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Application of Doehlert Matrix for an Optimized Preparation of a Surface-Enhanced Raman Spectroscopy (SERS) Substrate Based on Silicon Nanowires for Ultrasensitive Detection of Rhodamine 6G

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Abstract

In this work, we combined a hierarchical nano-array effect of silicon nanowires (SiNWs) with a metallic surface of silver nanoparticles (AgNPs) to design a surface–enhanced Raman spectroscopy (SERS) scattering substrate for sensitive detection of Rhodamine 6G (R6G) which is a typical dye for fluorescence probes. The SiNWs were prepared by Metal–Assisted Chemical Etching (MACE) of n–Si (100) wafers. The Doehlert design methodology was used for planning the experiment and analyzing the experimental results. Thanks to this methodology, the R6G SERS response has been optimized by studying the effects of the silver nitrate concentration, silver nitrate and R6G immersion times and their interactions. The immersion time in R6G solution stands out as the most of influential factor on the SERS response. **Keywords:** Silicon nanowires, silver nanoparticles, surface-enhanced Raman spectroscopy substrate, SERS substrate, Doehlert matrix, detection

Introduction

Fast results analysis, cost–effectiveness, and simultaneous study of several parameters are the main requirements for analytical methodologies optimization and development. Surface– enhanced Raman spectroscopy (SERS) is well recognized as a powerful tool to analyze chemical and biological samples due to the wealth of information it provides from the vibrational

fingerprinting of molecules.¹ SERS effect takes place when the target is adsorbed on the metal surface.^{1,2} Using optimal parameters, Raman signals can be increased by up to fifteen orders of magnitude to ensure the ability to detect very low concentration of molecules, or even the detection of a single molecule.^{3,4}

Electromagnetic and chemical effects are recognized as the most contributing factors to Raman signal enhancement.¹ These effects are respectively due to the increase of electromagnetic fields by the resonant excitation of the plasmon surface via the photon interaction and to the charge-transfer gaps between adsorbed target and metal.^{5,6} Silver and gold nanoparticles are the two most often used SERS substrates due to their outstanding optical properties, their high enhancement factors and their low reactivity.

The large surface-to-volume ratio and the one-dimensional structure of silicon nanowires (SiNWs) dramatically modify the electrical and optical properties of the bulk silicon,^{7–11} which makes them suitable candidates for numerous applications such as diagnosis of diseases,¹² sensing,^{13–15} catalysis,¹⁶ manufacture of batteries,¹⁷ and micro-super capacitors and solar cells.^{18,19}

Over the past two decades, many strategies have been developed to combine a hierarchical nano-array effect of silicon nanowires decorated with a metallic surface of silver nanoparticles (AgNPs) in order to design high-performance SERS substrates.^{20–22} The optimization of SERS substrates by the traditional univariate approach wherein only one parameter is changed while the others are fixed requires ongoing testing and much time for results interpretation. Moreover, this traditional method is less effective. For these reasons, the choice of the univariate approach for optimization and development of analytical methodologies is being replaced by multivariate approaches for their advantages of identifying the different intertwining of variables, avoiding time-consuming experiments and obtaining an efficient data analysis.²³

In this work, we combine the effect of an etched surface area of silicon with the surface Plasmon of AgNPs to optimize SERS substrate preparation for ultrasensitive detection of Rhodamine 6G (R6G) by varying silver nitrate concentration, silver nitrate and R6G immersion times. The interdependence of these different parameters dictated the use of a multivariate method allowing simultaneous modification of the mentioned above three parameters.

The Doehlert plan was used first to plan the experiment, then to determine the optimal conditions by studying the effect of the experimental parameters on the R6G SERS response and the interactions between them.^{24–27}

Experimental

Reagents and Materials

Silver nitrate, hydrofluoric acid (40%), hydrogen peroxide (34%), nitric acid (70%), sulfuric acid (97%), and Rhodamine 6G from Sigma-Aldrich (Germany) were used for all the experiments. All solutions were prepared using ultrapure water produced by a Millipore system.

