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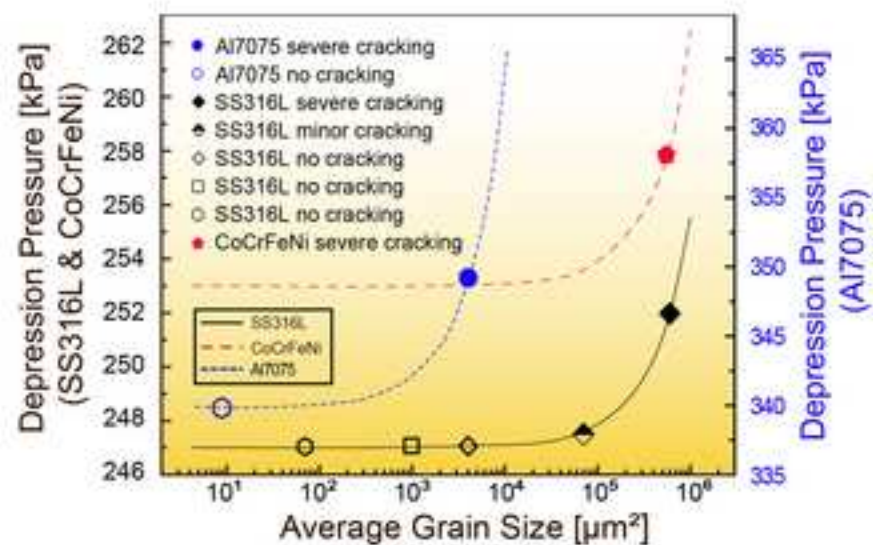
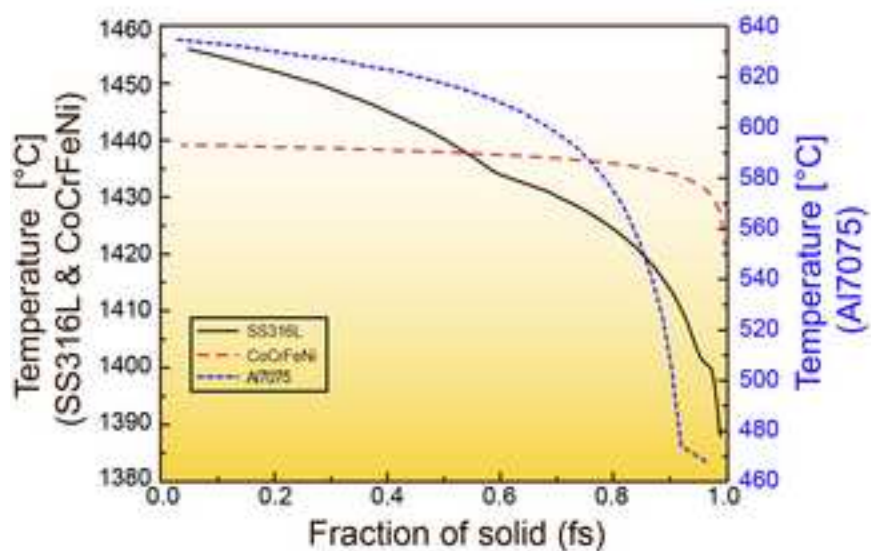
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Solidification Cracking Propensity for Selective Laser Melting



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Revealing hot tearing mechanism for an additively manufactured high-entropy alloy via selective laser melting

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Abstract

Hot tearing mechanisms of a high-entropy alloy CoCrFeNi additively manufactured by selective laser melting have been investigated. Intergranular solidification cracks are present regardless of various parameters used, suggesting poor laser-based printability for the alloy. Elemental segregation does not exist at the grain boundary that favours the solidification cracking. We find that severe residual stress induced by the large grain size is the root cause for the intergranular cracking. The classic Rappaz-Drezet-Gremaud model is used to predict the characteristic depression pressure limit beyond which hot tearing will occur for the selective laser melting of metals and alloys.

Keywords: additive manufacturing; high-entropy alloy; laser deposition; hot tearing; solidification cracking

Belonging to the category of powder-bed fusion techniques for metal additive manufacturing (AM), Selective laser melting (SLM) has the unique advantages of producing parts with refined surface finish, having a wide material library and fabricating complex geometries [1]. Besides these benefits, it is also proven recently to have the potential of manufacturing components with superior mechanical properties as compared to its conventional counterparts [2-4]. However, despite the continuous efforts worldwide, feedstock used in SLM are predominantly comprised commercial engineering materials [1]. Material development catered to metal AM is still limited which has inevitably hindered its industrial applications. Therefore, it is imperative to deploy new metals and alloys for SLM process. High-entropy alloy (HEA) is a relatively new class of alloys which normally has multiple principal elements, usually 5 or more, in equimolar or near-equimolar ratios [5, 6].

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Some HEAs have shown exceptional properties for cryogenic toughness [7] and strength-ductility combination [8]. CoCrFeNi-based alloys have been one of the most widely researched HEA series [9-12]. Hence, it is of great interest for both academy and industry to achieve high-quality printing of HEA materials via SLM.

