

Water-Soluble 2,5-Anhydro- d -mannofuranose Chain End Chitosan Oligomers of a Very Low Molecular Weight: Synthesis and Characterization

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1	Water soluble 2,5-anhydro-D-mannofuranose end

² chain chitosan oligomers of very low molecular

³ weight : synthesis and characterization

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13

14 ABSTRACT

Five chitosan with different acetylation degree (DA), molar masses and origin were depolymerized by nitrous acid treatment in acidic media leading to water soluble 2,5 anhydro-Dmannose end chain oligomers with DPn<20. The kinetics of the reaction was studied and the best

work conditions were found to be 3h reaction at 50°C. It was shown that the DPn of oligomers 18 19 only depends on the quantity of NaNO₂ involved. Molar masses or DA do not have an impact on 20 the depolymerization process when targeting oligomers with less than 20 units. This 21 depolymerization process also leads to free AMF that might react with the free amines of 22 obtained oligomers to form imines. This reaction is pH dependant and in acidic condition, leads 23 to the formation of 5-hydromethyl-2-furfural (HMF). At the end, the oligomers were purified 24 with dialysis to get rid of most free AMF (<5%) and other residual salts, and appeared to have no 25 acute toxicity.

26

27 INTRODUCTION

28 Chitosan is a polysaccharide constituted of β -D-glucosamine and N-acetyl- β -D-glucosamine 29 units linked by a glycosidic bond $(1\rightarrow 4)$. It is the N-deacetylation of chitin, a polymer extracted from 30 shrimp shells, squids, insects or mushrooms, poorly processable due to its insolubility in most solvent 31 including water that leads to chitosan. Chitin and chitosan have the same chemical structure and differ 32 only by the proportion of N-acetylated units along the chain, >60% for chitin and <60% for chitosan. 33 In fine, chitosan is characterized by its source (crustacean, insects, fungi...), its N-acetylation degree 34 (DA) and its molar mass. A wide range of chitosan exists with various molar masses from 100,000 35 g/mol up to 500,000 g/mol with acetylation degree between 0 and 30 %¹. Many interesting applications result from use of chitosan^{2 3 4 5}. Nevertheless, chitosan use is limited due to its high 36 37 viscosity, its insolubility in organic solutions and poor solubility in aqueous solution owing to the 38 pH⁶. Hence, a flourishing interest for lowering the molar masses to obtain chitosan oligomers 39 appeared during the last decade^{7 8 9}.

40 ChitoOligoSaccharides (COS) have indeed recently received considerable attention as 41 functional biomolecules with a wide range of applications in food, agriculture, medicine,

42 pharmaceutics and cosmetics. COS are often defined as chitosans with degree of polymerization DP less than 20¹⁰, i.e. with average molar masses lower than 4,000 g/mol. COS are either composed of 43 44 β -D-glucosamine units or, can be a mixture of β -D-glucosamine and acetyl- β -D-glucosamine 45 randomly organized. COS are defined by some unique properties such as water solubility, cell 46 membrane penetrability, easy absorption and various biological activities. It is also worth to note that 47 COS are easier to process, due to less chain entanglement and steric hindrance which confer them a 48 direct solubility in water for DP<20⁵⁸. COS are insoluble in acetone, butanol, ethanol, ethyl acetate, 49 propanol and pyridine but as opposed to chitosan, partially soluble in methanol and in dimethyl 50 sulfoxide and dimethyl formamide when heated ¹¹. Furthermore for these oligomers, the smaller are 51 the molar masses, the better is the solubility. In addition, the utilization of COS allows to reduce the 52 viscosity and to work with higher concentration. COS are reported to treat asthma, prevent tumor 53 growth, malaria, control blood pressure and also have neuroprotective effects for Alzheimer disease 54 treatment thanks to their biological activities, that include antibacterial, antifungal, antiviral, antitumor and antioxidant properties, which have been reported to overcome those of chitosan ⁹ ¹² ¹³ ¹⁴ ¹⁵. 55

56 Several ways to reduce chitosan molar masses including enzymatic, physical or chemical depolymerization have been studied over the last decades ^{8 16 17 18 19}. Enzymatic methods are really 57 58 precise but very expensive and hardly applicable on large scale. Physical depolymerizations with 59 microwave or sonication do not lead to oligomers and are mostly used to reduce molar masses and 60 viscosity of chitosan solutions. They are often combined with chemicals like peroxide or concentrated 61 acid to boost the depolymerization. Those methods are hardly controllable and reproducible. Allan and al.²⁰ developed a depolymerization method, based on the use of sodium nitrite (NaNO₂). The 62 63 principle is based on the action of nitrous acid, which cleaves the glycosidic linkages of chitosan. The 64 reaction consists in the formation of a diazonium ion on a D-glucosamine unit by reaction between 65 the free amine and the nitrous acid. A carbocation is obtained by nitrogen release. The nucleophilic

addition of endocyclic oxygen then leads to a 2,5-anhydro-D-mannofuranose (AMF) structure as the
new chain end (Scheme 1).



