [30]:

$$I(\alpha) = a_0 + a_2 \cos 2\alpha + b_2 \sin 2\alpha + a_4 \cos 4\alpha + b_4 \sin 4\alpha. \quad (2.1)$$

$I(\alpha)$ is analysed for each pixel of the SHG image by calculating the coefficients $(a_0, a_2, b_2, a_4, b_4)$ by projection on their corresponding circular functions [30]. These coefficients can be grouped into second and fourth order responses by rewriting the $I(\alpha)$ dependence into:

$$I(\alpha) = a_0 + I_2 \cos(2(\alpha - \varphi_2)) + I_4 \cos(4(\alpha - \varphi_4)) \quad (2.2)$$

with:

$$I_2 = \frac{\sqrt{a_2^2 + b_2^2}}{a_0}, \quad (2.3a)$$

$$I_4 = \frac{\sqrt{a_4^2 + b_4^2}}{a_0}, \quad (2.3b)$$

$$\varphi_2 = 0.5 \tan^{-1}\left(\frac{b_2}{a_2}\right) \quad (2.3c)$$

and

$$\varphi_4 = 0.25 \tan^{-1}\left(\frac{b_4}{a_4}\right). \quad (2.3d)$$

The second order parameters (I_2, φ_2) represent the magnitude and orientation of the anisotropic contribution to the polarization response $I(\alpha)$, which quantify the depth of modulation of the polarization SHG response (I_2) and its phase (φ_2). (I_4, φ_4) are the magnitude and orientation signatures of its more complex fourth order dependence. These parameters can be related to the angular distribution of the nonlinear induced dipoles in the sample plane [30,34,37], which is directly related to the orientational organization of collagen fibres. Alternatively, they can be related to the partial determination of the nonlinear tensor at the origin of the SHG signal [26,27,31–33,40]. However since the exact model for the collagen fibril distribution in the cartilage tissues is not known, we focus in this study on the changes and variations in I_2 and φ_2 . These parameters are generic indicators of the preferred collagen fibril alignment (φ_2) and the degree of order of the individual collagen fibrils within the focal spot (I_2), without the need to invoke a specific model for their angular distribution. Higher values of I_2 indicate, in particular, a tighter alignment of the nonlinear induced dipole sources of SHG, presumed to reflect the distribution of peptide bonds along the protein backbones of the collagen fibrils [44] (see electronic

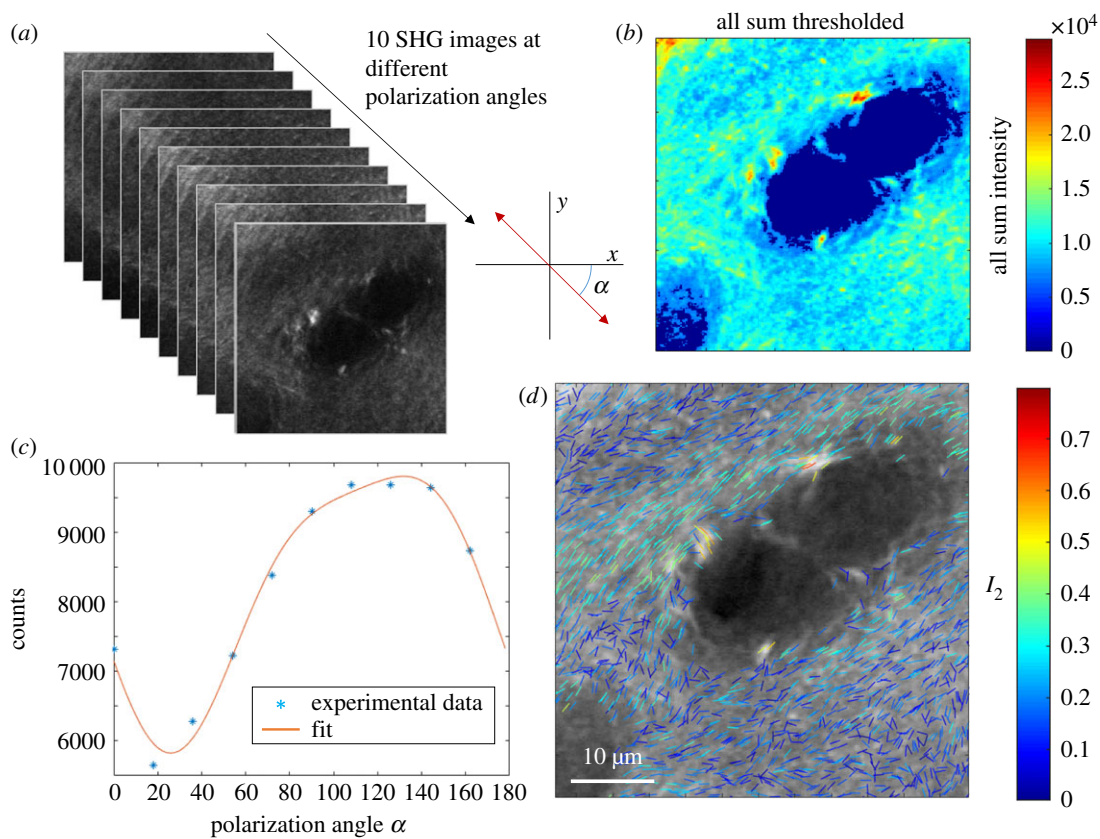


Figure 2. Polarization sensitive SHG data acquisition and analysis. (a) Series of SHG images are taken at angles from 0–162° at 18° intervals. (b) The intensity sum over all polarization angles is calculated, and the data are thresholded to exclude areas with low signal. For each pixel the equation (2.1) is fitted to the polarization data as a function of angle α relative to the horizontal axis of the sample plane. An example plot is shown in (c). From these data the parameters I_2 , φ_2 , I_4 and φ_4 can be calculated. The organization of collagen fibres is displayed as shown in (d) where the direction of the lines represents collagen fibre alignment (φ_2) and the line colour represents the degree of organization I_2 (higher I_2 representing more ordered collagen fibres). To aid visualization only every 13th pixels is represented by a line in the direction of φ_2 . (Online version in colour.)

supplementary material 1). Imaging the (I_2 , φ_2) parameters therefore permits mapping not only the local molecular organization within collagen fibrils assemblies, but also their microscopic scale orientation. Note that the I_4 parameter gives more refined information on the angular distribution experienced by the non-linear induced dipoles in the focal spot: in particular it discriminates between distribution shapes such as Gaussian, cone or cone surface [30] (see electronic supplementary material 1). The φ_4 angle finally identifies possible deviations from a cylindrical symmetric response, which can occur when the incident polarization is affected by the birefringence of the medium (see electronic supplementary material 2).

The spatial distributions of the parameters (I_2 , φ_2) that represent collagen fibril organization are presented below as an overlay as shown in figure 2, where the total SHG intensity (sum over all polarization angles) is shown as a grey-scale background. The direction of the lines in the overlay represents the angle φ_2 and the colour of the lines represents the value of I_2 as shown in the colour bar scale (all lines are of equal length). I_2 and φ_2 are calculated for each pixel, however in the overlay only the n th lines are plotted to allow easier visualization and separation between individual lines.

