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The Dynamics of Epistemic Attitudes in Resource-Bounded Agents

The paper presents a new logic for reasoning about the formation of beliefs Abstract. 2 through perception or through inference in non-omniscient resource-bounded agents. The 3 logic distinguishes the concept of explicit belief from the concept of background knowl-4 edge. This distinction is reflected in its formal semantics and axiomatics: (i) we use a 5 non-standard semantics putting together a neighborhood semantics for explicit beliefs and 6 relational semantics for background knowledge, and (ii) we have specific axioms in the 7 logic highlighting the relationship between the two concepts. Mental operations of percep-8 tive type and inferential type, having effects on epistemic states of agents, are primitives 9 in the object language of the logic. At the semantic level, they are modelled as special 10 kinds of model-update operations, in the style of dynamic epistemic logic. Results about 11 axiomatization, decidability and complexity for the logic are given in the paper. 12

13 Keywords: Epistemic logic, Cognitive agents, Resource-bounded reasoning.

14 **1. Introduction**

Most existing logical theories of epistemic attitudes developed in the area 15 of epistemic logic assume that agents are omniscient, in the sense that: 16 (i) their beliefs are closed under conjunction and implication, *i.e.*, if φ is 17 believed and ψ is believed then $\varphi \wedge \psi$ is believed and if φ is believed and 18 $\varphi \to \psi$ is believed then ψ is believed; (ii) their beliefs are closed under logical 19 consequence (alias valid implication), *i.e.*, if φ is believed and φ logically 20 implies ψ , *i.e.*, $\varphi \to \psi$ is valid, then ψ is believed as well; (iii) they believe all 21 valid sentences or tautologies; (iv) they have introspection over their beliefs, 22 *i.e.*, if φ is believed then it is believed that φ is believed. 23

As pointed out by [25,31], relaxing the assumption of logical omniscience allows for a resource-bounded agent who might fail to draw any connection between φ and its logical consequence ψ and, consequently, who might not believe some valid sentences and who might need time to infer and form new beliefs from her existing knowledge and beliefs.

The aim of this paper is to propose a new logic which helps in clarifying how a non-omniscient resource-bounded agent can form new beliefs either through perception or through inference from her existing knowledge and

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³⁶ DLEK is a logical theory of the relationship between explicit beliefs and ³⁷ background knowledge, both from a static and from a dynamic perspective. ³⁸ This distinction is reflected in its formal semantics and axiomatics: (i) we ³⁹ use a non-standard semantics putting together a neighborhood semantics for ⁴⁰ explicit beliefs and relational semantics for background knowledge, and (ii) ⁴¹ we have specific axioms in the logic highlighting the relationship between ⁴² the two concepts.

Related work. DLEK is not the first logic of epistemic attitudes for non-43 omniscient agents. Logics of awareness have been studied in the recent 44 years (see, e.g., [1, 22, 24, 36]) starting from the seminal work of Fagin and 45 Halpern [15]. These logics distinguish between awareness, implicit belief and 46 explicit belief. Awareness and implicit belief are primitive and explicit belief 47 is defined as that implicit belief the agent is aware of (See [28] for a critical 48 discussion on this family of logics). The crucial difference between DLEK 49 and existing logics of awareness is that the latter make no use of concepts 50 as 'reasoning' or 'inference'. On the contrary, DLEK provides a constructive 51 theory of explicit beliefs, as it accounts for the perceptive and inferential 52 steps leading from an agent's knowledge and beliefs to new beliefs. This 53 'constructive' aspect of epistemic attitudes is something our theory shares 54 with other approaches in the literature including the dynamic theory of 55 evidence-based beliefs by [35]—that also use a neighborhood semantics for 56 the notion of evidence—, the sentential approach to explicit beliefs and their 57 dynamics by [29], and the dynamic theory of explicit and implicit beliefs by 58 [38]. 59

The logic of inference steps by Velázquez-Quesada [39] and the logical 60 system $DES4_n$ by Duc [11] share a similar view with us as well. In particu-61 lar, Velázquez-Quesada shares with us the idea of modeling inference steps 62 by means of dynamic operators in the style of dynamic epistemic logic (DEL) 63 [37]. Nonetheless, our conceptual framework is different from his (see Sec-64 tion 2). He does not distinguish the concept of explicit belief and the concept 65 of background knowledge, which is the fundamental distinction of our logic 66 DLEK. Furthermore, he does not provide any axiomatization or decidability 67 result for his logic of inference steps, as he only provides a semantics. 68

⁶⁹ Duc's system $DES4_n$ combines epistemic operators for truthful and non-⁷⁰ omniscient knowledge of type K_i , that allows to represent the fact that a

certain agent i knows something, with tense-like operators of type $\langle F_i \rangle$, that 71 allows to represent the fact that a certain agent i will possibly get to know 72 something.¹ Duc's system shares with our logic DLEK the idea that (i) an 73 agent gets to know (or believe) something by performing inferences, and (ii) 74 making inferences takes time. Nonetheless, while in our logic DLEK infer-75 ential operations are represented both at the syntactic level, via dynamic 76 operators in the DEL style, and a semantic level, as model update opera-77 tions, in Duc's system and its formal semantics given by [2] they are not. 78 The formal semantics for $DES4_n$ given by Ågotnes and Alechina is a Kripke-79 style semantics in which every operator $\langle F_i \rangle$ is associated with an abstract 80 accessibility relation R_i over possible worlds, where wR_iv means that world 81 w is related with world v. This semantics does not say anything about the 82 kind of inferential operation (or sequence of inferential operations) that is 83 responsible for the transition from world w to world v. More generally, the 84 system $DES4_n$ does not support reasoning about the consequences of a spe-85 cific kind of inferential operation such as application of modus ponens or 86 closure under conjunction on an agent's knowledge or beliefs. On the con-87 trary, this is something our logic DLEK can express. 88

Another related work is [4], which presents a semantics for a variant 89 of Alternating-time Temporal Logic (ATL) extended by explicit knowledge 90 operators. This logic supports reasoning about, among other things, the 91 capability of an agent of gaining some knowledge by applying a certain rea-92 soning rule such as reasoning with *modus ponens* or reasoning monotonically. 93 This logic does not take into account the distinction between explicit knowl-94 edge (or belief) and background knowledge which is fundamental for our 95 analysis. But, the most important difference between this system and ours 96 is their belonging to two different families of logics. Ågotnes and Walicki's 97 logic is a logic for strategic reasoning with a semantics based on the concept 98 of concurrent game structure (CGS). It has modal operators of strategic 99 capability that represent what an agent or coalition of agents can achieve 100 by playing a certain strategy. On the contrary, our logic DLEK belongs to 101 the family of dynamic epistemic logics whose main constituents are dynamic 102 modal operators that allow to represent the consequences of a specific com-103 municative action or mental operation. Apart from some rare exceptions 104 (see, e.g., [3, 32]), the connection between the two families of logics has been 105 rarely explored. Moreover, it is not the object of the present work. 106

¹The dual of $\langle F_i \rangle$, denoted by $[F_i]$, allows to represent the fact that a certain agent *i* will *necessarily* get to know something.

Our constructive approach to explicit beliefs also distinguishes DLEK 107 from existing logics of time-bounded reasoning which represent reasoning 108 as a process that requires time (see, e.g., [6, 21]). Active logics [12, 14] are 109 representative of the research in this area. They account for the formation of 110 new beliefs due to the time-consuming application of inference rules to the 111 beliefs that are under the focus of attention. Specifically, the basic semantics 112 of active logics, as presented in [14], includes three components: (i) an agent's 113 belief set, identifying all facts that an agent explicitly believes, (ii) an obser-114 vation function, identifying all facts that an agent observes at a given time 115 point, and (iii) an inference function, specifying what an agent should believe 116 at the next time point on the basis of the application of the inference rules 117 she possesses on her belief set, given her actual observations. Nonetheless, 118 there are important differences between active logics and our logic DLEK. 119 First of all, active logics do not belong to the family of modal logics, while 120 DLEK does. The latter has an advantage. As we will show in the paper, we 121 can use existing techniques from modal logic in order to prove results about 122 mathematical and computational properties of our logic. This includes the 123 canonical-model argument for proving completeness of its axiomatics, the 124 filtration argument for proving decidability of its satisfiability problem, and 125 modal tableaux for stating complexity of this problem. Second, while active 126 logics provide models of reasoning based on long-term memory and short-127 term memory (or working memory) (see, e.g., [13]), they do not distinguish 128 between the notion of *explicit belief* and the notion of *background knowl*-129 edge, conceived as different kinds of epistemic attitudes. As we will show in 130 Section 2, these two notions are the basic building blocks of our theory of 131 belief dynamics in resource-bounded agents. Third, by exploiting the rich 132 model-update semantics of dynamic epistemic logic (DEL) [37], our logic 133 DLEK accounts for a variety of mental operations (or processes) that have 134 not been explored in the active logic literature. This includes, for example, 135 forgetting that a certain fact is true, ascribing to someone the execution of 136 certain inference step, *being uncertain* that someone has performed a certain 137 inference step. The latter mental operations correspond to basic operations 138 of mindreading in the sense of Theory of Mind (ToM) [19]. 139

Plan of the paper. The paper is organized as follows. In Section 2 we present the conceptual foundation of our logic DLEK, namely the general view about dynamics of beliefs in resource-bounded agents which underlies our formal theory. The general idea is that new beliefs can be formed either by perception or by inferring them from existing beliefs in working memory and by retrieving information from background knowledge in long-term memory.



Figure 1. Cognitive view of the relationship between background knowledge and explicit beliefs

Section 3 presents the syntax and the semantics of DLEK. We will show that, 146 in DLEK, perceptive and inferential steps are modelled as special kinds of 147 model-update operations. Section 4 is devoted to presenting an axiomatic 148 system and showing its completeness. Section 5 presents complexity results 149 for the satisfiability problem of the static fragment of DLEK, called LEK, as 150 well as a decidability result for the satisfiability problem of DLEK. Given 151 that the semantics of the static fragment of DLEK are non-standard, in Sec-152 tion 6 we show how they can be reduced to purely relational semantics. 153 albeit with additional modalities 154

155 2. Conceptual Framework

The cognitive architecture underlying the logic DLEK (Dynamic Logic of Explicit Beliefs and Knowledge) is represented in Figure 1. It clarifies the processing of information in human agents and human-like artificial agents. In accordance with existing psychological theories and computational models of memory and attention [7, 10, 33, 40], we assume that an agent has two kinds of information in her mind, those available in long-term memory (LTM) and those directly accessible in working memory (WM).

The information available in LTM, generally called *background knowledge*, includes both knowledge of specific events in the past and conceptual or causal knowledge representing the agent's unproblematic interpretation ¹⁶⁶ of reality.² For example, an agent may have background conceptual knowl-¹⁶⁷ edge about how restaurants are organized or background causal knowledge ¹⁶⁸ about the relation between smoke and fire. In particular, she may know that ¹⁶⁹ restaurants have waiters, chairs and tables or that if smoke comes out from ¹⁷⁰ a window of a certain house, then there is fire inside the house.

