

(-)-Epicatechins promote vascular health through epigenetic reprogramming of endothelial-immune cell signaling and reversing systemic low-grade inflammation

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32 **Abstract**

33 Ingestion of (-)-epicatechin flavanols reverses endothelial dysfunction by increasing flow mediated 34 dilation and by reducing vascular inflammation and oxidative stress, monocyte-endothelial cell 35 adhesion and transendothelial monocyte migration *in vitro* and *in vivo.* This involves multiple 36 changes in gene expression and epigenetic DNA methylation by poorly understood mechanisms. 37 By *in silico* docking and molecular modeling we demonstrate favorable binding of different 38 glucuronidated, sulfated or methylated (-)-epicatechin metabolites to different DNA 39 methyltransferases (DNMT1/DNMT3A). In favour of this model, genomewide DNA methylation 40 profiling of endothelial cells treated with TNF and different (-)-epicatechin metabolites revealed 41 specific DNA methylation changes in gene networks controlling cell adhesion-extravasation 42 endothelial hyperpermeability as well as gamma-aminobutyric acid, renin-angiotensin and nitric 43 oxide hypertension pathways. Remarkably, blood epigenetic profiles of an 8 week (-)-epicatechin 44 diet intervention in male smokers revealed individual epigenetic gene changes targeting similar 45 pathways as the *in vitro* exposure experiments in endothelial cells. Furthermore, epigenetic changes 46 following (-)-epicatechin diet intervention oppose atherosclerosis associated epigenetic changes. 47 In line with biological data, the individual epigenetic response to an (-)-epicatechin diet is 48 associated with different vascular health parameters (glutathione peroxidase 1 and endothelin-1 49 expression, acetylcholine response), in part involving systemic shifts in blood immune cell types 50 which reduce the neutrophil-lymphocyte ratio (NLR). Altogether, our study suggests that different 51 (-)-epicatechin metabolites promote vascular health in part via epigenetic reprogramming of 52 endothelial-immune cell signaling and reversing systemic low-grade inflammation.

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57 **1. Introduction**

58 Flavonoids are a group of polyphenolic compounds and contribute to the beneficial health effects 59 of fruits and vegetables [1]. In the context of cardiovascular health, flavonoids are of interest, 60 because the habitual intake of flavanols, proanthocyanidins and theaflavins has been associated 61 with reduced coronary artery disease (CAD) risk and mortality [2, 3]. Flavanols consist of 62 monomeric catechin and epicatechin, and oligomeric procyanidins, among others, and have been 63 mainly found in cocoa, apples and tea. Only monomers and dimers are absorbed in the small 64 intestine, and undergo extensive metabolization in the gut and liver leading to glucuronidated, 65 sulphated and methylated epicatechin and γ -valerolactone metabolites [4-6].

66 Experimental studies and randomized controlled trials (RCTs) further confirm the cardioprotective 67 effects of flavanols [7] including effects on blood pressure [8, 9], vasodilation [9, 10], pulse wave 68 velocity [9] and LDL cholesterol [11]. The most consistent effect is on endothelial function 69 measured by flow-mediated vasodilation (FMD) [12], which is an independent risk factor for 70 cardiovascular diseases. Multiple RCTs showed an increase in FMD and reduction in blood 71 pressure, both in CVD high risk and low risk individuals [9, 13]. This effect is mainly due to the 72 enhancement of NO synthesis and levels in the vascularity [14]. Furthermore, (-)-epicatechin and 73 its circulating metabolite epicatechin-7-O-glucuronide were found the major compounds 74 responsible for this increase in FMD after the consumption of cocoa flavanol-rich diet [7].

75 Another way how flavanols may beneficial impact endothelial function and prevent cardiovascular 76 diseases is by reducing the recruitment and migration of monocytes into the arterial wall. 77 Accumulated oxidized LDL cholesterol in the intima leads to the expression of varies cell adhesion 78 and chemokine proteins in endothelial cells. Circulating monocytes are subsequently recruited to 79 the endothelial wall and migrate into the intima where they differentiate into macrophages [15]. 80 Previously, we have demonstrated that (-)-epicatechin metabolites decrease pro-inflammatory 81 interactions between monocytes and endothelial cells [16]. Moreover, we demonstrated that 82 monocyte-endothelial cell adhesion and leukocyte rolling induced by an inflammatory stimulus 83 was reduced upon pre-treatment with a mixture of 3 epicatechin metabolites *in vitro* and *in vivo* 84 [17]. Upon integration of transcriptome, miRNAome and DNA methylome profiles of endothelial 85 cells exposed to a mix of (-)epicatechin metabolites, common targeted pathways were identified 86 related to endothelial cell adhesion and transmigration. These results indicate that (-)-epicatechin 87 may prevent monocyte recruitment and transendothelial migration through the modulation of gene

88 expression, miRNA expression and DNA methylation. Epigenetic effects of (-)-epicatechin 89 metabolites on endothelial DNA methylation provides an attractive working model explaining a 90 potential mechanism of action underlying their health properties because DNA methylation can be 91 seen as an important mediator in memorizing past adverse environmental effects. Indeed, previous 92 studies demonstrated that LDL cholesterol, homocysteine and disturbed blood flow induces DNA 93 methylation changes in endothelial cells [18-20]. It has been suggested that dietary polyphenols 94 promote cardiovascular health by targeting multiple classes of epigenetic writer-reader-eraser 95 enzymes related to histone acetylation-methylation and DNA methylation [21]. 96 The aim of this study was to evaluate whether physiological concentrations of glucuronidated, 97 sulphated or methylated (-)-epicatechin metabolites trigger epigenetic DNA methylation changes

98 related to cardiovascular health *in vitro* and *in vivo* in a diet intervention study.

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100

101 **2. Material and Methods**

102

103 *2.1* **(-)-epicatechin flavanol metabolites**

104 (-)-epicatechin metabolites: 4′-*O*-methyl(-)-epicatechin (4'MEC), 4′-*O*-methyl(-)-epicatechin-7- 105 β-D-glucuronide (4'MEC7G) and (-)-epicatechin-4′-sulfate (EC4'S) were gifted by Mars Inc 106 (McLean, VA, USA). Conjugated metabolites were dissolved in 50% ethanol in double distilled 107 water and diluted to a final working concentration of 1 μ M in cell medium for cell culture 108 experiments. An 1µM (-) epicatechin mix was prepared containing equimolar fractions of the 3 109 metabolites. TNFα was obtained from R&D Systems (Lille, France) and was dissolved in 0.1% 110 bovin serum albumin (St. Louis, MA, USA).

