

# Noninvasive vascular occlusion with HIFU for venous insufficiency treatment: preclinical feasibility experience in rabbits

N Barnat, A Grisey, B Lecuelle, J. Anquez, B Gerold, S. Yon, J.-F Aubry

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- 1 Noninvasive vascular occlusion with HIFU for venous insufficiency treatment:
- 2 preclinical feasibility experience in rabbits

3 AUTHORS/INSTITUTIONS:

4 N. Barnat<sup>1, 2, 3, 4</sup>, A. Grisey<sup>4</sup>, B. Lecuelle<sup>5</sup>, J. Anquez<sup>4</sup>, B. Gerold<sup>4</sup>, S. Yon<sup>4</sup>, J.-F. Aubry<sup>1, 2, 3</sup>

- 5 1- INSERM U979, Institut Langevin, Paris, France;
- 6 2- ESPCI Paris, PSL Research University, Institut Langevin, Paris, France;
- 7 3- CNRS UMR 7587, Institut Langevin, Paris, France;
- 8 4- Theraclion, Malakoff, France;
- 9 5- Ecole nationale vétérinaire d'Alfort, CRBM, Maisons-Alfort, France
- 10

## 11 Abstract

Venous insufficiency is a common disease arising when veins of the lower limb become incompetent. A conventional surgical strategy consists in stripping the incompetent veins. However, this treatment option is invasive and carries complication risks. In the present study, we propose noninvasive high-intensity focused ultrasound (HIFU) to treat lower limbs venous insufficiency, in particular incompetent perforating veins (mean diameter between 2-6 mm).

Sonication parameters were designed by numerical simulations using the k-Wave toolbox to ensure continuous coagulation of a vein with a diameter superior or equal to 2 mm. The selected ultrasound exposures were 4 seconds pulses in continuous wave mode. Two types of sonication were studied: (1) fixed pulses and (2) moving pulses at constant speed (0.75 mm.s<sup>-1</sup>) across the vein.

The potential of these exposures to thermally occlude veins were investigated in vivo on rabbit saphenous veins. The impact of vein compression during ultrasonic exposure was also investigated.

Fifteen rabbits were used in these trials. A total of 27 saphenous veins (mean diameter  $2.0 \pm 0.6 \text{ mm}$ ) were sonicated with a transducer operated at 3 MHz. After a mean 15 days follow-

up, rabbits were euthanized and venous samples were extracted and sent for histologic
assessment. Only samples with the vein within the HIFU lesion were considered for analysis.
Simulated thermal damage distribution demonstrated that fixed pulses and moving pulses
respectively placed every 1.5 and 0.5 mm along the vein and delivered at an acoustic power
of 85 W and for 4 seconds were able to induce continuous thermal damages along the vein
segments.

Experimentally, both treatment parameters (1) and (2) have proven effective to occlude veins with a success rate of 82%. Occlusion was always observed when compression was applied. Our results demonstrate that HIFU can durably and non-invasively occlude veins of diameters comparable to human veins.

38 Keywords: HIFU; ultrasound; thermal occlusion; vascular occlusion; vein

# 39 Introduction

Venous insufficiency is a common medical condition arising when a high pressure is transmitted from deep to superficial venous system of the lower limbs. High-pressure transmission frequently occurs through retrograde flow. This elevated venous pressure causes the vein to become tortuous and dilated over time. Besides the unsightly appearance of varicosities, venous disease is associated with pain and clinical signs may vary from asymptomatic telangiectasia to achy ulcer [1]. The strategy to treat venous disease consists in ceasing reflux by eliminating the involved incompetent vein segment.

47 Conventional surgical techniques consist in removing the vein. The invasive nature of 48 surgery leads to the development of minimally invasive procedures such as radiofrequency 49 ablation [2] or endovenous laser ablation [3]. In both cases, after introducing a catheter into 50 the vein, thermal energy is delivered, in order to denature collagen and induce a shrinkage of 51 the vein [4,5].

Although these techniques proved their efficacy, they are not appropriate to treat all leg veins. In case of incompetent perforating veins, thermal ablation is appropriate only for large (>3.5 mm) perforators and may treat perforators only superficially [6]. Furthermore, treating

55 pathologic veins can be technically challenging and requires experienced physicians 56 especially for the insertion of the catheter in a deep or tortuous vein.

