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# Closed Loop Supply Chain network for local and distributed plastic recycling for 3D printing: a MILP-based optimization approach

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#### Abstract

Recent research and initiatives increasingly propose a new approach, based on distributed plastic recycling for open-source (OS) 3D printing technologies, as a way to deal with the issue of plastic waste and to support the development of the Circular Economy (CE). Distributed recycling can be thought of as a sort of "smart grid", composed of small and coordinated recycling units. However, the operational complexity of this distributed approach limits its application. Furthermore, the environmental and economic advantages have yet to be demonstrated. This article therefore explores the economic and environmental feasibility of this distributed plastic recycling approach from a logistics perspective, as a step towards its validation. To achieve this, an optimization Mixed Integer Linear Programming (MILP) model was used as an evaluation tool, representing a local Closed Loop Supply Chain (CLSC) network. The proposed model is illustrated using a case study of a university seeking to implement a distributed recycling demonstrator in order to recover 3D printing wastes from secondary schools in the northeast of France. Following this step, a sensitivity analysis was carried out considering the market variations (price of virgin plastic filament) and the amount of available plastic waste derived from the schools. The results obtained show positive economic and environmental benefits of carrying out this new method of plastic recycling. This work serves as a basis for continuing to explore the feasibility and replication of the distributed plastic recycling network in other contexts.

*Keywords:* Closed Loop Supply Chain, Plastic recycling, 3D Printing, Circular Economy, Mixed Integer Linear Programming, Distributed recycling

#### 1. Introduction

Plastics are present in a wide variety of products in our everyday lives. However, the amount of plastics which are recycled remains very low: only 14% of the plastic produced in Europe was recycled in 2016 (PlasticsEurope, 2017; Ragaert et al., 2017; Singh et al., 2017). In the current industrial paradigm, the plastic recycling process is commonly carried out through centralized networks in order "to take advantage of economies of scale in producing low-value commodities" (Kreiger et al., 2014). However, this approach must face the challenge of collection and expensive transportation of the high volume and low weight of polymers, as well as requiring significant capital and extensive operating investments (Kreiger et al., 2014; Garmulewicz et al., 2018; Despeisse et al., 2017). This situation makes the plastic recycling process neither economically advantageous, nor environmentally friendly (Kreiger et al., 2014; Garmulewicz et al., 2018; Despeisse et al., 2017). In order to address this plastic recycling issue, the European Union has begun to propose various ambitious objectives to develop a circular economy for plastics. For example, by the year 2030, more than half of all plastic waste generated is expected to be recycled (EU Commission, 2018). It is therefore urgent to explore new ways to recycle plastic to attain these ambitious objectives and avoid the environmental risks linked to plastic disposal.

Nevertheless, the development of additive manufacturing (3D printing) technology is opening up opportunities by developing an economically-competitive distributed manufacturing sector, supported by commercial and open-source (OS) 3D printers (Wittbrodt et al., 2013; Gwamuri et al., 2014; Kietzmann et al., 2015; Laplume et al., 2016; Mai et al., 2016; Kostakis et al., 2013; Kostakis & Papachristou, 2014; Kreiger & Pearce, 2013). Indeed, distributed manufacturing offers the potential to decentralize production structures, the flexibility to reflect local customers' needs, lower logistics costs, shorter delivery times and reduced environmental impacts (Kreiger & Pearce, 2013; Matt et al., 2015). More precisely from a supply chain perspective, 3D printing has a widespread expectation to promote many structural changes (e.g inventory reductions (Zanoni et al., 2019; Verboeket & Krikke, 2019), reduce disassembling efforts (Verboeket & Krikke, 2019), on-demand spare parts (Zhang et al., 2019; Verboeket & Krikke, 2019), customization and personalization (Bogers et al., 2016; Zanoni et al., 2019; Verboeket & Krikke, 2019), decentralized production close to the consumers (Bogers et al., 2016; Zanoni et al., 2019; Verboeket & Krikke, 2019), reduce the labor input needed for production (Laplume et al., 2016; Verboeket & Krikke, 2019)). Matt et al. (2015) examined the different types of distributed manufacturing models, which range from distributed manufacturing facilities to sending 3D files to manufacturing via 3D printers. In this latter model, the product is manufactured and assembled in distributed networks of printing labs or small factories with high-performance printers and qualified staff for final assembly and finishing. Material extrusion-based systems using thermoplastic materials such as Fused Filament Fabrication (FFF) (and its relative commercial option Fused Deposition Modelling (FDM)) are the most extended AM technology (González-Henríquez et al., 2019). Therefore, as the AM technology will continues to grow in the years to come, probably the polymer waste will continue to be disposed anywhere.

The development of the open-source (OS) waste plastic extruder, which produces the filament for 3D printing, offers improved environmental and economic performance of distributed plastic recycling (Kreiger & Pearce, 2013; Wittbrodt et al., 2013; Baechler et al., 2013). This emerging approach to recycling could be carried out in distributed facilities on a local scale (city, town, or neighborhood-wide) using open-source (OS) technologies. For example, a recent study by Zhong & Pearce (2018) demonstrated that the coupling of an OS extruder (recyclebot) and RepRap 3D printer "brings a traditional industrial system into a single small home, business or community center". Furthermore, various studies in the literature show the technical feasibility of this distributed plastic recycling approach (Kreiger et al., 2014; Cruz Sanchez et al., 2015, 2017; Juraschek et al., 2017; Zhao et al., 2018). The notion of open source (OS) makes it possible to create technically superior and far less expensive equipment than proprietary models (Pearce, 2017; Petersen & Pearce, 2017). Therefore, with this recycling approach, the economic and environmental problems inherent to centralized plastic recycling networks are likely to be mitigated, mainly due to the use of lower-cost OS technology, short recovery distances, and low-quantity transportation of plastic waste.

The previous studies allow us to infer that the 3D based recycling activity will emerge mainly as local user-driven initiatives and not necessarily as a formal structured industrial supply chain sector, as the plastic recycling networks have normally been addressed until now. As a consequence, we can conclude that recycling for 3D printing taps into economies of scope (or niche) rather than scale (Garmulewicz et al., 2018). Nevertheless, this approach to distributed plastic recycling also presents some technical, social and economic complexities, barriers and challenges, which must be addressed (Garmulewicz et al., 2018; Peeters et al., 2019). From a technical perspective, the great variety of thermoplastics with different properties and their lack of specificity in the categories, are important barriers identified (Peeters et al., 2019). The uncertainty regarding the quantity and composition of plastic waste, the quality of the printed product (regardless of whether the plastic is virgin or recycled) and "the limited availability and efficiency of small-scale recycling technologies" are some challenges that should be addressed (Garmulewicz et al., 2018). Regarding social aspects, elements such as the people custom to consume in a linear system without seeing value in waste and high quality demands of the recycled filament from consumers are important barriers to consider (Peeters et al., 2019). The people's willingness to cooperate with the recycling process and to use recycled plastic in 3D printing, but also how to deal with the user-perceived low value of recycled 3D printed products, and their "lack of acceptance of/need to use recycled over virgin materials" are some challenges that should be addressed (Garmulewicz et al., 2018). Finally, from an economical perspective, the uncertainty about return on investment is a barrier identified (Peeters et al., 2019). Mastering operating costs and enhancing added value creation for stakeholders of the recycling system is still crucial to justify any recycling process.

There appear to be few antecedents in the literature of a formal design and evaluation of such plastic recycling network integrating small units of extrusion-3D printing, which represents an important area of research due to its potential application given the current environmental issues. Therefore, it could be said that despite its attractiveness, the complexity of this distributed approach limits its application potential. In order to tackle this issue, this paper seeks to provide answers to the following research question: How to support the logistic decision-making process to evaluate the implementation of a distributed closed loop plastic recycling network?

The contribution of this research is twofold: a first formalization, by means of an optimization model, of the distributed plastic recycling network for distributed manufacturing purposes. Formally, the optimization model enables assessment of the conditions required to ensure the economic and environmental effectiveness of the proposed plastic recycling network. Secondly, the proposed model is illustrated using a case study of a university Fablab aiming to implement a demonstrator to recover 3D printing wastes from secondary schools in the northeast of France. Consequently, this paper constitutes a step towards the validation of this approach.

This article is structured as follows. Section 2 presents an overview of previous works in the literature on OS 3D printing technologies, Closed Loop Supply Chain networks for plastic recycling and research about distributed recycling networks. Section 3 presents the optimization model developed herein. Section 4 presents the context of the case study, describing how the optimization was applied. Then, Section 5 presents the results and a sensitivity analysis. Section 6 presents the discussion of the results and finally, Section 7 presents conclusions and perspectives.

#### 2. An overview of distributed recycling of plastics

As mentioned in the previous section, the distributed plastic recycling approach presents some complexities and challenges from social, technical and economic (logistical) points of view. Within the scope of the present research, only the recycling process and the operational logistic network design will be addressed.

#### 2.1. From a process perspective: Open-Source (OS) as a support technology.

Fused Deposition Modeling (FDM) is one of the first technologies developed in additive manufacturing (AM) technology (ASTM & ISO, 2015). FDM uses the extrusion process, wherein a thermoplastic filament is melted and deposited to generate the product. The simplicity of the process in the FDM renders the required equipment relatively inexpensive. In addition to this, the raw materials used are low-cost, non-toxic, and odorless, making it ideal for hobbyists. The materials most used in FDM are ABS (Acrylonitrile Butadiene Styrene), PLA (Polylactic Acid), and PC (Polycarbonate) (Bikas et al., 2016; Gardan, 2016).