68 Scheme 1 Reaction of NaNO₂ onto chitosan and side reaction leading to HMF

70 Interestingly, they established an equation linking the obtained oligomer molar mass to the 71 quantity of NaNO₂. Later on, Tømmeraas et al.²¹ investigated on the reaction mechanism with 72 detailed NMR analyses of fully N-acetylated and N-deacetylated oligomers. They especially 73 underlined the formation of AMF chain end. They also showed the presence of secondary reactions: 74 the resulting aldehyde of AMF chain end could react with the free amines of chitosan oligomers, 75 leading to the formation of a Schiff base during lyophilisation. In acidic conditions, this Schiff base 76 would then facilitate the elimination reactions leading to the formation of 5-hydroxymethyl-2-furfural 77 (HMF), another byproduct. Shirui Mao and al^{22} , studied some factors affecting the depolymerization 78 process like chitosan concentration, reaction time and reproducibility. They studied high molecular 79 weight (600 kg/mol and 400 kg/mol) and low molar masses (150 kg/mol) chitosans as well as 80 cytotoxicity of the resulting COS with molar masses ranging from 2,800 to 49,000 g/mol but did not 81 get further on the depolymerization process to reach lower molar mass oligomers. Although nitrous 82 deamination has been studied and used many times to depolymerize chitosan²³²⁴, this reaction was 83 not investigated so far for reaching even smaller DPs, i.e. 5<DP<20. Only Moussa et al. synthesized 84 oligomers of DP10 using this method but started from one single type of chitosan (DA 0%, Mn= 85 115,000 g/mol)²⁵. Those oligomers could be of interest as they happen to be directly water soluble 86 depending on the pH and even soluble in DMSO and exhibit better biological activities¹⁵. Besides, 87 effect of both sourcing and DA for initial chitosan onto depolymerization process was also not 88 highlighted.

The purpose of this paper is to obtain chitosan oligomers of different DP (average from 5 to 60) by nitrous deamination from different chitosans by varying the DA, the Mw and the origin (marine and insect). The kinetics of the reaction was studied as well as the ideal reaction parameters to lead to a robust method of chitosan depolymerization. The formation of free AMF and HMF was underlined and correlated to the different reaction parameters. The molar masses of the resulting oligomers were calculated using size exclusion chromatography (SEC). Structure identification was

95	performed using infrared spectroscopy (IR), ¹ H and 2D DOSY NMR spectroscopy, and headspace
96	chromatography.

MATERIALS AND METHODS

- 98 Materials
- 99

100Three different shrimp chitosan DA around 10-15% CH30 (Mw 30 kg/mol), CH150 (Mw 150101kg/mol), CH250 (Mw 250 kg/mol) were purchased from Glentham Science, chitosan with DA equal102to 1% CHDA1 (150 kg/mol) was purchased from Mathani chitosan, insect chitosan with DA equal to10320% CHY (Mw 180 kg/mol) was purchased from Ynsect and used as received. Acetic acid (AcOH),104sodium acetate (NaAc), sodium nitrite (NaNO2), lithium bromide (LiBr), sodium azide (NaN3),105sodium hydroxide (NaOH), hydrochloric acid (HCl), acetone (>98%) were purchased from Sigma106and used without purification, HPLC water (>99%) was purchased from VWR.

107

Depolymerization of chitosan

108

109 The depolymerization of high molecular weight chitosans was performed by nitrous 110 deamination involving NaNO₂ as previously described ²¹. Briefly, 1g (w/w) of chitosan was dissolved 111 in 80 mL of AcOH (350µL in 90 mL of deionized water) solution overnight (pH 4.5). Once 112 solubilized, a freshly prepared solution (10 mL) containing the desired equivalent ratio of 113 NaNO₂/NH₂ (0.1, 0.2, 0.3, 0.4, 0.5, 0.6 eq) was added to the solution. The solution was stirred at 114 definite temperature for a definite time. Oligomers of DP>20 (made with 0.1 eq of NaNO₂/NH₂) were 115 precipitated by addition of 1M solution of NaOH and washed several times with deionized water until 116 neutral pH. Oligomers with DP<20 did not precipitate with the addition of NaOH. The solutions were 117 then freeze dried for 24h and the lyophilisates were dried under vacuum for 24h. The kineticss of the 118 reaction was investigated for the five different chitosans. For that, chitosans were dissolved in 119 deionized water with 1eq AcOH/NH₂, 0.2 eq of NaNO₂/NH₂ were added and the reaction was led at