2.3.1. Sensitivity to birefringence

As mentioned above, the presence of birefringence in the sample can lead to distortions of the incident polarization and therefore to a bias of the parameters determined from a P-SHG polarization modulation measurement. In collagen-rich tissues, an anisotropic organization imposes a preferential optical axis along which the refractive index is larger, yielding a birefringence effect that has been used as a read-out for collagen

organization. When propagating through thin depths this birefringence is, however, not dominant and the measured SHG polarization response is not sensitive to index change, but rather to the fine details of collagen orientation. At large depth however, birefringence can not only distort the incident polarization but also lead to large errors in the determined orientation parameters, in particular when the birefringence axis is rotating throughout the depth of propagation. To avoid such birefringence effects the measurements were restricted to the top 15 μm of the cartilage depth, where birefringence was found to have only a minimal effect on the polarization and SHG polarization sensitivity (see electronic supplementary material 2).

2.3.2. Sensitivity to out-of-plane orientation

Working under high numerical aperture (NA) excitation and collection is known to introduce extra polarization coupling contributions from out of plane components of the dipole orientations [36,45]. In a typical regime of cylindrical symmetry with tensorial components similar to those measured in collagen tissues, this coupling is, however, negligible until off-plane tilt orientations of the collagen fibrils around 50° (see electronic supplementary material 3). Quantitative analysis of collagen organization using P-SHG can thus be done only in situations where the fibres are known to lie in the sample plane within this angular range.

2.4. Tensile loading

Cartilage strips were exposed to tensile loading in a rig designed to fit onto the stage of the multiphoton microscope, which is

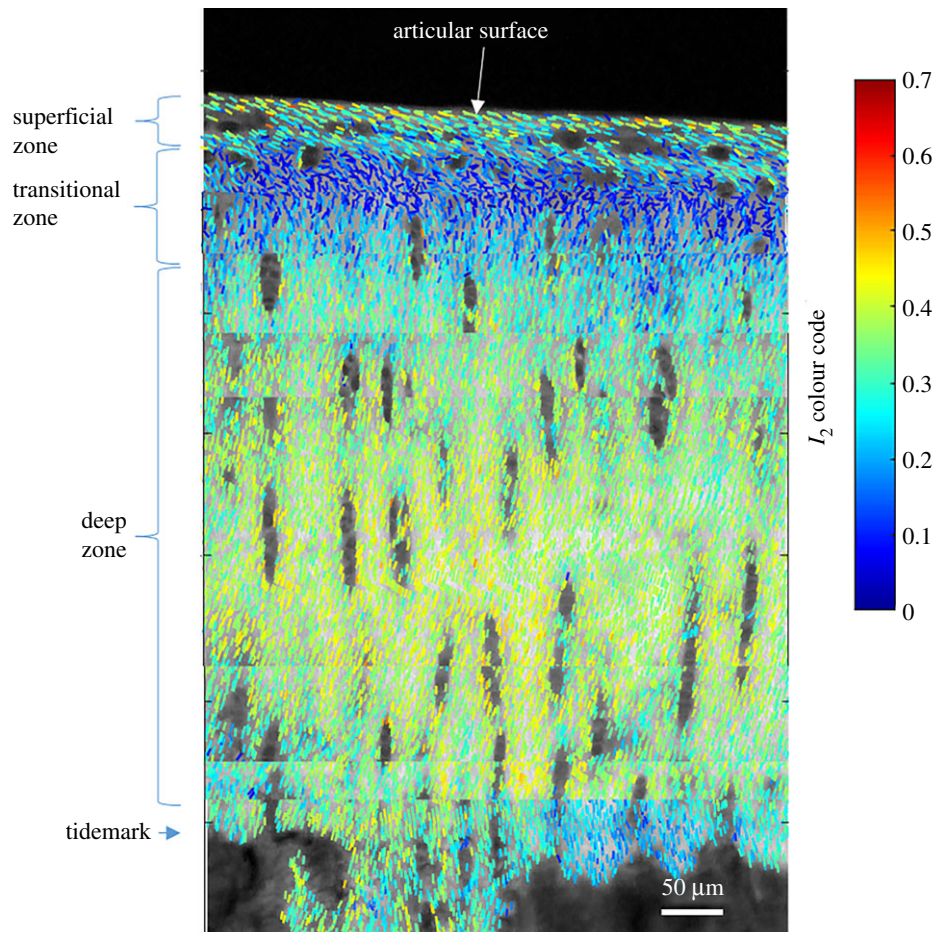


Figure 3. (I_2 , φ_2) map showing collagen fibre organization in a sagittal section of bovine articular cartilage, showing the arcade structure of collagen fibres as originally described by Benninghoff [46]. (Online version in colour.)

described in [9]. Strips of cartilage 200–500 μm thick (10 mm \times 1 mm) were cut parallel to the articular surface, and attached with cyanoacrylate adhesive (Loctite) to two metal paddles. Strain ($\Delta l/l_0$ where l_0 is the initial free length of cartilage between the paddles) was applied in 4% steps using a pair of micrometre controlled stages (Thorlabs). The load was continuously monitored via a 5 N force transducer (Model 31, RDP Electronics) from these measurements the stress (F/A) was calculated where F is the force measured after the sample has reached equilibrium and A is the cross-sectional area of the unstrained strip. After force equilibration at each strain a set of polarization sensitive SHG measurements were taken.

3. Results

3.1. Collagen architecture

P-SHG analysis was first performed on full depth sections from young bovine cartilage in order to investigate the depth dependence of collagen organization. The (I_2 , φ_2) maps reproduce the well-established arcade structure of the fibrils as demonstrated in figure 3. The averaged orientation of collagen fibrils (φ_2) follows a radial direction in the deep zone. This angle progressively realigns from perpendicular in the deep zone through the transitional zone, to parallel to the surface in the superficial zone. Interestingly, the molecular-scale order (I_2) is lowest in the transitional zone, which can be attributed to a larger mixing of different fibril directions, including out-of-plane for this specific geometry.

In both the superficial and deep zone, the molecular-scale order parameter I_2 indicates tight organization of collagen fibrils within the size of the focal spot (approx. 350 nm). The I_2 values measured span between approximately 0.05 in the transition zone, to 0.2–0.3 in the superficial zone and 0.3–0.4 in the middle of the deep zone. These values can be translated into nonlinear tensorial ratio values, where the nonlinear ratio coefficient $\chi_{zzz}^{(2)}/\chi_{zxx}^{(2)}$ is often used as a read-out, supposing the collagen arrangement of cylindrical symmetry with z the main fibril direction and x its perpendicular direction [32,36,41]. In particular values I_2 between 0.1 and 0.4 correspond to $\chi_{zzz}^{(2)}/\chi_{zxx}^{(2)}$ ratios between 1.2 and 1.65 (see electronic supplementary material 1). This can be compared to values typically measured in collagen type I in tendon where $I_2 \sim 0.3$ ($\chi_{zzz}^{(2)}/\chi_{zxx}^{(2)} = 1.4$) has been measured at the surface of rat tail tendon tissues (see electronic supplementary material 4).