111

112 *2.2 Cell culture conditions*

113 Human umbilical vein endothelial cells (HUVEC) (Lonza, Walkersville, MD, USA) were cultured 114 in a phenol-free endothelial growth medium (EGM) supplemented with 2% fetal bovine serum 115 (FBS), 0.4% fibroblast growth factor, 0.1% vascular endothelial growth factor, 0.1% heparin, 0.1% 116 insulin-like growth factor, 0.1% ascorbic acid, 0.1% epidermal growth factor and 0.04% 117 hydrocortisone (all from Lonza). HUVECs, at passage 4, were seeded into the 24-well plates (BD-118 Falcon, Le Pont-De-Claix, France) and grown to reach 60-70% confluence. The medium was then 119 replaced to expose the cells for 3h to the culture medium containing either solvent alone (ethanol 120 0.5‰, control wells, vehicle) or different metabolites: 4′-*O*-methyl(-)-epicatechin, 4′-*O*-methyl(-)- 121 epicatechin-7-β-D-glucuronide or (-)-epicatechin-4′-sulfate at 1µM. At the end of the incubation 122 period, the medium was replaced with flavanol free medium and left for further 18h until 123 confluence. The confluent monolayer was then stimulated for 4 hours with TNFα (R&D Systems 124 Lille, France) at 0.1 ng/mL or only incubated with PBS/BSA (0,001%, negative control). 125 Following gDNA isolation, genomewide DNA methylation profiles were generated by Illumina 126 450k BeadChip (Illumina, San Diego, CA, USA) arrays covering >450,000 CpG dinucleotides.

127

128 *2.3 Genomic DNA extraction*

129 Genomic DNA (gDNA) from HUVEC was extracted using DNeasy kit (Qiagen, Courtaboeuf, 130 France). DNA purity and concentrations were determined by UV-VIS spectrophotometry 131 (NanoDrop, Thermo Fisher Scientific Inc, Wilmington, DE, USA) and stored at -80°C until further 132 use. For each sample 1 µg of gDNA was bisulfite converted using the EZ DNA Methylation kit

133 (Zymo research, Irvine, CA, USA) according to manufacturer's instruction. Successful bisulfite

134 conversion was confirmed by performing a methylation-sensitive PCR in a region of the SALL3 135 gene. (see [22] for primer sequences).

136

137 *2.4 DNA-methylation arrays*

138 Bisulfite converted DNA from the HUVECs was hybridized to the Illumina HumanMethylation450 139 BeadChip arrays (Illumina, San Diego, CA, USA). Briefly, for each sample, 1 μg of genomic DNA 140 was bisulfite-converted using an EZ DNA methylation Kit (ZYMO research, Irvine, CA, USA) 141 according to the manufacturer's recommendations. Converted gDNA was eluted in 22 μl of elution 142 buffer. DNA methylation level was measured using the Illumina Infinium HD Methylation Assay 143 (Illumina, San Diego, CA, USA) according to the manufacturer's instructions. Briefly, 4 μl of 144 bisulfite-converted DNA $(\pm 150 \text{ ng})$ was isothermally amplified overnight (20–24h) and fragmented 145 enzymatically. Precipitated DNA was resuspended in hybridization buffer and dispensed onto the 146 Infinium HumanMethylation450 BeadChips (12 samples/chip) using a Freedom EVO robot 147 (Tecan, Männedorf, Switzerland). The hybridization procedure was performed at 48°C overnight 148 (16–20 h) using an Illumina Hybridization oven. After hybridization, free DNA was washed away 149 and the BeadChips were processed through a single nucleotide extension followed by fluorescent 150 readout of the incorporated base using a Freedom EVO robot. Finally, the BeadChips were imaged 151 using an Illumina iScan (Illumina, San Diego, CA, USA). Raw Illumina450K data have been 152 deposited in the GEO-profile database as GSE133418 (in vitro methylation data), GSE107143 153 (atherosclerosis methylation data) [23] and GSE54643 (epicatechin diet intervention methylation 154 data) [24]. Detection p-values were calculated to identify failed probes as per Illumina's 155 recommendations. No arrays exceeded our quality threshold of >5% failed probes. Filtering of bad 156 quality probes and normalization of raw methylation beta values was conducted using RnBeads 157 package in R software [25]. Probes with detection p-values higher than 0.01, overlapping with 158 SNPs at the last 3 bases in its sequence or containing missing values were excluded. Beta mixture 159 quantile dilation (BMIQ) was used to normalize between the two different probe designs (Infinium 160 I and Infinium II) [26]. Differentially methylated probes were identified using moderated t-test in 161 the limma R package. Probes with a p-value below 0.01 and a methylation difference of at least 162 5% were defined as differentially methylated probes (DMPs). Probes were assigned to genes, CpG

163 island annotations (CpG island, CGI shores, CGI shelves, and open sea) and gene regions 164 (TSS1500, TSS200, 5'UTR, 1st exon, gene body and 3'UTR) based on the HumanMethylation450 165 v1.2 Manifest file from Illumina. Probes were also mapped to chromatin segmentation states 166 obtained from the UCSC genome browser. Enrichment of DMPs in different genomic regions was 167 measured by the Fisher's Exact test. Blood cell type composition was estimated using the 168 reference-based method of Houseman with the EpiDISH R package [27, 28]. All analyses were 169 performed in R and R Studio. Epigenetic age was estimated using the epigenetic clock of Horvath 170 [29].