120	room temperature (30°C). Samples were taken after 30 min, 1 h, 2 h, 3 h, 6 h and 24 h, freeze dried
121	and analyzed using SEC with a PEO calibration. The same experiment was reproduced for CH150 at
122	10°C, 30°C, 50°C and 70°C in order to investigate influence of temperature on the reaction. Dialysis
123	was performed using Spectra/Por dialysis membrane Biotech CE tubing MWCO: 100-500 D. ¹ H
124	NMR of 1600 g/mol oligomer, D ₂ O, 400 MHz, 298 K, pH 5,5: δ 8.2-8.5 (s, H _{aldehydes}), 8-7.1 (H _{imines}),
125	5.0 (d, 5 Hz, 1H H _{1 gendiol}), 3.2-4.4 (m, 1H H _{2 acetyl} , 1H H ₃ , 1H H ₄ , 1H H ₅ , 2H H ₆), 2.5 (m, 1H, H ₂), 2.0
126	(s, 3H, H_{CH3}), 4.8 (s, HOD). 23 % of the amines are in imines form. 51% of the aldehydes (free or
127	chain end) have reacted with an amine to form imines, 21% are in gem diol form and 28% are in
128	aldehyde form (SI 3).

AMF synthesis

130 AMF was synthesized by action of nitrous acid on D-glucosamine. Briefly, 1 g (4.6 mmol, 1 131 eq) of D-(+)-Glucosamine hydrochloride was dissolved in 20 mL of deionized water during 3h. 132 Sodium nitrite (1 g, 14.5 mmol, 3.2 eq) was added and the reaction was led at room temperature for 133 6h. The final product was lyophilized for at least 24h. ¹H NMR for D-glucosamine (D₂O, 400 MHz, 134 298 K, pH 5.5) : δ 5.42 (d 4Hz, 1H, H_{1α}), 4.9 (d 8Hz, 1H, H_{1β}), 3.4-3.9 (m, 1H H₃, 1H H₄, 1H H₅, 2H 135 H₆), 3.3 (dd 10Hz, 1H, H_{2α}), 2.9 (dd 10Hz, 1H, H_{2β}), 4.8(HOD). ¹H NMR for AMF (D₂O, 400 MHz, 136 298 K, pH 5.5) : δ 8.5 (s, 1H, H₁), 5.1 (d, 5Hz, 1H, H_{1 gendiol}), 4.2 (t 6Hz, 1H, H₂), 4,1 (t 6Hz, 1H, H₃), 4,0 (m, 1H, H₅), 3.8 (m, 1H, H₄ 2H, H₆) as expected 26 . In deuterated water, AMF is 70% in 137 138 gem-diol and 30% of aldehyde form (SI 1 & 2).

139

Characterization

140 ¹H NMR, analyses were performed using a Bruker Avance 400 MHz NMR spectrometer at 141 298 K. 2D DOSY NMR was performed on a 600MHz Bruker Avance spectrometer. NMR samples 142 were prepared by dissolving 15 mg of product in 0.5 mL of D_2O . The chemical shifts were reported in 143 part per million relative to tetra methylsilane. Spin multiplicity is shown by s=singlet, d=doublet, 144 t=triplet, q=quadrat and m=multiple. DA of native chitosan and oligomers were determined using ¹H

145 NMR. FTIR spectra were recorded on Nicolet 6700 FTIR spectrometer. GC-MS analysis were 146 performed on GC 7890B AGILENT equipped with a capillary column Agilent 122-1364UI DB-147 624UI (60 m x 0.25 mm, 1.4 µm), with FID detector and mass spectrometer 5977B AGILENT. GC is directly linked to the headspace sampler 7697A Agilent, with and injection loop (1000 µL) and a 148 149 transfer line. dn/dc were calculated using the differential refractive index (RI) detector Wyatt Optilab 150 T-rEX. Molar masses were recorded using triple detection size exclusion chromatography (Tosoh 151 TSK PW 2500, TSK PW 6000, TSK gel G Oligo PW) coupled with the same RI detector Wyatt 152 Optilab T-rEX, multiangle light scattering Wyatt DAWN HELEOS II, and a Fused Silica cell, laser 153 λ =664 nm. They were also recorded using size exclusion chromatography with RI detection (PL aquagel-OH 30 8µm 100-60.000 g/mol, PL aquagel-OH 40 8 µm 10.000-200.000 g/mol, TSK gel G 154 155 Oligo PW 7 μ m 7.8 mm ID ×30 cm 0-6000 g/mol) calibrated using PEO/PEG standards. 10 mg/mL 156 solutions of oligochitosans were prepared in acetate buffer (1,5% AcOH, 0.8% NaAc, 1 ppm NaN₃, 5 157 ppm LiBr). Error were calculated by doing standard deviation on three different integration on each 158 chromatogram and data plotted are the average value of those measurement. The pH (correction for 159 isotopic effect: pD=pH+0.4) were measured by use of a Mettler Toledo pH electrode.

