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## Role of autophagy in osteosarcoma

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## **Abstract**

Osteosarcoma (OS) is the most common primary bone tumour in children and adolescents. It is a highly aggressive tumor with a tendency to spread to the lungs, which are the most common site of metastasis. Advanced osteosarcoma patients with metastasis share a poor prognosis. Despite the use of chemotherapy to treat OS, the 5-year overall survival rate for patients has remained unchanged at 65-70% for the past 20 years. In addition, the 5-year survival of patients with a metastatic disease is around 20%, highlighting the need for novel therapeutic targets.

Autophagy is an intracellular degradation process which eliminates and recycles damaged proteins and organelles to improve cell lifespan. In the context of cancer, numerous studies have demonstrated that autophagy is used by tumor cells to repress initial steps of carcinogenesis and/or support the survival and growth of established tumors. In osteosarcoma, autophagy appears to be deregulated and could also act both as a pro or anti-tumoral process. In this manuscript, we aim to review these major findings regarding the role of autophagy in osteosarcoma.

## **1. Introduction**

Autophagy is an intracellular degradation pathway identified more than 50 years ago. The 2016 Nobel prize award to Pr Ohsumi for his work on autophagy renewed interest for the involvement of this process in various fields and autophagy is now recognized as a critical process in bone homeostasis [1].

Although autophagy's role in cancer is complex and context-dependent, pharmacological modulation of this process is emerging in clinical trials. However, understanding the role of autophagy within the tumor and its microenvironment is an essential prerequisite.

Osteosarcoma is an aggressive cancer mainly occurring in children and young adults. Osteosarcoma treatment relies on chemotherapy and surgery, leading to a 70% 5-year survival in patients with a non-metastatic disease. Nevertheless, the 5-year survival of patients with a metastatic disease is around 20%, emphasizing the importance to develop new therapeutic strategies. As autophagy modulation could be part of these new options, the aim of the present review is to summarize the major findings regarding the role of autophagy in osteosarcoma.

## **2. Autophagy, a major degradative pathway**

Autophagy is an intracellular degradation process which eliminates and recycles damaged proteins and organelles [2,3]. An isolation membrane, called a phagophore, is formed in the cytosol to sequester the damaged material. After elongation and closure of the phagophore, a double-membrane vesicle called an autophagosome is generated. Autophagosomes then fuse with lysosomes to generate autolysosomes,

in which the content is degraded and recycled. Basal autophagy exists in all eukaryotic cells and exerts a quality control function. Autophagy can also be stimulated by various stresses such as starvation or hypoxia to sustain cell survival [2,3]. Although autophagy is essentially a prosurvival mechanism, overactivated autophagy can lead to cell death[4]. In addition to its classical degradation role, autophagy was recently shown to participate in some secretion processes [5].

Autophagy is a complex process regulated by the coordinated action of more than 30 autophagy-related proteins (ATG) (Figure 1). Autophagy initiation is mediated by the UNC-51-like kinase (ULK1) complex which is negatively regulated by the mammalian target of rapamycin (mTOR) in nutrient-rich conditions [6]. Upon starvation, mTOR is inactivated, leading to ULK-1 activation and autophagy induction [7]. The Class III PI3K complex I is then recruited to initiate autophagosome formation. Elongation and closure of the phagophore requires two complexes containing ubiquitin-like proteins and their respective conjugation machineries [8]. The first complex mediates the covalent conjugation of ATG12 to ATG5 due to the action of ATG7 and ATG10 enzymes. The ATG12-ATG5 conjugate then binds to ATG16 to form the ATG12-ATG5/ATG16 complex which is essential for autophagosome biogenesis. The second ubiquitin-like conjugation system allows the conjugation of phosphatidylethanolamine (PE) to the microtubule-associated light chain 3 (LC3 or ATG8). Nascent LC3 is first processed by the protease ATG4, activated by the ATG7 enzyme, transferred to the ATG3 conjugating enzyme, and then conjugated with PE [9]. LC3-PE (LC3-II) is an integral membrane protein present in autophagosomes and is used as an autophagosome marker.