The FDM technology patent expired in the mid-2000's, enabling users to develop this technology in an open-source (OS), noncommercial way, thereby reducing the acquisition costs of this technology and making it accessible to all (Cruz Sanchez et al., 2014). An example of this is the RepRap project, which enabled users to acquire a 3D printer with a budget in the range of \$200 to \$500, and it is estimated that, between 2008 and 2011, the number of RepRaps increased from four to 4,500 (Kreiger et al., 2014).

All of this lends weight to the study and development of distributed manufacturing using this technology and, at the same time, the distributed plastic recycling approach as a supplier of 3D printing filaments from recycled plastics (Baechler et al., 2013).

In the prosumer domain, there have been a plenty attempts to create open-source versions of extruder in order to create plastic filament: Lyman Filament Extruder (Lyman, 2019), the Filabot (McNaney, 2019), Recyclebot (Baechler et al., 2013), RepRap Recycle Add-on (Braanker et al., 2010), Precious plastic (Hakkens, 2019), Plastic Bank<sup>1</sup>. Indeed, various studies in the scientific literature have focused on the mechanical and distributed plastic recycling process in order to demonstrate its technical feasibility. Woern et al. (2018) published a plastic waste extruder capable of making commercial quality 3-D printing filament. This device design takes advantage of the OS hardware approach (free, self-replicated and modular). The design, fabrication and operation are described. The device costs less than \$700 in materials and can be made in approximately 24 hours. Filament is produced at 0.4 kg/h using 0.24 kWh/kg with a diameter of  $\pm 4.6\%$ . Likewise, Kreiger et al. (2014) demonstrated the environmental feasibility in terms of energy use and greenhouse

<sup>&</sup>lt;sup>1</sup>https://www.plasticbank.com/

gas emissions from distributed mechanical recycling of HDPE using the RecycleBot extruder. Similarly, Cruz Sanchez et al. (2015) demonstrated the technical viability for PLA mechanical recycling for OS 3D printers. Furthermore, Cruz Sanchez et al. (2017) proposed a general methodology to evaluate the recyclability of polymers used as feedstock for 3D printing. Based on the closed loop approach and with process orientation, Juraschek et al. (2017) studied closed loop manufacturing in a learning environment, considering plastic recycling and its use in 3D printers. On the other hand, Zhao et al. (2018) studied the material properties of the closed-loop recycling of PLA and demonstrated its environmental benefits. In conclusion, the technical feasibility of distributed recycling from a process perspective using OS technologies has already been presented in the scientific literature. Also, this technical aspect opens up the opportunity to create of specific and small-scale recycling hubs specific to obtain usable feed-stock for 3D printing.

Nevertheless, from a supply chain perspective, the logistic effectiveness of distributed recycling networks for this additive technologies remains to be demonstrated. An overview of the logistic perspective of recycling is presented in next section.

# 2.2. From a logistic perspective: a Closed Loop Supply Chain and distributed recycling network.

Recycling is an activity carried out in order "to have more raw materials or raw parts" from used products (Govindan et al., 2015). If we consider the literature on recycling networks, we can see that, on the whole, two types of networks coexist (Govindan et al., 2015): Reverse Supply Chain (RSC) (or Reverse Logistic (RL)) and Closed Loop Supply Chain (CLSC). RSC is a network for the recovery of discarded products for recycling or reuse in other products, while CLSC corresponds is a network that integrates and acts at the same time: Forward and Reverse Supply Chain (Govindan et al., 2015; Kannan et al., 2010; Battini et al., 2017; Östlin et al., 2008; Haddadsisakht & Ryan, 2018; Sahebjamnia et al., 2018). The flow of goods from the supplier to the client is undertaken through the Forward Supply Chain, while the Reverse Supply Chain recovers the products from the customer for recycling or reuse (Govindan et al., 2015; Kannan et al., 2010; Östlin et al., 2008; Haddadsisakht & Ryan, 2018; Bai & Sarkis, 2019).

Moreover, recently there have been an increasing interest in distributed recycling networks rather than centralized networks. In the following subsections a focus is made on (1) Closed Loop Supply Chain plastic recycling networks and (2) distributed recycling networks in general.

## 2.2.1. An overview on Closed Loop Supply Chain in the context of plastic production and recycling

Reverse Logistic and Closed Loop Supply Chains have been extensively studied in the scientific literature. Govindan et al. (2015) identified decision variables and optimization methods, among other parameters considered in these fields. They presented the level of decision variables used, which, in most cases, are operational variables (e.g. lot sizing, inventory, etc.). Also, it was found that concerning optimization methods, most studies used linear and mixed integer programming (MILP) approaches, and most consider only one optimization objective. More specifically, they found that linear programming is the dominant form of modeling for RL/CLSC problems relating to design and planning; for example, they address decisions such as facility location, facility capacity, and flow between facilities.

In the context of plastic recycling, it is possible to find in the literature a large number of articles focused on studies of RL/RSC networks (Chari et al., 2016; Hemmelmayr et al., 2013; Bing et al., 2014b; Hassanzadeh Amin et al., 2018; Feitó-Cespón et al., 2017; Ferri et al., 2015; Bing et al., 2015, 2014a, 2013; Kannan et al., 2012; Wongthatsanekorn, 2009; Realff et al., 1999; Yousefi-Babadi et al., 2017; Sheriff et al., 2017). However, these types of networks are focused only on waste recovery itself, without considering how plastic waste will be reused.

According to the respective definitions of RSC and CLSC, the distributed recycling approach proposed herein corresponds to a CLSC-type network, because the plastic filament obtained from the recycling of plastic waste will be returned to the community to be used again in 3D printing. Once the products manufactured by 3D printing are discarded, they can be recovered again to repeat the recycling cycle.

Studies on plastic recycling in CLSC networks cover different aspects of it: design and planning (Kannan et al., 2009; Sheriff et al., 2014), network evaluation (Chavez & Sharma, 2018), issues and practices (French & LaForge, 2006) and uncertainty (Pati et al., 2010; Ma & Chen, 2014). Studies (Appendix A) have shown that until now, the CLSC network in the field of plastics has been considered only as centralized networks on an industrial scale. Furthermore, only two articles focus on optimization in the design and planning of the plastic recycling CLSC network. These articles correspond to studies of a centralized network, with industrial orientation and on a country level (Sheriff et al., 2014; Kannan et al., 2009). Kannan et al. (2009) propose a closed loop multi-echelon distribution inventory supply chain model, using a genetic algorithm and particle swarm optimization. The model is applied to the tire and plastic goods manufacturing industry. Alternatively, Sheriff et al. (2014) propose a mathematical model for reverse logistics, which minimizes costs and dictates the location and allocation of facilities, and transport routes. The model is applied to the plastics industry in India.

From the literature review analysis of CLSC plastic recycling networks, it could be concluded that the current research related to the logistic network design for CLSC plastic recycling only considers a centralized network on an industrial scale. This means that there seems to have been no study of a CLSC network of distributed plastic recycling to date.

From a methodological point of view, the design and planning of the CLSC approach to local and distributed plastic recycling can be studied using optimization models. Considering the literature review carried out by Govindan et al. (2015), the appropriate type of optimization model could be Mixed Integer Linear Programming (MILP), which is the most widely used.

#### 2.2.2. Literature on distributed recycling networks

In the context of distributed plastic recycling, different logistical decisions should be made for each recycling point of the network, e.g. the location of each recycling point and their collection route. In this sense, the problem to be dealt with can be defined as a location-routing problem. Location routing problems are those for which it is necessary to decide simultaneously the location of facilities and the route of vehicles (VRP) (Rahim & Sepil, 2014).

A total of sixteen articles addressing a location-routing problem for recycling were found (Appendix B). From the perspective of the structure of the networks studied, these consist of networks wherein the collection phase is carried out by means of distributed centers. From there, the flow of material between collection and recycling (or other options such as incineration) tends to be centralized using intermediate treatment and sorting facilities.

From a modeling perspective, the location of facilities is represented by a binary variable. The routing of vehicles is defined by means of binary variables that represent the arc between the nodes towards which the vehicle must go. Among the articles found, it is possible to identify two ways in which to understand the transport problem: (1) transport between network installations and (2) transport at the collection stage. Considering the objective function (see Table B.7 in Appendix B), it can be seen that most authors privilege minimization of costs or maximization of profit. The most considered parameters in these articles are: revenues, costs of transport (fixed and variable), operational costs of the different processes, and cost of opening facilities. In particular, revenues are considered if the collected material is sold to recycling centers or if the recycled material is sold to secondary markets. Finally, most articles use a mono-objective MILP modeling type or multi-objective modeling.

The literature on distributed recycling networks lead us to conclude that one of the most widely used methods in these approaches is the MILP model. From an economic perspective, the costs to be considered in the analysis should be: transport costs, processing costs and opening costs (the latter in the event that one of the decisions pertains to the location of facilities).

From previous analysis of the literature it can be concluded that, although the technical aspects of plastic recycling for OS 3D printing has been widely studied, no study of the logistics for the associated distributed recycling network has been undertaken. On the other hand, if we consider non distributed CLSC for plastic or distributed networks for materials other than plastic, the MILP optimization model has been well adapted and widely used to formalize this type problem. Therefore, in the next section, an MILP-type optimization model is proposed for the evaluation of the economic and environmental feasibility of the proposed distributed plastic recycling approach.