In enface cartilage slices P-SHG measurements reveal differences in the 2D collagen organization between the deep and the superficial zone, where the collagen fibrils are mainly perpendicular to the image plane and parallel to the image plane respectively (figure 4a,b). As expected, the molecular-scale order values (I_2) are very low in the deep zone (figure 4c), since the fibril distribution points perpendicularly to the sample plane where the polarization is rotated. The measured values are therefore underestimated since they are biased by their 2D projection in the polarization-rotation plane. Nevertheless, even in this deep zone where the collagen fibril alignment is predominantly perpendicular to

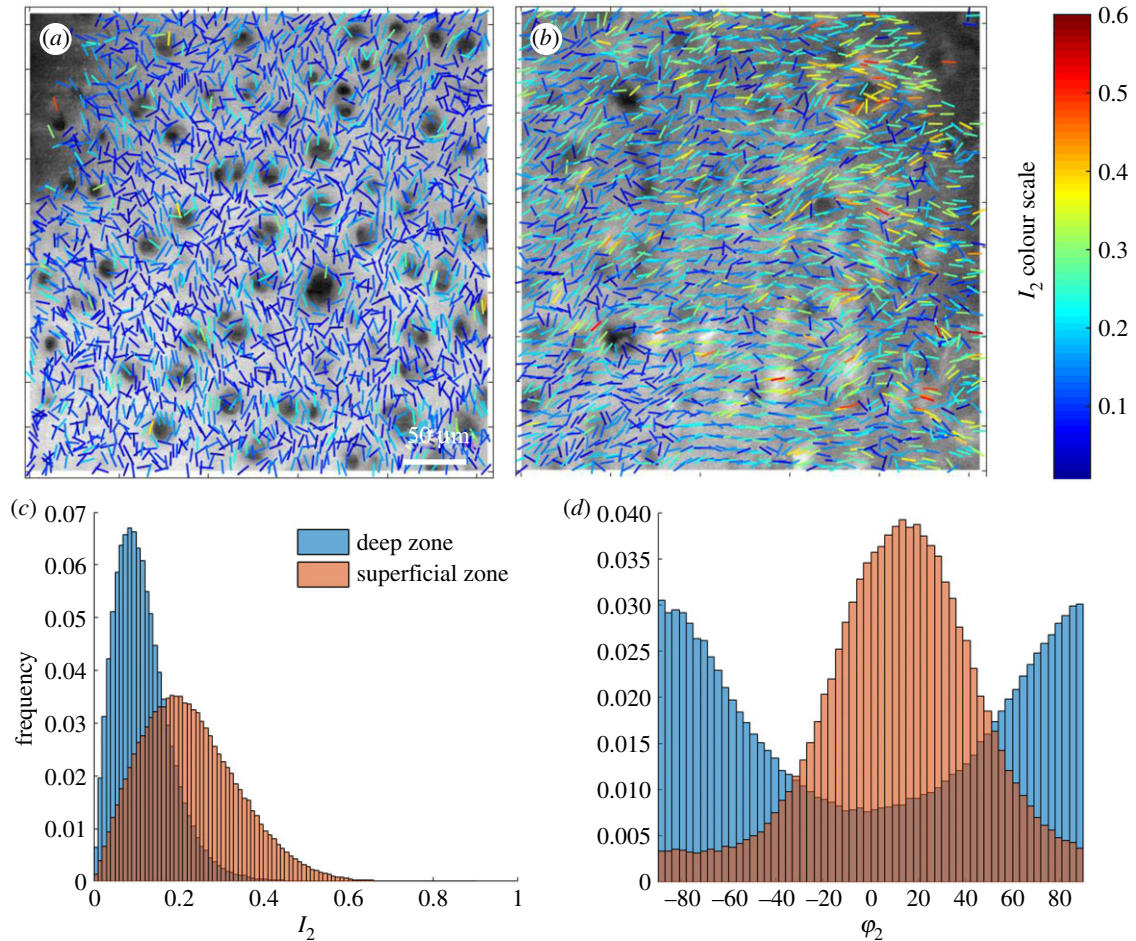


Figure 4. A comparison between deep zone (a) and superficial zone (b) collagen organization in sections cut parallel with the articular surface in the same specimen. (a,b) (I_2 , φ_2) maps showing the collagen fibre orientations and degrees of order. (c) The distribution of I_2 values over the superficial zone image and the deep zone image. (d) The distribution of φ_2 over the deep zone and superficial images. (Online version in colour.)

the imaging plane there is still clearly a component of the fibril orientation within this plane and additionally when analysing the distribution of φ_2 there is a preferred direction for this component of fibril orientation (figure 4d). As expected from the enface measurements (figure 4), the values of I_2 are higher in the superficial zone (figure 4b) compared to the deep zone, with a more heterogeneous distribution of I_2 in the superficial zone. The obtained averaged value at the superficial zone $I_2 \sim 0.2$ (corresponding to $\chi_{zzz}^{(2)}/\chi_{xxx}^{(2)} \sim 1.3$) reflects a less tight order of individual fibrils than in collagen I tendons (see electronic supplementary material 4) in agreement with values reported in the literature [41].

To investigate the organization of collagen as a function of depth, P-SHG stacks were taken. Example stacks for the deep and superficial zone are included in the electronic supplementary material (see electronic supplementary material 5). In the superficial zone, the values of I_2 are highest at the articular surface, and then decrease with depth into the tissue, while in the deep zone I_2 is constant with depth. In both zones, there is a slight rotation (16° – 18°) in the angle φ_2 with depth. Note that while I_2 values can be used for quantitative analysis only when the collagen distribution lies in the sample plane, e.g. in the superficial zone, the angle φ_2 always reports a reliable projection orientation of the collagen fibrils in the measurement plane.

Figure 4 also shows variations in collagen organization in proximity to chondrocytes, in particular in the deep zone

where the organization of collagen appears relatively more ordered, demonstrating in-plane re-organization of fibrils around the cells. Representative images of individual chondrocytes from the deep and superficial zone are shown in figure 5 (in this case I_2 and φ_2 are plotted at a higher density to increase resolution). In the columns of chondrocytes within the deep zone the collagen separating the cells is aligned radially (figure 5a). In the enface view of the deep zone chondrocyte there is some tangential alignment of the collagen (figure 5b). The pattern of alignment around groups of cells is more complex in the superficial zone, when imaged in the plane parallel to the articular surface (figure 5d), but most fibrils are roughly parallel to the articular surface (figure 5c).