171

172 *2.5 Bioinformatic analysis*

173 DMPs were mapped to genes according the HumanMethylation450 v1.2 Manifest file from 174 Illumina. Corresponding gene lists were used to perform pathway enrichment analysis using 175 Ingenuity Pathway Analysis (IPA) software, KEGG (Kyoto Encyclopedia of Genes and Genomes) 176 (http://www.genome.jp/kegg/tool/map_pathway2.html) and Metacore software packages 177 Metacore™ (GeneGo, St Joseph, MO, USA; [https://portal.genego.com\)](https://portal.genego.com). Enrichment statistics were 178 calculated for these data sets assuming a hypergeometric distribution to identify significantly 179 overrepresented pathways. Canonical pathways were considered significant overrepresented when 180 they had a Fisher's Exact p-value < 0.05. Venn diagrams were created using the VennDiagram R 181 package. Partial Least Squares Discriminant Analysis (PLS-DA) multivariate analysis was 182 performed using the mixOmics R package. Gene network analyses were searched using text-183 mining algorithm of MetaCore software from Clarivate Analytics (<https://portal.genego.com>). To 184 identify pathways that are significantly associated with the genes, we used the web tool GeneTrail2 185 (<https://genetrail2.bioinf.uni-sb.de/>) [30], version 1.6, as a platform to access KEGG and BioCarta 186 databases. Interactions between functional groups of genes were searched using the online tool 187 Metascape [\(http://metascape.org](http://metascape.org)) [31]. The obtained network was further visualized using 188 Cytoscape platform for molecular interaction networks visualization ([https://cytoscape.org/\)](https://cytoscape.org/) [32]

189

190 **2.6 mm-GBSA binding affinity calculations**

191 *2.6.1 Preparation of proteins and ligands*: The atomic coordinates of both human DNMT1 and 192 DNMT3A proteins were retrieved from the Protein Data Bank (PDB, https://www.rcsb.org). Chain

193 A of 4WXX crystal structure was chosen for the docking simulations of DNMT1. In the absence

194 of a full protein crystal structure of DNMT3A, 3LLR and 4U7T crystal structures were chosen to 195 study amino acids 275-427 and 476-912, respectively. Proteins were prepared for docking using 196 the default parameters of Protein Preparation Wizard implemented in Maestro, 2017-4 version 197 (Schrödinger, New York, NY, USA). Ligand 2D structure was retrieved from PubChem database 198 (https://pubchem.ncbi.nlm.nih.gov, NIH, Bethesda, MD, USA) as SDF. (-)-epicatechin, 4'MEC, 199 4'MEC7G and EC4'S, sucrose and naphthalene have a PubChem CID of 72276, 11420256, 200 131750802 71579043, 5988 and 931, respectively. Ligands' 3D structure was generated using the

201 LigPrep command in Maestro.

202 *2.6.2 Docking model and mm-GBSA calculation*: The sitemap algorithm (Schrödinger, New York, 203 NY, USA) was used to determine five possible docking sites of DNMT1, which were later 204 identified by comparing to domain information from UniProt (https://www.uniprot.org). 3PTA a 205 crystal structure of DNMT1 in complex with DNA was used as a reference to the DNA binding 206 domain of the DNMT1. Three of the predicted binding sites, a site at the DNA binding domain and 207 two sites at the catalytic domain were chosen for further analysis. Four binding sites were 208 determined For DNMT3A. 3LLR is the crystal structure of the histone binding PWWP domain. A 209 grid of the histone binding site was generated based on the centroid of histone H3 peptide from the 210 5CIU crystal structure of DNMT3B PWWP domain in complex with H3. In addition, three binding 211 sites of DNMT3A were determined by the protein interactions in the 4U7T crystal structure of the 212 heterotetramer DNMT3L-DNMT3A-DNMT3A-DNMT3L in complex with histone H3. A grid of 213 the histone binding site was generated based on the centroid of histone H3 peptide. Grids of 214 DNMT3A-DNMT3L and DNMT3A-DNMT3A binding sites were generated as a centroid of 215 DNMT3L (chain b) and DNMT3A (chain c) amino acids in a range of 3Å from DNMT3A (chain 216 a), respectively. In each site, default Glide options for extra precision were used for the docking 217 model with the exception of using 30 poses for the number of poses to include and ten poses for 218 the number of poses to write out. For each ligand, docking pose with the lowest Glide emodel score 219 was selected for further analysis. Binding free energy was calculated using the Prime mm-GBSA 220 package (Schrödinger, New York, NY) as follows: ΔG (bind) = E(complex) – [E(ligand) + 221 E(receptor)]

222 **3 Results**

223 **3.1 In silico modeling of different (-)-epicatechin metabolites to DNMT1 and DNMT3A** 224 **reveals favorable binding modes.**

225 Based on *in silico* models of (-)epicatechin binding to DNMTs in nutriepigenetic studies with green 226 tea [33, 34], we compared DNMT binding properties of different (-)-epicatechin metabolites 227 identified in cocoa flavanol diet intervention studies, i.e. 4′-*O*-methyl(-)-epicatechin (4'MEC), 4′- 228 *O*-methyl(-)-epicatechin-7-β-D-glucuronide (4'MEC7G) and (-)-epicatechin-4′-sulfate (EC4'S) 229 (**Figure 1A**). Using the sitemap algorithm, we were able to predict five potential docking sites in 230 DNMT1 (**Figure 1B-G**), of which only those located at regions with defined function were selected 231 for the docking simulation: one site located at the DNA binding site of the protein and two sites 232 located at the catalytic domain. DNMT3A is active as a hetero-tetrameric (DNMT3L-DNMT3A-233 DNMT3A-DNMT3L) protein. Four docking sites were determined from the 3D structure: the 234 PWWP domain, usually found in DNA-binding proteins, the H3 histone binding site and two 235 protein-protein interaction sites (DNMT3A-DNMT3A and DNMT3A-DNMT3L) (**Figure 1E-H**). 236 Using docking simulation, we propose possible binding modes of of EC4'S in both active sites and 237 regulatory regions of DNMT1 and DNMT3A (shown in enlarged rectangle). Hydrogen bonds are 238 represented as light blue dashed lines (**Figure 1B-H**).