Some CoCrFeNi based HEAs have been printed using SLM process [13-16]. However, it was found that under normal processing conditions, SLM-built CoCrFeNi exhibits poor tensile properties (e.g. ultimate tensile strength (UTS) is ~480 MPa and elongation is only ~ 8%) [13]. Carbon additions improved its UTS to ~800 MPa but the elongation was still below 15 %, as compared to the cast sample with an elongation of ~80% [9, 14]. For SLM-processed CoCrFeNiMn, hot isostatic pressing (HIP) was adopted to improve the densification [16]. All these suggest that HEAs might be susceptible to hot tearing or other build defects which was not paid enough attention by the previous studies. Lately, hot tearing phenomenon has increasingly been observed in metal AM. Though, most of the cases are confined to superalloys [17-19]. It is primarily due to the segregation induced precipitation and/or liquid film formation. Measures such as composition modifications and nano-particle additions were conducted to minimize the cracking [17, 20]. However, it is still unclear (i) to what extent hot tearing happens in SLM-built HEA, and (ii) what causes it to happen since HEA usually has single-phase microstructure. Both above-mentioned questions are critical towards improving the printability of HEAs in metal AM [21].

Gas atomized pre-alloyed equimolar CoCrFeNi powder (~20 to 63 μm) was used on SLM 250 HL system (SLM Solutions, Germany). To investigate the three-dimensional (3D) printability of the material, a wide range of processing parameters were tested. Firstly, by keeping the laser power constant, energy density was varied from 50 to 180 J/mm^3 . 60 samples with various processing parameters were built. None of the samples has a relative build density higher than 99%. The optimal process parameter set holds a laser power of 150 W, hatch spacing of 100 μm , scanning speed of 270 mm/s and layer thickness of 50 μm . It generated a relative density of 98.7%. Secondly, two different scanning strategies, namely chessboard and stripe/bi-directional (illustrated in Fig. 1), with varying scanning lengths were deployed. For chessboard scanning strategy, laser scanning takes place randomly within the chessboard to minimize the residual stress. The representative SLM-built CoCrFeNi “C” sample (by chessboard scan) and “S” sample (by stripe scan) each has a strip size of 2.5 mm and 2 mm respectively.

Standard metallographic specimen preparation procedures for SLM-built stainless steel 316L (SS316L) were used on the HEA samples [22]. Optical microscopy (OM) and

1 scanning electron microscopy (SEM) were carried out on Zeiss Axioskop 2 Mat and JEOL
2 7600F, respectively. Electron backscatter diffraction (EBSD) was performed on the
3 AZtecHKL Oxford system with a step size of 3 μm . The misorientation analysis was
4 conducted via the MTEX software [23]. Tensile coupons were machined via electron
5 discharge machining (EDM) to a gauge length of 10 mm and a cross section of 3 x 2 mm^2 .
6 The tensile testing was conducted on an Instron static tester (series 5569) with a strain rate of
7 $1 \times 10^4 \text{ s}^{-1}$. Contact based extensometer was used for the test. At least 3 sets of tests were
8 conducted for each scanning strategy. Micro computed tomography ($\mu\text{-CT}$) images was
9 acquired using the Skyscan 1173 system (Bruker, Kontich, Belgium). A high voltage of 130
10 kV was used to achieve a resolution of $\sim 6.4 \mu\text{m}$. Atom probe tomography (APT) analysis was
11 performed by LEAP 3000X HR (CAMECA, Gennevilliers, France). Focused ion beam (FIB)
12 on a FEI Helios dual-beam was used for sample lift-out. The micro-tips with an end radius of
13 $\sim 50 \text{ nm}$ were prepared using the annular milling method. Pandat software package and
14 existing solidification data were used to generate the solidification paths of stainless steel
15 316L (SS316L), CoCrFeNi and Al7075 [20,24-25].

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27 Fig. 1(a) and 1(b) display the cross-section images of the C and S samples along the
28 build direction. The presence of cracks can be clearly noted. To reveal the detailed grain
29 morphology, large-area EBSD scans with respect to the build direction were carried out. It is
30 noted that the grain size of SLM-built CoCrFeNi samples is extraordinarily large, and
31 columnar grains can epitaxially grow up to $\sim 3 \text{ mm}$ in length and $\sim 200 \mu\text{m}$ in width. In
32 comparison, SLM process typically generates a grain length of less than 0.1 mm for
33 AlSi10Mg [26], and $\sim 0.2 \text{ mm}$ for SS316L, Inconel 625 and CoCrMo [4, 27, 28]. One close
34 match is pure molybdenum with a grain length of $\sim 2 \text{ mm}$, which also suffered from extensive
35 intergranular cracking [29]. As known, lacking alloying content for grain nucleation will
36 cause formation of big-sized grains in metals and alloys [30]. Thus, both CoCrFeNi and pure
37 molybdenum might undergo limited constitutional undercooling during rapid solidification of
38 AM process which leads to large columnar grains. Moreover, we found that epitaxial grain
39 growth was broken down in the periphery of the lack-of-fusion cracks. However, small
40 spherical pores circled in red have little influence on the grain growth. As observed by Leung
41 et al. that spherical pores were mainly formed at the last stage of solidification due to the
42 reduction of gas solubility as molten metals cooled down [31]. Therefore, epitaxial columnar
43 grain growth is unlikely to be affected. Both samples exhibit a strong $\langle 011 \rangle$ crystallographic
44 texture. By comparing the OM and EBSD images, ~ 70 cracks are randomly selected for
45 misorientation computation. It is found out that all cracks are intergranular in nature and
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1 majority of the adjacent grains' misorientations lies in between 40° to 50° (Supplementary
2 Fig. 1).

