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A new Speech, Spatial, and Qualities of Hearing Scale (SSQ)

Short-Form:

Factor, Cluster and Comparative Analyses

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44	Acronyms and abbreviations
45	AUC: Area under the curve (for Receiver Operating Characteristics curves)
46	SSQ5s: 5-item SSQ short-form, obtained as a subset of the SSQ
47	SSQ12s: 12-item SSQ short-form, obtained as a subset of the SSQ
48	SSQ15s: 15-item SSQ short-form, obtained as a subset of the SSQ
49	15iSSQ: new 15-item SSQ short-form
50	15iSSQs: new 15-item SSQ short-form, obtained as a subset of the SSQ
51	SSQ: Speech Spatial and Qualities of hearing scale
52	Speech: Speech subscale of the SSQ
53	Spatial: Spatial-hearing subscale of the SSQ
54	Qualities: Qualities of hearing subscale of the SSQ
55	HI: Hearing-impaired
56	HIHA: Hearing-impaired, hearing-aid wearers
57	HIN: Hearing-impaired, non-hearing-aid wearers
58	NH: Normal-hearing subjects
59	PTA : Pure-tone threshold average (0.5, 1, 2 and 4 kHz)
60	ROC: Receiver Operating Characteristics curves
61	SD : standard deviation
62	NA: Non-applicable answers
63	F-ANOVA: Friedman's analysis of variance

R-ANOVA: repeated-measures analysis of variance

65

ABSTRACT

Objectives: The objective of this work was to build a 15-item short-form of the Speech
Spatial and Qualities of Hearing Scale (SSQ) that maintains the three-factor structure of the full
form, using a data-driven approach consistent with internationally recognized procedures for
short-form building. This included the validation of the new short-form on an independent
sample and an in-depth, comparative analysis of all existing, full and short SSQ forms.

71

Design: Data from a previous study involving 98 normal-hearing (NH) individuals and 72 196 hearing-impaired, non-hearing-aid wearers (HIN), along with results from several other 73 74 published SSQ studies were used for developing the short-form. Data from a new and independent sample of 35 NH and 88 HI hearing-aid wearers (HIHA) were used to validate the 75 new short-form. Factor and hierarchical cluster analyses were used to check the factor structure 76 77 and internal consistency of the new short-form. In addition, the new short-form was compared to all other SSQ forms, including the full SSQ, the German SSQ15, the SSQ12, and the SSQ5. 78 Construct validity was further assessed by testing statistical relationships between scores and 79 audiometric factors, including pure-tone threshold averages (PTAs) and left/right PTA 80 asymmetry. Receiver-operating characteristic (ROC) analyses were used to compare the ability 81 82 of different SSQ forms to discriminate between NH and HI (HIN and HIHA) individuals.

83

Results: Compared all other SSQ forms, including the full SSQ, the new short-form
showed negligible cross-loading across the three main subscales, and greater discriminatory
power between NH and HI subjects (as indicated by a larger area under the ROC curve), as well
as between the main subscales (especially Speech and Qualities). Moreover, the new, 5-item
Spatial subscale showed increased sensitivity to left/right PTA asymmetry. Very good internal

consistency and homogeneity, and high correlations with the SSQ were obtained for all shortforms.

91

92	Conclusion: While maintaining the three-factor structure of the full SSQ, and exceeding
93	the latter in terms of construct validity, and sensitivity to audiometric variables, the new 15-
94	item SSQ, the 15iSSQ, affords a substantial reduction in the number of items and thus, in test
95	time. Based on overall scores, Speech subscores, or Spatial subscores, but not Qualities
96	subscores, the 15iSSQ appears to be more sensitive to differences in self-evaluated hearing
97	abilities between NH and HI subjects than the full SSQ.
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100	Key words: Self-report Measure; Short form building; Hearing Disability; Hearing Loss;
101	Spatial Hearing; Speech.

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103

INTRODUCTION

Self-report outcome measures have become an essential component in the evaluation of 104 rehabilitation benefits for patients. In the field of audiology, several scales have been developed 105 for the self-evaluation of various aspects of hearing, such as speech perception, binaural 106 hearing, or hearing in challenging situations. Among these, the Speech Spatial and Qualities of 107 Hearing scale (SSQ) designed by Gatehouse and Noble (2004) is a widely used self-report 108 measure of hearing, and has already been translated into several languages (for example, Dutch 109 (Demeester et al. 2012), Korean (Kim et al. 2017), German (Kiessling et al. 2011), French and 110 Portuguese (Gonsalez et al. 2015). 111

112 In its full form, the SSQ includes 49 items. One advantage of this relatively large number of items, is that it makes it possible to explore some very specific aspects of hearing via three 113 main subscales (Speech, Spatial and Qualities) and 10 pragmatic subscales (Gatehouse & 114 Akeroyd 2006). One disadvantage relates to the substantial amount of time and effort required 115 for completion. Using a French version of the SSQ, Moulin et al. (2015) found that the self-116 assessed time to complete the scale in a hearing-impaired (HI) group varied from 10 minutes to 117 one hour, with more than 25% of respondents reporting completion times above 25 minutes. 118 This makes it difficult to use the SSQ in routine clinical use, or for swift assessments of hearing. 119 120 Moreover, the number of missing responses tended to increase over the last third of the scale, with more missing responses for the most difficult-to-read items (Moulin et al., 2015). Indeed, 121 with over a thousand words, the SSQ can be quite taxing for respondents. 122

In order to address this problem, short-forms of the SSQ have been developed. Demeester et al. (2012) created a short-form with five items (SSQ5) out of the Dutch version of the SSQ, specifically for screening purposes. Using the UK version of the SSQ, Noble et al. (2013) created a short-form with 12 items (SSQ12) based on a large multi-center dataset. Neither of these short forms include the main three subscales. Indeed, Noble et al. (2013)'s aim

was "to compile a set of items that represent the scale as a whole", and that reflect the 10 128 pragmatic subscales of the SSO, as defined by Gatehouse and Akerovd (2006). Validating a 129 German version of the SSQ, Kiessling et al. (2011) proposed a short-form containing 15 items, 130 with five items per main subscale. The resulting short-form has the potential to retain the 131 information present in the three main subscales of the SSQ. However, each of these short forms 132 was constructed based on results from a single data sample, an approach which should be 133 avoided if possible, because it tends to overestimate the expected reliability of the short-form 134 (Putnam & Rothbart, 2006), and fails to take into account potential variations of the optimal 135 subset of items across different data samples (Widaman et al. 2011). To our knowledge, except 136 137 for the SSQ5, which was built specifically for screening purposes, none of these short-forms 138 has been validated yet on a different sample of participants from the one used to build it, which is one of the last recommended steps in short-form building. Indeed, the difficulty of short-form 139 building is the trade-off between the potential gain in time to administer the scale and the 140 potential loss in psychometric properties due to items reduction. This trade-off renders an 141 independent assessment of the reliability and validity of the new measure essential (Smith et al. 142 2000). 143

In this context, the main goals of the present study were as follows. Firstly, the aim was 144 145 to create a short-form of the SSQ using a data-driven approach, taking into account SSQ results from as many studies as available, provided that the results were given per item, and following 146 the recommended guidelines for short form building (Smith et al, 2000; Widaman et al. 2011; 147 148 Stanton et al. 2002). Secondly, this work allowed us to evaluate the performances of the preexisting and newly created short-forms on a new, independent sample of participants. To this 149 aim, we tried to follow the recommendations of Smith et al. (2000) for avoiding the "sins of 150 short-form development". Hence, the short form was built using a data-driven approach and 151 strategies specific to short-form building (Stanton et al. 2002), using published data from five 152