The cellular material targeted to degradation is sequestered by autophagosomes through the action of selective autophagy receptors such as SQSTM1/p62, NBR1,

NDP52 or Optineurin. Due to a specific amino acid sequence binding to ATG8 protein family members (LC3-interacting region or LIR motif), these receptors mediate the cargo delivery to autophagosomes [10,11].

### **3. Autophagy in bone**

Bone is a complex organ in which several cell types act in a coordinated manner. Bone remodeling starts by the resorption of old mineralized bone matrix by osteoclasts (OC) followed by de novo bone formation by osteoblasts (OB). Osteocytes (OST), the multifunctional mechanosensing cells, which are embedded within the bone matrix, orchestrate this remodeling process.

Autophagy is involved in OB differentiation [12,13] , survival [14,15] and function, i.e. bone matrix mineralization [13,16]. In OC, autophagy is also required for differentiation [17–19] and several autophagic proteins are involved in bone resorption [20]. OST, which are long-lived key regulators of bone remodelling, are also highly dependent on autophagy for their survival and function [21,22]. Finally, several lines of evidence suggest that an autophagy defect could be related to some bone pathologies such as osteoporosis [23], Paget's disease of bone [24], or osteopetrosis [25] .

### **4. Autophagy in cancer**

In the context of cancer, numerous studies have demonstrated that autophagy is used by tumor cells as a highly dynamic mechanism to repress initial steps in carcinogenesis and/or support the survival and growth of established tumors [26,27]

(Figure 2). In the early stages of tumorigenesis, autophagy may be tumor suppressive by (i) limiting chromosomal instability, (ii) restricting oxidative stress which can act as an oncogenic stimulus, (iii) preventing intratumoral necrosis and local inflammation. In advanced cancers, tumor-promoting functions of autophagy rely on survival in response to stresses due to cancer progression, metastasis and treatments [27]. Tumor cells use autophagy to maintain mitochondrial function and homeostasis required by their high energy demand of unrestrained proliferation. In addition, autophagy-mediated secretion can play a role in tumor microenvironment modification [28]. Autophagy has also been involved in the generation of a dormancy state which protects cells from chemotherapeutic stress [29]. Moreover, autophagy was shown to be required in the maintenance of stem cell properties, particularly in cancer stem cells (CSC) [30]. Autophagy is also required for the motility and invasion of metastatic tumor cells by the promotion of focal adhesion disassembly in an autophagic dependent manner via paxilline degradation [31]. Lastly, autophagy can also be used by cancer cells to modify host antitumor immunosurveillance and to inhibit adaptive and innate immune responses [32].

Hence, autophagy exerts context-dependent roles in cancer and most clinical trials, which target established tumors, were performed to inhibit autophagy [33]. These trials, associating autophagy inhibitors with conventional treatments, led to encouraging results but further research is needed to define the safety and utility of this approach in each cancer type [33]. In osteosarcoma, a clinical trial combining the autophagy inhibitor hydroxychloroquine with gemcitabine and docetaxel is presently in progress [34].

## **5. Autophagy in osteosarcoma**

### *5.1 Autophagy is deregulated in osteosarcoma*

Numerous genomic and epigenetic studies have revealed a striking genomic complexity and heterogeneity in osteosarcoma tumors. Several heritable genetic predisposition syndromes with germline mutations in the tumor suppressor genes such as TP53 and RB1 or in RecQL4 gene are associated with osteosarcoma [35,36]. In addition, altered candidate driver genes with biological evidence for a role in osteosarcoma development have been identified in osteosarcoma somatic genomes [35].