239 The mm-GBSA method serves for ranking ligands with similar scaffold according to their 240 calculated binding affinity [35]. One way to validate the calculation-based predictions is to 241 demonstrate their specificity to the active molecules in question. We have calculated the binding 242 potential of sucrose, an H-bond donor-rich molecule, and of naphthalene, a hydrophobic molecule, 243 both without effect on the mechanisms discussed here, as a benchmark for metabolites' binding 244 potency. Our predictions demonstrate the highest binding affinities to most of the tested binding 245 sites for one metabolite, i.e., 4'MEC7G (**Table 1**). The calculated binding energy (ΔG) of 246 4'MEC7G was 12.83-34.76 kcal/mol lower than of the control molecules, in all sites except for 247 one site located in the DNMT1 catalytic domain. However, both 4'MEC and MEC4'S presented 248 potential affinities for DNA binding site but not for catalytic domain I and II. Interestingly, 249 epicatechin metabolites present higher affinities for DNMT1 than the parent compound. As for 250 DNMT1, 4MEC7G was also predicted to have highest affinity for DNMT3A, with calculated 251 binding energy (ΔG) of 4MEC7G estimated to be 13.27-29.61 kcal/mol lower than of the control

- 252 molecules for all 4 tested sites. In our analyses, 4'MEC presented the highest binding affinity for 253 PWWP domain and EC4'S H3 peptide binding site (**Table 1**).
- 254

255 **3.2 (-)-Epicatechin metabolites trigger common endothelial DNA methylation changes**

256 **opposite to TNF treatment**

257 Previously, (-)-epicatechin specific inhibition of DNMT activity has been demonstrated *in vitro* for 258 Ss1 DNMT and human DNMT1 enzymes, with IC50 values of respectively 8.1 and 8.4 µM [34]. 259 Here, we further compared epigenetic DNA methylation changes in TNF treated HUVEC cells, 260 either or not, following pretreatment with each of the 3 different (-)-epicatechin metabolites. 261 Briefly, subconfluent HUVEC cells were exposed for 3 h to control medium (i.e. culture medium 262 with 0.5‰, ethanol solvent) or culture medium containing 1μ M of individual flavanol metabolites 263 4′-*O*-methyl(-)-epicatechin (4'MEC), 4′-*O*-methyl(-)-epicatechin-7-β-D-glucuronide (4'MEC7G) 264 or (-)-epicatechin-4′-sulfate (EC4'S), which corresponds with maximum plasma concentrations of 265 metabolites detected in cocoa diet intervention studies [17, 36-38]. After 3h (-)-epicatechin 266 treatment, the medium was replaced with flavanol free medium and left for 18h untreated, before 267 adding TNFα for 4h. Following gDNA isolation and bisulfite treatment, genomewide DNA 268 methylation profiles were generated by Illumina 450k BeadChip arrays covering >450,000 CpG 269 dinucleotides (GSE133418) [17]. Reliability of our DNA methylation profiling approach was 270 confirmed upon comparing the endothelial DNA methylation profile of HUVEC control cells with 271 independent HUVEC DNA methylation profiles available in the ENCODE dataset GSE82234, 272 which revealed highly significant correlation (Pearson correlation > 0.98) [17] (data not shown). 273 Next, partial least squares discriminant multivariate analysis (PLS-DA) of epigenetic profiles 274 revealed clear separation between untreated cells (control), TNF treated cells, and TNF treated cells 275 following (-)-epicatechin metabolite pretreatment (**Figure 2A**). More specifically, 4h TNF α 276 treatment elicits significant DNA methylation. changes ($P < 0.01$ and $\Delta \beta$ -value > 0.05) of 559 277 hypermethylated and 198 hypomethylated CpG probes (**Figure 2B**). Since the profiles of the 3 (- 278)-epicatechin metabolites 4'MEC, 4'MEC7G and EC4'S clustered together, this suggests clear 279 similarities in DNA methylation changes by the different epicatechin metabolites.

280 Pretreatment of endothelial cells to 4'MEC, 4'MEC7G or EC4'S followed by TNF treatment 281 (**Figure 3B**) triggered respectively hypomethylation of 393, 740 and 548 CpG and 282 hypermethylation of 313, 902 and 848 CpG probes as compared to TNF treatment alone (**Figure**

283 **2B**). Analyses of the methylation changes across chromosomes in a Manhattan plot showed a 284 widespread distribution across the genome (**Figure 2C**). More particularly, we observed an 285 enrichment of differentially methylated probes (DMPs) in intergenic regions, and a depletion in 286 regions around the transcription start site (TSS) of genes when compared to all the Illumina CpG 287 probes (**Figure3A**). Along the same line, mapping DMPs to chromatin states confirmed a depletion 288 in promoter states. Especially hypo-DMPs were slightly enriched in gene bodies and 3'UTRs. Both 289 hypo- and hyper-DMPs were found to be depleted in CpG islands, and were therefore mainly 290 located in CpG-poor regions. DMPs were weakly enriched in enhancer regions, although 291 significance (p<0.05) could not be reached in all contrasts. An enrichment of DMPs in 292 heterochromatin states was found for all contrasts.

293 To check whether the hyper- or hypomethylation changes were similar between the 3 different 294 flavanol metabolites and TNF versus TNF treatment alone, the -log10(p-values) of each probe were 295 calculated for each contrast and made negative when hypomethylated and kept positive when 296 hypermethylated. Upon comparing these -log10(p-values), strong positive Pearson correlations 297 were found across the different metabolites (r between 0.47 and 0.51) (**Figure 3B**), indicating that 298 the 3 different flavanol metabolites induce similar epicatechin specific DNA 299 hyper/hypomethylation changes in line with the PLS-DA analysis (**Figure 2A**). In contrast, when 300 comparing epigenetic changes of each (-)-epicatechin metabolite and TNF versus TNF treatment 301 with epigenetic changes of TNF treatment versus control cells, a negative Pearson correlation (r 302 between -0.26 and -0.33) was found between the -log10(p-values). This indicates that in general 303 the 3 (-)-epicatechin metabolites trigger opposite epigenetic changes in comparison to TNF induced 304 DNA methylation effects (**Figure 3C**).

305

306 **3.3. (-)-Epicatechin metabolites trigger common endothelial DNA methylation changes**

307 **in genes involved in cell adhesion, cytoskeletal organization, renin angiotensin, nitric oxide** 308 **hypertension and axonal guidance signaling pathways**

309 We further applied respectively Metacore gene network, KEGG and Ingenuity pathway 310 enrichment analysis on DMP associated gene lists of the 3 different (-)-epicatechin metabolite 311 treatments and TNF combination treatments relative to TNF treatment alone, which identified 81 312 common differentially methylated target genes (Figure 4A). The enriched gene networks (**Figure** 313 **4B**) and pathways (**Figure 4C-D**) were ranked again based on the sum of the -log10 enrichment