SSQ studies involving four different languages (Dutch, English, French, and German). First, 153 responses to items of the newly built short-form were extracted from existing data from a 154 previous study in which the full SSQ was administered to 196 hearing-impaired (HI) 155 participants (non-wearers of hearing-aids: HIN) and 100 normal-hearing (NH) participants 156 (Moulin et al., 2015). Subsequently, both the full SSQ and the newly built short-form were 157 administered to a new sample of 88 HI subjects (all hearing-aid wearers: HIHA) and 35 NH 158 159 subjects. In-depth analyses of all existing, full and short SSQ forms were performed. In particular, factor and hierarchical cluster analyses were used to check the factor structure and 160 internal consistency of the new short-form. In addition, the new short-form was compared to 161 162 all other SSQ forms, including the full SSQ, the German SSQ15, the SSQ12, and the SSQ5. Construct validity refers to how well the new scales cover the same content as the original full 163 scale and relates to external factors the same way as the original scale. With reliability, it is the 164 most important factor to respect when creating a short-form (John and Soto, 2009). This was 165 assessed by analyzing statistical relationships between scores and patients' characteristics, such 166 as age and audiometric factors, including pure-tone threshold averages (PTAs) and left/right 167 PTA asymmetry. In addition, the ability of the SSQ and all the short-forms to discriminate 168 between NH and HI (HIN and HIHA) individuals was compared using receiver-operating 169 170 characteristic (ROC) analyses. Those analyses consist of building curves that show the sensitivity (ability to detect impairment) as a function of the specificity (proportion of correctly 171 recognized NH), as the cutoff score of the SSQ forms is moved across its range. This gives a 172 summary statistic (the area under the curve) allowing to compare the quality of the 173 discrimination between impairment and non-impairment. 174

175

MATERIAL AND METHODS

176 **<u>1. Short-form creation</u>**

177 In order to create the new short-form, we considered the following sources of 178 information:

Missing-response rates across items for the German SSQ (Kiessling et al. 2011), the
English SSQ (Akeroyd et al. 2014), and the French SSQ (Moulin et al. 2015; Moulin & Richard
2016b).

- Results and normative values obtained per item (mean, standard deviations) on young
adult NH subjects for the Dutch SSQ (Demeester et al. 2012), the English SSQ (Banh et al.
2012) and the French SSQ (Moulin et al. 2015).

- Factor analyses of the English SSQ (Akeroyd et al. 2014) and French SSQ (Moulin et
al. 2015).

One of the recommended methods suggested by Widaman et al. (2011) for building 187 short-forms, is to identify a subset of items in the full-length questionnaire, which maintains 188 the factorial integrity of the main scale. For the SSQ, factor analyses have shown that the main 189 factors correspond to the three main subscales, Speech, Spatial and Qualities (Akeroyd et al. 190 2014; Moulin et al. 2015). The recommended minimum number of items per subscale ranges 191 192 between three and five (Loewenthal 2001). We opted to create a short-form with five items per subscale (15 items in total), thus keeping a possibility of further scaling down the questionnaire 193 to three or four items per subscale. To distinguish this new short-form from other SSQ short-194 forms, namely, Noble et al.'s (2013) SSQ12 and Kiessling et al.'s (2011) SSQ15, we hereafter 195 refer to it as the "15-item SSQ" (15iSSQ). 196

197 The selection of items for inclusion in the short-form used the three categories of "item 198 quality" proposed by Stanton et al. (2002): (1) *Internal items qualities*, which refer to scale 199 properties such as inter-item and total-to-item correlations, internal-consistency measures (e.g.

Cronbach's alpha), factor-analysis results, and subscale internal structure; (2) External item 200 201 qualities, which refer to the way the items interact with external factors (construct validity), such as correlations between scores and hearing loss, ear asymmetry, age, or number of years 202 of education. (3) Judgmental item qualities, which refers to the subjective evaluation by the 203 scale user, of the relevance and adaptation to the patient's level of understanding. Indeed, some 204 items of the SSO are quite long to read and can be difficult to understand, as shown by 205 206 readability scores, that range from easy to extremely difficult for some items of the quality subscale (Moulin et al., 2015). Because these indices can vield contradictory information 207 regarding the "quality" of an item, item selection often reflects a compromise between different 208 209 evaluation criteria. To deal with this, Stanton et al. (2002) proposed to code the quality indices 210 for each item and to sort the items by their level of quality, starting from external items quality such as item-level validity, followed by *judgmental quality and face validity*, and finally, 211 internal-consistency item qualities. As we wanted to eliminate, first, the most unreliable items, 212 we adapted this approach by devising a system of "penalty points", awarded for each item 213 according to the extent of its departure from several numeric criteria related to the quality 214 categories. The scale for "penalty points" was 0, 1, and 2; a penalty of 2 on one criterion meant 215 216 that the corresponding item was eliminated. The details of the penalty points awarded to each 217 item are provided in the supplemental table ST1. In a first phase, the following criteria were used: 218

1.1 Elimination of items with the greatest percentage of missing answers and NA
responses (Missing >10% in English, French and German SSQ). Indeed, the number of missing
answers (subjects who ticked the "non applicable" box) can be quite high for some items, which
happen to be the same items across the different data samples (See fig. 1 showing comparison
of SSQ missing answers in Moulin et al. 2015). For shorter forms, this can be a problem, if
some items are systematically missing (Putnam & Rothbart, 2009). Therefore, the rate of

missing items was one of the criterion of choice for reducing the number of items for the short-form.

1.2 Elimination of items with less than 20% of variance explained by a model
involving hearing loss, ear asymmetry, age, gender, and number of years of education (based
on an analysis of French SSQ data from Moulin et al. 2016). This allowed us to exclude
individual items that showed low correlations with hearing loss and ear asymmetry. Hence, this
criterion was used to reinforce the external validity of the scale, to ensure good correlation with
external factors such as hearing loss and hearing asymmetry.

233 1.3 Elimination of all items with the lowest communalities (<50%) in factor
234 analyses of the French SSQ and the English SSQ.

1.4 Elimination of items having a main-factor load lower than 0.60 and/or crossloadings larger than 0.20 (based on factor analyses of the French SSQ and the English SSQ).
The 1.3 and 1.4 criteria allow to ensure the maintenance of the internal structure of the SSQ,
with three well-defined subscales, in the short-form.

1.5 Elimination of items whose cutoff scores (defined as the mean -2 SD), in young NH subjects, were below 3.5. This was based on 98 subjects for the French SSQ, 103 subjects for the Dutch SSQ (Demeester et al. 2012), and 48 subjects for the English SSQ (Banh et al. 2012). As the mean (and SD) across items correlate significantly between languages and data samples (Moulin et al., 2015), this criterion allowed to eliminate items with too low a value (defined as a value <3.5) in NH subjects. Indeed, the SSQ items that are low in NH subjects tend to decrease the contrast between NH and HI subjects.

This first selection phase left a total of 23 items remaining, with 8 from Speech,10 from Spatial and 5 from Qualities.

In a second phase, the criteria listed above were used more coarsely, in that the "penalty points" were summed together rather than considered separately; in addition; four other criteria were added:

251 2.1 Favoring items with scores not significantly predicted by unwanted factors, 252 such as the number of years of education or gender. Indeed, the scores of some items of the 253 spatial and quality subscales showed a significant correlation (albeit minor) with the number of 254 years of education of the patients (Moulin & Richard, 2016). Those items were amongst the 255 longest and most difficult to read by the patients. All things equal, eliminating preferably those 256 items from the short-form is likely to increase its validity.

257 2.2 Favoring, for the spatial scale, items showing a strong prediction by left/right 258 asymmetry in pure-tone thresholds, and eliminating items predicted strongly by ear asymmetry 259 for the other subscales. Indeed, as the spatial scale is strongly related to localization in space 260 and left/right asymmetry, favoring items correlating the most with ear asymmetry is likely to 261 reinforce the specificity of the spatial subscale.

262 2.3 Favoring, whenever possible, items already present in the SSQ12, the263 SSQ15, or the SSQ5.

264 2.4. Favoring items with high item-to-total correlations. This criterion allows to 265 increase the reliability of the short-form, but as all SSQ items show a good item-to-total 266 correlation, the expected reliability of the short-form is high, regardless of item choice. Hence, 267 this was not our first criteria.