160 In order to validate the PEO equivalent method for molar masses measurement, triple 161 detection SEC was performed. To do that, variation of refractive index according to concentration, 162 dn/dc, were measured using a differential refractometer Wyatt Optilab T-rEX. 1mg/mL 163 chitosan/oligochitosan solutions were prepared in degassed acetate buffer (AcOH 0.2M/0.15M 164 ammonium acetate; pH=4.5) and diluted at 80%, 60%, 40%, 20%, 10% (w/w). Refractive indexes 165 were measured according to solution concentration (658 nm, 0.5 mL/min). After that, 3mg/mL 166 chitosan/oligochitosan solutions were prepared in the acetate buffer from above. 100µL of solution 167 were filtrated on 0.45µm membrane CME filter and injected in the apparatus made of Tosoh TSK PW 168 2500 TSK PW 6000 coupled with a differential refractometer Wyatt Optilab T-rEX, multi angular 169 light scattering Wyatt DAWN HELEOS II, and UV cellule Fused Silica, laser λ =664 nm. Molar 170 masses (Mw, Mn and Đ) of chitosans and oligochitosans were determined taking in account the dn/dc

171 determined above. DPn were calculated according to the formula (1):

172 (1)
$$DPn = \frac{Mn}{DA*203+(1-DA)*162}$$

Chitoson	DA (%)		dn/dc	Mw (kg/mol)		Mn (kg/mol)		Dispersity		DPn	
Cintosan	Labeled	Measured		PEO/PEG equivalent	Triple detecti on	PEO equivalent	Triple detection	PEO/PEG equivalent	Triple detection	PEO/PEG equivalent	Triple detection
СН30	≥10	16 ± 2	0.1782 ± 0.0022	40 ± 4	44 ± 4,4	13 ± 1	21 ± 2	3 ± 0.3	2 ± 0.2	77 ± 6	125 ± 12
CH150	≥10	16±2	$\begin{array}{c} 0.1828 \pm \\ 0.0031 \end{array}$	163 ± 16	150 ± 15	96 ±10	$80\ \pm 8$	3 ± 0.3	2 ± 0.2	571 ± 60	476 ± 47
CH250	≥10	16±2	0.1893 ± 0.0012	227 ± 23	370 ± 37	200 ± 20	192 ± 19	1.9 ± 0.2	2 ± 0.2	1190 ± 120	1143 ± 113
СНҮ	≥10	20±2	0.1911 ± 0.0027	120 ± 12	240 ± 24	120 ± 12	170 ± 17	1.7 ± 0.2	1.6 ± 0.2	706 ± 70	1000 ± 100
CHDA1	≥1	1±1	$\begin{array}{c} 0.1980 \pm \\ 0.0009 \end{array}$	100 ± 10	150 ±15	62 ± 6	80 ± 8	2.1 ± 0.2	2 ± 0.2	383 ± 36	493 ± 30
Oligomer	Equivalent of NaNO ₂ /GlcN unit			Mw(g/mol)		Mn (g/mol)		Dispersity		DPn	
СН30	(0.4	0.1449 ± 0.0017	2,500 ± 250	2,750 ± 275	1,850 ± 185	2,500 ± 250	1.4 ± 0.1	1.1 ± 0.1	11 ± 1	15 ± 2
СН30	(0.2	0.1510 ± 0.0045	3,900 ± 390	4,150 ± 415	2,350 ± 235	3,500 ± 350	1.6 ± 0.2	1.2 ± 0.1	14 ± 1	21 ± 3
СН30	(0.1	$0,1539 \pm 0.0009$	7,950 ± 795	7,900 ± 790	$5,050 \pm 505$	8,100 ± 810	1.6 ± 0.2	1.2 ± 0.1	30 ± 3	48 ± 5
CH150	(0.4	$\begin{array}{c} 0.1439 \pm \\ 0.0038 \end{array}$	2,600 ± 260	2,800 ± 280	$\begin{array}{c} 1,850 \pm \\ 185 \end{array}$	2,500 ± 250	1.4 ± 0.1	1.1 ± 0.1	11 ± 1	15 ± 1
CH150	(0.2	$\begin{array}{c} 0.1576 \pm \\ 0.0007 \end{array}$	$\begin{array}{c} 4,\!400\pm\\ 440\end{array}$	3,450 ± 345	$\begin{array}{c} 2,850 \pm \\ 285 \end{array}$	3,000 ± 300	1.6 ± 0.2	1.2 ± 0.1	17 ± 2	18 ± 2
CH150	(0.1	$\begin{array}{c} 0.1512 \pm \\ 0.0015 \end{array}$	10,200 ± 1020	11,800 ± 1180	6,200 ± 620	$\begin{array}{c} 10,100\\ \pm \ 1010 \end{array}$	1.6 ± 0.2	1.2 ± 0.1	37 ± 3	60 ±6
CH250	(0.4	$\begin{array}{c} 0.1366 \pm \\ 0.0034 \end{array}$	2,600 ± 260	3,000 ± 300	1,850 ± 185	$2{,}500\pm\\250$	1.5 ± 0.2	1.1 ± 0.1	11 ± 1	15 ± 1
CH250	(0.2	$\begin{array}{c} 0.1423 \pm \\ 0.0027 \end{array}$	$\begin{array}{c} 4,200 \pm \\ 420 \end{array}$	3,800 ± 380	2,700 ± 270	$\begin{array}{c} 3,500 \pm \\ 350 \end{array}$	1.6 ± 0.2	1.2 ± 0.1	16 ± 2	21 ± 2
CH250	(0.1	0.1399 ±	13,600	17,400	7,600 \pm	13,900	1.8 ± 0.2	$1.2 \pm$	45 ± 5	83 ± 8