Among the tumor suppressors frequently inactivated in osteosarcoma, several ones have been demonstrated to be involved in autophagy regulation [37,38] (Figure 3). Indeed, RB1, ARF, WIF1, PTEN and TSSC3 were shown to trigger autophagy through various mechanisms [39–43]. TP53 exhibit a dual role in autophagy regulation, nuclear TP53 inducing autophagy through transcriptional regulation and cytoplasmic TP53 acting as a master autophagy repressor [38]. RECQL4 was also recently shown to exert a dual regulation of autophagy [44]. In addition, several oncogenes activated in osteosarcoma such as IGF2, H19, COPS3 and RUNX2 also regulate autophagy [29,45–47]. Finally, different studies evidenced that the mTOR pathway was overactivated in osteosarcoma, suggesting autophagy inhibition [48,49]. Taken together, these data indicate that numerous autophagy regulators are affected in osteosarcoma, suggesting that this critical process is deregulated. Nevertheless, autophagy can be induced in OS and the molecular mechanisms involved in autophagy triggering have been reviewed elsewhere [50].

The present review aims at updating our current knowledge regarding the complex pro and antitumoral role of autophagy in OS. Indeed, autophagy can either promote



cell survival [29,46,51–53] or contributes to cell death [54]. Moreover, autophagy can be involved in chemosensitivity or chemoresistance during osteosarcoma therapy [54]. In the next paragraphs, we will review several studies illustrating both aspects of autophagy role in osteosarcoma.

## *5.2 Autophagy as a protumoral process in osteosarcoma*

In normal conditions, autophagy is a prosurvival pathway that preserves organelle function, prevents cellular waste product toxicity, and produces energy and substrates for survival. In this context, tumors can use autophagy to survive in a hostile microenvironment and to increase growth and aggressiveness.

### *5.2.1 Autophagy role in OS tumor cells*

The protumoral role of autophagy has been demonstrated in several osteosarcoma cell lines by the knockdown of key autophagy genes. It has been shown that ATG4B, the cysteine proteinase activating LC3, is crucial for osteosarcoma development in the Saos-2 cell model [51]. Indeed, treatment with an ATG4B chemical inhibitor results in autophagy deficiency and leads to a decreased proliferation in vitro and tumor growth in vivo [51]. Similarly, using a siRNA approach in three osteosarcoma cell lines (HOS, MG-63, and U2OS), Zhang et al. have shown that BECN1 knockdown induces a decrease in cell growth and invasion in vitro and reduces metastasis development in vivo [52].

### *5.2.2 Autophagy role in treatment resistance*

In addition to the role of autophagy in osteosarcoma survival and progression, numerous studies have demonstrated its role in treatment resistance [54,55]. First,

several studies demonstrated that chemotherapy-resistant OS cells exhibit increased autophagy [56–58]. Then, different factors known to mediate drug resistance such as HMGB1, HSP90AA1 or GFRA1, exert their effects through autophagy induction [59–61]. Moreover, several microRNAs (miRNAs) inhibiting autophagy are downregulated in OS, participating in treatment resistance. miRNAs are small noncoding RNAs that regulate gene expression by binding to the 3' untranslated region of their target mRNAs, leading to their translational suppression or degradation. MiRNAs are now recognized as major contributors in cancer development and treatment resistance, including in OS [62]. In addition, miRNAs were shown to regulate autophagy [63], and several miRNAs target autophagy genes such as Atg5 [64] or Atg16L1 [65].

MiR-410, which directly decreases the autophagy gene ATG16L1 expression, is markedly downregulated in human osteosarcoma tissues [65]. MiR-30a, which targets BECN1, is significantly reduced in doxorubicin-resistant OS cells [66]. Chemoresistance of osteosarcoma tumor cells to doxorubicin is also associated with the downregulation of miR-143 expression, leading to autophagy activation with upregulation of ATG2B, Bcl-2 and LC3-II protein levels [67]. Similarly, miR-140-5p, which suppresses autophagy through HMG5, is downregulated in OS and associated with patient's chemoresistance [68]. More recently, it has been shown that some long non-coding RNAs are involved in autophagy activation in OS through miRNA targeting [64,69].

Finally, autophagy inhibition through BECN1 or Barkor/ATG14 knockdown results in an increased sensitivity of OS cells to chemotherapy [52,70].