This second phase led to a 15-item short-form (#1.1, #1.4, #1.5, #1.6, #1.11, #2.2, #2.6, #2.7, #2.11, #2.17, #3.4, #3.5, #3.6, #3.8, #3.9) having one item in common with the SSQ5, five items in common with the SSQ12, and 7 items in common with the SSQ15. As an aside, the SSQ12 and SSQ15 have five items in common, while the SSQ5 and SSQ12 have three items in common (see supplemental table ST1). Importantly, the 15-item short-form thus createdconforms to the three main subscales of the SSQ.

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- 275

276 2. Short-form validation

The short-forms were validated with two samples of subjects. Sample A contained 98 277 young NH subjects (aged 18 to 27 years; mean age = 20.8 years; SD = 2.2 years) and 196 HI 278 subjects (aged 18 to 88 years; age = 53.4 years; SD = 17.1 years), who did not use hearing-aids 279 (HIN). For the latter, the four-frequency (0.5, 1, 2, and 4 kHz) PTA was equal to 27.2 dB HL 280 281 (SD = 14.4 dB) on the best ear, and 41 dB HL (SD = 24 dB) on the worse ear, on average (Fig. 1). The HIN subjects formed a subset of an original sample of 216 HI subjects, which was used 282 in two previous publications, along with the 98 NH subjects (Moulin & Richard 2016a; Moulin 283 284 & Richard 2016b).

Sample B was a new sample, completely independent from the first one. It comprised 285 35 NH subjects aged 18 to 30 years (mean = 21.6 years, SD = 2.7 years), and 88 HI hearing-286 aid users (HIHA) aged 19 to 94 years (mean = 73.6 years, SD = 16.2 years). HI participants 287 without HAs (sample A), as well as with HAs (sample B), were tested so as to document the 288 289 applicability of the F-SSQ to these two types of HI participants, the F-SSQ characteristics having been only described in HI patients (and NH subjects) so far. The NH subjects were 290 recruited mostly from a pool of university undergraduates. They were free from any medical 291 condition, history of otologic pathology, medication, and heavy noise exposure. They 292 underwent pure-tone audiometry in half-octave steps from 125 to 8000 Hz using an 293 Interacoustic AC40 audiometer in a sound-proof booth. The HIHA subjects were recruited from 294 an audiology clinic. Their unaided pure-tone thresholds were measured at 250, 500 Hz, then at 295 half-octave steps from 500 to 8000 Hz, using an Aurical Astera. Aided thresholds were 296

measured using warble tones at these same frequencies, through speakers. Those patients had been wearing their hearing-aids for an average of 3.4 years (SD = 3.7 years) and an average of 10.4 hours per day (SD = 5.1 hours). The average PTA for the best ear was 43.9 dB HL (SD = 13.4 dB) and 53.8 dB HL (SD = 19.5 dB) for the worse ear (Fig. 1).

Subjects from sample B were administered the validated full French language version SSQ (Moulin et al., 2015) and the new short-form version at two different time points, separated by at least two hours and up to a few days, in randomized testing order; the short-form was presented first to half of the subjects and second to the other half of the subjects. The HIHA subjects were instructed to fill the SSQ as if they were listening through their hearing-aids. For all samples, the SSQ and the short-form were completed independently by the participants, after these were instructed by an audiologist; the interview mode was not used.

The research was conducted in agreement with the World Medical Association declaration of Helsinski and in agreement with the French law pertaining to biomedical research (Agreement number A-11-385, 'CPP Sud-Est IV').

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312 **<u>3. Data and statistical analyses</u>**

313 3.1. Score calculations

314 PTA was computed as the average hearing threshold (in dB HL) across 0.5, 1, 2, and 4 kHz. Ear asymmetry was calculated as the difference in PTAs (in dB) between the left and right 315 ears. SSQ scores were calculated over the full scale and over the different short-forms that were 316 available in the literature: the SSQ5 (#1.8, #2.3 and #2.9, #3.9 and #3.14; its weighted version 317 $(SSQ5w = [(\#1.8 \times 0.804) + (\#2.3 \times 0.770) + (\#2.9 \times 0.676) + (\#3.9 \times 0.806) + (\#3.14 \times 0.646)]$ 318 319 / 5) (Demeester et al. 2012)), the SSQ12 (#1.1, #1.4 and #1.10 to #1.12; #2.6, #2.9 and #2.13; #3.2, #3.7, #3.9 and #3.14; (Noble et al. 2013) and the SSQ15 (#1.4, #1.5, #1.7, #1.9, #1.10; 320 #2.5 to #2.7, #2.9 and #2.12; #3.3, #3.4) (Kiessling et al. 2011). Scores corresponding to the 321

new 15-item short-form, 15iSSQ, (#1.1, #1.4, #1.5, #1.6, #1.11, #2.2, #2.6, #2.7, #2.11, #2.17,
#3.4, #3.5, #3.6, #3.8, #3.9) were also calculated.

Scores were computed individually for every NH and HI subjects in samples A and B. For sample B, two scores were computed for the 15iSSQ: one score was obtained by scoring a subset of items from the SSQ questionnaire, hereafter referred to as the 15iSSQs, for '15-item SSQ subset'; the second score was obtained by scoring the actual 15-item short-form, which was administered separately to the subjects rather than as a part of the full SSQ. All the other short-forms were obtained as a subset of the SSQ for both samples. Hence, to avoid confusion, those other short-forms will be labelled SSQ15s, SSQ12s, SSQ5s.

For the SSQ15s and the 15iSSQ, both of which were designed to maintain the threesubscale structure of the SSQ (Speech, Spatial *and* Qualities), three "differential" sub-scores
were calculated by subtracting sub-scores for the three subscales, pairwise (Qualities – Speech;
Qualities – Spatial and Speech – Spatial).

Similarly to Demeester et al. (2012), we calculated the SSQ-disability cut-offs, defined as the mean scores obtained in normal hearing population, minus 2 standard deviations, for the total scores obtained from SSQ and all short-forms, and the Speech, Spatial and Qualities subscales.

339 3.2 Internal-structure analysis

Data from the various short-forms were analyzed with common factor analysis, using the same parameters as for the full SSQ (see Moulin et al. 2015). Briefly, these analyses were performed using the R package "Psych", with the following parameters: correlation matrix of 15x15 (SSQ15i), maximum likelihood method for factors extraction, parallel analysis for number of factors extraction, and oblique (oblimin) factor rotation.

345 Secondly, to determine whether factors of the short-forms reflect the same structure as 346 the full scale, hierarchical cluster analyses (Revelle's ICLUST algorithm, (Revelle 1978;

Revelle 1979) were performed. Revelle's method is designed specifically to visualize 347 questionnaire scales and subscales. It relies on two indices: the alpha coefficient (mean split-348 half reliability), a measure of internal consistency, and Revelle's beta coefficient (Zinbarg et al. 349 2005), a measure of factorial homogeneity; specifically, Revelle's beta coefficient is the worst 350 (i.e., the lowest) split-half reliability of a scale, and hence is lower than alpha. In short, the scale 351 structure is built starting from two item clusters that are most similar to each other; an item is 352 added to the initial two item clusters only if this addition improves the internal consistency 353 (measured by alpha) and/or the factorial homogeneity (measured by beta) of the cluster. The 354 results are shown using a hierarchical tree diagram of clusters that displays the internal sub-355 356 structure of the scale, allowing the definition of homogeneous subscales. The tree diagram 357 connects increasingly less similar items and/or clusters from left to right: the most similar items are combined first, and the most dissimilar items are added last. Alpha and beta coefficients are 358 provided for each cluster, and correlations coefficients are given for each connection between 359 clusters and/or items. An alpha above 0.8 and a beta above 0.7, with a difference between these 360 two coefficients lower than 0.1, are indicative of good homogeneity and good internal 361 consistency (Cooksey & Soutar 2006). A goodness-of-fit index was used to compare the quality 362 of the structures. Specifically, the fits of the different models to the data were assessed using 363 364 root-mean-square residuals (RMSRs). An RMSR lower than 0.05 indicates a good fit; RMSRs comprised between 0.05 and 0.08 indicate a fair fit (Cooksey & Soutar 2006; Fabrigar et al. 365 1999). This technique is complementary to the more classical approach of factor analysis and 366 is less method-dependent (Cooksey & Soutar 2006). The ICLUST algorithm was applied to the 367 whole sample. All statistical analyses were performed using the "Psych" package within the R 368 statistical package version 3.1.0 (2014-04-10). 369