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4 Tensile testing results show that the yield strengths (Y_s) are 556.7 ± 23.6 and $572 \pm$
5 7.48 MPa, the UTSs are 676.7 ± 20.5 and 691 ± 15.9 MPa, and the elongations are $12.4 \pm 2.1\%$
6 and $17.9 \pm 0.9\%$ for C and S samples respectively, which has shown to be superior to the
7 previous work with the same layer thickness [13]. Moreover, S samples performed better
8 with smaller deviations in terms of strength and ductility in comparison with C samples.
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11 To elucidate the reasoning for the different mechanical performance of C and S
12 samples, μ -CT data and the corresponding cross-sectional OM images are shown in Fig. 2(a)
13 to 2(b). With the same scanning parameters but a different scanning strategy, C sample
14 contains more cracks/pores compared to S sample. Moreover, for S sample, the cracks are
15 randomly distributed which is a common phenomenon for metal AM fabricated parts [32].
16 However, for C sample, the cracks align themselves along the build direction. This
17 phenomenon is validated in the OM images with the aligned cracks are circled in red. It is
18 worth noting that the chimney-like cracks were only present under the chessboard scanning
19 strategy with stripe sizes of 1.25 and 2.5 mm. It does not appear under the stripe scanning
20 strategy (stripe sizes from 0.5 mm to 10 mm) nor the chessboard scanning strategy with a
21 stripe size of 5 mm. For tensile fracture surfaces shown in Fig. 2(c) and 2(d), more elongated
22 cracks are detected in C sample and they are believed to cause its property worsening and
23 deviation. Hot tearing/solidification cracks are observed in both samples (Fig. 2(e) and 2(f))
24 showing typical protrusions with smooth surfaces [19].
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28 It is reported that chemical heterogeneity at the high angle grain boundary helps to
29 maintain the liquid film at the end of solidification, which causes solidification cracking of
30 metal AM parts [19]. Elemental distribution in 3D form at atomic level was specifically
31 conducted by means of APT technique near an intergranular crack as shown in Fig. 3(a). The
32 APT tip specimen with a radius of ~ 50 nm was analysed (Fig. 3(b)). Fig. 3(c) reveals that
33 distribution of the 4 constituting elements is uniform and their atomic ratios remain to be
34 consistent. Obviously, no elemental segregation is seen at the intergranular crack. Thus, it is
35 suggested that the solidification cracking could not be attributed to elemental segregation for
36 this HEA.
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39 Fig. 4(a) schematically illustrates a hot tearing mechanism in SLM process. As the
40 liquid metal solidifies after the laser melting for each layer, cellular structures grow towards
41 different directions within each grain. Residual stress ascribed to thermal shrinkage acts as a
42 tension force perpendicular to the grain boundary. The unsolidified semi-solid metal tends to
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fill up any open channel via gravity/capillary force, known as liquid feeding [33]. It is known that cracking susceptibility is related to the grain size for welding [34]. During solidification, grain boundaries are the weak “linkages” within the solid due to incoherent atomic packing. Therefore, under a uniform tensile force, fine-grained microstructure provides more grain boundaries to share the load and cracking is less likely to occur. Given the large grain size of SLM-built CoCrFeNi, there are fewer grain boundaries to withstand the residual stress. To assess the residual stress in the as-built CoCrFeNi samples, TEM studies were carried out. Fig. 4(b) shows a cellular structure in the <1-10> zone axis. The cell boundaries are marked by white arrows. Previously reported SLM-built cellular structures typically have a clean interior surrounded by dislocation cell boundaries [2]. However, the present as-built CoCrFeNi sample has dense entangled dislocations within the cell. It suggests that the SLM-built CoCrFeNi is under a high level of residual stresses.

To quantify the effect of grain size towards the hot tearing for the SLM processing of HEA, the Rappaz-Drezet-Gremaud (RDG) criterion is employed as it has been proven to be one of the most effective models in cracking susceptibility prediction [35]. The original RDG model considers the depression pressure Δp_{max} within the mushy zone induced by both solidification shrinkage (Δp_{sh}) and mechanical strain (Δp_{ϵ}) [36]:

$$\Delta p_{max} = \Delta p_{sh} + \Delta p_{\epsilon} = \frac{180(1+\beta)\mu}{\lambda^2 G} \int_{T_S}^{T_L} \frac{E(T)f_s(T)^2}{(1-f_s(T))^3} dT + \frac{180v_T\beta\mu}{\lambda^2 G} \int_{T_S}^{T_L} \frac{f_s(T)^2}{(1-f_s(T))^2} dT \quad (1)$$