#### 3. Model Proposition

Hereafter, a CLSC network of distributed and local plastic recycling for OS 3D printing technologies is proposed. In the following subsection, the design of an optimization model to support the CLSC network definition will be developed, resulting in a corresponding mathematical model.

#### 3.1. General aspects of the proposed model

The aim of the proposed model is to identify the optimal configuration of the distributed CLSC plastic recycling network, taking into account a particular context defined in Fig. 1. The context defines various fixed elements, such as:

- 1. A set of geographically distributed sources of polymer waste, with a distance from the recycling point and between them, and a given volume and weight of polymer waste.
- 2. A recycling point with a limited plastic recycling capacity, determined by the capacity of the recycling machine and working time. The costs and emissions derived from the use of the recycling machine are also taken into account.
- 3. A means of transport to carry out the collection, with a transport capacity limited by weight and volume. Including the costs and emissions for the collection activity, with a specified travel speed and working time.

In order to simplify the model, we can suppose that one type of relatively "clean" plastic waste (Cruz Sanchez, 2016) is collected and transported from the various sources. So only the following types of decisions have to be made by the user.

- 1. The number of polymer waste sources from which polymer waste must be collected.
- 2. The number of collection routes required.
- 3. The sequence of the collection routes.
- 4. The means of transport to be used on each route.

Considering the above assumptions, the objective function will be represented by the economic and environmental benefits or savings. The savings are calculated comparing the cost obtained by the two proposed supply loop networks with and without distributed recycling (Fig.1).



Figure 1: Conceptual model of the recycling network

#### 3.2. Model Formalization

The nomenclature used for the representation of sets, decision variables and parameters, are presented in Table 1, Table 2 and Table 3 respectively.

Table 1: Sets

| Set           | Description  | Symbol | Indices      |
|---------------|--|--------|--------------|
| Set of points | These are all the points where 3DP plastic wastes    | Ι      | i = 1, 2,, I |
|               | are produced for later recycling in the recycling    |        |              |
|               | point. The recycling point is also considered inside |        |              |
|               | this set   |        |              |
| Means of      | All available means of transport in the recycling    | C      | c = 1, 2,, C |
| transport     | point to realize the collection.                     |        |              |
| Set of routes | All available means of transport in the recycling    | R      | r=1,2,,R     |
|               | point to realize the collection.                     |        |              |

| Table 2: Nomenclat | re of decision | variables |
|--------------------|----------------|-----------|
|--------------------|----------------|-----------|

| $\mathbf{Symbol}$ | Description  | Type    |
|-------------------|--|---------|
| $x_{ijrc}$        | 1, if use path from the collection point $i$ to $j$            | Binary  |
| $PR_{irc}$        | 1, if the beginning point $i$ is associated with the route $r$ | Binary  |
|                   | and transport $c$  |         |
| $DR_{jrc}$        | 1, if the destination point of path $j$ is associated with the | Binary  |
|                   | route $r$ and transport $c$                                    |         |
| $U_i$             | Sequence order in which the point $i$ is visited, excluding    | Integer |
|                   | the point of origin (functional variable used in a             |         |
|                   | restriction of routing)  |         |
| DP                | Days needed to process the collected plastic waste             | Integer |
|                   |  |         |

| Group                    | Parameter   | Symbol   | Units                     |
|--------------------------|---|----------|---------------------------|
|                          | Capacity transportation   | $capw_c$ | kg                        |
| Means of transport $(c)$ | Volume capacity   | $capv_c$ | liters                    |
| - 、 ,                    | Mean speed for collection   | $V_c$    | km/h                      |
|                          | Variable cost to carry out the collection   | $g_c$    | $\in /km$                 |
| Plastic                  | Weight at point $i$   | $w_i$    | kg                        |
| Waste                    | Volume at point $i$   | $v_i$    | liters                    |
|                          | Distance between collection points $i$ and $j$                                      | $d_{ii}$ | km                        |
|                          | Capacity of recycling of the recycling point  | Capr     | kg                        |
| Recycling                | in the period considered Distance between recycling point $i$ and                   | $d_{ij}$ | km.                       |
| point                    | collection point $j$<br>Daily processing capacity of the recycling                  | Capdp    | kg                        |
|                          | point<br>Quantity of daily work in the recycling point                              | hh       | hours                     |
|                          | Variable time of use of machine   | tvum     | hours/kq                  |
|                          | Fixed time for machine initialization   | tfum     | hours/day                 |
|                          | Loss factor of mechanical recycling   | lf       | %                         |
|                          | Delivery cost of plastic filament on the  | cdpm     | $\in /kg.$                |
| Economical               | market<br>Cost of a unit of virgin plastic filament on                              | cmu      | $\in /kg$                 |
|                          | the market<br>Cost of the treatment of recycling and                                | cpu      | $\in /kg_{fil.rec}$       |
|                          | generating a unit of recycled plastic filament<br>Price of a unit of emitted $CO_2$ | nem.     | €/kaco                    |
|                          | Labor cost per hour of work   |          | $\in/hour$                |
|                          | Amortization cost of the machine in the   | CM       | €                         |
|                          | period considered   |          | _                         |
|                          | $CO_2$ emitted by delivery of virgin plastic  | $qCO2_d$ | $kg_{CO_2}$ /             |
|                          | filament to the recycling point   |          | $kg_{fil.virgin}$         |
|                          | $CO_2$ emitted per unit of virgin plastic   | $qCO2_f$ | $kg_{CO_2}/kg_{virgin}$ . |
| Environmental            | manufactured $CO_2$ emitted per unit of plastic recycled                            | $aCO2_r$ | $ka_{CO_{2}}/ka_{max}$    |
|                          | $CO_2$ emitted by the means of transport c  | $qCO2_t$ | $kg_{CO_2}/km$            |
|                          |   |          |                           |

 Table 3: Nomenclature of parameters

In the next paragraph, two main elements are presented: the objective function and restrictions of the model.

### 3.2.1. Objective function

As stated above, the objective function of the mathematical model is represented as a benefit for maximization and is modeled from two perspectives: (1) Economic and (2) Environmental.

Therefore, as this involves a mono-objective modeling, mathematically the objective function is modeled as a maximization of the sum of Economic Benefit and Environmental Benefit.

#### 3.2.2. Economic Benefit

The Economic Benefit (EB) corresponds to the quantity of money saved as a result of to the recycling a quantity of plastic waste which is converted into filament for 3D printing. The EB is computed as the difference between the costs of recycled and virgin filament. The Procurement Cost (PC) includes the filament price of purchase on the market and the delivery cost. The Cost of Recycling (CR) corresponds to the cost of obtaining the plastic filament by recycling the amount of plastic waste, which considers the labor and transport cost of the plastic waste collection, and the labor and processing (recycling) cost of plastic waste as represented in Figure 1.

ECONOMIC BENEFIT = 
$$(PC - CR) =$$
  

$$\left(\sum_{i \in I} \sum_{c \in C} \sum_{r \in R} w_i * PR_{irc} * (1 - \frac{lf}{100}) * (cmu + cdpm)\right) - \left(\sum_{i \in I} \sum_{j \in I} \sum_{c \in C} \sum_{r \in R} x_{ijrc} * d_{ij} * (g_c + \frac{1}{V_c} * lc) + \sum_{i \in I} \sum_{c \in C} \sum_{r \in R} w_i * PR_{irc} * (cpu + tvum * lc) + (DP * tfum * lc) + CM\right)$$

$$(DP * tfum * lc) + CM$$
(1)

#### 3.2.3. Environmental Benefit

The Environmental Benefit corresponds to the quantity of carbon dioxide emissions avoided as a result of recycling a quantity of plastic, expressed in monetary units (Bing et al., 2014b; Haddadsisakht & Ryan, 2018). More precisely, it corresponds to the difference between the quantity of emissions due to 1) the manufacturing process and transport of a quantity of commercial 3D plastic filament, and 2) the quantity of emissions due to local recycling (collection and manufacturing) of the same quantity of matter to obtain 3D plastic filament. The mathematical structure of this part of the objective function is shown in eq.(2).

ENVIRONMENTAL BENEFIT = 
$$\left(\sum_{i \in I} \sum_{c \in C} \sum_{r \in R} w_i * PR_{irc} * pem * (qCO2_f + qCO2_d)\right) - \left(\sum_{i \in I} \sum_{j \in I} \sum_{c \in C} \sum_{r \in R} x_{ijrc} * d_{ij} * qCO2_t * pem + \sum_{i \in I} \sum_{c \in C} \sum_{r \in R} w_i * PR_{irc} * qCO2_r * pem\right)$$
(2)

#### 3.3. Restrictions

The restrictions used in the model are related to the characteristics or conditions associated with (1) the collection route, (2) the means of transport used, (3) the processing capacity, and (4) the non-negativity of variables. These terms are explained below.

#### 3.3.1. Route restrictions

Route restrictions are considered in order to integrate characteristics into the routes generated by the modeled solution.