370 3.3. Comparisons between different short-forms

Short-form and subscale scores were compared to each other, and to SSQ scores. Since the 371 372 data of the NH participants deviated from normality (Shapiro-Wilk test, p<0.001, kurtosis between 2 and 4 for some variables), these data were compared using non-parametric tests: 373 Wilcoxon's sign test; Friedman's analysis of variance (F-ANOVA); Spearman's correlation 374 coefficient. The data of the HI subjects, and those used for whole-group analyses (including 375 both NH and HI subjects), met the normality assumptions (|skewness| <2.6; |kurtosis|<2.1). 376 Accordingly, for these data, parametric tests were used: analysis of variance for repeated 377 measures (R-ANOVA); paired t-tests; Pearson's correlation coefficients. For both NH and HI 378 groups, internal validity of each short-form was assessed using Cronbach's alpha coefficient. 379 380 Correlations between the SSQ and the different short-forms were calculated. To correct for the 381 hyper-inflation of those correlation coefficients due to the presence of common items in the SSQ and the short-forms, Girard and Christensen's correction for overlapping error variance 382 was used (Girard & Christensen 2008). In order to obtain reciprocal equations allowing to 383 transform the SSQ scores to a short-form score and vice versa, taking into account measurement 384 error of both scores, orthogonal distance regressions were performed on the total population. 385

Multiple-regression analysis of the SSQ, the short-forms, and the subscale scores of the HIN and HIHA subjects were performed using three predictors: Better Ear PTA (in dB HL), Ear Asymmetry in (dB), and Age (in years). The normality of the residuals was checked using the Shapiro-Wilk test, and the assumptions of non-multicollinearity were checked using Dubin-Watson test and VIF statistics. Correlation coefficients were compared using Revelle's twotailed test for correlated coefficients (R psych package).

392 *3.4. ROC analysis*

Receiver-operating-characteristic (ROC) curves were calculated for HI and NH subjects (HI being the patients and NH subjects being the controls), for the SSQ, each of the short-forms, and each of the three subscales, using Robin et al. (2011) R package, pROC. The ROC curves

396	were compared using Venkatraman's test for paired ROCs. The areas under the ROC curve
397	(AUC) and partial AUC across regions of high sensitivity (90 to 100%) were compared using
398	Robin et al.'s bootstrap test, based on the percentile bootstrap method, using 10000 replicates.
399	The Z statistic and two-tailed p value associated with Robin et al.'s bootstrap are given. As the
400	SSQ is designed to assess a difference across two conditions (e.g. HI versus NH, or hearing-aid
401	versus no hearing-aid), the region of high sensitivity appeared to be most relevant.
402	

RESULTS

404 <u>1. Factor and cluster analysis of the short-forms</u>

To check the underlying factor structure of the various short-forms and their similarity 405 with the SSQ, common factor analysis with maximum likelihood factor extraction was 406 performed on all of the SSQ short-forms except for the SSQ5, as the SSQ5 is for screening 407 purpose and does not reflect the internal three subscales structure of the SSO. Although the 408 SSQ12 was not meant initially to reflect the three subscales structure of the SSQ, it contains 409 items that were belonging initially to each one of the subscales of the SSO. Analysis of its 410 internal structure might therefore useful in confirming, or not, that it can reflect the three 411 412 subscales. This was done separately for the 196 HIN and the 88 HIHA subjects.

413 *1.1. Factor analysis*

All the results from the factor analyses are in table 1. The Kaiser-Meyer-Olkin (KMO) 414 indexes of Sampling adequacy were above 0.84 (which corresponds to "very good" (Field et al. 415 2012; Fabrigar et al. 1999), with values for individual items all higher than 0.71. Bartlett's tests 416 of sphericity were all highly significant. The analysis showed systematically three factors with 417 eigenvalues greater than or equal to one (except for the SSQ12s), with cumulative variance 418 explained between 65% (SSQ12s) and 75% (15iSSQ) (71% for the 15iSSQs). The three-factor 419 420 structure was systematically confirmed by Catell's scree test, parallel analysis, and Velicer's MAP criterion. The mean communalities ranged from 0.65 to 0.75. The RMSR ranged between 421 0.03 and 0.04. Hence, a three-factor extraction was always chosen for the final analysis, and an 422 oblique rotation (oblimin) was applied, as the scores of all items were intercorrelated. The three 423 rotated factors explained each at least 21% of the variance (17% for the SSQ12s), and each 424 factor loaded primarily on items corresponding to the main subscales of the SSO. The three 425 rotated factors correlated with each other, with correlation coefficients ranging from 0.41 to 426 0.67. The different indices showed that this three factor solution was adequate, with a Tucker-427

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Lewis index above 0.9 (one exception at 0.87), a CFI (comparative fit index) above 0.92, and root mean square error of approximation (RMSEA) between 0.08 and 0.13.

For the 15iSSQ, the items loadings on each factor showed clear separation between each
factor, with no consistent cross-loading across the three factor analysis. A small degree of crossloading was obtained for #1.5 especially for the 15iSSQs on the 88 HIHA, and for #2.2 but only
for 15iSSQ (Fig. 2).

For the SSQ15s, the separation between the different loads is less clear: for both samples, item #3.4 showed low communality (0.56) and cross-loading on factor 3 and factor 2 and both #3.3 and #2.12 showed a minor degree of cross-loadings on factors 2 and 3. Items #1.5 showed some cross-loadings on factors 1 and 2 for the 88 HIHA (Fig. 2). Hence, in the two different populations, items #3.3, #3.4 and #2.12 did not perform as well as the others.

For the SSQ12s, the three factors structure is not well respected: the % of variance explained, the communalities were the lowest of all the short forms (table 1). For both samples, #3.14 loads on factor 2, instead on factor 1. Items #3.7, #3.14 and #1.12 showed low communality (<0.53) and heavy cross-loading on factor 1 and factor 2 for #3.14 and #1.12, and on factor 1 and 3 for #3.7. Three groups can be distinguished: a group of 6 items, comprising the #3.14 and the 5 speech items; a group of three items belonging to the spatial scale and a group of three items belonging to the qualities scale (supplemental fig. SF1).

446

447 1.2. Cluster analysis

Hierarchical cluster analysis (ICLUST) corroborated the three-factor structure, with
three distinct clusters corresponding the three subscales (Speech, Spatial and Qualities), for
both the 15iSSQ and the SSQ15s forms, but not for the SSQ12s.

451 For the 15iSSQ (Fig. 3), Alpha and beta coefficients were higher than 0.82, which is 452 above their usual criterion values (0.8 for alpha and 0.7 for beta), and the difference between these coefficients was small (<0.1), indicating high homogeneity and consistency within each
cluster (Cooksey & Soutar 2006). The goodness-of-fit measure for this three-subscale solution
was 0.98, with an RMSR < 0.05, which corresponds to an excellent fit. The alpha coefficient
above 0.91 for the three main sub-clusters showed their high reliability.

The spatial items are represented in a close knit cluster (C8), with alpha=0.94, in which subcluster C6 can be identified and represents the items pertaining to localization (locate vehicle, dog, person).

460 Speech items show a close association between items 1.4, 1.11 and 1.6, as a sub-cluster 461 (C5), all pertaining to auditory perception in conditions of several talkers. Items 1.1 and 1.5, 462 concerning talking with one person with one source of noise, are associated in sub-cluster C9.

Within the quality items, the two items about voice pitch and familiar music are associated in cluster C3, whereas the other three items, about clarity and naturalness of sounds are associated in sub-cluster C11.

For the SSQ15s, the hierarchical cluster analysis showed a three-factor structure, with each factor corresponding to the expected subscale, with an acceptable fit (0.97, RMSR = 0.05), a minimum beta coefficient at 0.77, and a minimum alpha coefficient at 0.81. For the 196 HIN subjects, the sub-cluster "quality" showed a lower reliability (alpha= 0.89, beta=0.77), probably due to the item #3.3 (Music and voice as separate items), whose addition to the cluster led to a decrease of 0.1 in the beta coefficient, with a difference between alpha and beta coefficient of 0.12 for this factor, slightly higher than the expected <0.1 (supplemental fig. SF2).