The values of I_2 and φ_2 were compared for a 5 μm thick shell around the periphery of the cells, to those remote from the cells, for all en-face bovine samples imaged at zero strain (example images from one specimen are shown in figure 6). I_2 is consistently greater in the territorial matrix of the deep zone chondrocytes compared to the rest of the extracellular matrix ($\Delta I_2 = (I_{2(\text{territorial})} - I_{2(\text{bulk})}) = 0.022 \pm 0.011$, $n = 6$), as also visible in figure 4. This shift in order is above the expected noise variations which lie close to 0.01. The differences in I_2 between the territorial and extracellular matrix in the deep zone may reflect differences in the number of fibrils aligned in the image plane, with more fibrils in plane close to the cells. In the superficial zone, on the contrary, there is

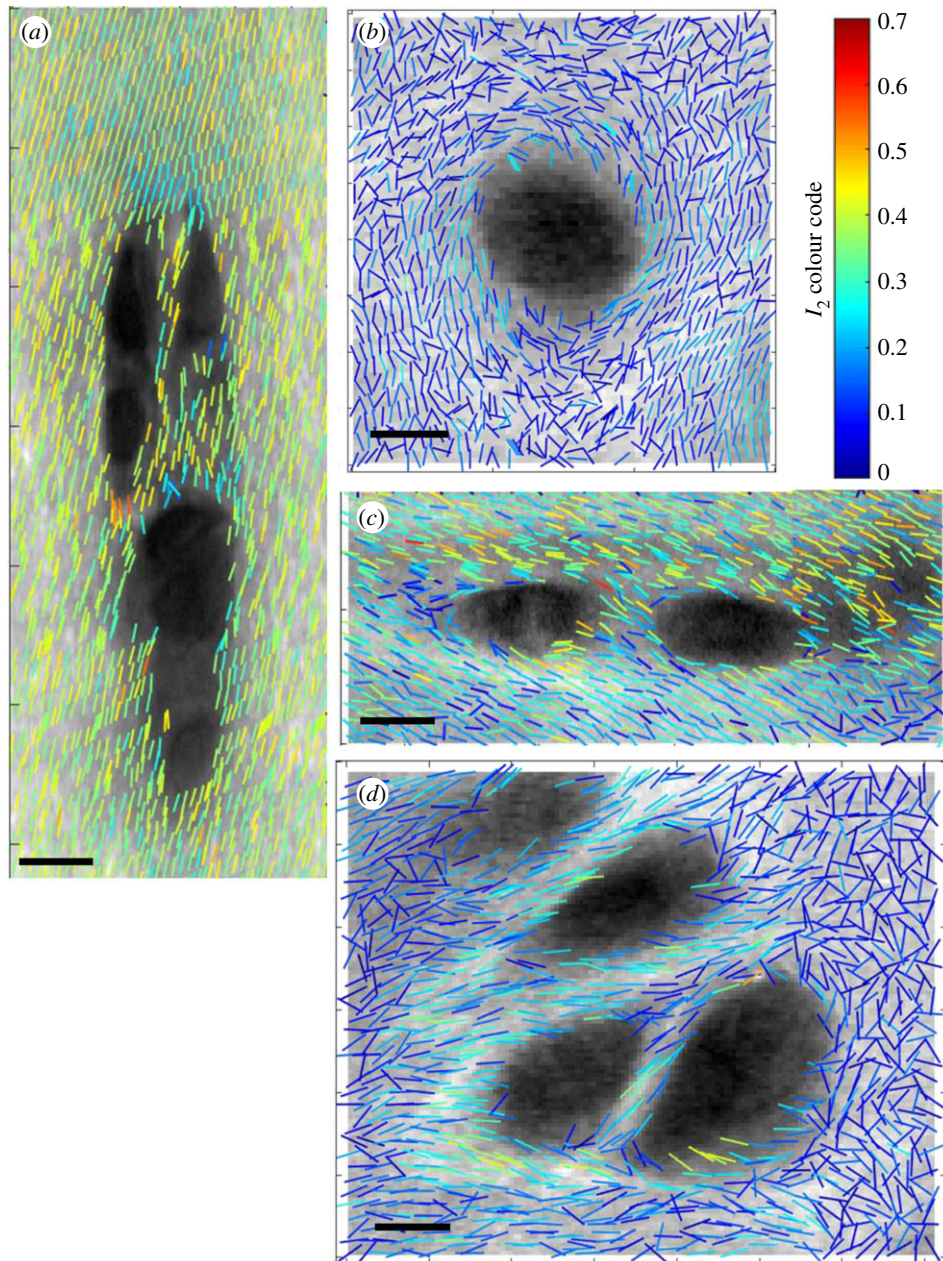


Figure 5. P-SHG around typical deep and superficial zone cells, with (I_2 , φ_2) (*a,b*), deep zone cells in a transverse and enface section respectively, (*c,d*) superficial zone cells in transverse and enface sections. All scale bars are 10 μm . (Online version in colour.)

no significant difference ($\Delta I_2 = 0.006 \pm 0.021$, $n = 5$). The distribution of φ_2 also differs between the territorial and bulk matrix by up to 20° , but there is no consistent pattern.

3.2. Osteoarthritis cartilage

In OA, the changes in collagen organization are extensive, vary with the degree of degeneration and are heterogeneous on several length scales. This initial survey of submicron changes was undertaken on two samples from the lateral and medial femoral chondyle of patients undergoing knee replacement surgery with OA grading between 3 and 4 on the Outerbridge scale. Regions were selected in which there were no gross surface changes and full cartilage thickness was maintained. SHG shows a variety of patterns of collagen organization, often within a single section. Figure 7 illustrates this variability, with three regions selected from a total of 10 which were analysed to highlight the different structures that can be observed. Region *a*

shows relatively low I_2 values (0.18 ± 0.1) and a wide range of fibril angles, region *b* shows a highly disordered area with intermediate I_2 values (0.29 ± 0.15), and region *c* shows highly parallel collagen fibrils with high I_2 values (0.325 ± 0.07).

3.3. Collagen fibril reorganization with tensile load

Figure 8 shows representative results in the superficial zone of a specimen exposed to steps of strain up to 16%. As the strain increases φ_2 rotates towards the direction of applied strain (x -axis) and the value of I_2 increases (as shown by an increase in the number of red and yellow lines in the colour coded image).