Eq. (3) ensures that each chosen collection point is associated with only one route and means of transport. Eq. (4) ensures that the route and means of transport used to arrive at a certain point are the same route and means of transport used to reach other points. Eqs. (5) and (6) ensure that a means of transport and route arrive and leave only once from that point if it was elected by the model, and never if it was not elected. Eqs. (7) and (8) ensure that a means of transport and route arrive and leave only once from the recycling point if the route and means of transport are used. Finally, Eq. (9) avoids sub-tours between points of collection.

$$\sum_{c \in C} \sum_{r \in R} PR_{irc} \le 1 \quad \forall i \in I - \{RecyclingPoint\}$$
(3)

$$DR_{jrc} = PR_{irc} \quad \forall c \in C, \ \forall r \in R, \\ \forall i \in I - \{RecyclingPoint\}, \ \forall j \in I - \{RecyclingPoint\}, \ i = j \quad (4)$$

$$\sum_{j \in I} x_{ijrc} = PR_{irc} \quad \forall c \in C, \ \forall r \in R, \ \forall i \in I - \{RecyclingPoint\}$$
(5)

$$\sum_{i \in I} x_{ijrc} = DR_{jrc} \quad \forall c \in C, \ \forall r \in R, \ \forall j \in I - \{RecyclingPoint\}$$
(6)

$$\sum_{j \in I} \sum_{c \in C} x_{ijrc} \le 1 \quad \forall r \in R, \ \forall i \in I - \{RecyclingPoint\}$$
(7)

$$\sum_{i \in I} \sum_{c \in C} x_{ijrc} \le 1 \quad \forall r \in R, \ \forall j \in I - \{RecyclingPoint\}$$
(8)

$$U_i - U_j + n * x_{ijrc} \le n - 1 \quad \forall r \in R, \forall i \in I - \{RecyclingPoint\}, \forall j \in I - \{RecyclingPoint\}, i, j = 1...n (9)$$

#### 3.3.2. Restrictions on means of transport

Restrictions on means of transport are considered in order to integrate their characteristics into the model solution.

Eqs. (10) and (11) ensure that the weight and volume of the amount of plastic waste collected on each route do not exceed the capacity used for each route (weight and volume respectively). On the other hand, Eq. (12) ensures that the working time to carry out the collection cannot exceed the number of daily working hours.

$$\sum_{i \in I} w_i * PR_{irc} \le capw_c \quad \forall r \in R, \ \forall c \in C$$
(10)

$$\sum_{i \in I} v_i * PR_{irc} \le capv_c \quad \forall r \in R, \ \forall c \in C$$
(11)

$$\sum_{i \in I} \sum_{j \in I} \sum_{r \in R} x_{ijrc} * d_{ij} \le hh * V_c \quad \forall c \in C$$
(12)

#### 3.3.3. Restriction on capacity of processing (recycling)

A restriction of processing capacity is considered in order to integrate the characteristics of the recycling point in the modeled solution.

Eq. (13) ensures that the total amount collected is not greater than the processing capacity of the recycling point. Eqs. (14) and (15) ensure that the number of processing days needed is correctly related to the amount to collect.

$$\sum_{i \in I} \sum_{r \in R} \sum_{c \in C} w_i * PR_{irc} \le Capr$$
(13)

$$\sum_{i \in I} \sum_{r \in R} \sum_{c \in C} \frac{w_i * PR_{irc}}{Capdp} \le DP$$
(14)

$$\sum_{i \in I} \sum_{r \in R} \sum_{c \in C} \frac{w_i * PR_{irc}}{Capdp} + 1 \ge DP$$
(15)

#### 3.3.4. Restriction of non-negativity.

These types of constraints are considered in order to specify the characteristics of the variables considered in the model.

Eqs. (16) and (17) ensure that both the Economic Benefit and Environmental Benefit (expressed in equations (1) and (2) respectively) do not take negative values. Eq. (18) represents binary constraints. Eqs. (19) and (20) are non-negative constraints.

$$Economic \ Benefit \ge 0 \tag{16}$$

$$Environmental \ Benefit \ge 0 \tag{17}$$

$$x_{ijrc}, PR_{irc}, DR_{jrc} : binary \quad \forall i \in I, \ \forall j \in I, \ \forall r \in R, \ \forall c \in C$$
(18)

$$U_i \ge 0 \quad \forall i \in I \tag{19}$$

$$DP \ge 0$$
 (20)

A mathematical model for a distributed CLSC plastic recycling network for OS 3D printing technologies was presented. In the next section, the application of the mathematical model is illustrated through a case study.

#### 4. Case Study: Lorraine Fab Living Lab

The case study of the Lorraine Fab Living Lab  $(LF2L)^2$ , a platform integrating several 3D printing and extrusion devices, located in Nancy, France, is presented. The pilot recycling process has been implemented within the facilities of the LF2L, following the process flow described in the work of Cruz Sanchez et al. (2017). The LF2L is considering the possibility of implementing this recycling process on its premises. Moreover, the Lorraine Region has a program to support the integration and diffusion of 3D printing technologies into secondary schools. To achieve this goal, an investment program has been established in order to provide each secondary school with 3D printers within the academic program (Robine & Tomasini, 2018).

 $<sup>^2\</sup>mathrm{A}$  project called Green Fablab (http://lf2l.fr/projects/green-fablab/) is being developed.

This provides an opportunity to explore the feasibility of the distributed CLSC plastic recycling network using the LF2L as recycling point.

In order to define, from a logistical point of view, the optimal way in which a plastic recycling network should operate in this type of facility, the proposed model is applied. The aim of the recycling network is to collect PLA plastic waste from a sample of secondary schools and technical high schools of the Lorraine region, based on information obtained in relation to secondary schools already using 3D printers. To address the feasibility thereof, the following assumptions were considered:

- For secondary schools a quantity of 2 printers and for the high schools a quantity of 4 printers are considered.
- A quantity of 100 grams of PLA plastic waste per printer per week is considered. This is the minimum value already reported by several secondary school teachers. Moreover, they are currently the lead users in their institutions and they claim that this amount will be higher in the near future, as more and more colleagues will use this technology in their academic programs.
- A volume of 4.58 liters per kilogram of PLA plastic waste is considered. This value was obtained by weighing a cardboard box (8.5 x 22cm x 23.5 cm) containing different pieces printed with PLA (959 grams). The pieces considered in the estimation correspond to pieces used in the field of education (see Appendix C).
- Collection will be undertaken on a monthly basis.

The monthly PLA plastic waste obtained from every city considered is presented in Appendix D. The other parameters used in the model are shown in Table 4. The calculation of distances between cities is shown in Appendix E.

#### 5. Results

The optimization model was programmed and solved using GAMS-CPLEX software. The model was run on a server with a 2.4 GHz Intel Core i7 processor and 16 GB of RAM.

In order to find out whether the solution obtained is global optimal or not, it is necessary to observe the absolute and relative optimality criteria (absolute and relative gap). The absolute gap corresponds to the difference between the best possible integer solution (considering the relaxation performed by the algorithm of Branch and Cut using restrictions (IBM, 2019)) and the current best integer solution (GAMS, 2018; Grossmann et al., 2003). The relative gap represents the relative difference between the best possible integer solution and the current best integer solution. Based on these criteria, a solution is global optimal when the best possible integer solution and the current best integer solution are equal, which makes these criteria equal to zero (GAMS, 2018; Grossmann et al., 2003).

The results of the solution obtained for the objective function (Eq.1 and Eq.2) and the parameters of the model (Table 4) are shown in Table 5. A time tolerance of two hours of calculation was used. A total benefit of  $\in$  317.8 per month was obtained, which corresponds to the sum of the monthly Economic Benefit and Environmental Benefit, with values of  $\in$  315.3 per month and  $\in$  2.4 per month respectively. Considering the optimization method used (IBM, 2019), the result obtained has a 9% relative gap. Therefore, is not possible to guarantee that the best solution found by the algorithm, after two hours of calculation, is a global optimal.

| Group                  | Parameter   | Value                   | Reference   |
|------------------------|---|-------------------------|---|
|                        | Car capacity (volume)   | 254 liters              | Suzuki Celerio (Suzuki<br>Motor Corporation, 2014)                  |
|                        | Car capacity (kilograms)  | 415 kg                  | Suzuki Celerio (Suzuki<br>Motor Corporation, 2014)                  |
|                        | Car speed   | $71 \mathrm{~km/h}$     | Average speed between   |
| Means of<br>transport  | Variable cost of the car<br>(gasoline and                         | $0.15 \in /\mathrm{km}$ | (Appendix F)<br>LF2L  |
|                        | amortization)<br>Motorcycle capacity<br>including box (volume)    | 78 liters               | Suzuki Burgman 125cc<br>(Suzuki Motor                               |
|                        | Motorcycle capacity<br>including box (kilograms)                  | 17 kg                   | Corporation, 2018)<br>Estimation based on<br>weight-volume relation |
|                        | Motorcycle speed  | $71 \mathrm{~km/h}$     | (4.58 1/kg).<br>Average speed between<br>cities using Google maps   |
|                        | Variable cost of the<br>motorcycle (gasoline and<br>amortization) | €0.15/km                | (Appendix F)<br>LF2L  |
|                        | Daily recycling capacity  | 4.9  kg/day             | LF2L  |
|                        | Capacity of recycling in  | 98 kg/month             | LF2L  |
| Recycling              | the period considered   |                         |   |
| $\operatorname{point}$ | Variable human time   | 3 min/kg of             | LF2L  |
|                        | intervention  | plastic waste           |   |