The morphological properties of the as obtained SiNWs and AgNPs/SiNWs surface were studied by scanning electron microscopy (SEM) using HITACHI SU3500 microscope. The SERS measurements were performed at room temperature (RT) on a Micro Raman HORIBA system (LabRAM HR800). A helium–neon laser with a power of about 1 mW was used for excitation at 632.8 nm of the sample surface. Data were collected with an integration time of ten seconds.

The construction of the design experimental methodology, the modeling of the SERS responses and the drawing of the various representations were carried out using NemrodW software (v.2000, LPRAI, France).

Elaboration of SERS Substrates

The SiNWs were prepared using metal-assisted chemical etching (MACE) of n–Si (100) wafers.²⁸ Briefly, wafers with a surface of 1 cm² were cleaned in a acetone and ethanol solution for 15 minutes. Then, they were immersed into a solution of H_2O_2 and H_2SO_4 in a ratio 1:3 [v/v] for 10 minutes. After that, the wafers were immersed in a 5% HF aqueous solution for three minutes to obtain H–terminated silicon surfaces. The wafers were immediately immersed in a aqueous solution containing 5.10^{-3} M of Ag NO₃ and 4.8 M of HF for one minute in order to form AgNPs before being thoroughly washed with ultrapure water. Subsequently, the as obtained samples were immersed in an aqueous etching solution composed of 4.8 M HF and 0.4 M H₂O₂ for 45 minutes to prepare SiNWs. Moreover the samples were immersed in aqueous solution of HNO₃ for one hour to remove the Ag catalyst. Finally, they were rinsed with ultrapure water and dried at room temperature.

The AgNPs were deposited on the SiNWs samples through chemical bath immersion in an aqueous solution containing Ag NO_3 and HF.²⁹ The SERS substrates were immersed in 10^{-6} M of R6G solution. The samples were rinsed after each immersion step using ultrapure water and they were dried at room temperature.

Experimentation Using the Doehlert Design

The response of the SERS substrate based on SiNWs is influenced by several experimental conditions. We present here the optimization of AgNPs–SiNWs elaboration by varying silver nitrate concentration, immersion time in silver nitrate solution and R6G solution using the multivariate method to improve the SERS response. This method is interesting because it enables to simultaneously evaluate the effect of the three chosen factors (silver nitrate concentration, immersion times in silver nitrate and R6G solution) on the SERS response and to examine their interaction as well as to determine the optimal conditions.³⁰ The as-adopted method is based on statistical tools to limit the number of experiments in selected experimental field.³¹ In our case, Doehlert matrix was chosen as the most adequate multivariate systems because the experimental points are uniformly distributed in the studied field. Furthermore, it allows adding new experimental points without changing the matrix and minimizing the number of experimental points. For a number of factors k, the number of experiments is $k^2+k+1+n_0$, where n_0 is the number of experiments repetition of the center point.²⁴ Using the three factors mentioned above. the number of Doehlert experiment is 13 without the experiment repetition as can be observed in Figure 1.³² Silver nitrate concentration has five levels, silver nitrate time has seven levels and R6G time has three levels. Taking into account two additional experiments at the center, the designed experimental methodology is then composed of fifteen experiments presented in Table I with the experimental values of the studied factors U_1 , U_2 , U_3 , which represent respectively the silver concentration in M, the immersion time in silver nitrate in second, the immersion time in RG6 in minute. All experiments were conducted in a random order to avoid any tendency in the measurements.³³

To evaluate the influence of the operating parameters and the interaction between them on the experimental SERS response, coded variables were used.

The transformation of real variable (Ui) into coded variable (Xi) is made using the following equation.

$X_i = (U_i - U_{i0}) / \Delta u_i \quad (1)$

where $X_{i is}$ the coded variable *i*, U_i is the real variable *i*, U_{i0} is the value of the real variable *i* in the center, and Δu_i is the range of variation of the real variable *i*.