Eleven samples were tensile loaded (five from the superficial zone, three from the deep zone of bovine cartilage and three from human OA cartilage). The P-SHG changes vary between cartilage zones and also depend on the initial orientation of fibrils with respect to the applied strain. Figure 9

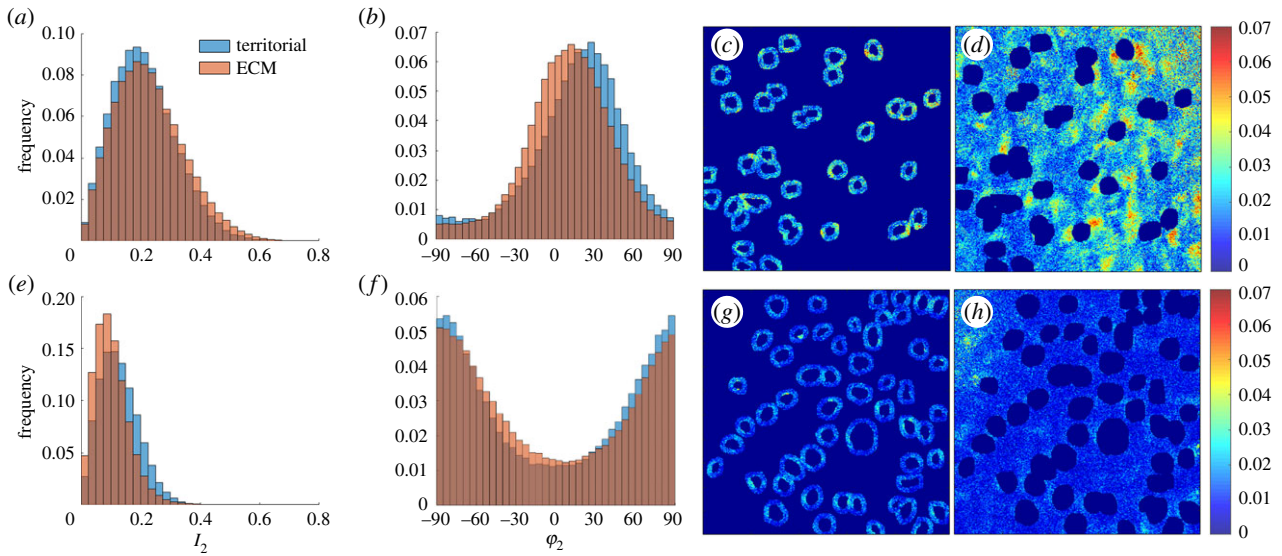


Figure 6. Comparison of collagen fibre organization in the territorial matrix and the bulk matrix. In this analysis, the territorial matrix is defined as a ring $5\ \mu\text{m}$ thick surrounding the cells (*c,g*) and the bulk matrix is the rest of the extracellular matrix (*d,h*). Differences in the distribution of I_2 are shown in panels (*a*) (surface zone) and (*e*) (deep zone) and φ_2 in panels (*b*) (surface) and (*f*) (deep). (Online version in colour.)

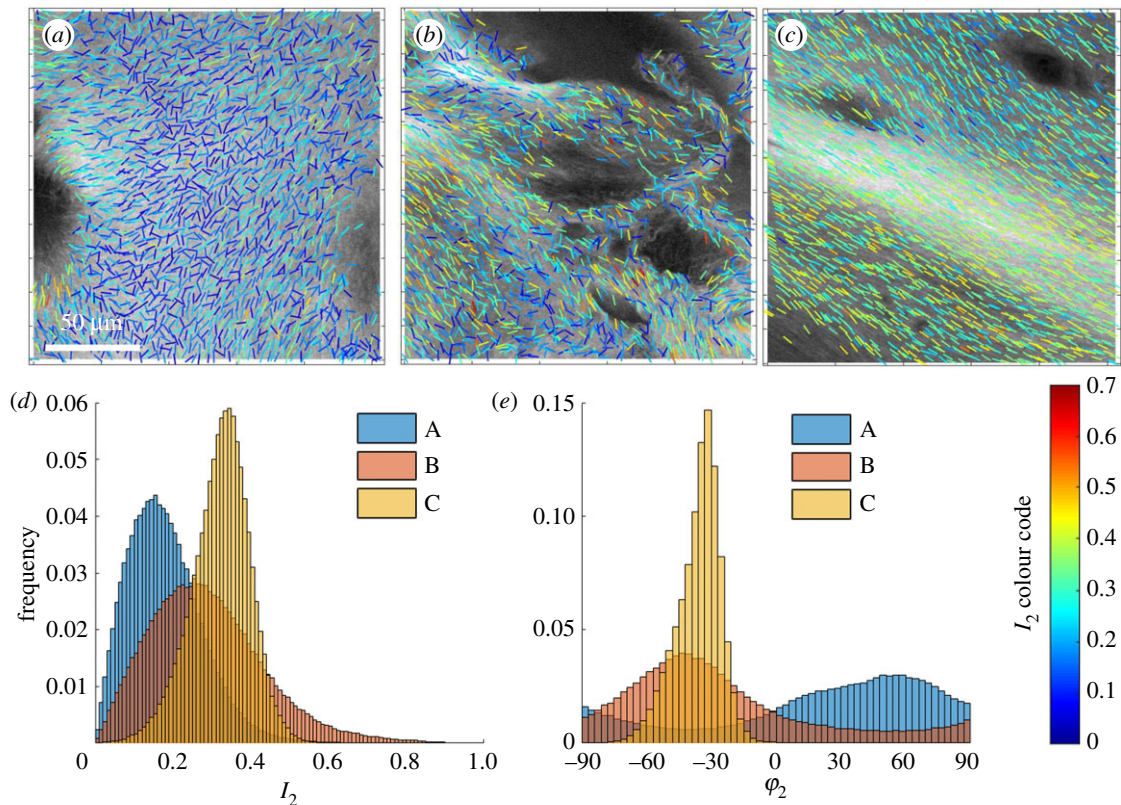


Figure 7. Osteoarthritic cartilage from total knee replacement surgery. (*a–c*) SHG images from different regions within a single section. (*d*) I_2 distributions. (*e*) φ_2 distributions. (Online version in colour.)

shows the changes in three specimens which illustrate most of the behaviour noted throughout the whole group. Figure 9*a* shows the sample used in figure 8, in which the preferred collagen direction is initially approximately parallel with the applied strain. As the strain increases the mean φ_2 moves towards 0° and the distribution of fibril angles becomes tighter: at the same time the values of I_2 increase. Figure 9*b* shows a specimen from the superficial zone with a bimodal fibril distribution in the relaxed state with peaks at 15° and 80° . As the strain increases from 0 to 8% the peak at 80° is lost and the other peak shifts towards 0° ,

with a slight decrease in I_2 . At strains between 8 and 12% I_2 then starts to increase more rapidly and φ_2 becomes more tightly centred around the direction of applied strain. Lastly, figure 9*c* shows a sample from the deep zone in which φ_2 is initially distributed around a peak perpendicular to the direction of applied strain and as the strain increases this peak disappears and a new peak of similar width emerges parallel to the direction of applied strain and there is no increase in I_2 with strain.