WM retains information in an accessible state suitable for carrying out 171 any kind of reasoning or decision task. In particular, following [27], we 172 assume that the information available in an agent's working memory includes 173 all *explicit beliefs* of the agent that occupy her consciousness and draw on 174 her limited capacity of attention.³ Some explicit beliefs are formed via *per*-175 *ception*. Formation of explicit beliefs via perception just consists of adding 176 a new belief to the set of beliefs that are under the focus of the agent's 177 attention. For example, an agent may look outside the window, see that it 178 is raining outside, and thereby start believing that it is raining outside. 179

An agent can also use her explicit beliefs as premises of an *inference* which 180 leads to the formation of a new belief. In some cases, formation of explicit 181 beliefs via inference requires the *retrieval* of information from long-term 182 memory. For example, suppose that an agent sees that smoke comes out from 183 the window of a certain house and, as a result, she starts to explicitly believe 184 this. The agent retrieves from her background knowledge stored in her long-185 term memory the information that if smoke comes out from a window of a 186 certain house, then it means that there is fire inside the house. The agent 187 can use this information together with the belief that smoke comes out from 188 the window available in her working memory, to infer that there is fire inside 180 the house and to form the corresponding belief. 190

Information can also be lost from working memory through *forgetting*: an agent may explicitly believe something but not believe it anymore at a later point. Information can also be removed from working memory and stored in long-term memory to make it available for a later moment. *Storage* of information in long-term memory might be necessary, given the limited capabilities of working memory. In the paper, we do not discuss this latter operation. We leave its formalization for future work.

 $^{^{2}}$ In the Soar architecture [30] these two kinds of background are called, respectively, episodic memory and semantic memory.

³ Some psychologists (e.g., [10]) distinguish focus of attention from working memory, as they assume that there might be information activated in working memory which is not under the focus of the agent's attention. For simplicity, we here assume that focus of attention and working memory are coextensive.

In the next section we present the syntax and the semantics of the logicDLEK which makes precise all concepts informally discussed in this section.

200 3. Logical Framework

DLEK is a logic which consists of a static component and a dynamic one. The 201 static component, called LEK, is a logic of explicit beliefs and background 202 knowledge. The dynamic component extends the static one with dynamic 203 operators capturing the consequences of the agents' mental operations on 204 their explicit beliefs. Since private mental operations may lead other agents 205 to not contemplate the real world as a possibility, we will work with KD45 206 models, although we also consider logics $DLEK^+$, LEK^+ , where a truthful S5 207 notion of background knowledge is used instead. 208

209 3.1. Syntax

Assume a countable set of atomic propositions $Atm = \{p, q, ...\}$ and a finite set of agents $Agt = \{1, ..., n\}$. By *Prop* we denote the set of all propositional formulas, i.e. the set of all Boolean formulas built out of the set of atomic propositions Atm.

The language of DLEK, denoted by $\mathcal{L}_{\mathsf{DLEK}}$, is defined by the following grammar in Backus–Naur form:

216

$$\begin{array}{lll} \alpha & ::= & \vdash(\varphi,\psi) \mid \cap(\varphi,\psi) \mid +\varphi \mid -\varphi \\ \varphi,\psi & ::= & p \mid \neg\varphi \mid \varphi \land \psi \mid \mathsf{B}_i\varphi \mid \mathsf{K}_i\varphi \mid [(G:\alpha)_H]\varphi \end{array}$$

where p ranges over Atm and G, H range over 2^{Agt} with $G \subseteq H$.

The other Boolean constructions \top , \bot , \lor , \rightarrow and \leftrightarrow are defined from p, \neg and \wedge in the standard way. For every formula φ , let $SF(\varphi)$ be the closure under single negations of the set of all of φ 's subformulas.

The language of LEK, the fragment of DLEK without dynamic operators, is denoted by $\mathcal{L}_{\mathsf{LEK}}$ and defined by the following grammar in Backus–Naur Form:

$$\varphi, \psi ::= p | \neg \varphi | \varphi \land \psi | \mathsf{B}_i \varphi | \mathsf{K}_i \varphi$$

²²⁵ In what follows, we explain the meaning of the operators of our logic.

The formula $B_i \varphi$ is read "the agent *i* explicitly believes that φ is true" or, more shortly, "the agent *i* believes that φ is true". As explained in Section 2, explicit beliefs are accessible in working memory and are the basic elements of the agents' reasoning process. Following the logic of local reasoning by Fagin and Halpern [15], we wish to give a purely semantical model of explicit ²³¹ belief. As we will show in Section 4, an effect of this approach is that agents ²³² cannot distinguish between logically equivalent formulas, i.e., if two facts ²³³ φ and ψ are logically equivalent and an agent explicitly believes that φ is ²³⁴ true, then she believes that ψ is true as well. There are other approaches, ²³⁵ such as justification logics [5], that do not have this feature. However, the ²³⁶ interpretation of these logics relies highly on syntax, a situation we wish to ²³⁷ avoid in the present paper.

The modal operator K_i captures the notion of background knowledge discussed in Section 2. It represents the information that agent *i* can use to infer new explicit beliefs. Some pieces of background knowledge are either conceptual or causal and represent the agent's unproblematic interpretation of reality.

Unlike explicit beliefs, background knowledge is assumed to satisfy 'omni-243 science' principles like closure under conjunction and known implication, 244 closure under logical consequence and introspection. Moreover, background 245 knowledge is assumed to be veridical. Specifically, as we will show below, K_i 246 is nothing but the well-known S5 (or, more generally, KD45) operator for 247 knowledge widely used in computer science [16]. The fact that background 248 knowledge is veridical and closed under logical consequence is justified in 249 two terms. First of all, we assume it to be veridical since knowledge, differ-250 ently from belief, is commonly assumed to be truthful. Secondly, we assume 251 it to be closed under logical consequence since we conceive it as a kind 252 of deductively closed *knowledge base*. Specifically, we assume background 253 knowledge to include all facts that the agent has stored in her long-term 254 memory (LTM), after having processed them in her working memory (WM), 255 as well as all logical consequences of these facts. 256

The formula $[(G:\alpha)_H]\psi$ should be read " ψ holds after the mental oper-257 ation (or mental action) α is performed by all agents in G, and the agents 258 in H have common knowledge about this fact". Of course G and H may 259 be singletons, but we also wish to model situations where a group of agents 260 G makes a collective mental operation (say, after a discussion or public 261 announcement) and the agents in a larger group H of which G is a subset 262 have common knowledge about this fact. For example, suppose Ann and 263 Bob are sitting on the sofa in the living-room while Charles gets into it. 264 Ann and Bob see Charles getting into the living-room (i.e., {Ann, Bob} is 265 the set G). Charles is so noisy that everybody knows that Ann and Bob have 266 seen him getting into the living-room. More generally, Ann, Bob and Charles 267 have common knowledge that Ann and Bob have seen Charles getting into 268 the living-room (i.e., $\{Ann, Bob, Charles\}$ is the set H). We may sometimes 269 write $[\alpha]$ instead of $[(G:\alpha)_H]$ when G and H are clear from context. 270

Author Proof

We distinguish four types of mental operations α which allow us to cap-271 ture some of the dynamic properties of explicit beliefs and background 272 knowledge informally described in Section 2 above: $+\varphi, -\varphi, \vdash (\varphi, \psi)$ and 273 $\cap(\varphi,\psi)$. The operations $+\varphi$ and $-\varphi$ correspond, respectively, to the men-274 tal operations of forming an explicit belief via perception and forgetting 275 an explicit belief represented in Figure 1. $\vdash(\varphi,\psi)$ and $\cap(\varphi,\psi)$ characterize 276 two basic operations of forming explicit beliefs via inference. Specifically, 277 $\vdash(\varphi,\psi)$ is the mental operation which consists in inferring ψ from φ in case 278 φ is believed and, according to an agent's background knowledge, ψ is a 279 logical consequence of φ . In other words, by performing this mental opera-280 tion, an agent tries to retrieve from her background knowledge in long-term 281 memory the information that φ implies ψ and, if she succeeds, she starts 282 to believe $\psi^4 \cap (\varphi, \psi)$ is the mental operation which consists in closing the 283 explicit belief that φ and the explicit belief that ψ under conjunction. In 284 other words, $\cap(\varphi,\psi)$ characterizes the mental operation of deducing $\varphi \wedge \psi$ 285 from the explicit belief that φ and the explicit belief that ψ . 286

In this paper we assume that, differently from explicit beliefs, background knowledge is irrevocable in the sense of being stable over time [9]. In the conclusion, we will offer some insights on how to make background knowledge dynamic by including in our logic the operation of storing information in long-term memory, represented in Figure 1.

292 **3.2.** Semantics

The main notion in semantics is given by the following definition of LEK (LEK^+) model which provides the basic components for the interpretation of the static logics:

DEFINITION 1. (LEK/LEK⁺ model) We define a LEK model to be a tuple $M = (W, N, R_1, \dots, R_n, V)$ where:

 $_{298}$ (a) W is a set of worlds or situations;

(b) for every $i \in Agt$, $R_i \subseteq W \times W$ is a serial, transitive and Euclidean⁵ relation on W;

(c) $N : Agt \times W \longrightarrow 2^{2^W}$ is a neighborhood function such that for all $i \in Agt, w, v \in W$ and $X \subseteq W$:

⁴Note that retrieving the information " φ implies ψ " from long-term memory here just means that the agent uses it for drawing her inference, without 'loading' it in her explicit beliefs.

⁵Recall that R is *serial* if, for every w, there is a y such that wRy, and *Euclidean* if, whenever wRu and wRv, it follows that uRv.

- 303 (C1) if $X \in N(i, w)$ then $X \subseteq R_i(w)$,
- 304 (C2) if wR_iv then N(i, w) = N(i, v);
- 305 (d) $V: W \longrightarrow 2^{Atm}$ is a valuation function.

306 A LEK^+ model is a LEK model such that each R_i is reflexive.

For every $i \in Agt$ and $w \in W$, $R_i(w) = \{v \in W : wR_iv\}$ identifies the set 307 of situations that agent i considers possible at world w. In cognitive terms, 308 $R_i(w)$ can be conceived as the set of all situations that agent i can retrieve 309 from her long-term memory and reason about them. More generally, $R_i(w)$ 310 is called agent *i*'s epistemic state at w. In LEK⁺-models, the reason why R_i 311 is an equivalence relation is that it is used to model a form of omniscient 312 background knowledge instead of omniscient background belief. The latter 313 could be modelled, as in LEK-models, by replacing the equivalence relations 314 R_i by serial, transitive and Euclidean relations commonly used in doxastic 315 logic to model a notion of belief. 316

For every $i \in Agt$ and every $w \in W$, N(i, w) defines the set of all facts that agent *i* explicitly believes at world *w*, a fact being identified with a set of worlds. More precisely, if $A \in N(i, w)$ then, at world *w*, agent *i* has the fact *A* under the focus of her attention and believes it. N(i, w) is called agent *i*'s explicit belief set at world *w*.