		0.0023	± 1360	± 1740	760	± 1390		0.1		
CHY240	0.4	$\begin{array}{c} 0.1377 \pm \\ 0.0008 \end{array}$	2,700 ± 270	3,100 ± 310	$\begin{array}{c} 1,850 \pm \\ 185 \end{array}$	2,500 ± 250	1.6 ± 0.2	1.2 ± 0.1	11 ± 1	15 ± 1
CHY240	0.2	$\begin{array}{c} 0.1423 \pm \\ 0.0009 \end{array}$	4,400 ± 440	5,300 ± 530	2,350 ± 235	4,350 ± 435	1.7 ± 0.2	1.2 ± 0.1	14 ± 1	22 ±2
CHY240	0.1	0.1363 ± 0.0019	$10,100 \\ \pm 101$	13,100 ± 131	$\begin{array}{c} 6,050 \pm \\ 605 \end{array}$	10,900 ± 1090	1.7 ± 0.2	1.2 ± 0.1	36 ± 3	64 ± 6

Table 1. DA, dn/dc, molar masses and dispersity of crude chitosan and chitosan oligomers obtained with
action of NaNO₂ during 3h at 50°C.

176 Toxicity and ecotoxicity of oligomers post dialysis (DP5 and DP10) were evaluated 177 according to acute tests on Daphnia magna (NF EN ISO 6341, 2012) performed by Eurofins. This 178 test aims at finding the concentration immobilizing 50% of the daphnia population after 24h and 48h 179 (CE50). A solution of $K_2Cr_2O_7$ CE50-24h = 0.94 mg/L (this value corresponds to standard between 180 0.60 mg/L and 2,1 mg/L according to NF EN ISO 6341 : 2012) is used as a reference substance. 181 Samples were prepared by dissolving oligomers in an ultra-pure water solution of anhydrous sodium 182 hydrogen carbonate NaHCO₃ (0.200 g/L), calcium chloride CaCl₂ (0.260 g/L), magnesium chloride 183 MgCl₂, 6 H₂O (0.148 g/L), anhydrous potassium sulfate K₂SO₄ (0.026 g/L). 100 mg/L solutions were 184 prepared and diluted as followed: 35%, 10%, 3.5%, and 1% (w/w). Tests were performed at 20 °C.

185

186 RESULTS AND DISCUSSION

Depolymerization using NaNO₂ was investigated from 5 different chitosans. 3 of them, obtained
from crustaceous, have the same DA (i.e. 16%) and only differ by their Mw: 30, 150 and 250 kg/mol.
They will be labeled as CH30, CH150 and CH250. The fourth chitosan, also obtained from
crustaceous and labeled CHDA1, has a Mw of 150 kg/mol with DA of 1%. The last studied chitosan,
labeled CHY, has a Mw of 240 kg/mol, DA of 20% and was provided from insects.

192 During the course of depolymerization reaction, molecular weight evolution was followed by 193 means of SEC using PEO/PEG standards. We have first decided to validate this method by also 194 assessing Mw values by triple detection SEC. It was shown that dn/dc values are smaller than those of respective crude chitosans (Table 1). Moreover, even if the dn/dc values are very close for any 195 196 oligomers of similar molar masses, this study shows that dn/dc values are different for all samples. 197 Therefore, it is very important to determine dn/dc before doing further analyses. Indeed, a small 198 variation of the dn/dc value has a significant impact on the molar masses obtained, specifically with 199 small objects.