Table 4: Parameters considered for the case study

| Group         | Parameter   | Value  | Reference   |
|---------------|---|--|---|
|               | Daily human time  | 15 min/day   | LF2L  |
|               | maintenance<br>Daily labor hours<br>Loss factor of mechanical<br>recycling  | 7 hours/day<br>10%   | LF2L<br>LF2L  |
|               | Amortization cost of the  | €250/month   | LF2L  |
|               | machine<br>Cost of purchase of  | €30.47/kg  | (3ders, 2019)   |
| Economical    | Cost by delivery of<br>plastic filament   | €0   | Not considered due to<br>existing offers on the<br>market without delivery                                  |
|               | Cost of recycled plastic  | €0.88/kg of  | costs.<br>(Zhao et al., 2018)   |
|               | Price of $CO_2$ emission<br>Labor cost  | plastic waste<br>€20/ton<br>€17/hour                                     | (Bing et al., 2014b)<br>LF2L  |
|               | $CO_2$ emitted by   | 1.8 kg of  | (Vink et al., 2003)   |
|               | manufacturing virgin<br>plastic<br>$CO_2$ emitted by plastic<br>recycling   | $CO_2$ eq./kg<br>of plastic<br>0.52 kg of<br>$CO_2$ eq./kg<br>of plastic | (Kreiger et al., 2014)  |
|               | Distance considered for   | waste<br>7661 km   | Google maps   |
| Environmental | delivery transport<br>(United States to France)<br>Emission of delivery<br>transport of plastic<br>filament per kilometer | 0.552  kg of<br>$CO_2/\text{t/km}$                                       | (Dekker et al., 2012)   |
|               | Emission of delivery<br>transport of plastic  | 4.229 kg of $CO_2/\text{kg of}$  | (Dekker et al., 2012)   |
|               | filament<br>Car emissions<br>Motorcycle emissions   | plastic<br>99 gr of<br>$CO_2/\text{km}$<br>68 gr of<br>$CO_2/\text{km}$  | Suzuki Celerio (Suzuki<br>Motor Corporation, 2014)<br>Suzuki Burgman 125cc<br>(Suzuki Moto France,<br>2018) |

Table 4 – continued from previous page

| Index   | Value          |
|---|----------------|
| Total Benefit (€)   | 317.8          |
| Economic Benefit (€)  | 315.3          |
| Total alternative cost $(\in)$                                  | 789.8          |
| Total cost of delivery plastic filament $(\in)$                 | Not considered |
| Total cost of transport $(\in)$                                 | 57.5           |
| Total labor cost of transport $(\in)$                           | 91.7           |
| Total cost of processing $(\in)$                                | 25.3           |
| Total labor cost of processing $(\in)$                          | 50             |
| Amortization cost of the machine $(\in)$                        | 250            |
| Environmental Benefit $(\in)$                                   | 2.4            |
| Total cost of emissions by fabrication $(\in)$                  | 1.0            |
| Total cost of emissions by delivery of plastic filament $(\in)$ | 2.4            |
| Total cost of emissions by recycling $(\in)$                    | 0.3            |
| Total cost of emission by transport $(\in)$                     | 0.8            |
| Amount collected (kg)   | 28.8           |
| Amount available (kg)   | 28.8           |
| Number of points available for collection                       | 19             |
| Number of points collected                                      | 19             |
| Processing days   | 6              |
| Number of routes  | 1              |
| Total distance traveled (km)                                    | 383            |
| Total motorcycle distance (km)                                  | 0              |
| Total car distance (km)   | 383            |
| $CO_2$ saving (kg)  | 120.7          |
| Relative gap  | 0.09           |
| Absolute gap  | 30.13          |
| Best possible   | 347.85         |
| Final solve   | 317.72         |
| Resource usage (sec)  | 7200           |

Table 5: Results

The total amount of PLA plastic waste to be collected is 28.8 kg per month. This plastic is collected over a total distance of 383 km. All this operation enables us to stop emitting 120.7 kg of  $CO_2$ , corresponding to a  $CO_2$  reduction of 69.5% compared with the current situation.

The value of the Economic Benefit is mainly due to the high difference obtained by not buying plastic filament on the market, compared to the costs of collection and recycling, which are very low in comparison. The Environmental Benefit results mainly from no emissions being caused by transporting the plastic filament from the manufacturing point to the recycling point. The model solution derived shows a number of 1 closed path needed to collect PLA plastic waste from 19 selected cities out of 19 potential cities. To perform the collection, the model solution proposes the route shown in Figure 2. Only one car is needed to perform the collection using the route shown in Figure 2.



Figure 2: Solution Map

#### 5.1. Sensitivity analysis

In order to assess how the result changes with respect to the model parameters, a sensitivity analysis was performed with a selected set of parameters in order to analyze results across different scenarios. This enables decision makers to validate the coherence of the model, but also to explore the evolution of potential scenarios of the modeled system. In this case, the influence on the total benefit (economic and environmental) of the following parameters are analyzed: quantity of plastic waste per printer and plastic filament purchasing cost.

#### 5.1.1. Variation of plastic waste per printer quantity

The model was executed repeatedly by varying the amount of PLA waste per printer per week by 0.06 kg to reach 0.34 kg, where it is estimated that the maximum recycling capacity is reached. Then, the amount was varied in the same quantity to reach 0.52 kg of PLA waste per printer per week. This analysis aims to represent the implication of more intensive future use of 3D printers in secondary schools within the network, leading to higher quantities of plastics to be recycled. This scenario could take place, taking into account the governmental support program applicable in the aforementioned region. The results of the benefits obtained are shown in Fig. 3a. The details of the results and GAMS output information are presented in Appendix G.

Looking at the results shown in Fig. 3a, we can see that the Economic Benefit follows the same behavior as the Total Benefit. It is also evident that the increase in Total Benefit is mainly due to the increase in Economic Benefit, where the Environmental Benefit corresponds to the difference between these.

If we consider the amount of emissions of  $CO_2$  (Fig. 3b) reduced, we can see that the amount of  $CO_2$  saved increases until we reach 0.34 kg of PLA waste per printer per week. Thereafter, the amount of  $CO_2$  saved tends to remain constant, or to slightly increase. This occurs because by increasing the amount of PLA waste per printer per week, it is possible to reach the maximum capacity of the LF2L by collecting waste from the nearest points.

Considering the economic aspects, costs specifically (Fig. 3c), we can observe that the economic benefit is mainly due to the great variation of the alternative cost (cost of buying virgin plastic in the marketplace) according to the quantity of plastic waste PLA per printer per week. In terms of costs related to recycling (transportation, labor and processing), these do not suffer a great variation in relation to the quantity of PLA plastic waste per printer per week. In addition, the distribution of these is similar in the eight solutions shown below.



(a) Model sensitivity analysis with variation of the quantity of plastic waste per printer.



(b)  $CO_2$  saving by variation of the quantity of plastic waste per printer



Figure 3

#### 5.1.2. Variation of the plastic filament purchasing cost

The purchase price of the virgin plastic filament in the marketplace may change in the future, a factor which directly affects the economic aspect. In particular, we must consider here a price reduction as a consequence of the 3D printing diffusion, leading to higher manufacturing production capacities and economies of scale. As such, in order to perform the sensitivity analysis, the purchase price of the virgin plastic filament used in the case study ( $\in$ 30.47 per kilogram) was changed and combined with the different solutions obtained, varying the quantity of plastic waste per printer considered in Section 5.1.1. The results obtained are shown in Fig. 4.

In the first results, we can see that, when considering 0.1 kg of PLA per printer per week to be recycled, there will only be a benefit if the purchase price remains higher than 18.4 euros per kilogram. Therefore, if the recycling quantity per printer changes, we will observe a benefit if the purchase price is greater than 8 euros per kilogram. This limit was installed by the Lorraine Fab Living Lab full recycling capacity.



Figure 4: Model sensitivity analysis with variation of plastic filament price

#### 6. Discussion

Plastic recycling is a pivotal aspect of the Circular Economy strategy for the European Union. This is why, in recent years, there has been an increasing amount of interest in the scientific community and entrepreneurial initiatives to propose solutions to tackle the issue, including the use of plastic recycling for 3D printing purposes. The present review found that most of these studies are concerned with the technical feasibility, proving that it is possible to use this type of material for additive

purposes. However, few studies have carried out a holistic analysis of the supply chain network of this distributed plastic recycling approach. This type of analysis is crucial in order to validate its economical sustainability in the long term. With the purpose of evaluating the implementation of a distributed plastic recycling network considering the decision making process, this study proposed a MILP model in order to assess the economic and environmental feasibility assessment of this recycling approach. The results demonstrate the economical and environmental feasibility. From the economic perspective, the necessary monthly benefits are obtained to cover the machine amortization, operational costs and to generate savings reducing the expenses on virgin filaments. From an environmental perspective, it is possible to reduce by over 50% the  $CO_2$  emissions compared to the use of virgin plastic filament.

This result also considers a reduced (conservative) volume of plastic waste per printer, which enables us to presume that the real values of waste may be larger. Indeed, the sensitivity analysis carried out from the case study enables us to define the minimum conditions required, according to possible changes in the market (in terms of quantity of PLA plastic waste and virgin filament price) in which the local plastic recycling network in small quantities is feasible. This paper constitutes the first work to assess the logistical feasibility to recycle for open-source 3D printing.

From an industrial/entrepreneurial perspective, this type of analysis represents a step forward in terms of application and/or implementation of this plastic recycling approach, for business purposes. This model could be used in order to make operational decisions in the context of a small plastic recycling company or facility of a company looking to market 3D plastic filament of recycled plastic. The proposed recycling network can also be implemented by public or non-profit entities, due to the low investment required by the OS nature of the various extruder machines. Also, other extended innovations spaces such as Fab Labs (which currently are more than 1.600 worldwide (Fablabconnect, 2019)) could possibly replicated the recycling process.