314 p-values, in each treatment contrast. In this way, networks or pathways which are enriched in more 315 than one contrast are therefore in common across the treatments and ranked first. Among the top 316 ranked pathways, we found interesting pathways including cell adhesion, axonal guidance, netrin, 317 ephrin and integrin signaling, actin cytoskeletal signaling, vascular morphogeness, hedgehog and 318 wnt signaling. These enriched pathways are involved in various aspects of atherogenesis via 319 eliciting endothelial dysfunction, monocyte attraction, leukocyte infiltration, monocyte-320 macrophage retention, platelet hyperreactivity, and neovascularization [39-43]. Moreover, the 321 results are in line with previously obtained *in vitro* and *in vivo* biological data, showing that 322 epicatechin metabolites mediate their vasculoprotective effects through dynamic regulation of 323 endothelial cell monocyte adhesion and permeability [17]. IPA pathway analysis (**Figure 4D**) 324 also identified significant gamma-aminobutyric acid (GABA) and pathway enrichment in response 325 to the 3 (-)-epicatechin metabolites. Recent evidence revealed a neuro-cardioprotective role for 326 GABA against oxidative endothelial injury and regulation of endothelial nitric oxide eNOS [44, 327 45]. Also renin-angiotensin and nitric oxide signaling pathways are enriched which play critical 328 roles in hypertension and may contribute in cardioprotective blood pressure-lowering effects of (- 329)-epicatechins [12, 46-48]**.** Endocrine flavanol functions are suggested via regulation of 330 gonadotropin receptor hormone (GnRH) and androgen receptor (AR) signaling, involved in plaque 331 stabilization and/or rupture [49-51]. Finally, integrated Metascape protein network analysis 332 revealed various links between the different (-)-epicatechin specific biological processes related 333 to focal adhesion, signal transduction, cancer development, G-alpha protein signaling and axon 334 guidance (**Figure 4E**).

335

336 **3.4. (-)-Epicatechin metabolites and TNF trigger opposite endothelial DNA methylation** 337 **changes in cell junction associated genes**

338 Out of 81 epicatechin specific gene associated DMPs (**Figure 4A**), we identified 26 genes for 339 which opposite methylation changes were detected by at least one (-)-epicatechin metabolite in 340 comparison to TNF treatment alone (USP35, PCDHGA1, SMOC2, SDK1, SKI, GLUD1P3, SOX1, 341 DOCK5, AKAP12, PTPRN2, NLGN1, FLJ38576, TNXB, DLGAP2, SLC38A4, BANP, LAS1L, 342 XKR4, DACT1, SYNPR, FTCDNL1, GLI3, PCDH9, PTCHD3, ERICH1-AS1, KDM2B) (**Figure** 343 **5A**). Besides, TNF induces also multiple DNA methylation changes which are not responsive to 344 (-)-epicatechin treatment (**Figure 5A**). Heatmap analysis of DNA methylation changes of these 26

345 genes identified 2 major clusters of opposite DNA methylation changes by TNF and the 3 (- 346)epicatechin metabolites (**Figure 5B**). Cluster 1 reveals 5 hypomethylated TNF responsive genes, 347 which remain hypermethylated upon pretreatment with the 3 (-) epicatechin metabolites (**Table 2**). 348 The opposite pattern can be seen in cluster 2, showing 11 hypermethylated TNF responsive genes, 349 which remain hypomethylated upon pretreatment with the 3 (-)-epicatechin metabolites, as 350 illustrated for the gene USP35 (**Table 3**) (**Figure 5C**). Pathway enrichment analysis of the shortlist 351 of 26 genes, reveals significant enrichment of cell junction regulation (GO:0030054, P- 352 value=9.470×10⁻³), which is critically involved in endothelial permeability and transendothelial 353 monocyte migration [16, 17]. Endothelial cell–cell junctions are critical for vascular integrity, 354 whereas their dysfunction and endothelial hyperpermeability represents an initiating step in early 355 atherosclerosis and coronary artery disease [52-54]. In support of our results, TNF has previously 356 been shown to increase endothelial permeability and promote monocyte transendothelial migration, 357 whereas (-)-epicatechin (pre)treatment antagonizes inflammation associated endothelial 358 hyperpermeability [17, 55-57].

359

360 **3.5. Individual DNA methylation response of (-)-epicatechin diet intervention targets genes**

361 **involved in cell adhesion, cytoskeletal organization, renin angiotensin, nitric oxide**

362 **hypertension and axonal guidance signaling pathways**

363 A double-blind, randomized, placebo-controlled intervention study revealed that the daily 364 consumption of monomeric and oligomeric (-)-epicatechin flavanols (MOF) derived from seeds of 365 grapes (*Vitis vinifera* L.) for 8 weeks accomplishes a vascular health benefit in male 366 smokers [58]. Corresponding DNA methylation levels of blood samples were measured before 367 and after the diet intervention using the 450k Illumina array platform, but no common diet 368 responsive CpG probe was found to be differentially methylated due to strong interindividual 369 epigenetic variation in baseline methylation levels and variable response to a (-)-epicatechin rich 370 diet intervention [24] (GEO-data available at GSE54690). Upon reanalysis, we now selected probes 371 that changed in methylation by at least 0.1 in beta-value (corresponding with $>10\%$ methylation 372 change) after epicatechin diet intervention for every individual participant of the study. We noticed 373 that some individuals (SN_110, SN_109 and SN_114) showed a strong epigenetic response with a 374 total number of DMPs between 5,469 and 15,189, while other individuals showed much less 375 difference in methylation changes after the (-)-epicatechin intervention (**Figure 6A**). We could not

376 identify a common CpG probe which was differentially methylated in every individual and only a 377 small fraction of the probes was affected in more than one individual (**Figure 6B**). Next, we 378 performed IPA pathway analysis for every individual for the corresponding CpG probes that 379 showed a change in methylation of at least 10%. To compare the enriched pathways among the 380 individuals, we ranked the pathways based on the sum of the -log10 enrichment pvalues in each 381 individual. In this way, the pathways which were enriched in more than one individual were ranked 382 first (**Figure 6C**). In addition, -log10 enrichment pvalues of pathway analysis of the *in vitro* 383 experiments with the different (-)-epicatechin metabolites or a mix thereof were included and 384 cluster analysis was formed (**Figure 6C**) Remarkably, among the top ranked pathways, we could 385 find several common enriched pathways of interest, including leukocyte extravasation signaling, 386 axonal guidance signaling, netrin signaling, thrombin signaling, GABA, GnRH and nitric oxide 387 signaling, similar to the *in vitro* treatment experiments. Despite the significant enrichment (p < 388 0.05) of various pathways across different individuals, no common differentially methylated genes 389 could be identified in response to the diet intervention (data not shown) [24]. This suggests highly 390 redundant gene networks to control cell adhesion and leukocyte extravasation.