In this context, high-intensity focused ultrasound treatments (HIFU) could provide a noninvasive alternative to occlude incompetent veins.

Previous studies have demonstrated HIFU occlusion in blood vessels of small diameters only (range 0.5-1.5 mm) [7,8,17–21,9–16]. The occlusion of large vessels ( $\geq$ 2 mm) has not been reported to the best of our knowledge. We demonstrate here on a rabbit model that HIFU can occlude large veins ( $\geq$ 2mm), paving the way for a clinical treatment of venous insufficiency with focused ultrasound.

For this purpose, sonication strategies including placement and trajectory of pulses were first identified by numerical simulations and then evaluated on an *in vivo* model of rabbit veins (range: 1.1-3.7 mm).

# 67 Methods

### 68 Ultrasound equipment

Experiments were performed with the Echopulse® HIFU device (Theraclion, Malakoff, 69 70 France) used clinically for human breast fibroadenomas [22] and benign thyroid nodules [23] treatments (Figure 1(a)). The system encompasses a treatment head mounted on an 71 articulated arm which includes a single element piezocomposite therapy transducer and a 72 73 linear confocal imaging probe for real-time monitoring of the treatment (Figure 1(b)). The therapy transducer, operated at 3 MHz, has a spherical cap shape with a curvature radius of 74 75 38 mm and an outer diameter of 56 mm. The RF signal applied to the transducer comes from 76 a frequency generator (JJ&A Instruments, Model RFG-200T1) with an electric power ranging from 10 to 215 W. The ultrasound imaging probe working at a frequency of 6.2 MHz 77 (Vermon, Tours, France) was connected to an Aixplorer® ultrasound system (SuperSonic 78 79 Imagine, Aix-en-Provence, France) to guide treatments with B-mode images. By construction, the therapeutic focus is located within the imaging plane. A fluid-filled balloon 80

(EPack, Theraclion®, Malakoff, France) was fixed to the transducer to ensure acoustic coupling between the transducer and the target. The coupling liquid was circulated and cooled during all the procedure to avoid transducer overheating and skin burns. Cooling is ensured by built-in Peltier modules (Figure 1 (a)). A dedicated software implemented in MATLAB (MathWorks, Inc., Natick, Massachusetts, United States) was used to drive sonications.



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Figure 1: Ultrasound equipment: (a) Echopulse, (b) HIFU transducer.

### 89 Treatments simulation

Simulations were performed to adjust ultrasound exposures layout to adequately sonicate a vein of 2 cm in length and 2 mm in diameter. Two types of pulses were studied: (1) fixed pulses and (2) moving pulses at constant speed (0.75 mm.s<sup>-1</sup>) along a line orthogonal to the longitudinal axis of the vein. In both cases, we used 4-second sonications delivered in continuous wave mode at an acoustic power of 85 W (typical power used in clinics and in our experiments) and with the focus located at 15 mm under the skin. Acoustic pressure field, temperature rise and, ultimately, thermal damages extent were simulated. 97 Geometrical model

The modelled HIFU transducer has the same geometry than the therapy transducer described in the Ultrasound equipment section. The frame of reference of the transducer was defined as follows: the origin corresponds to the HIFU transducer focus. X is the axis of the imaging transducer and oriented along the left-to-right direction of the generated images. Z denotes the main ultrasound propagation axis, pointing towards the subject anatomy. Y results from the cross product of Z and X, for the frame (X, Y, Z) to be orthonormal and righthanded.

In the simulations, the vein was considered as collapsed and the blood flow abolished. Thus, the vein itself was not modelled. We assumed that the ultrasound beam propagates through 3 layers, from top to bottom: (1) the cooling liquid contained in the balloon fixed to the transducer; (2) the skin and (3) the muscle. Skin was modelled as a 2-mm thick layer. For illustration purposes, a 2D view of the geometrical model is shown in Figure 2.

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#### 113 Acoustic simulation

Acoustic simulations were performed using the k-Wave toolbox 1.2 [24]. The toolbox solves the system of coupled first-order partial differential equations comprising momentum conservation, mass conservation and pressure-density relation.