Mw and Mn values for each chitosan oligomer, as well as the corresponding crude ones, are given in Table 1. It was especially shown that both methods afford rather close values when targeted DP below 20. Above this value and also for crude chitosans, SEC using PEO/PEG standards seems to underestimate the Mn values (25% lower than triple detection values). Nevertheless, this works aims at synthesizing COS oligomers with DP lower than 20. Thus we have decided to use SEC with PEO/PEG standards to monitor the Mn evolution for all chitosans.

206 At first, each chitosan was reacted with 0.2eq of NaNO₂ at room temperature (30 °C) and molar 207 masses decrease was followed over time (



Figure 1 a)). Mn of CH30, CH150, CH250 and CHY showed a similar trend, i.e. a very fast decrease during the first 30 min until approximately reaching 6,000 g/mol, then Mn slightly decreased until stabilization. It was shown that the reaction is immediate, the most important drop of molar masses occurs during the first minutes (



214 Figure 1 b)). The plateau was reached when either NaNO₂ was fully consumed or did not react 215 anymore. CHDA1 behaves slightly differently since molar masses decrease faster to reach 2,500 216 g/mol after 30 min. According to the mechanism (scheme 1), this faster decrease can be easily 217 explained by the fact that CHDA1 has more free amines available for reaction with NaNO₂, thus 218 leading to more glycosidic bond cleavages. Consequently, CHDA1 reaches a plateau after only 6 h, 219 whereas 24h are needed for the four other chitosans. The kinetics of the reaction also depends on 220 crude chitosan Mn: CH30 molar masses decreases faster than that of CH150 and CH250. This is 221 probably due to the higher viscosity for higher Mn chitosans in solution. It was also shown that 222 dispersity of the different chitosans decreases during the depolymerization process to reach 223 interesting values ranging between 1.3 and 1.6 (Figure 2).



Figure 1 a) Evolution of molar masses log (Mn) as a function of time for five different chitosans (1% w/w) with 0.2eq of

NaNO₂/NH₂ b) Evolution of molar masses as a function of time for CH30 with 0.2 eq of NaNO₂/NH₂ during the first 30 min







229

This reaction was carried out at room temperature, as already described in the literature²¹. Nevertheless, an increase of the temperature should impact the reaction kineticss but also may lead to reaction completion, until reaching lower molar masses COS, i.e. DP<20.

The reaction was carried out with CH150, with 0.2 eq of NaNO₂ at 4 different temperatures, i.e. 10, 30, 50 and 70°C (Figure 3). At 10°C, the reaction is not completed even after 24h. Indeed, at 237 low temperature, viscosity of crude chitosan solution is high, which therefore decreases the reaction 238 kinetics. Furthermore, NaNO2 could be consequently decomposed in nitrogen oxide by acetic acid 239 instead ²⁷. With a similar NaNO₂/NH₂ ratio, a temperature increase leads to faster depolymerization 240 reaction. As expected, the reaction kinetics proceeded very fast at 70°C, and lower Mn COS were 241 obtained. This could be explained by additional acidic depolymerization, due to the presence of acetic acid (pH 4,5)²⁸. When heated at 50°C, the plateau was reached after only 3h. The reaction was still 242 243 carried out for 24h to ensure that no further degradation occurred. Consequently, the following 244 experiments were carried out at 50°C during 3h.







248 Figure 3 Evolution of Mn vs time at different temperatures for the reaction of CH150 with 0.2eq of NaNO₂/NH₂

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Allan et al.²⁰ already showed that the resulting Mw of COS was directly related to the content of NaNO₂. Then CH30, CH150, CH250, CHDA1 and CHY were reacted at 50°C for 3h with different NaNO₂/NH₂ equivalents, and their Mn were assessed (Figure 4). First, we can note that the five chitosans behave similarly, i.e. the evolution of Mn changed at 0.2 eq. below this value, a strong Mn decrease was observed from crude chitosans to reach values above 2,500 g/mol, i.e. DP > 20. These 255COS can be purified by precipitation into a strong base to obtain COS with DP ranging between 30 to25645 at 0.1 eq NaNO₂/NH₂. Above 0.2 eq NaNO₂/NH₂, Mw COS slowly decreased by increasing257NaNO₂ content. Interestingly, the resulting COS showed DP ranging from 15 to 5 and were water258soluble (pH 5.5). Thus, as already demonstrated by Allan et al.²⁰, a defined number of equivalents of259NaNO₂/NH₂ allows obtaining COS with DP values from 5 to 20. Furthermore, this reaction is clearly260not affected neither by molar masses (between 30 kg/mol and 250 kg/mol), nor DA (between 1 and26120%) or origin of crude chitosans.