For the SSQ12s, the hierarchical cluster analysis showed a three-factor structure, but that did not correspond to the subscales of the SSQ. The three clusters structure showed an acceptable fit (0.94, RMSR = 0.06), a minimum beta coefficient at 0.74, and a minimum alpha coefficient at 0.81. For the 196 HIN subjects, two three-items clusters were formed: C7 and C4 and one six items cluster (C9). C9 is composed of a sub-cluster of 4 items (C6) that shows good homogeneity (alpha= 0.92, beta=0.89), and two items (#1.12 and #3.14), the addition of which
decreases both the beta and alpha coefficients, so that the end cluster, C9, shows a difference
between beta and alpha coefficient greater than 15. This reflect a lack of homogeneity of the
cluster, with items #1.12 et #3.14 being outliers (supplemental fig. SF3).

482

483 <u>2. Comparison between SSQ and short-forms:</u>

To assess the usefulness and validity of a new tool, it is necessary to compare it to a "golden standard", here, the full scale SSQ, and to other similar tools (here, the already existing short forms). Indeed, it is necessary to assess how different and similar the short-forms characteristics (such as missing answer rates, scores for the global scale and the different subscales, internal reliability) are from the full scale.

489 2.1. Missing responses

The number of missing responses was analysed per item for the HIHA subjects (supplemental table ST1c). It ranged from 0 to 14.8%, with an average of 3.1% (SD = 3.5) across the 49 items of the SSQ. Those percentages were significantly correlated with the percentages obtained in the HIN group (r = 0.67, p < 0.0001), with the same items (i.e., items 2.14 to 2.16 and 3.16) yielding the greatest number of missing responses for these two groups. The 15iSSQ gave an average of 2% (SD = 1.4) and 2% (SD = 2%) for the 15iSSQs, with a significant correlation between the two (r = 0.72, p < 0.003).

497 2.2. Global scores (fig. 4)

498 F-ANOVA showed highly significant differences between the different scores (full 499 version and short-forms) for the NH subjects (Chi2 = 58.3, df = 7, p < 0.00001) and for the 500 HIHA subjects (Chi2 = 68.4, df = 7, p < 0.00001) (Fig. 4)

501 For NH subjects, the newly developed short-forms gave significantly greater scores than 502 the full scale, with W = 163, p < 0.02 for the 15iSSQ, and W = 113, p < 0.0006 for the 15iSSQs. 503 SSQ12s scores were significantly lower than the SSQ scores (W=563, p<0.0001). No 504 significant differences were obtained for the SSQ15s or the SSQ5s.

For HIHA subjects, all of the short-forms except SSQ5s gave significantly lower scores than the full-form, with W = 2517, p < 0.03 for the 15iSSQ, W = 2985, p < 0.0001 for the SSQ15s, and W = 3698, p < 0.00001 for the SSQ12s.

508 The 15iSSQ and 15iSSQs gave slightly greater cut-off scores than the SSQ in both NH 509 samples (6.1 vs 6.0 for 35 NH), the SSQ15s (5.96) and the SSQ12s (5.31).

510 2.3. Subscale scores (Fig. 4)

For NH subjects, no statistically significant difference was obtained between the full form and the different short-forms for the Speech (Chi2 = 11.6, df = 5, p<0.05) and Spatial subscales (Chi2 = 6.3, df =5, p > 0.20). For HIN subjects, no statistically significant difference was obtained for the Spatial subscale (F-ANOVA, Chi2 = 10, df = 5, p = 0.07).

However, for the Speech subscale and the HIN subjects, all the different short-forms
gave significantly lower scores than the full subscale (F-ANOVA chi2=81.5, df=5, p<0.00001),

sin with W = 2728, p < 0.0006 for the 15iSSQ, and W = 3606, p < 0.00001 for the SSQ15s.

For the Qualities subscale, highly significant differences between full form and the 518 different short-forms were observed for NH subjects and for HIHA subjects (F-ANOVA, Chi2 519 520 = 68, df = 5, p < 0.00001 for NH and Chi2 = 73, df = 5, p < 0.00001 for HIHA), albeit in opposite directions: for NH subjects, all of the different short-forms yielded significantly 521 greater Qualities-subscale scores than the full form, with W = 4, p < 0.00001 for the 15iSSQ, 522 and W = 56, p < 0.0001 for the SSQ15s; for HIHA subjects, the short-forms yielded 523 significantly lower Qualities sub-scores than the full form, with W = 1071, p < 0.0006 for the 524 15iSSQ, and W = 741, p < 0.00001 for the SSQ15s. 525

526 Although the mean scores of the 15iSSQ Speech and Spatial subscales were not lower 527 than the SSQ sub-scores, the cut-off scores of the 15iSSQ Spatial subscale were lower (4.1 versus 4.8) than the SSQ Spatial sub-scores, due to larger standard deviations. The SSQ15s
gave the lowest cut-off scores (5.9 and 3.9 for Speech and Spatial respectively). However, the
Qualities cut-off scores were all greater for all short-forms (15iSSQ, SSQ15s) than for the SSQ
(supplemental table ST2).

532 2.4. Internal validity and correlations between the different SSQ forms.

533 Cronbach's alpha was higher than 0.83 for SSQ5s, 0.93 for the SSQ12s, and 0.94 for 534 the SSQ15s and 15iSSQ. Good consistency was also shown within each subscale, with 535 Cronbach's alphas higher than 0.92 for the Speech and Spatial subscales, and comprised 536 between 0.85 and 0.91 for the Quality subscale (supplemental table ST3a). Item-to-total 537 correlations were above 0.67 for the 196 HIN, and above 0.61 for the HIHA (supplemental table 538 ST3b).

The different short-forms correlated very highly with the SSQ, with the lowest correlation coefficients obtained for the SSQ5s (r = 0.88, corrected Pearson) and coefficients between 0.94 and 0.95 for the other short-forms (Fig. 5). The scores for the three subscales correlated highly with the corresponding SSQ subscale scores (r above 0.91 for the Speech and Spatial subscales, and r between 0.78 and 0.85 for the Quality subscale) (supplemental table ST4a). Similar results were obtained for the non-aided population (supplemental table ST4b).

As the relationship between the SSQ and the SSQ12s (and to a lesser degree the SSQ15s, see Moulin & Richard 2016a) follows a power function rather than a linear one (Noble et al. 2013), we fitted the data for each of the short-forms tested using power functions, according to Noble's formulae (with b=1.25 and c=0.80):

549 SSQ Short forms = $10*(SSQ/10)^b$

550 $SSQ = 10^*(SSQ \text{ Short forms/10})^c$

Non-linear relationships between scores for the SSQ and the SSQ12s, on the one hand,
and the SSQ and the SSQ15s, on the other hand, were confirmed (b =1.215 [1.180, 1.250] and

b = 1.069 [1.041, 1.097], respectively). For the new short-form, the relationship was linear, as
shown by a 95% confidence interval for b overlapping 1 (supplemental table ST5). Similar
analyses performed on the larger sample of hearing-aid non-wearers (NH and HIN) yielded
similar results, with a linear relationship between scores of the SSQ and of the new short-form.
Reciprocal equations obtained by orthogonal distance regression between SSQ and the
new short form, using all subjects (i.e., 417) are:

559 15iSSQs = 0.931 * SSQ + 0.492

560 SSQ = 1.074 * 15iSSQs - 0.529

561

562 <u>3. Construct validity</u>

563 3.1. Influence of hearing-loss

The scores of all the different forms decreased highly significantly with increasing 564 hearing-loss in the better ear, with a slope ranging from 0.56 (SSQ5s) to 0.81 (15iSSQ) (0.65 565 for the SSQ) scale points per 10-dB HL. These slopes did not differ significantly from each 566 other. However, the different short forms differed from each other in the strength of the 567 correlation between score and HL, with a significantly higher correlation coefficient for the 568 newly developed short-form than for the full form (r = 0.51 versus r = 0.58, t = 2.5, p < 0.02), 569 570 and a significantly lower correlation for the SSQ5s than for the full form (r = 0.41, t = 3.6, p < 1000.0005). 571

572 When analysing subscale scores, correlations between scores and HL were not found to 573 differ significantly across the different SSQ forms (full versus short).