with

$$E(T) = \frac{1}{G} \int f_s(T) \dot{\epsilon}(T) dT,$$

whereas G is the thermal gradient, β is the shrinkage factor, μ is the dynamic viscosity, λ is the characteristic microstructural length, taken as the cellular structure width here, v_T is the solid-liquid front growth velocity, $f_s(T)$ is the solid fraction, $\dot{\epsilon}(T)$ is the strain rate, $E(T)$ is the elongation and T_L is the liquidus temperature. T_S is the coherency temperature, below this value, liquid feeding problem becomes trivial as liquid film breaks down into isolated drops [36]. Though the RDG model was built without taking the grain boundary effects into consideration, Wang et al. successfully used it to explain the effect of grain boundary angle towards the laser-welding cracking susceptibility [37]. Therefore, the present study aims to establish a new set of characteristic depression pressure values Δp_{max} specifically for metal AM.

1 Unlike casting and welding, SLM involves an extremely high thermal gradient of
2 $\sim 10^6$ K/m [26]. It makes the characteristic depression pressure Δp_{max} significantly higher
3 compared to the conventional values. Like the original calculations made by the RDG model,
4 the strain rate $\dot{\epsilon}$ is assumed to be a constant value of 10^{-2} s $^{-1}$ [36,38]. Due to the different
5 nucleation tendencies and existing experimental data, it is assumed that for a cross-section
6 normal to the build direction, the largest average grain size can be obtained for SLM-built
7 CoCrFeNi, SS316L and Al7075 are 10^6 , 10^6 , and 10^4 μm^2 respectively [39,40]. To
8 incorporate the effect of grain size, the strain rate $\dot{\epsilon}$ is proportionally decreased as the lateral
9 grain size decreases. Applying existing solidification path data (Fig. 4(c)) and their respective
10 materials properties (Supplementary Table 1), we plot the depression pressure curves for
11 CoCrFeNi, SS316L and Al7075, shown in Fig. 4(d). The coherency temperature T_S is taken
12 as the temperature when $f_s(T) = 0.93$ as suggested by [41]. Typically, Δp_{max} is inversely
13 proportional to solidification temperature range. However, even with a short solidification
14 range of $\sim 20^\circ\text{C}$ for CoCrFeNi, it still has a relatively high depression pressure Δp_{max} due to
15 its high viscosity value of 3.15×10^{-2} kgm $^{-1}$ s $^{-1}$ [42]. It is about 1 order of magnitude higher
16 compared to the common alloys (Supplementary Table 1). It is observed that as the grain size
17 decreases, the contribution of mechanical strain Δp_ϵ decreases exponentially. By substituting
18 in the existing experimental data, it is found that once the corresponding grain size exceeds a
19 characteristic depression pressure value, solidification cracking will start to occur. It is worth
20 noting that, when the grain size is close to the transition point, only minor cracking appeared
21 (Supplementary Fig. 2(a)). Based on the above-mentioned results, it is conceived that hot
22 tearing for the SLM-built CoCrFeNi could be overcome when its average grain size is below
23 10^4 μm^2 . Therefore, the focus of our future work will be refining the microstructure so as to
24 conquer the hot tearing issue for AM processing of various HEAs.
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44 In summary, this work revealed the origin of hot tearing for a HEA CoCrFeNi
45 additively manufactured by SLM process. A wide range of processing parameters were
46 implemented on pre-alloyed powder, but intergranular solidification cracks were present
47 throughout the builds. The 4 constituting elements were found to be uniformly distributed on
48 the periphery of cracks, which means that elemental segregation induced thin film of liquid
49 may not be the reason for the hot tearing of this alloy. It is found out that severe residual
50 stress due to the formation of large grains is the root cause and there will be a characteristic
51 depression pressure limit beyond which solidification cracking tends to occur.
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Figure Captions

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2 Figure 1. OM images of SLM-built HEA CoCrFeNi with (a) chessboard (denoted as C) and
3 (b) stripe (denoted as S) scanning strategies. EBSD IPF color maps with respect to the build
4 direction of the selected areas for (c) C sample and (d) S sample. Lack-of-fusion cracks and
5 spherical pores are highlighted in black and red circles, respectively. Schematics of (e)
6 chessboard and (f) stripe scanning strategies for SLM process.