Autophagy involvement in treatment resistance can be mediated through various mechanisms. The protective effect can be mediated by ROS reduction, as it was described for radioresistance of OS cells [71]. Treatment resistance can also involve

the degradation of apoptosis-promoting proteins. This is the case in cisplatin-resistant OS cell lines exhibiting autophagic degradation of FOXO3A, which leads to decreased PUMA expression and apoptosis inhibition [56]. Autophagy was also shown to participate in the maintenance of a dormancy-like state protecting OS cells from chemotherapeutic drugs [29]. Finally, Ma et al. have shown in osteosarcoma tissues that a weak level of the autophagy substrate p62, which correlates with an active autophagic flux, may be associated with higher metastatic and chemoresistant rates in osteosarcoma patients, suggesting a protumoral effect of autophagy [72].

Considering the role of autophagy in OS treatment resistance, many studies combined the use of anti-cancer agents with autophagy inhibitors such as chloroquine, 3-methyladenine or spautin-1 (specific and potent autophagy inhibitor-1). These autophagy inhibitors enhance OS cell death induced by cisplatin [73], doxorubicin [74], bone-targeted gallium compound KP46 [75], and increase in vivo tumor regression following photodynamic therapy [76] or mTOR inhibition [77].

### *5.2.3 Autophagy role in OS cancer stem cells*

Autophagy was also shown to participate in the maintenance of cancer stem cell (CSC) properties [78]. Regarding OS, very few studies investigated the role of autophagy in CSC. Zhang et al. have used the CD271 marker to isolate CSC from two human OS cell lines. CD271<sup>+</sup> OS cells showed a higher autophagy activity than CD271<sup>-</sup> OS cells under hypoxia and low nutrient condition. Autophagy deficiency in the CD271<sup>+</sup> cells decreased the stemness marker expression, restored chemotherapeutics sensitivity and restricted the advantage of CD271<sup>+</sup> OS cells in terms of tumorigenesis in vivo [79]. More recently, calpain-6 was demonstrated to control OS CSC fate by promoting autophagy and preventing senescence [80].

### *5.3 Autophagy as an antitumoral process in osteosarcoma*

While the cytoprotective role of autophagy is well described, particularly in response to cancer treatment, its role in cell death has long been controversial. However, several evidences now demonstrate autophagy implication in cell death, including in osteosarcoma.

Indeed, autophagy can result in an autophagic cell death, defined as an autophagy-mediated cell death that can be suppressed by the inhibition of the autophagic pathway [4,81]. Such an autophagic cell death was observed *in vitro* in WT and doxorubicin-resistant U2OS cells after treatment with the bisindolic alkaloid voacamine [82]. Voacamine induced an apoptosis-independent cell death associated with autophagy stimulation, and knockdown of key autophagy genes resulted in decreased cell death [82].

In addition, autophagy can also promote apoptosis or necroptosis through various mechanisms [81]. This can be achieved, for example, through the degradation of anti-apoptotic and cell survival factors [81]. In this context, treatment of U2OS or Saos-2 osteosarcoma cell lines with Riccardin (RD), a naturally occurring macrocyclic bisbibenzyl, triggers both autophagy and apoptosis and pharmacological or genetic autophagy inhibition decreased RD-mediated cell death [83]. Similarly, celastrol, a triterpene from traditional chinese medicine induces JNK activation and ROS generation, resulting in apoptosis and autophagy in MG-63 osteosarcoma cells [84]. Pharmacological autophagy inhibition diminished caspase-3 and PARP cleavage, suggesting that autophagy promoted apoptosis.

Although a large number of studies demonstrate that chemotherapy triggers a cytoprotective autophagy, some of them indicate that autophagy can sensitize OS cells to chemotherapy. Inhibition of camptothecin-induced autophagy was shown to

decrease cytotoxicity in DLM8 OS cells and to increase cytotoxicity in K7M3 OS cells [85]. Similarly, autophagy inhibition led to opposite effect in LM7 and CCH-OS-D or K7M3 OS cells [50]. In this last report, the authors showed that the expression of phosphorylated heat shock protein 27 (HSP27) after chemotherapy, predicts the effect of autophagy inhibition on OS cell survival or death.