262

Figure 4. Evolution of Mn vs NaNO₂/NH₂ equivalents for the depolymerization of CH30, CH150, CH250, CHDA1 and CHY at
 50°C for 3h.

To check their stability over time, the resulting COS with DP ranging from 5 to 20 were analyzed by SEC after 6 months (Figure 5). No decrease was observed even after 6 months, which proved that no further degradation occurred. The 10% difference between the two molar masses was explained by the change of calibration curve and evolution of the column. Finally, COS obtained with 0.2 eq. of NaNO₂/NH₂ were analyzed by FTIR and compared to the corresponding crude chitosans (SI 6). The carbonyl band at 1650 cm⁻¹ is still visible in the oligomer spectra meaning that the acetylated units are still present. The similarity of both crude chitosan and oligomers by ¹H NMR
analysis proves that no sugar units degradation occurred, as expected (SI 4 & 5). As a matter of fact,
the DA is conserved during depolymerisation.

276 Figure 5 Evolution of Mn of oligochitosan CH30 with 0.4eq of NaNO₂/NH₂ from t0 to t0+6months

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278 Tømmeraas et al.²¹ showed that nitrous deamination leads to the formation of AMF chain end of COS 279 (reductive end), but also to the formation of free AMF (2,5-anhydro-D-mannose) when glyosidic bond 280 breakage occurs at the non reductive polymer chain-end. Furthermore, AMF is in equilibrium with the 281 corresponding gem diol. Both AMF chain-end and free AMF may unlikely react with amine groups of 282 chitosan and the obtained Schiff base will undergo formation of 5-hydroxymethyl-2-furfural (HMF) when 283 changing to acidic pH (Scheme 1 b). The presence of both AMF and HMF was first confirmed by 284 headspace analysis. The analysis was performed by gas chromatography with static headspace coupled to 285 a detection mass spectrometer (GC-MS). Once lyophilized, AMF, glucosamine and oligomers were 286 characterized. AMF emissions are mainly composed of nitrogen and oxygenated compounds. The main 287 signals from AMF are 18 and 19 (SI 7), which are identified as furfural and furanmethanol, respectively 288 and for other signals in general as furan derivatives. Those compounds are close to the real structure of 289 AMF. In the other way, COS mainly showed both nitrogen and oxygenated compound corresponding to

alcohol and amine functions of the sugar units and shows signals correlating with those from AMF, i.e.
mainly furan derivatives (peak 18, 19, 20, 21, 23, 24, 25, 27) (SI 8). However, no correlation between
glucosamine and COS emissions were noticed, meaning no D-glucosamine was left at the end of the
depolymerization process (SI 9).

294 Then, to assess the presence of those small molecules, 2D DOSY was performed (Figure 6). 295 This experiment allows differentiating the NMR signals of different species according to their 296 diffusion coefficient. In ideal case of non-overlapping component lines and no chemical exchange, 297 the 2D peaks align themselves along horizontal lines, each corresponding to one sample component. 298 This means that on the spectrum every signal belonging to the same molecule will appear on the same 299 line. Figure 6 a) shows 2D DOSY NMR spectrum of a 1600 g/mol COS obtained by nitrous 300 deamination of CH30 at 50°C (0.4eq of NaNO₂). Aldehyde signals are clearly highlighted, evidencing 301 the presence of both COS AMF chain-end and free AMF in equilibrium with the gem-diol form of 302 both products (4,5-5 ppm). These signals were then analyzed by ${}^{1}H{}^{-13}C$ 2D HSQC NMR (Figure 6 303 b)). The carbon signals near 180 ppm were specifically attributed to aldehydes function. Carbon 304 signals between 140 and 180 ppm correlating to proton signals between 7 and 8 ppm are 305 characteristic of imine groups. These imines resulted from the reaction between AMF (free or chain 306 end) and amine functions of CH30. Then, if the pH drops, free HMF can be obtained, as already 307 proved by Tømmeraas et al²¹.

a)

Figure 6 a) 2D DOSY NMR spectrum of a 1600 g/mol oligomer obtained from COS from CH30 + 0.4 eq NaNO₂/NH₂ (3h at
 50°C) b) ¹H-¹³C 2D HSQC NMR spectrum of the aldehyde zone

312 Consequently, the effect of pH on COS structure was investigated. COS was solubilized in 313 deuterated water at pH 5.5. Then, pH of the solution was either dropped to 2 with HCl or raised to 12 with 314 NaOH. ¹H NMR spectra were then recorded straight away at pH 2, 5.5 and 12 (Figure 7). At pH 5.5 both 315 aldehyde and imine functions are clearly visible at 8.3 and 7.9 ppm, respectively. At pH 2, the peak 316 corresponding to imine group totally disappeared, whereas the presence of HMF was characterized by the 317 furanic protons peaks (7.4 and 6.5 ppm) as well as by the aldehyde proton peak (9.3 ppm), in agreement with Tømmeraas et al.²¹ work. The aldehyde peaks characteristic of both AMF and COS AMF chain-end 318 319 were still visible (8.2 ppm). Intensity of both aldehyde peaks allows determining that 50% of aldehydes 320 were converted into free HMF. Finally, when increasing pH to 12, the aldehyde signal (8,1 ppm) only 321 remained and no imines were formed.