391

392 **3.6. Individual DNA methylation response of (-)-epicatechin diet intervention associates**

393 **with cardioprotective vascular health biomarkers.**

394 Since the cardioprotective role of (-)-epicatechin flavanols is well established (see introduction), 395 we first evaluated whether atherosclerosis and flavanol diet intervention associated DNA 396 methylation profiles show opposite methylation direction. We therefore compared the mean DNA 397 methylation differences after the diet intervention with the DNA methylation signature of 398 atherosclerotic patients (athDMPs) [23]. Interestingly, based on the mean delta betas (indicates 399 degree of DNA Methylation difference) of all individuals, a negative correlation (r=-0.41) was 400 found with the delta betas of the athDMPs , suggesting that (-)-epicatechin treatment elicits 401 opposite DNA methylation changes than atherosclerosis progression (**Figure 7A**). 402 Hypomethylated athDMPs were on average increased in methylation after the (-)-epicatechin diet 403 intervention, wheres hypermethylated athDMPs were mainly decreased in methylation. 404 Furthermore, when calculating the correlation between delta betas in every individual separately, 405 we found more individuals with negative correlation (i.e. cardioprotection) than with positive 406 correlations (i.e. proatherogenic) (**Figure 7B**). Especially individuals SN_114, SN_109 and

407 SN_110 demonstrated a strong negative cardioprotective correlation. Interestingly, the three 408 samples (SN_114, SN_109 and SN_110) with the strongest DNA methylation response (highest 409 number of DMPs) (SN_114, SN_109 and SN_110) were also the most negatively correlated with 410 the athDMPs.

411 Since blood is a heterogeneous collection of different cell types, each characterized by unique DNA 412 methylation profile, we next applied the houseman algorithm to estimate blood cell type 413 composition before and after diet intervention in every individual [27]. This algorithm allows 414 mathematical deconvolution of relative immune cell type composition of blood samples based on 415 Illumina 450k data [59]. In line with this prediction model, increased fraction of neutrophil 416 granulocytes and reduced fraction of CD4+T lymphocyte cells have already been reported in 417 atherosclerosis patients in comparison to control individuals [23]. Interestingly, the (-)-epicatechin 418 diet intervention promotes predominantly opposite shifts in cell type composition, based on the 419 Pearson correlation coefficients of (-)-epicatechin DMPs of the different individuals versus 420 atherosclerosis athDMPs (**Figure 7C**). For example, DMPs of individual SN_114 with the 421 strongest negative athDMP correlation coëfficient showed the biggest reduction in granulocyte 422 fraction but highest increase of CD4+T cell fraction. This houseman prediction could be further 423 experimentally confirmed with the vascular health biomarkers which have been monitored in these 424 individuals [58] (**Figure 8A**). When comparing the individual pearson correlation coefficient of 425 epicatechin DMPs versus athDMPs, the strongest positive correlation was found for the neutrophil 426 counts and the most negative correlation was found for the lymphocyte counts. In case of a 427 negative pearson correlation efficient of epicatechin diet DMPs versus athdMPs , this corresponds 428 with reduced neutrophil counts and increased lymphocyte counts, in line with the houseman 429 algorithm prediction. This suggests that epigenetic reprogramming of adaptive immunity of 430 neutrophil and T cell populations in response to (-)-epicatechin might also significantly contribute 431 to its cardioprotective properties.

432 We next checked to what extent epigenetic responders of the (-)-epicatechin diet could be 433 correlated with additional vascular health parameters. For this, correlations were performed 434 between the total number of DMPs and all the micro and macro vascular biomarkers which have 435 been monitored in these individuals [58] (**Figure 8B**). The most significant positive correlations 436 were found for GPX1 expression values and acetylcholine response which increased with higher 437 number of DMPs following (-)-epicatechin diet intervention. Epicatechin is known to improve the

438 impaired endothelium-dependent relaxation response to acetylcholine [60]. GPX1 is involved in 439 oxidative stress defense mechanisms [61]. GPX1 deficiences play a critical role in cardiac 440 dysfunctions and AngII-dependent hypertension, but GPX1 activity can be restored upon 441 epicatechin treatment [62, 63]. Besides, GPX1 also regulates epigenetic DNA hydroxymethylation 442 following oxidative stress [64]. The most negative correlations were found for endothelin-1 (ET1) 443 levels which decreased with increasing number of (-)-epicatechin responsive DMPs. ET1 is playing 444 a critical role in cardiovascular hypertension. Of special note, epicatechin is known to lower ET-1 445 release [60]. How changes in GPX1 an ET1 expression modulate DNA (hydroxy)methylation 446 changes (or vice versa) needs further investigation. Finally, no significant association could be 447 found between the (-)-epicatechin specific DNA methylation response and the total vascular health 448 index, which is an integrative measure of vascular health combining the results from all the vascular 449 biomarkers measured (**Figure 8A-B**) [58]. This suggests that not all measured vascular health 450 parameters are under epigenetic DNA methylation control.

451

452 **4. Discussion**

453 (-)-Epicatechins are protective against cardiovascular diseases and improve vascular health, 454 however, the underlying mechanism is not fully elucidated. Using molecular modeling and in 455 silico docking, energetically favorable binding was demonstrated of 3 different (-)-epicatechin 456 metabolites with potential docking sites in DNMT1 and DNMT3A/B enzymes. Moreover, in 457 endothelial HUVEC cells, the 3 (-)—epicatechin metabolites induced similar DNA methylation 458 changes, across the different flavanol metabolites. Surprisingly, the CpG sites that changed after (- 459)-epicatechin treatment were rather enriched in intergenic regions and gene bodies, as compared to 460 promoter regions. Since few promoters changed their methylation status following (-)-epicatechin 461 treatment, only a limited number of genes were found to be both differentially expressed and 462 methylated [17]. Although additional chromatin dependent promoter regulation cannot be excluded 463 [65], (-)-epicatechin specific DNA methylation changes may alternatively modulate long-term 464 transcriptional responses upon repetitive exposure to accumulate a particular DNA methylation 465 threshold [66]. Besides, it also needs to be further investigated whether (-)-epicatechin specific 466 DNA methylation may have additional roles in alternative RNA splicing or regulation of ncRNAs 467 [67-70]. We found that the intergenic and gene body localized DMPs are enriched for genes 468 involved in cell adhesion related pathways, including axonal guidance signaling, paxillin