To solve the system of coupled acoustic equations, k-Wave uses a k-space pseudospectral 117 method where spatial gradients are computed using the Fourier collocation spectral method 118 119 [24]. Although the mathematical approach used in k-Wave is efficient, the 3D simulation of 120 the HIFU beam is costly in terms of memory. In our case, at 3 MHz and considering a domain of  $60 \times 60 \times 46$  mm, the simulation of the nonlinear propagation up to the fifth 121 harmonic with the minimum of two points per wavelength requires a grid of  $1.37 \times 10^9$  points. 122 Hence, to reduce memory requirements, we used a "layer by layer" approach [25]. The 123 computational grid is divided into finer and finer layers along the main propagation axis and 124 125 we simulated ultrasound propagation from the transducer to 3 mm beyond the focus. We respectively used one layer for the cooling liquid, one layer for the skin and five layers for 126 127 muscle tissue. Nonlinearities were modeled up to the fifth harmonic in the widest layer.

The size of the smallest layer was  $4 \times 4 \times 4$  mm (corresponding to  $8 \times 8 \times 8$  wavelengths), with a spatial grid step of 49.8  $\mu$ m. A perfectly matched layer was used to avoid reflections at the boundaries of the domain.

The Courant-Friedrichs-Lewy (CFL) number was set to 0.5 resulting in a smallest time stepof 14.8 ns. The pressure acoustic source term was computed as:

$$p^2 = \frac{2 P_{ac} \rho c_s}{S_{transducer}}$$

The cooling liquid, skin, fat and muscle tissue were modeled as homogenous media with theacoustic properties listed in

135

- 136 Tissue properties were assumed to remain constant for the full procedure period. Variability
- 137 of parameters with temperature was neglected for all simulations.
- 138

|   | Cooling liquid [26] | Superficial tissue | Muscle [27] |
|---|---------------------|--------------------|-------------|
|   |                     | (skin)[26]         |             |
| Sound speed (m.s <sup>-1</sup> )            | 1495                | 1547               | 1587        |
| Density (kg.m <sup>-3</sup> )               | 1000                | 1214               | 1041        |
| Attenuation at 3 MHz [dB.cm <sup>-1</sup> ] | 0                   | 2.2                | 3.0         |
| Acoustic non-linearity parameter            | 4.9                 | 9.0                | 7.8         |

Table 1: Acoustic properties of tissues used for simulations.

### 140 Thermal simulation

Tissue heating was modeled using the Pennes's bioheat equation [28]. The equation was solved in 3D using a first-order explicit Euler scheme in time and a centered scheme in space.

144 The time step was 50 ms and the spatial step was 200  $\mu$ m. The grid size was 38 ×38×29 145 mm.

The heat source term was computed as stated in [25]. The classic attenuation model (absorption + scattering) was considered, and thermal rise was generated by the absorbing component.

The tissue initial temperature was set to  $T_0 = 39.5$  °C which is the mean body temperature for 149 a rabbit [29] and Dirichlet boundary conditions were used with  $T_{boundaries} = T_0$ . We modelled 150 151 skin cooling as a heat flux at skin surface considering that the temperature of the cooling fluid was 10°C (value achieved during experiments) and the heat transfer coefficient between skin 152 and liquid was set to 320 W.m<sup>2</sup>.K<sup>-1</sup> based on previous experiments. To determine this value, 153 temperature of skin was first measured several times with a thermocouple slipped between 154 skin and the balloon in which circulated cooled liquid. Thereafter by assuming that heat flow 155 156 at skin is proportional to the difference of temperatures between skin and a characteristic temperature of the cooling liquid, the value of 320 W.m<sup>2</sup>.K<sup>-1</sup> has been assigned. As 157 experimentally, the cooling time between subsequent sonications was set to 15 seconds. 158

- 159 The tissue properties used in thermal simulations are listed in Table 2 and were assumed
- 160 invariant with temperature in simulations.

|   | Muscle[30] |
|---|------------|
| Specific heat capacity (J.kg <sup>-1</sup> .K <sup>-1</sup> ) | 3600       |
| Thermal conductivity (W.m <sup>-1</sup> .K <sup>-1</sup> )    | 0.47       |
| Perfusion (s <sup>-1</sup> )                                  | 0.018      |

Table 2: thermal properties of media used in thermal simulation.