Constraint (C1) just means that an agent can have explicit in her mind only facts which are compatible with her current epistemic state. According to Constraint (C2), if world v is compatible with agent *i*'s epistemic state at world w, then agent *i* should have the same explicit beliefs at w and v. Truth conditions of DLEK formulas are inductively defined as follows.

DEFINITION 2. For a LEK model $M = (W, N, R_1, \ldots, R_n, V)$, a world $w \in W$, a formula $\varphi \in \mathcal{L}_{\mathsf{LEK}}$, and an action α , we define the truth relation $M, w \models \varphi$ and a new LEK model $M^{(G:\alpha)_H}$ by simultaneous recursion on α and φ as follows. Below, we write

$$\|\varphi\|_{i,w}^M = \{v \in W : wR_i v \text{ and } M, v \models \varphi\}$$

whenever $M, v \models \varphi$ is well-defined. Then, we set:

333
$$M, w \models p \iff p \in V(w)$$

334
$$M,w\models\neg\varphi\Longleftrightarrow M,w\not\models\varphi$$

335
$$M, w \models \varphi \land \psi \Longleftrightarrow M, w \models \varphi \text{ and } M, w \models \psi$$

336
$$M, w \models \mathsf{B}_i \varphi \Longleftrightarrow ||\varphi||_{i,w}^M \in N(i,w)$$

$$M, w \models \mathsf{K}_i \varphi \Longleftrightarrow M, v \models \varphi \text{ for all } v \in R_i(w)$$

338
$$M, w \models [(G:\alpha)_H]\varphi \Longleftrightarrow M^{(G:\alpha)_H}, w_0 \models \varphi$$

where $M^{(G;\alpha)_H} = (W^{(G;\alpha)_H}, N^{(G;\alpha)_H}, R_1^{(G;\alpha)_H}, \dots, R_n^{(G;\alpha)_H}, V^{(G;\alpha)_H})$ is 339 defined as follows 340 First, for $i \in Aqt$ and $w \in W$, set 341 $N^{+\psi}(i,w) = N(i,w) \cup \{||\psi||_{i,w}^M\}$ 342 343 $N^{-\psi}(i,w) = N(i,w) \setminus \{||\psi||_{i,w}^M\}$ 344 345 $N^{\vdash(\psi,\chi)}(i,w) = \begin{cases} N(i,w) \cup \{||\chi||_{i,w}^M\} & \text{if } M, w \models \mathsf{B}_i \psi \wedge \mathsf{K}_i(\psi \to \chi) \\ N(i,w) & \text{otherwise} \end{cases}$ 346 347 $N^{\cap(\psi,\chi)}(i,w) = \begin{cases} N(i,w) \cup \{ ||\psi \wedge \chi||_{i,w}^M \} & \text{if } M, w \models \mathsf{B}_i \psi \wedge \mathsf{B}_i \chi \\ N(i,w) & \text{otherwise} \end{cases}$ 348 349 Then we define 350 $W^{(G:\alpha)_H} = W \times \{0, 1\}$ 351 $N^{(G:\alpha)_H}(i, w_0) = \{X \times \{0\} : X \in N^{\alpha}(i, w)\}$ if $i \in G$ 352 $N^{(G:\alpha)_H}(i, w_1) = \{X \times \{1\} : X \in N(i, w)\}$ if $i \in G$ 353 $N^{(G:\alpha)_H}(i, w_0) = \{X \times \{0\} : X \in N(i, w)\}$ if $i \in H \setminus G$ 354 $N^{(G:\alpha)_H}(i, w_1) = \{X \times \{1\} : X \in N(i, w)\} \text{ if } i \in H \setminus G$ 355 $N^{(G:\alpha)_H}(i, w_0) = \{X \times \{1\} : X \in N(i, w)\}$ if $i \notin H$ 356 $N^{(G:\alpha)_H}(i, w_1) = \{X \times \{1\} : X \in N(i, w)\}$ if $i \notin H$ 357 $R_i^{(G:\alpha)_H} = \{(w_0, v_0) : wR_iv\} \cup \{(w_1, v_1) : wR_iv\} \text{ if } i \in H$ 358 $R_i^{(G:\alpha)_H} = \{(w_0, v_1) : wR_iv\} \cup \{(w_1, v_1) : wR_iv\} \text{ if } i \notin H$ 359 $V^{(G:\alpha)_H}(w_x) = V(w)$ with $x \in \{0, 1\}$ 360 361

where, for notational convenience, elements of $W^{(G;\alpha)_H}$ are denoted by w_0 , w_1 instead of (w, 0), (w, 1).

The fact that the mental operation α is performed by the agents in Gand this is only common knowledge for the agents in H is captured by the duplication of the original model M. The 0-part of the original model is the part in which agents in G update their explicit beliefs via the mental operation α , while the 1-part is the part in which nothing happens. All agents inside H are aware that those in G have changed their beliefs via the mental operation α , as they have access to the 0-part. On the contrary, the agents outside of H are not aware that those in G have changed their beliefs via the mental operation α , as they only have access to the 1-part thereby assuming that nothing happens.

Note that such an operation will cause the accessibility relation for agents not in H to no longer be reflexive, even if they originally were. Nevertheless, these operations are well-defined over the class of LEK models. Remark that for all dynamic operators α , for all $i \in Agt$ and for all $w \in W$, the neighborhood function $N^{\alpha}(i, w)$ still satisfies the constraints (C1) and (C2). Note that thanks to Constraint (C1), we can assume, in a mono-agent LEK model $M = (W, N, R_1, V)$, that R_1 is the universal relation on W.

PROPOSITION 1. If M is a LEK model then $M^{(G:\alpha)_H}$ is also a LEK model. If moreover H = Agt and M is a LEK⁺ model, then $M^{(G:\alpha)_H}$ is also a LEK⁺ model.

According to the previous truth conditions, an agent i explicitly believes 384 φ at world w if and only if, at world w, agent i has the fact corresponding 385 to the formula φ (i.e., $||\varphi||_{i,w}^M$) included in her explicit belief set. Note that 386 this semantic interpretation is different from the usual one for neighbor-387 hood formulas, as we restrict the extension of φ to the agent's epistemically 388 accessible worlds. This is justified by the fact that an agent's explicit beliefs 389 are relative to her background knowledge. The latter guarantees that if an 390 agent explicitly believes that φ is true (i.e., $\mathsf{B}_i\varphi$) while having background 391 knowledge that φ is false (i.e., $K_i \neg \varphi$) then, she explicitly entertains a con-392 tradiction (i.e., $B_i \perp$). This seems a reasonable property of explicit beliefs. 393 Moreover, an agent has background knowledge that φ is true if and only if 394 φ is true in all situations that are included in the agent's epistemic state. 395

Mental operations of the form α are formalized as model update oper-396 ations that expand or contract the agents' explicit belief sets. In particu-397 lar, the mental operation $+\psi$ consists of perceiving ψ and adding it to the 398 explicit belief set, while the mental operation $-\psi$ consists of forgetting ψ 399 and removing it from the explicit belief set. The mental operation $\vdash(\psi,\chi)$ 400 consists of adding the explicit belief χ to an agent's explicit belief set if 401 the agent believes ψ and has background knowledge that ψ implies χ . The 402 mental operation $\cap(\psi,\chi)$ consists of adding the explicit belief $\psi \wedge \chi$ to an 403 agent's explicit belief set if the agent explicitly believes both ψ and χ . Note 404 that the preconditions for the mental operations $\vdash(\psi,\chi)$ and $\cap(\psi,\chi)$ do not 405 appear in the semantic interpretation of the modality (as in, e.g., public 406 announcements [37]); they are rather 'embedded' in the definition of the 407 operation. 408

We write $\models_{\mathsf{DLEK}} \varphi$ ($\models_{\mathsf{DLEK}^+} \varphi$) to denote that φ is valid, i.e., φ is true at every world w of every $\mathsf{LEK}(\mathsf{LEK}^+)$ -model M. In the next section we show some interesting validities of the logics DLEK and DLEK^+ .

412 **3.3.** Some Validities

The following four validities capture the basic properties of the four mental operations $\vdash (\varphi, \psi), \ \cap (\varphi, \psi), \ +\varphi$ and $-\varphi$ semantically defined above. Let $\varphi, \psi \in Prop$ and $i \in G \subseteq H \subseteq Agt$. Then, the following are valid over the class of LEK-models:

⁴¹⁷ 1.
$$(\mathsf{K}_i(\varphi \to \psi) \land \mathsf{B}_i \varphi) \to [(G : \vdash (\varphi, \psi))_H] \mathsf{B}_i \psi$$

418 2.
$$(\mathsf{B}_i\varphi\wedge\mathsf{B}_i\psi)\rightarrow[(G:\cap(\varphi,\psi))_H]\mathsf{B}_i(\varphi\wedge\psi)$$

419 3. $[(G:+\varphi)_H]\mathsf{B}_i\varphi$

420 4.
$$[(G:-\varphi)_H] \neg \mathsf{B}_i \varphi$$

For instance, according to the first validity, if φ and ψ are propositional formulas, agent *i* explicitly believes φ and has background knowledge that φ implies ψ then, as a consequence of the mental operation $\vdash(\varphi,\psi)$, she will start to believe ψ . According to the third validity, if φ is a propositional formula then, as a consequence of perceiving that φ is true, agent *i* starts to explicitly believe that φ is true.

⁴²⁷ The reason why we need to impose that φ and ψ are propositional ⁴²⁸ formulas is that there are DLEK-formulas such as the Moore-like formula ⁴²⁹ $p \wedge \neg B_i p$ for which the previous four principles do not hold. For instance, ⁴³⁰ $[(\{i\}: + (p \wedge \neg B_i p))_{\{i\}}]B_i(p \wedge \neg B_i p)$ is not valid.