469 signaling, netrin signaling, integrin signaling, and actin cytoskeleton signaling. Of particular 470 interest, the role of alternative RNA splicing and noncoding microRNAs in regulation of cell 471 adhesion-motility is increasingly recognized [17, 71-73]. These results are in accordance with 472 previous functional studies showing reduced monocyte-endothelial cell-cell adhesion after 473 epicatechin treatment *in vitro* and *in vivo* [17]. The recruitment and migration of monocytes into 474 the arterial wall is one of the key initial processes during the development of an atherosclerotic 475 plaque. Furthermore, our data also identified (-)-epicatechin specific epigenetic regulation of 476 GABA, renin-angiotensin and nitric oxide pathways controlling blood pressure, as well as 477 endocrine responses via GnRH and AR, which may prevent plaque ruptures [44, 45]. Furthermore, 478 we found that the 3 (-)-epicatechin metabolites can counteract TNF induced epigenetic regulation 479 of 2 gene clusters involved in cell junction regulation, controlling endothelial permeability. This is 480 a critical determinant of leukocyte extravasation and transendothelial migration and can be 481 inhibited by (-)-epicatechins [17, 52-57]. Altogether, we identified TNF-dependent as well as 482 TNF-independent epigenetic changes in multiple pathways associated with cardioprotective 483 properties.

484 When further trying to identify an (-)-epicatechin specific DNA methylation signature *in vivo* in 485 blood samples of male smokers following an eight-week (-)-epicatechin diet intervention, we 486 presumably failed because of strong interindividual epigenetic variation in lifestyle factors 487 (nutrition, stress, exercise, smoking behavior, age), the limited duration of the diet intervention (8 488 weeks intervention is short relative to atherosclerosis development over years) and the relative 489 small sample sizes analyzed, (too limited statistical power) [24]. However, upon reanalyzing (-)- 490 epicatechin specific DNA methylation responses at the individual level, we observed significant 491 gene enrichment of common pathways related to cell adhesion and leukocyte extravasation, GnRH, 492 GABA, renin-angiotensin and nitric oxide signaling across all individuals, similar to the *in vitro* 493 results of (-)-epicatechin exposure in the endothelial cell model. Of particular interest, heatmap 494 clustering of these pathway enrichment results of individual epigenetic responses to (-)-epicatechin 495 *in vitro* and *in vivo* reveals that some individual profiles are more similar to 4'MEC and EC4'S 496 metabolite treatment, whereas other individuals are more similar to treatment with 4'MEC7G (-)- 497 metabolite or an equimolar mixture $(1\mu M)$ of the 3 metabolites. Indeed, interindividual variation 498 in (-)-epicatechin metabolization in the gut-microbiome [6, 9, 74] and/or metabolite specific 499 pharmacodynamics [75] may further expand variation of epigenetic gene responses to (-)-

500 epicatechin diet intervention [33, 34]. Remarkably, the common pathways identified seem to be 501 robust and tolerate strong interinvidivual epigenetic variation through redundant gene networks.

502 Upon analyzing vascular health benefits of (-)-epicatechin specific epigenetic changes, Pearson 503 correlation studies showed that individual DMP profile of an (-)-epicatechin diet intervention 504 oppose adverse epigenetic marks associated with atherosclerosis (ath-DMP signature). Moreover, 505 the houseman deconvolution algotrithm revealed that part of the observed DNA methylation 506 changes correspond with decreased neutrophil granulocyte and increased CD4+T-lymphocyte cell 507 counts. The neutrophil-lymphocyte ratio (NLR) is a measure of systemic inflammation and is a 508 known prognostic and predictive marker for cardiovascular diseases. A higher NLR has been 509 associated with increased cardiovascular risk and hypertension [76-79]. As such DNA methylation 510 based estimation of small shifts in immune cell counts and activation status could become an 511 attractive immunomethylomic measure for chronic systemic inflammation, cardiovascular risk and 512 hypertension, similar to NLR based cancer prediction markers [78, 80]. Along the same line, C-513 reactive protein (CRP), another systemic inflammation marker, was found associated with blood 514 DNA methylation changes of 218 CpGs, of which at least 51 CpGs are related to cardiometabolic 515 health [81]. From a cardioprotective perspective, a polyphenol rich (Mediterranean) diet was 516 reported to lower NLR and chronic inflammatory markers, including CRP [82-88]. Similarly, our 517 DNA methylation results indicate that an (-)-epicatechin diet intervention lowers NLR in various 518 individuals, in favour of cardioprotection. These results could also experimentally be confirmed by 519 vascular health measurements, showing decreased neutrophil granulocyte and increased T-520 lymphocyte counts following (-)-epicatechin diet intervention. It can therefore be concluded that 521 changes in systemic low grade inflammation or its reduction by (-)-epicatechin can be detected in 522 blood DNA methylation profiles and NLR-specific methylation DMPs may become an attractive 523 blood surrogate biomarker for cardiovascular health.

524 Besides, we also found significant Pearson correlations for total number of epicatechin responsive 525 DMPs with Gpx1 and ET1 expression levels and acetylcholine response. Experimental data 526 suggest that antioxidative GPx-1 activity modulates cardiovascular risk associated with 527 homocysteine plasma levels, which is linked to methyldonor metabolism supplying S-528 adenylmethionine donors for DNA methylation [89]. Similarly, homocysteine exerts deleterious 529 effects on endothelial function and vascular tone via release of ET1 [90, 91]. In this respect, 530 epicatechins may promote indirect DNA methylation effects by increasing homocysteine

531 catabolism (reduced hyperhomocysteinemia) and reshaping the methyldonor metabolism [92]. 532 Assocations with other vascular health markers of interest (such as fmd, aggregation, VCAM, CRP) 533 did not reach significance (P>0.05), presumably due to the small sample cohort size and 534 underpowered statistics and may become significant in larger cohort studies.