#### 162 Thermal damage

163 To achieve vessel sealing, sufficient thermal damage shall be induced to the vessel wall.

164 Modelling of vascular thermal damage [31] suggests that vessel thermal damage correlates

165 with the denaturation of collagen fibrils, which begins at 62°C [32].

In this study, extent of thermal damage to vessels was evaluated based on a dedicatedthermal damage model.

168 To assess the level of damage induced to vessels, we implemented the model described by

Agah [31]. By considering denaturation of collagen as a first-order reaction, damage was

170 modelled using a general Arrhenius equation:

$$\Omega(t) = \ln\left(\frac{c(0)}{c(\tau)}\right) = A_f \int_0^\tau \exp\left(\frac{-E_a}{RT(t)}\right) dt$$

### 171 Where:

172  $\Omega$  (t) is the damage parameter. c(0),  $c(\tau)$  are the concentrations of the undamaged 173 molecules at the beginning and at time  $\tau$  respectively.  $A_f$  is a coefficient of rate process 174 (frequency factor),  $E_a$  the activation energy, R the universal gas constant, and T the 175 temperature.  $A_f$  and  $E_a$  were set to  $A_f = 5.6 \times 10^{63} \text{s}^{-1}$  and  $E_a = 430 \text{ kJ.mol}^{-1}$  according to 176 [31].

Degree of vessel damage is appraised by  $\Omega$  (t), where  $\Omega$  (t) = 1 defines the first noticeable irreversible damage and formally corresponds to the denaturation of 63% of the native proteins [31]. As we are looking for an extensive damage to the vein, we set the threshold for thermal damage to  $\Omega$  (t) = 5, which formally corresponds to the denaturation of 99% of the collagen.

#### 182 Animals

All animal work was approved by the institutional ethics committee for animal experiments 183 (agreement number CE16/2016082512126208). Experiments were carried out according to 184 185 the guidelines of the French National Comity for animal trials C.N.R.E.E.A (Comité national de réflexion éthique sur l'expérimentation animale). New-Zealand white rabbits (n=15), 5 186 males and 10 females, 2 to 4 months  $(3 \pm 1)$  old and weighing 2.7 to 4.5 kg  $(3.5 \pm 0.5)$ , were 187 used for the experiments. HIFU exposures were applied on medial saphenous veins. Prior to 188 189 sonications, the animals were anesthetized intramuscularly with a mixture of Ketamine (0.33 mg/kg), Domitor (0.5 mg/kg) and Valium (0.17 mg/kg). They were then intubated with an 190 endotracheal tube and ventilated with isoflurane to be kept under anesthesia throughout the 191 procedure. The fur of their hind limbs was shaved with a hair trimmer and then carefully 192 193 depilated with a depilatory cream to ensure good acoustic coupling and prevent skin burns.

### 194 HIFU procedure

Before the procedure, the rabbit was placed on the table in supine position to access the targeted vein. Ultrasonic gel (Polysonic Parker Laboratories, Inc., Fairfield, NJ) was applied to the area to be treated to ensure appropriate coupling. B-mode ultrasound and color Doppler imaging were used to position the focus on the vein.

199 27 medial saphenous veins (average diameter of 2 mm) were exposed to HIFU.

200 Blood flow is known to hamper thermal therapies by cooling down the vein wall [33–37]. 201 Therefore, several configurations based on previous simulations were tested during the HIFU 202 treatments: (1) 5 veins were treated with multiple pulses (median 1.5 mm spacing) distributed along the target vein (Figure 3A)); (2) 14 veins underwent the same treatment protocol than 203 204 the one used in configuration (1) but the hind limb was elevated to about 25 degrees from the 205 horizontal to diminish blood flow (Figure 3 B)); and (3) 8 veins were sonicated with moving pulses (0.75 mm.s<sup>-1</sup> along a 3-mm line transecting the vein) (Erreur ! Source du renvoi 206 introuvable.) and were mechanically compressed by pressing a finger on the groin during 207 exposures to stop blood flow and minimize its heat sink effect. Rear limbs elevation empty 208

- veins of their intraluminal blood and induce venous spasm (illustrated in **Erreur ! Source du renvoi introuvable.** for configurations (2) and (3)). The average diameter of the vein after proximal compression was of 1 mm. According to simulations, for configuration 3, the spacing between moving pulses was reduced to 0.5 mm to account for the smaller lesion size in the longitudinal direction (Figure 3C)
- Thus, the number of sonications was increased to sonicate the same length of 2 cm.