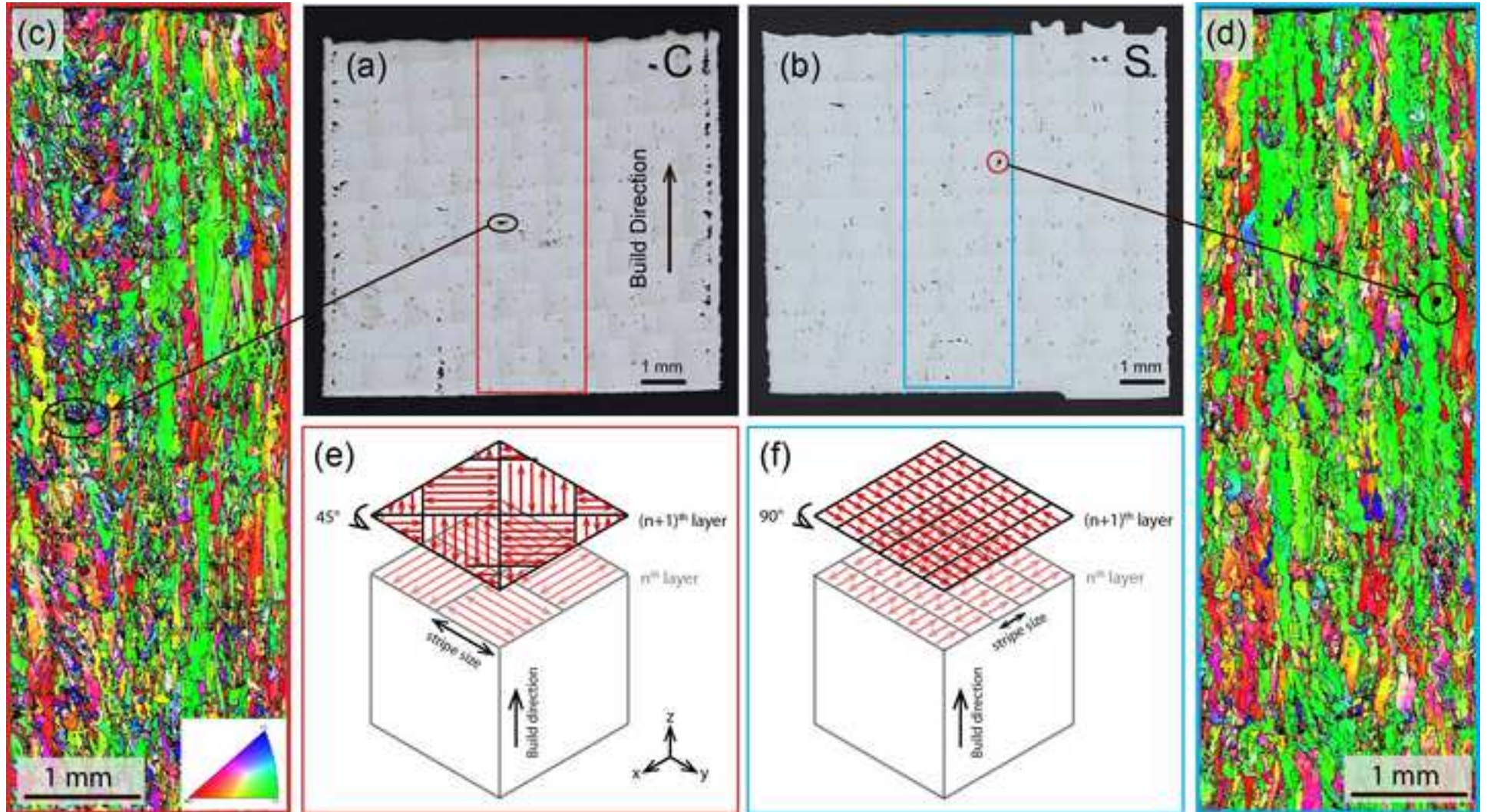
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10 Figure 2. μ -CT images with their corresponding cross-sectional OM images of the (a) C and
11 (b) S samples. The chimney-like crack in C sample is circled in red dashed line. The tensile
12 fracture surfaces of (c) C and (d) S samples with solidification cracks highlighted by white
13 arrows. Enlarged view of solidification cracking for (e) C and (f) S samples showing typical
14 smooth protrusions.

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18 Figure 3. (a) SEM image showing that APT specimens were acquired on the periphery of a
19 solidification crack. (b) SEM image showing an APT specimen tip with a dimension of ~ 50
20 nm. (c) APT reconstruction volumes showing atom distribution of the 4 constituting elements
21 (Co, Cr, Fe and Ni).