In order to establish the relationship between macroscopic strain applied to cartilage and the sub-micron response of the

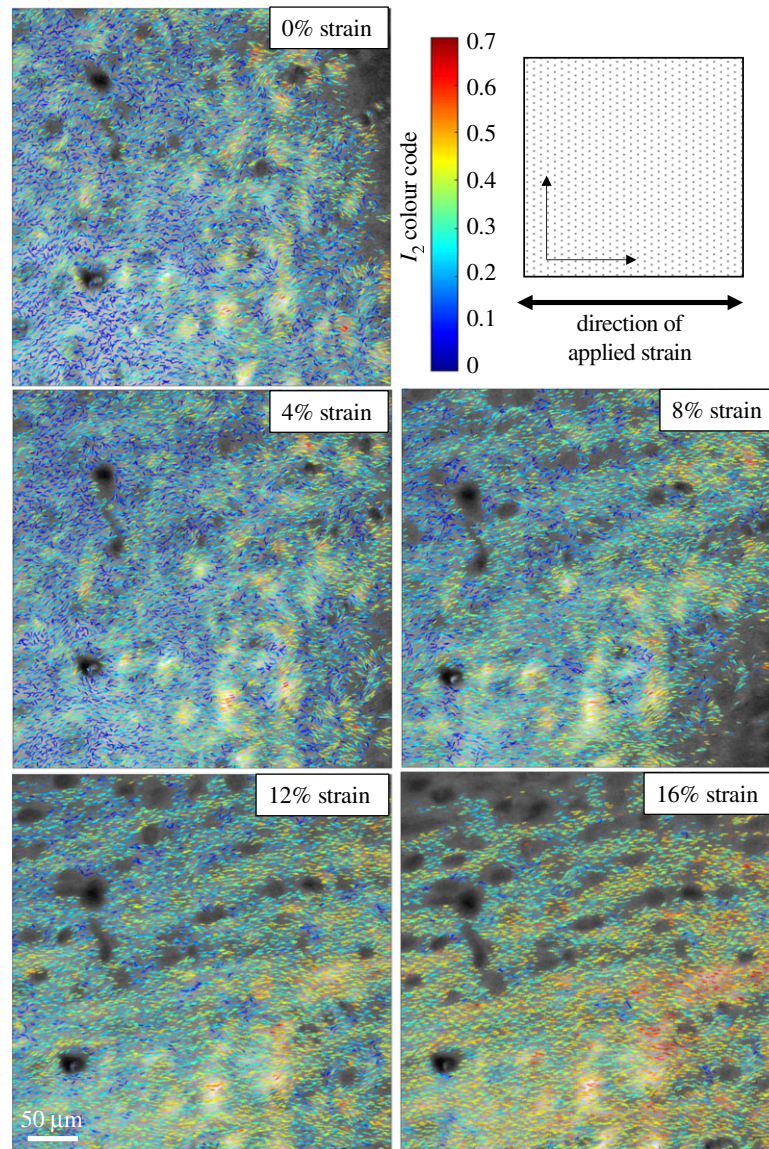


Figure 8. (I_2 , φ_2) maps of a specimen of superficial zone bovine cartilage as strain is increased along the x -axis from 0% to 16%. (Online version in colour.)

collagen fibrils we compared the changes in I_2 and φ_2 to the stress–strain curves for each cartilage specimen (figure 10). The macroscopic stress–strain curves show the expected pattern, tensile moduli in the surface zone being 2.5–11-fold higher than those in the deep zone [5]. In the superficial zone I_2 increases with strain and the magnitude of the change in the five bovine samples is greater the higher the elastic modulus, but in the deep zone there is no significant change in I_2 with strain. In the superficial zone only there is a decrease in the spread of φ_2 as illustrated in figures 9*a,b*.

Finally, we investigated the behaviour of osteoarthritic cartilage under strain. The stress–strain curves are shown in figure 10 and indicate lower strain moduli than bovine cartilage, particularly in the superficial zone. These differences are consistent with our own observations on a larger group of specimens (J Mansfield, V Mandalia, A Toms, CP Winlove 2017, unpublished data) and other studies [4,6,7]. However, the strain fields in osteoarthritic tissue are extremely heterogeneous within each field of view and different regions show different patterns of fibril re-orientation as indicated in figure 11. Here in region 1, selected as showing higher I_2 and initial fibril angles around 30° the fibrils realign towards the direction of applied strain with a modest increase in I_2 . In

region 2, approximately $120\ \mu\text{m}$ away, the preferred direction of φ_2 is initially approximately 70° to the applied strain and as the strain increases it slowly rotates towards the direction of applied strain, while I_2 actually decreases with strain.

4. Discussion

The diameter of collagen fibrils is below the diffraction limit for light microscopy and polarized light microscopy has, since Bennighoff's classical description of the collagen architecture, been a powerful tool for cartilage research [15], although for quantitative analysis it is restricted to specimens of known optical thickness. More recently SHG, exploiting symmetry breaking within the fibril structure, provides label free visualization of collagen fibrils and has paved the way for numerous studies of the role of collagen fibrils in tissue mechanics [8,9,47,48]. Analysis of the polarization of the SHG signal should provide further insights into the structure of type II collagen and its changes with mechanical load and disease.

In interpreting our observations, it is important to consider the scale of the measurements. The type II collagen