Figure 3: Schema illustrating dispositions of HIFU exposures. A), B) and C) stand for configurations (1), (2) and (3) respectively.

For all cases, HIFU sonications were applied for 4 seconds and at an acoustic power of  $83 \pm$ 10 W (obtained by multiplying electric power by the 70% efficiency of the transducer). The corresponding spatial-peak intensity (linear extrapolation from low power hydrophone measurement in water is 28 kW.cm<sup>-2</sup>. A minimum time period of 15 s was set between two sonications to allow the skin to cool down. Experimental protocols are summarized in Table 3.

| Experimental protocol ID     | 1                            | 2                            | 3  |
|------------------------------|------------------------------|------------------------------|--|
| Number of HIFU exposed veins | 5                            | 14                           | 8  |
| Sonication types             | Fixed pulses 1.5 mm<br>apart | Fixed pulses 1.5 mm<br>apart | Linear track with sites 0.5 mm apart<br>Hind limb elevated |

|  | Hind limb elevated | Vein compressed |
|--|--------------------|-----------------|
|  |                    |                 |
|  |                    |                 |
|  |                    |                 |

#### Table 3: Experimental conditions

### Histological protocol

After the experiments, the rabbits were recovered from anesthesia and were monitored for at least 15 days after treatment, to assess the sustainability of the effects of HIFU exposures on veins. Animals were then euthanized by intravenous injection of Pentobarbital (1 mL/kg).

The day of euthanasia, the animals underwent a *post mortem* dissection and the targeted vessel was harvested. In some cases, the perivenous conjunctive tissue was adherent and the vein could not be separated. In such cases, the vein was sent for analysis with the attached perivenous tissue.

The proximal tip of each venous section was sutured, preserved in identified cassettes, fixed in 4% formalin and sent for histologic examination. Samples were then cross-sectioned into 3 subsections and included in paraffin. 4-µm slices were cut and stained with haematoxylineosin (HE) for evaluation. Each section was evaluated without knowledge of the detailed treatment status.

Excision of the proper vein segment is difficult since other veins branch in the vicinity of the target. Therefore, samples were taken into account only when a vein was present on the slide and was located within the HIFU lesion.

A venous sample from untreated region was also extracted and served as a control.

242 Changes were graded as: normal vein (0), reactive endothelium (1), myoendothelial 243 proliferation (2), acute fibrinous thrombi (3) and thrombus organization (4).

# 244 Results

- Numerical model
- 246 Ultrasound pressure field
- 247 Figure 4 shows the rms acoustic pressure field profile in the YZ plane simulated with the
- <sup>248</sup> "layer by layer" approach. Simulations were ran at 3 MHz and at an acoustic power of 85 W.



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Figure 4: Simulated rms pressure field of the geometrical model in the YZ plane simulated.

251 Pressure is expressed in MPa. Yellow rectangles represent the limits of the subsequent

layers.

252

#### 253 Thermal damages

- Temperature elevation at the expected location of the upper vein wall (2 mm above the focal
- point centered on the lower vein wall) is plotted on Figure 5 for configurations (2) and (3).



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Figure 5: Simulated temperature elevation at the location of the vein wall during 4 s sonification followed by 15 s cooling for unitary fixed pulse (solid line) and moving pulse (dash line).

For unitary fixed and moving pulse, the extent of the coagulated zone as defined using the thermal damage model was 1.2 mm and 0.6 mm along the vein respectively and 1.8 mm and 2.7 mm in the orthogonal direction to the vein respectively.

To induce homogeneous and extensive heating of a vein 2 cm in length and 2 mm in diameter, we considered: (1) for fixed pulses, 13 sonications with a spacing of 1.5 mm and (2) for moving pulses, 37 sonications moving along the cross sectional axis with a spacing of 0.5 mm along the longitudinal axis of the vein.

Figure 6 and Figure 7 show the results of thermal damage distribution for such treatments: (1) 13 fixed pulses and (2) 37 moving pulses respectively. An iso-contour representing the area corresponding to denaturation of 99% of native proteins is displayed.