Interestingly, a recent study of Livingston et al. demonstrated that the presence of LC3B+ puncta, an autophagosome marker, is an independent prognostic biomarker of improved survival following neoadjuvant chemotherapy [86]. This work, which was performed in tumor specimens isolated from 260 osteosarcoma patients, suggests that autophagy could be beneficial in this context.

## **6. Conclusion**

Autophagy is a prosurvival pathway used by OS tumor cells to increase their proliferation and development, resist to cancer treatments and preserve a pool of CSC within the tumor. Nevertheless, autophagy can also be antitumoral in OS and lead to cell death but several general questions remains to be answered: which signal turns prosurvival autophagy into a death process ? Is there an autophagy threshold level required for cell death induction ? We only start to address these issues which are essential to understand the role of autophagy in OS and to use this pathway as a potential weapon against this cancer.

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## Legends to figures

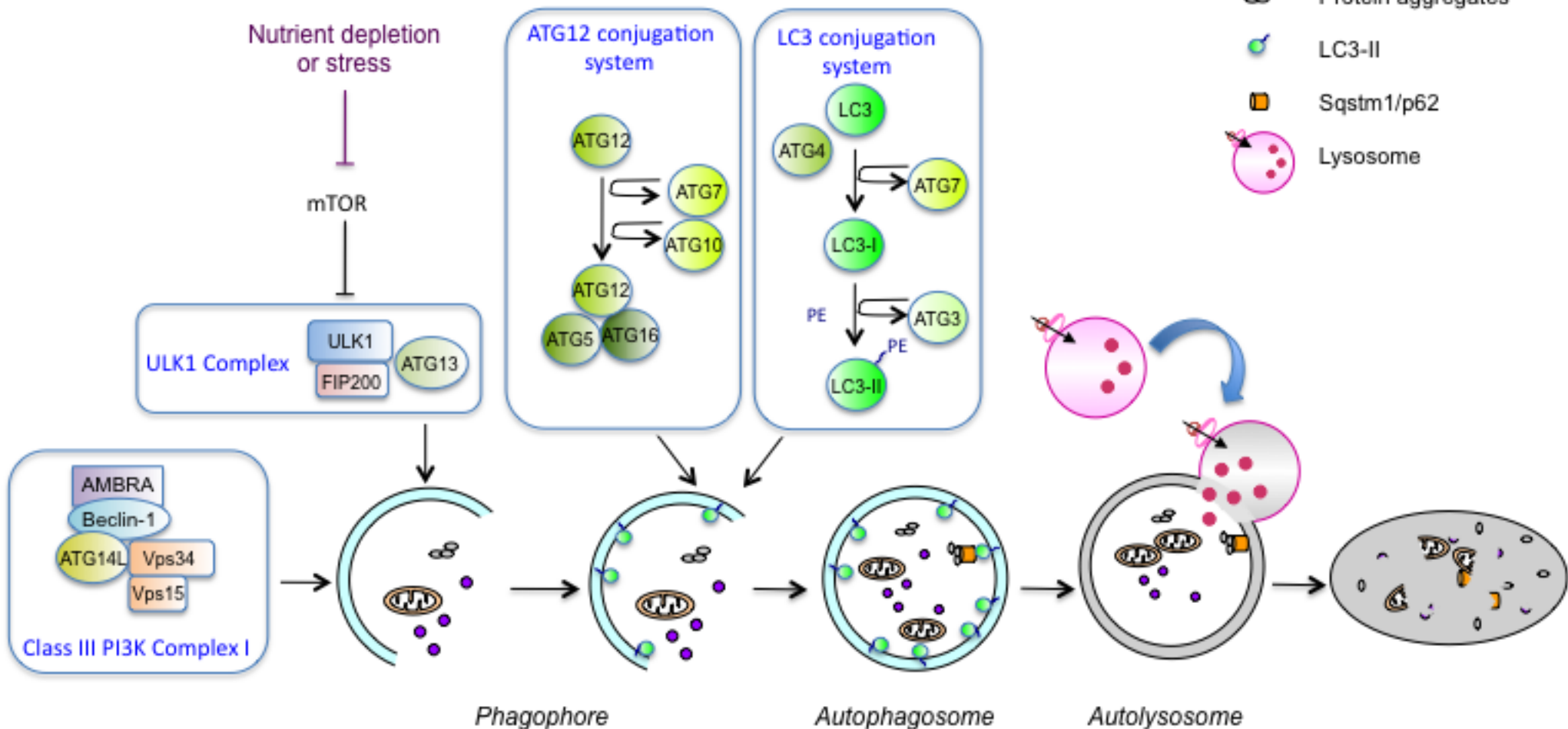
**Figure 1. Autophagy mechanism.** The autophagy mechanism and the different molecular complexes involved in the process are presented. In response to different stimuli such as mTORC1 inactivation, autophagy is initiated through the action of the ULK1 complex and the class III PI3K complex. A phagophore is generated in the cytosol to isolate damaged organelles, aggregates and proteins. The ATG12 conjugation system and the LC3 conjugation system are then involved in the elongation and closure of the phagophore, leading to autophagosome formation. Finally, the autophagosome fuses with lysosomes to degrade the material which will then be recycled.