The investigated experimented domain of the studied factors *Ui* are summarized in Table II.

For three variables, the experimental response associated by a Doehlert matrix was represented by a quadratic polynominal model Y:

 $Y=b_0 + b_1 X_1 + b_2 X_2 + b_3 X_3 + b_{11} X_1^2 + b_{22} X_2^2 + b_{33} X_2^2 + b_{12} X_1 X_2 + b_{13} X_1 X_3 + b_{23} X_2 X_3 \quad (2)$ with b_i as the principal estimation of the effect of factor Ui on the exprimental response, b_{ii} as the second estimation of the effect of factor Ui on the exprimental response, b_{ij} as the estimation of the effect of the interaction between the factor Ui and the factor Uj.

The calculation of the coefficients b (bi, bii or bij) was obtained using the least squares method:

$$b = (X^T X)^{-1} X^T Y$$
 (3)

where Y is the vector of experimental response, X is the model matrix, and X^{T} is the transposed model matrix.

Results and Discussion

Morphology of SiNWs and AgNPs/SiNWs

Figure 2a shows SEM images of SiNWs prepared using metal-assisted chemical etching (MACE). The SiNWs cross sectional image presented in Figure 2a indicates an identical large area of nanowires having a one-dimensional structure. They are distinguishable and the majority of them are vertical with regard to the sample surface. The layer thickness of the nanostructure is about 20 μ m. The synthesized AgNPs have a spherical shape as it can be seen in Figure 2b, which present a scanning electron microscopy (SEM) image of the surface AgNPs/SiNWs. The nanoparticles are well dispersed on the SiNWs surface with a non-uniform particle size in the range around of 40–120 nm.

Surface–Enhanced Raman Scattering Effect

Figure 3 displays three Raman spectra corresponding to (a) SiNWs, (b) R6G (10^{-6} M)/SiNWs, and (c) R6G (10^{-6} M)/AgNPs/SiNWs. The Raman spectrum of SiNWs only includes the vibrational modes of silicon, whereas the two R6G spectra show other vibrational modes; however, the intensities of Raman peaks in the spectrum of R6G (10^{-6} M)/SiNWs are negligible compared to those of SERS peaks in the R6G (10^{-6} M)/AgNPs/SiNW spectrum. These modes are in agreement with the R6G vibration modes defined by Hildbrand in 1984.^{34,35} We present here some of the strongest R6G vibration modes: The mode at 610 cm⁻¹ (noted L₁) is attributed to C–C–C ring in-plane bending mode, the modes at 1360 cm⁻¹ (noted L₂), 1509 cm⁻¹ (noted L₃), and 1650 cm⁻¹ (noted L₄) are attributed to aromatic C–C stretching modes. L_{Si} correspond to silicon mode at 520 cm⁻¹. The appearance of a strong R6G SERS signal after the addition of the silver nanoparticles clearly demonstrates the theoretical approach regarding Raman signals enhancement via the resonant excitation of the surface Plasmon by photon interaction.

The SERS effect mainly arises from the nanostructure Plasmon resonance, it is typically wavelength dependent. In order to optimize the wavelength excitation, the R6G SERS substrate (R6G (10^{-6} M)/AgNPs/SiNWs) was excited by two excitation wavelengths which are 488 nm and 632.8 nm. Figure 4 shows that the majority of R6G vibration modes of the substrate excited at 632.8 nm are more enhanced than those of the substrate excited at 488 nm. For this reason, we have completed the work by the excitation wavelength 632.8 nm.