535 Because all study participants were heavy smokers, we also tested whether the epicatechin diet 536 could reverse adverse smoking-induced DNA methylation [93]. However, no clear reversal of the 537 smoking methylation pattern could be observed when comparing average methylation changes of 538 the diet intervention and smoking related methylation changes (data not shown). Finally, we also 539 checked whether the (-)-epicatechin diet could rejuvenate the epigenetic age measured by the 540 epigenetic clock of Horvath [29]. However, besides some weak individual rejuvenation effects, no 541 statistical significant change in epigenetic age could be observed at the population level after 8 542 week diet intervention (data not shown). Similarly, in a recent study, benefits of dietary 543 intervention are limited when started in old age, owing to the development of an inflexible 544 nutritional memory [94].

545 In conclusion, our results provide solid biochemical evidence that (-)-epicatechins elicit DNA 546 methylation changes in endothelial cells *in vitro* and in a diet intervention study *in vivo.* 547 Characterisation of the corresponding DMPs revealed targeting of common cell adhesion-548 extravasation signaling pathways, axonal guidance, GABA, renin-angiotensin and nitric oxide 549 hypertension pathways, associated with various cardiovascular health parameters (Gpx1, ET1, 550 acetylcholine response and a decreased neutrophil/lymphocyte ratio). Prolonged longitudinal diet 551 intervention studies in larger study cohorts may allow to evaluate longterm persistence of 552 epicatechin specific epigenetic changes in relation to its cardioprotective properties.

553

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556

557 **Figure legends**

558

559 **Figure 1: In silico docking analyses of epicatechin metabolites to DNMT1 and DNMT3A.** A) 560 Chemical structures of 4'MEC, EC4'S and 4'MEC7G metabolites B) DNA binding site of 561 DNMT1. C,D) catalytic domains of DNMT1. E) PWWP domain of DNMT3A. F) H3 peptide 562 binding site of DNMT3A. G) DNMT3A dimerization site. H) DNMT3L-DNMT3A binding site. 563 The pose of EC4'S in each binding site is shown in enlarged rectangle. Hydrogen bonds are 564 represented as light blue dashed lines.

565

566 **Figure 2: DNA methylation changes (DMPs) of HUVEC cells upon exposure to epicatechin** 567 **metabolites and TNF relative to TNF treatment alone.** A) Multivariate PLS-DA plot of the first 568 two components showing clear separation of the different treatment conditions. B) Volcano plots 569 revealing CpG probes with significant changes in DNA methylation status. The x-axis shows the 570 mean DNA methylation difference $(\Delta \beta$ -value), whereas the y-axis shows the –log10 of the P-value 571 for each probe, representing the strength of association. Green and red dots represent the hypo- and 572 hypermethylated probes, respectively. The numbers of hyper- and hypomethylated sites are 573 indicated in the plot. C) Manhattan plots showing the differentially methylated sites in each 574 treatment contrast. Red means hypermethylated, green means hypomethylated.

575

576 **Figure 3: Comparison of DMPs in response to different epicatechin metabolites and TNF** 577 **relative to TNF treatment alone** A) Genomic enrichment of differentially methylated sites. In the 578 heatmap, the log2 enrichment ratio is given comparing the sig-DMPs with all the probes on the 579 Illumina array for different genomic regions (left: gene elements, middle: CpG island elements, 580 right: chromatin state segmentations). Enrichment of the genomic regions was calculated using the 581 Fisher's Exact test: * p<0.05, ** p<0.01, *** p<0.001, **** p<0.0001. B) Scatterplots comparing 582 the -log10 (pvalues) of each DMP across the different epicatechin metabolites (4'MEC, 4'MEC7G 583 and EC4'S) and TNF relative to TNF treatment alone. Spearman's correlation coefficients are 584 given. C) Scatterplots of the -log10 (pvalues) of each DMP comparing epicatechin metabolite effect 585 (4'MEC, 4'MEC7G and EC4'S) and TNF against TNF treatment alone versus DMPs of TNF 586 treatment against untreated control. Spearman's correlation coefficients are given.

587

588 **Figure 4: Pathway enrichment analysis of common gene associated DMPs in response to 3** 589 **different epicatechin metabolites and TNF versus TNF treatment alone.** A) Venn diagram of 590 the overlapping gene associated DMPs across the 3 (-)-epicatechin metabolite contrasts. B) 591 Metacore enriched gene networks C) KEGG enriched pathways C) Metascape protein network 592 analysis network. Circles present different pathways, color represent metabolites that affected 593 methylation level, red for 4'MEC, blue for 4'MEC7G, green for EC4'S. D) Ingenuity pathway 594 enrichment analysis. Top 40 most significantly enriched IPA canonical pathways across the 3 (-)- 595 epicatechin metabolites. The heatmap represents the -log10(p-value) of the enriched pathways. 596 Pathways were ranked by the sum of -log10(p-values).

597

598 **Figure 5: Epicatechin metabolites counteract TNF induced DNA methylation changes.** A) 599 Venn diagram showing the overlapping differentially methylated genes across the different 600 treatment contrasts. B) Heatmap showing the methylation differences of the 26 common genes, 601 including 2 gene clusters. Green means hypomethylation, while red means hypermethylation. C) 602 Example plot of DMP in USP35.

603

604 **Figure 6: Blood DMPs and corresponding pathway enrichment analysis of common gene** 605 **associated DMPs after an 8-week (-)-epicatechin diet intervention in male smokers. A)** 606 Number of DMPs (delta beta-value| > 0.1, i.e. DNA methylation change > 10%). **B)** Number of 607 probes differentially methylated in one or multiple individuals. **C)** Ingenuity pathway enrichment 608 analysis. Top 40 most significantly enriched IPA canonical pathways across the 10 individuals (in 609 vivo) and 3 (-)-epicatechin metabolites or its equimolar mix (in vitro). The heatmap represents the 610 -log10(p-value) of the enriched pathways. Pathways were ranked by the sum of -log10(p-values). 611

612

613 **Figure 7: Comparison (-)-epicatechin diet intervention DMPs with atherosclerosis related** 614 **athDMP.** A) Comparison of delta beta values atherosclerosis/healthy (athero-DMPs, X-axis) and 615 delta beta values after (-)-epicatechin diet intervention (Y-axis). Red CpG probes are those athero-616 DMPs which were reversed by the (-)-epicatechin diet intervention. **B)** Correlation of the delta beta 617 values between atherosclerosis and (-)-epicatechin diet for every individual. **C)** Comparison of the 618 correlation coefficient between atherosclerosis and diet effect (X-axis) and estimated granulocyte 619 (left) and CD4+ T-cell fraction (Y-axis).

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967 **Table 2**

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979 **Table 3**