**Figure 2. Autophagy role in cancer.** In early stages of tumor development, autophagy can exert tumor suppressive functions by DNA damage limitation through reactive oxygen species (ROS) elimination, inflammation limitation and cell death induction. In established tumors, autophagy can support tumor growth through various mechanisms such as nutrient generation, microenvironment modification, drug resistance, CSC maintenance promotion and immune response inhibition.

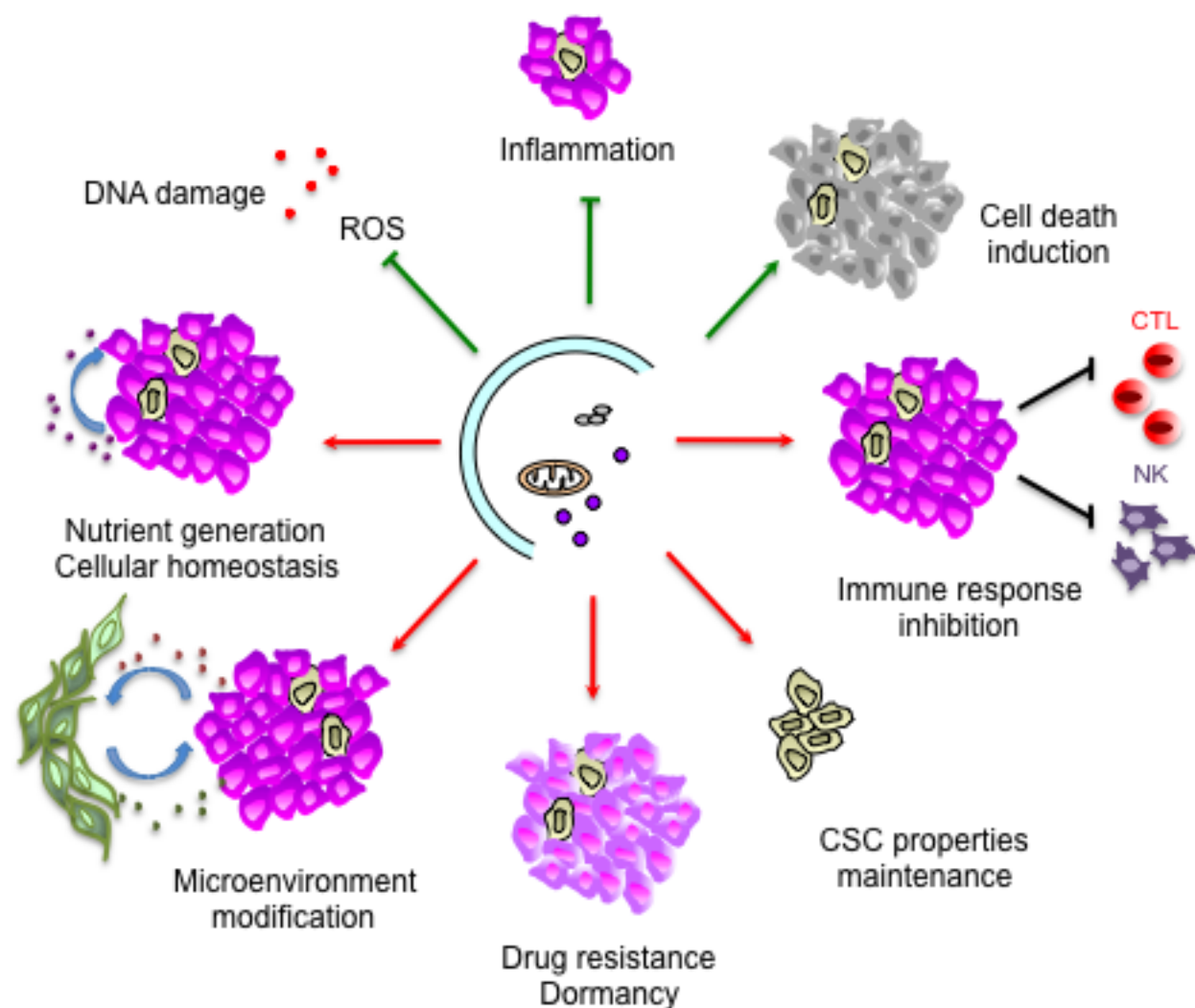
### **Figure 3. Potential autophagy deregulation in osteosarcoma**