It is worth noting that in the logics DLEK and DLEK^+ we can 'simulate' 431 in a dynamic way the rule of necessitation. Indeed, for $\lambda \in \{\mathsf{DLEK}^+, \mathsf{DLEK}\}$, 432 if $\models_{\lambda} \varphi$ and $i \in G$, then $\models_{\lambda} [(G; +\top)_H] \mathsf{B}_i \varphi$. This is a consequence of the 433 semantic interpretation of the explicit belief operator (Definition 2) in which 434 the extension of φ is restricted to the agent's epistemically accessible worlds. 435 We have only included a conjunction introduction operation for explicit 436 beliefs for the sake of technical simplicity, but in the case of propositional 437 formulas, other inference rules can be simulated using these operations, via 438 the following validities, for $\varphi, \psi \in Prop$ and $i \in G \subseteq H \subseteq Agt$. Recall that 439 for notational convenience we write α instead of $(G:\alpha)_H$. 440

441 1.
$$\models_{\mathsf{DLEK}} (\mathsf{B}_i \varphi \wedge \mathsf{B}_i (\varphi \to \psi)) \to [\cap (\varphi, \varphi \to \psi)] [\vdash (\varphi \wedge \psi, \psi)] \mathsf{B}_i \psi$$

442 2.
$$\models_{\mathsf{DLEK}} \mathsf{B}_i \varphi \to [\vdash(\varphi, \varphi \to (\varphi \lor \psi))] \mathsf{B}_i(\varphi \lor \psi)$$

443 3.
$$\models_{\mathsf{DLEK}} \mathsf{B}_i \psi \to [\vdash(\psi, \psi \to (\varphi \lor \psi))] \mathsf{B}_i(\varphi \lor \psi)$$

444 4. $\models_{\mathsf{DLEK}} \mathsf{B}_i(\varphi \land \psi) \to [\vdash (\varphi \land \psi, \varphi \land \psi \to \varphi))] \mathsf{B}_i \varphi$

445 5.
$$\models_{\mathsf{DLEK}} \mathsf{B}_i(\varphi \land \psi) \to [\vdash (\varphi \land \psi, \varphi \land \psi \to \psi))] \mathsf{B}_i \psi$$

Thus we can define modus ponens, disjunction introduction, and conjunction 446 elimination using the mental operations we have presented. The general idea 447 here is that in order to apply modus ponens, disjunction introduction, or 448 conjunction elimination, an agent has to retrieve some information from her 449 background knowledge. For example, in the case of conjunction elimination, 450 she has to retrieve the information that " $\varphi \wedge \psi$ implies φ ". Of course, an 451 alternative approach where all of these operations are 'hard-wired' into the 452 logic and do not require retrieval of information from background knowledge 453 may be preferable if e.g we wish to minimize the number of reasoning steps 454 that the agents need to use. 455

456 **3.4. Example**

In this section we are going to illustrate our logic DLEK with the help of 457 a concrete example. The scenario goes as follows. There are two resource-458 bounded robotic assistants, say robot A (Anne) and robot B (Bob), who 459 have to take care of a person. The person communicates with the robots via 460 a coloured electric light which can be either red or green. The communication 461 code is the following one: (i) if the electric light is red (atom r) then it means 462 that the person needs help (atom h), and (ii) if the electric is green (atom q) 463 then it means that the person is having a rest and wants not to be bothered 464 (atom b). We assume that: 465

466 **H1**. Robot A has full knowledge about the communication code as she knows 467 that r implies h and that g implies b, and

⁴⁶⁸ **H2**. Robot *B* has only partial knowledge about the communication code as ⁴⁶⁹ he knows that *r* implies *h* but he does not know that *g* implies *b*.

Thus, let us suppose that $G = H = Agt = \{A, B\}$ and $Atm = \{r, g, h, b\}$. We represent the initial situation by a minimal LEK model satisfying the hypothesis H1 and H2 and which only excludes the impossible situations in which the electric light is both red and green and the person needs help and takes a rest at the same time.⁶ This model is the tuple MR = (W, N, R_A, R_B, V) (where MR stands for 'model of the robots') such that:

⁶ Note that the model satisfies the additional hypothesis that robot A and robot B have common knowledge that they share a part of the communication code, namely that each of them knows that r implies h.

- $W = \{w_1, w_2, w_3, w_4, w_5, w_6, w_7, w_8, w_9\};$
- $N(i, w) = \emptyset$ for all $i \in Agt$ and for all $w \in W$;
- the quotient set of W by R_A is $\{\{w_1, w_2, w_3, w_4, w_5\}, \{w_6, w_7\}, \{w_8, w_9\}\};$
- the quotient set of W by R_B is $\{\{w_1, w_2, w_3, w_4, w_5, w_6, w_7\}, \{w_8, w_9\}\};$
- $V(w_1) = \{r, h\}, V(w_2) = \{h\}, V(w_3) = \{g, b\}, V(w_4) = \{b\}, V(w_5) = \emptyset, V(w_6) = \{q\}, V(w_7) = \{q, h\}, V(w_8) = \{r\}, \text{ and } V(w_9) = \{r, b\}.$

The fact that $N(i, w) = \emptyset$ for all $i \in Agt$ and for all $w \in W$ just means that in the initial situation the robots have no explicit belief in their shortterm memories. Let us assume that w_1 is the actual situation in which the electric light is red and the person needs help.

⁴⁸⁷ The first thing to observe is that in the actual situation the hypothesis ⁴⁸⁸ H1 and H2 are both satisfied. Indeed, as for H1, we have: MR, $w_1 \models \mathsf{K}_A(r \rightarrow$ ⁴⁸⁹ $h) \land \mathsf{K}_A(g \rightarrow b)$. As for H2, we have: MR, $w_1 \models \mathsf{K}_B(r \rightarrow h) \land \neg \mathsf{K}_B(g \rightarrow b)$.

Let us suppose that the person switches on the red light in order to signal to the two robots that she needs help. This event is represented by the mental operation +r which leads from model MR to the updated model MR^{+r} = (W, N^{+r}, R_A, R_B, V) such that:

$$N^{+r}(A, w_1) = \{\{w_1\}\}, \quad N^{+r}(A, w_2) = \{\{w_1\}\}, \quad N^{+r}(A, w_3) = \{\{w_1\}\}, \\N^{+r}(A, w_4) = \{\{w_1\}\}, \quad N^{+r}(A, w_5) = \{\{w_1\}\}, \quad N^{+r}(A, w_6) = \emptyset, \\N^{+r}(A, w_7) = \emptyset, \quad N^{+r}(A, w_8) = \{\{w_8, w_9\}\}, \quad N^{+r}(A, w_9) = \{\{w_8, w_9\}\}, \\N^{+r}(B, w_1) = \{\{w_1\}\}, \quad N^{+r}(B, w_2) = \{\{w_1\}\}, \quad N^{+r}(B, w_3) = \{\{w_1\}\}, \\N^{+r}(B, w_4) = \{\{w_1\}\}, \quad N^{+r}(B, w_5) = \{\{w_1\}\}, \quad N^{+r}(B, w_6) = \{\{w_1\}\}, \\N^{+r}(B, w_7) = \{\{w_1\}\}, \quad N^{+r}(B, w_8) = \{\{w_8, w_9\}\}, \quad N^{+r}(B, w_9) = \{\{w_8, w_9\}\}.$$

⁴⁹⁵ Note that the only difference between between A's and B's neighbour-⁴⁹⁶ hoods at MR^{+r} is on worlds w_6 and w_7 .

It is easy to check that in the new situation, after the mental operation +r has been executed, the two robots explicitly believe that the light is red. That is: $MR^{+r}, w_1 \models B_{\{A,B\}}r$, where $B_{\{A,B\}}\varphi$ is a abbreviation of $B_A\varphi \wedge B_B\varphi$. However, the mental operation is not sufficient to guarantee that the robots believe that the person needs helps. Indeed, we have: $MR^{+r}, w_1 \models \neg B_Ah \wedge \neg B_Bh$.

It is by trying to infer that the person needs help from the fact that she switched on the red light, represented by $\vdash (r,h)$, that the robots can form this explicit belief. The mental operation $\vdash (r,h)$ leads from model MR^{+r} to the updated model $(MR^{+r})^{\vdash (r,h)} = (W, (N^{+r})^{\vdash (r,h)}, R_A, R_B, V)$ such that: $(N^{+r})^{\vdash (r,h)}(A,w_1) = \{\{w_1\}, \{w_1, w_2\}\},\$ $(N^{+r})^{\vdash (r,h)}(A,w_2) = \{\{w_1\}, \{w_1, w_2\}\},\$ $(N^{+r})^{\vdash (r,h)}(A,w_3) = \{\{w_1\},\{w_1,w_2\}\},\$ $(N^{+r})^{\vdash (r,h)}(A,w_4) = \{\{w_1\}, \{w_1, w_2\}\},\$ $(N^{+r})^{\vdash (r,h)}(A, w_5) = \{\{w_1\}, \{w_1, w_2\}\},\$ $(N^{+r})^{\vdash (r,h)}(A,w_6) = \emptyset,$ $(N^{+r})^{\vdash (r,h)}(A,w_7) = \emptyset,$ $(N^{+r})^{\vdash (r,h)}(A, w_8) = \{\{w_8, w_9\}\},\$ $(N^{+r})^{\vdash (r,h)}(A, w_9) = \{\{w_8, w_9\}\},\$ $(N^{+r})^{\vdash (r,h)}(B,w_1) = \{\{w_1\}, \{w_1, w_2, w_7\}\},\$ $(N^{+r})^{\vdash (r,h)}(B,w_3) = \{\{w_1\}, \{w_1, w_2, w_7\}\},\$ $(N^{+r})^{\vdash (r,h)}(B,w_2) = \{\{w_1\}, \{w_1, w_2, w_7\}\},\$ $(N^{+r})^{\vdash (r,h)}(B,w_5) = \{\{w_1\}, \{w_1, w_2, w_7\}\},\$ $(N^{+r})^{\vdash (r,h)}(B,w_4) = \{\{w_1\}, \{w_1, w_2, w_7\}\},\$ $(N^{+r})^{\vdash (r,h)}(B,w_6) = \{\{w_1\}, \{w_1, w_2, w_7\}\},\$ $(N^{+r})^{\vdash (r,h)}(B,w_7) = \{\{w_1\}, \{w_1, w_2, w_7\}\},\$ $(N^{+r})^{\vdash (r,h)}(B,w_9) = \{\{w_8,w_9\}\}.$ $(N^{+r})^{\vdash (r,h)}(B,w_8) = \{\{w_8, w_9\}\},\$

It is easy to check that in the new situation, after the mental operation $\vdash (r,h)$ has been executed, the two robots explicitly believe that the person needs help. That is: $(MR^{+r})^{\vdash (r,h)}, w_1 \models B_{\{A,B\}}h$. To sum up, we have that the following holds:

$$\mathsf{MR}, w_1 \models [+r] \mathsf{B}_{\{A,B\}} r \land [+r] (\neg \mathsf{B}_A h \land \neg \mathsf{B}_B h) \land [+r] [\vdash (r,h)] \mathsf{B}_{\{A,B\}} h.$$

It is just routine to check that the mental operations +g and $\vdash (g,b)$ are also sufficient for robot A to start to believe b explicitly after performing them, but they are not sufficient for robot B since he does not have background knowledge that g implies b. In formal terms, we have:

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 $\mathsf{MR}, w_1 \models [+g][\vdash(g,b)]\mathsf{B}_A b, \qquad \mathsf{MR}, w_1 \models [+g][\vdash(g,b)] \neg \mathsf{B}_B b.$

520 3.5. Discussion: Uncertainty About Mental Operations of Others

In the previous semantics of events $(G:\alpha)_H$, we assumed that if agents of a group G perform a mental operation, then every agent in H knows this and agents in H have common knowledge about this fact. In certain situations, it would be useful to relax this assumption by representing the fact that an agent i may be uncertain that another agent j has performed a certain mental operation. In particular, we would like to represent the following situation:

Agent j performs the mental operation α , while agent i envisages that j could have possibly performed it and that j has performed no mental operation at all.