3D printing can be considered as a developing market. In the future, the use of 3D printing and thus the consumption of plastic filament may increase: one cause of this may be the development of distributed manufacturing. The results of the sensitivity analysis show that as the consumption of plastic filament increases, the economic and environmental feasibility of the local plastic recycling network will be possible at decreasing prices of virgin plastic filament. From a market perspective, this implies that as the 3D printing market grows and the price of virgin plastic filament decreases, implementation of this network will increase in effectiveness at ever lower prices. Therefore, under these conditions, the proposed approach could be even more favorable to the distributed recycling approach. Compared to other models in the literature, this model has been developed in a simple way, in order to analyze the economic and environmental feasibility of this new approach to plastic recycling before integrating other aspects in the model. Furthermore, the model has been developed for collection and recycling at a single recycling point in order to fit the pre-existing physical system of collecting points and recycling facilities.

Considering that the economic and environmental feasibility of this recycling approach has been demonstrated, other aspects can be integrated into a more robust model. From the technical perspective, the lack of mono-streams waste plastic difficult the distributed approach. The identification of relatively clean and homogeneous plastic wastes needs is a important barrier to overcome by industry, consumers, and institutions. In the social dimension and political context are the main elements to add to a more robust model in order to assess local network feasibility. Considering the social aspects, the uncertainty regarding the amount of plastic waste available and people's behavior regarding this approach of plastic recycling are opportunities to explore further. Finally, from a political perspective, possible subsidies for this recycling approach or policies to promote the use of plastic recycling can be explored, such as a reduced price if the filament is made of recycled plastic.

#### 7. Conclusions and Perspectives

This work has demonstrated the economic and environmental feasibility of a distributed closed loop supply chain network for plastic recycling using OS 3D printing technologies.

A MILP model was proposed and used to support the decision making process during the feasibility evaluation. This enables decision-makers to select the polymer waste sources from which polymer waste must be collected, the number of collection routes required, the sequence of collection routes, and the means of transport to be used on each route, thus knowing the amount of plastic waste at each point and seeking economic and environmental benefits throughout the recycling operation. The model is applied to the design of a local recycling network demonstrator in the Lorraine region in France.

The results obtained from the application of the model to the case study and its sensitivity analysis suggest replication of this recycling node in different places to form the distributed recycling network. This network could furthermore act in parallel with the large-scale plastic recycling industry in order to increase the rate of plastic recycling.

The present work considers only economic and environmental dimensions. However, this type of analysis needs to consider social and political aspects. These aspects could be considered in future works by means of, for example, multi-objective modeling. Moreover, uncertainty regarding available plastic waste, and the optimal location of one or many recycling facilities in accordance with the capacities of recycling facilities, should be considered in the model. Replication of this network in different contexts and comparison thereof would also be useful.

## Highlights

- 1. The first formalization of a CLSC network of distributed plastic recycling for 3D printing
- 2. Assessment of the economical-environmental feasibility of the distributed recycling network
- 3. A sensitivity analysis of the distributed recycling undertaken using real parameters
- 4. A first model to decision-making process to sustain distributed recycling initiatives at early stages

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## Appendix A. Analysis of works in the area of plastics, Closed Loop Supply Chain

| Author                        | Area of the<br>study of the<br>network | Orientation | Network<br>type | Description   |
|-------------------------------|--|-------------|-----------------|---|
| Kannan<br>et al. (2009)       | Design and<br>planning                 | Industrial  | Centralized     | Propose a closed loop multi-echelon distribution inventory<br>supply chain model, which solves by means of a genetic algo-<br>rithm and particle swarm optimization. The model is applied<br>in the cases of the tire manufacturing industry and the plastic<br>goods manufacturing industry.   |
| Sheriff et al.<br>(2014)      | Design and<br>planning                 | Industrial  | Centralized     | Propose a mathematical model for reverse logistics, which<br>minimizes costs and decides the location of facilities, the al-<br>location of facilities, and the transport routes. The model is<br>applied to the case of the plastics industry in India.  |
| French &<br>LaForge<br>(2006) | Issues and<br>practices                | Industrial  | Centralized     | Exploratory analysis of the reuse practices of the process in-<br>dustry (including plastic) in a CLSC network. From these<br>results it is concluded that "research efforts are needed in the<br>areas of network design and product acquisition; inventory;<br>production planning and control; and scheduling."  |
| Chavez &<br>Sharma<br>(2018)  | Network<br>evaluation                  | Industrial  | Centralized     | Evaluate and compare the profitability and environmental<br>friendliness of a CLSC chemical PET recycling network. A<br>case study of the Mexican automotive market is evaluated<br>from the point of view of cost, energy consumption, and $CO_2$<br>emissions, based on a PESTEL analysis. Comparing these<br>results with a forward network, it showed that the proposed<br>CLSC network is more profitable (the recycled plastic can be<br>sold to Japan with a margin of 4.24%) and environmentally<br>friendly (reducing energy consumption by 79% and the $CO_2$<br>generated by 73%). |

Table A.6: Analysis of works in the area of plastics, Closed Loop Supply Chain

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| Author                | Area of the<br>study of the<br>network | Orientation | Network<br>type | Description  |
|-----------------------|--|-------------|-----------------|--|
| Ma & Chen<br>(2014)   | Uncertainty                            | Industrial  | Centralized     | Model and analyze the play of three oligarch retailers in a CLSC network by means of Nash equilibrium, bifurcation, and chaos of e.g. the recycling price. As a case for modeling, they consider that retailers recycle the products, send them to the manufacturer for repair and then resell them as a secondhand product in conjunction with the new products. These second-hand products have the same performance and appearance as the new products, but a different degree of customer acceptance, which affects their price. |
| Pati et al.<br>(2010) | Uncertainty                            | Industrial  | Centralized     | A Measure the bullwhip effect in a closed loop supply chain net-<br>work, i.e. the effect of demand variability in a CLSC network.   |

Table A.6 – continued from previous page

# Appendix B. Literature on distributed recycling networks

Table B.7: Literature on distributed recycling networks

|    |                        |                            |  | Ec       | ono               | mic j                       | para                       | mete           | ers            |
|----|------------------------|----------------------------|--|----------|-------------------|-----------------------------|----------------------------|----------------|----------------|
| 40 | $\mathbf{Author}$      | Type of optimization model | Objective function                       | Revenues | Cost of transport | Operational/processing cost | Cost of opening facilities | Inventory cost | Incentive cost |
|    | Asefi et al.           | Mixed Integer Linear       | Min cost                                 |          | x                 |                             | x                          |                |                |
|    | (2019)                 | Programming                |  |          |                   |                             |                            |                |                |
|    | Aydemir-               | Mixed Integer Linear       | Max profit                               | х        | х                 |                             | х                          |                |                |
|    | Karadag                | Programming                |  |          |                   |                             |                            |                |                |
|    | (2018)                 |                            |  |          |                   |                             |                            |                |                |
|    | Aka & Akyuz $(2018)$   | Multi-objective            | Max number of containers and Min         |          |                   |                             |                            |                |                |
|    | (2010)<br>Farrokhi-Asl | Multi-objective            | Min cost Min risk during transportation  |          | v                 |                             | v                          |                |                |
|    | et al. $(2018)$        | Walti objective            | and Min risk in facilities               |          |                   |                             | 1                          |                |                |
|    | Asefi et al.           | Mixed Integer Linear       | Min cost                                 | х        |                   |                             | x                          |                |                |
|    | (2017)                 | Programming                |  |          |                   |                             |                            |                |                |
|    | Hemmelmayr             | Mixed Integer Programming  | Min cost                                 |          | х                 |                             | х                          |                |                |
|    | et al. $(2017)$        |                            |  |          |                   |                             |                            |                |                |
|    | Zhao & Ke              | Multi-objective            | Min cost, Min risk during transportation |          | х                 | х                           | х                          | х              |                |
|    | (2017)                 | A.C. 1                     | and Min risk of inventory                |          |                   |                             |                            |                |                |
|    | Yu & Solvang           | Multi-objective            | Min cost, Min risk during transportation |          | х                 | х                           | X                          |                |                |
|    | (2010)                 |                            | and Min risk in facilities               |          |                   |                             |                            |                |                |

| Table | <b>B.7</b> | – continued | from | previous | page    |
|-------|------------|-------------|------|----------|---------|
|       |            |             |      | L        | L . O . |

|  |                                     |  | Ec       | ono               | mic ]                       | para                       | mete           | $\mathbf{ers}$ |
|--|-------------------------------------|--|----------|-------------------|-----------------------------|----------------------------|----------------|----------------|
| Author   | Type of optimization model          | Objective function   | Revenues | Cost of transport | Operational/processing cost | Cost of opening facilities | Inventory cost | Incentive cost |
| Vidović et al.   | Mixed Integer Linear                | Max profit   | х        | х                 |                             | x                          |                |                |
| (2016)<br>Ghezavati &<br>Moraka-<br>batchian<br>(2015) | Programming<br>Multi-objective      | Min cost, Min risk during transportation<br>and Min risk in facilities |          | x                 | x                           | x                          |                |                |
| Asefi et al.   | Mixed Integer Linear<br>Programming | Min cost   |          | х                 |                             | x                          |                |                |
| Zhao &<br>Huang (2015)                                 | Multi-objective                     | Min cost, Min risk during transportation<br>and Min risk in facilities |          | х                 |                             | x                          |                |                |
| Rahim &  | Mixed Integer Linear                | Max profit   | х        | х                 |                             |                            |                |                |
| Sepil (2014)<br>Sheriff et al.                         | Programming<br>Mixed Integer Linear | Min cost   |          | х                 | x                           | x                          |                | x              |
| (2014)<br>Boyer et al.                                 | Programming<br>Multi-objective      | Min cost and Min risk of transport                                     |          | х                 | x                           | x                          |                |                |
| (2013)<br>Samanlioglu<br>(2013)                        | Multi-objective                     | Min cost, Min risk during transportation<br>and Min risk in facilities |          | х                 | x                           |                            |                |                |