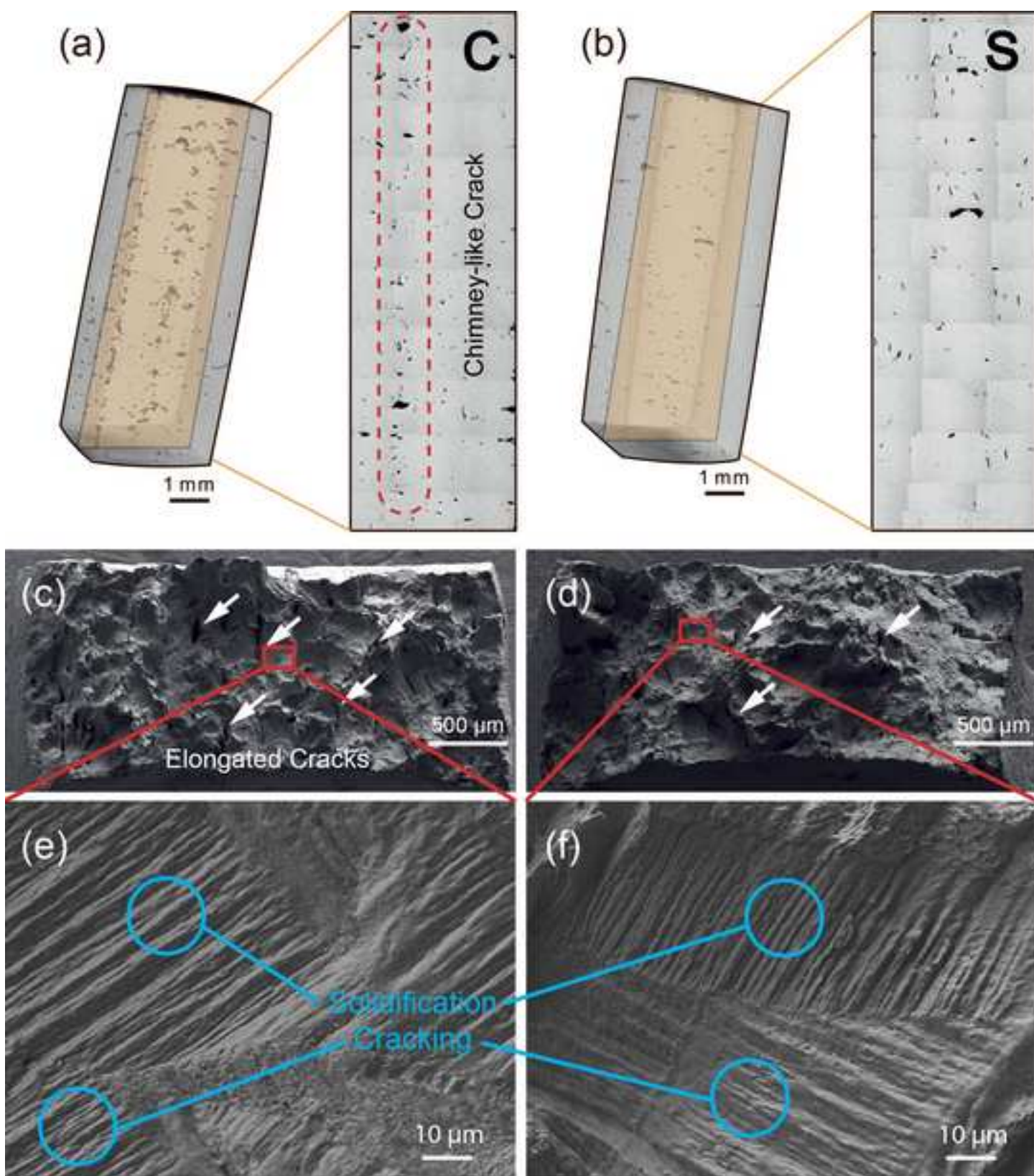
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27 Figure 4. (a) A generic schematic illustration of the solidification cracking mechanism in AM
28 process. (b) TEM image showing a large number of dislocations within the as-built cellular
29 microstructure. Cell boundaries are indicated by white arrows. A selected area diffraction
30 (SAED) pattern is shown with a $\langle 1-10 \rangle$ zone axis. (c) Solidification paths of SS316L,
31 CoCrFeNi and Al7075. (d) Hot tearing propensity with respect to their grain size and typical
32 depression pressures for the chosen SLM-built alloys.