⁵³¹ Our discussion could be generalized to the situation in which agent *i* envis-⁵³² ages a *finite* set Σ of mental operations that *j* could have possibly performed. ⁵³³ For simplicity of exposition, we only consider the case in which Σ is a sin-⁵³⁴ gleton.⁷

⁷ Notice that it would not make sense to consider the situation in which, for every mental operation α , agent *i* considers it possible both that agent *j* has performed α and

In order to represent the previous situation in a formal way, we discuss here the semantics of a new type of events and corresponding dynamic operators of the form $[?_{i,j}\alpha]$ with $i \neq j$ where $?_{i,j}\alpha$ represents the event of agent i questioning whether agent j has performed the mental operation α .

⁵³⁹ When the event $?_{i,j}\alpha$ takes place, at the same time, (i) agent *i* considers ⁵⁴⁰ it possible that agent *j* has performed the mental operation α and (ii) agent ⁵⁴¹ *i* considers it possible that agent *j* has performed no mental operation at ⁵⁴² all. In other words, agent *i* is uncertain whether the mental operation α has ⁵⁴³ been performed by agent *j*.

Truth conditions of formulas $[?_{i,j}\alpha]\varphi$ are defined as follows:

$$M, w \models [?_{i,j}\alpha]\varphi \Longleftrightarrow M^{?_{i,j}\alpha}, w_0 \models \varphi$$

546 $M^{?_{i,j}\alpha} = (W^{?_{i,j}\alpha}, N^{?_{i,j}\alpha}, R_1^{?_{i,j}\alpha}, \dots, R_n^{?_{i,j}\alpha}, V^{?_{i,j}\alpha})$ is the updated model 547 such that for all $k \in Agt$ and for all $w \in W$:

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545

$$W^{?_{i,j}\alpha} = W \times \{0,1\}$$

549
$$N^{?_{i,j}\alpha}(j,w_0) = \{X \times \{0\} : X \in N^{\alpha}(j,w)\}$$

550
$$N^{?_{i,j}\alpha}(j,w_1) = \{X \times \{1\} : X \in N(j,w)\}$$

551
$$N^{?_{i,j}\alpha}(i,w_0) = \{X \times \{0,1\} : X \in N(i,w)\}$$

552
$$N^{?_{i,j}\alpha}(i,w_1) = \{X \times \{0,1\} : X \in N(i,w)\}$$

553
$$N^{?_{i,j}\alpha}(k,w_0) = \{X \times \{1\} : X \in N(k,w)\} \text{ if } k \neq i \text{ and } k \neq j$$

554
$$N^{?_{i,j}\alpha}(k,w_1) = \{X \times \{1\} : X \in N(k,w)\}$$
 if $k \neq i$ and $k \neq j$

555

$$R_i^{(i,j)} = \{(w_x, v_y) : x, y \in \{0,1\} \text{ and } wR_iv\}$$

$$R_j^{f_{i,j}\alpha} = \{(w_0, v_0) : wR_jv\} \cup \{(w_1, v_1) : wR_jv\}$$

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$$R_k^{?_{i,j}\alpha} = \{(w_0, v_1) : wR_k v\} \cup \{(w_1, v_1) : wR_k v\} \text{ if } k \neq i \text{ and } k \neq j$$

⁵⁵⁸₅₅₉
$$V^{?_{i,j}\alpha}(w_x) = V(w)$$
 with $x \in \{0, 1\}$

The following proposition guarantees that the semantics of the operator [$?_{i,j}\alpha$] with respect to the class of LEK models is well defined:

Footnote 7 continued

that j has not performed α , as this is incompatible with the concept of resource-bounded agent. Indeed, there exists an infinite number of possible mental operations α . Furthermore, by definition, a resource-bounded agent is able to represent in her mind and to imagine an finite number of events that might occur at a given time but is unable to imagine an infinite number of events.

 (Nec_{K_i})

⁵⁶² PROPOSITION 2. If M is a LEK model then $M^{?_{i,j}\alpha}$ is also a LEK model.

Notice that, in a way similar to the semantics of the events $(G:\alpha)_H$, in the 563 definition of the updated model $M^{?_{i,j}\alpha}$, there are two copies of the original 564 model. In the copy 0, agent j performs the mental operation α whereas in 565 the copy 1 agent j does nothing. Agent i cannot distinguish copy 0 from 566 copy 1. This is the reason why i is uncertain about the performance of the 567 mental operation α by agent j. All agents different from i and j are not 568 aware of the fact that j has performed the mental operation α , as they only 560 envisage the copy 1 of the original model. 570

571 4. Axiomatization

Let us now present sound and complete axiomatizations for the logics LEK, LEK⁺ and their dynamic extensions DLEK, DLEK⁺. For a logic λ , we write $\lambda \vdash \varphi$ to denote the fact that φ is a theorem of λ , i.e., that φ belongs to the least set containing all axioms of λ and closed under the rules of λ .

576 DEFINITION 3. We define LEK⁺ to be the extension of classical propositional 577 logic given by the following rules and axioms:

578
$$(\mathsf{K}_i \varphi \wedge \mathsf{K}_i (\varphi \to \psi)) \to \mathsf{K}_i \psi$$
 $(\mathbf{K}_{\mathsf{K}_i})$

579
$$\mathsf{K}_{i}\varphi \to \varphi$$
 $(\mathbf{T}_{\mathsf{K}_{i}})$
580 $\neg \mathsf{K}_{i}(\varphi \land \neg \varphi)$ $(\mathbf{D}_{\mathsf{K}_{i}})$

582
$$\neg \mathsf{K}_i \varphi \to \mathsf{K}_i \neg \mathsf{K}_i \varphi \tag{5}_{\mathsf{K}_i})$$

$$(\mathsf{B}_{i}\varphi \wedge \mathsf{K}_{i}(\varphi \leftrightarrow \psi)) \to \mathsf{B}_{i}\psi \qquad (\mathbf{Mix1}_{\mathsf{K}_{i},\mathsf{B}_{i}})$$

$$\mathsf{B}_{i}\varphi \to \mathsf{K}_{i}\mathsf{B}_{i}\varphi \qquad (\mathbf{Mix2}_{\mathsf{K}_{i},\mathsf{B}_{i}})$$

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⁵⁸⁷ The logic LEK is the logic obtained by removing $(\mathbf{T}_{\mathbf{K}_i})$.

It is straightforward to check that all axioms are valid and all rules preserve validity, from which we obtain:

⁵⁹⁰ LEMMA 1. The logic $LEK^{(+)}$ is sound for the class of $LEK^{(+)}$ models.

⁵⁹¹ Observe that $(\mathbf{D}_{\mathbf{K}_i})$ follows from $(\mathbf{T}_{\mathbf{K}_i})$, but the former is needed in ⁵⁹² LEK. Most of the axioms are familiar from modal and epistemic logic, with the possible exceptions of $(\mathbf{Mix1}_{\mathbf{K}_i,\mathbf{B}_i})$ and $(\mathbf{Mix2}_{\mathbf{K}_i,\mathbf{B}_i})$. The latter simply corresponds to (**C2**), which states that the neighborhood relation does not vary within $R_i(w)$. Regarding (**Mix1**_{K_i,B_i}), observe that if $\varphi \leftrightarrow \psi$ were valid, then so would $B_i \varphi \to B_i \psi$, as then $||\varphi||_{i,w}^M = ||\psi||_{i,w}^M$ regardless of M or w. However, as $||\varphi||_{i,w}^M, ||\psi||_{i,w}^M \subseteq R_i(w)$, it suffices that $||\varphi||_{i,w}^M \cap R_i(w) = ||\psi||_{i,w}^M \cap R_i(w)$, i.e., that $K_i(\varphi \leftrightarrow \psi)$ is true. Note moreover that $K_i(\varphi \to \psi)$ is not sufficient for $B_i \varphi \to B_i \psi$ to hold, as the neighborhood relation is not assumed monotone.

The axiomatics of the logic $\mathsf{DLEK}^{(+)}$ includes all principles of the logic $\mathsf{LEK}^{(+)}$ plus a set of reduction axioms and the rule of replacement of equivalents.

⁶⁰³ DEFINITION 4. We define $\mathsf{DLEK}^{(+)}$ to be the extension of $\mathsf{LEK}^{(+)}$ generated ⁶⁰⁴ by the following axioms and rule of inference, where $G \subseteq H \subseteq Agt$, $i \in G$, ⁶⁰⁵ $k \in H \setminus G$ and $j \notin H$:

$$[(G:\alpha)_H] p \leftrightarrow p \tag{Red1}$$

$$\begin{array}{ll} {}_{607} & [(G:\alpha)_H] \neg \varphi \leftrightarrow \neg [(G:\alpha)_H] \varphi \\ {}_{608} & [(G:\alpha)_H] (\varphi \land \psi) \leftrightarrow ([(G:\alpha)_H] \varphi \land [(G:\alpha)_H] \psi) \end{array}$$

$$(\textbf{Red2}) \\ (\textbf{Red3}) \\ \end{array}$$

$$[(G:\alpha)_H]\mathsf{K}_i\varphi \leftrightarrow \mathsf{K}_i[(G:\alpha)_H]\varphi \tag{Red4}$$

$$[(G:+\varphi)_H] \mathsf{B}_i \psi \leftrightarrow (\mathsf{B}_i[(G:+\varphi)_H] \psi \lor \mathsf{K}_i([(G:+\varphi)_H] \psi \leftrightarrow \varphi)) \quad (\mathbf{Red5})$$

$$[(G:-\varphi)_H] \mathsf{B}_i \psi \leftrightarrow (\mathsf{B}_i[(G:-\varphi)_H] \psi \wedge \neg \mathsf{K}_i([(G:-\varphi)_H] \psi \leftrightarrow \varphi)) \quad (\mathbf{Red6})$$

$$[(G: \vdash(\varphi, \psi))_H] \mathsf{B}_i \chi \leftrightarrow \Big(\mathsf{B}_i [(G: \vdash(\varphi, \psi))_H] \chi \lor \big((\mathsf{B}_i \varphi \land \mathsf{K}_i (\varphi \to \psi)) \land (\mathbf{Red7}) \big) \Big)$$

$$\mathsf{K}_i[(G: \vdash(\varphi, \psi))_H]\chi \leftrightarrow \psi))$$

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$$[(G: \cap (\varphi, \psi))_H] \mathsf{B}_i \chi \leftrightarrow \Big(\mathsf{B}_i [(G: \cap (\varphi, \psi))_H] \chi \lor \big((\mathsf{B}_i \varphi \land \mathsf{B}_i \psi) \land \mathsf{(Red8)} \Big) \Big)$$

615
$$\mathsf{K}_i ([(G: \cap (\varphi, \psi))_H] \chi \leftrightarrow (\varphi \land \psi)) \Big) \Big)$$

$$[(G:\alpha)_H] \mathsf{K}_k \varphi \leftrightarrow \mathsf{K}_k [(G:\alpha)_H] \varphi$$
(**Red9**)

617
$$[(G:\alpha)_H] \mathsf{B}_k \varphi \leftrightarrow \mathsf{B}_k [(G:\alpha)_H] \varphi$$
(**Red10**)

618
$$[(G:\alpha)_H] \mathsf{K}_j \varphi \leftrightarrow \mathsf{K}_j \varphi$$
(**Red11**)

$$\underset{620}{\overset{619}{\text{620}}} \qquad [(G:\alpha)_H] \mathsf{B}_j \varphi \leftrightarrow \mathsf{B}_j \varphi \qquad (\mathbf{Red12})$$

⁶²¹ and the following rule of inference:

The first four axioms are standard from dynamic epistemic logic. Meanwhile, for $i \in G$ the intuition behind all of the (**Red5**)–(**Red8**) axioms is

similar; consider, for example, (**Red5**). The left-hand side states that, after adding φ as a neighborhood, the agent will believe ψ , i.e. the extension of ψ within $R_i(w)$ will be a neighborhood in the new model. This can happen for precisely two reasons: either the extension of ψ in the new model, i.e. $[(G:+\varphi)_H]\psi$, already was a neighborhood, in which case $B_i[(G:+\varphi)_H]\psi$ holds; or, this extension is precisely the neighborhood that was added, i.e. $K_i([(G:+\varphi)_H]\psi \leftrightarrow \varphi)$ holds.