Linear regressions performed on the scores of the NH and HIHA subjects showed a decrease in short-form scores with hearing loss, with a greater slope for the short-forms in general, except for the SSQ5s.

577 SSQ = -0.065*HL+8.23 (r= 0.51, 26% variance explained)

578 15iSSQ = -0.081*HL+8.47 (r = 0.58, 34% variance explained)

579 All the details of the relationships between scores (for all the different forms and their 580 subscales) and hearing loss are in supplemental table ST6.

581 3.2. Influence of patients' characteristics

This analysis sought to investigate predictors of the full- and short-form scores. To this 582 aim, multiple-regression analyses were performed on the scores for each form, using the 583 following explanatory variables: Gender, Age, Better-ear PTA, and (PTA) Asymmetry. The 584 latter two audiometric variables were measured with, and without, hearing-aids, and both 585 measurements were entered as potential predictors in the model. For these analyses, the data of 586 587 the 88 HIHA subjects were used. Gender and Age were never found to be statistically significant predictors. The best models included hearing thresholds measured with hearing-aids, 588 and PTA Asymmetry measured without hearing-aids. Therefore, multiple-regression results are 589 590 presented using the better-ear PTA measured with hearing-aids, and PTA asymmetry measured without hearing-aids. The size of the effect (r^2) , and the beta coefficients that allow the 591 comparison of the relative influence of each statistically significant predictor on the SSQ scores 592 are summarized in supplemental table ST7. The different short-forms yielded results similar to 593 the full SSQ, with 32% to 39 % of variance explained by the two predictors: Better-ear PTA 594 595 (beta ranging from -0.28 to -0.35) and PTA Asymmetry (beta ranging from -0.24 to -0.19) for the seven different SSQ forms (SSQ5s, SSQ5ws, SSQ12s, SSQ15s, i15SSQ, i15SSQs, SSQ). 596 Ear asymmetry was not a significant predictor of SSQ12 scores, but was the only significant 597 predictor of SSQ5 scores. 598

The three subscales of the short-forms gave similar results to the three subscales of the SSQ: Better-ear PTA and Asymmetry were significant predictors. For all forms, the greatest dependencies were between the Asymmetry predictor and scores of the Spatial subscale, and between Better-ear PTA and scores of the Quality subscale. The differential scores between the main subscales (Qualities – Spatial; Qualities – Speech) did not show any significant
dependency on the two predictors (supplemental table ST7b).

Similar analyses were performed on the 196 non-hearing-aid wearers. Results showed 605 that the different short-forms yielded results similar to the SSQ, with 37% to 38% of variance 606 explained by the two predictors: Better Ear PTA (beta from -0.54 to -0.58) and Ear Asymmetry 607 (Beta = -0.29 to -0.32) for the five short-forms. The three subscales of the SSO15s and 15iSSO 608 gave results similar to the three subscales of the SSQ, with the Better-ear PTA and PTA 609 Asymmetry as significant predictors. The relative importance of PTA Asymmetry for the 610 Spatial subscale was higher for the 15iSSQs, with an even greater beta coefficient (-0.47) than 611 612 for hearing loss (-0.44). The differential scores (Qualities – Spatial) had PTA Asymmetry as the main predictor, with 21% of variance explained for the 15iSSQs, which is substantially 613 greater than the same score using the SSQ (11%) or the SSQ15s (10%) (supplemental table 614 615 ST7b).

616 3.3. ROC analysis

For the SSQ, the area under the ROC curve (AUC) was equal to 77.3 for the HIN group, and to 80.1 for the HIHA group. For the HIHA group, significantly larger AUCs (Fig. 6) were obtained for the 15iSSQ (AUC=84) and the 15iSSQs (AUC=83) than for the SSQ (Z = 1.75, p < 0.08, and Z = 2.02, p < 0.05 for the 15iSSQ and 15iSSQs, respectively). By contrast, AUCs for the SSQ12s and the SSQ5s were not significantly larger than the SSQ AUC. For the HIN group, differences in AUC between the SSQ and the different short-forms did not reach statistical significance (supplemental table ST8a, b).

624 Similar analyses were performed for the three subscales (Fig. 6). For the Speech 625 subscale, the different short-forms all showed a larger AUC (> 86.4) than the full-form AUC 626 (84.2), with significant differences for the 15iSSQs (Z = 2.9, p < 0.004 for the HIHA group; Z 627 = 2.4, p < 0.02 for the HIN group). For the Spatial subscale, no significant difference between

the different short-forms and the full-form were obtained for the HIN group; however, for the HIHA group, the short-forms all produced a smaller AUC (71.9 for the 15iSSQ and 69.3 for the SSQ15s) than the SSQ (72.1), with a significant difference for the 15iSSQs (Z = -2.2, p < 0.03). For the Qualities subscale, the AUC for the 15iSSQs (Z = -4.4, p < 0.0001) was significantly smaller than the AUC for the full-form for the HIN group, but not for HIHA group. (supplemental tables ST8a and ST8b).

AUCs for the spatial subscale were systematically smaller than AUCs for the other subscales, while the AUCs for the Speech subscale were systematically larger than AUCs for the other subscales: this pattern was seen for the SSQ, as well as for the different short-forms.

A similar analysis was performed for the high-sensitivity region (sensitivity above 90%). For the HIHA group, the newly developed short-forms showed a significantly and systematically larger partial-AUC than the SSQ (Z = 2.5, p < 0.02 for the 15iSSQ, and Z = 2.4, p < 0.02 for the 15iSSQs). No significant differences were obtained between the SSQ and the SSQ15s, the SSQ12s, or the SSQ5s. No significant differences were obtained for the HIN group either.

643

644

DISCUSSION

The main goal of this study was the creation of a new SSQ short-form using a rigorous 645 data-driven approach, in agreement with the criteria suggested by Stanton et al. (2002) and the 646 factorial-integrity check suggested by Widaman et al. (2011) and Smith et al. (2000). The 647 second goal was a detailed analysis of the SSQ (and its short-forms) using types of analysis not 648 vet reported (for instance, ROC analysis, and internal structure of the short-forms), and 649 650 involving two different samples (HIN and HIHA patients). By using data from multiple studies, we tried to avoid the caveat of building a short-form specific to a single data set (Widaman et 651 al. 2011). By using various types of criteria for selecting items, we tried to overcome the caveats 652 653 of a single-criterion approach. For instance, by using only internal-consistency criteria, or by over-using such criteria, one can end up with too narrowly focused a short-form, which explores 654 only some aspects of the full form (Stanton et al. 2002). Following Stanton et al. (2002), we 655 656 considered three different categories of criteria: "judgmental" (such as the percentage of missing answers, the belonging of the items to another short form, or the readability), "external 657 qualities" (percentage of variance explained by the five main predictors), and "internal 658 qualities" (communalities and factor loadings of factor analysis obtained in two different 659 studies; normative data obtained in NH subjects; inter-item and item-to total correlations). 660 661 Lastly, we validated the new 15iSSQ using two independent and different samples of participants, including both NH and HIHA, hence avoiding the last two of the nine "sins" of 662 short form building described by Smith et al. (2000): not administering the short and full forms 663 independently, and not using independent samples for validation. 664