325 Figure 7 a) ¹H NMR spectra of COS from CH30 + 0.4 eq NaNO₂/NH₂ (1600 g/mol) recorded in D₂O at pH 12 (a), at pH 5.5 (b)

326 and at pH 2 (c)

Finally, COS were purified by dialysis during 24h against deionized water. COS were found to have the same chemical structure (¹H NMR SI 10) and a small increase (10%) in molar masses is visible due to elimination of smaller oligomers . Dialysis allows to extract not only unreacted NaNO₂ and CH₃CO₂Na but also free AMF and HMF. Furthermore, during COS dialysis, acetic acid was extracted, and then the pH increased. 2D DOSY NMR spectrum of COS was performed after dialysis (Figure 8) and almost no free aldehyde was observed, i.e. from AMF (<5%) (SI 10). After dialysis, ecotoxicity of 2 COS, i.e. DP 5 and 10, was evaluated. The experiment was reproduced 4 times on a population of 5 daphnia. It was shown that after 48h, the daphnia used for the test are still mobiles for DP5 and DP10 at concentration of 100 mg/L and below (Figure 9 & SI 11). Those results assess that DP5 and DP10 are not acute toxic/ecotoxic and their CE50-24h and CE50-48h are above 100 mg/L.

342

343

344 Figure 9. Mobility of daphnia after 48h against sample concentration

345

346 CONCLUSION

347 In this study, water soluble chitosan oligomers of different size (DP5 to DP20) were obtained 348 by nitrous deamination. The impact of the DA, the MW and the origin (marines and insects) of crude 349 chitosan was studied. The kinetics of the reaction was also studied. The ideal reaction parameters 350 were determined to be a 3h reaction at 50°C. It was shown that the method is reproducible and does 351 not depend on the chitosan nature. The relationship between the quantity of NaNO₂ and the DP of 352 oligomers was optimized for low DP of COS. It was shown that not only AMF end chains but also 353 free AMF was formed during the reaction. Those aldehydes react with the free amines of COS to 354 form imines. The pH dependency of the imine formation was underlined. It is feasible to reduce imine 355 concentration or avoid its formation by changing the pH. As well, dialysis allows decreasing the 356 content of free AMF that might react with the free amines of COS and reduces imine formation. At

357	the end, the purified oligomers show no acute toxicity or ecotoxicity, which make them suitable for
358	various interesting applications.
359	
360	
361	SUPPORTING INFORMATION
362 363 364 365 366 367 368 369 370 371 372 373	 ¹H NMR spectrum of D-(+)-Glucosamine hydrochloride ¹H NMR spectrum of AMF ¹H NMR spectrum of oligomer 1600 g/mol from CH30 + 0.4 eq NaNO₂/NH₂ ¹H NMR spectrum of crude chitosan CH30 ¹H NMR spectrum of chitosan oligomer from CH30 + 0.2 eq NaNO₂/NH₂ IR spectra of both chitosan CH30 and chitosan oligomers of 1,600 g/mol Chromatograms from headspace chromatography of AMF, glucosamine and chitosan oligomers and their respective attribution data. ¹H NMR spectrum of oligomer 1600 g/mol from CH30 + 0.4 eq NaNO₂/NH₂ post dialysis Number of daphnia mobiles for DP5 and DP10 at 100 mg/L after 48h and inhibition percentage against control sample
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379	Author Contributions
380	The manuscript was written through contributions of all authors. The experiment were performed
381	by C.C S.T. helped with triple detection chromatography. G.D. and M.DLF. helped with
382	headspace chromatography. C.C. and Gh.D. wrote the manuscript in-depth editing from C.N.,
383	S.C. and contributions from all authors. All authors have given approval to the final version of
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388 Notes

389 The authors declare no conflict of interest.

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393 ABBREVIATIONS

- 394 SEC: size exclusion chromatography; FTIR: Fourier transform infrared spectroscopy; DA:
- degree of *N*-acetylation; COS: chitooligosaccharides; AMF: 2,5 anhydromannofuranose HMF: 5-
- 396 hydroxymethyl-2-furfural; DP degree of polymerization
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