270 Distribution of thermal damage simulations are displayed using maximum intensity271 projections.

Figure 6: Maximum intensity projected damage map at the end of a treatment comprising thirteen 4-s fixed pulses delivered 1.5 mm apart at an acoustic power of 85 W and with the focus located at 15 mm under skin. Iso-contour corresponding to: the thermal damage where 99% of native proteins denature is displayed in black.

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Figure 7: Projected damage map at the end of a treatment comprising 37 4-s pulses during which the focus was moved at 0.75 mm.s<sup>-1</sup>. Sonications were delivered at an acoustic power of 85 W, with a spacing of 0.5 mm and with the focus located at 15 mm under skin. Isocontour corresponding thermal damage where 99% of native proteins denature is displayed in black.

- 283 To quantify the impact of the trajectory, attention has been given to the extent of the region
- 284 corresponding to protein denaturation.
- 285 Damaged zones in the XY plane of the vein for treatment layouts (1) and (2) are reported in
- 286 Table 4.

| Treatments ID | Damaged zone               | Lesion area          |
|---------------|----------------------------|----------------------|
| (1)           | 19.2 × 2.4 mm²             | 42.8 mm <sup>2</sup> |
| (2)           | 19.2 × 4.2 mm <sup>2</sup> | 72.0 mm <sup>2</sup> |

Table 4: Simulated results in terms of damaged areas for treatment plannings (1) and (2)

After HIFU exposures, vessel damage can be observed through vessel shrinkage as illustrated in the following ultrasound image (Figure 8).



290

291

Figure 8: Acute vascular shrinkage following HIFU pulses

### Histological findings

293 Clinical follow-up of the animals showed that recovery after HIFU treatments were well 294 tolerated. All animals survived until the day of euthanasia and no abnormal behavior was 295 noted postoperatively. All animals continued their normal pattern of feeding.

As mentioned in Histological protocol, only samples satisfying acceptance criterion were considered in the study. In total and excluding the control sample, 17 samples (63%) were taken into account in this

| 299 | study. Histologica | I results are reported in Table 5. |
|-----|--------------------|------------------------------------|
|-----|--------------------|------------------------------------|

| Experimental protocol ID   | 1                            | 2  | 3   |
|----------------------------|------------------------------|--|---|
| Number of accepted samples | 1                            | 10   | 6   |
| Sonication types           | Fixed pulses 1.5 mm<br>apart | Fixed pulses 1.5 mm<br>apart<br>Hind limb elevated | Linear track with sites 0.5 mm apart<br>Hind limb elevated<br>Vein compressed |
| Follow-up duration         | 15 days                      | 15 days  | 13 days   |
| Occlusion score            | <b>0</b> : 1/1               | 0: 2/10<br>3: 1/10<br>4: 7/10                      | <b>3</b> : 3/6<br><b>3</b> : 3/6  |
|                            |                              |  |   |

300

Table 5: Results of vessel occlusion for each batch

The follow-up duration indicated in Table 5 corresponds to the median value. It is however necessary to emphasize that follow-up duration extended from 7 days for the shortest one to 19 days for the longest one due to the availability of the animal facility.

For all samples treated with fixed pulses and with a score superior or equal to 3, histological findings revealed a thrombo-vasculitis of the saphenous vein characterized by a transmural thickening and collapse of the lumen. Fibrinous thrombi were also present. These lesions are irreversible in nature or expected to be irreversible (as assessed by the histopathologist) for the samples with a follow-up duration inferior to 13 days. Lesions were expected to evolve towards the formation of large fibrotic scars incorporating saphenous veins. Figure 9 shows illustrative HE stained histologic cross sections.



Figure 9: HE sections (magnification 5×) of representative saphenous veins (green rectangles) showing a transmural thickening and collapse of their lumens. The sections (a) and (b) respectively correspond to veins treated according to protocols (2) and (3).

The lesions observed on samples sonicated with moving pulses were histologically identical to those treated with fixed pulses. However, variability in the severity of the lesions was observed between samples. The majority (73%) of samples treated with fixed pulses showed a severe thrombo-vasculitis while lesions were evenly distributed between severe and moderate for samples sonicated with moving pulses.

# 320 Discussion

The feasibility of inducing sustainable vascular occlusion with HIFU thermal effects was demonstrated since histology reported 82% of vascular occlusion. Occlusion was successful in all cases where compression was applied.