665 <u>1. Construct validity</u>

666 The internal structure of the short-forms was cross-checked using factor and cluster 667 analyses. The results revealed three clusters for the SSQ15s and the 15iSSQ, each 668 corresponding to a main subscale of the SSQ (Speech, Spatial, and Qualities). However, internal

structure of the SSQ12s did not reflect the three subscales of the SSQ, with items belonging to 669 670 another subscale than expected, and two items showing cross-loading between two factors. This was confirmed by cluster analysis, with one cluster of six items, itself composed of one cluster 671 of four items plus two "outlier items", and two other clusters of three items. This was expected 672 as the SSQ12 was not built to reflect the three subscales structure of the SSQ, but to reflect the 673 SSQ as a whole (Noble et al. 2013) and, in particular, the 10 pragmatic subscales of the SSQ 674 (Gatehouse and Akeroyd, 2006). The 10 pragmatic subscales group items by meaning, and the 675 SSO12 items belong to 9 out of 10 of the pragmatic subscales (supplemental table ST1). This 676 is why we did not calculate the scores of the SSQ12s per main subscale, as it was not relevant¹. 677 678 Factor and hierarchical cluster analyses confirmed that the 15iSSQ has the same threefactor structure as the full SSQ, with no consistent cross-loading. The results further indicate 679 than the new 15iSSQ is superior to the other existing 15-item SSQ short-form, the SSQ15s 680 (Kiessling et al. 2011). In particular, from a construct-validity perspective, factor and 681 hierarchical cluster analyses indicated less cross-loading, and better fits to the three-factor 682 structure of the original SSQ, for the 15iSSQ (and the 15iSSQs) than for the SSQ15s. 683

684 **<u>2. External validity</u>**

Regarding external validity, consistent with the full SSQ, scores for the 15iSSQ decreased with increasing hearing loss, with a higher slope for the 15iSSQ (0.81 points per 10 dB HL, 0.75 points per 10 dB HL for the 15iSSQs) than for the full SSQ (0.65 points per 10 dB HL). This outcome can be explained by one of our criteria for item selection: the presence of relatively high scores and lower variability in NH subjects. Although SSQ scores have been initially interpreted as if young NH subjects should have perfect scores (e.g., House et al. 2010),

¹ SSQ12s results per main sub-scales are nevertheless presented in supplemental tables ST2, ST6 and ST7.

in reality, actual SSQ scores for NH are often lower than 10 (Demeester et al. 2012; Moulin et 691 692 al. 2015). Indeed, in the present study, the average score of NH respondents for the Spatial subscale was lower than 8 (see Fig. 4). The 15iSSQ scores were found to be significantly greater 693 than the full-SSQ scores for both NH samples, and significantly smaller for the HIN and HIHA 694 subjects, thus reinforcing the contrast between NH and HI subjects. In addition, the expected 695 correlation between PTA and score was significantly higher for the 15iSSO (21% of variance 696 explained) than for the SSQ (11% of variance explained). Unfortunately, our data sample is not 697 sufficient to test for more subtle differences in correlations. Indeed, tests of the differences in 698 dependent correlations require large data samples: for instance, a difference in correlation 699 700 coefficient of 0.05 (0.50 versus 0.55) would require 298 subjects (with a power of 90% and a 701 level of significance of 0.05) (Faul et al. 2009). Nevertheless, ROC analysis confirmed this greater sensitivity of the 15iSSQ (and 15iSSQs) to hearing impairment: for both HIHA and HIN 702 703 groups, the AUC, a measure of the difference between the scores of the NH and HI groups, was significantly larger for the 15iSSQ (and 15iSSQs) than for the SSQ, whereas AUCs obtained 704 using the other short-forms were not (SSQ5s, SSQ12s and SSQ15s). 705

One of the caveats of reducing the number of items in a questionnaire, is the potential increase of inter-subject variability, the scores being less "smoothed out" by an averaging procedure across a small number of items than across a greater number of items. This has potential detrimental consequences on the disability SSQ cut-off scores. This is not the case here, as for the total score, the cut-off scores obtained with the new short-form (both 15iSSQ and 15iSSQs) were consistently larger than the scores obtained with (1) the SSQ and (2) all the other short-forms.

A look at the three main subscale scores gives a more complex picture: the cut-off scores for the Speech and Spatial subscales tend to be lower for all short-forms than for the SSQ. For the qualities subscale, all short-form gave greater cut-off scores than the SSQ qualities. Indeed,

the 15iSSQ and 15iSSQs (and to a lesser degree, the SSQ15s) gave larger scores for the 716 717 Oualities subscale in all samples, especially in NH. For the Spatial and Speech subscales, 15iSSQ scores were similar to SSQ scores for both NH samples, but were significantly lower 718 than SSQ scores for HIN and HIHA. As a result, the contrast between Qualities and Speech 719 sub-scores and, to a lesser degree, between Speech and Spatial sub-scores, was higher for HI 720 721 with the 15iSSO than with the SSO. The lower Spatial sub-scores for the HI participants may 722 be attributed to our choice of favoring items that were more sensitive to Ear Asymmetry. This can be observed in the measured dependency of the Spatial scores to Best Ear PTA and Ear 723 Asymmetry, where both predictors contributed almost equally to the scores of the 15iSSQs; by 724 725 contrast, for the SSQ15s and the SSQ, the contribution of the Best Ear PTA was always 726 substantially greater than the contribution of Ear Asymmetry. The statistical relationship between PTA asymmetry and the differential sub-score, Qualities – Spatial, was substantially 727 728 stronger for the 15iSSQs (21% of variance explained) than for the SSQ15s (10% of variance explained) or the SSQ (11% of variance explained). Hence, although our selection of items for 729 the new short-form was guided primarily by the imperative to maintain the three main subscales 730 of the SSQ, two advantageous by-products of this selection are a better contrast between NH 731 732 and HI, and a Spatial subscale that is more sensitive to Ear Asymmetry. This greater sensitivity 733 to ear asymmetry can be highly advantageous in the analysis of self-reported hearing disabilities linked to ear asymmetry (Vannson et al. 2015), unilateral hearing loss (Olsen et al. 2012; Dwyer 734 et al. 2014; Douglas et al. 2007), and the evaluation of the benefits of hearing rehabilitation 735 strategies of those asymmetrical losses (Pai et al. 2012; Dumper et al. 2009). 736

737

3. SSQ/short form relationships and differences

738 It is worth noting that we found a linear relationship between scores obtained with the new short-form (for both 15iSSQ and 15iSSQs) and scores for the full SSQ. This differs from 739 the SSQ12s for which the relationship is clearly nonlinear (Moulin & Richard 2016b; Noble et 740

al. 2013) and, to a lesser degree, from the SSQ15s, and makes it straightforward to infer scores
for the full SSQ based on the new short-form scores, or *vice versa*.

One limitation of this study stems from the fact that test-retest reliability of the new 743 short-form was not verified on a large data sample. To the best of our knowledge, this 744 shortcoming applies also to the other SSQ short-forms. However, it appears highly unlikely that 745 the 15iSSO is any less reliable than its existing 15- and 12-item counterparts, the SSO15s and 746 the SSQ12s. First, the items in this new short-form come from the same set of items that was 747 used to create the SSO15s and the SSO12s, namely the complete set of SSO questions, which 748 has shown a high degree of correlation between several administration modes and at different 749 750 time points (Singh & Pichora-Fuller 2010). Second, we obtained very good correlations between the 15iSSQs and the 15iSSQ (r=0.93) and no statistically significant differences 751 between 15iSSQs and 15iSSQ in the same samples (NH and HIHA), whether the full score or 752 753 scores per subscales were considered. Although the delay between the two administrations was too low (less than a day for about 40% of them and less than a week for most of them) to really 754 assess long-term reproducibility, and responses to the subset version (15iSSQs) were, to some 755 degree, influenced by the other questions of the full SSQ, it does give a clear indication of the 756 757 good test/retest reproducibility of the 15iSSQ.

758 Even if it were merely equivalent to the full SSQ for differentiating between NH and HI subjects, the 15iSSQ could be advantageously used for this purpose in research studies or 759 clinical work, given its shorter length. In fact, the results showed that, in some respects, the 760 761 short-form affords better discrimination between NH and HI subjects than the full SSQ, and a better sensitivity to ear asymmetry thanks to the Spatial subscale. One qualification to this 762 763 conclusion stems from the finding of a statistically significant smaller AUCs for the 15iSSO than for the SSQ, for one specific combinations of HI subgroups and subscales: the Qualities 764 subscale with the HIN group. For this reason, we cannot recommend using the 15iSSQ in lieu 765

of the full SSQ in all situations, especially those in which longer length of the latter is not amajor limitation.