For (**Red9**) and (**Red10**) the intuition is that agents in $H \setminus G$ have not performed the mental operation α but are aware of the fact that mental operation α has been performed by the agents in G.

For (**Red11**) and (**Red12**) the intuition is that, for agents not in H, the set of possible worlds is *exactly the same* as it was before those in G realized the private mental operation. With this in mind, it is straightforward to check that all axioms are valid and all rules preserve validity in the class of LEK⁽⁺⁾-models, from which the following is an immediate consequence:

⁶⁴¹ LEMMA 2. The logic DLEK is sound for the class of LEK-models, and the ⁶⁴² logic DLEK⁺ is sound for the class of single-agent LEK⁺ models.

⁶⁴³ Our goal now is to prove that LEK and LEK⁺ are strongly complete for ⁶⁴⁴ their intended semantics. We will achieve this by a fairly standard canonical-⁶⁴⁵ model argument, although the neighborhood structure will require some ⁶⁴⁶ care.

⁶⁴⁷ DEFINITION 5. (*canonical* λ -model) For $\lambda \in \{\mathsf{LEK}, \mathsf{LEK}^+\}$, we define the ⁶⁴⁸ canonical λ -model $M^{\lambda} = (W^{\lambda}, N^{\lambda}, R_1^{\lambda} \dots, R_n^{\lambda}, V^{\lambda})$, where:

• W^{λ} is the set of all maximal λ -consistent subsets of $\mathcal{L}_{\mathsf{LEK}}$.

• $wR_i^{\lambda}v$ if and only if, for all formulas φ , $\mathsf{K}_i\varphi \in w$ implies that $\varphi \in v$.

• In order to define N^{λ} , for $w \in W$ and $\varphi \in \mathcal{L}_{\mathsf{LEK}}$, first define $A_{\varphi}(i, w) = \{v \in R_i^{\lambda}(w) : \varphi \in v\}$. Then, define N^{λ} by letting $N^{\lambda}(i, w) = \{A_{\varphi}(i, w) : B_i \varphi \in w\}$.

• Finally, we define the valuation V^{λ} by $w \in V^{\lambda}(p)$ if and only if $p \in w$.

⁶⁵⁵ The following is standard and we omit the proof:

LEMMA 3. The structure M^{λ} defined above is a λ -model. Moreover, if $w \in W^{\lambda}$ and $\varphi \in \mathcal{L}_{LEK}$, then

- 651. $K_i \varphi \in w$ if and only if, for every v such that $w R_i^{\lambda} v, \varphi \in v$, and
- 652. if $wR_i^{\lambda}v$ and $\mathsf{B}_i\varphi \in w$, then $\mathsf{B}_i\varphi \in v$.

We also need to prove that M^{λ} has a somewhat less familiar property. This will be used later in the truth lemma, for the case of B_i .

LEMMA 4. For every $w \in W^{\lambda}$ and $\mathsf{B}_{i}\varphi, \mathsf{B}_{i}\psi \in \mathcal{L}_{\mathsf{LEK}}$, if $\mathsf{B}_{i}\varphi \in w$ but $\mathsf{B}_{i}\psi \notin w$, it follows that there is $v \in R_{i}^{\lambda}(w)$ such that either $\varphi \in v$ but $\neg \psi \in v$, or $\neg \varphi \in v$ but $\psi \in v$.

PROOF. Let $w \in W^{\lambda}$ and φ, ψ be such that $\mathsf{B}_{i}\varphi \in w$, $\mathsf{B}_{i}\psi \notin w$. Towards a contradiction, assume that for every $v \in R_{i}^{\lambda}(w)$, either $\varphi, \psi \in v$ or $\neg \varphi, \neg \psi \in$ v; then, it follows from Lemma 3 that $\mathsf{K}_{i}(\varphi \leftrightarrow \psi) \in w$, so that by Axiom (Mix1_{K_i,B_i}), $\mathsf{B}_{i}\psi \in w$, contrary to our assumption.

⁶⁶⁹ With this, we may state and prove our version of the Truth Lemma:

670 LEMMA 5. For every $\varphi \in \mathcal{L}_{LEK}$ and every $w \in W^{\lambda}$, $\varphi \in w$ if and only if 671 $M^{\lambda}, w \models \varphi$.

⁶⁷² PROOF. The proof proceeds by a standard induction on the construction of ⁶⁷³ φ . All cases are routine except $\varphi = \mathsf{B}_i \psi$.

First assume that $\mathsf{B}_{i}\psi \in w$. Then, $A_{\psi}(i,w) \in N^{\lambda}(i,w)$. But, $A_{\psi}(i,w) = \{v \in R_{i}^{\lambda}(w) : \psi \in v\} \stackrel{\text{IH}}{=} \|\psi\| \cap R_{i}^{\lambda}(w)$. Thus, $M^{\lambda}, w \models \mathsf{B}_{i}\psi$.

Now, suppose $\mathsf{B}_i \psi \notin w$, so that $\neg \mathsf{B}_i \psi \in w$. We must check that $\|\psi\| \cap$ 676 $R_i^{\lambda}(w) \notin N(i, w)$. Choose an arbitrary set $A \in N(i, w)$; by definition, A =677 $A_{\theta}(i, w)$ for some θ with $\mathsf{B}_i \theta \in w$. By Lemma 4, there is some $v \in R_i^{\lambda}(w)$ such 678 that $\psi, \neg \theta \in v$ or $\neg \psi, \theta \in v$; in the first case, this shows using the induction 679 hypothesis that $v \in (\|\psi\| \cap R_i^{\lambda}(w)) \setminus A_{\theta}(i, w)$, while in the second we obtain 680 $v \in A_{\theta}(i, w) \setminus (\|\psi\| \cap R_i^{\lambda}(w))$. In either case we obtain $A_{\theta}(i, w) \neq \|\psi\| \cap R_i^{\lambda}(w)$, 681 and since $A = A_{\theta}(i, w)$ was an arbitrary element of $N^{\lambda}(i, w)$, we conclude 682 that $\|\psi\| \cap R_i^{\lambda}(w) \notin N^{\lambda}(i, w)$ and thus $M^{\lambda}, w \not\models \mathsf{B}_i \psi$. 683

⁶⁸⁴ We are now ready to prove that the static logics are strongly complete.

⁶⁸⁵ THEOREM 1. For $\lambda \in \{LEK, LEK^+\}$, λ is strongly complete for the class of ⁶⁸⁶ λ -models.

PROOF. Any consistent set of formulas Φ may be extended to a maximal consistent set of formulas $w_* \in W^{\lambda}$, and $M^{\lambda}, w_* \models \Phi$ by Lemma 5.

The strong completeness of the dynamic logics follows from this result, in view of the fact that the reduction axioms may be used to find, for any formula, a provably equivalent formula in the static fragment.

LEMMA 6. If φ is any formula of \mathcal{L}_{DLEK} , there is a formula $\tilde{\varphi}$ in \mathcal{L}_{LEK} such that $DLEK \vdash \varphi \leftrightarrow \tilde{\varphi}$. ⁶⁹⁴ PROOF. This follows by a routine induction on φ using the reduction axioms ⁶⁹⁵ and the rule of replacement of equivalents (**RRE**) from Definition 4.

As a corollary, we get the following:

⁶⁹⁷ THEOREM 2. DLEK is strongly complete for the class of LEK models and ⁶⁹⁸ mono-agent $DLEK^{(+)}$ is strongly complete for the class of $LEK^{(+)}$ models.

⁶⁹⁹ PROOF. If Γ is a $\mathsf{DLEK}^{(+)}$ -consistent set of $\mathcal{L}_{\mathsf{DLEK}}$ formulas, then $\widetilde{\Gamma} = \{\widetilde{\varphi}: \varphi \in \Gamma\}$ is a $\mathsf{LEK}^{(+)}$ -consistent set of $\mathcal{L}_{\mathsf{LEK}}$ formulas (since $\mathsf{DLEK}^{(+)}$ is an ⁷⁰¹ extension of $\mathsf{LEK}^{(+)}$), and hence by Theorem 1, there is a $\mathsf{LEK}^{(+)}$ -model M⁷⁰² with a world w such that $M, w \models \widetilde{\Gamma}$. But, since $\mathsf{DLEK}^{(+)}$ is sound and for ⁷⁰³ each $\varphi \in \Gamma$, $\mathsf{DLEK}^{(+)} \vdash \varphi \leftrightarrow \widetilde{\varphi}$, it follows that $M, w \models \Gamma$.

Thus our logics are strongly complete, but the construction we have given will in general produce infinite models. In the next section, we will consider the complexity of the satisfiability problem.

707 5. Complexity

We study the computability of the satisfiability problem of LEK^+ : given a formula, determine whether it is satisfiable. Simple changes in the arguments presented below would allow the reader to adapt our line of reasoning to LEK . We will also provide a decidability result of the satisfiability problem of DLEK . We first consider the single-agent case and move then to the multiagent case. Below, card(A) denotes the cardinality of the set A.