Optimization of SERS Substrate Elaboration Using the Doehlert Matrix

After the elaboration of the fifteen experiments previously presented in Figure 1, Table I, and Table II, the samples were characterized using Raman spectroscopy. Figure 5 shows the evolution of the four R6G vibrational modes at 610 cm⁻¹ (L₁), 1360 cm⁻¹ (L₂), 1509 cm⁻¹ (L₃), and 1650 cm⁻¹ (L₄) normalized by the silicon mode at 520 cm⁻¹ (L_{Si}) for the fifteen samples. These normalized modes are respectively noted (L₁/L_{Si}), (L₂/L_{Si}), (L₃/L_{Si}) and (L₄/L_{Si}).

According to Figure 5, we can conclude that the four Raman vibrational modes of the fifteen samples have the same evolution. In addition, the most intense R6G peak is located at 1360 cm⁻¹ (L_2/L_{Si}). Therefore, L_2/L_{Si} was chosen in the Doehlert model in order to follow the evolution of the SERS response by varying the three parameters. In addition, Figure 5 clearly

shows that the Raman enhancement is optimal for both samples N°2 and N°15 (rand) of the experimental design methodology (Table I).

The effects of silver nitrate concentration as well as immersion times in silver nitrate and R6G solution on SERS response were investigated using Doehlert matrix.

Taking into consideration the experimental results previously presented, the rate L_2/L_{Si} were introduced for all the fifteen samples in Nemrod software, as well as the the data defined in Table I. Then, the coefficients b (bi, bii, bij) of the polynominal model defined in Eq. 2 were determined by the treatement of all the data. They are presented in the following equation $Y=0.067 + 0.024 X_1 - 0.021 X_2 + 0.16 X_3 + 0.048 X_1^2 + 0.092 X_2^2 + 0.16 X_3^2 + 0.017 X_1 X_2 - 0.12 X_1 X_2 - 0.048 X_1 X_3$ (4)

The obtained coefficients are also presented in graphical form in Figure 6 in order to visualize easily the estimation of b_i and b_{ii} coefficients, respectively corresponding to the principal and the second effect of the factor U_i on the experimental response, as well as the estimation of b_{ij} coefficients corresponding to the interaction effect between the factor U_i and the factor U_j .

The coefficient b_1 provides information on the effect of silver nitrate concentration, whereas b_2 and b_3 provide information on immersion time respectively in silver nitrate and R6G solution.

The coefficients presented in the Figure 6 well show that the main influencing parameter on the studied response is the R6G immersion time (b_3 is greater than b_1 and b_2). Silver nitrate concentration and the R6G immersion time have positive estimation effects on the experimental response (since b_1 and b_3 are positive). Thus, an increase on the both parameters leads to the augmentation of the observed response. However, an increase in silver nitrate incubation time decreases the response (since b_2 is negative). The b_{12} coefficient, which corresponds to the interaction between the silver nitrate concentration and the silver nitrate immersion time, is positive as noted in Figure 6. Thus, there is an interaction between both parameters.^{26,36}

To properly interpret the results of the parameter study, the predicted contour plots and their corresponding three–dimensional representations are given in the Figures 6e to 7a. The zone characterized by a maximal SERS response overall the three-dimensional representations is in red as it can be seen in the various representations.

A AgNO₃ concentration equals 5 mM, Figures 6b and 7a depict the contour plots and their corresponding three-dimensional representations showing the SERS response with regard to the silver nitrate and R6G immersion time. Both indicate that the maximal SERS response is obtained by increasing the R6G immersion time to above 38–40 minutes. The contours are exhibited by circles centered around the middle of the studied field of silver nitrate immersion time denoting a maximal SERS response located in the middle of this field.

For a silver nitrate immersion time of 67–68 seconds, Figures 6d and 7c depict the contour plots and their corresponding three-dimensional representations showing the SERS response with respect to silver nitrate concentration and immersion time in R6G solutions. Accordingly, we can conclude that the maximum response is obtained by increasing R6G immersion time.

Figures 7c and d also indicate the SERS response is enhanced by increasing silver nitrate concentration from above 5–7 mM. Moreover, the Raman response seems to be more sensitive to the variation of R6G immersion time than the variation of the silver nitrate concentration. This could be explained by a longer immersion time which would enable the adsorption of R6G molecules in organized manner on the silver nanoparticle surface.