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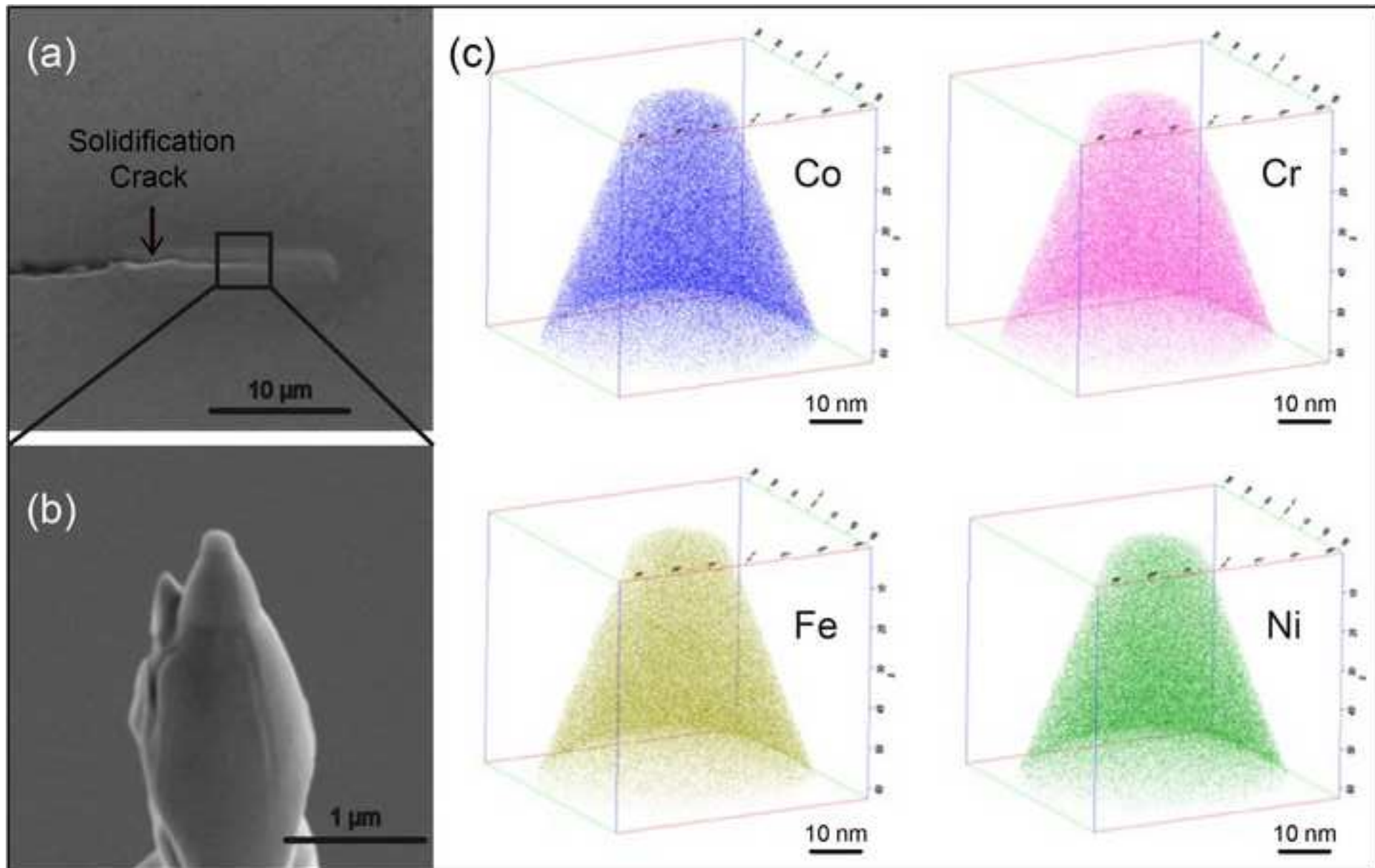
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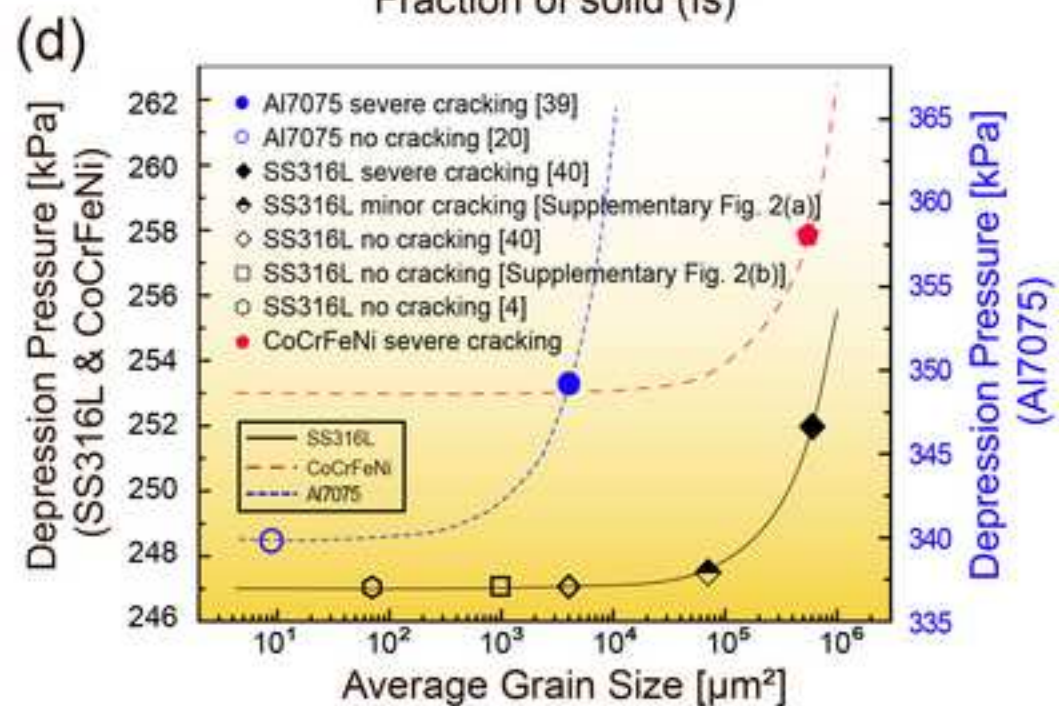