714 5.1. Mono-Agent Case

Assume card(Aqt) = 1. Let φ be a satisfiable formula. Let M = (W, N, V)715 be a model and $w \in W$ be such that $M, w \models \varphi$; note that in the mono-716 agent case we can assume that R is the total relation, so we omit it. Let 717 $\mathsf{K}\psi_1,\ldots,\mathsf{K}\psi_m$ and $\mathsf{B}\chi_1,\ldots,\mathsf{B}\chi_n$ be lists of all subformulas of φ of the form 718 $\mathsf{K}\psi$ and $\mathsf{B}\chi$. Let $\hat{\mathsf{K}} = \{i: 1 \leq i \leq m \& M, w \not\models \mathsf{K}\psi_i\}, \ \hat{\mathsf{B}}^+ = \{j: 1 \leq j \leq i \leq m \& M, w \not\models \mathsf{K}\psi_i\}, \ \hat{\mathsf{B}}^+ = \{j: 1 \leq j \leq i \leq m \& M, w \not\models \mathsf{K}\psi_i\}$ 719 $n \& M, w \models \mathsf{B}\chi_j$ and $\hat{\mathsf{B}}^- = \{k : 1 \le k \le n \& M, w \not\models \mathsf{B}\chi_k\}$. For all $i \in \hat{\mathsf{K}}$, 720 let $v_i \in W$ be such that $M, v_i \not\models \psi_i$. Such v_i exists because $M, w \not\models \mathsf{K}\psi_i$. For 721 all $j \in \hat{B}^+$, let $A_j \in N$ be such that $A_j = \{v \in W : M, v \models \chi_j\}$. Such A_j 722 exists because $M, w \models \mathsf{B}\chi_j$. For all $j \in \hat{\mathsf{B}}^+$ and for all $k \in \hat{\mathsf{B}}^-$, let $u_{j,k} \in W$ 723 be such that $M, u_{i,k} \not\models \chi_i \leftrightarrow \chi_k$. Such $u_{i,k}$ exists because $M, w \models \mathsf{B}\chi_i$ and 724 $M, w \not\models \mathsf{B}\chi_k$. Let M' = (W', N', V') be the model defined by: 725

•
$$W' = \{w\} \cup \{v_i : i \in \hat{\mathsf{K}}\} \cup \{u_{j,k} : j \in \hat{\mathsf{B}}^+ \& k \in \hat{\mathsf{B}}^-\},\$$

- 727 $N' = \{A_j \cap W' : j \in \hat{B}^+\},\$
- for all $p \in Atm$, $V'(p) = V(p) \cap W'$.

Obviously, card(W') and card(N') are polynomial in the size of φ . Let $\Phi = SF(\varphi)$, the closure under single negations of the set of all of φ 's subformulas.

⁷³¹ LEMMA 7. Let ψ be a formula. If $\psi \in \Phi$ then for all $s \in W'$, $M, s \models \psi$ iff ⁷³² $M', s \models \psi$.

⁷³³ PROOF. By induction on ψ . We only consider the cases $\psi = \mathsf{B}\chi$.

⁷³⁴ Suppose $M, s \models \mathsf{B}\chi$ and $M', s \not\models \mathsf{B}\chi$. Let $j \in \hat{\mathsf{B}}^+$ be such that $\mathsf{B}\chi = \mathsf{B}\chi_j$. ⁷³⁵ Hence, $A_j = \{t \in W : M, t \models \chi\}$. By induction hypothesis, $A_j \cap W' = \{t \in W': M', t \models \chi\}$. Thus, $M', s \models \mathsf{B}\chi$: a contradiction.

⁷³⁷ Suppose $M', s \models \mathsf{B}\chi$ and $M, s \not\models \mathsf{B}\chi$. Let $j \in \hat{\mathsf{B}}^+$ be such that $A_j \cap$ ⁷³⁸ $W' = \{t \in W' : M', t \models \chi\}$. By induction hypothesis, $A_j \cap W' = \{t \in$ ⁷³⁹ $W' : M, t \models \chi\}$. Let $k \in \hat{\mathsf{B}}^-$ be such that $\mathsf{B}\chi = \mathsf{B}\chi_k$. Remember that ⁷⁴⁰ $A_j = \{t \in W : M, t \models \chi_j\}$. Moreover, $u_{j,k} \in W'$ is such that $M, u_{j,k} \models \chi_j$ ⁷⁴¹ and $M, u_{j,k} \not\models \chi_k$, or $M, u_{j,k} \not\models \chi_j$ and $M, u_{j,k} \models \chi_k$. In the former case, ⁷⁴² $u_{j,k} \in A_j \cap W'$. Hence, $M, u_{j,k} \models \chi_i$: a contradiction. In the latter case, ⁷⁴³ $u_{j,k} \in A_j \cap W'$. Thus, $M, u_{j,k} \models \chi_j$: a contradiction.

THEOREM 3. If card(Agt) = 1 then satisfiability problem of LEK^+ is NPcomplete.

PROOF. Membership in NP follows from Lemma 7. NP-hardness followsfrom the NP-hardness of classical propositional logic.

748 5.2. Multi-Agent Case

Our study of the computability in the multi-agent case will be based on the modal tableaux approach developed by Halpern and Moses [23]. Assume $card(Agt) \geq 2$.

A set \mathcal{T} of formulas is said to be fully expanded if for all formulas φ in \mathcal{T} and for all formulas ψ in $SF(\varphi)$, either $\psi \in \mathcal{T}$, or $\neg \psi \in \mathcal{T}$. A propositional tableau is a set \mathcal{T} of formulas such that: (i) for all formulas φ , if $\neg \neg \varphi \in \mathcal{T}$ then $\varphi \in \mathcal{T}$; (ii) for all formulas φ, ψ , if $\neg (\varphi \lor \psi) \in \mathcal{T}$ then $\neg \varphi \in \mathcal{T}$ and $\neg \psi \in \mathcal{T}$; (iii) for all formulas φ, ψ , if $\varphi \lor \psi \in \mathcal{T}$ then either $\varphi \in \mathcal{T}$, or $\psi \in \mathcal{T}$. A propositional tableau \mathcal{T} is said to be blatantly consistent iff for all formulas φ, ψ , either $\varphi \notin \mathcal{T}$, or $\neg \varphi \notin \mathcal{T}$.

A modal tableau is a structure of the form $\mathcal{T} = (W, R, L)$ where W is a nonempty set of states (with typical members denoted w, v, etc), R is a function associating a binary relation R_i on W to each $i \in Agt$ and L is a

function assigning to each $w \in W$ a blatantly consistent and fully expanded 762 propositional tableau L(w) such that for all $w \in W$: (i) for all formulas φ , 763 if $\neg \mathsf{K}_i \varphi \in L(w)$ then there exists $v \in R_i(w)$ such that $\neg \varphi \in L(v)$; (ii) for 764 all formulas φ , if $\mathsf{B}_i \varphi \in L(w)$ then for all formulas ψ , if $\neg \mathsf{B}_i \psi \in L(w)$ 765 then there exists $v \in R_i(w)$ such that either $\varphi \in L(v)$ and $\neg \psi \in L(v)$, or 766 $\neg \varphi \in L(v)$ and $\psi \in L(v)$; (iii) for all formulas φ , if $\mathsf{K}_i \varphi \in L(w)$ then for all 767 $v \in (R_i \cup R_i^{-1})^*(w), \varphi \in L(v)$ and $\mathsf{K}_i \varphi \in L(v)$; (iv) for all formulas φ , if 768 $\mathsf{B}_i\varphi \in L(w)$ then for all $v \in (R_i \cup R_i^{-1})^*(w)$, $\mathsf{B}_i\varphi \in L(v)$. For all formulas φ , 769 let $L^{-1}(\varphi) = \{w: w \in W \& \varphi \in L(w)\}$. We shall say that a modal tableau 770 $\mathcal{T} = (W, R, L)$ is a modal tableau for a formula φ if $L^{-1}(\varphi) \neq \emptyset$. 771

Given a model M = (W, R, N, V), let $\mathcal{T}' = (W', R', L')$ be defined by: $W' = W, R'_i = R_i$ for each $i \in Agt, L'$ is the function assigning to each $W \in W'$ the propositional tableau $L'(w) = \{\varphi : M, w \models \varphi\}$. The proof that $\mathcal{T}'_5 \quad \mathcal{T}'$ is a modal tableau is easy.

PROPOSITION 3. Let φ be a formula. If φ is satisfiable then there exists a modal tableau for φ .

Given a modal tableau $\mathcal{T} = (W, R, L)$, let M' = (W', R', N', V') be defined by: W' = W, $R'_i = (R_i \cup R_i^{-1})^*$ for each $i \in Agt$, $N'_i(w) = \{(R_i \cup R_i^{-1})^*(w) \cap L^{-1}(\varphi) : B_i \varphi \in L(w)\}$ for each $w \in W$ and for each $i \in Agt$, V' is the function assigning to each $p \in Atm$ the subset $V'(p) = \{w : w \in W \& p \in L(w)\}$ of W'. The proof that \mathcal{T}' is a model is easy. Moreover, one can prove by induction on φ that for all $w \in W$, $\varphi \in L(s)$ iff $M', s \models \varphi$.

PROPOSITION 4. Let φ be a formula. If there exists a modal tableau for φ then φ is satisfiable.

By Propositions 3 and 4, satisfiability is reducible to the following decision problem (MT): given a formula, determine whether there exists a modal tableau for it. Based on the tools and techniques developed in [23] for ordinary epistemic logics, one can design an algorithm that tries to construct a modal tableau for a given formula. The main properties of such algorithm are:

For all given formulas, the above algorithm terminates and runs in polynomial space,

• for all given formulas φ , the algorithm returns "there is a modal tableau for φ " iff there is a modal tableau for φ .

THEOREM 4. If $card(Agt) \geq 2$ then satisfiability problem of LEK is PSPACE-complete.

PROOF. Membership in PSPACE follows from the above discussion. Meanwhile, PSPACE-hardness follows from the PSPACE-hardness of multi-agent
epistemic logic.

The reduction axioms and the rule of replacement of equivalents in Definition 4 may be used to give a decision procedure for the satisfiability of DLEK; however, due to exponential blow-up in the size of formulas, this algorithm would no longer remain in PSPACE without modification. Thus we will state only the following:

⁸⁰⁶ COROLLARY 1. The satisfiability problems of mono-agent $DLEK^{(+)}$ and mul-⁸⁰⁷ ti-agent DLEK are decidable.

⁸⁰⁸ PROOF. Immediate from the decidability of $\mathsf{LEK}^{(+)}$ and the fact that, given ⁸⁰⁹ a formula φ of $\mathcal{L}_{\mathsf{DLEK}}$, the formula $\tilde{\varphi}$ is clearly computable from φ .

However, we do not believe that such a procedure would be optimal, and indeed conjecture that DLEK is in PSPACE. We leave the computation of its precise complexity for future work.

6. Translation of *L*_{LEK} into an Ordinary Modal Language: The Mono-Agent Case

We will show how one can reduce the semantics of LEK^+ to a purely rela-815 tional semantics, albeit with additional modalities. For simplicity, we will 816 consider only the mono-agent case, and we will write K, B instead of K_1, B_1 . 817 Simple changes in the arguments presented below would allow the reader to 818 adapt our line of reasoning to LEK. Recall that in the mono-agent case, we 819 may assume that in any LEK^+ -model (W, N, R, V) we have that R is the 820 total relation, so we may omit it. Moreover, since N(w) does not depend 821 on $w \in W$ (given that it is assumed *R*-invariant), we may identify N with 822 the set of neighbourhoods $N(w_0)$, where $w_0 \in W$ is arbitrary. 823

The intuition behind our reduction is as follows. Suppose that a monoagent model M = (W, N, V) is given. Then, one can think of M as being a substructure of a bigger model M', whose domain is $W \cup N$; that is, we may view the neighborhoods themselves as new 'worlds'. In order for M' to contain enough information to interpret the language of LEK⁺, we need to include the following relations:

• To interpret $K\varphi$ at $w \in W$, we include an equivalence relation R_K linking w to all 'real' worlds. It does not matter how R_K behaves on neighbourhoods, so on N we may just let R_K be the identity.