For an R6G immersion time of 38 minutes, the contour plots and their corresponding three–dimensional are displayed in Figures 7e and f.

An analysis of these figures shows that the response is maximal for a broad area of silver nitrate concentration and silver nitrate immersion time. The contour plots confirm also the interaction between both parameters.

Consequently, to obtain a maximized SERS response, the SiNWs must be immersed in a silver nitrate concentration ranging from 5 to 7 mM during 67 to 68 seconds, followed by R6G solution immersion for more than 38 minutes.

Limit of Detection and Enhancement Factor

The limit of detection (LOD) is recognized as one of the main parameters to characterize a given sensing platform. It informs about the lowest target concentration to be detected by the platform. Based on the optimal conditions obtained by the previously work, Figure 8 presents the SERS spectra of the optimized AgNPs/SiNWs substrates (Sample 2 of the experimental design) soaked in R6G solutions of different concentrations ranging from (b) 10^{-11} M to (e) 10^{-6} M. As noted,

the most R6G vibration modes are visible at the R6G SERS spectra of the different concentrations greater than 10^{-11} M. The most prominent modes located at 610 cm⁻¹, 779 cm⁻¹, 1509 cm⁻¹, 1570 cm⁻¹, and 1650 cm⁻¹ have undergone a decrease in their scattered intensity when the R6G concentration varies from 10^{-6} to 10^{-11} M. We can conclude that the limit of detection is about 10^{-11} M.

Enhancement factor (EF) is also a very important parameter to demonstrate the effectiveness of SERS. In this framework, we estimate the EF by the following equation, which was used by Ngan et al.:³⁷

$$EF = \frac{I_{SERS}/N_{Raman}}{I_{Raman}/N_{SERS}} \quad (5)$$

where I_{SERS} and I_{Raman} are the Raman intensity of a diagnostic band of the probe molecules adsorbed on the SERS and non-SERS substrate, respectively. N_{SERS} is the number of molecules on the SERS substrate and N_{Raman} is the number of molecules on the non-SERS substrate, which caused respectively I_{SERS} and I_{Raman} . To estimate the EF of the SERS substrate, the SERS spectrum of R6G at a concentration of 10^{-10} M (R6G (10^{-10})/AgNPs/SiNWs) and a Raman spectrum of R6G at a concentration of 10^{-6} M (R6G (10^{-6})/SiNWs) were used. The Raman band at 1360 cm⁻¹ (L_2) has been chosen to calculate the EF.

With the assumption that R6G solution is evenly distributed on the surface of the sample, the ratio N_{SERS}/N_{Raman} is considered as equal to the ratio of the R6G concentrations in which the non-SERS and the SERS substrates were incubated, respectively. The SERS intensity at 1360 nm is equal to 1600 and the Raman intensity is equal to 29. The EF estimated to 0.6 10⁶.

Conclusion

In this study, an enlarged surface area of vertically aligned silicon nanowires decorated with silver nanoparticles was used in order to build SERS substrates capable of detecting a remarkably lower R6G concentration under optimal conditions. The Doehlert design was adopted in order to estimate the effects of the studied parameters on the R6G SERS response and to obtain the optimal conditions for SERS substrates development. The multivariate method showed that the R6G immersion time was the main influential factor to the response. In addition, an interaction between the silver nitrate concentration and silver nitrate immersion time was found. An optimal SERS response can be achieved by immersion the SiNWs in 5 to 7 mM

nitrate silver concentrations during 67–68 seconds followed by R6G solution immersion for more than 38 minutes. The sensing platform characterized by a limit of detection about 10^{-11} M and an enhancement factor estimated to 0.6 10^{6} .

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Captions

Figure 1. Graphical representation of the Doehlert experiments.