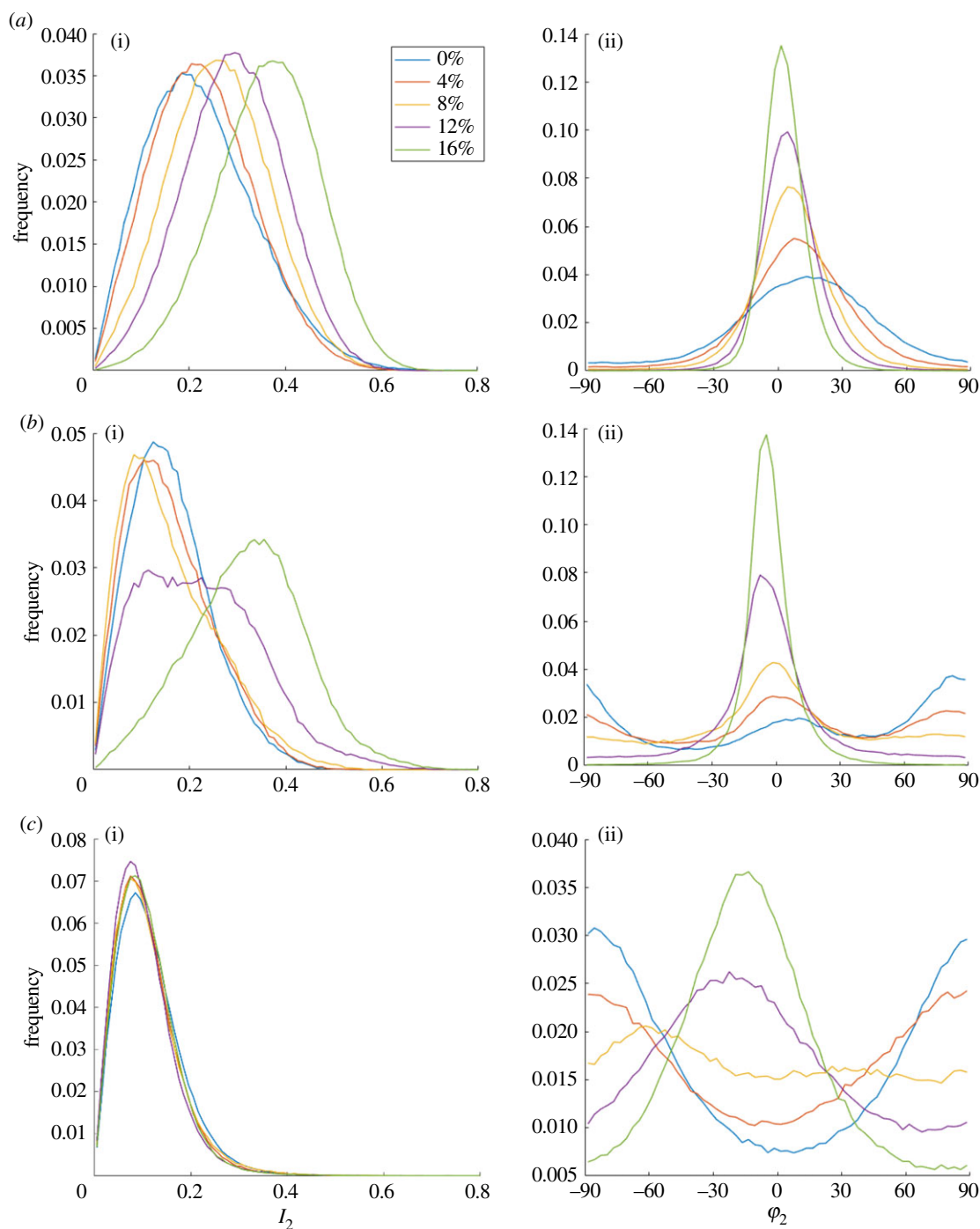


Figure 9. Changes in I_2 and φ_2 with strain. (a) Sample from the superficial zone as shown in figure 8, initial fibre orientation parallel to applied strain. (b) Superficial zone, initial fibre orientation perpendicular to applied strain. (c) Deep zone, initial fibre direction perpendicular to applied strain. ($\varphi_2 = 0^\circ$ is parallel to the direction of applied strain.) (Online version in colour.)

fibril is a hierarchical structure with molecules 300×2 nm stacked laterally and longitudinally into arrays 20–100 nm in diameter and without detectable ends in cartilage. The SHG signal is presumed to arise from dipoles associated with the regular peptide bond structure of the collagen molecule. In our current system, the P-SHG for each pixel arises from a volume approximately 0.1 fl and therefore will be an average value from multiple fibrils transecting this volume. Accessing finer scales is possible only using non-optical methods such as X-ray scattering, which are difficult both to implement and analyse.

The scale of our measurements lies between those of conventional light microscopy and electron microscopy. TEM remains one of the best ways to visualize individual fibrils

in cartilage, however due to the requirement for very thin slices and the small fields of view it is less suitable for mapping larger scale variations in fibril architecture. Additionally in mechanical loading experiments it can only provide a snapshot of fibril organizations [49] whereas P-SHG allows us to track changes in the collagen fibril distribution at the molecular scale. Detailed insights into the intrafibrillar structure of collagen and its response to mechanical loads on even smaller scales have emerged from studies on the thicker fibres of type I collagen which have used a combination of wide and small angle X-ray scattering to determine molecular packing and alignment within fibres [50,51]. X-ray scattering has also been used to investigate cartilage collagen [52–55] including how this changes under compressive loads [53,54]. Most

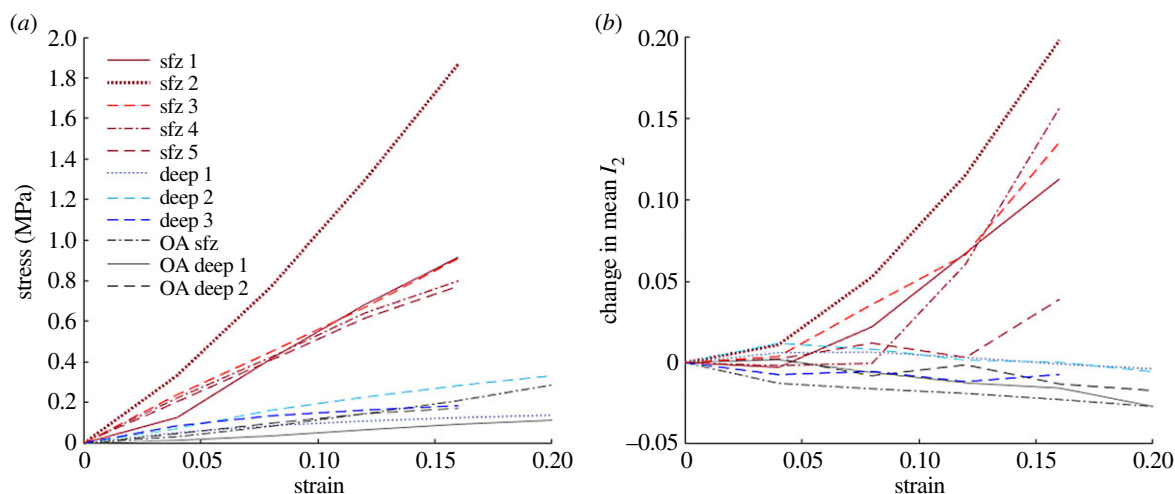


Figure 10. (a) Stress–strain curves from all tensile tested specimens. (b) Change in I_2 as a function of strain. (Line colours and hatchings are matched between images—red (Sfz1–5) = superficial zone bovine, blue (deep 1–3) = deep zone bovine, grey (OA1–3) = human.) (Online version in colour.)

recently, this approach has revealed differences in the d-spacing with depth [53], which might be related to the differences we observed in the present study. Further work might exploit the complementarity between the two approaches.

The present work reveals aspects of collagen organization that can be related to previous studies in electron microscopy. Our observations show a visibly tighter collagen alignment along the deep zone as compared to the transition and even superficial zone, which resembles bundled structures observed in this region using EM [56]. We also observe a clear re-orientation of collagen fibrils along the diameter of the chondrocytes, with tighter sub-micrometric scale organization in a direction perpendicular to the main collagen direction of the deep zone. Electron microscopy of the collagen in the pericellular matrix of chondrocytes shows a basket-like structure around the cells [57]. Collagen polarity has been also observed to vary strongly in the proximity of these regions [42], which is consistent with this more complex organization. The true pericellular matrix could not be resolved in the present work, and whether this was because of collagens such as type VI are weak generators of SHG or because of the geometrical complexity of the cell boundary must be established in future work. However, P-SHG showed that the direction of the collagen was disturbed around the cells over rather larger distances and the biomechanical implications of these variations will require consideration in tracking the exchange of mechanical signals between chondrocytes and the bulk matrix.

P-SHG provides a new perspective on the response of the collagen fibrils to mechanical load. As might be expected, as the strain increases the average value of φ_2 aligns towards the direction of applied strain. However, an interesting situation arises for fibrils perpendicular to the direction of applied load, because two scenarios can be envisioned: (i) the peak φ_2 progressively shifts towards the direction of applied strain but remains approximately constant in height; this cooperative behaviour would be indicative of tissue reorganization on a length scale greater than the field of view or (ii) the peak in φ_2 perpendicular to the strain direction decreases in intensity and a separate peak in φ_2 emerges parallel to the direction of applied strain, indicating re-organization on a shorter length scale. In figure 9b

and c the latter pattern was prevalent. Unravelling the processes of mechanotransduction in a cell interacting with fibrils of different initial orientations is a challenge for the future.