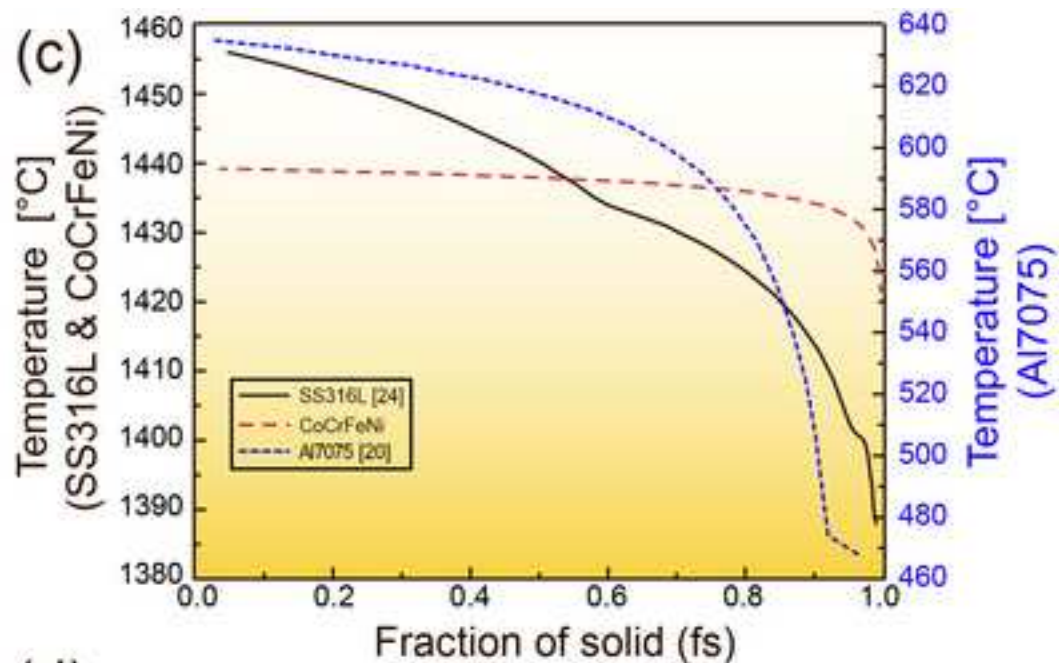
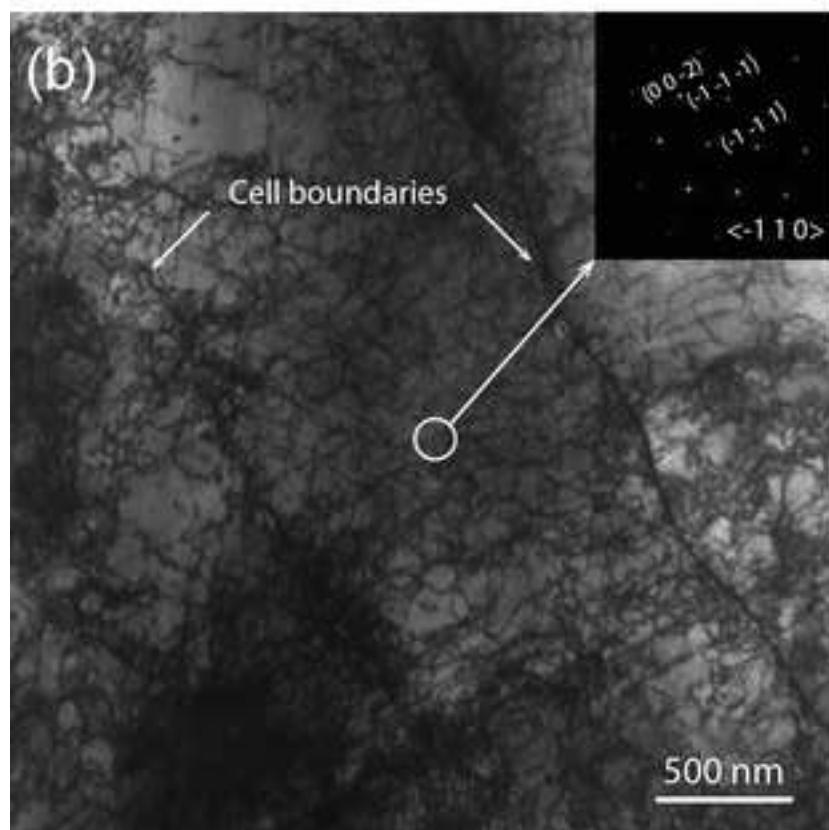
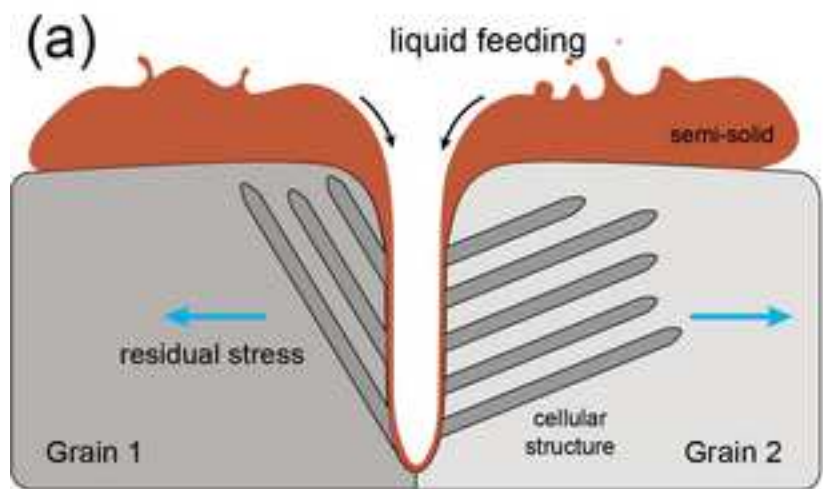
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