Appendix C. Pieces considered for weight-volume estimation

Figure C.5: Pieces considered for weight-volume estimation

## Appendix D. Amount of PLA plastic waste per City

| City               | Number of<br>secondary schools | Number of high<br>schools | Kilograms of<br>monthly PLA<br>plastic waste |
|--------------------|--------------------------------|---------------------------|--|
| Amneville          | 1                              | 0                         | 0.8  |
| Aumetz             | 1                              | 0                         | 0.8  |
| Bouzonville        | 2                              | 0                         | 1.6  |
| Cattenom           | 1                              | 0                         | 0.8  |
| Dieuze             | 1                              | 0                         | 0.8  |
| Folschviller       | 1                              | 0                         | 0.8  |
| Forbach            | 3                              | 0                         | 2.4  |
| Hayange            | 2                              | 0                         | 1.6  |
| Hombourg Haut      | 1                              | 0                         | 0.8  |
| Kedange Sur Canner | 1                              | 0                         | 0.8  |
| Maizieres Les Metz | 1                              | 0                         | 0.8  |
| Metz               | 2                              | 0                         | 1.6  |
| Morhange           | 1                              | 0                         | 0.8  |
| Moyeuvre Grande    | 1                              | 0                         | 0.8  |
| Petite Rosselle    | 1                              | 0                         | 0.8  |
| Sarreguemines      | 4                              | 0                         | 3.2  |
| St Avold           | 3                              | 3                         | 7.2  |
| Stiring Wendel     | 1                              | 0                         | 0.8  |
| Talange            | 0                              | 1                         | 1.6  |

Table D.8: Amount of PLA plastic waste per City

## Appendix E. Distance between cities (km)

|                    | LF2L | Amneville | Aumetz | Bouzonville | Cattenom                                 | Dieuze | Folschviller | Forbach | Hayange | Hombourg Haut | Kedange Sur Canner | Maizieres Les Metz | Metz | Morhange | Moyeuvre Grande | Petite Rosselle | Sarreguemines | St Avold | Stiring Wendel | Talange |
|--------------------|------|-----------|--------|-------------|--|--------|--------------|---------|---------|---------------|--------------------|--------------------|------|----------|-----------------|-----------------|---------------|----------|----------------|---------|
| LF2L               | 0    | 76        | 101    | 96          | 95                                       | 43     | 66           | 111     | 84      | 106           | 88                 | 69                 | 57   | 48       | 80              | 115             | 89            | 74       | 115            | 71      |
| Amneville          | 75   | 0         | 31     | 37          | 26                                       | 86     | 67           | 75      | 14      | 70            | 20                 | 8                  | 21   | 71       | 9               | 78              | 95            | 62       | 79             | 5       |
| Aumetz             | 101  | 31        | 0      | 55          | 27                                       | 111    | 92           | 100     | 18      | 95            | 38                 | 36                 | 47   | 96       | 33              | 104             | 121           | 87       | 104            | 33      |
| Bouzonville        | 96   | 37        | 55     | 0           |  | 71     | 35           | 55      | 42      | 36            | 19                 | 43                 | 43   | 54       | 47              | 36              | 58            | 32       | 41             | 36      |
| Cattenom           | 95   | 26        | 26     | 31          | $\begin{vmatrix} 0 \\ 100 \end{vmatrix}$ | 105    | 86           | 94      | 18      | 89            | 16                 | 30                 | 41   | 90       |                 | 82              | 115           | 81       | 98             | 27      |
| Dieuze             | 43   | 85        | 110    | 71          | 103                                      | 0      | 40           | 55      | 94      | 44            | 93                 | 79                 | 66   | 17       | 89              | 58              | 49            | 39       | 59             | 80      |
| Folschviller       | 67   | 66        | 92     | 35          | 85                                       | 40     | 0            | 26      | 75      | 13            | 52                 | 61                 | 50   | 23       | 71              | 30              | 36            | 7        | 30             | 61      |
| Forbach            | 111  | 73        | 99     | 54          | 92                                       | 56     | 26           | 0       | 82      | 14            | 69                 | 68                 | 57   | 50       | 78              | 5               | 24            | 22       | 4              | 69      |
| Hayange            | 84   | 14        | 15     | 42          | 18                                       | 94     | 75           | 84      | 0       | 78            | 26                 | 20                 | 30   | 79       | 13              | 87              | 104           | 71       | 87             | 16      |
| Hombourg Haut      | 105  | 67        | 92     | 48          | 85                                       | 43     | 13           | 14      | 76      | 0             | 62                 | 61                 | 51   | 37       | 72              | 17              | 34            | 8        | 17             | 62      |
| Kedange Sur Canner | 89   | 21        | 38     | 19          | 16                                       | 96     | 52           | 69      | 25      | 63            | 0                  | 23                 | 29   | 63       | 31              | 55              | 89            | 48       | 72             | 19      |
| Maizieres Les Metz | 68   | 8         | 37     | 37          | 30                                       | 78     | 59           | 67      | 20      | 62            | 23                 | 0                  | 14   | 63       | 14              | 71              | 88            | 55       | 71             | 3       |
| Metz               | 59   | 21        | 47     | 36          | 40                                       | 67     | 50           | 59      | 30      | 54            | 29                 | 15                 | 0    | 51       | 26              | 62              | 79            | 46       | 63             | 17      |
| Morhange           | 48   | 70        | 95     | 54          | 88                                       | 17     | 23           | 50      | 78      | 37            | 63                 | 64                 | 51   | 0        | 74              | 54              | 41            | 30       | 54             | 65      |
| Moyeuvre Grande    | 79   | 9         | 34     | 47          | 35                                       | 89     | 70           | 78      | 14      | 73            | 31                 | 13                 | 25   | 74       | 0               | 82              | 99            | 66       | 82             | 13      |
| Petite Rosselle    | 115  | 77        | 103    | 34          | 96                                       | 59     | 30           | 5       | 86      | 17            | 72                 | 72                 | 61   | 54       | 82              | 0               | 30            | 25       | 8              | 72      |
| Sarreguemines      | 89   | 95        | 120    | 76          | 113                                      | 49     | 36           | 24      | 104     | 34            | 89                 | 89                 | 79   | 41       | 99              | 30              | 0             | 43       | 28             | 90      |
| St Avold           | 74   | 61        | 86     | 30          | 79                                       | 39     | 7            | 21      | 70      | 7             | 55                 | 55                 | 45   | 31       | 65              | 24              | 41            | 0        | 25             | 56      |
| Stiring Wendel     | 115  | 77        | 103    | 42          | 96                                       | 59     | 30           | 4       | 86      | 17            | 72                 | 72                 | 61   | 54       | 82              | 8               | 28            | 25       | 0              | 72      |
| Talange            | 70   | 5         | 33     | 36          | 26                                       | 81     | 61           | 70      | 17      | 65            | 19                 | 3                  | 17   | 66       | 13              | 73              | 90            | 57       | 74             | 0       |

Table E.9: Distance between cities (km) based on Google Map

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## Appendix F. Mean speed between cities (km/h) based on Google Map

Table F.10: Speed between cities (km/h)