Figure 2. Results obtained using SEM: (a) Cross–sectional SEM image of SiNWs; (b) surface SEM image of AgNPs/SiNWs.



Figure 3. Raman spectra of SiNWs (a) ; R6G (10^{-6} M)/ SiNWs (b) and R6G (10^{-6} M)/AgNPs/SiNWs (c) for an excitation at 632.8 nm; L₁, L₂, L₃ and L₄ peaks correspond to the peaks of the main R6G vibration modes, L_{Si} corresponds to a Si vibrational mode. The insert represents a zoom of the spectra a and b in the range 1200–1800 cm⁻¹.



Figure 4. SERS spectra of R6G (10^{-6} M) /AgNPs/SiNWs with two excitation wavelengths 488 nm and 632.8 nm.



Figure 5. Evolution of normalized intensity of selected modes from R6G of the fifteen experiments prepared according the experimental design.



Figure 6. Graphical analysis of effects on the experimental response. b_i coefficients represent the estimation of the main effects of U_i ; b_{ii} coefficients represent the estimation of the second order effects of U_i ; b_{ij} coefficients represent the interactions between U_i and U_j .



Figure 7. Results obtained using the Doehlert matrix: (a) Contour plots of SERS responses with respect to silver nitrate time (seconds) and R6G time (minutes) at a fixed silver nitrate concentration 5 mM; (b) three–dimensional corresponding plot; (c) contour plots of SERS responses versus silver nitrate concentration (M) and R6G time (minutes) at a fixed silver nitrate time 67.5 seconds; (d) three–dimensional corresponding plot; (e) contour plots of SERS responses with respect to silver nitrate time (seconds) and silver nitrate concentration (M) at a fixed silver nitrate concentration (M) at silver nitrate concentration (M) at a fixed silver nitrate time (seconds) and silver nitrate concentration (M) at a fixed R6G time 38 minutes and F) three–dimensional corresponding plot.



Figure 8. SERS spectra of AgNPs/SiNWs (a) and R6G/AgNPs/SiNWs for different R6G concentrations ranging from (b) 10^{-11} M, (c) 10^{-10} M, (d) 10^{-8} M to (e) 10^{-6} M for an excitation at 632.8 nm.



Tables

 Table I. Doehlert experimental matrix.

Experiment		Coded variables			Real variables		
number							
Before	After	X 1	X 2	X 3	U1	U2	U3
rand	rand						
1	4	1.0000	0.0000	0.0000	0.01000	67.50	20.50
2	7	-1.0000	0.0000	0.0000	0.00010	67.50	20.50
3	8	0.5000	0.8660	0.0000	0.00753	113.18	20.50
4	12	-0.5000	-0.8660	0.0000	0.00258	21.82	20.50
5	3	0.5000	-0.8660	0.0000	0.00753	21.82	20.50
6	11	-0.5000	0.8660	0.0000	0.00258	113.18	20.5
7	13	0.5000	0.2887	0.8165	0.00753	82.73	36.49
8	5	-0.5000	-0.2887	-0.8165	0.00258	52.27	4.51
9	1	0.5000	-0.2887	-0.8165	0.00753	52.27	4.51
10	9	0.0000	0.5778	-0.8165	0.00505	97.96	4.51

11	2	-0.5000	0.2887	0.8165	0.00258	82.73	36.49
12	15	0.0000	-0.5778	0.8165	0.00505	37.04	36.49
13	10	0.0000	0.0000	0.0000	0.00505	67.50	20.50
14	6	0.0000	0.0000	0.0000	0.00505	67.50	20.50
15	14	0.0000	0.0000	0.0000	0.00505	67.50	20.50

Table II. The experimental field.

	Factor Ui	Unit	Center (U _{i0})	the range of variation (Δu_i)
U_1	Silver nitrate concentration	М	0.00505	0.00495
U ₂	Silver nitrate time	Second	67.50	52.72
U ₃	R6G time	Minute	20.50	19.58