Histological analysis systematically showed severe lesions for samples which underwent fixed point-by-point sonications and moderate lesions for half of the ones that were treated

by moving pulses. With moving pulses, the energy delivered is more spread and results inless severe lesions.

However, vein samples that showed no occlusion were treated with fixed pulses. According to simulations in the orthogonal direction to the vein diameter, coagulated zone after fixed pulses is barely enough to cover the vein. Fixed pulses are effective when the vein wall is completely encompassed in the lesion.

After fixed pulses, the coagulated zone is 2.4 mm in diameter and does not cover the whole circumference of all targeted veins (diameter range between [1.1 - 3.7 mm].

Conversely, moving pulses cover an area 1.8 times wider which reliably damage the whole circumference of all the veins. However, treatment with moving pulses required nearly three times more sonications than treatment with fixed pulses to treat a same vein segment.

The lack of symmetry observed in the figure 5 resulted from heat accumulation effect. As sonications are delivered, thermal energy deposited by previous pulse is added to energy deposition of current pulse. There is therefore an overlap in heat accumulation between successive pulses.

Although temperature dependence of ultrasound parameters has been demonstrated experimentally [38,39,48,49,40–47], constant acoustic parameters were used in the simulation as done by other teams[50–53]

In this study, we did not investigate focus movement speeds higher than 0.75 mm.s<sup>-1</sup> but further work may be performed to assess the highest focus speed that can lead to both a fast and an effective occlusion.

Considering the good correlation between simulations and histologic findings, the model used to assess thermal damage in the vein, based on the denaturation of collagen, appears to be consistent. This is supported by the study conducted by Fujiwara et al [54] where vessel occlusion was observed when peak temperature of the insonated sample hit 98°C but not at 47°C or 54°C.

Area corresponding to denaturation of 99% of native proteins correlates with the region where temperature reached 85°C. This temperature is also the level recommended by radiofrequency closure ablation to seal veins.

355 By heating homogeneously the intima to 85°C, we would mostly likely achieve total 356 coagulation of the vein wall.

In our study, the three occlusion-free samples correspond to treatments without compression. We hypothesize that blood flow could have acted as a heat sink which prevented the temperature elevation of the vein wall from reaching sufficient levels. Compression should be considered for future clinical treatments as it helps to abolish blood flow and to decrease lumen area.

The high temperature elevations obtained in the simulations indicate that in our study, 362 thermal effects of HIFU are expected to play a major role in our venous occlusions. Thermal 363 mechanism compares favorably to the use of purely mechanical occlusion effects as 364 reported by Hwang et al [55], which lead to a recanalization of the veins after the treatment. 365 366 Hwang et al [55] used pulsed HIFU exposures with a low duty cycle in synergy with ultrasound contrast agent. The treatment was effective but could not maintain rabbit auricular 367 vein occlusion over 14 days. With similar pulsing regime (9 MPa peak rarefaction pressure, 368 1-Hz pulse repetition frequency), Zhou et al [15] demonstrated a sustainable occlusion with 369 370 mechanical effects of HIFU but only by injecting pro-inflammatory agents corresponding to 371 the detergents found in sclerosing agents [56].

Groups who used ultrasound alone reported a temporary occlusion that did not exceed one week after treatments [8,54,57]. Notwithstanding that they have demonstrated occlusion in small veins (<1.5 mm), no long-term occlusion was proved.

Our exposure ultrasound parameters without additives were sufficient to induce venous closure. To date and to the best of our knowledge, no other study reported noninvasive permanent occlusion on veins of similar size, with the use of thermal effects of HIFU.

# 378 Conclusion

- In this study, two sonication strategies have been identified and evaluated in a rabbit model.
- *In vivo* experiments have demonstrated that thermal ablation with HIFU can induce permanent sealing of veins of up to 3.7 mm [1.1-3.7 mm] in diameter.
- 382 HIFU is thereby a promising alternative to treat incompetent perforating veins. The treatment
- 383 parameters used in this study provide insights for subsequent clinical trials.
- 384 Vein compression was identified as a key factor contributing to successful vascular occlusion
- since it avoided the cooling effect of the blood flow.
- Future work should demonstrate the ability to coagulate larger veins (in a larger animal model) similar to the great saphenous vein and its tributaries.

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