Effect on autophagy of tumor suppressors frequently inactivated and oncogenes frequently activated in osteosarcoma. Green arrow: autophagy stimulation; Orange arrow: dual effect on autophagy.

-  Damaged mitochondria
-  Misfolded proteins
-  Protein aggregates
-  LC3-II
-  Sqstm1/p62
-  Lysosome



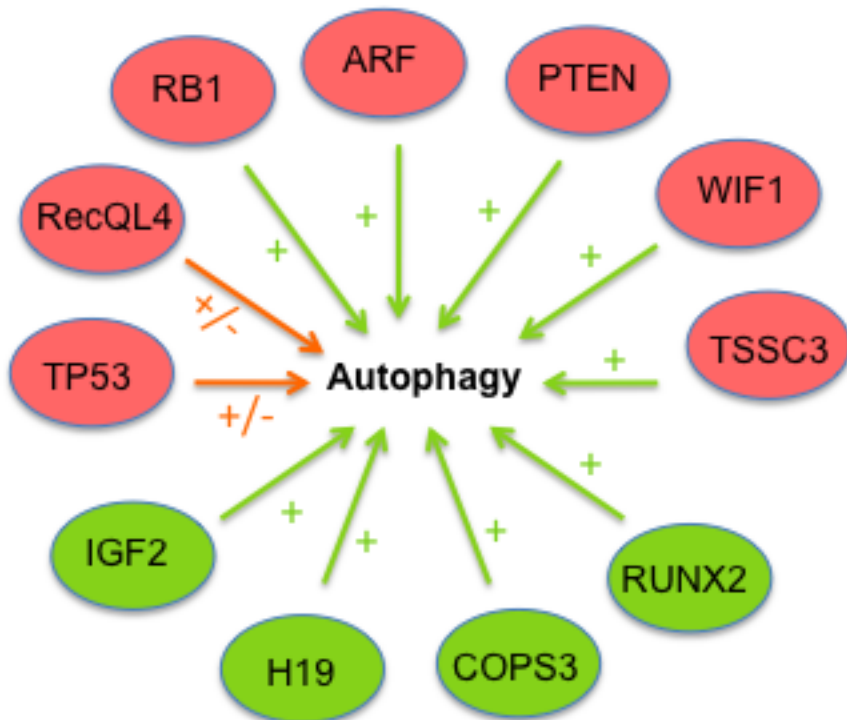
## Tumor suppressive functions



## Tumor supportive functions



Tumor suppressor  
inactivated in OS



Oncogenes  
activated in OS