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• To interpret $\mathsf{B}\varphi$ at $w \in W$, we include:

- a relation R_L linking each $w \in W$ to each $U \in N(w)$. Note in this case that every point is related to every neighborhood, so we may simply define $R_L = W \times N$, and
 - a relation R_{\Box} linking each $U \in N$ to its elements (i.e., $UR_{\Box}w$ if and only if $w \in U$).

This setup would suffice to interpret monotone neighborhood semantics [17]. However, for our *strict* interpretation, we need some additional structure. Namely, to check if U is a witness for $B\varphi$, we must check that every element of U satisfies φ , but also that every element *not* in U satisfies $\neg \varphi$. We achieve this by an additional accessibility relation R_{\blacksquare} , where $UR_{\blacksquare}w$ holds whenever $w \notin U$; a similar trick has been used in [18,20] to deal with the 'inaccessible worlds' logic of Humberstone [26].

Let us now formalize these ideas. We begin by defining a new modal language, \mathcal{L}_O , given by:

$$\varphi, \psi \quad ::= \quad p \mid \neg \varphi \mid \varphi \wedge \psi \mid K \varphi \mid L \varphi \mid \Box \varphi \mid \blacksquare \varphi$$

We will use the following abbreviations: $\hat{K}\varphi$ for $\neg K\neg\varphi$, $\hat{L}\varphi$ for $\neg L\neg\varphi$, $\Diamond\varphi$ for $\neg\Box\neg\varphi$ and $\Diamond\varphi$ for $\neg\blacksquare\neg\varphi$. Let τ : $\mathcal{L}_{\mathsf{LEK}} \longrightarrow \mathcal{L}_O$ be the translation function defined by

• $\tau(p) = p$, • $\tau(\neg \varphi) = \neg \tau(\varphi)$, • $\tau(\varphi \land \psi) = \tau(\varphi) \land \tau(\psi)$, • $\tau(\varphi \land \psi) = \tau(\varphi) \land \tau(\psi)$,

An \mathcal{L}_O -model is a structure of the form $(W, R_K, R_L, R_{\Box}, R_{\blacksquare}, V)$ where W is a nonempty set of possible worlds, R_K , R_L , R_{\Box} and R_{\blacksquare} are binary relations on W and $V: W \longrightarrow 2^{Atm}$ is a valuation function. The truthconditions are inductively defined as in ordinary modal logic. We will say that the model $(W, R_K, R_L, R_{\Box}, R_{\blacksquare}, V)$ is prestandard iff

- R_K is reflexive, transitive and Euclidean, • $R_L \subseteq R_{\Box}^{-1} \cup R_{\blacksquare}^{-1}$, • $R_L \circ R_{\Box} \subseteq R_K$,
- $R_K \circ R_L \subseteq R_L$,

If, furthermore, $R_{\Box} \cap R_{\blacksquare} = \emptyset$ then we will say that the prestandard model $(W, R_K, R_L, R_{\Box}, R_{\blacksquare}, V)$ is standard. We will say that $\varphi \in \mathcal{L}_O$ is

• $R_L \circ R_{\blacksquare} \subset R_K$.

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prestandard-valid (respectively, standard-valid), in symbols $\models_{pr} \varphi$ (respectively, $\models_{st} \varphi$), iff φ is true at every possible world of every prestandard (respectively, standard) \mathcal{L}_O -model.

LEMMA 8. Let $M = (W, R_K, R_L, R_{\Box}, R_{\blacksquare}, V)$ be a standard \mathcal{L}_O -model. Let so $s_0 \in W$. Let M' = (W', N', V') be the LEK⁺-model such that

864 •
$$W' = R_K(s_0),$$

•
$$N' = \{ R_{\Box}(A) : A \in W \& s_0 R_L A \},$$

866 •
$$V': p \mapsto V'(p) = V(p) \cap R_K(s_0)$$

Let
$$\varphi \in \mathcal{L}_{\mathsf{LEK}}$$
. For all $s \in W'$, $M, s \models \tau(\varphi)$ iff $M', s \models \varphi$.

868 PROOF. By induction on φ .

LEMMA 9. Let M = (W, N, V) be a LEK^+ -model such that, for any $w \in W$, N(w) $\cap W = \emptyset$; this condition is easily obtained if we do not allow the elements of W to be subsets of W. Let $M' = (W', R'_K, R'_L, R'_{\Box}, R'_{\Box}, V')$ be the standard \mathcal{L}_O -model such that

$$\bullet W' = W \cup N,$$

874 •
$$R'_K = (W \times W) \cup Id_N$$
,

875 • $R'_L = W \times N$,

876 •
$$R'_{\Box} = \{(A, s): A \in N \& s \in W \& s \in A\},\$$

877 • $R'_{\blacksquare} = \{(A, s): A \in N \& s \in W \& s \notin A\},\$

878 •
$$V': p \mapsto V'(p) = V(p).$$

879 Let $\varphi \in \mathcal{L}_{LEK}$. For all $s \in W$, $M, s \models \varphi$ iff $M', s \models \tau(\varphi)$.

880 PROOF. By induction on φ .

PROPOSITION 5. Let $\varphi \in \mathcal{L}_{LEK}$. The following conditions are equivalent:

- 882 1. $\models_{\mathsf{LEK}^+} \varphi;$ 2. $\models_{st} \tau(\varphi).$
- 883 PROOF. 1. \Rightarrow 2.) By Lemma 8.
- 884 $2. \Rightarrow 1.$) By Lemma 9.

For those interested by axiomatizing the set of all standard-valid \mathcal{L}_{O} formulas, let O be the least normal modal logic in \mathcal{L}_{O} containing the following axioms:

- $K\varphi \to \varphi$
- $K\varphi \to KK\varphi$
- $\hat{K}\varphi \to K\hat{K}\varphi$,
- $L\varphi \to KL\varphi$,

PROPOSITION 6. Let $\varphi \in \mathcal{L}_O$. If φ is O-derivable then $\models_{st} \varphi$.

Moreover, the above axioms are Sahlqvist formulas and correspond to the elementary properties characterizing prestandard \mathcal{L}_O -models. As a result, by Sahlqvist Theorems in ordinary modal logic,

• $\varphi \to L(\Diamond \varphi \lor \blacklozenge \varphi),$

• $K\varphi \to L\Box\varphi$,

• $K\varphi \to L \blacksquare \varphi$.

- PROPOSITION 7. Let $\varphi \in \mathcal{L}_O$. If $\models_{pr} \varphi$ then φ is O-derivable.
- LEMMA 10. Let $M = (W, R_K, R_L, R_{\Box}, R_{\blacksquare}, V)$ be a prestandard \mathcal{L}_O -model. Let $M' = (W', R'_K, R'_L, R'_{\Box}, R'_{\blacksquare}, V')$ be the standard \mathcal{L}_O -model such that
- $W' = W \times \{0, 1\},$
- 896 $(s, \alpha)R'_K(t, \beta)$ iff $sR_K t$,
- 897 $(s, \alpha)R'_L(t, \beta)$ iff $sR_L t$,
- $(s,\alpha)R'_{\square}(t,\beta)$ iff $sR_{\square}t \& (sR_{\blacksquare}t \Rightarrow \beta=0),$
- $\bullet (s,\alpha)R'_{\blacksquare}(t,\beta) \text{ iff } sR_{\blacksquare}t \& (sR_{\Box}t \Rightarrow \beta = 1),$
- 900 $V': p \mapsto V'(p) = V(p) \times \{0, 1\}.$

POI Let $f : W' \longrightarrow W$ be such that $f(s, \alpha) = s$. f is a surjective bounded morphism from M' to M.

- 903 PROOF. Left to the reader.
- PROPOSITION 8. Let $\varphi \in \mathcal{L}_O$. The following conditions are equivalent: 1. φ is O-derivable; 2. $\models_{st} \varphi$; 3. $\models_{pr} \varphi$.
- PROOF. $(1. \Rightarrow 2.)$ By Proposition 6. $(2. \Rightarrow 3.)$ By Lemma 10 and the Bounded Morphism Lemma in ordinary modal logic. $(3. \Rightarrow 1.)$ By Proposition 7.

909 7. Conclusion

Let's take stock. In the paper we have introduced DLEK, a logical theory
of belief dynamics for resource-bounded agents inspired by existing psychological theories of human memory. We have provided decidability and

⁹¹³ complexity results for DLEK as well as for its static fragment LEK. In addi-⁹¹⁴ tion, we have introduced the logics DLEK⁺, LEK⁺, which are suitable for ⁹¹⁵ the mono-agent setting.

Directions of future research are manifold. On the conceptual level, we 916 plan to complete the conceptual framework described in Section 2 by extend-917 ing the family of mental operations with operations of *storage* of information 918 in long-term memory. We believe that these kinds of operations can be mod-919 elled as special kinds of epistemic actions in the DEL sense. Specifically, a 920 storage operation modifies an agent's background knowledge by restricting 921 the epistemic relation R_i to worlds in which the information φ to be stored 922 is true, under the condition that this information is already available in the 923 agent's working memory and explicitly believed by the agent. 924

In the paper, we have adopted a *normative* view of the notion of back-925 ground knowledge, as the latter is assumed to satisfy 'omniscience' principles 926 such as closure under logical consequence. In future work, we plan to pro-927 vide a *descriptive* analysis of background knowledge by enriching our logical 928 framework with the notion of *degree of activation* used in the context of 929 computational models of human cognition such as ACT-R [33]. The idea is 930 that every piece of information in an agent's background knowledge is asso-931 ciated with a certain degree of activation: the higher the degree of activation, 932 the higher the availability of the information in the agent's long-term mem-933 ory. Furthermore, an agent can retrieve from her long-term memory only 934 the background knowledge whose degree of activation is equal to or higher 935 than a certain threshold θ . From this perspective, it is not necessarily the 936 case that, after having stored a formula φ in her background knowledge, an 937 agent can retrieve all logical consequences of φ and her previous background 938 knowledge. Indeed, some of these logical consequences may be inactive, i.e., 930 their degrees of activation may be below the threshold θ . 940

On the technical level, as emphasized in Section 5, we plan to obtain a result about complexity of the satisfiability problem of DLEK. In particular, we plan to prove that this problem is PSPACE-complete by appropriately adapting to our framework the technique proposed by Lutz [34] for studying complexity of the satisfiability problem of public announcement logic (PAL).

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