More generally, in exploring correlations between the macroscopic mechanical properties of the tissue and the micro-structural behaviour of the collagen fibrils it is important to recognize that P-SHG provides information on two length scales. Changes in φ_2 reflect the reorientation of collagen fibrils on a relatively large scale, as discussed above, and these data could provide inputs for structurally based finite element models relating tissue mechanics to fibril organization [58]. In addition, changes in I_2 indicate changes in fibril structure on the submicron length scale. The most significant changes in I_2 were observed in the superficial zone, however this is mostly attributed to the limitation of the technique is that P-SHG can only measure the organization of fibrils aligned in the image plane. In the deep zone this was, with the geometry employed in the present investigation, true for only a minority of fibrils, although these were the ones bearing the applied load. Figure 10 shows that, in the small number of samples studied, there is a rough correlation between the increase in I_2 under tensile loading and the tensile modulus of the sample, particularly in the superficial zone, perhaps indicating that higher forces are required to generate these changes. Recent work on X-ray scattering in cartilage has shown changes in d-spacing in collagen fibrils under mechanical load [53]. Future work might establish the relationships between these observations, but it is clear that mechanical forces are transmitted down to the lowest levels of collagen structure.

The changes to the collagen matrix, which occur in OA, are complicated and include softening (loosening of the collagen II matrix), fibrillation and replacement of the type II collagen with type I collagen. The small number of osteoarthritic cartilage samples included in this study were representative of the spectrum of changes reported in the literature [10] and we found them to be they associated with fibril re-organization on a sub-micron scale, reflected in both I_2 and φ_2 . Whether these small scale changes are consequences of the larger-scale changes, for example a release in fibril tension following alterations in the overall force balance in the tissue, or indicate the effects of specific processes, such

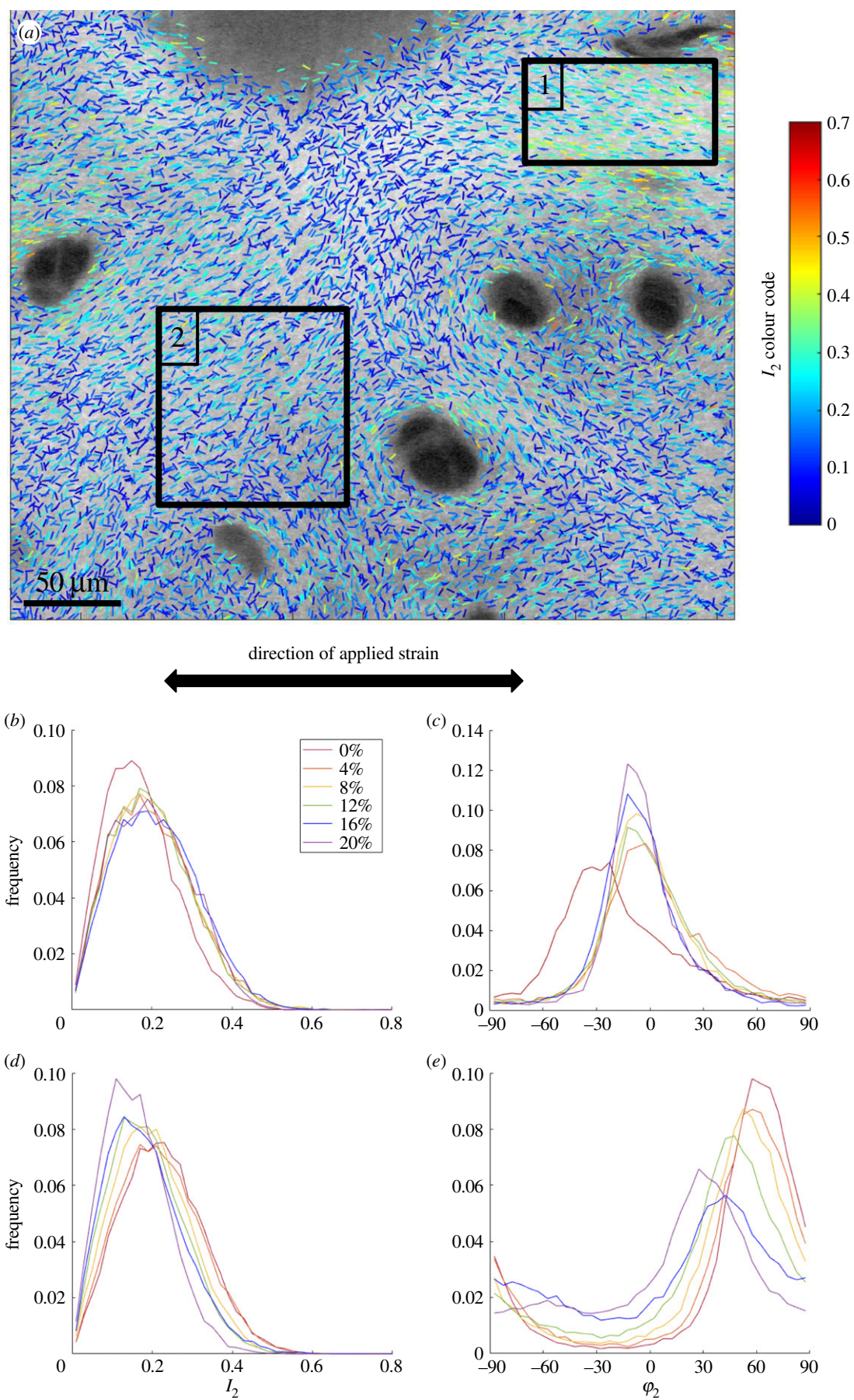


Figure 11. (a) P-SHG image of a sample of OA cartilage at zero strain. Regions 1 and 2 were selected for analysis of changes with strain, applied in the direction of the arrow. In region 1 the initial φ_2 peak is 30° and panels (b) and (c) show changes in I_2 and φ_2 . In region 2 the initial φ_2 peak is 70° and panels (d) and (e) show the changes in I_2 and φ_2 . (Online version in colour.)

as matrix proteolysis, that initiate the macroscopic changes of OA remains to be established.

5. Conclusion

This paper reports techniques of P-SHG that are directly applicable to investigating the microstructural response to mechanical loading in collagen-rich tissues. We demonstrate regional and local patterns in the sub-micron scale organization of articular collagen and that this organization is responsive to changes in mechanical loading. A more extensive study on the polarization properties of degenerate and ageing cartilage collagen should reveal the mechanisms of sub-micron scale remodelling and their role in the progression of disease. This may further demonstrate the potential of P-SHG as a diagnostic tool for assessing cartilage quality in early OA.

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