|                    | LF2L | Amneville | Aumetz | Bouzonville | Cattenom | Dieuze | Folschviller | Forbach | Hayange | Hombourg Haut | Kedange Sur Canner | Maizieres Les Metz | Metz | Morhange | Moyeuvre Grande | Petite Rosselle | Sarreguemines | St Avold | Stiring Wendel | Talange |
|--------------------|------|-----------|--------|-------------|----------|--------|--------------|---------|---------|---------------|--------------------|--------------------|------|----------|-----------------|-----------------|---------------|----------|----------------|---------|
| LF2L               | 0    | 79        | 87     | 80          | 80       | 57     | 59           | 89      | 84      | 87            | 75                 | 78                 | 76   | 58       | 75              | 86              | 59            | 63       | 91             | 82      |
| Amneville          | 76   | 0         | 72     | 53          | 56       | 75     | 80           | 88      | 53      | 86            | 44                 | 40                 | 53   | 73       | 42              | 84              | 89            | 81       | 91             | 30      |
| Aumetz             | 84   | 74        | 0      | 67          | 58       | 82     | 89           | 95      | 72      | 93            | 69                 | 80                 | 76   | 82       | 71              | 92              | 96            | 90       | 98             | 83      |
| Bouzonville        | 79   | 54        | 67     | 0           | 56       | 65     | 57           | 79      | 63      | 55            | 57                 | 68                 | 72   | 65       | 59              | 55              | 62            | 56       | 62             | 57      |
| Cattenom           | 77   | 52        | 58     | 55          | 0        | 76     | 81           | 87      | 45      | 85            | 46                 | 62                 | 63   | 75       | 58              | 75              | 88            | 81       | 88             | 62      |
| Dieuze             | 57   | 76        | 83     | 62          | 77       | 0      | 63           | 63      | 82      | 61            | 72                 | 78                 | 69   | 54       | 74              | 61              | 60            | 62       | 67             | 77      |
| Folschviller       | 60   | 81        | 89     | 55          | 81       | 65     | 0            | 60      | 87      | 56            | 58                 | 83                 | 75   | 55       | 77              | 56              | 55            | 47       | 64             | 83      |
| Forbach            | 86   | 88        | 96     | 75          | 88       | 63     | 58           | 0       | 95      | 56            | 74                 | 93                 | 86   | 65       | 85              | 27              | 69            | 60       | 30             | 94      |
| Hayange            | 83   | 60        | 45     | 63          | 47       | 81     | 88           | 97      | 0       | 94            | 62                 | 75                 | 72   | 80       | 52              | 92              | 96            | 91       | 98             | 74      |
| Hombourg Haut      | 86   | 89        | 95     | 74          | 86       | 60     | 60           | 60      | 95      | 0             | 72                 | 92                 | 85   | 67       | 85              | 51              | 73            | 48       | 64             | 93      |
| Kedange Sur Canner | 74   | 47        | 67     | 57          | 46       | 73     | 58           | 74      | 60      | 70            | 0                  | 49                 | 54   | 60       | 56              | 56              | 77            | 56       | 74             | 48      |
| Maizieres Les Metz | 77   | 44        | 82     | 54          | 64       | 77     | 82           | 91      | 71      | 89            | 51                 | 0                  | 49   | 74       | 47              | 87              | 93            | 85       | 95             | 36      |
| Metz               | 72   | 55        | 81     | 57          | 69       | 69     | 73           | 86      | 75      | 81            | 54                 | 53                 | 0    | 65       | 56              | 79              | 86            | 75       | 88             | 64      |
| Morhange           | 59   | 78        | 85     | 64          | 78       | 57     | 58           | 68      | 82      | 69            | 61                 | 78                 | 68   | 0        | 74              | 65              | 57            | 67       | 70             | 80      |
| Moyeuvre Grande    | 74   | 45        | 76     | 58          | 60       | 74     | 79           | 87      | 49      | 84            | 55                 | 46                 | 52   | 73       | 0               | 83              | 89            | 81       | 88             | 41      |
| Petite Rosselle    | 85   | 87        | 94     | 51          | 86       | 62     | 60           | 33      | 92      | 54            | 72                 | 90                 | 83   | 66       | 83              | 0               | 64            | 58       | 40             | 90      |
| Sarreguemines      | 60   | 92        | 96     | 81          | 89       | 60     | 55           | 72      | 96      | 73            | 77                 | 94                 | 89   | 57       | 87              | 64              | 0             | 74       | 76             | 95      |
| St Avold           | 63   | 87        | 94     | 55          | 85       | 62     | 47           | 66      | 93      | 53            | 67                 | 89                 | 82   | 66       | 81              | 58              | 75            | 0        | 71             | 91      |
| Stiring Wendel     | 88   | 92        | 98     | 61          | 90       | 67     | 67           | 27      | 99      | 64            | 76                 | 96                 | 89   | 70       | 88              | 40              | 73            | 65       | 0              | 96      |
| Talange            | 81   | 30        | 86     | 57          | 65       | 80     | 85           | 95      | 78      | 93            | 50                 | 36                 | 60   | 78       | 41              | 89              | 95            | 88       | 99             | 0       |

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|   | 0.10 kg | 0.16 kg | 0.22 kg | $0.28 \mathrm{~kg}$ | 0.34 kg | 0.40 kg | 0.46 kg | 0.52 kg |
|---|---------|---------|---------|---------------------|---------|---------|---------|---------|
| Total Benefit (€)                           | 317.72  | 720.37  | 1112.16 | 1504.31             | 1895.12 | 1897.19 | 1952.62 | 1944.79 |
| Economic Benefit $(\in)$                    | 315.30  | 716.19  | 1106.13 | 1496.50             | 1885.67 | 1887.74 | 1943.00 | 1935.21 |
| Total alternative cost $(\in)$              | 789.78  | 1263.65 | 1737.52 | 2211.39             | 2685.26 | 2632.61 | 2623.83 | 2623.83 |
| Total cost of delivery plastic              | 0.00    | 0.00    | 0.00    | 0.00                | 0.00    | 0.00    | 0.00    | 0.00    |
| filament (€)                                |         |         |         |                     |         |         |         |         |
| Total cost of transport $(\in)$             | 57.45   | 67.50   | 83.40   | 97.50               | 113.70  | 93.90   | 69.45   | 72.45   |
| Total cost of processing $(\in)$            | 25.34   | 40.55   | 55.76   | 70.96               | 86.17   | 84.48   | 84.20   | 84.20   |
| Total labor cost of transport               | 91.70   | 107.75  | 133.13  | 155.63              | 181.49  | 149.89  | 110.86  | 115.65  |
| (€)   |         |         |         |                     |         |         |         |         |
| Total labor cost of processing              | 49.98   | 81.67   | 109.11  | 140.79              | 168.23  | 166.60  | 166.33  | 166.33  |
| (€)   |         |         |         |                     |         |         |         |         |
| Amortization cost of the                    | 250.00  | 250.00  | 250.00  | 250.00              | 250.00  | 250.00  | 250.00  | 250.00  |
| machine (€)                                 | 2.11    | 1.10    | 6.00    | - 01                | 0.40    | 0.45    | 0.00    | 0.50    |
| Environmental Benefit (€)                   | 2.41    | 4.19    | 6.03    | 7.81                | 9.46    | 9.45    | 9.63    | 9.59    |
| Total cost of emissions by                  | 1.04    | 1.66    | 2.28    | 2.90                | 3.53    | 3.46    | 3.44    | 3.44    |
| fabrication $(\in)$                         | 2.44    | 2.00    | 5.00    | 0.00                | 0.00    | 0.10    | 0.00    | 0.00    |
| Total cost of emissions by                  | 2.44    | 3.90    | 5.36    | 6.82                | 8.28    | 8.12    | 8.09    | 8.09    |
| delivery of plastic filament $(\mathbf{E})$ | 0.20    | 0.49    | 0.66    | 0.94                | 1.00    | 1.00    | 1.00    | 1.00    |
| lotal cost of emissions by                  | 0.30    | 0.48    | 0.00    | 0.84                | 1.02    | 1.00    | 1.00    | 1.00    |
| Tecycling $( \in )$                         | 0.76    | 0.80    | 0.05    | 1.08                | 1 2 2   | 1 1 2   | 0.02    | 0.06    |
| transport $(\mathbf{f})$                    | 0.70    | 0.89    | 0.95    | 1.00                | 1.55    | 1.15    | 0.92    | 0.90    |
| CO2 saving (kg)                             | 120.74  | 209.30  | 301.45  | 390.28              | 472 92  | 472 50  | 481.26  | 479.28  |
| Possible collection points                  | 10      | 19      | 10      | 19                  | 19      | 19      | 101.20  | 19      |
| Points selected by the model                | 10      | 10      | 19      | 10                  | 10      | 14      | 11      | 0       |
| Amount available (kg)                       | 28.80   | 46.08   | 63 36   | 80.64               | 07 02   | 115.20  | 132.48  | 1/9 76  |
| Amount collected (kg)                       | 28.80   | 46.08   | 63 36   | 80.64               | 97.92   | 96.00   | 95.68   | 95.68   |
| Processing days                             | 6       | 10      | 13      | 17                  | 20      | 20      | 20      | 20      |
| Number of routes                            | 1       | 1       | 2       | 3                   | 3       | 3       | 20      | 20      |
| Number of routes of the car                 | 1       | 1       | 1       | 1                   | 2       | 2       |         |         |

Table G.11: Results of variation of the plastic waste per printer quantity

Appendix G. Results of variation of the plastic waste per printer quantity

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|                                | 0.10 kg | 0.16 kg | 0.22 kg  | $0.28 \mathrm{~kg}$ | $0.34 \mathrm{kg}$ | 0.40 kg | 0.46 kg | $0.52 \mathrm{~kg}$ |
|--------------------------------|---------|---------|----------|---------------------|--------------------|---------|---------|---------------------|
| Number of routes of the        | 0       | 0       | 1        | 2                   | 1                  | 1       | 0       | 0                   |
| motorcycle                     |         |         |          |                     |                    |         |         |                     |
| Total distance traveled (km)   | 383     | 450     | 556      | 650                 | 758                | 626     | 463     | 483                 |
| Total motorcycle distance (km) | 0       | 0       | 240      | 335                 | 275                | 181     | 0       | 0                   |
| Total car distance (km)        | 383     | 450     | 316      | 315                 | 483                | 445     | 463     | 483                 |
| Relative gap                   | 0.09    | 0.08    | 0.08     | 0.08                | 0.08               | 0.08    | 0.06    | 0.07                |
| Absolute gap                   | 30.13   | 64.33   | 98.14    | 127.11              | 170.30             | 168.89  | 124.11  | 146.03              |
| Best possible                  | 347.85  | 784.70  | 1210.30  | 1631.42             | 2065.42            | 2066.08 | 2076.73 | 2090.82             |
| Final solve                    | 317.72  | 720.37  | 1112.16  | 1504.31             | 1895.12            | 1897.19 | 1952.62 | 1944.80             |
| Resource usage (sec)           | 7200.00 | 292.22  | 13097.95 | 26741.44            | 11518.11           | 4293.09 | 100.22  | 326.72              |

Table G.11 – continued from previous page