768

769 4. Overall Differences between short-forms

770 The differences obtained between the different short-forms appear small overall, especially the differences between the 15iSSQs and the SSQ15s. However, we need to take into 771 account the fact that all the short-forms have been compared as subsets of a common SSQ 772 questionnaire, hence a great proportion of data are identical across several short-forms. In 773 particular, the 15iSSQs and the SSQ15s share 7 items out of 15, i.e., almost 50% of the data are 774 775 identical between the two. Nevertheless, importantly, the 15iSSQs outperforms the SSQ15s in 776 having greater cutoff values for both NH samples, for both the main scores and the three main subscales, as well as a greater dependency of its spatial subscale on ear asymmetry, a greater 777 778 AUC for main scores and speech subscales, and a more defined internal structure. In addition, the independently applied 15iSSQ exhibits better characteristics than the 15iSSQs. 779

Although the sample sizes in this study and the redundancy of items across different short-forms, contributed to limit the magnitude of any statistical differences between the 15iSSQ and the other short-forms, we found that the 15iSSQ outperformed the other shortforms in three main aspects:

An internal structure in three clearly defined subscales, that are the same as the
 three subscales of the SSQ. This is not the case with the SSQ12s. The SSQ15s 's
 three subscales are less clearly defined, with some cross-loading on two factors in
 the factorial analysis.

A significantly greater dependency of the 15iSSQ on hearing impairment, such as
 hearing loss and ear asymmetry. This is shown by a significantly stronger
 correlation between 15iSSQ scores and hearing loss, the greater percentage of

variance explained by hearing-loss and ear asymmetry (for the spatial subscale) than
the other short forms, a significantly greater area under the curve for the ROC
analysis. These results demonstrate a greater contrast between normal hearing
subjects and hearing impaired subjects.

A linear relationship between SSQ and 15iSSQ, shown for the 15iSSQs as a subset of the SSQ, and for an independent administration of the 15iSSQ. Such a linear relationship is obtained with the SSQ5s as well, but both the SSQ12s/SSQ and SSQ15s/SSQ relationships are significantly non-linear (power function). A linear relationship allows for easier interchangeability between SSQ and short-form scores.

801

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FIGURES



Figure 1

Statistical characteristics of the different subject samples. Left panel: age. Middle and right panels: best-ear (BE) and worst-ear (WE) PTA. For Sample B, all HI subjects were hearing-aid wearers, and PTAs measured with HAs and without HAs ('No HA') are reported.



Figure 2

Factor loadings computed using factor analysis applied on the responses to 15-item subsets from the full SSQ corresponding to the 15iSSQ (left panel) or to the SSQ15s (right panel). The three factors are indicated by different colors (black: factor 1; dark-grey: factor 2; light-grey: factor 3). The different subject samples are shown using different symbols, as indicated on the figure: HIHA: hearing impaired hearing aid (n=88) and HIN: hearing impaired without hearing aids (n=196). '15iSSQs' refers to data collected separately in 88 HIHA subjects using the 15iSSQ.

15iSSQs



Figure 3

Tree diagram obtained using hierarchical cluster analysis (ICLUST) of the 15iSSQs data from 196 HI subjects. Shortened verbal descriptions (after Bahn et al., 2012) of the 15 items are listed on the left, grouped by subscale. The most similar items are combined first, and increasingly less similar clusters are represented from left to right. For each cluster, the alpha coefficient and Revelle's B (worst-split-half reliability) are provided. Three main clusters (in black: C8, C10 and C12), corresponding to a main subscale, are identified.



Figure 4

Left panel: full and short-form SSQ scores for the 88 HIHA subjects (blue) and 35 young NH subjects (green) composing Sample B. The short-form scores were either, obtained using the 15iSSQ or, computed by tallying scores across five, 12, or 15-item subsets of the (full) SSQ corresponding to the SSQ5s, the SSQ12s, the SSQ15s, or the 15iSSQ; the latter sub-scores are referred to as '15iSSQs', to distinguish them from scores obtained using the 15iSSQ. Right panel: full and short-form SSQ scores obtained using subsets of the (full) SSQ in the 196 HI subjects (non hearing-aid wearers: HIN, blue) and 98 young NH subjects (green) composing Sample A. Error bars show 95% confidence intervals. Statistically significant differences between SSQ and the different short-forms as represented as horizontal bars (Wilcoxon tests, p<0.05, see text for details).



Figure 5

Scatterplots of the scores obtained for the 15iSSQ as a function of the full SSQ score, for the 15iSSQ taken as (1) a subset of the SSQ in sample A data (left panel); (2) a subset of the SSQ in sample B data (middle panel); (3) an independent administration of the 15iSSQ in the same population as in (2) (right panel). Linear regressions are represented by blue lines and Pearson's correlation coefficient (r) is reported for each figure.



Figure 6

ROCs for each subscale (Speech, Spatial, Qualities). Left: ROCs computed based on Sample-A data. Right: ROCs computed based on Sample-B data. Top row: ROCs computed on scores for the (full) SSQ and for various SSQ short-forms. Bottom row: ROC curves computed on subscale scores for either the (full) SSQ or the 15iSSQ.

Population analysed	88 HIHA patients				196 HI patients		
Form analysed	15iSSQ	15iSSQs	SSQ15s	SSQ12s	15iSSQs	SSQ15s	SSQ12s
Kaiser-Meyer-Olkin (kmo) index	0.92	0.84	0.9	0.88	0.93	0.9	0.91
Minimum KMO value for individual items	0.86	0.71	0.73	0.79	0.88	0.86	0.88
Bartell's test of sphericity (df=105, p<0.00001) (Chi2)	1300	1220	1069	676	2838	2656	1729
Eigenvalues of first 3 factors (F1, F2 and F3)	8.2, 1.7, 1.4	8.2, 1.3, 1.1	8.0, 1.3, 1.0	4.0, 3.3, 0.6	8.8, 1.5, 1.0	8.6, 1.2, 1.0	6.2, 1.2, 0.8
% variance explained by the 3 factors	55, 11, 9	55, 9, 8	54, 9, 7	33,27,5	58, 10, 7	58, 8, 7	52, 10, 6
Cumulative variance explained (%)	75	71	69	65	75	72	68
Mean communality (SD)	0.75 (0.12)	0.71, (0.15)	0.69, (0.10)	0.65 (0.18)	0.75 (0.08)	0.71 (0.10)	0.68 (0.16)
Minimum communality	0.59	0.49	0.55	0.42	0.6	0.55	0.41
% variance explained by the 3 rotated factors	28, 24, 24	27, 23, 21	27, 21, 21	29,20,17	26, 25, 24	25, 24, 23	30, 20, 18
Correlation coefficient between the 3 factors	0.44 to 0.63	0.52 to 0.67	0.45 to 0.59	0.41 to 0.64	0.54 to 0.66	0.65 to 0.66	0.62 to 0.67
Items on which F1 loads, with minimum load	Spatial, 0.57	Spatial, 0.73	Spatial, 0.62	Qualities, 0.32	Speech, 0.64	Spatial, 0.65	Qualities, 0.28
Items on which F2 loads, with minimum load	Speech, 0.65	Speech, 0.52	Speech, 0.51	Speech, 0.47	Quality, 0.65	Quality, 0.56	Speech, 0.39
Items on which F3 loads, with minimum load	Quality, 0.67	Quality, 0.57	Quality, 0.43	Spatial, 0.55	Spatial, 0.73	Speech, 0.66	Spatial, 0.73
Tucker-Lewis index	0.96	0.87	0.9	0.95	0.93	0.92	0.95
CFI (Comparative fit index)	0.98	0.92	0.94	0.98	0.96	0.95	0.97
RMSR	0.03	0.04	0.04	0.04	0.03	0.03	0.03
RMSEA (root mean square error of approximation)	0.08	0.13	0.11	0.08	0.1	0.1	0.08

TABLE

Table 1

Results of factor analyses performed on 15iSSQ, 15SSQs, SSQ15s, SSQ12s data from the HIHA and HIN subjects.

List of SDC:

Appendix : **SupplementaryData.xlsx** which contains all the supplementary tables (ST1 to ST8) and the supplementary figures (SF1 to SF3).